



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
Brightway Asset Management Limited	BKY132	Licensed Corporation	9
China Southern International Limited	BJF033	Licensed Corporation	1, 4
China Wise Management International Limited	BJR201	Licensed Corporation	1, 4, 9
Chinalin Capital Co. Limited	BIV746	Licensed Corporation	6
Cutfield Freeman & Co. (Asia) Limited	BLH387	Licensed Corporation	4, 6
Goldlark (Hong Kong) Asset Management Company Limited	BJQ844	Licensed Corporation	9
Great Roc Asset Management Limited	BKK610	Licensed Corporation	9
Kilmorey Asset Management Limited	BKD494	Licensed Corporation	9
NNFE International Asset Management Limited	BKC196	Licensed Corporation	9
Nobleseed Asset Management Limited	BJW257	Licensed Corporation	9
Qilin Capital Management (Hong Kong) Limited	BKY108	Licensed Corporation	9
Rostrum Grand Limited	BLH635	Licensed Corporation	4
Syntropy Asset Management Limited	BKR346	Licensed Corporation	4, 9
Vendavel Asset Management Limited	BJU119	Licensed Corporation	4, 9
AGGARWAL Srishti Pravin	BLL297	Licensed Representative	1
ALGHATAM Mohamed Thabet Rashed Jaber Rashed	BLM022	Licensed Representative	2, 5
ANDREWS Stephen John	ATC362	Licensed Representative	1, 4, 9
ARAI Naoki	BLM026	Licensed Representative	1
ARYA Parth	APS569	Licensed Representative	1, 4, 6
BAILEY Brandi Lyn	BLL632	Licensed Representative	1, 2, 7
BANNON Deborah Mary	AIK991	Licensed Representative	1, 4
BHALLA Sumeet Kumar	BLH891	Licensed Representative	1, 9
BHATTEE Hashim	BLL816	Licensed Representative	1, 4, 9
BLOOM Nicholas Roy	ART997	Licensed Representative	9
BLUME Valerie Ingrid	BLH182	Licensed Representative	9
BOJAN Kimberley	BLM910	Licensed Representative	1, 7
BOSELLI John A	BLJ662	Licensed Representative	1, 4
BOWERS GEOFFREY SCOTT	BLL043	Licensed Representative	1, 4, 9
BUT Kwun Ho	BLR561	Licensed Representative	9
BYUN Jun Sik	BLI470	Licensed Representative	1, 4, 9
CABLE Paul Michael	AYI039	Licensed Representative	1, 2, 4, 9
CAI Yipin	BLP008	Licensed Representative	4
CAO Jin	BLK138	Licensed Representative	1, 4, 9
CARMEN Michael Tyler	BIW774	Licensed Representative	1, 4
CHAN Cheuk Yin	ACD391	Licensed Representative	1, 4, 9
CHAN Cheuk Yin	BLI898	Licensed Representative	1, 4
CHAN Chi Ching	AGR579	Licensed Representative	1
CHAN Chui Ping	AKV332	Licensed Representative	1, 2
CHAN Chun Yin	BLL039	Licensed Representative	1, 6
CHAN Ka Kiu	BLN915	Licensed Representative	9
CHAN Ka Lun	AQJ305	Licensed Representative	9
CHAN Kai Chung	ATN127	Licensed Representative	1



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHAN Kin Yuen	BLR510	Licensed Representative	1
CHAN Kwun Fung	BLK486	Licensed Representative	1, 4
CHAN Lee Quan	BLJ949	Licensed Representative	1, 2
CHAN Man Kit	BLN631	Licensed Representative	1, 2
CHAN Sai Yuen	BEM794	Licensed Representative	9
CHAN San Fung	BLN451	Licensed Representative	1
CHAN Siu Lung	BLN445	Licensed Representative	1, 2
CHAN Tai Ching Michael	AXD994	Licensed Representative	1
CHAN Tsz Chun	BLL176	Licensed Representative	1, 4
CHAN Wai Sing	AWJ805	Licensed Representative	1, 2
CHAN Wing Ki	BLN652	Licensed Representative	1, 6
CHANG Jung-Jung	AVL146	Licensed Representative	10
CHAU Siu Man	BLO134	Licensed Representative	5
CHAU Wai Yan	BLI739	Licensed Representative	1, 2
CHEN Alan Bing	AEH768	Licensed Representative	1
CHEN Angela Fang	BBH657	Licensed Representative	9
CHEN Hong-Wen	AYU641	Licensed Representative	9
CHEN Junfeng	BLH604	Licensed Representative	6
CHEN Junsheng	BLL852	Licensed Representative	4
CHEN Kuei Mei	BHB587	Licensed Representative	1, 4, 9
CHEN Lin	BLK131	Licensed Representative	4
CHEN Sixiao	BLQ491	Licensed Representative	1, 4
CHEN Xiaying	BLO411	Licensed Representative	10
CHEN Xiyu	BLD338	Licensed Representative	1, 4, 9
CHEN Yixiang	BLN678	Licensed Representative	4, 9
CHEN Yizhou	BLK647	Licensed Representative	1
CHEN Yuh Kuen	BLN129	Licensed Representative	1
CHENAUX Valentin Maxime	BLK874	Licensed Representative	1, 4, 9
CHENG Chak Yan	BJA024	Licensed Representative	1, 4
CHENG Chao	BHL503	Licensed Representative	9
CHENG Chee Hin	BLJ968	Licensed Representative	1
CHENG CHI SHING	BLL156	Licensed Representative	1
CHENG Kam Lun, Ronald	BLF849	Licensed Representative	10
CHENG Liulingzi	BFS139	Licensed Representative	1, 6
CHENG Nga Man Jacqueline	BLM903	Licensed Representative	1, 2, 9
CHENG Tak Man	BLN470	Licensed Representative	4, 9
CHENG Teky	AGZ625	Licensed Representative	1, 6
CHENG Xi	BLL288	Licensed Representative	10
CHENG Yaman	BLO117	Licensed Representative	4
CHENG Zhiyang	BLH890	Licensed Representative	1, 4
CHEUNG Chi Ming	BLK546	Licensed Representative	1, 6
CHEUNG Chung Eu, Cindy	AJE681	Licensed Representative	9



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHEUNG Hoi Kit Justin	BLN926	Licensed Representative	10
CHEUNG Tsun Yuen Derek	BLL295	Licensed Representative	1, 2
CHEUNG Wing Yiu, Glory	AFM080	Licensed Representative	1
CHICK Ho Ming Jackie	BLK820	Licensed Representative	4
CHIK Pui Cheong, Upton	AEF322	Licensed Representative	1, 2
CHIN Chi Ho Stanley	BIQ723	Licensed Representative	1, 9
CHING Tak Chiu Stephen	BLN917	Licensed Representative	1, 4, 6
CHIU Sai Kit	BKZ194	Licensed Representative	1, 2
CHOI Siu Tong Edward	BLL583	Licensed Representative	1, 4, 9
CHONG Chu Man	BLQ369	Licensed Representative	1, 4, 9
CHONG Ka Shing	BLO845	Licensed Representative	1, 2
CHONG Yeu Liong	BLN750	Licensed Representative	1
CHOW Chun Yu Leeds	BLL841	Licensed Representative	1, 6
CHOW Hoi Shan	AXG849	Licensed Representative	1, 6
CHOW Lai Kit	AOU722	Licensed Representative	1, 4, 9
CHOW Wai Leong, Eric	AWW844	Licensed Representative	1, 4, 9
CHU Hilary Hiu Lam	BLM888	Licensed Representative	1
CHUE Kit Min	BLL521	Licensed Representative	1, 4, 9
CHUI Ka Chun	BLP741	Licensed Representative	1
CHUNG Jia Hsung	BLJ657	Licensed Representative	1, 4
CHUNG Kam Lung, Steven	ALW760	Licensed Representative	9
CHUNG Yi Tung	AUP364	Licensed Representative	1
COLTMAN Todd	BIZ987	Licensed Representative	1, 4, 9
CONNELLY Martin Trevor	BDD178	Licensed Representative	9
COULIBALY Sylvestre Pankaluo	BLJ224	Licensed Representative	1, 2
DAI Fa	BLK137	Licensed Representative	1, 4, 9
DAI Liangyuen	BLP419	Licensed Representative	9
DAI Yihang	BLH885	Licensed Representative	1, 2, 4
DENG Shaowei	BKY927	Licensed Representative	1, 2
DENG Shichao	BLL862	Licensed Representative	1
DI Yun	BLL511	Licensed Representative	1, 4
DING Dadu	BLQ502	Licensed Representative	1
DING Zhen	BLR088	Licensed Representative	1, 6
DON Bryce Francis	BLL145	Licensed Representative	1, 4
DRAKE William Hugh	BLI775	Licensed Representative	9
DU Jiang Yue	BLL181	Licensed Representative	1, 2
DUAN Mengmeng	BLH605	Licensed Representative	1, 4
DUAN Shu	BLO810	Licensed Representative	1, 4, 6
DUNN Alexandra Jade	BLM006	Licensed Representative	1
DURNAN Timothy J	BLO184	Licensed Representative	9
ELLIOTT David Jonathan	BIK518	Licensed Representative	1, 4
ERSOY Alan Metin	AOY949	Licensed Representative	1, 2



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
ESHLEMAN LUCAS LYNDON	BLM741	Licensed Representative	9
FAN Chin Lin	BLL332	Licensed Representative	1, 4, 9
FAN Jingruo	BLQ525	Licensed Representative	6
FAN Ping Lun, Alan	BJK467	Licensed Representative	1, 2, 4
FANG Qing	BLC451	Licensed Representative	4
FENG Lixue	BLL054	Licensed Representative	1, 6
FONG Hoi Ching	BLO368	Licensed Representative	9
FONG Shun Chuen	ALY308	Licensed Representative	1
FUNG Hei Wa	BLN442	Licensed Representative	1
GAO Haoxing	BLR563	Licensed Representative	1, 4
GARG Vipul	BLB530	Licensed Representative	1, 2
GE Chengyuan	BKW493	Licensed Representative	6
GIAN Jia Ying	ASS704	Licensed Representative	1, 2
GILL Harvinder Preet Singh	BLL847	Licensed Representative	4, 9
GOH Diwen	BLM062	Licensed Representative	1, 4, 6
GU Leili	BLH992	Licensed Representative	1
GU Yaobo	BLN626	Licensed Representative	1, 2
GUO Ziqi	BLP131	Licensed Representative	1, 4, 6
HAMADA Kenji	BLL357	Licensed Representative	4, 9
HAN Jinsoo	BLM021	Licensed Representative	1, 6
HAN Lingxiao	BLL163	Licensed Representative	1, 6
HAYASHIDA Kazutora	BLJ196	Licensed Representative	9
HE Jieyan	BLN749	Licensed Representative	1, 4
HE Li	AUL729	Licensed Representative	1
HE Rui	BLK736	Licensed Representative	1, 4
HE Wei	BLH425	Licensed Representative	9
HE Wuxian	BLM860	Licensed Representative	1, 2
HO Ching	BLM898	Licensed Representative	1
HO Jason Tsun Yiu	BLI698	Licensed Representative	9
HO Ka Wing	BLO676	Licensed Representative	1
Ho Ki Wai	ARW465	Licensed Representative	1, 4
HO Kwan Dik	ABE366	Licensed Representative	1, 2
HO Kwun Kui Clarence	BDR779	Licensed Representative	4, 9
HO Man Kap	BLJ192	Licensed Representative	1
HO MEI PO	BLO144	Licensed Representative	1, 4, 6
HO Tsz Ching	BLN448	Licensed Representative	1, 2
HO Yuen Yi	BLM881	Licensed Representative	1
HONG Sue Min	BLO378	Licensed Representative	1, 2
HOU LIWEI	BLP081	Licensed Representative	1, 4
HOWIE Jonathan Adrian	AVJ430	Licensed Representative	1, 4, 9
HSIAO Yu Wen	BLR562	Licensed Representative	9
HU Haijian	BLH370	Licensed Representative	2



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
HU Lili	BLL279	Licensed Representative	1, 2
HU Mingan	BLS912	Licensed Representative	1, 4, 9
HU Zewei	BLF173	Licensed Representative	1
HUANG Jiadeng	BLK385	Licensed Representative	6
HUANG Kuo Hua	BBT162	Licensed Representative	1, 2
HUANG Minshi	BLJ823	Licensed Representative	4, 9
HUANG Wei	BLL815	Licensed Representative	1, 2, 4
HUANG Xiaohong	BLL112	Licensed Representative	4, 9
HUANG Yiran	BLI894	Licensed Representative	1, 4
HUANG Yizu	BLP420	Licensed Representative	9
HUANG Yu	ATI173	Licensed Representative	1, 4
HUANG Yunqi	BLI511	Licensed Representative	1, 6
HUANG Zhen	BLG340	Licensed Representative	10
HUI Chi Wai	BLK722	Licensed Representative	1, 2
HUI Ka Chang	BLJ161	Licensed Representative	1, 6
HUNG Chin Pang Peter	BLL850	Licensed Representative	9
HUNG Chun Kit	BLM883	Licensed Representative	1, 4
HWANG YOO WON	BLM113	Licensed Representative	1, 2
ICHOUA Arnaud Sylvain	BEV783	Licensed Representative	1, 2
IMOTO TOMOKO	BLH595	Licensed Representative	4
IP Kin Wai	BLP135	Licensed Representative	1
IP Vincent Shing-Ki	BLK217	Licensed Representative	1, 9
IYER Sachin Ramnath	BLJ177	Licensed Representative	1, 6
JI Yehong	ALU479	Licensed Representative	1, 6
JIA Yanping	BDY739	Licensed Representative	1
JIANG Lingling	BLL183	Licensed Representative	1, 2
JIANG Yuanzhen	BLO468	Licensed Representative	1, 6
JIANG Zhi	BLN900	Licensed Representative	1, 4, 9
JIN Dandan	BCF263	Licensed Representative	4, 9
JIN Lu	BLH375	Licensed Representative	1, 4
JING Xiaoxu	BLM886	Licensed Representative	1, 2, 4
KAM Chor Wai	BLL144	Licensed Representative	1
KAMAN Yashraj	AHX629	Licensed Representative	4
KAN Chun Wah	AJO358	Licensed Representative	10
KAN Yu Hang	BLK683	Licensed Representative	1, 2, 7
KARIA KISHAN	BLI623	Licensed Representative	1, 4
KEI Jeremy Luke Ming	BLJ651	Licensed Representative	1
KETTERER Edward	ADE566	Licensed Representative	1, 4
KHULLAR Ashu	BLD672	Licensed Representative	1, 6
KIM Dennis Hong	BGO947	Licensed Representative	1, 4
KIM KENNETH	BLL533	Licensed Representative	9
KU Wai To Daniel	BLI648	Licensed Representative	4



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
KWAN Cheuk Shing	AUE415	Licensed Representative	4
KWOK Charmaine Shen Man	BLQ546	Licensed Representative	1, 4
KWOK Hei Yu	BLH236	Licensed Representative	1
KWOK Hong Man	BLJ204	Licensed Representative	1, 2
KWON Se Young	APP194	Licensed Representative	4, 9
LAI Chung Hang, Angel	BLL333	Licensed Representative	1, 6
LAI Man Fung	BDD008	Licensed Representative	1
LAI Suet Hing, Josephine	BLR696	Licensed Representative	1, 4
LAM John Junior	AEO683	Licensed Representative	1, 4, 9
LAM Ming Cheung Tavis	BLL173	Licensed Representative	1, 2
LAM Pui Yan	BLK914	Licensed Representative	1
LAM Wai Shun	BLM861	Licensed Representative	1, 2
LAM Wai Yan	BLK474	Licensed Representative	1, 4
LAM Yun Ching	BLN673	Licensed Representative	1, 4, 9
LAN Dong	AQF151	Licensed Representative	1, 6
LAO Yee Wun	BLL813	Licensed Representative	1, 2
LAU Jing Yeung, William	ANV150	Licensed Representative	1, 4, 9
LAU Kai Kit	BLN426	Licensed Representative	10
LAU Kit Sang	AMK231	Licensed Representative	1, 2
LAU Kit Yee	BLL570	Licensed Representative	1
LAU Sabrina Yin Kwan	BLN468	Licensed Representative	4
LAU Yi Wang Ivan	BLO501	Licensed Representative	9
LAW Chun Tong	BLN119	Licensed Representative	1, 4, 9
LAW Ka Kit	BLL373	Licensed Representative	1, 9
LEE Chul-joo	AEJ050	Licensed Representative	4
LEE Chun Ho Bennett	BLO462	Licensed Representative	1, 4, 6
LEE Chun Wing	BLM027	Licensed Representative	6
LEE Hoi Lam	BLO811	Licensed Representative	4, 9
LEE Hok Kan	BLK909	Licensed Representative	1, 4
LEE Ji Seon	BKK022	Licensed Representative	1, 4
LEE Ka Wing	BKB231	Licensed Representative	4, 9
LEE Kai Ming	BCO596	Licensed Representative	9
LEE Kin Man	BLK431	Licensed Representative	1, 2
LEE Kin Pong	BLL504	Licensed Representative	1
LEE Kok Shiong	BLH996	Licensed Representative	2
LEE Kwun Chung Kenneth	BLI955	Licensed Representative	1, 2
LEE Shu-ching	ANV193	Licensed Representative	1
LEE Siu Fong	BLN403	Licensed Representative	1
LEE Siu Fung	BLJ226	Licensed Representative	1
LEE Wai Yin	AYW252	Licensed Representative	4, 9
LEGLAND Arnaud Pierre Daniel	BLH839	Licensed Representative	9
LEUNG Hang Kin	ASS967	Licensed Representative	4



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
LEUNG Ho Juen	BLL871	Licensed Representative	2, 5
LEUNG Hoi Wan, Loletta	AFA629	Licensed Representative	1, 2
LEUNG Kin Shing, Jason	AOC862	Licensed Representative	1, 4, 9
LEUNG Kit Ying	AYY292	Licensed Representative	1, 4
LEUNG LOK SUM SAM	BLL826	Licensed Representative	1
LEUNG Siu Hung	BLK134	Licensed Representative	1, 4
LEUNG Tin Yau Stephen	BLI899	Licensed Representative	1, 6
LEUNG Wan Nei	AQJ822	Licensed Representative	1, 4
LEUNG Wing Sze	BLL864	Licensed Representative	1, 4, 9
LEUNG Wing Yan, Winnie	BLH441	Licensed Representative	1
LEUNG Yeuk Hei	BLJ508	Licensed Representative	6
LEUNG Ying Chun	BLI477	Licensed Representative	6
LEUNG Yuk Hung	BEJ775	Licensed Representative	1, 9
LI Bo	BLK721	Licensed Representative	1, 4
LI Chengru	BLN914	Licensed Representative	4
LI Chun May	BLO854	Licensed Representative	1
LI Ho Fai, Vincent	ANW827	Licensed Representative	1, 4
LI Jiaqi	BLK139	Licensed Representative	1, 6
LI Kiu Yue	BLM118	Licensed Representative	2
LI Kun Yuen	BLO674	Licensed Representative	4
LI Lei	BLL868	Licensed Representative	1, 2
LI Linyi	BLE825	Licensed Representative	1
LI Nga Yan, Claudia	BLN447	Licensed Representative	1
LI PUI LAM	BLO800	Licensed Representative	1
LI Qingyi	BJY216	Licensed Representative	1
LI Quanwei	AXP766	Licensed Representative	1, 4, 9
LI Sau Mei	BLN152	Licensed Representative	1, 2
LI SUFAN	BLF846	Licensed Representative	1
LI Teng	BLI066	Licensed Representative	1, 2
LI Wai Lap	BAA227	Licensed Representative	1, 2, 4
LI Xiaohan	BLI045	Licensed Representative	1, 2
LI Xuran	BLO826	Licensed Representative	1, 6
LI Ying Wai	BLM060	Licensed Representative	1
LI Yisui	BLK608	Licensed Representative	1
LI Yiu Yuen	BFB087	Licensed Representative	9
LI Yiwei	BLK915	Licensed Representative	10
LI Yong	AXM324	Licensed Representative	1, 4, 9
LI Yuan	BBT531	Licensed Representative	1, 4, 9
LI YUFEI	BLK497	Licensed Representative	1, 4
LI Yunchang	BLF179	Licensed Representative	9
LI Zhangyun	BLM932	Licensed Representative	4
LIAN Songqing	AHK947	Licensed Representative	9



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
LIANG Fei	BLK911	Licensed Representative	1, 2
LIANG Jinming	BLK923	Licensed Representative	1, 4, 6
LIAO Chuqin	BLI484	Licensed Representative	9
LIAO Lanke	BLK484	Licensed Representative	1, 6
LIE Suet Ching	ALZ559	Licensed Representative	1, 4, 9
LIM Choot	ACV298	Licensed Representative	9
LIN Beichen	BLJ222	Licensed Representative	4
LIN Haiping	BLJ565	Licensed Representative	1, 4, 6
LIN Jianhao	BLO859	Licensed Representative	4
LIN Wen-Chun	BLF855	Licensed Representative	10
LIN Xingqiu	BLM040	Licensed Representative	4
LINDQUIST Justin Myles	BJA817	Licensed Representative	1
LING Sai Cheung	ALC002	Licensed Representative	1
LIU Ching-chih	BLN454	Licensed Representative	1, 4, 9
LIU Jing	BLP418	Licensed Representative	9
LIU Kai Kai	BLL853	Licensed Representative	1
LIU Nan	BLP378	Licensed Representative	1, 4
LIU Pok Man	BLL172	Licensed Representative	1
LIU Pu Chun	BLN934	Licensed Representative	2, 5
LIU Qian	ATS617	Licensed Representative	1, 4, 6
LIU Qianyue	BLI683	Licensed Representative	1, 2
LIU Yinggang	BLI068	Licensed Representative	1, 6
LO Hei Tung	BLM103	Licensed Representative	1, 6
LO Kang	BLK165	Licensed Representative	1, 4
LO King Ting	BKE490	Licensed Representative	1
LO Wai Lung	BLK912	Licensed Representative	1, 4
LO Yan Mei	BAZ735	Licensed Representative	1, 6
LOK Yin Hing	BLN450	Licensed Representative	1
LOO Sai Cheong	AFL150	Licensed Representative	1, 4
LOUIE ON LAI	BLH622	Licensed Representative	9
LU Hui	BLI952	Licensed Representative	1, 4
LU YE	BLN748	Licensed Representative	2
LU Zhenxiang	BLI726	Licensed Representative	4
LUK Chun Kong Jan	BLL846	Licensed Representative	1, 4, 9
LUO Man	BLL571	Licensed Representative	1, 4
LYU Likuan	BKS234	Licensed Representative	4, 9
MA SIU CHUN	BLJ965	Licensed Representative	2
MA XIAO	BLE949	Licensed Representative	10
MA Xiaokui	BLL873	Licensed Representative	1, 4, 9
MA Yueyi	BLH430	Licensed Representative	4
MA Zirui	BLI709	Licensed Representative	1, 4
MACDONALD Alexia Grace	BLP794	Licensed Representative	1



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
MAHESHWARI Vashistha	BKW497	Licensed Representative	1, 6
MAN Sin Kwan	BLO186	Licensed Representative	1, 2
MCELROY Michael Patrick	BIK519	Licensed Representative	1, 4
MILLER Timothy Stephen	BLF858	Licensed Representative	1, 9
MITCHELL Georgina Lucy	BLN455	Licensed Representative	1, 4
MO Yan Tsun Ricky	BLI734	Licensed Representative	4, 9
MOK Ho Nam	BLK652	Licensed Representative	1, 4
NAKAYAMA Sho	BLE542	Licensed Representative	9
NEAVE Lionel Digby Sheffield	ASD187	Licensed Representative	4, 9
NEOH Paul Keen Wooi	BLN430	Licensed Representative	4, 9
NG Justin Chun-hoy	BHW620	Licensed Representative	1, 4
NG Kwan Ying	BBM325	Licensed Representative	1
NG Ping Kin	BLL568	Licensed Representative	1, 2
NG Yuet Fung	BLJ225	Licensed Representative	1, 2
NGACK Pape Modou	BLO790	Licensed Representative	1, 2
NGO Ian	AXX652	Licensed Representative	1, 4
NING Le	BLL567	Licensed Representative	6
NONAKA Ruri	BLK704	Licensed Representative	1, 4, 6
OU Ofra Sueh Hung	AME527	Licensed Representative	1, 4, 9
OU Pascal	BLN143	Licensed Representative	9
OUYANG Chang	BLC345	Licensed Representative	1, 4
PADMASOLA Srinivas	ALY619	Licensed Representative	1
PAN Lichia	BLM891	Licensed Representative	1, 2, 4, 5
PAN Wei, Crystal	APC866	Licensed Representative	1
PAN Wenang	BLL356	Licensed Representative	1, 9
PAN Yinzhou	BLH245	Licensed Representative	1, 6
PANG Lei	BLO806	Licensed Representative	1, 4, 5, 9
PANG Wing Ho	BLG347	Licensed Representative	10
PARK IL-WOO	BLP811	Licensed Representative	1, 4, 6
PARK Se Hyun	AUR862	Licensed Representative	10
PARK Sei Yon	BLM852	Licensed Representative	1, 4
PARK Young Taeg	ALQ538	Licensed Representative	4
PATRAVALI ASHWIN	BLL838	Licensed Representative	4, 9
PECK Julian Michael Henry	BJR227	Licensed Representative	1, 6
PETIT-PASQUIER Julien	ANN270	Licensed Representative	1, 2
POON Ho Fung	BLM927	Licensed Representative	1, 2, 5
POON Wai Yu	BLI518	Licensed Representative	1, 4, 9
POON Yik Ki	BFN324	Licensed Representative	1
QI Chengyu	BLL147	Licensed Representative	4, 9
QIAN Linjing	BLN937	Licensed Representative	6
QIN Haoxiang	BLL487	Licensed Representative	1
QIN Xuanyu	BLD350	Licensed Representative	6



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
RAMACHANDRA Deepak	BLD533	Licensed Representative	1, 2
RAMEAU Benjamin Thor	BEY934	Licensed Representative	1
RITTER Lisa Nelly	BLL338	Licensed Representative	4, 9
SANWAL Vasundhara	BLJ461	Licensed Representative	1, 4
SETHI Shaun Nittal	ANM069	Licensed Representative	9
SHAN Yiting	BLK210	Licensed Representative	6
SHEN Chun Yu	BAH994	Licensed Representative	1, 4, 9
SHI Yaying	BLO847	Licensed Representative	9
SHIN Jung Hoon	BLJ194	Licensed Representative	4
SIA Ke Yong Jared	BKI365	Licensed Representative	1, 2
SINGH Manmohan	AFV085	Licensed Representative	4
SINPRASEUTH Christopher	AQU096	Licensed Representative	9
SIU Anna	BLJ506	Licensed Representative	9
SIU Chun San	BLN935	Licensed Representative	4
SO Chun Wing	BLL622	Licensed Representative	1, 2
SOBTI Jasjit Singh	BCU294	Licensed Representative	1, 2, 7
SOLOMON Alexandra Kumin	BLL080	Licensed Representative	1, 2
SU Alice Jia	ATO816	Licensed Representative	1, 4, 6
SUDIMAN Johan	BAQ674	Licensed Representative	1
SUN Zhe	BLO827	Licensed Representative	4
SUNTAY Aylin	BLI474	Licensed Representative	4, 9
SZE Bo Ying	BLL282	Licensed Representative	9
TALWAR Karan	BLH268	Licensed Representative	1, 4
TAM Chun Cheong	BGQ071	Licensed Representative	2, 5
TAM Edmond Ming Kwan	ACB553	Licensed Representative	9
TAM Lik Fu	BLI883	Licensed Representative	1
TAM Nai Hon Johnny	BLN646	Licensed Representative	9
TANG Chiu Sing Michael	BLP193	Licensed Representative	1
TANG Hao	BLM121	Licensed Representative	1, 4, 6
TANG Hui	BJW834	Licensed Representative	1, 4
TANG Jishi	BLG078	Licensed Representative	1
TANG Ko Lok	BLL175	Licensed Representative	2
TANG Tsz Kin Michael	AWK217	Licensed Representative	4, 9
TANG Wai Lun	BLJ139	Licensed Representative	1, 4
TANG Wai Shan	BLM882	Licensed Representative	1, 4
THAM Huan Ling	BLK858	Licensed Representative	9
THOMAS Marie Clare	BLI867	Licensed Representative	1, 2
TO Muk Tak	BLS731	Licensed Representative	1, 4, 9
TO Sam Hung	APJ479	Licensed Representative	1
TONG Shing Kit, Jack	ADS004	Licensed Representative	1, 2
TRAN Mark	BLK502	Licensed Representative	9
TSANG Chi Yuen	BLH606	Licensed Representative	4, 9



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
TSANG Ka Kin	APR463	Licensed Representative	1, 4
TSE Chi Hung	AKI600	Licensed Representative	1, 4
TSE Ching, Heidy	AZO179	Licensed Representative	1, 4
TSE Yan	BLK428	Licensed Representative	1
TSOI Ka Ki	BLK651	Licensed Representative	1
UBEROI Sandeep Singh	BLD532	Licensed Representative	1, 4, 6
VANDENBRUGGEN Audric	BLL296	Licensed Representative	1, 2
VUJASINOVIC Vlada	BLN677	Licensed Representative	1, 4, 9
WAI Man Chik	BAN002	Licensed Representative	1, 4
WANG Bei	BLK413	Licensed Representative	1
WANG Beichen	BLP422	Licensed Representative	1, 2
WANG Dan	BLO228	Licensed Representative	4, 9
WANG Feng	BLI071	Licensed Representative	1, 2
WANG Hao	BLH590	Licensed Representative	6
WANG Jiahuan	BLK367	Licensed Representative	1, 4, 9
WANG JIALI	BLE953	Licensed Representative	1
WANG Kang	BLM893	Licensed Representative	1, 2
WANG Liang	BLI722	Licensed Representative	1, 4, 9
WANG Lin	BIM406	Licensed Representative	1, 4, 6
WANG Meng	BLL284	Licensed Representative	4
WANG Mingjing	BLL561	Licensed Representative	1, 4, 9
WANG Pufa	BLJ592	Licensed Representative	1, 2
WANG Ruochen	BLM058	Licensed Representative	9
WANG Shengyu	BDV081	Licensed Representative	9
WANG Stephen	BLC369	Licensed Representative	6
WANG Weizhi	BLP820	Licensed Representative	1, 4, 6
WANG Yicheng	BLN140	Licensed Representative	1
WANG Yifang	BLF144	Licensed Representative	4
WANG Yongyan	BLI752	Licensed Representative	1, 6
WANG Zizheng	BLL351	Licensed Representative	9
WAT Yee Man	BJX742	Licensed Representative	6
WEI Hong-Si	BLN672	Licensed Representative	4
WENG Xin	BLG112	Licensed Representative	4, 9
WONG Chi Kin	BLF303	Licensed Representative	9
WONG Ching Ching	AZV966	Licensed Representative	1, 4
WONG Chun Tung	BLJ190	Licensed Representative	1, 2
WONG Emily Lok Man	BLN151	Licensed Representative	1, 6
WONG Ka Ho	BLK239	Licensed Representative	1, 2
WONG Ka Wing	BLP057	Licensed Representative	1, 4, 9
WONG King Shing	BLB622	Licensed Representative	4
WONG Kwok Lai Vincent	BLO118	Licensed Representative	1, 4, 6
WONG Kwok Wing	BBH901	Licensed Representative	1



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
WONG Lie Ping	ABW904	Licensed Representative	1
WONG Man Szl Natalie	BLJ670	Licensed Representative	1
WONG Pui Shan Aggie	BLN923	Licensed Representative	1
WONG Shi Keung	BLN453	Licensed Representative	1
WONG Ting Yuen	BDM053	Licensed Representative	2
WONG Tsz San	BLN921	Licensed Representative	1, 4, 9
WONG Wai Chung	AUM604	Licensed Representative	1, 4
WONG Yuen Wa Heidi Kate	BLN676	Licensed Representative	1
WOO Chui Man, Ida	BLR052	Licensed Representative	1, 4
WOO Chun Hey, Adrian	ASP237	Licensed Representative	1, 2
WOO Kai En Jonathan	BLI896	Licensed Representative	1, 4, 9
WOO Kai Hon	BLJ364	Licensed Representative	3
WOO Kai Wah, Patrick	ANG489	Licensed Representative	4
WU Benjamin	BEA682	Licensed Representative	1, 4
WU Kai Chiu	BLM743	Licensed Representative	9
WU Min	BLH639	Licensed Representative	1, 4
WU Mo Hung	ASP712	Licensed Representative	1
WU TONG	BLI953	Licensed Representative	6
WU Xiaodi	BLF418	Licensed Representative	6
WU YU	BLK496	Licensed Representative	1, 4
XIAO Jian	ATV900	Licensed Representative	4, 9
XIAO Yi	BGR028	Licensed Representative	1, 4
XIE Lu	BLK730	Licensed Representative	9
XIE Wenqiao	BLN402	Licensed Representative	1, 4, 6
XIE Zheshi	BLJ203	Licensed Representative	1, 7
XIONG Qingxi	BLM032	Licensed Representative	4, 9
XU HUA	BLF599	Licensed Representative	1, 6
XU Ruiyi	BLM930	Licensed Representative	1, 4
XU XI	BLM056	Licensed Representative	1, 2
XUE Qian	BLP754	Licensed Representative	1, 4
YAN Lyupeng	BLK403	Licensed Representative	1, 4, 9
YAN Nan	BFD014	Licensed Representative	1, 4
YAN Wen	BLH219	Licensed Representative	10
YANG Guang	BLG131	Licensed Representative	1, 4
YANG Kailun	BLI499	Licensed Representative	1, 6
YANG Yuansheng	BLO220	Licensed Representative	4
YANG Zequan	BLO153	Licensed Representative	1, 4
YANG Zhong	BLN158	Licensed Representative	1, 4, 9
YAO Simone Tong	BEF804	Licensed Representative	1
YE Mingyuan	AMJ300	Licensed Representative	1, 4
YE Qianyu	BLL842	Licensed Representative	1, 2
YEO Sze Yueh Beatrice	BLG088	Licensed Representative	1, 4



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
YEU KING NGOK	ASO560	Licensed Representative	9
YIM Tsz Kwan	BLN146	Licensed Representative	1
YIN Bo	AXT105	Licensed Representative	1, 2
YIN Yue	AXZ897	Licensed Representative	9
YIP Yan Ki Candy	BFX699	Licensed Representative	1, 4, 9
YIU Chun Kit Ernest	AZG432	Licensed Representative	1, 4
YIU Ka Wai	BLM934	Licensed Representative	6
YU Jessy Yanqi	BLG286	Licensed Representative	1, 6
YU Shing Chun	BLO213	Licensed Representative	1, 2
YU Shuaihao	BLK697	Licensed Representative	1, 2, 4, 5
YU Yat	BLL624	Licensed Representative	1, 2
ZAHM Nathan Christopher	BLD328	Licensed Representative	1, 4
ZENG Shuai	BEM599	Licensed Representative	9
ZENG Zhujun	ATQ773	Licensed Representative	10
ZHAI Yinan	BLK925	Licensed Representative	1, 2, 6
ZHANG Chao	BLC114	Licensed Representative	1, 4, 6
ZHANG HUAN	BLJ202	Licensed Representative	10
ZHANG Jun	BLJ926	Licensed Representative	4, 9
ZHANG Shuo	BLN911	Licensed Representative	1
ZHANG Tianyu	BLM854	Licensed Representative	1, 4, 6
ZHANG Wenwen	BLI057	Licensed Representative	1, 4, 9
ZHANG Xiangbing	BGH429	Licensed Representative	1, 4, 9
ZHANG Yiwen	BLM908	Licensed Representative	4
ZHAO Gang	BLN644	Licensed Representative	4, 9
ZHAO Ji	BLH638	Licensed Representative	1, 6
ZHAO Na	BLD603	Licensed Representative	4, 9
ZHAO Yang	BLK372	Licensed Representative	4, 9
ZHENG Jiantao	BLK421	Licensed Representative	3
ZHENG Minmin	BLN651	Licensed Representative	4, 9
ZHENG SHUANG	BLN132	Licensed Representative	4, 9
Zheng Yuchun	AJL959	Licensed Representative	1
ZHOU Huan	BLL165	Licensed Representative	1, 4, 6
ZHOU Yufeng	BLN472	Licensed Representative	4, 5, 9
ZHU Sihui	BLG149	Licensed Representative	1, 2
ZHU Yi	BKI005	Licensed Representative	1
ZOU Guanzhong	BLN412	Licensed Representative	4, 9
ADAMCZYK Alexis Thomas David	ARN635	Licensed Representative - Responsible Officer	6
BI Wanying	BKQ712	Licensed Representative - Responsible Officer	9
CHEN Junsong	BLC857	Licensed Representative - Responsible Officer	9
CHEUNG Chor Yu	AJE761	Licensed Representative - Responsible Officer	1, 4
CHEUNG Lai Him	AVI824	Licensed Representative - Responsible Officer	1
CHIN Hung I	ADT385	Licensed Representative - Responsible Officer	6



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHUNG Yuk Mui, Theresa	AEN710	Licensed Representative - Responsible Officer	4
CLARKE Dean Lionel	BJX179	Licensed Representative - Responsible Officer	9
FERNANDES Jose Firmino Quintal	BHC749	Licensed Representative - Responsible Officer	1, 4, 9
FREEMAN Peter John	BLH628	Licensed Representative - Responsible Officer	4, 6
GUO Zhen	BJN521	Licensed Representative - Responsible Officer	2
HAU Ka Yui	AEY500	Licensed Representative - Responsible Officer	1
IP Yun Kit	AFX762	Licensed Representative - Responsible Officer	4
JIANG Chenfeng	BJT261	Licensed Representative - Responsible Officer	9
LAI Tak Chi, Augustine	BLI147	Licensed Representative - Responsible Officer	1, 4, 9
LAM Cheung Ching, Sammy	APJ849	Licensed Representative - Responsible Officer	9
LAM Ting Kin, James	APG202	Licensed Representative - Responsible Officer	4, 9
LEE Man Wood	BKB920	Licensed Representative - Responsible Officer	6
LIN Haizhong	BJT265	Licensed Representative - Responsible Officer	9
LIN I-Wen	AOV710	Licensed Representative - Responsible Officer	1, 4
LIN Sam Disheng	BLH633	Licensed Representative - Responsible Officer	4, 6
LIU Yan	BJY422	Licensed Representative - Responsible Officer	1, 4, 9
MUNDY David James	BEX113	Licensed Representative - Responsible Officer	1, 4, 9
NG Ka Pong	BJR599	Licensed Representative - Responsible Officer	9
NICHOLS Scott	BLE879	Licensed Representative - Responsible Officer	9
PENG Jianguo	BLC860	Licensed Representative - Responsible Officer	9
PENG Wen-Chun	BLD625	Licensed Representative - Responsible Officer	1, 4
PRIVETT Spencer John	BLH180	Licensed Representative - Responsible Officer	9
RAHMANI Sophia Sally	BJP827	Licensed Representative - Responsible Officer	1, 4
SCOLAR Christopher	BJH133	Licensed Representative - Responsible Officer	1, 6
SEE TOH Kean Yaw	BHF357	Licensed Representative - Responsible Officer	9
SYKES Roderick Peter	ABE627	Licensed Representative - Responsible Officer	6
TONG Leslie Wai-yun	APL611	Licensed Representative - Responsible Officer	9
WANG Yu	BKU806	Licensed Representative - Responsible Officer	1
WONG Chi Man	BFS288	Licensed Representative - Responsible Officer	1, 4, 9
WONG Shue Ngar, Sheila	BDH258	Licensed Representative - Responsible Officer	9
WONG Shui Lun, William	AHR091	Licensed Representative - Responsible Officer	9
XU Jinwen	AXZ879	Licensed Representative - Responsible Officer	1, 2, 4, 5, 6, 9
XU Ke	BLH634	Licensed Representative - Responsible Officer	4, 6
XU Qing	BKT753	Licensed Representative - Responsible Officer	10
YAO Zhengwei	BEE981	Licensed Representative - Responsible Officer	9
YING Tak Sun Nelson	AMY780	Licensed Representative - Responsible Officer	6
ZAIMI Hossein	BGU210	Licensed Representative - Responsible Officer	1, 7
ZHANG Lu	BAN087	Licensed Representative - Responsible Officer	4, 9
ZHEN Xu	BLH877	Licensed Representative - Responsible Officer	4, 9
ZOU Yun	BJT266	Licensed Representative - Responsible Officer	9
AGGARWAL Saumya	BLU751	Licensed Representative (Provisional Licence)	1, 9
ARLETTAZ Benoit Michel Jose	APP420	Licensed Representative (Provisional Licence)	1, 4, 6



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
ARYA Parth	APS569	Licensed Representative (Provisional Licence)	1, 4, 6
BAI Huizi	BLP020	Licensed Representative (Provisional Licence)	1
BUCAILLE Emmanuel Marie Bruno Marcel	BLR527	Licensed Representative (Provisional Licence)	1, 4, 9
BUSSONNIERE Henri Jacques Thibault	BLO100	Licensed Representative (Provisional Licence)	1, 2, 7
CABLE Paul Michael	AYI039	Licensed Representative (Provisional Licence)	1, 2, 4, 9
CHAMBEAU-BLOC Jacques	BLU088	Licensed Representative (Provisional Licence)	1, 2
CHAN Cheuk Fai Andy	BGI432	Licensed Representative (Provisional Licence)	10
CHAN Chi Ching	AGR579	Licensed Representative (Provisional Licence)	1
CHAN Ho Yan	BLP038	Licensed Representative (Provisional Licence)	1
CHAN Kin Yuen	BLR510	Licensed Representative (Provisional Licence)	1
CHAN Kwok Man, Frederick	AWA392	Licensed Representative (Provisional Licence)	10
CHAN Suk Ngai, Tiffany	AQB021	Licensed Representative (Provisional Licence)	1, 2
CHAN Tammy	BLP888	Licensed Representative (Provisional Licence)	1, 2
CHAN Tsz Long	BKG153	Licensed Representative (Provisional Licence)	1, 2
CHANG Carmen	AVS344	Licensed Representative (Provisional Licence)	9
CHANG Che-hao	BLO467	Licensed Representative (Provisional Licence)	1, 2, 4
CHEN Chiung Wen	BLX467	Licensed Representative (Provisional Licence)	1, 4
CHEN David Shih-wei	BLP963	Licensed Representative (Provisional Licence)	9
CHEN Hung-Yu	BLU262	Licensed Representative (Provisional Licence)	1, 2, 4, 5
CHEN Ming Yu	BLT686	Licensed Representative (Provisional Licence)	1
CHEN Peipei	BLO973	Licensed Representative (Provisional Licence)	4, 9
CHEN Ping-Hung	BLT524	Licensed Representative (Provisional Licence)	1, 4
CHEN SHIHHAO	BLR289	Licensed Representative (Provisional Licence)	1
CHEN Tingting	BKE184	Licensed Representative (Provisional Licence)	1
CHEN Wei Chia	BLU937	Licensed Representative (Provisional Licence)	1, 4
CHEN Wenhao	BLU972	Licensed Representative (Provisional Licence)	1, 2
CHEN Xiaying	BLO411	Licensed Representative (Provisional Licence)	10
CHENG Wai See, Eunice	BBP484	Licensed Representative (Provisional Licence)	9
CHEUNG Chi Kwong	BLT496	Licensed Representative (Provisional Licence)	1
CHEUNG Chi Ming	BLK546	Licensed Representative (Provisional Licence)	1, 6
CHEUNG Lap Ki David	ARR119	Licensed Representative (Provisional Licence)	1
CHIU Chi Fai	BLT158	Licensed Representative (Provisional Licence)	1, 4
CHIU Ding Chung	BLT159	Licensed Representative (Provisional Licence)	1, 4
CHIU Kin Fai	ADC461	Licensed Representative (Provisional Licence)	1, 4, 9
CHOI Won Suk	AYP113	Licensed Representative (Provisional Licence)	4
CHONG Chu Man	BLQ369	Licensed Representative (Provisional Licence)	1, 4, 9
CHU Tien	AOX106	Licensed Representative (Provisional Licence)	1, 4, 9
CHUA GOY Neil Jordan Lao	BLS843	Licensed Representative (Provisional Licence)	1, 6
CHUI Ka Chun	BLP741	Licensed Representative (Provisional Licence)	1
CHUNG Yim Ling	BEZ882	Licensed Representative (Provisional Licence)	9
COLEMAN Eleanor Marie	BDI772	Licensed Representative (Provisional Licence)	1, 4
COLTMAN Todd	BIZ987	Licensed Representative (Provisional Licence)	1, 4, 9



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
DENG Wei	BLT369	Licensed Representative (Provisional Licence)	1, 4
DING Dadu	BLQ502	Licensed Representative (Provisional Licence)	1
DING Lin	BLU081	Licensed Representative (Provisional Licence)	1, 4
DING Tianyi	BLR694	Licensed Representative (Provisional Licence)	1, 4, 9
DING Zhen	BLR088	Licensed Representative (Provisional Licence)	1, 6
DING Zhisuo	BLJ424	Licensed Representative (Provisional Licence)	1, 4, 9
DONG YUE	BLP557	Licensed Representative (Provisional Licence)	1, 4
DU Mengting	BLW083	Licensed Representative (Provisional Licence)	1, 6
DUAN Jingxian	BLO863	Licensed Representative (Provisional Licence)	4
DUNNE Terry K	AUW515	Licensed Representative (Provisional Licence)	4
ESLER Charlotte Virginia	BLU754	Licensed Representative (Provisional Licence)	1, 4
FAN Jingruo	BLQ525	Licensed Representative (Provisional Licence)	6
FANG Fang	BLR664	Licensed Representative (Provisional Licence)	9
FERREIRA DE AVILA Guilherme	BLV955	Licensed Representative (Provisional Licence)	1, 4, 9
FERRIS Andrew Peter	BLF827	Licensed Representative (Provisional Licence)	1
FOK King Fai	BLT371	Licensed Representative (Provisional Licence)	1, 2
FONG Hoi Ching	BLO368	Licensed Representative (Provisional Licence)	9
FONG Ki Cheung	BLT176	Licensed Representative (Provisional Licence)	1, 4
FONG Ting KWAN	BLP545	Licensed Representative (Provisional Licence)	1
FRASER Bradley James	BHZ827	Licensed Representative (Provisional Licence)	2
FU Wanying	BLC899	Licensed Representative (Provisional Licence)	1, 4
FUNG Chi Wai	APW679	Licensed Representative (Provisional Licence)	1, 2
FUNG Lai Chun	BLV753	Licensed Representative (Provisional Licence)	1, 2
FUNG Oi Yee	BLP846	Licensed Representative (Provisional Licence)	1, 2
GAO Xiner	BLU942	Licensed Representative (Provisional Licence)	4
GERVAISE Adrien Lilian Bernard	BLR489	Licensed Representative (Provisional Licence)	1, 2, 7
GISBOURNE Ian Mark	ACS236	Licensed Representative (Provisional Licence)	4
GU Jiawen	BLQ526	Licensed Representative (Provisional Licence)	1, 6
HAN Yuzhu	BLT746	Licensed Representative (Provisional Licence)	1, 4
HAU Jacquelynne	BLQ406	Licensed Representative (Provisional Licence)	1, 2
HE WEI	AVW085	Licensed Representative (Provisional Licence)	1
HO Seen Sze, Cecilia	BLU752	Licensed Representative (Provisional Licence)	1, 4
HONG Albert	ADI057	Licensed Representative (Provisional Licence)	1
HONG Junchan	BLO695	Licensed Representative (Provisional Licence)	6
HU Zeyu	BK1101	Licensed Representative (Provisional Licence)	4
HUANG Anthea Yuying	BLT174	Licensed Representative (Provisional Licence)	1, 2
HUANG Chaoni	BLO982	Licensed Representative (Provisional Licence)	1, 4
HUANG Hexiao	BLS753	Licensed Representative (Provisional Licence)	1, 6
HUANG Jiayao	BLR822	Licensed Representative (Provisional Licence)	9
HUANG Szu Chieh	BLP507	Licensed Representative (Provisional Licence)	1, 4, 9
HUANG Yao Yao	BLO855	Licensed Representative (Provisional Licence)	1, 4, 9
HUANG Ying-Chau	BLV172	Licensed Representative (Provisional Licence)	1



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
HUANG Zehang	BLR936	Licensed Representative (Provisional Licence)	1, 4
HUI Hiu Yu	BLR816	Licensed Representative (Provisional Licence)	6
HURSON Eric Pierre Jean	BLS680	Licensed Representative (Provisional Licence)	2
IP Ming Kai	BLT278	Licensed Representative (Provisional Licence)	1, 4
IP Tsz Kin	BLU979	Licensed Representative (Provisional Licence)	1, 2
JIANG Wen	BLU082	Licensed Representative (Provisional Licence)	1, 4
JIANG Xiaoxu	ASO484	Licensed Representative (Provisional Licence)	1, 4, 6
JOHANSEN Kennie Atle	AXM048	Licensed Representative (Provisional Licence)	9
JUNG Eun	BLV431	Licensed Representative (Provisional Licence)	1, 4, 6
KAMAI Takeo	BBA272	Licensed Representative (Provisional Licence)	1
KAWANO Hiroshi	ACW174	Licensed Representative (Provisional Licence)	1, 2, 4, 5
KIM Taehong	AUV123	Licensed Representative (Provisional Licence)	9
KIM YOUNGEUN	BLT549	Licensed Representative (Provisional Licence)	4, 9
KUNG Wang Leong	BLR820	Licensed Representative (Provisional Licence)	1, 6
KURZ Julian Ogden	BAI394	Licensed Representative (Provisional Licence)	1
KWAN Boon Yee	ARP129	Licensed Representative (Provisional Licence)	1, 4
KWAN Sai Hin	BLX076	Licensed Representative (Provisional Licence)	1, 4
KWOK Charmaine Shen Man	BLQ546	Licensed Representative (Provisional Licence)	1, 4
KWOK Chi Ho	BLW750	Licensed Representative (Provisional Licence)	4, 9
KWOK Tsz Kiu	BET772	Licensed Representative (Provisional Licence)	6
LAI Chak Lam	BLV903	Licensed Representative (Provisional Licence)	1, 2
LAI Cheuk Wai, Nathan	BLV465	Licensed Representative (Provisional Licence)	1, 2, 3
LAI Chun Wing	ANA177	Licensed Representative (Provisional Licence)	9
LAI Kin Ho	BAZ546	Licensed Representative (Provisional Licence)	4
LAI Suet Hing, Josephine	BLR696	Licensed Representative (Provisional Licence)	1, 4
LAI Tsung-Yu	BLL631	Licensed Representative (Provisional Licence)	1, 4, 9
LAI YUK FUNG	BLX403	Licensed Representative (Provisional Licence)	1
LAM Lincoln	BLU924	Licensed Representative (Provisional Licence)	1, 2
LAM Oi Yan, Nicole	BLR319	Licensed Representative (Provisional Licence)	1, 4, 6
LAM Yu Fong	BLU744	Licensed Representative (Provisional Licence)	4
LAU Kin Ting, Timothy	BGL559	Licensed Representative (Provisional Licence)	2
LAU Wing Ki Winnie	BDJ457	Licensed Representative (Provisional Licence)	4, 9
LAURENT Lucca Nevada	BEL622	Licensed Representative (Provisional Licence)	1, 2
LEE Kam Sheung, Karen	BLQ902	Licensed Representative (Provisional Licence)	1, 9
LEE Tak Shing	BLW937	Licensed Representative (Provisional Licence)	1
LELAND Todd Wilson	BLR545	Licensed Representative (Provisional Licence)	1, 4, 6
LENG Jiaxuan	BLR368	Licensed Representative (Provisional Licence)	6
LEU Shuk Ling Ann	BCY941	Licensed Representative (Provisional Licence)	1
LEUNG Chun Sing	ALN127	Licensed Representative (Provisional Licence)	1, 2, 4
LEUNG Kin Shing, Jason	AOC862	Licensed Representative (Provisional Licence)	1, 4, 9
LEUNG Kit Ying	AYY292	Licensed Representative (Provisional Licence)	1, 4
LEUNG Wai	BLR821	Licensed Representative (Provisional Licence)	1, 4, 6



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
LI Chi Wai	BLV223	Licensed Representative (Provisional Licence)	1
LI Lynn Lin	BLW078	Licensed Representative (Provisional Licence)	1, 4
LI Ming	BLR011	Licensed Representative (Provisional Licence)	1, 4, 9
LI Xi	BLP170	Licensed Representative (Provisional Licence)	1
LI Xiangyu	BLR906	Licensed Representative (Provisional Licence)	1
LI Xiaoxi	BLS716	Licensed Representative (Provisional Licence)	1, 6
LI Yi	BLX206	Licensed Representative (Provisional Licence)	1, 4, 9
LIAN Pengfei	BLM867	Licensed Representative (Provisional Licence)	1
LIAO Ning	BLP516	Licensed Representative (Provisional Licence)	1, 2
LIN Jianhao	BLO859	Licensed Representative (Provisional Licence)	4
LIN Wen-Chun	BLF855	Licensed Representative (Provisional Licence)	10
LIN Xiaoruo Nick	BLU067	Licensed Representative (Provisional Licence)	1, 6
LIU Jia	BLT540	Licensed Representative (Provisional Licence)	1, 4, 6
LIU Ka Chun	AZD255	Licensed Representative (Provisional Licence)	6
LIU Nan	BLP378	Licensed Representative (Provisional Licence)	1, 4
LIU Pu Chun	BLN934	Licensed Representative (Provisional Licence)	2, 5
LIU Xiaoying	BLR337	Licensed Representative (Provisional Licence)	1, 4, 9
LIU Xiuyuan	BLR406	Licensed Representative (Provisional Licence)	1, 4, 6, 9
LO Chi Yin, Patrick	BLS910	Licensed Representative (Provisional Licence)	1, 4, 6
LO Long Pak Rambo	AWA647	Licensed Representative (Provisional Licence)	1, 4
LU Edmund Lingjian	AGL936	Licensed Representative (Provisional Licence)	9
LU Jing	BLR530	Licensed Representative (Provisional Licence)	1, 4, 9
LU YE	BLN748	Licensed Representative (Provisional Licence)	2
LUO Aimei	BLT164	Licensed Representative (Provisional Licence)	6
LYU Ke	BLX084	Licensed Representative (Provisional Licence)	1
MA Yan Ying	AZD329	Licensed Representative (Provisional Licence)	4, 9
MAK Angela Wing Fung	AXK731	Licensed Representative (Provisional Licence)	1, 9
MEGLIO Adrien Sebastien	BLT538	Licensed Representative (Provisional Licence)	9
NAGATA Shunosuke	AQP759	Licensed Representative (Provisional Licence)	1, 4, 6
NEOH Soon Chong	BKQ724	Licensed Representative (Provisional Licence)	9
NG Chung Kwong	AOD605	Licensed Representative (Provisional Licence)	1
NG Hung Tai	BLS821	Licensed Representative (Provisional Licence)	1, 2
NG Wing Yin	BLT285	Licensed Representative (Provisional Licence)	1, 4
NGAN Chi Chun Alfee	BLV188	Licensed Representative (Provisional Licence)	1
NIE Zhuofei	BLR344	Licensed Representative (Provisional Licence)	6
NING Pui Yu	BLR304	Licensed Representative (Provisional Licence)	1, 2
NIU Anan	BLR397	Licensed Representative (Provisional Licence)	1
PAN Wen-hsiu	BKP394	Licensed Representative (Provisional Licence)	1, 4, 9
PARK IL-WOO	BLP811	Licensed Representative (Provisional Licence)	1, 4, 6
PENG Xue	BLP964	Licensed Representative (Provisional Licence)	4
PO Lok Yee	BLT520	Licensed Representative (Provisional Licence)	6
POON Wing Yan	BLV754	Licensed Representative (Provisional Licence)	1, 2



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
POON Yik Ki	BFN324	Licensed Representative (Provisional Licence)	1
RAMACHANDRA Deepak	BLD533	Licensed Representative (Provisional Licence)	1, 2
REED Michael Anthony	BLS711	Licensed Representative (Provisional Licence)	4
REGISTRAR Tarit	BLT536	Licensed Representative (Provisional Licence)	1
RICHARDS Stephanie Ryan	BLT160	Licensed Representative (Provisional Licence)	1, 4
ROGERSON Thomas Neil	BLN469	Licensed Representative (Provisional Licence)	4, 9
RUSTAGI Amit	BLD719	Licensed Representative (Provisional Licence)	4
SHAH Chirag Chandrakant	AYT913	Licensed Representative (Provisional Licence)	4
SHAM Pui Sze	BLP484	Licensed Representative (Provisional Licence)	1, 6
SHANG Zihan	BLW773	Licensed Representative (Provisional Licence)	1, 2
SHANGGUAN Peng	BLU747	Licensed Representative (Provisional Licence)	1, 4, 9
SHEN Chun Yu	BAH994	Licensed Representative (Provisional Licence)	1, 4, 9
SHIRAKAWA Yu	BLU653	Licensed Representative (Provisional Licence)	1, 4
SIU Lin	BLT508	Licensed Representative (Provisional Licence)	1, 2
SOHN Jung Min	BLN910	Licensed Representative (Provisional Licence)	10
SU Lixuan	BLQ923	Licensed Representative (Provisional Licence)	1, 6
SU Ying	BLU654	Licensed Representative (Provisional Licence)	1, 2
SUDIMAN Johan	BAQ674	Licensed Representative (Provisional Licence)	1
SUEN Wing Sze	BLU951	Licensed Representative (Provisional Licence)	10
SUNG Ka Hong	BLV391	Licensed Representative (Provisional Licence)	1
SURENKOK Inci Irmak	APD602	Licensed Representative (Provisional Licence)	1, 4
TAI Kwan Tat	BBL046	Licensed Representative (Provisional Licence)	1, 4, 9
TAI Sing Lan	BLT706	Licensed Representative (Provisional Licence)	1, 2
TAKAHASHI Tomohiro	BFH181	Licensed Representative (Provisional Licence)	1
TAN Adin Yan Wei	BLS765	Licensed Representative (Provisional Licence)	1
TAN Yifan	BLT958	Licensed Representative (Provisional Licence)	9
TAN Zhi Wei	BLL122	Licensed Representative (Provisional Licence)	1, 4, 6
TANG Mei Kam	BLU324	Licensed Representative (Provisional Licence)	4, 9
TANG Yeung Tim	BLT162	Licensed Representative (Provisional Licence)	1, 4
TAO Jing	BLU714	Licensed Representative (Provisional Licence)	1
TAY WEIXIONG SAMUEL	BLN920	Licensed Representative (Provisional Licence)	1, 4, 9
TENG Yushan	BLU947	Licensed Representative (Provisional Licence)	1
TIAN Hao	BBU456	Licensed Representative (Provisional Licence)	1, 4
TIAN Ke	BLV468	Licensed Representative (Provisional Licence)	6
TO Muk Tak	BLS731	Licensed Representative (Provisional Licence)	1, 4
TONG Tsz Ching	BHT922	Licensed Representative (Provisional Licence)	9
TOO Seng Shen	BLO792	Licensed Representative (Provisional Licence)	1, 4, 6
TORRE Graeme	AJG930	Licensed Representative (Provisional Licence)	4, 9
TSE Ho Ting	BGO659	Licensed Representative (Provisional Licence)	1
TSE Leong Fai	BLW938	Licensed Representative (Provisional Licence)	1, 2
UPADRASTA Sivakumar	BLT551	Licensed Representative (Provisional Licence)	1
WAN Hiu Tung	BLU946	Licensed Representative (Provisional Licence)	6



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
WANG Chung-hao	ATJ196	Licensed Representative (Provisional Licence)	4, 9
WANG FANGZHAO	BLT543	Licensed Representative (Provisional Licence)	4
WANG Feili	AIR147	Licensed Representative (Provisional Licence)	4
WANG Jundi	BLS790	Licensed Representative (Provisional Licence)	1, 2, 4
WANG Qi	BLP469	Licensed Representative (Provisional Licence)	1
WANG Weiqi	BLR647	Licensed Representative (Provisional Licence)	1, 4
WANG Weizhi	BLP820	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Xiaolong	BLV789	Licensed Representative (Provisional Licence)	1, 2
WEN Jie	BLM870	Licensed Representative (Provisional Licence)	1, 9
WENG Fang	BLP777	Licensed Representative (Provisional Licence)	4, 9
WONG Cheuk Hei	BLR669	Licensed Representative (Provisional Licence)	1, 4
WONG Chun Mui	BGI640	Licensed Representative (Provisional Licence)	1, 4
WONG Kai Leung	ANQ639	Licensed Representative (Provisional Licence)	1, 2
WONG Kwok Lai Vincent	BLO118	Licensed Representative (Provisional Licence)	1, 4, 6
WONG Kwok Tung	BLR098	Licensed Representative (Provisional Licence)	1, 2, 4, 9
WONG Mei Wah, Tiffany	ALN165	Licensed Representative (Provisional Licence)	1, 4
WONG Shun King	BLW758	Licensed Representative (Provisional Licence)	1, 2
WONG Sung Yui	BLV226	Licensed Representative (Provisional Licence)	9
WONG Yun Fai	APD419	Licensed Representative (Provisional Licence)	1, 4, 9
WOO Chui Man, Ida	BLR052	Licensed Representative (Provisional Licence)	1, 4
WRIGHT Lindsay Margaret	BLR874	Licensed Representative (Provisional Licence)	1, 4
WU Chun Tat	BLU043	Licensed Representative (Provisional Licence)	4, 9
WU Zhuolun	BLS794	Licensed Representative (Provisional Licence)	1, 6
XIAO Zongheng	BLS682	Licensed Representative (Provisional Licence)	4
XIE Yun	BLR526	Licensed Representative (Provisional Licence)	1, 4
XU Jia Nan	BLV212	Licensed Representative (Provisional Licence)	1, 6
XU Shaoyu	BLU432	Licensed Representative (Provisional Licence)	9
XU Zhiran	BLR037	Licensed Representative (Provisional Licence)	1, 9
YANG Chunbai	BLV935	Licensed Representative (Provisional Licence)	9
YANG Chung Ying	BLR665	Licensed Representative (Provisional Licence)	1, 4, 9
YANG Jiakun	BLW963	Licensed Representative (Provisional Licence)	1, 6
YANG Lixing	BLR529	Licensed Representative (Provisional Licence)	1, 4, 9
YANG Zeqi	BLR889	Licensed Representative (Provisional Licence)	1, 4, 9
YAO Simone Tong	BEF804	Licensed Representative (Provisional Licence)	1
YAU Chiu Tong	AAH690	Licensed Representative (Provisional Licence)	1
YEUNG Hoi To	BLT492	Licensed Representative (Provisional Licence)	1, 2
YOUK Su Jeong	BLV881	Licensed Representative (Provisional Licence)	1
YU Chin Wai Bonny	BLT876	Licensed Representative (Provisional Licence)	1, 4
YU Ngai Lung Isaac	BLJ229	Licensed Representative (Provisional Licence)	1, 4
YU Yan Pui Charis	BLX443	Licensed Representative (Provisional Licence)	1
YU Yang	BLO801	Licensed Representative (Provisional Licence)	2
YUAN Yingjie	BLV897	Licensed Representative (Provisional Licence)	1, 6



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
YUEN Ka Yan	BLR308	Licensed Representative (Provisional Licence)	1
YUNG Hoi Yi Julia	BLU468	Licensed Representative (Provisional Licence)	6
YUNG Ka Tung	BLT314	Licensed Representative (Provisional Licence)	9
ZHANG Jiaqi	BLT202	Licensed Representative (Provisional Licence)	1, 4, 9
ZHANG Sijia	BLU767	Licensed Representative (Provisional Licence)	1, 4, 9
ZHANG Xiao Long	BLR519	Licensed Representative (Provisional Licence)	9
ZHANG Yue	BLS909	Licensed Representative (Provisional Licence)	1, 4
ZHANG Zimu	BLV862	Licensed Representative (Provisional Licence)	1, 6
ZHAO Chun	BLR261	Licensed Representative (Provisional Licence)	4
ZHAO Xinyue	BLR353	Licensed Representative (Provisional Licence)	4
ZHONG Li	BLV208	Licensed Representative (Provisional Licence)	4
ZHONG Yuxuan	BLU750	Licensed Representative (Provisional Licence)	1, 4, 6
ZHOU HAOYUN	BLM077	Licensed Representative (Provisional Licence)	1, 6
ZHOU Kunfeng	BLR401	Licensed Representative (Provisional Licence)	1, 4, 9
ZHOU Qianwen	BLT528	Licensed Representative (Provisional Licence)	2, 5
ZHOU Weiwei	BLR542	Licensed Representative (Provisional Licence)	1, 2
ZHU Yin	BLO978	Licensed Representative (Provisional Licence)	1, 4
ZHU Yuhua	BLV919	Licensed Representative (Provisional Licence)	4
BAI HUIZI	BLL070	Licensed Representative (Temporary Licence)	1
BRENNAN Anthony Paul	BDU992	Licensed Representative (Temporary Licence)	4
CHAE Ingwon	BLT651	Licensed Representative (Temporary Licence)	1, 2
CHAN Kah Ling	AFW039	Licensed Representative (Temporary Licence)	10
CHAN Simon Yuk Kwan	BCT212	Licensed Representative (Temporary Licence)	1, 4
CHANG Kwok Wei	BLO453	Licensed Representative (Temporary Licence)	4
CHEN Mengxia	BLM904	Licensed Representative (Temporary Licence)	10
CHIANG John	BLO125	Licensed Representative (Temporary Licence)	1
CHING Yi Edmund	AKU003	Licensed Representative (Temporary Licence)	4
CHUA Minguang Donald	BEF380	Licensed Representative (Temporary Licence)	1, 4
EL-HAKIM Faisal Ali	BLF129	Licensed Representative (Temporary Licence)	1, 4
ENOMOTO Takashi	BAZ545	Licensed Representative (Temporary Licence)	1, 4
ERRINGTON David Justin	BAM261	Licensed Representative (Temporary Licence)	1, 4
FUNG Shing Bun Kendrew	BLL856	Licensed Representative (Temporary Licence)	10
GARUDE Alankar	BIY256	Licensed Representative (Temporary Licence)	4
GASQUERES Eric Yuya Francis	ATI745	Licensed Representative (Temporary Licence)	1, 2
GISBOURNE Ian Mark	ACS236	Licensed Representative (Temporary Licence)	4
GUO Weizhong Daniel	BKV440	Licensed Representative (Temporary Licence)	1, 6
GUTMAN Simeon Ari	BFO614	Licensed Representative (Temporary Licence)	1, 4
HARTING Morgan Clothier	AXT012	Licensed Representative (Temporary Licence)	1
HENWOOD Julian Peter	AVC858	Licensed Representative (Temporary Licence)	1
HIRATA Shingo	AYG042	Licensed Representative (Temporary Licence)	4
HODULIK John Christopher	AUQ991	Licensed Representative (Temporary Licence)	4
HOTTA Kenjin Tom	AZV542	Licensed Representative (Temporary Licence)	1, 4



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2017

CE Name	CE No.	License Type	Types of Regulated Activity
JOSEPH Arvin Sabu	BLP059	Licensed Representative (Temporary Licence)	1
KUBOTA Masashi	BBA891	Licensed Representative (Temporary Licence)	1, 4
KURZ Peter Michael	ACN979	Licensed Representative (Temporary Licence)	4
LALWANI Rajeev	BLO976	Licensed Representative (Temporary Licence)	1, 4
LEE Young Joon	BLP736	Licensed Representative (Temporary Licence)	1
LINMANEECHOTE Jiraporn	BGT722	Licensed Representative (Temporary Licence)	1, 4
MEGAT MARZUKI Megat Fais Bin	BLO452	Licensed Representative (Temporary Licence)	4
MERNER Ken Tokugawa	BLO977	Licensed Representative (Temporary Licence)	1
MEUNIER Francois Auguste Roger	BAM072	Licensed Representative (Temporary Licence)	1, 4
NAGOYA Munetaka	AXB794	Licensed Representative (Temporary Licence)	1
NIHONYANAGI Kei	BBP472	Licensed Representative (Temporary Licence)	1, 4
OSHIMOTO Mini	BLP051	Licensed Representative (Temporary Licence)	1
PERCY Felicity Ann	BBE950	Licensed Representative (Temporary Licence)	1, 4
PILLAY Aveshen	BLP055	Licensed Representative (Temporary Licence)	1, 2
PODRUG Frane	BDH801	Licensed Representative (Temporary Licence)	1, 4
PURI Ruchit	BJG651	Licensed Representative (Temporary Licence)	1, 2
RAO Vinayak	BLO979	Licensed Representative (Temporary Licence)	1, 4
SAITO Mitsuhiro	BEL279	Licensed Representative (Temporary Licence)	1, 4
SENGOKU Makoto	BIR029	Licensed Representative (Temporary Licence)	4
SHANKER Ravi	BFM570	Licensed Representative (Temporary Licence)	1, 4
SHARMA Naveen Prakash	ANW221	Licensed Representative (Temporary Licence)	1, 2
SLETTEVOLD JOHN HENRY	BLO129	Licensed Representative (Temporary Licence)	1
SUZUKI Yasuhito	BLQ544	Licensed Representative (Temporary Licence)	1
TAYLOR Christopher Michael	AEC940	Licensed Representative (Temporary Licence)	1
TECHAWATANAWANA Atthaka	BLK087	Licensed Representative (Temporary Licence)	1, 2
TOH Shaowei	BHB312	Licensed Representative (Temporary Licence)	1, 4
VAN DER VALK Maria Johanna	BLQ930	Licensed Representative (Temporary Licence)	1
WRIGHT Kristina Fleur Marshall	AXV982	Licensed Representative (Temporary Licence)	1
YAU Hoe Kiat, Patrick	AML197	Licensed Representative (Temporary Licence)	4
ZELLER Hubert Leon Maurice	BJL212	Licensed Representative (Temporary Licence)	1, 4
ZHANG Lu	BHI015	Licensed Representative (Temporary Licence)	1