



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2012

CE Name	CE No.	Licence Type	Types of Regulated Activity
Fu Heng Investment Management Limited	AIE116	Licensed Corporation	4, 9
Global CTA Services Investment (HK) Limited	ARP905	Licensed Corporation	4
Mandarin VP (HK) Limited	AGK154	Licensed Corporation	4, 6
Money Concepts (Asia) Limited	AFZ862	Licensed Corporation	4, 9
Peace Town Futures Limited	AAV551	Licensed Corporation	2, 5, 9
Renaissance Capital (Hong Kong) Limited	AVI442	Licensed Corporation	1, 4, 6
Voras Capital Management (HK) Limited	ARG331	Licensed Corporation	4, 9
ABE Takahiro	AXN884	Licensed Representative	1
ANDERSON Jonathan Gentile	AGW280	Licensed Representative	4
ANO Kenjiro	AWE081	Licensed Representative	1, 6
ASARI Takehiko	ASD558	Licensed Representative	1, 2
ASHWORTH Marcus Bryan	APF161	Licensed Representative	1
AU Siu Man	APM130	Licensed Representative	1, 4
AU YEUNG Yiu Chung	ARS301	Licensed Representative	1, 2
BASARAT Haroen Mohamed Ali	ASH864	Licensed Representative	4, 9
BATES David Scott	AZO876	Licensed Representative	2
BISHOP Carter Wilson	AOJ057	Licensed Representative	1
BOUAKHASITH Mathieu	AZK560	Licensed Representative	1, 2
CANNIFFE Brian John	AED762	Licensed Representative	2
CATALIG Anatoly Gatbonton	APY406	Licensed Representative	1, 2
CERBOURG Sebastien	ALC897	Licensed Representative	1, 2, 7
CHALLIS Philip John	AIV508	Licensed Representative	1
CHAN Alastair Jin Lon	AWR632	Licensed Representative	1
CHAN Alex Ching Lim	AXI661	Licensed Representative	4, 6
CHAN Bo Ching	ABS056	Licensed Representative	1
CHAN Chu Shi	AFT109	Licensed Representative	1, 4
CHAN Heung Mui	AQR495	Licensed Representative	4
CHAN Hin Fai	AXV429	Licensed Representative	3
CHAN Ho Kin	ANT708	Licensed Representative	1, 2, 4, 5
CHAN Ka Kee	APC516	Licensed Representative	1, 4
CHAN Ka Kui, Sunny	ARP366	Licensed Representative	1, 4
CHAN Kam Yiu, Victor	AQN415	Licensed Representative	1
CHAN Kwok Chun	ABD020	Licensed Representative	1, 2
CHAN Kwok Keung	ARM283	Licensed Representative	1, 4
CHAN Lai Mei	AEH762	Licensed Representative	1
CHAN Lap Kwok, Alvin	AON714	Licensed Representative	1, 2, 3
CHAN Man Fu	AWS681	Licensed Representative	1
CHAN Mei Ching, Doris	AMN674	Licensed Representative	4
CHAN Sarah Man Yin	AWW422	Licensed Representative	4, 9
CHAN Shuk Wa, Susan	AOY896	Licensed Representative	1, 4
CHAN Siu Kei, Jonathan	AQM759	Licensed Representative	4
CHAN So Yim, Cindy	AVW004	Licensed Representative	1, 4
CHAN Wai Man	AXC428	Licensed Representative	1
CHAN Wai Yee	AFU075	Licensed Representative	1, 4
CHAN Wing Yee	AWF337	Licensed Representative	1, 4
CHAN Woon Cheung	APC868	Licensed Representative	1, 4



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2012

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHAN Yau Chit	AWF238	Licensed Representative	1
CHAN Yuen Ting, Sharon	AUC490	Licensed Representative	1
CHAN Zin Pang, Benny	ANT544	Licensed Representative	1, 4
CHANG Chia-Lin	AHI331	Licensed Representative	1, 6
CHANG Wei-Ling	ARB700	Licensed Representative	4, 5, 9
CHAU Cho Chun	AFP219	Licensed Representative	1, 4, 6, 9
CHAU Kin Tak	AFN443	Licensed Representative	1, 4
CHAUDHRY Rajah	AVW307	Licensed Representative	1, 4, 6
CHEN Chien-Yao	AEO696	Licensed Representative	1, 2
CHEN Guozeng	AWA222	Licensed Representative	4, 9
CHEN Shudan	AYY396	Licensed Representative	4
CHEN Yen Hwa	AMJ218	Licensed Representative	1, 4
CHEN Yihua	AYB210	Licensed Representative	1
CHENG Edwin Siu Lung	AYZ892	Licensed Representative	1, 4, 6
CHENG Fu Leung	AUD241	Licensed Representative	1
CHENG Ming Fuk	ANN549	Licensed Representative	4
CHENG Ming Wai	AZC563	Licensed Representative	1
CHENG Wai Ki	AYZ555	Licensed Representative	1
CHEUNG Ah Lai Peggy	ASD085	Licensed Representative	4, 5, 9
CHEUNG Chau-yip, Kitty	AKS773	Licensed Representative	1
CHEUNG Chuen Ki, Jacqueline	AWX866	Licensed Representative	1, 2
CHEUNG Chun Wai	AYI466	Licensed Representative	1, 2, 3
CHEUNG Hau Tsan, David	AVU719	Licensed Representative	1
CHEUNG Ka Man, Carmen	AMI586	Licensed Representative	1, 2, 4
CHEUNG Ka Yan, Xenia	AYF306	Licensed Representative	3
CHEUNG Ka Ying	AYG459	Licensed Representative	1, 4
CHEUNG Kam Fai, Philip	AFP093	Licensed Representative	1, 4
CHEUNG Kin Ting Alfred	ABX512	Licensed Representative	1
CHEUNG Kwong Yeung	AXK060	Licensed Representative	1
CHEUNG Ling Yee	AGQ315	Licensed Representative	4
CHEUNG May Har, Debbie	AMS294	Licensed Representative	1, 4
CHEUNG Po Yuk	ABV363	Licensed Representative	1
CHEUNG Pui Ying	APC637	Licensed Representative	1, 4
CHEUNG Shun Ka, Toby	AFI382	Licensed Representative	1, 2
CHEUNG Wai Man	AUN089	Licensed Representative	1, 4
CHEUNG Yiu Kei Philip	AFB068	Licensed Representative	1, 2, 4
CHIANG Chung Lap, Antonio	ALZ351	Licensed Representative	1, 2, 4
CHIANG Ho Wang	APH597	Licensed Representative	1
CHIAO Hsuan Shih	AUQ667	Licensed Representative	1, 4, 6
CHIM Yau Man	AEK483	Licensed Representative	1, 4, 9, 9
CHIN Pui Yee	ATX833	Licensed Representative	1, 4
CHING Ka Nam	AOH619	Licensed Representative	1, 4
CHINSKY Kim M	AWB687	Licensed Representative	9
CHIU Hor Keung	AAZ403	Licensed Representative	1
CHNG Stephanie Pei Pei	AMY781	Licensed Representative	1, 2, 7
CHO Jung Hyung	APA430	Licensed Representative	1, 2, 9



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2012

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHO Mao Ho	ACL048	Licensed Representative	1, 3
CHO Soo Ah Ran	AUQ203	Licensed Representative	1, 9
CHOI Ching Tsui	AXM320	Licensed Representative	4
CHOI Jin Yong	AFM576	Licensed Representative	1, 4, 6
CHOI Ming Lung	AVS381	Licensed Representative	1, 2
CHONG Ching Yan, Jenny	AJO550	Licensed Representative	1
CHONG Ian	AOY113	Licensed Representative	1, 4
CHOR Kwok Kwong	AQA631	Licensed Representative	4
CHOW Choi Chun	AGP651	Licensed Representative	4
CHOW Hong Kiu, Albert	AQA757	Licensed Representative	1, 4
CHOW Shuk Ling, Vivien	ANN550	Licensed Representative	4
CHOW Wai Tat, Richard	AJB550	Licensed Representative	1, 5
CHOW Yat Kwai	AAD318	Licensed Representative	1, 2
CHOW Yee Hung	AYI405	Licensed Representative	1
CHOY Pui Cheong	AOY171	Licensed Representative	1, 4
CHU Ching Yen	AFL367	Licensed Representative	1, 4
CHU Michael	AVV245	Licensed Representative	1, 2
CHUI Chi-Sun	AFH192	Licensed Representative	1, 2
CHUI Kwok Piu	AFS586	Licensed Representative	4
CHUNG Soon Hyung	AWJ606	Licensed Representative	1, 4, 9
CHUNG Wing Keung	ASD574	Licensed Representative	1, 4
CHUNG Yuk Ching	AIC358	Licensed Representative	1, 3, 4
CRIPPS David Ernest	ANA189	Licensed Representative	4
DAMBROSIA Christopher	AVR900	Licensed Representative	1
DICKSON John James Mcalpine	AQF612	Licensed Representative	1, 4, 9
DONG Guangyang	AYI812	Licensed Representative	4
DUAN Hong	ADE819	Licensed Representative	1
DWYER Patrick Edward	AWW305	Licensed Representative	1
DY Kar Ying, Janet	AGO465	Licensed Representative	1, 2, 4
EADY Mark Howard	AJO037	Licensed Representative	9
ELLIOTT Michael John	AWE535	Licensed Representative	1
ELLIS James Andrew	ARR323	Licensed Representative	1
FAN Fung Ying	AFP097	Licensed Representative	4
FANG Yuk Ling, Ginny	APB499	Licensed Representative	1, 4
FLAMAIN Celine Anne Nathalie	AWS884	Licensed Representative	4, 9
FOK Ka Keung	AOO801	Licensed Representative	1, 4
FOK Yuen May	AGP555	Licensed Representative	4
FOK Yui Kwong	AWK438	Licensed Representative	1
FONG Kam Leung	AZL236	Licensed Representative	1
FONG Yue Yeng	AYI656	Licensed Representative	1, 4
FRANKEN Patrick David Anthony	ARQ024	Licensed Representative	1, 6
FREEDMAN John Michael	ATE593	Licensed Representative	4
FREITAS Brian Caetano	AON705	Licensed Representative	1, 2, 7
FUH Yuan Juin	AXX160	Licensed Representative	1
FUJIKI Aya	ARN787	Licensed Representative	4
FUNG Sau Wai	AQF078	Licensed Representative	4



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2012

CE Name	CE No.	Licence Type	Types of Regulated Activity
FUNG Wan Yin	AND435	Licensed Representative	1, 2
GAO Xing	AWF280	Licensed Representative	1
GLADSTONE Thomas Xenophon	ADD588	Licensed Representative	1, 4, 7
GOEL Pankaj	ATR011	Licensed Representative	1, 6
GUO Yiling	AVM310	Licensed Representative	4, 9
HA Sae Young	AOY833	Licensed Representative	9
HA Sat Sha, Summer	ALN150	Licensed Representative	1, 2, 4, 9
HE Shenghua	AXG393	Licensed Representative	1
HE Yifei	ATN454	Licensed Representative	1, 4, 6
HEER Jasdeep Singh	AUI675	Licensed Representative	1, 2, 7
HIRAKAWA Shoji	AOR316	Licensed Representative	4
HO Kar Ming	AFU408	Licensed Representative	1, 4, 9
HO Man Chun	AOV733	Licensed Representative	1
HO Mei Ling	ATS213	Licensed Representative	1, 2
HO Ming Ho	ASW942	Licensed Representative	1, 2, 4
HO Ping Yung	AWT113	Licensed Representative	1
HO Shui Ling	AGP647	Licensed Representative	4
HO Sui Fong	AGP650	Licensed Representative	4
HO Tze Chung	ARO376	Licensed Representative	1, 4
HON Shuk Han	APF799	Licensed Representative	1, 4
HONG Colin Chor Lok	ANQ007	Licensed Representative	1, 4
HONG Hong	AVA228	Licensed Representative	1
HONG Kee Wing, Christine	ALO528	Licensed Representative	1, 3, 9
HU Cheng	ALW539	Licensed Representative	9
HU Chih Ching	AVH746	Licensed Representative	1, 2
HU Mingjun	AWF553	Licensed Representative	1
HU Yi Na	AWM092	Licensed Representative	4, 9
HUA Wen	APK749	Licensed Representative	1, 4, 6
HUANG Jen	ALW653	Licensed Representative	1, 2
HUANG Lingzi	AUR855	Licensed Representative	1, 6
HUANG Ying	AVC344	Licensed Representative	4
HUI Hei Ha	AFZ602	Licensed Representative	4
HUI Hing Cheung, Brian	AUX308	Licensed Representative	1
HUI Lai Yu	AFS590	Licensed Representative	4
HUI Sum Yuet Barbara	ARH946	Licensed Representative	1, 2, 4, 5
HUI Sze Wai	ANO901	Licensed Representative	1, 4
HUI Tou Wah, Sam	ACD314	Licensed Representative	1
HUMPHREYS Paul	ATH916	Licensed Representative	1
HUNG Ying Wai	AXP430	Licensed Representative	4
HUO Ling	AQF572	Licensed Representative	1, 2
INGHAM Fredrick Roy	APP053	Licensed Representative	1, 4, 9
IP Hiu Man, Florence	AIZ577	Licensed Representative	1, 2
IP King Chi	AJD586	Licensed Representative	1, 4
IWASA Shinsuke	AMP190	Licensed Representative	4
JIA Peng	AYH727	Licensed Representative	4
JIANG Lidong	AYV915	Licensed Representative	6



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2012

CE Name	CE No.	Licence Type	Types of Regulated Activity
KAM Keung Chung, Johnny	AMH455	Licensed Representative	1, 4
KAM Shing Hei	AUZ859	Licensed Representative	1
KAN Pui Yin	ALU558	Licensed Representative	1, 2
KANG Jong Hak	ARB771	Licensed Representative	1
KANSAL Mohit	ATX900	Licensed Representative	1, 2
KAO Chiao Leung, Stanley	ADD612	Licensed Representative	1
KAPADIA Sunil Vijay	AWB792	Licensed Representative	4
KEUNG Chor Gee, May	AFU561	Licensed Representative	1, 4
KHOO Lay Seng	ARN426	Licensed Representative	1, 6
KIM Hyun Wook	AWE446	Licensed Representative	4
KIM Thomas Sang	AFV517	Licensed Representative	1, 1, 4
KINSLEY James Anthony	AXD892	Licensed Representative	1, 6
KITAYAMA Haruki	AWE082	Licensed Representative	1, 6
KO Yuk Lam	AFD478	Licensed Representative	1
KOLOTIY Dmitry	AUE810	Licensed Representative	1, 2
KONDO Kei	ATX611	Licensed Representative	1, 2, 7
KONG Mo Hon	APH940	Licensed Representative	1, 4
KONG Yee Ching	AFD965	Licensed Representative	1, 4
KOO Ming Yan, Ophelia	AZR084	Licensed Representative	1
KORACH Philipp Patrick	AVZ290	Licensed Representative	4
KOWSKI Stefan	AXV641	Licensed Representative	1, 4
KUO Shou-Min	AUN073	Licensed Representative	4, 9
KWOK Ka Man, Carmen	AUL688	Licensed Representative	1, 2, 3
KWOK Ka Yan Karen	AUX313	Licensed Representative	1
KWOK Kan Bor	ARN617	Licensed Representative	1, 2
KWOK Sze Yiu Gordon	AJG607	Licensed Representative	4, 6
KWOK Tsun Lam	ARJ011	Licensed Representative	1
KWOK Wai Leung, Cosma	ADB655	Licensed Representative	3
KWONG Lai Man	AFU407	Licensed Representative	1, 4, 9, 9
KWONG Wai Yi	APQ859	Licensed Representative	4
KWONG Wan Chi, Wendy	AFV105	Licensed Representative	4
LABIDOIRE Yves	AWC072	Licensed Representative	1, 2, 7
LADEUIX Hernan	ANF060	Licensed Representative	4
LAI Chi Tong	AFS552	Licensed Representative	1, 4
LAI Chuen Lau	AQH096	Licensed Representative	1
LAI Chun Man, Benjamin	APQ852	Licensed Representative	4
LAI Man Kit	ALR711	Licensed Representative	4
LAI Siu Lin	APQ393	Licensed Representative	1
LAI Ting	AVQ604	Licensed Representative	1, 4, 9
LAI Wai Lun, Wilson	AOS130	Licensed Representative	4
LAI Yat Hoi	AHS158	Licensed Representative	2
LAI Yiu Cheung	AYU265	Licensed Representative	1
LAM Chi Lun	ABN461	Licensed Representative	1, 2
LAM Cho San	AFN459	Licensed Representative	4
LAM Chui Wah	AMM393	Licensed Representative	1
LAM Chun Hung, Cassidy	APD463	Licensed Representative	4, 9



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2012

CE Name	CE No.	Licence Type	Types of Regulated Activity
LAM Chun Yin	APJ240	Licensed Representative	1, 4
LAM Hau Tak, Samuel	AOE402	Licensed Representative	1, 4
LAM Ka Fai	AQQ019	Licensed Representative	1, 2
LAM Ka Yu	AXG492	Licensed Representative	1, 2
LAM Man Choi	AXH230	Licensed Representative	1, 2, 4
LAM Man Hon, Victor	AOM507	Licensed Representative	1
LAM Oi Ping	AXL094	Licensed Representative	1, 2
LAM Shui Kwan	AGP596	Licensed Representative	4
LAM Siu Chung	AXL553	Licensed Representative	1
LAM Siu Lung	AXS427	Licensed Representative	1, 2, 3
LAM Yee Kit	AYF885	Licensed Representative	1, 2
LAM Yee Ting	AJB212	Licensed Representative	1
LAM Yim Ngo, Wendy	ALV372	Licensed Representative	1, 4, 9
LARKIN John Michael	AVC808	Licensed Representative	1
LAU Angela	AQB102	Licensed Representative	1, 4
LAU Chin Chiu	ARR337	Licensed Representative	1, 2
LAU Hing Chung, Wilfred	AHX688	Licensed Representative	1, 4, 5
LAU Hoi Wan	AWP886	Licensed Representative	1
LAU Kam Pong	ACA472	Licensed Representative	1, 5, 5, 9
LAU Kwok On, Patrick	AOX192	Licensed Representative	4
LAU Lap Pun	APR413	Licensed Representative	4
LAU Man Shan	AMI014	Licensed Representative	1, 4, 9
LAU Siu Cheong	AUI274	Licensed Representative	1, 2, 4, 5
LAU Siu Fung	AWJ919	Licensed Representative	6
LAU Sui Ping	AGC218	Licensed Representative	1, 4
LAU Tat Fai	AUD253	Licensed Representative	1, 2
LAU Tze Lan, Bianca	AQY040	Licensed Representative	4
LAU Wai Fu	AGP629	Licensed Representative	4
LAU Wai Han, Lilian	ALB597	Licensed Representative	1, 2, 4
LAU Yan Ning	AYJ729	Licensed Representative	1, 4
LAU YEUNG Mei Ling Kay	AXB340	Licensed Representative	1, 4
LAU Yim Hung	ATI139	Licensed Representative	1, 4
LAW Sui Man	AXI808	Licensed Representative	1, 4
LAW Yan Chak	AZA987	Licensed Representative	1
LE SAINT Laurent, Andre, Claude	ATO888	Licensed Representative	1, 4
LEE Chi Shun	ALW330	Licensed Representative	4
LEE Fei Lun	ARQ131	Licensed Representative	1, 4
LEE Jeok	ANH621	Licensed Representative	4
LEE Ka Wing	ACT750	Licensed Representative	3
LEE Kong Faat	AWS550	Licensed Representative	1, 2
LEE Lai Wah	AMK137	Licensed Representative	1, 4
LEE Lin	AGP708	Licensed Representative	4
LEE Man	AFP751	Licensed Representative	1, 4
LEE Ping, Diana	ATN581	Licensed Representative	1
LEE Shek Wing, Paul	ACM457	Licensed Representative	4, 5, 9
LEE Ting Yan	AFQ827	Licensed Representative	4, 9



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2012

CE Name	CE No.	Licence Type	Types of Regulated Activity
LEE Yick Chung	AEE525	Licensed Representative	1
LEE Yu Ling	ALI321	Licensed Representative	1, 4
LEONG Ai Lin	AWF418	Licensed Representative	1, 6
LEONG Eng Kiat	AVO758	Licensed Representative	1, 6
LEUNG Anita	AVI208	Licensed Representative	1, 4, 6
LEUNG Chi Him	AWG843	Licensed Representative	9
LEUNG Ho Kwan, Belle	ANV027	Licensed Representative	1
LEUNG Ka Ki	AQU879	Licensed Representative	1, 2
LEUNG Ka Wai	AVT140	Licensed Representative	1
LEUNG Kwok Wai, Dominic	AXF372	Licensed Representative	1
LEUNG Lai Kay, Amy	AGP808	Licensed Representative	4
LEUNG Pui Yee	AUD079	Licensed Representative	4
LEUNG Trenton Pui Lam	ATV455	Licensed Representative	2
LEUNG Wai Ching, Winston	AON899	Licensed Representative	1, 4
LEUNG Wai Yeung	AQV396	Licensed Representative	1, 4
LEUNG Wing Kai	AQU970	Licensed Representative	1, 2, 7
LEUNG Yee Wah	AMS291	Licensed Representative	1, 4
LEUNG Yin Kiu	AXS414	Licensed Representative	1, 2
LEUNG Yuet Kam	ARS316	Licensed Representative	1, 4
LI Huaiyu	AWU869	Licensed Representative	4, 9
LI Ka On	AFN726	Licensed Representative	4, 6, 9
LI Siu Ling, Maria	AOJ394	Licensed Representative	1, 4
LI Siu Lok	AFV112	Licensed Representative	4, 6, 9
LI Wing Yee	AQX076	Licensed Representative	1, 4, 5, 9
LI Yim Ling, Alice	AUE414	Licensed Representative	4, 5, 9
LI Zhan	AZX297	Licensed Representative	4
LIAN Ling-Yu	AOM499	Licensed Representative	1, 2, 4, 5
LIM Shao Jun	AVK803	Licensed Representative	1, 2, 7
LIM Shiyun, Michelle	AVS903	Licensed Representative	1, 2
LIN I-Wen	AOV710	Licensed Representative	2
LIN Kwan Lok	AOV064	Licensed Representative	4, 9
LIN Shen	ARV902	Licensed Representative	9
LIU Kwok Hong	AOG812	Licensed Representative	1, 4
LIU Leah	ASD686	Licensed Representative	1, 4
LIU Ming Lung	AWS393	Licensed Representative	4
LIU Tung Wah	AFX299	Licensed Representative	1, 4
LIU Xuanxuan	AVK950	Licensed Representative	1, 2
LO Kai Cho	ALY307	Licensed Representative	1, 2
LO Kin Lok, Kora	ABA770	Licensed Representative	1
LO Kin Yip, Deric	AMH057	Licensed Representative	1, 1, 4, 9
LO Kwok Tai	AFF243	Licensed Representative	1
LO Man Chi	AQU959	Licensed Representative	1
LO Tsze Chuen	AFQ858	Licensed Representative	4
LO Wai Kin, Kent	AOA404	Licensed Representative	1, 4, 9
LO Yuen Fong	AOS067	Licensed Representative	4, 5, 9
LOPEZ Lennard Engelbert	AXG145	Licensed Representative	1, 4



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2012

CE Name	CE No.	Licence Type	Types of Regulated Activity
LU Amanda Xiao Qiang	ADM569	Licensed Representative	6
LUEY Jonathan Gaden	AZR478	Licensed Representative	1, 2
LUI Kwok Tak, Nelson	ANM046	Licensed Representative	1, 4
LUI Suk Han	AFN845	Licensed Representative	1, 4
LUI Yu Kan	AQQ394	Licensed Representative	1, 4
LUIJKX Adrianus Antonius	ARS080	Licensed Representative	9
LUONG Jenny	AVJ293	Licensed Representative	1
MA Chi Kin	APL836	Licensed Representative	6
MA Cho Hong	ANF302	Licensed Representative	1, 2, 4, 9
MA Ha Ling Denise	AYI794	Licensed Representative	4, 9
MA Kit Sum Isis	AYD565	Licensed Representative	1, 4, 9
MA So Ping	ACR160	Licensed Representative	4, 9
MA Wing Tsun	AQR842	Licensed Representative	1, 4
MA Yee May	ARP370	Licensed Representative	1, 4
MAAYOUFI Sofiane	AOH908	Licensed Representative	1, 2, 2, 7, 7
MACTIER James Alexander	ARI522	Licensed Representative	1
MAK Po Luen	AQH759	Licensed Representative	1, 4
MAK Ronald, Chung Yin	AXK272	Licensed Representative	1, 2
MAK Wing Hei	APG203	Licensed Representative	4, 9
MAN Ka Ki	ARR412	Licensed Representative	1, 4
MAN Ming Yan	AQI953	Licensed Representative	1, 4
MAN Ying Kit	ANM480	Licensed Representative	1, 4
MAYE Kieron Luke	AXQ393	Licensed Representative	1
MCCAFFERTY Gregory Dominic	AJF240	Licensed Representative	1
MILLER Lachlin Robert	AFM438	Licensed Representative	1
MINTARNO Elvina	BAC181	Licensed Representative	4, 9
MITCHELL Max Anthony	AML638	Licensed Representative	4
MO Chung Han, Priscilla	AGI235	Licensed Representative	1, 4, 9
MOK Joseph	AUG919	Licensed Representative	1, 4, 6
MOK Ka Yin, Raymond	ALT768	Licensed Representative	2, 5
MOLSON Naomi Elizabeth	AQZ894	Licensed Representative	1, 4, 6
MORII Fumihide	AXL520	Licensed Representative	1, 4
MORITA Sergio Takeo	ATL817	Licensed Representative	1, 2
NAHAR Pawan	ATT423	Licensed Representative	1
NG Charlotte Hoi Yin	AUZ348	Licensed Representative	4
NG Chiu Yin	ANM514	Licensed Representative	4
NG Fo Heung	AFW330	Licensed Representative	1, 4
NG Ka Kin	AYI058	Licensed Representative	4, 9
NG Lai Ming	AGO942	Licensed Representative	1, 4
NG Man Leong	AFP382	Licensed Representative	4
NG Mei Yuk	ATB546	Licensed Representative	1
NG Nicolas Yea Man	AYA275	Licensed Representative	1
NG Pik Lin	AFS620	Licensed Representative	1, 4
NG Pui Yu, Patricia	AVP650	Licensed Representative	1
NG Shi Wei, Leonard	AVE805	Licensed Representative	1, 4, 6
NG Shuk Han, Candy	AKI967	Licensed Representative	1



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2012

CE Name	CE No.	Licence Type	Types of Regulated Activity
NG Suk Kuen, Mendy	AOU479	Licensed Representative	4
NG Tat Kit	AQS364	Licensed Representative	1
NG Tin Yau	ANK245	Licensed Representative	4
NG Tsz Ting	AWO389	Licensed Representative	1
NG Tze Hong	AUI453	Licensed Representative	1, 4
NG Wai Man	AXV193	Licensed Representative	1, 4
NG Yue Man, Vivien	AQE679	Licensed Representative	1, 4
NGAI Chak Sum	AXU766	Licensed Representative	1, 2
NGAI Kwok Kan, Thomas	ACP700	Licensed Representative	1
NGAN Man Wa	ACK973	Licensed Representative	3
NGAN Ying Kit, Angus	AOL505	Licensed Representative	1, 2, 7
NICHOLS David	AGJ649	Licensed Representative	1, 4
NICHOLS Michael Christian	AFB033	Licensed Representative	1
NIE Zhuli	AVD139	Licensed Representative	1, 4, 9
NING Feng Tao	AQX333	Licensed Representative	1, 2
NIP Wing Han, Maggie	ADT666	Licensed Representative	1, 4
NISHIMURA Mika	AVL139	Licensed Representative	4
OCHIAI Satoru	ATH836	Licensed Representative	1
PANG Hoi Yan, Winnie	AMN678	Licensed Representative	1, 4
PANG Lai Kam	AGP725	Licensed Representative	4
PANG Sui Ting	ATB914	Licensed Representative	1, 2
PATERSON Rick	ATD260	Licensed Representative	4
PENG Megan Ce	AQX492	Licensed Representative	6
PERLMUTTER Julian Jatayu	ARR097	Licensed Representative	4, 9
POON Chi Cheong	AFV099	Licensed Representative	1, 2, 4, 5
POON Tammy Tim Ming	AYT407	Licensed Representative	1, 4, 6
POON Yuen Kan	AQH773	Licensed Representative	4
POWER III John G.	APX219	Licensed Representative	1
PRITCHARD Simon James	AEY358	Licensed Representative	1, 4
PUNG Chi Kuang	ARQ395	Licensed Representative	1, 4
QUAAS Alexander Paul	ANR273	Licensed Representative	2, 5
RAMAMURTHY Kartik	AXW920	Licensed Representative	1, 6
ROACH Stephen Samuel	APH028	Licensed Representative	1, 4, 6
ROSA David John	AHA551	Licensed Representative	1, 2
SAGAR Akanksha	AUZ507	Licensed Representative	1, 4
SAKAMOTO Yusuke	AUV633	Licensed Representative	1
SALGAOCAR Rohit Anil	AIU565	Licensed Representative	1
SAMTANI Prem Haroomal Ramchand	AED838	Licensed Representative	1, 2, 7
SANGIAMBUT Manop	AJN957	Licensed Representative	4
SANSARICQ Richard Serge	AXQ554	Licensed Representative	1
SCAVERA Vincent	APQ469	Licensed Representative	1, 2
SCHRAM Paulus Augustinus Henricus	ARQ023	Licensed Representative	1, 6
SEK Chung Chuen	AGH386	Licensed Representative	4, 6, 9
SHAO Xiaobo Sara	AVG424	Licensed Representative	1, 4, 6
SHAW Anthony John	AIZ585	Licensed Representative	2, 5, 5, 9
SHE Chiu Yin, Duncan	AAJ218	Licensed Representative	4, 5, 9



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2012

CE Name	CE No.	Licence Type	Types of Regulated Activity
SHEA Man Kwan	AVZ313	Licensed Representative	1
SHEN Nan	ALA470	Licensed Representative	6
SHENG Yiran	AWT319	Licensed Representative	4, 9
SHERWIN Spencer Baily	ARI936	Licensed Representative	1, 4, 6
SHI Shao Hua	AOE809	Licensed Representative	1, 4, 6
SHI Zhengrong	AQR769	Licensed Representative	6
SHIMIZU Sakura	AYH738	Licensed Representative	4
SHU Cheong Ying	AFQ857	Licensed Representative	1, 4
SHUM Ben	AWJ362	Licensed Representative	1, 4, 6
SHUM Wai Sze	AFO652	Licensed Representative	1, 4, 9
SIH May Kie, Maggie	ANB540	Licensed Representative	4
SIK Kin Keung	ACL647	Licensed Representative	3
SIN Monique Wing Sheung	AJB102	Licensed Representative	1, 2, 2, 4, 5, 7
SIN Yuk Mei	AXK804	Licensed Representative	1
SIT Man Kin	AYS829	Licensed Representative	1, 4
SO Chung	AXV979	Licensed Representative	6
SO Kwan	AUJ767	Licensed Representative	1, 2, 3
SO Lai King	AQP727	Licensed Representative	4
SONG Li	AWW362	Licensed Representative	1, 4
SONG Ming Huay	AUW973	Licensed Representative	4
SUN Yat Ming, Ray	ADQ922	Licensed Representative	1, 2, 4, 5, 9
SUN Yu	AWS600	Licensed Representative	6
SUN Yuhong	AXW919	Licensed Representative	1, 6
SUYAMA Takuro	ARU691	Licensed Representative	1, 6
SZE Tak Yiu	AXN186	Licensed Representative	1, 2
TABBUSH Daniel Lawrence	ANK169	Licensed Representative	4
TAI Jennifer	AWS020	Licensed Representative	9
TAKAHASHI Hideo	ARI895	Licensed Representative	1, 4, 6
TAM Hau Sheung	ADT329	Licensed Representative	1, 2, 3, 7
TAM Ping Sum	ADA341	Licensed Representative	2, 5, 5, 7
TAM Tak Sum	AFN480	Licensed Representative	1, 4
TAM Wai Chu	ALA285	Licensed Representative	1
TAM Yuk Hang	AVP027	Licensed Representative	1
TAN Hwee Lin Daphne	AYC864	Licensed Representative	1, 2
TAN Kim Chong	ANT749	Licensed Representative	4
TAN Ruey Haw	AEE582	Licensed Representative	1, 7
TAN Sean Czoon	ANF268	Licensed Representative	1, 4, 6, 9
TANG Chi Wai, Winston	AAC344	Licensed Representative	1, 2
TANG Fung	AXA214	Licensed Representative	6
TANG Jun	AYF755	Licensed Representative	1
TANG Yui Yi	AWY997	Licensed Representative	1, 4
THIAN Yeh	AFY067	Licensed Representative	4
TIAN Xiaowei	ARQ932	Licensed Representative	1, 6
TONG Chen	AYK694	Licensed Representative	1, 2
TSANG Chui Kuen	AFS120	Licensed Representative	4
TSANG Koon Kit	ARK441	Licensed Representative	4



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2012

CE Name	CE No.	Licence Type	Types of Regulated Activity
TSANG Nga Sze, Donna	AYN587	Licensed Representative	1, 4
TSANG Pak Lun	AFS622	Licensed Representative	4
TSE Chak Lam, Calvin	ADF969	Licensed Representative	4, 5, 9
TSE Chi Ho	APB691	Licensed Representative	1, 2
TSE Ling Ling	AVZ963	Licensed Representative	1
TSE Tan	ARN236	Licensed Representative	1, 6, 9
TSE Wing Keung	ACJ320	Licensed Representative	1, 2, 4
TSE Wing Ki	AFP614	Licensed Representative	1, 4
TSE Wing Lam	AVI794	Licensed Representative	1, 4
TSE Wing Sze	AWO578	Licensed Representative	1, 4
TSENG Hsien Hsu	AVX017	Licensed Representative	1, 2, 4
TUEN Wai Ho	AYK051	Licensed Representative	1, 2
UM Maynard J	AXW559	Licensed Representative	4
VAGANOV Vladislav	AVH114	Licensed Representative	1, 2, 7
VENEZUELA Leo Benedict Dualan	AQA671	Licensed Representative	4
VESPERINI Jean-Jacques	AMB585	Licensed Representative	1, 2
VICTORSEN Mitchell James	ARI968	Licensed Representative	1, 4, 6
WAI Kar Ming, Daisy	ATE872	Licensed Representative	1, 2
WALKER Brian Wesley	AVK791	Licensed Representative	1, 4
WAN Ming Wai	AYI416	Licensed Representative	3
WANG Conan	AYQ985	Licensed Representative	6
WANG Gengyu	AWX949	Licensed Representative	1
WANG Michael Tsu Tsong	ARP169	Licensed Representative	1
WANG Sinan	AYR011	Licensed Representative	6
WANG Ting	AOD025	Licensed Representative	9
WANG Xi	ATS191	Licensed Representative	4
WANG Xiaopeng	AVR070	Licensed Representative	1
WANG Ying	AWZ020	Licensed Representative	1
WANG Yinghui	AWI338	Licensed Representative	1, 6
WENG Yinuo	AOU125	Licensed Representative	4
WI Sae Wook	AMT880	Licensed Representative	1, 4
WOLHARDT Allan Keh	AQJ961	Licensed Representative	6
WONG Chor Kwan	AVS145	Licensed Representative	1
WONG Hiu Bun	ARU571	Licensed Representative	1
WONG Ho Yan	AYQ022	Licensed Representative	1, 4
WONG Ho Yin	ANH684	Licensed Representative	9
WONG Hoi Hung	AML753	Licensed Representative	4, 9
WONG Hoi Yan	AFR863	Licensed Representative	1, 4, 9
WONG Kam Lai	ACK989	Licensed Representative	3
WONG Kin Chung	AJD184	Licensed Representative	6
WONG Kit Yan, Cecilia	ANN330	Licensed Representative	4, 9
WONG Lok Yat	ALQ123	Licensed Representative	1
WONG Man Yin, Jacy	ALW655	Licensed Representative	1, 2, 4
WONG Sau Yan, Corrin	AFS579	Licensed Representative	4, 6, 9
WONG Sheung Yeung	AFH200	Licensed Representative	1, 2
WONG Tak Ming, Billy	ALQ198	Licensed Representative	1, 4, 9



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2012

CE Name	CE No.	Licence Type	Types of Regulated Activity
WONG Tak Wing, Terence	AMW478	Licensed Representative	1, 4
WONG Wai Chu	AGO597	Licensed Representative	4
WONG Wai O	AON894	Licensed Representative	1, 4
WONG Wing Kei, Vicky	ARB532	Licensed Representative	1, 2
WONG Yin Ting, Adda	ARW066	Licensed Representative	1, 4
WONG Yu Yin	AGI949	Licensed Representative	1
WONG Yuen Lam	ANM504	Licensed Representative	1, 4
WONG Yuk Ha	AIL475	Licensed Representative	1, 4, 9
WOO Ka Ling, Tracy	AKP398	Licensed Representative	1, 1, 2, 4
WOO May Kay, Angela	AUC926	Licensed Representative	1, 4
WOO Sui Lan	AVQ971	Licensed Representative	1, 4
WOO Yee Ting, Patricia	ARH501	Licensed Representative	1, 4, 6
WU Ho Kit, Jerry	AXC317	Licensed Representative	1
WU HSU Shih-Ying	ASD809	Licensed Representative	1, 4
WU Jiang	ANV086	Licensed Representative	1, 6
WU Kwok Man	AXF078	Licensed Representative	1
WU Vicky	ATO955	Licensed Representative	1, 2
WU Zhenyu	AVH552	Licensed Representative	1
XU Shaohong, Alex	ARG166	Licensed Representative	1, 6
XU Xu	AOF929	Licensed Representative	1, 4, 6
XU Ying	ANK771	Licensed Representative	1, 4, 6
YADAV Gaurav	ARQ320	Licensed Representative	1, 4
YAM Chi Fai	AXU769	Licensed Representative	1, 2
YAM Chun Sang	APW022	Licensed Representative	1, 4
YAMAGUCHI Marie	AUS179	Licensed Representative	9
YANG Lai Ha Monisa	AYN517	Licensed Representative	1, 4, 9
YANG Liana Hiu Lai	ALD662	Licensed Representative	1, 6
YANG Lisha	AXF703	Licensed Representative	1, 4, 6
YANG Shenshen	ATV287	Licensed Representative	1, 6
YANG Weizi	AOV058	Licensed Representative	1, 4, 6
YASUDA Tairyu	AXL490	Licensed Representative	1, 4
YAU Ping Yen, Catherine	AFS877	Licensed Representative	1, 4, 6, 9
YEN Chanan	ARM750	Licensed Representative	1, 4, 6
YEN Jason James	AFS525	Licensed Representative	1, 2, 4
YEUNG Chi Kit	AYV421	Licensed Representative	1
YEUNG Ka Wing	AZD322	Licensed Representative	1, 2
YEUNG Sin Yee	AXT107	Licensed Representative	10
YEUNG Suet Yi	AVQ972	Licensed Representative	1, 4
YEUNG Sze Sze	AXW487	Licensed Representative	1
YEUNG Tsz Wai	AVI258	Licensed Representative	1, 2
YEUNG Wai Ming	AFP257	Licensed Representative	4
YI Ju	AUA758	Licensed Representative	2
YIM Man Shing	AXT429	Licensed Representative	1
YIN Qi	AXA387	Licensed Representative	1
YIN Qing	ANN520	Licensed Representative	1, 2
YING Wing Shui, Winnie	AFT363	Licensed Representative	1, 4, 5, 9



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2012

CE Name	CE No.	Licence Type	Types of Regulated Activity
YIP Hin Chiu	AVY582	Licensed Representative	1, 6
YIU Ming Hoi, Rudy	AEU976	Licensed Representative	1
YOO Ji Eun	ALF651	Licensed Representative	1, 2
YOUNG Ho Yip Alan	AGN028	Licensed Representative	1, 1, 4, 9
Yu Lee Hong, Gerald	AMR476	Licensed Representative	4
YUAN Ding	AUQ989	Licensed Representative	4
YUAN Fang	AXG315	Licensed Representative	1, 7
YUAN Feng	APW198	Licensed Representative	4
YUEN Chi Kuen	AMF837	Licensed Representative	1, 4
YUEN Mun Chi	APH420	Licensed Representative	1, 4
YUEN Tat Ming	APB769	Licensed Representative	1, 4
ZHANG Wei	AWX952	Licensed Representative	1
ZHANG Wei	AYS995	Licensed Representative	1, 4, 6
ZHANG Ying	APL615	Licensed Representative	1, 4, 9
ZHOU Tu	AZR295	Licensed Representative	1
ZHU Chunxiao	ALY593	Licensed Representative	6
ZHU Su	AXC644	Licensed Representative	1, 2
ZOU Xuerong	ALQ978	Licensed Representative	1
BARNES Graham Edward	AAG447	Licensed Representative - Responsible Officer	1, 4, 9
BROUGH Paul Jeremy	AGD537	Licensed Representative - Responsible Officer	6
CANNIFFE Brian John	AED762	Licensed Representative - Responsible Officer	1
CHAN Pui Kwong	AAA421	Licensed Representative - Responsible Officer	1
CHEN Baodong	AYJ954	Licensed Representative - Responsible Officer	9
CHEN Chung Nin, Rock	AIE121	Licensed Representative - Responsible Officer	4, 9
CHOA Michael Allen	AAE746	Licensed Representative - Responsible Officer	1, 4, 9
CHOW Wai Tat, Richard	AJB550	Licensed Representative - Responsible Officer	4, 9
CLARK James Andrew	AQD643	Licensed Representative - Responsible Officer	9
DWYER Patrick Edward	AWW305	Licensed Representative - Responsible Officer	9
ELPHICK Henry Richard Francis	ATS624	Licensed Representative - Responsible Officer	6
GOO Wai Por, Raymond	ABE751	Licensed Representative - Responsible Officer	4
HSIAO Yung-li	ANB848	Licensed Representative - Responsible Officer	1, 4
HU Cheng	ALW539	Licensed Representative - Responsible Officer	6
HUI Hang Yin, Agnes	AMK011	Licensed Representative - Responsible Officer	4
JIWAN Adam Navroz	ARR739	Licensed Representative - Responsible Officer	9
KOOIJMAN Mark Joseph	ARP350	Licensed Representative - Responsible Officer	4
KURODA Toru	ACH426	Licensed Representative - Responsible Officer	4
LAI Suet Ching, Carol	ACE727	Licensed Representative - Responsible Officer	1, 4, 9
LAM On Ming	AMK436	Licensed Representative - Responsible Officer	1
LAU Kam Pong	ACA472	Licensed Representative - Responsible Officer	2
LAU Shung Oi, Susanna	ABR543	Licensed Representative - Responsible Officer	9
LEE Ho Yin	AFP786	Licensed Representative - Responsible Officer	4, 9
LEELASENA Rasitha Subodha	ARR166	Licensed Representative - Responsible Officer	2, 5
LEUNG Lewis Kai Pong	ADC080	Licensed Representative - Responsible Officer	1, 4, 9
LIAN Ling-Yu	AOM499	Licensed Representative - Responsible Officer	9
LIN Charson	AKW613	Licensed Representative - Responsible Officer	9
LIN I-Wen	AOV710	Licensed Representative - Responsible Officer	1, 4



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2012

CE Name	CE No.	Licence Type	Types of Regulated Activity
LIU Suk Wai, Stephanie	AFO817	Licensed Representative - Responsible Officer	1
LOUIE Kwun Yin, David	AUV181	Licensed Representative - Responsible Officer	1, 4, 9
MILLER Lachlin Robert	AFM438	Licensed Representative - Responsible Officer	2
MOK Ka Yin, Raymond	ALT768	Licensed Representative - Responsible Officer	3
NIE Jeff	AOI863	Licensed Representative - Responsible Officer	4, 9
PANG Jimmy Kar-yin	ANV218	Licensed Representative - Responsible Officer	4, 9
PRICE Edmund Hugh Owain	ADS121	Licensed Representative - Responsible Officer	1, 6
SAU Lei Ling	AFL549	Licensed Representative - Responsible Officer	1, 4, 6, 9
SHIRONO Taro	AAA588	Licensed Representative - Responsible Officer	1
SUNG He Hau, Douglas	AEC364	Licensed Representative - Responsible Officer	1, 4
SUZUKI Hideyuki	ABA547	Licensed Representative - Responsible Officer	4
TAM Ka Wing	AYF261	Licensed Representative - Responsible Officer	3
TAN Chia Tien	AHG708	Licensed Representative - Responsible Officer	1, 4, 9
TAN Siew Yueh	AEO791	Licensed Representative - Responsible Officer	4
TAY Keng Puang	AFL864	Licensed Representative - Responsible Officer	1, 4
TEO Chon Kiat	ANV014	Licensed Representative - Responsible Officer	4, 9
UEBELEIN Kevin Charles	AEU201	Licensed Representative - Responsible Officer	1, 4, 5, 9
URATA Yoshio	AUT774	Licensed Representative - Responsible Officer	1, 2, 4
WANG Qi	ACM083	Licensed Representative - Responsible Officer	4
WAT Kai Cheong, Dennis	AAI573	Licensed Representative - Responsible Officer	4
WATKINS Natalia Helena	ASK748	Licensed Representative - Responsible Officer	2, 5
WEBBER Nigel John	ACQ023	Licensed Representative - Responsible Officer	4
WONG Chun Leung, Jonas	ABT782	Licensed Representative - Responsible Officer	1, 4, 6, 9
WONG Yuen Ming	ACR464	Licensed Representative - Responsible Officer	4, 6, 9
WOOD Glen Stephen	AWZ007	Licensed Representative - Responsible Officer	4
YANO Takashi	ARM818	Licensed Representative - Responsible Officer	4, 9
YING Nian Kang	AEA418	Licensed Representative - Responsible Officer	1, 4, 6
YUNG Lai Yee	AIR507	Licensed Representative - Responsible Officer	4, 6, 9
AHMED Abu Samad Shoaibuddin	BAC399	Licensed Representative (Provisional Licence)	1, 6
AHN Chan	APG359	Licensed Representative (Provisional Licence)	5
ANG Chi Yuen	BAA653	Licensed Representative (Provisional Licence)	1, 6
ANG Eric Teck Chin	AZS632	Licensed Representative (Provisional Licence)	1, 4, 6
BALME Clement Philippe Jean	AXB347	Licensed Representative (Provisional Licence)	1, 2
BAO Shanjun	AZT773	Licensed Representative (Provisional Licence)	4
BERGER Anthony	AOJ226	Licensed Representative (Provisional Licence)	9
BERNARD Daniel Adam	BAA895	Licensed Representative (Provisional Licence)	1, 6
BESNIER Cedric Michel	ATH873	Licensed Representative (Provisional Licence)	1
BIRLA Nitin	ACD537	Licensed Representative (Provisional Licence)	1, 2, 4
BOUCHET Arnaud Yves Eric	BAD645	Licensed Representative (Provisional Licence)	4
BURROWS Daniel John	BAB234	Licensed Representative (Provisional Licence)	1, 6
CAI Siqi	BAC045	Licensed Representative (Provisional Licence)	2
CAO Chunan	BAC321	Licensed Representative (Provisional Licence)	1, 2, 7
CHAM Suet Lin	BAB649	Licensed Representative (Provisional Licence)	1
CHAN Chester Chi-to	AYB952	Licensed Representative (Provisional Licence)	1
CHAN Chi Kan	BAA072	Licensed Representative (Provisional Licence)	1
CHAN Chi Kin, Allen	BAA519	Licensed Representative (Provisional Licence)	4



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2012

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHAN Ho Lai, Herrick	BAA514	Licensed Representative (Provisional Licence)	9
CHAN Ho Yuen, Rayson	AJG493	Licensed Representative (Provisional Licence)	1
CHAN Ka Hung	AOA854	Licensed Representative (Provisional Licence)	6
CHAN Kai Yin	BAC398	Licensed Representative (Provisional Licence)	1, 4
CHAN Kan Pan	BAB545	Licensed Representative (Provisional Licence)	1, 4, 6
CHAN Kok Kwan	AMT921	Licensed Representative (Provisional Licence)	1, 2
CHAN Pak Kwong	BAC231	Licensed Representative (Provisional Licence)	1
CHAN Wing Hei	BAB043	Licensed Representative (Provisional Licence)	1, 4, 9
CHAN Yen Ping	AZD626	Licensed Representative (Provisional Licence)	1, 4, 6
CHAN Yuen Man, Parina	BAB913	Licensed Representative (Provisional Licence)	1, 4
CHAU Chi Hei	BAB071	Licensed Representative (Provisional Licence)	1
CHAU Chung Hon	BAB549	Licensed Representative (Provisional Licence)	1
CHEN Chuan Fei	BAB986	Licensed Representative (Provisional Licence)	1, 4
CHEN Chun Liang	BAB180	Licensed Representative (Provisional Licence)	9
CHEN Jiangying	BAA255	Licensed Representative (Provisional Licence)	4, 9
CHEN Jie	BAB278	Licensed Representative (Provisional Licence)	4, 9
CHEN Lan	BAC304	Licensed Representative (Provisional Licence)	1, 6
CHEN Michelle Lynn	BAA886	Licensed Representative (Provisional Licence)	1, 6
CHEN Sze Long	BAA853	Licensed Representative (Provisional Licence)	1, 6
CHEN Tianshi	BAB067	Licensed Representative (Provisional Licence)	1, 6
CHEN Yini	BAB811	Licensed Representative (Provisional Licence)	1, 6
CHEN Yixin	BAB577	Licensed Representative (Provisional Licence)	6
CHEN Yue	BAA910	Licensed Representative (Provisional Licence)	1, 6
CHEN Yulan	BAB337	Licensed Representative (Provisional Licence)	1, 6
CHENG Charles Chun Yin	BAA428	Licensed Representative (Provisional Licence)	4
CHENG Tze Bun	AZC905	Licensed Representative (Provisional Licence)	2
CHENG Yau Ming, Kevin	ADV452	Licensed Representative (Provisional Licence)	1, 2
CHEUNG Cheung, Peter	BAA225	Licensed Representative (Provisional Licence)	1
CHEUNG Chung Yee	AZV369	Licensed Representative (Provisional Licence)	1, 2
CHEUNG Lik Tung Carrie	BAD678	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 7
CHEUNG See	BAB540	Licensed Representative (Provisional Licence)	1
CHEW Kim Jin	BAC201	Licensed Representative (Provisional Licence)	9
CHINNICK Michael Philip	AME172	Licensed Representative (Provisional Licence)	9
CHO Cheul Soo	APF008	Licensed Representative (Provisional Licence)	10
CHOI Daewoo	BAA196	Licensed Representative (Provisional Licence)	1, 6
CHOI Yon Sik, Yvon	AGY566	Licensed Representative (Provisional Licence)	1
CHU Bryan Ming Ho	BAB856	Licensed Representative (Provisional Licence)	1
CHU Seok Don	ARO246	Licensed Representative (Provisional Licence)	1
CHUA Seok Koon Susan	AZY778	Licensed Representative (Provisional Licence)	1, 4, 9
CHUARD Benjamin	BAC483	Licensed Representative (Provisional Licence)	1, 2
CINTAVEY Christopher Albert	ASZ371	Licensed Representative (Provisional Licence)	4
CURTIS Matthew Simon	BAC077	Licensed Representative (Provisional Licence)	1, 4
DAI Christine Wen-yi	BAA795	Licensed Representative (Provisional Licence)	1
DENG Tony Jay	BAD326	Licensed Representative (Provisional Licence)	1
DHROLIA Samir	BAB637	Licensed Representative (Provisional Licence)	1
DIKSHIT Siddharth	BAB703	Licensed Representative (Provisional Licence)	1



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2012

CE Name	CE No.	Licence Type	Types of Regulated Activity
DILLON Jane Clarkson	BAC214	Licensed Representative (Provisional Licence)	4
DING Chao	AXY571	Licensed Representative (Provisional Licence)	1, 4, 6
DING Kai	BAC286	Licensed Representative (Provisional Licence)	1, 6
DONG Ningning	BAA195	Licensed Representative (Provisional Licence)	1, 6
DORSETT William Robertson	BAD879	Licensed Representative (Provisional Licence)	1, 2, 7
DU Yizhong	APD351	Licensed Representative (Provisional Licence)	4, 9
ELLIS-FERRARA Christopher Raymond	BAC097	Licensed Representative (Provisional Licence)	4, 9
EVANGELISTA Jacqui Anne Kelly Lucas	BAB538	Licensed Representative (Provisional Licence)	4
FAN Wenchao	AUQ956	Licensed Representative (Provisional Licence)	5
FENG Jerry Dongdong	BAD301	Licensed Representative (Provisional Licence)	9
FENG Siwei	AZZ395	Licensed Representative (Provisional Licence)	1, 4
FOK Wing Sze	BAA275	Licensed Representative (Provisional Licence)	1, 4
FONG Cheuk Lun	BAB578	Licensed Representative (Provisional Licence)	1, 2, 4
FONG Emi Dochi	ARO581	Licensed Representative (Provisional Licence)	1, 9
FREI Michael	BAC096	Licensed Representative (Provisional Licence)	4, 9
FUJITA Daisuke	BAA890	Licensed Representative (Provisional Licence)	1, 7
FUNG Chi Kei, Jackie	AWH854	Licensed Representative (Provisional Licence)	1, 4, 9
FUNG Wing Ki	AEF148	Licensed Representative (Provisional Licence)	1, 4, 9
GAO Liyun	BAB050	Licensed Representative (Provisional Licence)	4
GELARA Vikas	BAC056	Licensed Representative (Provisional Licence)	5
GLYNN Henry Michael	BAC433	Licensed Representative (Provisional Licence)	1, 4
GUPTA Ashish Kumar	BAB983	Licensed Representative (Provisional Licence)	9
HALIM Steven	APZ209	Licensed Representative (Provisional Licence)	1, 4, 9
HAMMEDI Anass	BAD604	Licensed Representative (Provisional Licence)	1
HAN Lidong	BAB680	Licensed Representative (Provisional Licence)	1, 6
HAN Young Hwan	BAA058	Licensed Representative (Provisional Licence)	1
HAN Zhaohui	BAB108	Licensed Representative (Provisional Licence)	1, 2, 4, 5
HARRIS Matthew Scott	BAA849	Licensed Representative (Provisional Licence)	1, 2, 7
HART Matthew Edward	AUI290	Licensed Representative (Provisional Licence)	1, 4
HE Hao	APG277	Licensed Representative (Provisional Licence)	6
HE Jiajin	BAB123	Licensed Representative (Provisional Licence)	1, 6
HE Rusi	AVH508	Licensed Representative (Provisional Licence)	1, 4
HE Xinyi	BAC179	Licensed Representative (Provisional Licence)	6
HE Yuan	AZQ522	Licensed Representative (Provisional Licence)	1, 4, 6
HEROY John Giles	BAB321	Licensed Representative (Provisional Licence)	1, 6
HEUNG Man Yeung	BAD778	Licensed Representative (Provisional Licence)	1
HIRST David A	AUY976	Licensed Representative (Provisional Licence)	1, 2
HITCHCOCK Francois Brian	AZW057	Licensed Representative (Provisional Licence)	1, 2
HO Suk Kwan, Emma	AVN227	Licensed Representative (Provisional Licence)	9
HONG Yizhou	BAB063	Licensed Representative (Provisional Licence)	1, 6
HOSONO Genji	BAB819	Licensed Representative (Provisional Licence)	1, 4
HSIEH Toby Tunghai	AZY904	Licensed Representative (Provisional Licence)	1, 2
HSU Shih-Te	AXK964	Licensed Representative (Provisional Licence)	4
HU Jia	BAA279	Licensed Representative (Provisional Licence)	6
HU Jin	BAB456	Licensed Representative (Provisional Licence)	1
HU Kelvin V	AZZ279	Licensed Representative (Provisional Licence)	1, 2



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2012

CE Name	CE No.	Licence Type	Types of Regulated Activity
HU Saisai	BAB450	Licensed Representative (Provisional Licence)	1, 6
HUANG Liang	AZX324	Licensed Representative (Provisional Licence)	4, 9
HUANG Lingqing	BAB340	Licensed Representative (Provisional Licence)	1, 6
HUANG Pei Shan	BAB982	Licensed Representative (Provisional Licence)	1, 4
HUANG Rui	AZV289	Licensed Representative (Provisional Licence)	1, 4, 6
HUI Anton	BAC381	Licensed Representative (Provisional Licence)	1
HUI Chun Tak	AFY175	Licensed Representative (Provisional Licence)	1, 2
IEONG Ut Hong	APC632	Licensed Representative (Provisional Licence)	1
IM Jinseon	BAC092	Licensed Representative (Provisional Licence)	4, 9
ITO Go	BAC016	Licensed Representative (Provisional Licence)	1, 2
IU Pok Man	AOO158	Licensed Representative (Provisional Licence)	1, 2
JIANG Hanchi	BAB284	Licensed Representative (Provisional Licence)	2
JIANG Yongzhi	AUR305	Licensed Representative (Provisional Licence)	1, 6
JIANG Yu	BAA413	Licensed Representative (Provisional Licence)	1
JIN Kejia	BAA893	Licensed Representative (Provisional Licence)	1
JIN Yong J	AOS483	Licensed Representative (Provisional Licence)	1, 2
JU Weiwen	BAA851	Licensed Representative (Provisional Licence)	1, 6
JUMA Farouk Nanji	AYZ420	Licensed Representative (Provisional Licence)	9
KAM Bryan Alexander Tsun Hon	BAA982	Licensed Representative (Provisional Licence)	1, 6
KAM Bryce Alexander Tsun Man	BAB003	Licensed Representative (Provisional Licence)	1, 6
KAN Yu San	BAB672	Licensed Representative (Provisional Licence)	1, 2
KATO Hiroaki	BAC037	Licensed Representative (Provisional Licence)	1, 4
KATSURA Ryosuke	BAB713	Licensed Representative (Provisional Licence)	4
KHANNA Sahil Michael	BAB081	Licensed Representative (Provisional Licence)	1, 2, 4, 9
KHANSAHEB Aditya Shyam	BAD420	Licensed Representative (Provisional Licence)	1, 4
KHOR Chee Yong Eric	BAB167	Licensed Representative (Provisional Licence)	1, 6
KIM Jiyeon	BAD419	Licensed Representative (Provisional Licence)	9
KIM Sam Yong Sang	BAB171	Licensed Representative (Provisional Licence)	1, 4, 9
KIM Shin Young	AZY945	Licensed Representative (Provisional Licence)	6
KLEPATCH Julien Alexandre Georges	BAB699	Licensed Representative (Provisional Licence)	2
KO Tsz Ngong	AWH115	Licensed Representative (Provisional Licence)	1, 4
KONG Yan Ying, Cheryl	BAC017	Licensed Representative (Provisional Licence)	1, 6
KWAN Michael Cheuk Kin	BAC515	Licensed Representative (Provisional Licence)	9
KWAN Sin Tung	BAA502	Licensed Representative (Provisional Licence)	1
KWAN Wang Chi, Beryl	BAD777	Licensed Representative (Provisional Licence)	1
KWOK Hoi Chuen, Justin	AME366	Licensed Representative (Provisional Licence)	1, 4
KWOK Ka Keung, Henry	BAC073	Licensed Representative (Provisional Licence)	1, 4
LAI Wing Chi, Juliana	ARE549	Licensed Representative (Provisional Licence)	1
LAM Chak Hang	AZZ117	Licensed Representative (Provisional Licence)	3
LAM Chi Wai, Benny	BAC064	Licensed Representative (Provisional Licence)	1
LAM Kwok King	BAB991	Licensed Representative (Provisional Licence)	1
LAM Kwong Ching	BAB580	Licensed Representative (Provisional Licence)	1, 4
LAPIDOUS Dmitri	BAB173	Licensed Representative (Provisional Licence)	1, 4, 9
LAU Astrid Hou Ting	BAC081	Licensed Representative (Provisional Licence)	1
LAW Kin Man	BAB414	Licensed Representative (Provisional Licence)	1
LAW Wing Cheung, Ryan	AEP792	Licensed Representative (Provisional Licence)	1, 9



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2012

CE Name	CE No.	Licence Type	Types of Regulated Activity
LAW Yiu Fat, Richard	ANZ547	Licensed Representative (Provisional Licence)	1, 4
LE Thien Thi	AYM700	Licensed Representative (Provisional Licence)	1, 2
LEE Benny Jason	BAB814	Licensed Representative (Provisional Licence)	1, 4, 6
LEE Joonghoon	BAA075	Licensed Representative (Provisional Licence)	1, 2
LEE Ka Wai	BAB145	Licensed Representative (Provisional Licence)	1, 2
LEE Man Kit	AEY549	Licensed Representative (Provisional Licence)	4, 9
LEE Matthew Joon Mou	BAB694	Licensed Representative (Provisional Licence)	1
LEE Ying Eve	BAA995	Licensed Representative (Provisional Licence)	1, 6
LEES Cinry Alano	BAC150	Licensed Representative (Provisional Licence)	1
LEGRAND Mikael Jacques Adrien	BAC208	Licensed Representative (Provisional Licence)	5
LEUNG Chi Lok	BAC318	Licensed Representative (Provisional Licence)	1
LEUNG Edward	AZT649	Licensed Representative (Provisional Licence)	6
LEUNG Willie Pa Hung	BAB413	Licensed Representative (Provisional Licence)	1, 2
LEUNG Yu Hin	AZX593	Licensed Representative (Provisional Licence)	1
LI Bin	AMN772	Licensed Representative (Provisional Licence)	4
LI Chen	BAB797	Licensed Representative (Provisional Licence)	1, 6
LI Chun Kit, Joseph	ALU441	Licensed Representative (Provisional Licence)	1
LI Emma Ran	BAB125	Licensed Representative (Provisional Licence)	1, 6
LI Kwok Kei, Stephen	ALG028	Licensed Representative (Provisional Licence)	1, 2
LI Qing	BAB684	Licensed Representative (Provisional Licence)	1, 6
LI Tian	AZS678	Licensed Representative (Provisional Licence)	1, 4, 6
LI Xiang	BAA898	Licensed Representative (Provisional Licence)	1, 6
LI Ying Lun, Peter	AMN656	Licensed Representative (Provisional Licence)	9
LI Yuan	BAB989	Licensed Representative (Provisional Licence)	1, 6
LIANG Shiming	BAA904	Licensed Representative (Provisional Licence)	4
LIANG Sijing	BAC147	Licensed Representative (Provisional Licence)	1, 4, 6
LIANG Yimei	BAC038	Licensed Representative (Provisional Licence)	1
LIM Keong Loui	BAC528	Licensed Representative (Provisional Licence)	6
LIM Shyan Wen	ARN508	Licensed Representative (Provisional Licence)	9
LIM Xuxiang Alex	BAC322	Licensed Representative (Provisional Licence)	1
LIN Amanda Yi-Chun	BAB144	Licensed Representative (Provisional Licence)	1, 4
LIN Chia-hsien	BAA077	Licensed Representative (Provisional Licence)	1, 6
LIN Kanhui	BAB136	Licensed Representative (Provisional Licence)	1, 6
LIN Qiaowei	AZV225	Licensed Representative (Provisional Licence)	1, 2
LIN Wei-Ting	BAC188	Licensed Representative (Provisional Licence)	1
LIU Guoqiang	AZN308	Licensed Representative (Provisional Licence)	6
LIU Jie	AQU060	Licensed Representative (Provisional Licence)	1, 7
LIU Mengjie	BAA083	Licensed Representative (Provisional Licence)	1
LIU She Tin	BAB980	Licensed Representative (Provisional Licence)	1, 4, 6
LIU Shijing	BAC148	Licensed Representative (Provisional Licence)	1, 4, 6
LIU Sui Man	AWR628	Licensed Representative (Provisional Licence)	1, 2
LIU Xutong	BAC151	Licensed Representative (Provisional Licence)	4
LIU Zihe	BAA896	Licensed Representative (Provisional Licence)	1, 6
LO Jodi Natalie	BAC094	Licensed Representative (Provisional Licence)	1, 4, 9
LO Lau Mui	AOH246	Licensed Representative (Provisional Licence)	1
LOISEAU Stephane Robert Armand	BAB325	Licensed Representative (Provisional Licence)	1



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2012

CE Name	CE No.	Licence Type	Types of Regulated Activity
LOUDERBACK Sarah Elizabeth	BAB581	Licensed Representative (Provisional Licence)	1, 2, 7
LU Jeffrey Jiefei	BAA395	Licensed Representative (Provisional Licence)	1, 6
LU Yang	BAC040	Licensed Representative (Provisional Licence)	1, 6
LU Zhong Yi	BAB138	Licensed Representative (Provisional Licence)	1, 4, 6
LUO Jianglan	BAD322	Licensed Representative (Provisional Licence)	1, 2, 4, 5
MA Buqing	AZV223	Licensed Representative (Provisional Licence)	1, 4, 6
MA Wenrui	BAA883	Licensed Representative (Provisional Licence)	1
MAK Ka Lam, Louisa	AAB991	Licensed Representative (Provisional Licence)	1, 2
MANGAL Boris	BAB659	Licensed Representative (Provisional Licence)	9
MAO Qiyu	BAC049	Licensed Representative (Provisional Licence)	1, 4
MCCULLOCH Cale O'Yeah	BAC402	Licensed Representative (Provisional Licence)	1
MINTARNO Elvina	BAC181	Licensed Representative (Provisional Licence)	4, 9
MINTON Max	BAA056	Licensed Representative (Provisional Licence)	1, 2
MITSUSADA Kenji	BAC404	Licensed Representative (Provisional Licence)	1, 2
MIURA Takashi	BAB252	Licensed Representative (Provisional Licence)	1
MOMOZAKI Takahiro	BAC199	Licensed Representative (Provisional Licence)	1
MORIHAIN Gilles Gerard	BAA380	Licensed Representative (Provisional Licence)	9
MORSE Robert Edson	BAC379	Licensed Representative (Provisional Licence)	1, 2
MOVSUMOV Dzhavad	AZT495	Licensed Representative (Provisional Licence)	6
NANDI Arnab	AQG745	Licensed Representative (Provisional Licence)	4, 9
NARANG Priya Dilip Kumar	BAC153	Licensed Representative (Provisional Licence)	9
NAWAL Susheel	BAB069	Licensed Representative (Provisional Licence)	1, 2
NI Xiaochun	BAA082	Licensed Representative (Provisional Licence)	1, 4
OH Jung Gun	BAE172	Licensed Representative (Provisional Licence)	1, 4, 6
ONG Ai Ling	AZY035	Licensed Representative (Provisional Licence)	9
ONG Samantha Lee Kuan	BAC303	Licensed Representative (Provisional Licence)	4
OVERDIJK Harmen Christiaan	ALR588	Licensed Representative (Provisional Licence)	1, 4, 9
PAN Tsi Wan	ALQ880	Licensed Representative (Provisional Licence)	2
PANG Chak Chuen	BAB565	Licensed Representative (Provisional Licence)	1, 2
PENG Yuyao	BAB449	Licensed Representative (Provisional Licence)	1, 6
PERSHAD Vikas	AMF972	Licensed Representative (Provisional Licence)	4, 9
PHILIPPSON Thomas Olafur Vaughton	BAC320	Licensed Representative (Provisional Licence)	4
POERWANTO Sharon Christine	ARD330	Licensed Representative (Provisional Licence)	1
POON Kai Kwan	BAC540	Licensed Representative (Provisional Licence)	1
PULVERMANN Margaret Logan	BAC307	Licensed Representative (Provisional Licence)	1
QI Yanqiao	BAC178	Licensed Representative (Provisional Licence)	6
RAFIE ELIZEI Alborz	BAA270	Licensed Representative (Provisional Licence)	9
RAMENGHI Matteo	BAA700	Licensed Representative (Provisional Licence)	4
RONDEAU Charlie Henri Marcel	BAA512	Licensed Representative (Provisional Licence)	9
RONG Chen	AZU637	Licensed Representative (Provisional Licence)	4
ROSE Caroline Mairi	BAC296	Licensed Representative (Provisional Licence)	1
ROZENBERG Peter Alexander	BAD331	Licensed Representative (Provisional Licence)	4
SANDHU Palbinder	BAB479	Licensed Representative (Provisional Licence)	1, 4
SEATON Graham Trevor	ARI681	Licensed Representative (Provisional Licence)	1, 2
SEO Hae Ryung	BAB848	Licensed Representative (Provisional Licence)	5
SHAO Ying	BAA534	Licensed Representative (Provisional Licence)	1, 4



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2012

CE Name	CE No.	Licence Type	Types of Regulated Activity
SHEK Wing Kei	BAC230	Licensed Representative (Provisional Licence)	1
SHI Shengyang	BAA899	Licensed Representative (Provisional Licence)	1, 6
SHIH Keng-Yu	BAC090	Licensed Representative (Provisional Licence)	4
SHIRGAONKAR Anant Eknath	BAA699	Licensed Representative (Provisional Licence)	1
SIEGLOFF Eric Steven	AZK611	Licensed Representative (Provisional Licence)	1, 4, 9
SINGHAL Rajkumar	AZX877	Licensed Representative (Provisional Licence)	1, 2
SO Par Lum, Stephanie	BAC068	Licensed Representative (Provisional Licence)	1, 2
SO Tung Yin, Quincy	BAB304	Licensed Representative (Provisional Licence)	10
SODHI Sandeep Singh	BAC516	Licensed Representative (Provisional Licence)	1
SOLNIK Alexandra France Anne	AZR720	Licensed Representative (Provisional Licence)	1, 4
SONG Chenxing	BAB155	Licensed Representative (Provisional Licence)	1, 6
SONG Xiaoping	AZU638	Licensed Representative (Provisional Licence)	4
SONG Yulin	AZW078	Licensed Representative (Provisional Licence)	1, 6
STEPHENS John William	AFT822	Licensed Representative (Provisional Licence)	1
SUN Yechuan	BAB283	Licensed Representative (Provisional Licence)	2
TAM Ka Kin	BAA281	Licensed Representative (Provisional Licence)	1
TANG Chun Ning	BAB127	Licensed Representative (Provisional Licence)	1, 4, 9
TANG Man Keung	BAC383	Licensed Representative (Provisional Licence)	1, 4
TANG Viola Jing Xu	BAB656	Licensed Representative (Provisional Licence)	1, 6
TAO Ran	BAB068	Licensed Representative (Provisional Licence)	1, 2, 7
TAW Thomas James	AQJ868	Licensed Representative (Provisional Licence)	1
TENG An Chi	BAA908	Licensed Representative (Provisional Licence)	1, 6
TIN Jackie Luk-yi	AZX218	Licensed Representative (Provisional Licence)	1, 4
TO Yat Ho	BAB579	Licensed Representative (Provisional Licence)	1, 2
TONG Hoi Wang	BAB483	Licensed Representative (Provisional Licence)	9
TRIPATHI Abhinav	BAD431	Licensed Representative (Provisional Licence)	1
TSANG Yik Fai	BAC238	Licensed Representative (Provisional Licence)	1, 2
TSE Angel On Yi	BAA414	Licensed Representative (Provisional Licence)	1
TSE Ka Chun	BAD807	Licensed Representative (Provisional Licence)	1, 4
VERMA Abhimanyu	BAA972	Licensed Representative (Provisional Licence)	4
VILAYSACK Hong-Keo	BAB149	Licensed Representative (Provisional Licence)	1
WANG Chen	BAB904	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Jessica Chia-Hsin	AXL954	Licensed Representative (Provisional Licence)	1, 2, 7
WANG Jin	BAD901	Licensed Representative (Provisional Licence)	1, 4
WANG Jue	BAB005	Licensed Representative (Provisional Licence)	1, 4
WANG Kenneth	BAA902	Licensed Representative (Provisional Licence)	1, 6
WANG Lin	BAB040	Licensed Representative (Provisional Licence)	4
WANG Pang, Paul	BAC071	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Shiyu	BAB236	Licensed Representative (Provisional Licence)	1, 6
WANG Wentao	BAB124	Licensed Representative (Provisional Licence)	1, 6
WANG Yijun	BAA415	Licensed Representative (Provisional Licence)	1
WANG Yiting	BAB457	Licensed Representative (Provisional Licence)	1, 6
WANGER Daniel Martin	BAB971	Licensed Representative (Provisional Licence)	1
WANIKPUN Nithi	BAA669	Licensed Representative (Provisional Licence)	4
WEN Hongwei	AZV967	Licensed Representative (Provisional Licence)	4
WEN Ping	AZR592	Licensed Representative (Provisional Licence)	2



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2012

CE Name	CE No.	Licence Type	Types of Regulated Activity
WILSON Karma Anne	ACT362	Licensed Representative (Provisional Licence)	1, 4, 9
WO Jintao	BAB907	Licensed Representative (Provisional Licence)	4
WON Candace	BAC301	Licensed Representative (Provisional Licence)	1, 6
WONG Cheuk Hang	BAA787	Licensed Representative (Provisional Licence)	1, 4
WONG Chun Chiu	ALU527	Licensed Representative (Provisional Licence)	1, 2
WONG Chung Tin	BAB906	Licensed Representative (Provisional Licence)	1
WONG Ho Sam, Samuel	BAA067	Licensed Representative (Provisional Licence)	1
WONG Joel Ching-rhun	BAA911	Licensed Representative (Provisional Licence)	1
WONG Joshua Shun Yee	BAA732	Licensed Representative (Provisional Licence)	6
WONG Jun Min, Kenneth	AZU816	Licensed Representative (Provisional Licence)	1, 4, 6
WONG Ka Man	BAB806	Licensed Representative (Provisional Licence)	1, 6
WONG San Ngai	BAD848	Licensed Representative (Provisional Licence)	1, 2
WONG Shui Ming	BAB441	Licensed Representative (Provisional Licence)	3
WONG Siu Tang	BAC232	Licensed Representative (Provisional Licence)	1
WONG Yin See, Stella	BAB054	Licensed Representative (Provisional Licence)	1, 4, 9
WONG Yoke Qieu, Gabriel	BAA544	Licensed Representative (Provisional Licence)	6
WONG Yun Sum	BAA672	Licensed Representative (Provisional Licence)	1
WOO Yan	BAC308	Licensed Representative (Provisional Licence)	1
WOODMANSEE Galen Arthur	AZR283	Licensed Representative (Provisional Licence)	1, 2
WU Han-Yin	BAA503	Licensed Representative (Provisional Licence)	1
WU Haoyuan	BAB128	Licensed Representative (Provisional Licence)	1, 2
WU Maximillian Jerome	BAB542	Licensed Representative (Provisional Licence)	1, 6
XIA Han	BAB135	Licensed Representative (Provisional Licence)	1, 6
XIA Qingchuan	BAB339	Licensed Representative (Provisional Licence)	1, 6
XIONG Ying	BAA078	Licensed Representative (Provisional Licence)	1, 6
XU Chao	BAA419	Licensed Representative (Provisional Licence)	1, 2
XU Ranying	BAB232	Licensed Representative (Provisional Licence)	1, 2
XU Xiaolan	BAB245	Licensed Representative (Provisional Licence)	1, 6
XU Zheng	BAA193	Licensed Representative (Provisional Licence)	1, 6
YAMAMOTO Sumiyo	BAC527	Licensed Representative (Provisional Licence)	1
YANG Fan	AZV965	Licensed Representative (Provisional Licence)	4
YANG Jin Ho	BAA909	Licensed Representative (Provisional Licence)	1, 6
YANG Shujun	AZQ514	Licensed Representative (Provisional Licence)	1, 4, 6
YANG Yun-Chen	AQK248	Licensed Representative (Provisional Licence)	1
YAP Kok Wei	AZR468	Licensed Representative (Provisional Licence)	4, 9
YAP Mei Ern, Janice	AZT634	Licensed Representative (Provisional Licence)	6
YASUDA Tatsuya	AZX833	Licensed Representative (Provisional Licence)	1, 4, 6
YAU Yuen Man	AET313	Licensed Representative (Provisional Licence)	1
YE Lin	AVT190	Licensed Representative (Provisional Licence)	6
YEH Hao-Ting	BAC177	Licensed Representative (Provisional Licence)	1
YEUNG Kee Ruby	BAA687	Licensed Representative (Provisional Licence)	1
YEUNG Kwok Wai, Ingrid	BAB918	Licensed Representative (Provisional Licence)	1, 4
YEUNG Lai Yee	BAA788	Licensed Representative (Provisional Licence)	1, 4
YEUNG Yan	BAD620	Licensed Representative (Provisional Licence)	1
YIM Mat	BAB968	Licensed Representative (Provisional Licence)	1, 4
YIP Chi To	BAB963	Licensed Representative (Provisional Licence)	1, 2



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2012

CE Name	CE No.	Licence Type	Types of Regulated Activity
YIP Chui Man, Scarlett	ASL639	Licensed Representative (Provisional Licence)	1, 4, 9
YIU Sze Yu	BAD284	Licensed Representative (Provisional Licence)	1, 2, 7
YOUN Sa Jean	AMU551	Licensed Representative (Provisional Licence)	4
YU Hon Cheung	AFZ821	Licensed Representative (Provisional Licence)	2
YU Hongyi	BAC149	Licensed Representative (Provisional Licence)	1, 4, 6
YU Xin	BAB702	Licensed Representative (Provisional Licence)	1, 2
YU Yiwei	AYI396	Licensed Representative (Provisional Licence)	1, 6
YU Zhaohui	BAC524	Licensed Representative (Provisional Licence)	1, 4
YUAN Hongwei	BAD520	Licensed Representative (Provisional Licence)	1
YUEN De Zhao	BAA080	Licensed Representative (Provisional Licence)	1, 6
ZENG Linli	AXF647	Licensed Representative (Provisional Licence)	4
ZHANG Aidi	ANK409	Licensed Representative (Provisional Licence)	1, 2
ZHANG Fan	BAB698	Licensed Representative (Provisional Licence)	4, 9
ZHANG Jianbo	BAC021	Licensed Representative (Provisional Licence)	1
ZHANG Ke	AZU818	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Qin	BAC373	Licensed Representative (Provisional Licence)	1, 4
ZHANG Sean Shiyu	BAA991	Licensed Representative (Provisional Licence)	1, 6
ZHANG Yifan	AZV231	Licensed Representative (Provisional Licence)	1, 4
ZHANG Yuanfu	BAC429	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Yuqing	BAB872	Licensed Representative (Provisional Licence)	1, 4, 9
ZHAO Wei	BAB437	Licensed Representative (Provisional Licence)	2
ZHAO Yang	AZX409	Licensed Representative (Provisional Licence)	1, 4
ZHAO Ye	BAD298	Licensed Representative (Provisional Licence)	4
ZHAO Yiyang	BAB564	Licensed Representative (Provisional Licence)	1, 4, 6
ZHENG Long, Aston	BAA518	Licensed Representative (Provisional Licence)	6
ZHENG Yang	BAA900	Licensed Representative (Provisional Licence)	1, 6
ZHOU Cong	AZS682	Licensed Representative (Provisional Licence)	1, 4, 6
ZHOU Jing	BAA703	Licensed Representative (Provisional Licence)	6
ZHOU Wei	BAC428	Licensed Representative (Provisional Licence)	1
ZHOU Wenwen	BAB026	Licensed Representative (Provisional Licence)	1
ZHOU Yuxiang	BAB802	Licensed Representative (Provisional Licence)	1, 6
ZHU Sandy Yang	BAB047	Licensed Representative (Provisional Licence)	1, 4, 9
ZHU Yingnan David	BAA888	Licensed Representative (Provisional Licence)	1, 6
ADALA Vidya Balakrishna	BAE352	Licensed Representative (Temporary Licence)	1
ARAKI Tomohiro	AYH941	Licensed Representative (Temporary Licence)	4
BETTONI TRAUBE Ernesto	AQO506	Licensed Representative (Temporary Licence)	1, 4
CHEUNG Kar Heng	ARQ132	Licensed Representative (Temporary Licence)	1
DALLY Nigel Phillip	BAD516	Licensed Representative (Temporary Licence)	1
DENFELD Jon Peter	AVA795	Licensed Representative (Temporary Licence)	4
DUGGAL Sanjiv	APW681	Licensed Representative (Temporary Licence)	1
FERRAGU Pierre, Charles, Louis, Hubert, Marie	AWJ160	Licensed Representative (Temporary Licence)	4
HARLING Victoria Louise	BAD607	Licensed Representative (Temporary Licence)	4
HUBERTY Kathryn Lynn	BAE422	Licensed Representative (Temporary Licence)	1
KANG Younghwa	AVM546	Licensed Representative (Temporary Licence)	1
KHOO Eileen Ai Yin	BAD617	Licensed Representative (Temporary Licence)	1
KIM Hyung Sub	AZS837	Licensed Representative (Temporary Licence)	1, 2



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2012

CE Name	CE No.	Licence Type	Types of Regulated Activity
KWEK Kevin Siew Beng	AZN097	Licensed Representative (Temporary Licence)	4
LEE Ka Keun	BAD618	Licensed Representative (Temporary Licence)	1
LIEW Wei-Ling	AOD519	Licensed Representative (Temporary Licence)	1
LIPPENS Bruno Andre Joseph	ARP187	Licensed Representative (Temporary Licence)	4
LIU Jerry Yuan	BAE419	Licensed Representative (Temporary Licence)	1
MADNI Munib Mohammad	ARQ130	Licensed Representative (Temporary Licence)	1
MASSI Enrico Alfredo	BAD784	Licensed Representative (Temporary Licence)	6
MCCORMACK Robert Barker Barrington	BAE533	Licensed Representative (Temporary Licence)	6
MEANY Peter James	AUA480	Licensed Representative (Temporary Licence)	4
MEHTA Nilang Jitendra	AUW826	Licensed Representative (Temporary Licence)	1
MELLORS James Edward	BAE534	Licensed Representative (Temporary Licence)	1, 4
MOREAU Elizabeth Jo	BAE822	Licensed Representative (Temporary Licence)	1
NAGAO Yoshitaka	AVM354	Licensed Representative (Temporary Licence)	4
NARITA Yasuhiro	ARQ487	Licensed Representative (Temporary Licence)	4
NESBIT Alan Michael	ANG454	Licensed Representative (Temporary Licence)	4
NG Poh Li	BAE817	Licensed Representative (Temporary Licence)	4
NOGUCHI Masayasu	AUD731	Licensed Representative (Temporary Licence)	4
NONAKA Hayato	BAB641	Licensed Representative (Temporary Licence)	1
PADHARIA Parikshit	AZE549	Licensed Representative (Temporary Licence)	1
PARKHILL Joseph William	BAE266	Licensed Representative (Temporary Licence)	1
PEGLER Dominic James	AZZ223	Licensed Representative (Temporary Licence)	1, 4
PORTILLO Joseph Charles	AXD722	Licensed Representative (Temporary Licence)	1
PRICE Darren James	AZN480	Licensed Representative (Temporary Licence)	1
RIBAS LEQUERICA Nuria Maria	BAC311	Licensed Representative (Temporary Licence)	1
RINGDAL Lauritz Lundberg	BAC401	Licensed Representative (Temporary Licence)	1, 4
SAITO Katsushi	ATW220	Licensed Representative (Temporary Licence)	4
SETH Neeraj	AYB919	Licensed Representative (Temporary Licence)	1, 4
TANAKA Hiroshi	BAF821	Licensed Representative (Temporary Licence)	4
TRIGO Sergio Carlos	AUK283	Licensed Representative (Temporary Licence)	1, 4
WADAKI Tetsuya	ATE081	Licensed Representative (Temporary Licence)	4
WARBURTON Max Eliot Adair	AVD908	Licensed Representative (Temporary Licence)	4
WARNER Joanne Kathleen	AOJ444	Licensed Representative (Temporary Licence)	4