



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2016

CE Name	CE No.	Licence Type	Types of Regulated Activity
BTG Pactual Asia Limited	ASI051	Licensed Corporation	1, 4, 9
Goodcape Securities Limited	ALQ256	Licensed Corporation	1
Interchina Securities Limited	ABH567	Licensed Corporation	1
Steadview Capital Management HK Limited	AXI706	Licensed Corporation	9
SYZ Asset Management (Asia) Limited	AKX899	Licensed Corporation	1, 4
UBS Derivatives Hong Kong Limited - UBS Derivatives Hong Kong Limited (AAY107) and UBS Securities Hong Kong Limited (AAD270) amalgamated on 19 December 2016, pursuant to the relevant provisions of the Companies Ordinance (Chapter 622). The amalgamated company is UBS Securities Hong Kong Limited (BIN466).	AAY107	Licensed Corporation	2
UBS Securities Hong Kong Limited - UBS Securities Hong Kong Limited (AAD270) and UBS Derivatives Hong Kong Limited (AAY107) amalgamated on 19 December 2016, pursuant to the relevant provisions of the Companies Ordinance (Chapter 622). The amalgamated company is UBS Securities Hong Kong Limited (BIN466).	AAD270	Licensed Corporation	1, 6, 7
ABDEL-NOUR Fady	BAV663	Licensed Representative	1, 4, 9
ADISUSANTO Alvin	BDL910	Licensed Representative	1
AGARWAL Abhishek	BCZ288	Licensed Representative	4
ALDWORTH James Garton	APN875	Licensed Representative	1
AO Man	ANT251	Licensed Representative	4
ARORA Rakesh	AOG386	Licensed Representative	4
BAI Louisa	AYF071	Licensed Representative	1, 2, 7
BARBA Romain, Pierre, Dragoslav	AVC249	Licensed Representative	1
BARLOW Michele Anne	AJE675	Licensed Representative	2
BIAN Lu	AWZ273	Licensed Representative	1, 4
BIAN Tianyun	BBF571	Licensed Representative	9
CALLAGHAN Sarah Stella	ANE394	Licensed Representative	4, 9
CAMPBELL Adam Edward	BDG008	Licensed Representative	9
CAMPBELL Alastair Kenneth Ruskin	AXT078	Licensed Representative	6
CAO Emily Whei	BHW480	Licensed Representative	1, 4, 9
CHAN Benedict Lee	BGU885	Licensed Representative	9
CHAN Ching Shan	BAW692	Licensed Representative	1, 2
CHAN Fung Ping	AOU775	Licensed Representative	1
CHAN Fung Yee	AWN383	Licensed Representative	1
CHAN Hiu Ming	AYQ163	Licensed Representative	1
CHAN Hom Kwong	AAV729	Licensed Representative	1, 2, 3
CHAN Ka Cheung	AZF639	Licensed Representative	1
CHAN Kin Man	AUG795	Licensed Representative	1
CHAN Leo Kit	BEK747	Licensed Representative	1, 2, 4
CHAN Long Yin Louie	BEA320	Licensed Representative	1, 6
CHAN Sai Yiu	BEM926	Licensed Representative	1, 4
CHAN Sing Lung	BFI914	Licensed Representative	1
CHAN Suk Man	AXL459	Licensed Representative	1, 4, 9
CHAN Tai Lam	AZO368	Licensed Representative	1, 4, 9
CHAN Ting Pong	ALZ723	Licensed Representative	1

**REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2016**

CE Name	CE No.	License Type	Types of Regulated Activity
CHAN Wai Chu, Olivia	AOX053	Licensed Representative	1, 2, 4
CHAN Wai Man, Anthony	AAF311	Licensed Representative	1
CHAN Wing Kwong	AKS958	Licensed Representative	4, 9
CHAN Yau Yuet, Alan	AAC305	Licensed Representative	1
CHAN Yi King	BBN535	Licensed Representative	10
CHAN Yin Hing Hideaky	BDX508	Licensed Representative	1
CHANG Patrick	AYY711	Licensed Representative	4
CHAO Patrick Shih Pan	AVZ600	Licensed Representative	1, 4, 6
CHAO Yanan	BFP937	Licensed Representative	4
CHAU Kong San	AYR628	Licensed Representative	1, 2
CHEN Anqi	BBM646	Licensed Representative	1, 2, 4, 5
CHEN Chih-ching	AQD360	Licensed Representative	1, 4, 9
CHEN Jian	BAC051	Licensed Representative	4
CHEN Liu Shan	BIN722	Licensed Representative	1, 6
CHEN Yiyi	BBQ885	Licensed Representative	1, 4, 9
CHENG Liulingzi	BFS139	Licensed Representative	4
CHENG Siu Hung	BFN702	Licensed Representative	1
CHEUK Hang Wah	ANR335	Licensed Representative	1
CHEUNG Ka Man, Ruth	BBR424	Licensed Representative	1
CHEUNG Sin	BGM919	Licensed Representative	1, 2, 4
CHEUNG Yin	BCA255	Licensed Representative	2, 5
CHEUNG Yu Xuan	BGI924	Licensed Representative	1
CHIANG Kwong Chu	ABI259	Licensed Representative	1
CHIANG Shi Yuen	ANK647	Licensed Representative	1, 4
CHIN Wai Yee	BCF485	Licensed Representative	1, 4, 9
CHING Alvin Nai Wing	BEM049	Licensed Representative	1, 4
CHIU Hiu Fung, Brian	ALT744	Licensed Representative	9
CHIU Hok Chung	BGC396	Licensed Representative	4, 9
CHIU Yuen Ying	BAU743	Licensed Representative	1, 4
CHOI Wai Yu	BFE807	Licensed Representative	1
CHOU Wei-Ju	BGG376	Licensed Representative	1
CHOUDHARY Piyush	BFW376	Licensed Representative	4
CHOW Kin	ALP967	Licensed Representative	1
CHOW Kin Hang	BGP494	Licensed Representative	1
CHOW Shuk Man	BFW391	Licensed Representative	1, 6
CHU Wen-Hsien	AOY184	Licensed Representative	9
CHUI Kit Shun	BGP975	Licensed Representative	1, 2
CHUNG Man Wai, David	AOU630	Licensed Representative	4
CLOT Jacques-Louis Henri	AOA108	Licensed Representative	1, 2, 7
COLLIE Craig Bryan	BFD445	Licensed Representative	4
DAVIDSON Duncan Neil	AFR872	Licensed Representative	1
DENG Fei	BFC518	Licensed Representative	1, 4, 6
DEVONSHIRE James Mark	AYC808	Licensed Representative	9



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2016

CE Name	CE No.	License Type	Types of Regulated Activity
DIALDAS Anand	ALD236	Licensed Representative	9
DIZARD Natsumi	AQA563	Licensed Representative	1, 2
DU FOSSE Dominique	ASO703	Licensed Representative	9
FAN Wai Sum Loretta	BFY833	Licensed Representative	1, 4, 6
FOK Shuang Hong Shan Cie	BDK993	Licensed Representative	1
FOK Woon Bik	ADV855	Licensed Representative	1, 9
FONG Suet Chung	ACQ609	Licensed Representative	1, 2, 4, 5
FU Amy	BEC493	Licensed Representative	1, 4
FU Chi Kin	AQN688	Licensed Representative	1
FU Man Cheung	AAG043	Licensed Representative	1
FU On Hing	AEZ950	Licensed Representative	1
FU Wang Kit	AVM406	Licensed Representative	1, 2, 4
FUNG Wan Shuen	ARU915	Licensed Representative	1
GADD Christopher Oliver	BHU688	Licensed Representative	2
GAO Deng	BEA889	Licensed Representative	4
GAO Xiaomin	BAD408	Licensed Representative	1
GILSON Grant Ross	BEZ632	Licensed Representative	1
GOH Pek Lim Edward	AYU049	Licensed Representative	1
GUPTA Anurag	AOH652	Licensed Representative	4, 5, 9
HA Sze Pui, Tiffany	BHH232	Licensed Representative	6
HE Xiangpei	BGD816	Licensed Representative	1
HIRA Kirit	BGK572	Licensed Representative	4
HO Chi Fai	BFQ064	Licensed Representative	1
HO Oi Yee, Margaret	ALP937	Licensed Representative	4
HO Shiu Hung, Eddie	AEF382	Licensed Representative	1
HO Shun Lai Stanley	BCV081	Licensed Representative	9
HO Tak Nam, Queeny	ALW473	Licensed Representative	4, 9
HO Yuk Wing	BHT376	Licensed Representative	1, 6
HONG Zhijun	BGT041	Licensed Representative	4
HU Bo	AMJ466	Licensed Representative	1, 4
HU Chien-hsiu	ATN334	Licensed Representative	1, 4
HU Shifan	BAT963	Licensed Representative	1
HUA Cheng	BBZ389	Licensed Representative	1, 6
HUANG Hui-Chun	BDY709	Licensed Representative	1, 2, 9
HUANG Jielin	BEP221	Licensed Representative	1
HUANG Mingmin	AYR511	Licensed Representative	6
HUNG Wai Shing	BGU724	Licensed Representative	1
HUO Dengjin	BAN046	Licensed Representative	1, 6
IP Chi Foon	BGM302	Licensed Representative	1
IP Kin Ho	AZL403	Licensed Representative	1
IP Wai Ki Michael	BHG630	Licensed Representative	1, 4
ISHII Yuki	AVS729	Licensed Representative	1
JESSOP Gary Mark	ASG075	Licensed Representative	1



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2016

CE Name	CE No.	License Type	Types of Regulated Activity
JI Wenqing	BEO523	Licensed Representative	1
JIANG Hanchi	BAB284	Licensed Representative	1, 2, 3
JIANG Jiang	AYB885	Licensed Representative	6
JIANG Xia	BAW975	Licensed Representative	1, 4, 6
JIN Wen	BFS733	Licensed Representative	4, 9
JOMAN Sylvia	BCN707	Licensed Representative	1
KAM To	ANK436	Licensed Representative	1
KERINS William Francis	AJ1695	Licensed Representative	1, 4, 9
KING Chun Ho	AMM228	Licensed Representative	1, 2, 9
KOHATA Takayuki	AP0126	Licensed Representative	9
KWAN Chung Wai	BGU566	Licensed Representative	1, 2
KWEK Stella	BGG589	Licensed Representative	9
KWONG Ben Man Yip	AUS297	Licensed Representative	1, 4, 9
LAI Kwai King, Peggy	ACR325	Licensed Representative	1
LAI Yat-hei Gary	AWQ072	Licensed Representative	1, 2
LAI Yuk Yee	AGC973	Licensed Representative	1
LAM Jessica Yu Wei	AKI444	Licensed Representative	1, 2, 9
LAM Maggie	APV887	Licensed Representative	1, 2
LAM Mun Yiu	BBN042	Licensed Representative	3
LAM Siu Yee	BBS507	Licensed Representative	1
LAM Yiu Wing	BFP652	Licensed Representative	1
LAMBERT Giles Robert	BCJ629	Licensed Representative	6
LANCASTER Philip John Anthony	BDI160	Licensed Representative	1
LAPAKULCHAI Vacharachai	AGX302	Licensed Representative	4
LAU Cheuk Yin, Jacqueline	BGY951	Licensed Representative	1
LAU Chung Wai	AYN599	Licensed Representative	1
LAU Hong	BDY028	Licensed Representative	1, 2, 4
LAU Pok Man	AFC970	Licensed Representative	1, 2
LAU Tsz Chiu	AVH648	Licensed Representative	1, 2
LAU Yim Kuen	ALQ563	Licensed Representative	1, 4
LAW Sin Yi	BBY629	Licensed Representative	1, 4, 9
LAW Wai Chung	ANC005	Licensed Representative	1
LEE Hyungyu	BFE604	Licensed Representative	10
LEE Hyun-Jae	AHN694	Licensed Representative	1, 2
LEE Jamie Jin-ming	BHY529	Licensed Representative	1, 6
LEE Man Shun, Ronald	AGK516	Licensed Representative	1, 2, 4
LEE Tung Pong	BFE489	Licensed Representative	1
LEE Yee On, Arthur	BCD590	Licensed Representative	1
LEE Yi Hsin	BGQ703	Licensed Representative	4, 5, 9
LEI Zhengxia	AXZ297	Licensed Representative	9
LEONARD John Christopher	BGL196	Licensed Representative	1, 4
LEUNG Chun Sing	ALN127	Licensed Representative	1, 2, 4
LEUNG Pak Kin	AJH304	Licensed Representative	1, 2, 3



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2016

CE Name	CE No.	License Type	Types of Regulated Activity
LEUNG Tsz Yan, Gobby	BGZ688	Licensed Representative	1, 4
LEUNG Wai Nam	AGH932	Licensed Representative	1, 4
LEUNG Wing Fai	AVX932	Licensed Representative	1
LEUNG Yee Kan	AVA624	Licensed Representative	1, 2, 4, 9
LI Chi Ngai	BBP668	Licensed Representative	1, 6
LI Chun Sing, Andrew	AMV089	Licensed Representative	1, 4
LI Jia	ATO453	Licensed Representative	1, 4, 6
LI Pui Yee	AQU125	Licensed Representative	1, 6, 9
LI Tiehejin	BBS754	Licensed Representative	1, 4, 6
LI Wai Hing, Yuki	BAI900	Licensed Representative	1, 4
LI Wai Tak, Eric	BEZ345	Licensed Representative	1
LI Wenfeng	AWD873	Licensed Representative	9
LI Xiao	BEH577	Licensed Representative	1, 4, 6
LI Zhuozhuo	BAH969	Licensed Representative	1
LING Kun	BBS249	Licensed Representative	4, 9
LITTELL Christopher James	AGM210	Licensed Representative	1, 2
LIU Jianyi	BFO585	Licensed Representative	4
LIU Jingfeng	BAM212	Licensed Representative	1, 2, 9
LIU Ka Ho, Karson	AQI120	Licensed Representative	1
LIU Qianhua	BAV473	Licensed Representative	4
LIU Qiushi	BAM772	Licensed Representative	9
LIU Yang	BBQ029	Licensed Representative	4
LU Yanbin	BEM497	Licensed Representative	4, 9
LU ZHAOYING	BGI558	Licensed Representative	1, 4
LUI Ching Yan	AXG549	Licensed Representative	1, 2
LUI Kwan Ming	AFU658	Licensed Representative	1
LUI Yui Kuen	AAV820	Licensed Representative	1
LUNDING Andreas	BFN320	Licensed Representative	4, 9
LUO Yiwen	BIC107	Licensed Representative	4, 5, 9
MA Henry Lig	AUR629	Licensed Representative	1
MASU Megumi	BGQ554	Licensed Representative	1, 2
MATWEJEV Gregory	AMM450	Licensed Representative	9
MC GRATH Danielle Marie	AYO990	Licensed Representative	1, 4, 9
MCCAFFERTY Lili Clare	BGZ253	Licensed Representative	1
MILLER Daniel Owen	ACU447	Licensed Representative	2
MIZUKOSHI Masayuki	BDU973	Licensed Representative	9
MOHTA Nitin	AVI913	Licensed Representative	4
MOK Edda	BFJ334	Licensed Representative	1
MOK Yun Cheong, George	AWH558	Licensed Representative	1, 2, 9
MOU Xiao Lei	AZX278	Licensed Representative	1, 6
NARAPANICH Taychatum	BIK167	Licensed Representative	1, 4
NG Ching Ho	AXI359	Licensed Representative	4
NG Julian Chi Wo	BFZ525	Licensed Representative	1, 4, 6



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2016

CE Name	CE No.	License Type	Types of Regulated Activity
NG Kin Fun	AXW833	Licensed Representative	1
NG Siu Hong	BCK507	Licensed Representative	1, 4, 6
NG Sok Man	BBG827	Licensed Representative	1, 4
NG Ting Ho	AQM058	Licensed Representative	4
NG Wang Chim	ASL840	Licensed Representative	1
NI Weicong	BCO973	Licensed Representative	6
NOJIMA Susumu	AUT633	Licensed Representative	1, 2
OLNEY Karen Michele	AZV673	Licensed Representative	4
ORTMARK Ake Stefan	BHL687	Licensed Representative	1
OTA Akihiro	AYK413	Licensed Representative	1
OWEN Robert Timothy	BHF764	Licensed Representative	6
PALOMO Abigail Davis	BER012	Licensed Representative	4
PANG Yick Lai	BGP988	Licensed Representative	6
PARADIS Claudia	BFM784	Licensed Representative	1, 2, 7
PARK Seung Hyeon	BGM275	Licensed Representative	3
PARK Wan Jin	BDR360	Licensed Representative	1, 6
PAU Tien Sum, Esme	ATP176	Licensed Representative	4
PENG Fei	BGU784	Licensed Representative	1
PENG Jiangnan	BFA403	Licensed Representative	1, 4, 9
PERRYMAN Bryan Patrick	BBT557	Licensed Representative	1, 4
PITKIN Joel Hyuck-Choon	BAZ772	Licensed Representative	1, 2
POON Yuen Ping	AFB359	Licensed Representative	1
POTTER Morgan Winston Oliver	AUW127	Licensed Representative	1
RADOJEWSKI Nils Henning	BEZ012	Licensed Representative	1, 4, 6
RONG Liang	BAZ981	Licensed Representative	1, 2, 3
ROOT Anthony	BCF175	Licensed Representative	6
SACHDEV Shafali	AZG666	Licensed Representative	1, 2, 4
SASAKI Norio	BAZ168	Licensed Representative	4
SCHOLEY Richard Brendan	AWP282	Licensed Representative	4
SCIULLI Michael Joseph	AYY812	Licensed Representative	1, 4
SCOTT Christopher	ATO855	Licensed Representative	1
SHAH Dhawal Arvind	AYM782	Licensed Representative	1
SHAO Chen	AWE331	Licensed Representative	4
SHI Liang	BBP908	Licensed Representative	4
SHIM Mong Thien	AYR075	Licensed Representative	6
SHUM Yiu Wah	AAA927	Licensed Representative	1
SO Kin Yip	AIU263	Licensed Representative	1
SONG Ge	BDP503	Licensed Representative	1
SU Youjin	BAS834	Licensed Representative	4, 9
SUN Gilbert Ken Bon	AZB607	Licensed Representative	1, 2
SUN Kan Yin, Christy	AIO216	Licensed Representative	1, 2
SUN Ning	BID332	Licensed Representative	9
SUN Yong Xiong	AXV866	Licensed Representative	1



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2016

CE Name	CE No.	License Type	Types of Regulated Activity
SUN Yu	BID148	Licensed Representative	1
SZE Hon Ming	AZR739	Licensed Representative	1
TAM Cheuk Hin, Joey	BGP735	Licensed Representative	6
TAM Kwok Wai, Jack	AAA196	Licensed Representative	4
TAM Po Mei	AWH116	Licensed Representative	1, 2
TAM Wai Fu	AAB265	Licensed Representative	1
TAN Andrew Tse Chyuen	ANH561	Licensed Representative	1, 4
TAN Perry Michael	AWP889	Licensed Representative	4, 9
TAN Yaxin	BIJ326	Licensed Representative	1, 6
TANG Chun Ho	BCO239	Licensed Representative	6
TANG Kwai Ming	AHX744	Licensed Representative	1
TANG Siu Kwan, Joan	ANN279	Licensed Representative	1, 2, 4, 9
TANG Wing Man	APF217	Licensed Representative	4, 9
TAU Sai Kit, Terry	ARI167	Licensed Representative	1
TSAI Chau Yuet	APZ543	Licensed Representative	1, 4
TSANG Tsz Ki	BFO583	Licensed Representative	1
TSUI Wing Wai	AXI119	Licensed Representative	1
UEMURA Kazuya	AYK084	Licensed Representative	1, 4
VONGVIVAT Pongsupak	AVM101	Licensed Representative	1, 2
WAI Yam Fai	AJO108	Licensed Representative	1
WAN Cheuk Wing	ATP167	Licensed Representative	1, 4
WAN George Kwok Fan	ABZ840	Licensed Representative	1, 2
WAN Siu Yu	AXG292	Licensed Representative	1
WANG Lan	BGO823	Licensed Representative	1
WANG Lixian	BBM228	Licensed Representative	1, 6
WANG Mengjie	AXZ092	Licensed Representative	1, 6
WANG Mengsu	BCY165	Licensed Representative	4, 9
WANG Yangfan	BGH342	Licensed Representative	4
WANG Yanni	BGO988	Licensed Representative	9
WARE Christopher Eugene	BGK637	Licensed Representative	9
WATANABE Joji	BGA574	Licensed Representative	2, 5
WEI Qing	AZY181	Licensed Representative	1, 2, 9
WEI Wei	BGU384	Licensed Representative	1, 4, 6
WHITE David John	BBW677	Licensed Representative	1, 2, 9
WILSON Michael Cyril Murray	AXK064	Licensed Representative	1, 4, 9
WILSON Stuart Richard	ALZ457	Licensed Representative	1
WINSBURY Patrick John	AXG362	Licensed Representative	10
WONG Cheuk Fung	BFE380	Licensed Representative	1, 2
WONG Cheuk Ka	AXG287	Licensed Representative	1
WONG Chi Fai	AQG722	Licensed Representative	1
WONG Constance	ABR876	Licensed Representative	1, 4, 9
WONG Kai Hing	BGE032	Licensed Representative	1
WONG Kwun Man	BEB657	Licensed Representative	6



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2016

CE Name	CE No.	License Type	Types of Regulated Activity
WONG Lai Ching	ADU449	Licensed Representative	1
WONG Lai Ping	BHI947	Licensed Representative	1
WONG Suet Kwan, Phoebe	BDM520	Licensed Representative	1, 6
WONG Sze Wing	BAS663	Licensed Representative	1
WONG Ting Kwan	BGH355	Licensed Representative	1, 2
WONG Tsz Shu	BCP066	Licensed Representative	1
WONG Wai Fong	AOY052	Licensed Representative	1, 6
WONG Yuen Kwan	AQC328	Licensed Representative	1
WU Chun Ngai	BCN228	Licensed Representative	1, 2
WU Jie	BEL399	Licensed Representative	4, 6
WU Sui Ling	ATR221	Licensed Representative	1
WU Xiuxiu	BEX413	Licensed Representative	6
WYSOCKI Tadeusz Antoni	BBT271	Licensed Representative	1, 2
XIE Jing	BAU363	Licensed Representative	1, 4, 9
XING Lei	BFE010	Licensed Representative	4, 9
XU Jinchen	AXQ364	Licensed Representative	1, 2, 3
XU Zuowei	BEB783	Licensed Representative	4
YAMAGUCHI Atsushi	AOR340	Licensed Representative	4
YAN Yuk Chi, Mary Grace	AFN040	Licensed Representative	1, 4, 6
YANG Adrian Chun Ming	BGR315	Licensed Representative	4, 9
YANG Kaixuan	BDH680	Licensed Representative	1, 4, 6
YANG Mengling	BBT324	Licensed Representative	1
YAO Jie	AOM703	Licensed Representative	1, 2
YAU Tsz Chung, Anthony	ALL212	Licensed Representative	4, 5, 9
YEUNG Chi Wang	BFZ018	Licensed Representative	1, 2
YEUNG Ching	AAA712	Licensed Representative	1
YEUNG Ka Ming	BES162	Licensed Representative	1, 6
YEUNG Kai Tak, Andy	ADD047	Licensed Representative	1, 4
YEUNG Kin Yip	AWH552	Licensed Representative	1
YEUNG Suk Ching	BFE025	Licensed Representative	1
YEUNG Wai Kin	BGI814	Licensed Representative	1, 4
YIM Po Ling	AVO999	Licensed Representative	1
YU Fan Yun	BCR975	Licensed Representative	6
YU Kelly O	BBL262	Licensed Representative	1, 2, 9
YUEN Ka Chi	ANG307	Licensed Representative	1, 2
YUEN Wan Hei	BGO565	Licensed Representative	1, 4
YUNG Lai Wu	BEE041	Licensed Representative	1, 2
ZHANG Hong	ASZ571	Licensed Representative	1
ZHANG Jiawei	BGW840	Licensed Representative	1
ZHANG Jin	AYS397	Licensed Representative	6
ZHANG Lidan	BFK710	Licensed Representative	10
ZHANG Lina	BEC083	Licensed Representative	1, 6
ZHANG Min	BGL574	Licensed Representative	1, 9



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2016

CE Name	CE No.	License Type	Types of Regulated Activity
ZHANG Qian	AXL995	Licensed Representative	1, 4, 9
ZHAO Jian	BHB905	Licensed Representative	4
ZHAO Qiang	BDP131	Licensed Representative	1, 2, 9
ZHAO Yuan	BAA724	Licensed Representative	6
ZHENG Jia	APC426	Licensed Representative	2, 5
ZHONG Qingtian	BEZ237	Licensed Representative	4, 9
ZHOU Xiaoyin	ALK243	Licensed Representative	1, 4, 9
ZOU AiLin	BCS002	Licensed Representative	6
BARLOW Michele Anne	AJE675	Licensed Representative - Responsible Officer	1, 4
CHANG Chung Kwong	ACT609	Licensed Representative - Responsible Officer	4, 9
CHANG Siu Ming	AA755	Licensed Representative - Responsible Officer	1
CHOI Chi Kin, Calvin	AMP538	Licensed Representative - Responsible Officer	1, 4
DU Jun Yuan	BHF784	Licensed Representative - Responsible Officer	9
EBERT Robert James	AVF581	Licensed Representative - Responsible Officer	1, 2, 4, 5, 7
FERRIER Justin John	AEG690	Licensed Representative - Responsible Officer	4, 9
FU Hau Tat, Eric Jorge	ACN351	Licensed Representative - Responsible Officer	1
FU Yingjie	BGT084	Licensed Representative - Responsible Officer	9
HIGGINS Caroline Mary	BCW430	Licensed Representative - Responsible Officer	1
HUANG Qiang	AHE219	Licensed Representative - Responsible Officer	9
HUI Cheung Kui	AJX991	Licensed Representative - Responsible Officer	1, 2
JESSOP Gary Mark	ASG075	Licensed Representative - Responsible Officer	2
KING Evan Wilford	ADP244	Licensed Representative - Responsible Officer	1, 6
KO Kun	AAA337	Licensed Representative - Responsible Officer	1
LAM Che Chung, Dennis	ALG475	Licensed Representative - Responsible Officer	4, 9
LEE Ching Ming Adrian	AEP409	Licensed Representative - Responsible Officer	9
LEE Hok Lymn Lemuel	AJJ586	Licensed Representative - Responsible Officer	1, 2, 5
LEE Hung Kwong	AAJ756	Licensed Representative - Responsible Officer	2
LEE Man Kwan	AOH076	Licensed Representative - Responsible Officer	1
LEUNG Wai Nam	AGH932	Licensed Representative - Responsible Officer	6
LING Xinyuan	AUM712	Licensed Representative - Responsible Officer	1, 4, 9
MARTIN Steven Gerard	BBV032	Licensed Representative - Responsible Officer	2
MILLER Daniel Owen	ACU447	Licensed Representative - Responsible Officer	1
MUI Man Biu, Bill	AOE458	Licensed Representative - Responsible Officer	9
MUMFORD Robert Vyvyan	AAW514	Licensed Representative - Responsible Officer	9
NG Ting Ho	AQM058	Licensed Representative - Responsible Officer	1
NG Tsing Bun	AFU910	Licensed Representative - Responsible Officer	1
NGAN Chiu Kit, Hudson	AGQ983	Licensed Representative - Responsible Officer	4, 9
O'YANG Wiley	AEH460	Licensed Representative - Responsible Officer	6
PANG Hing Chung, Alfred	ACJ625	Licensed Representative - Responsible Officer	1, 4, 6
PHILLIPS Sam Johnathon	AGT128	Licensed Representative - Responsible Officer	1
PU Meng	APP012	Licensed Representative - Responsible Officer	1, 2
QIN Liru	AEE487	Licensed Representative - Responsible Officer	9
SCIULLI Michael Joseph	AYY812	Licensed Representative - Responsible Officer	6



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2016

CE Name	CE No.	License Type	Types of Regulated Activity
SCOTT Christopher	ATO855	Licensed Representative - Responsible Officer	2
SEO Dong Yoon	AEK990	Licensed Representative - Responsible Officer	1, 4, 6
SHUM Kai Chun	AAC767	Licensed Representative - Responsible Officer	1
SO Chi Keung, Jeffrey	AMC258	Licensed Representative - Responsible Officer	6
TAI Kwok Keung	AAE013	Licensed Representative - Responsible Officer	1
TAM Kwok Wai, Jack	AAA196	Licensed Representative - Responsible Officer	1, 2
TAMURA Hitoshi	AZI957	Licensed Representative - Responsible Officer	1, 4
TANG Lin Sun	AAB786	Licensed Representative - Responsible Officer	1
TENG Cheng-Yueh	AQW512	Licensed Representative - Responsible Officer	1
WATANABE Joji	BGA574	Licensed Representative - Responsible Officer	1, 4
WILSON Stuart Richard	ALZ457	Licensed Representative - Responsible Officer	4, 6
WOO Henry Siungai	AAU939	Licensed Representative - Responsible Officer	4, 9
WOO Ian Ying	AZG393	Licensed Representative - Responsible Officer	1, 4, 6
ZHONG Mingyuan	AYW764	Licensed Representative - Responsible Officer	1, 4, 9
AU Ho Lun	ATS067	Licensed Representative (Provisional Licence)	1
BENNISON Joshua Gary	BIN565	Licensed Representative (Provisional Licence)	4
BERGER-BIT Gregory Daniel	BIO076	Licensed Representative (Provisional Licence)	9
BOONTHAVEEPAT Watcharida	BIN847	Licensed Representative (Provisional Licence)	1, 2, 4, 5
BREWSTER Trevor	BIJ685	Licensed Representative (Provisional Licence)	1
BUBERE Ayesha	BIN745	Licensed Representative (Provisional Licence)	1
CARTWRIGHT Olivia Lucy	APA549	Licensed Representative (Provisional Licence)	2, 5
CHAN Afonso Juno	BIN301	Licensed Representative (Provisional Licence)	4, 9
CHAN Choo Tee	APG893	Licensed Representative (Provisional Licence)	1, 4
CHAN Kam Kuen	BIQ316	Licensed Representative (Provisional Licence)	1
CHAN Kam On Joe	BIN074	Licensed Representative (Provisional Licence)	1, 4
CHAN Kin Kwan	AUN432	Licensed Representative (Provisional Licence)	1
CHAN Kin Yan	BIJ852	Licensed Representative (Provisional Licence)	1, 4, 6
CHAN Mei Yee	BIR483	Licensed Representative (Provisional Licence)	1
CHAN San Fai	BIM097	Licensed Representative (Provisional Licence)	1
CHAN Siu Ho	BIM672	Licensed Representative (Provisional Licence)	1, 6
CHAN Sui Kam	BIN155	Licensed Representative (Provisional Licence)	1
CHAN Tat Chung	BIQ532	Licensed Representative (Provisional Licence)	1, 4
CHAN Tsui Ling	BIQ870	Licensed Representative (Provisional Licence)	9
CHAN Wai Nga, Julia	AMU107	Licensed Representative (Provisional Licence)	1, 4
CHAU Wallace Tin Lun	BIO890	Licensed Representative (Provisional Licence)	1, 6
CHEN Han	BIK403	Licensed Representative (Provisional Licence)	1, 6
CHEN Hsin Yu	BIO223	Licensed Representative (Provisional Licence)	1, 4, 9
CHEN Liu Shan	BIN722	Licensed Representative (Provisional Licence)	1, 6
CHENG Cheuk Yin	BIO891	Licensed Representative (Provisional Licence)	1, 6
CHENG Pui Ling	BIM381	Licensed Representative (Provisional Licence)	1
CHENG Yujia	BIQ365	Licensed Representative (Provisional Licence)	4, 9
CHEUNG Hei Man	BIR346	Licensed Representative (Provisional Licence)	4, 9
CHEUNG Wa Ching	BIQ610	Licensed Representative (Provisional Licence)	1



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2016

CE Name	CE No.	License Type	Types of Regulated Activity
CHIANG Kam Wah	BFL529	Licensed Representative (Provisional Licence)	2
CHIN Wai Hung, Jack	AOS683	Licensed Representative (Provisional Licence)	1
CHIN Yuan, Sef	BIN543	Licensed Representative (Provisional Licence)	1, 4
CHO Kin Keung, Keith	BDO181	Licensed Representative (Provisional Licence)	6
CHONG Kok Yeow	BIO688	Licensed Representative (Provisional Licence)	1
CHOW Chun Yue Louis	BIQ704	Licensed Representative (Provisional Licence)	1, 2
CHOW Franki	BIQ606	Licensed Representative (Provisional Licence)	3
CHOW Kai Sing	AMP342	Licensed Representative (Provisional Licence)	1
CHU Cheuk Yin	BIO192	Licensed Representative (Provisional Licence)	1, 4
CHU Mei Fong	BCZ103	Licensed Representative (Provisional Licence)	1
CHU Wai Yan Yanny	BIQ626	Licensed Representative (Provisional Licence)	1
CHUEN Tin Chun, Andrew	BIN687	Licensed Representative (Provisional Licence)	1, 4
CHUI Tak Mong Jodie	BIQ703	Licensed Representative (Provisional Licence)	1
CHUNG Po Wah, Emily	ANY049	Licensed Representative (Provisional Licence)	1
CUI Jingyi	BIM301	Licensed Representative (Provisional Licence)	4
DENG Qi	BIH039	Licensed Representative (Provisional Licence)	1
DESHMUKH Aniruddha Ravindra	ANG434	Licensed Representative (Provisional Licence)	4, 9
DIU Dave	BIN437	Licensed Representative (Provisional Licence)	1, 6
D'SILVA Anthony Hogest	BIQ706	Licensed Representative (Provisional Licence)	9
EME Philippe Jean	AEX901	Licensed Representative (Provisional Licence)	1, 2
FAN Rui	BIP081	Licensed Representative (Provisional Licence)	1, 4, 9
FENG Yang	BIO492	Licensed Representative (Provisional Licence)	1
FISHER Hugo Robert Heathcote	BIO854	Licensed Representative (Provisional Licence)	1, 4
FOO Kin Chung	AQJ492	Licensed Representative (Provisional Licence)	1, 4
FU Chengfei	BIN392	Licensed Representative (Provisional Licence)	1, 6
FU Yiu Man, Peter	AAC370	Licensed Representative (Provisional Licence)	1, 6, 9
FUNG Chi Hang	AMK097	Licensed Representative (Provisional Licence)	1, 9
FUNG Po Yuk	BIN045	Licensed Representative (Provisional Licence)	1
GAO Duohuai	BIM697	Licensed Representative (Provisional Licence)	1, 6
GAO Ruoran	BIM689	Licensed Representative (Provisional Licence)	1, 6
GAO Ya	AZJ498	Licensed Representative (Provisional Licence)	1, 4, 6
GAO Yuan	BIN422	Licensed Representative (Provisional Licence)	1, 4, 9
GEARY Nathan James	BIQ213	Licensed Representative (Provisional Licence)	1
GOEL Maneesh	BIQ203	Licensed Representative (Provisional Licence)	1, 4, 6
GOULET Martin	AZK191	Licensed Representative (Provisional Licence)	9
GU Hanyu	BIR327	Licensed Representative (Provisional Licence)	1, 6
GUPTA Siddharth	BIQ330	Licensed Representative (Provisional Licence)	1, 2, 9
HALLAM Nicholas	BIM618	Licensed Representative (Provisional Licence)	1
HAN Yao Guang	BIQ629	Licensed Representative (Provisional Licence)	1, 6
HARIHARAN Narayan Iyer	BIO463	Licensed Representative (Provisional Licence)	1, 4, 6
HE Eda Yee Yee	BAF816	Licensed Representative (Provisional Licence)	9
HE Jingjia	BIM420	Licensed Representative (Provisional Licence)	1
HO Felix Tsz Kam	AZQ788	Licensed Representative (Provisional Licence)	1



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2016

CE Name	CE No.	License Type	Types of Regulated Activity
HO Ka Lun	BIN776	Licensed Representative (Provisional Licence)	1, 4, 5, 9
HO Wai Yu	BIO618	Licensed Representative (Provisional Licence)	1, 6
HOLFORD Jeffrey	BIM503	Licensed Representative (Provisional Licence)	4
HOMSCHEID Conor Soren	BIO893	Licensed Representative (Provisional Licence)	6
HONG Yong Wha	BIL915	Licensed Representative (Provisional Licence)	1, 4
HU Zhidong	BIL713	Licensed Representative (Provisional Licence)	1, 6
HUANG Junwei	AVM453	Licensed Representative (Provisional Licence)	9
HUANG Qiqi	BIO245	Licensed Representative (Provisional Licence)	1, 2, 4
HUANG Shengran	BIQ229	Licensed Representative (Provisional Licence)	1, 4, 9
HUI Mei Ki	ANV019	Licensed Representative (Provisional Licence)	1, 4, 9
HUI On Ki	AVS799	Licensed Representative (Provisional Licence)	1, 4
HUNG Shui Lun, Clarence	AEE169	Licensed Representative (Provisional Licence)	1
IP Wing Tuen, Joyce	BIN861	Licensed Representative (Provisional Licence)	1, 6
IRWIN Matthew James	BIK613	Licensed Representative (Provisional Licence)	2
ISHIGAMI Daniel Akio	BIO183	Licensed Representative (Provisional Licence)	1, 4
JANG Minhyeok	BIQ771	Licensed Representative (Provisional Licence)	1, 4
JIA Weimian	BIK043	Licensed Representative (Provisional Licence)	4, 9
JUNG Insuk	BIN964	Licensed Representative (Provisional Licence)	1
JUNG Sojin	BIM945	Licensed Representative (Provisional Licence)	1, 2
KAM Kit	BIN997	Licensed Representative (Provisional Licence)	1
KAN Chi Kit	BIN210	Licensed Representative (Provisional Licence)	1, 2
KANG Ning	BIO599	Licensed Representative (Provisional Licence)	1, 4, 6
KO Liang-wei Richard	AFP185	Licensed Representative (Provisional Licence)	4
KO Vanessa	BIQ200	Licensed Representative (Provisional Licence)	1
KRONBORG Martin Ulrich	BGN047	Licensed Representative (Provisional Licence)	9
KUO Chien Liang	BIN828	Licensed Representative (Provisional Licence)	1, 2, 4, 5
KUSAKA Daisuke	BIO467	Licensed Representative (Provisional Licence)	1
KWOK Pak Kuen	BIM405	Licensed Representative (Provisional Licence)	1
LAI Chun Kit	BGH428	Licensed Representative (Provisional Licence)	9
LAI Hiu Tung	BEU887	Licensed Representative (Provisional Licence)	2
Lai Kar Kei	AJX013	Licensed Representative (Provisional Licence)	1, 4
LAI Wai Heng	BIO868	Licensed Representative (Provisional Licence)	1
LAM Ah Wai, Ammy	BIL712	Licensed Representative (Provisional Licence)	1, 4, 9
LAM Cheuk Fung	BIN848	Licensed Representative (Provisional Licence)	6
LAM Ho Yan	BIK484	Licensed Representative (Provisional Licence)	9
LAM Jason Chee-hin	BIR280	Licensed Representative (Provisional Licence)	4
LAM Ka Wai	BIM551	Licensed Representative (Provisional Licence)	1, 6, 9
LAM Kuen	ANJ657	Licensed Representative (Provisional Licence)	1, 9
LAM Kwong Fung	ANS191	Licensed Representative (Provisional Licence)	3
LANDAIS Francois Michel Florent	BIN527	Licensed Representative (Provisional Licence)	1, 2, 4
LAU Ka Yan	BIN606	Licensed Representative (Provisional Licence)	10
LAU Kai Yam	BBA472	Licensed Representative (Provisional Licence)	1
LAU Wai Man	BIQ232	Licensed Representative (Provisional Licence)	1, 2



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2016

CE Name	CE No.	License Type	Types of Regulated Activity
LAUNGANI Gautam Sukhdev	ANQ013	Licensed Representative (Provisional Licence)	1, 2
LAW Long Hei Adrian	BIQ843	Licensed Representative (Provisional Licence)	1, 2, 4, 5
LAW Tsz Kwan Iris	BIL950	Licensed Representative (Provisional Licence)	1, 4
LEE Juwan Ju Hoon	ADT663	Licensed Representative (Provisional Licence)	9
LEE Kwok Yan	BIO431	Licensed Representative (Provisional Licence)	1, 4, 6
LEE Mei Wan Irene	AST031	Licensed Representative (Provisional Licence)	1
LEE Woojin	AQI793	Licensed Representative (Provisional Licence)	4, 9
LEE Yee Pui, Alison	BIR182	Licensed Representative (Provisional Licence)	4
LEE Ying Kin	AUO103	Licensed Representative (Provisional Licence)	1
LEE Yu Sang Edison	ADI291	Licensed Representative (Provisional Licence)	4
LEIGH Britton S	BIQ763	Licensed Representative (Provisional Licence)	1, 9
LEO Peck Chao	AJE093	Licensed Representative (Provisional Licence)	9
LEUNG Hang Fung	BIQ318	Licensed Representative (Provisional Licence)	1, 2
LEUNG Kwai Ki	BIN994	Licensed Representative (Provisional Licence)	9
LEUNG Pui Shan	AEC084	Licensed Representative (Provisional Licence)	1, 4
LI Chun Kit	BIP940	Licensed Representative (Provisional Licence)	1
LI Da	BIO662	Licensed Representative (Provisional Licence)	1, 6
LI Guowei	BIG462	Licensed Representative (Provisional Licence)	1, 2
LI Kai Wang	BFY153	Licensed Representative (Provisional Licence)	2
LI Lok Hing	BIQ838	Licensed Representative (Provisional Licence)	1, 4, 9
LI Pengnan	BIR379	Licensed Representative (Provisional Licence)	1, 4, 9
LI Siyu	BIQ773	Licensed Representative (Provisional Licence)	9
LI Ying	AWP050	Licensed Representative (Provisional Licence)	9
LI Yuan Hong	BII903	Licensed Representative (Provisional Licence)	1, 9
LI Yue	BIN442	Licensed Representative (Provisional Licence)	1, 4
LI Yunyi	BIR345	Licensed Representative (Provisional Licence)	4
LI Yuqing	BIO498	Licensed Representative (Provisional Licence)	1, 4
LIANG Jjian	BIN264	Licensed Representative (Provisional Licence)	4, 9
LIANG Jun	BIN429	Licensed Representative (Provisional Licence)	1, 4, 6
LIANG Yue	BIL738	Licensed Representative (Provisional Licence)	1, 4, 6
LIN Hsin Ya	BIM440	Licensed Representative (Provisional Licence)	1, 2, 4, 5
LIN Na	BBR324	Licensed Representative (Provisional Licence)	4
LIN Wei Yu	BIQ705	Licensed Representative (Provisional Licence)	2
LIN Yuanyuan	BIJ850	Licensed Representative (Provisional Licence)	4
LIU Bing	BIK705	Licensed Representative (Provisional Licence)	4
LIU Chun Wah	BIN603	Licensed Representative (Provisional Licence)	9
LIU Hanqing	BIQ854	Licensed Representative (Provisional Licence)	1, 4, 6
LIU Mengying	BIN851	Licensed Representative (Provisional Licence)	4, 9
LIU Weiwei	BIQ631	Licensed Representative (Provisional Licence)	1
LIU Weiyue	BIR050	Licensed Representative (Provisional Licence)	1
LIU Yihan	BIQ173	Licensed Representative (Provisional Licence)	1
LO Chiu-jung	BIM504	Licensed Representative (Provisional Licence)	1, 4, 9
LO Ki Cheung	BIN969	Licensed Representative (Provisional Licence)	6



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2016

CE Name	CE No.	License Type	Types of Regulated Activity
LO Pan Lam	BIQ323	Licensed Representative (Provisional Licence)	1, 2
LO Pui Ki	BAN230	Licensed Representative (Provisional Licence)	1, 4, 9
LO Shih-hung	ALR980	Licensed Representative (Provisional Licence)	1, 2
LO Wing Kin, Kenneth	AFA296	Licensed Representative (Provisional Licence)	1
LOH Qiuli	BIO294	Licensed Representative (Provisional Licence)	1, 9
LU Chengfang	BIO470	Licensed Representative (Provisional Licence)	1
LUI Chi Hang	AYW027	Licensed Representative (Provisional Licence)	1, 4, 9
LUK Kin Man John	BIQ747	Licensed Representative (Provisional Licence)	1, 4
LUO Ran	BIO497	Licensed Representative (Provisional Licence)	1, 4
LUO Wen	BIJ012	Licensed Representative (Provisional Licence)	1, 4
LYU Xiaoyan	BIN439	Licensed Representative (Provisional Licence)	6
MAFFRE Patrice Christophe Benoit	BIQ591	Licensed Representative (Provisional Licence)	1, 4, 6
MAK Mei Kuen	BBZ805	Licensed Representative (Provisional Licence)	6
MAK Shing Sum	BIL593	Licensed Representative (Provisional Licence)	6
MATSUO Takuma	BIN572	Licensed Representative (Provisional Licence)	4
MILLER Magdalene Ka Hung Nancy	AYF299	Licensed Representative (Provisional Licence)	1
MOK Ho Kiu, Olivia	BIM846	Licensed Representative (Provisional Licence)	6
MONTET Lucas	BIQ389	Licensed Representative (Provisional Licence)	1, 2, 7
NG Chun Kit	BIN827	Licensed Representative (Provisional Licence)	6
NG Chun Man, Michael	AQQ196	Licensed Representative (Provisional Licence)	1, 6
NG Lok Man, Rose	BIQ518	Licensed Representative (Provisional Licence)	4, 5, 9
ORBUCH Steven Edward	BIR159	Licensed Representative (Provisional Licence)	1, 9
OSHIKAWA Yoshiharu	BHN851	Licensed Representative (Provisional Licence)	1
OU Qiuyan	BIO916	Licensed Representative (Provisional Licence)	1
OUYANG Shirui	BIN775	Licensed Representative (Provisional Licence)	4
PARK Hyung Jin	APB995	Licensed Representative (Provisional Licence)	1
PAVRI Tina Koryn	BIO508	Licensed Representative (Provisional Licence)	4
PEARSON James	ABN229	Licensed Representative (Provisional Licence)	1, 4, 6
PEARSON Stanley Douglas	BFU454	Licensed Representative (Provisional Licence)	1
PONG Kwok Hung, Patrick	AEL747	Licensed Representative (Provisional Licence)	4
POWELL Stuart Baden	BDO331	Licensed Representative (Provisional Licence)	1, 7
PURANDARE Rajshekhar	BIO884	Licensed Representative (Provisional Licence)	2, 5
PURI Moneesh	BIR081	Licensed Representative (Provisional Licence)	1, 4
QI Haiying	BFB179	Licensed Representative (Provisional Licence)	1, 4, 9
QIAN Manman	BIO290	Licensed Representative (Provisional Licence)	1
QU Hong	BIN732	Licensed Representative (Provisional Licence)	4
RAO Weinan	BDQ796	Licensed Representative (Provisional Licence)	1, 2
RHEE Samuel Gene	AFE900	Licensed Representative (Provisional Licence)	1
SALAROLI Martin	BIN989	Licensed Representative (Provisional Licence)	1, 4, 5
SANTHA Daniel	BHK899	Licensed Representative (Provisional Licence)	1, 2
SHEN Yang	BAX620	Licensed Representative (Provisional Licence)	1
SHI Qian	AYQ637	Licensed Representative (Provisional Licence)	1, 6
SHIN Steven Sung Ho	BIP978	Licensed Representative (Provisional Licence)	1



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2016

CE Name	CE No.	License Type	Types of Regulated Activity
SHIU Man Yee	BIO883	Licensed Representative (Provisional Licence)	2, 5
SIEW Jhun Quyn	BIN590	Licensed Representative (Provisional Licence)	1, 6
SIT Hau Yi	BEE951	Licensed Representative (Provisional Licence)	2
SONG Wenzhi	BDV263	Licensed Representative (Provisional Licence)	9
SUI Nan Xu	BIQ781	Licensed Representative (Provisional Licence)	1, 4
SUN Steve Donald	ABX349	Licensed Representative (Provisional Licence)	1
SUNG Poh Hong	BIN519	Licensed Representative (Provisional Licence)	1, 2
SUWANAPRUTI Udom	BIO103	Licensed Representative (Provisional Licence)	1, 4
TAM Ka Hong	BIQ325	Licensed Representative (Provisional Licence)	1
TAN Yu Sian	BIM915	Licensed Representative (Provisional Licence)	4, 6
TANTLEFF Jacob William	BIO896	Licensed Representative (Provisional Licence)	1, 7
TAO Liyuan	AWK183	Licensed Representative (Provisional Licence)	1
TIN Wai Man	ARG334	Licensed Representative (Provisional Licence)	1, 4, 5
TONG Tao	AYA343	Licensed Representative (Provisional Licence)	1, 4, 6, 9
TOPPANI Daniel Simon	BBZ367	Licensed Representative (Provisional Licence)	9
TSANG Kenneth Ky Sung	AZH816	Licensed Representative (Provisional Licence)	4
TSANG Ki Lok Kenneth	ANM956	Licensed Representative (Provisional Licence)	1, 2
TSE Yue Hong	ANQ294	Licensed Representative (Provisional Licence)	1, 4
WISEUX Martin, Patrick, Marie, Guillaume	BIM648	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 9
WAKIZAKI Emi	ANH732	Licensed Representative (Provisional Licence)	1, 6
WANG Bo	AMN889	Licensed Representative (Provisional Licence)	4, 9
WANG Borui	BIO299	Licensed Representative (Provisional Licence)	1, 4
WANG Donglei	BIR748	Licensed Representative (Provisional Licence)	1, 6
WANG Fan	BIM260	Licensed Representative (Provisional Licence)	4
WANG Haonan	BIM693	Licensed Representative (Provisional Licence)	1, 6
WANG Mengkai	BIR351	Licensed Representative (Provisional Licence)	4
WANG Xiaoyue	BIO184	Licensed Representative (Provisional Licence)	9
WANG Yu	BIC278	Licensed Representative (Provisional Licence)	1
WANG Zhongda	BIN331	Licensed Representative (Provisional Licence)	1
WEN Yongwen	BIR692	Licensed Representative (Provisional Licence)	1, 4, 9
WEN Zhigang	BIM633	Licensed Representative (Provisional Licence)	4, 9
WONG Chin Lun	BIQ867	Licensed Representative (Provisional Licence)	1
WONG Chor Kwan	AVS145	Licensed Representative (Provisional Licence)	1, 4
WONG Chun Chin	ACY907	Licensed Representative (Provisional Licence)	1, 4
WONG Ka Chun	BIM268	Licensed Representative (Provisional Licence)	1, 2
WONG Ki Cheong	BIO248	Licensed Representative (Provisional Licence)	1, 4
WONG Kin Fung	BIN571	Licensed Representative (Provisional Licence)	1
WONG Ling Chi	BIP062	Licensed Representative (Provisional Licence)	1, 4
WONG Long Chun	BIO909	Licensed Representative (Provisional Licence)	2
WONG Sau Fun	AJB428	Licensed Representative (Provisional Licence)	2
WONG Suet Ying	BIM582	Licensed Representative (Provisional Licence)	1, 6
WONG Yuk Yee Rachel	BIO923	Licensed Representative (Provisional Licence)	9
WU Wan Ru	BIQ110	Licensed Representative (Provisional Licence)	1, 9



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2016

CE Name	CE No.	License Type	Types of Regulated Activity
XIA Yang	BIR052	Licensed Representative (Provisional Licence)	1, 6
XIAO Yanyan	BIL686	Licensed Representative (Provisional Licence)	4
XIE Bo	BIN468	Licensed Representative (Provisional Licence)	9
XING Xing	BIO683	Licensed Representative (Provisional Licence)	1, 4, 9
XIONG Jiani	BIM607	Licensed Representative (Provisional Licence)	1, 4
XU Shan	BIR248	Licensed Representative (Provisional Licence)	1
XU Shengjie	BIQ715	Licensed Representative (Provisional Licence)	10
XU Shigang	BIH840	Licensed Representative (Provisional Licence)	4
XU Wentao	BIO043	Licensed Representative (Provisional Licence)	6
YANG Shuo	BHZ281	Licensed Representative (Provisional Licence)	1, 4
YANG Xu	BIN075	Licensed Representative (Provisional Licence)	1, 2, 9
YANG Yang	AZF235	Licensed Representative (Provisional Licence)	4, 9
YAO Kuo Yi, Patterson	AAH645	Licensed Representative (Provisional Licence)	4, 9
YAO Zeyu	BIJ003	Licensed Representative (Provisional Licence)	1, 4
YAU Gary Tsz Kit	BIQ776	Licensed Representative (Provisional Licence)	4
YEE Teck Huat	BGZ316	Licensed Representative (Provisional Licence)	4
YEUNG Chi Hong	BIQ873	Licensed Representative (Provisional Licence)	9
YEUNG Fai	BEO592	Licensed Representative (Provisional Licence)	1
YEUNG Ham	ANU667	Licensed Representative (Provisional Licence)	1, 4
YIM Yeuk King, Claire	AIB370	Licensed Representative (Provisional Licence)	1
YING Lianzheng	BIM793	Licensed Representative (Provisional Licence)	1, 6
YU Lu	BIP992	Licensed Representative (Provisional Licence)	6
YU Robert Leo Alfaro	BEU882	Licensed Representative (Provisional Licence)	1, 6
YU Tianbo	BIO480	Licensed Representative (Provisional Licence)	1, 4
YU Yilu	BIM687	Licensed Representative (Provisional Licence)	1
YUAN Zhongyu	BIQ601	Licensed Representative (Provisional Licence)	4
YUEN Pui Kei	BIR019	Licensed Representative (Provisional Licence)	1, 6
ZENG Mengya	BIL757	Licensed Representative (Provisional Licence)	1, 4
ZHAN Yi	BIG057	Licensed Representative (Provisional Licence)	1, 2, 7
ZHANG Jingkun	BGG673	Licensed Representative (Provisional Licence)	2
ZHANG Junxiong	BIK397	Licensed Representative (Provisional Licence)	1, 6
ZHANG Mengyi	BIO476	Licensed Representative (Provisional Licence)	1, 2
ZHANG Shang	BIO049	Licensed Representative (Provisional Licence)	6
ZHANG Shaobo	BIM865	Licensed Representative (Provisional Licence)	9
ZHANG Xiaobin	BIR046	Licensed Representative (Provisional Licence)	1, 6
ZHANG Zhaohan	BIO066	Licensed Representative (Provisional Licence)	6
ZHAO Bingyu	BIQ760	Licensed Representative (Provisional Licence)	2
ZHENG Hongda	BIH547	Licensed Representative (Provisional Licence)	4
ZHENG Li	BIN037	Licensed Representative (Provisional Licence)	1
ZHENG Zuer	BIN860	Licensed Representative (Provisional Licence)	1, 6
ZHONG Ming	BIH896	Licensed Representative (Provisional Licence)	1, 4
ZHONG Yi Ming	BIK195	Licensed Representative (Provisional Licence)	1
ZHOU Jifangfang	BIN823	Licensed Representative (Provisional Licence)	1, 4



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2016

CE Name	CE No.	License Type	Types of Regulated Activity
ZHU Di	BIR664	Licensed Representative (Provisional Licence)	1, 4, 6
ZHU Qi	BIH729	Licensed Representative (Provisional Licence)	1, 4, 9
ZONG Dong	BIM216	Licensed Representative (Provisional Licence)	1, 9
ARNOLD Deryck Adrian	BIL911	Licensed Representative (Temporary Licence)	1
ASSOUAD Leith-Anthony Alfred	BIM850	Licensed Representative (Temporary Licence)	1, 2
BOYD Benjamin John	BIS576	Licensed Representative (Temporary Licence)	1, 4, 6
CHAN Simon	BCT212	Licensed Representative (Temporary Licence)	1, 4
CHEN Lan	BIQ901	Licensed Representative (Temporary Licence)	4
CHENG Haiwen	BIN077	Licensed Representative (Temporary Licence)	1, 4
CHENG Robin Shiang	ATD727	Licensed Representative (Temporary Licence)	1, 4
CHEONG Rebecca Ka Wing	BIQ011	Licensed Representative (Temporary Licence)	1
CHIA Jackie Shu Min	BIG318	Licensed Representative (Temporary Licence)	1, 2
CHONG Jingle	BFF394	Licensed Representative (Temporary Licence)	1, 2
CHONG Petrina Pek Ling	AWP648	Licensed Representative (Temporary Licence)	4
CHOPRA Raashi	BEE682	Licensed Representative (Temporary Licence)	4
CHOPRA Sameer	BAU420	Licensed Representative (Temporary Licence)	1, 4
CHUGH Geeta	BIN156	Licensed Representative (Temporary Licence)	10
CLINT Oswald Conan	AVH615	Licensed Representative (Temporary Licence)	4
DARK Adrian John	BFA326	Licensed Representative (Temporary Licence)	4
DE NOON Paul Joseph	ALY371	Licensed Representative (Temporary Licence)	1
ELLIOTT Michael John	AWE535	Licensed Representative (Temporary Licence)	1
ELLIOTT Roger John	BEN554	Licensed Representative (Temporary Licence)	4
FOODEY David Nicholas	AQS670	Licensed Representative (Temporary Licence)	1
FRIEL Stephen Robert	BIO910	Licensed Representative (Temporary Licence)	1, 4
GONZALEZ HERES Jose Francisco	AQS673	Licensed Representative (Temporary Licence)	1
GOYAL Surendra Kumar	BEO301	Licensed Representative (Temporary Licence)	4
GREENBERGER Kimberly Conroy	BBK170	Licensed Representative (Temporary Licence)	1
HARTING Morgan Clothier	AXT012	Licensed Representative (Temporary Licence)	1
HASE Takeaki	BII442	Licensed Representative (Temporary Licence)	1, 2
HAYES Christopher John	BEB738	Licensed Representative (Temporary Licence)	1
HESSE Oliver Marc	BGK627	Licensed Representative (Temporary Licence)	1
HO Marcus Jian Yung	BID036	Licensed Representative (Temporary Licence)	1
HOH Pai Sen, Fredy	BIQ748	Licensed Representative (Temporary Licence)	1, 4
JOHNSON Richard James Spencer	AWT702	Licensed Representative (Temporary Licence)	4
KIM Hyo Jun	AOH894	Licensed Representative (Temporary Licence)	4
KIM Sang Soo	BDR263	Licensed Representative (Temporary Licence)	4
KIMURA Gen	AVR981	Licensed Representative (Temporary Licence)	1, 2
KUBOTA Masashi	BBA891	Licensed Representative (Temporary Licence)	1, 4
LEBRUN JAMIE	BBI023	Licensed Representative (Temporary Licence)	1, 2
LEE Chul Ho	BIN810	Licensed Representative (Temporary Licence)	4
LEE Chung-Han	BET671	Licensed Representative (Temporary Licence)	1, 2
LEE Chung-Han	BET671	Licensed Representative (Temporary Licence)	1, 2
LEE KyoungJu	BGT421	Licensed Representative (Temporary Licence)	4



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2016

CE Name	CE No.	License Type	Types of Regulated Activity
LEE Ming-Hsun	BAO744	Licensed Representative (Temporary Licence)	1, 4
LEE Ming-Hsun	BAO744	Licensed Representative (Temporary Licence)	1, 4
LIGHT Andrew James	BEN573	Licensed Representative (Temporary Licence)	4
LIN Maximilian George	BHY284	Licensed Representative (Temporary Licence)	4
LONDON Eric Ronald	BIR382	Licensed Representative (Temporary Licence)	1, 4
MANSELL Steven Robert	BEI891	Licensed Representative (Temporary Licence)	4
MCCONVILLE Christopher Patrick	BIN845	Licensed Representative (Temporary Licence)	1
MIYAMOTO Go	BIN850	Licensed Representative (Temporary Licence)	4
MORRIS Robert Scott	BGL227	Licensed Representative (Temporary Licence)	4
MOULDER Anthony Bruce	BFB113	Licensed Representative (Temporary Licence)	4
NA Eun Chae	BGC163	Licensed Representative (Temporary Licence)	4
NAGOYA Munetaka	AXB794	Licensed Representative (Temporary Licence)	1, 2
NARAIN Manik	BIQ875	Licensed Representative (Temporary Licence)	4
NIETSCH Eric Clark	BIA055	Licensed Representative (Temporary Licence)	10
NIHONYANAGI Kei	BBP472	Licensed Representative (Temporary Licence)	1, 4
NOLLE JR Paul Adams	BIQ025	Licensed Representative (Temporary Licence)	1
OKAMOTO Soshi	BGB194	Licensed Representative (Temporary Licence)	1, 2
OMURO Tomoyoshi	APP829	Licensed Representative (Temporary Licence)	4
OSIANDER Ernst Josef	BEE962	Licensed Representative (Temporary Licence)	1
PARK Young Jin	BIP067	Licensed Representative (Temporary Licence)	1, 4
PODRUG Frane	BDH801	Licensed Representative (Temporary Licence)	1, 4
PRAKASH Sharad	BIR484	Licensed Representative (Temporary Licence)	1
REEMER Jeffrey Ross	BIR381	Licensed Representative (Temporary Licence)	1, 4
RESTOUT Thomas Jean	BIB315	Licensed Representative (Temporary Licence)	1, 2
SAEF Jeffrey Bruce	BHF805	Licensed Representative (Temporary Licence)	1, 4
SAKAI Rie	BGN378	Licensed Representative (Temporary Licence)	1, 4
SAMBOR Jean-Charles Pierre	BIQ355	Licensed Representative (Temporary Licence)	4
SENGOKU Makoto	BIR029	Licensed Representative (Temporary Licence)	4
SHANKER Ravi	BFM570	Licensed Representative (Temporary Licence)	1
SHINGLER Alexander Miles	BIO194	Licensed Representative (Temporary Licence)	1, 4
SINGH Puneet	BCG408	Licensed Representative (Temporary Licence)	4
STOCK Michael William Anderton	BHR752	Licensed Representative (Temporary Licence)	1, 4, 6
SUISSA Daniel	BAH960	Licensed Representative (Temporary Licence)	1, 2
TAYAL Kunal	BBL045	Licensed Representative (Temporary Licence)	1, 4
WANE Christopher John	BIR999	Licensed Representative (Temporary Licence)	1, 4
WANG Guangjun	BIJ167	Licensed Representative (Temporary Licence)	1, 6
WANG Shiyan	BDP424	Licensed Representative (Temporary Licence)	4
WANG Wenbei	BCS501	Licensed Representative (Temporary Licence)	6
WINARDI Ronald	AXL931	Licensed Representative (Temporary Licence)	4
WITTET Alistair Patrick	BID171	Licensed Representative (Temporary Licence)	4
WONG Ee Kay Geoffrey	BFZ518	Licensed Representative (Temporary Licence)	1, 4
XIE Jiaoyue	BHU354	Licensed Representative (Temporary Licence)	1, 4
YAU Hoe Kiat, Patrick	AML197	Licensed Representative (Temporary Licence)	4

**REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2016**

CE Name	CE No.	Licence Type	Types of Regulated Activity
YEE Kar Seng	AVJ477	Licensed Representative (Temporary Licence)	1, 2
YEUNG Tung Wai	BIM830	Licensed Representative (Temporary Licence)	10
ZAMIR Omar	BAP084	Licensed Representative (Temporary Licence)	1
ZHONG Hui	BIR274	Licensed Representative (Temporary Licence)	4
ZHONG Xiaoling	BGW439	Licensed Representative (Temporary Licence)	10