


REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
PAMA Group (Hong Kong) Limited	ACJ884	Licensed Corporation	4
SinoPac Securities (Asia) Limited - SinoPac Securities (Asia) Limited (ACD026), Tung Shing Futures (Brokers) Limited (AHD071) and Tung Shing Securities (Brokers) Limited (ACP065) amalgamated on 13 February 2017, pursuant to the relevant provisions of the Companies Ordinance (Chapter 622). The amalgamated company is SinoPac Securities (Asia) Limited (BIZ502).	ACD026	Licensed Corporation	1, 2, 4, 5, 9
Tung Shing Futures (Brokers) Limited - Tung Shing Futures (Brokers) Limited (AHD071), SinoPac Securities (Asia) Limited (ACD026) and Tung Shing Securities (Brokers) Limited (ACP065) amalgamated on 13 February 2017, pursuant to the relevant provisions of the Companies Ordinance (Chapter 622). The amalgamated company is SinoPac Securities (Asia) Limited (BIZ502).	AHD071	Licensed Corporation	2
Tung Shing Securities (Brokers) Limited - Tung Shing Securities (Brokers) Limited (ACP065), SinoPac Securities (Asia) Limited (ACD026) and Tung Shing Futures (Brokers) Limited (AHD071) amalgamated on 13 February 2017, pursuant to the relevant provisions of the Companies Ordinance (Chapter 622). The amalgamated company is SinoPac Securities (Asia) Limited (BIZ502).	ACP065	Licensed Corporation	1, 3
ARORA Varun	BBQ304	Licensed Representative	4, 9
AU-YONG Tze Lung	APQ847	Licensed Representative	1
BHARDWAJ Vikram	BAC048	Licensed Representative	1, 6
CAI Shuang	BBQ603	Licensed Representative	1, 4, 6
CAI Yibo	BCN610	Licensed Representative	1
CAMPBELL Silas	BFX018	Licensed Representative	1, 2
CHAN Ching Man	BEF110	Licensed Representative	1, 2
CHAN Chor Chuen, Christopher	ARP197	Licensed Representative	4, 9
CHAN Chor Wan	AWX250	Licensed Representative	1
CHAN Chun Kit	BEU994	Licensed Representative	1
CHAN Chun Lin, Johnny	BFO992	Licensed Representative	4, 9
CHAN Chun Ming	AJG526	Licensed Representative	1, 2
CHAN Chun Wang	BGZ134	Licensed Representative	3
CHAN Chung Hoi	BDZ088	Licensed Representative	9
CHAN Hiu Chun	ANS074	Licensed Representative	4
CHAN Kin Fei, Adrian	AMA052	Licensed Representative	6
CHAN Kwok Wai	BCW251	Licensed Representative	9
CHAN Lai Sze	ACO201	Licensed Representative	1, 4, 9
CHAN Sean Meng Kwong	AXK658	Licensed Representative	1, 2
CHAN Shu Pui	AVA786	Licensed Representative	1, 2
CHAN Wai Sing	AWJ805	Licensed Representative	1
CHANG Kai Sing	BAT179	Licensed Representative	1
CHE Chuan-Chih	ANU276	Licensed Representative	1
CHEANG Kok Chong, Allan	AAI611	Licensed Representative	1
CHEN Cepan	BBD006	Licensed Representative	1, 2
CHEN Fei	ANU926	Licensed Representative	1, 6
CHEN Haofei	AJD676	Licensed Representative	1, 4
CHEN Lu	BEH822	Licensed Representative	1, 4, 9
CHEN Po-Chen	AVM131	Licensed Representative	1, 2
CHENG Dickson	AGP527	Licensed Representative	4, 6



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2017

CE Name	CE No.	License Type	Types of Regulated Activity
CHENG Hao	AVZ084	Licensed Representative	10
CHENG Li	AZB216	Licensed Representative	9
CHENG Lin	BGN429	Licensed Representative	4, 9
CHEUNG Lai Ping	ACJ211	Licensed Representative	1, 2
CHEUNG Lee Ling	BGG154	Licensed Representative	1
CHEUNG Po Shan, Priscilla	APU685	Licensed Representative	1, 2
CHEUNG Siu Fung	AZC794	Licensed Representative	6
CHEUNG Yiu Tak	AVC335	Licensed Representative	1
CHIK Pui Cheong, Upton	AEF322	Licensed Representative	1
CHING Wai Cheung	AFM355	Licensed Representative	1, 2
CHOI King Long	BEJ353	Licensed Representative	6
CHOI Sheung Wah	BHF331	Licensed Representative	9
CHOI Suet Ying	BGA482	Licensed Representative	1
CHOW Ching In	BFA701	Licensed Representative	1, 4, 6
CHOW Eric Yik Cheung	AIY958	Licensed Representative	1, 4, 5, 9
CHOW Ka Wai	AIU672	Licensed Representative	1, 4
CHOW Wai Man	ALV215	Licensed Representative	1, 4, 9
CHOY Patrick Puiyui	BGH500	Licensed Representative	9
CHU Ka Man	BGK281	Licensed Representative	1
CHU Winston Ho Yin	BFH484	Licensed Representative	4, 9
CHUNG Justina Jane	BDZ103	Licensed Representative	1, 6
CHUNG Kam Pui, Barry	AFK297	Licensed Representative	1
CHUNG Sau Lai	AOV151	Licensed Representative	2
CHUNG Wai Yin	BEW211	Licensed Representative	1, 2
CHUNG Wing Ling, Mavis	BDE473	Licensed Representative	1, 4
CHUNG Wing Yan, Amy	BHD526	Licensed Representative	1, 4
CUI Yuqing	BCU752	Licensed Representative	1, 4, 6
CUI Zheng	BGD405	Licensed Representative	6
CZYPIONKA Markus Carsten-christian	BHH780	Licensed Representative	1, 6
DAI Ying	AVV320	Licensed Representative	1, 4, 6
DAO Derrick	BHM203	Licensed Representative	1, 4, 6
D'ATH Stephanie Fabienne Marie-Pierre Johanna Rache	BEF693	Licensed Representative	1, 4
DAVIES Lloyd	APC386	Licensed Representative	1, 2
DENAPPE Olivier Gerard Rene	BCP996	Licensed Representative	1, 2, 4, 5
DHARMARAJ Ramasubramanian	APM154	Licensed Representative	1, 4
DHROLIA Samir	BAB637	Licensed Representative	1
DI Xiyao	BDV094	Licensed Representative	1, 6
DONG Dorothy J	BEE580	Licensed Representative	1, 4, 6
DONOVAN Paul	ATB735	Licensed Representative	4
DUNCAN Michael Troy	AQQ429	Licensed Representative	9
DUNDON Thomas Patrick	AVE803	Licensed Representative	1, 4, 6
ENGLAND-BRAMMER Simon James	AXZ247	Licensed Representative	1, 4
EU Andrew Douglas	AAD156	Licensed Representative	1, 4, 9



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2017

CE Name	CE No.	License Type	Types of Regulated Activity
EUCHUKANONCHAI Kasina	BGD420	Licensed Representative	1, 4, 6
FABIANI Parvesh Ashok	ARO764	Licensed Representative	1, 6
FAN Pui Man, Raymond	ANP628	Licensed Representative	1, 2
FANG Hua	AGN534	Licensed Representative	1, 4, 6
FOK Chi Wing, Mavis	APB671	Licensed Representative	1
FU Lin	BCV489	Licensed Representative	1, 4, 9
FUNG Chi Wai, Victor	APK975	Licensed Representative	4
GONG Shu	BHN018	Licensed Representative	1, 6
GONZALEZ QUEREJAZU Maria Mercedes	AST262	Licensed Representative	1
GOSIENGFIAO Fay Chong	BGA680	Licensed Representative	1, 6
GU Chengzong	BEX934	Licensed Representative	6
GU Qian	AYV781	Licensed Representative	1, 6
GU Qiaoyi	AUZ363	Licensed Representative	4
GU Shuaiqi	BDW553	Licensed Representative	6
GUO Cheng	BEF535	Licensed Representative	4, 9
GUO Yujia	BGE265	Licensed Representative	1, 4, 6
GUPTA Sachin	AOC936	Licensed Representative	4
GUPTE Alope	AYT729	Licensed Representative	1, 4, 6
HAN Bofei	BEN710	Licensed Representative	6
HAN Hongchao	BEK873	Licensed Representative	4, 9
HAN Xiaobo	BGF971	Licensed Representative	4
HANNING Matthew Paul	ALO222	Licensed Representative	6
HAR Kei Wah	BBU430	Licensed Representative	1
HAR Wilson Wai Shun	BBT689	Licensed Representative	9
HAYASE Takahiro	AUE611	Licensed Representative	1, 2
HE Jinchang	BEC496	Licensed Representative	4, 9
HE Siyi	BDU130	Licensed Representative	1
HELLY Jean-raymond Marie Roger	BAF306	Licensed Representative	1, 2
HENG Kia Mui	AJB996	Licensed Representative	1
HO Cheuk Lim	BHS197	Licensed Representative	1, 2
HO Chiu Lung	BFD661	Licensed Representative	1
HO Chong Yee, Maria	AAG138	Licensed Representative	1, 2
HO Ka Wai	AJK540	Licensed Representative	1
HO Kwun Kui Clarence	BDR779	Licensed Representative	1, 4, 6
HO Pui Yee	BGQ181	Licensed Representative	3
HO Shun On	BCV511	Licensed Representative	1
HO Suet Ying, Louise	AOE381	Licensed Representative	1
HO Suk Man, Betty	ARX005	Licensed Representative	1, 2
HO Tak Leung, Simon	AEE170	Licensed Representative	4
HO Wan Ching	BGD482	Licensed Representative	6
HON Timothy Chi Hang	BFO659	Licensed Representative	1, 4
HONG Yu	BDQ501	Licensed Representative	1, 4, 6
HOU Ke	BCJ570	Licensed Representative	1, 4, 9



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2017

CE Name	CE No.	License Type	Types of Regulated Activity
HOWARD Michael Joseph	BDN231	Licensed Representative	1, 2
HSU Hsiu Ping	AYJ219	Licensed Representative	9
HU Chen	BED786	Licensed Representative	1, 4, 6
HU Letian	BDI847	Licensed Representative	1, 4, 6
HU Tianyi	BFP197	Licensed Representative	2
HUA Changchun	BBM630	Licensed Representative	4
HUANG Jen-Liang	AVP159	Licensed Representative	2
HUANG Jun	BHM829	Licensed Representative	1, 4
HUANG Xuan	BDO191	Licensed Representative	4
HUI Chi Kit	BEX235	Licensed Representative	1, 4
HUNG Kwok Hing, Rebecca	BDA566	Licensed Representative	1
HUNG Yu	BGX407	Licensed Representative	1, 2
IKEDA Takao	AOA937	Licensed Representative	1, 2
IP Wai Hung	AFO416	Licensed Representative	1
JAUHAR Raman	AZA684	Licensed Representative	1, 2
JIANG Xiangyu	BBV023	Licensed Representative	4
JIN Dandan	BCF263	Licensed Representative	9
JIN Xiangrong	ARJ013	Licensed Representative	1, 9
KAGAWA Yoichi	AWC083	Licensed Representative	1
KAM Bing Tong, Joseph	AAD165	Licensed Representative	1
KANG Mooi Siah	BFB176	Licensed Representative	1
KELLER Guy Douglas Walter	BGM835	Licensed Representative	2
KIM Anna Yen	ANG466	Licensed Representative	1, 4, 9
KIM Bradley Byungki	AZC281	Licensed Representative	1, 6
KIM Hye Youn	BHY228	Licensed Representative	1
KO Choi Bun	BBB837	Licensed Representative	2
KOBAYASHI Sachiko	AEZ684	Licensed Representative	1, 2
KONG Chui Yee	BHD225	Licensed Representative	1
KOOK Soonki	BEB072	Licensed Representative	9
KUET Chu Chau	BBF122	Licensed Representative	1, 9
KWA Hui Qi	BDZ939	Licensed Representative	1, 6
KWOK Siu Chung, Jonathan	BBX571	Licensed Representative	1, 6
KWOK Wing Yin, Katherine	ALY185	Licensed Representative	1, 4, 9
KWOK YAU Mimie	AAB650	Licensed Representative	1, 2
KWONG Oi Ting	AGE086	Licensed Representative	1, 2
LAI Chi Kin	ABR456	Licensed Representative	1, 2
LAI Chin Pang	BAE060	Licensed Representative	9
LAI Chong Soan	BIQ627	Licensed Representative	1
LAI Ryann	BDR378	Licensed Representative	1, 6
LAI Yin Ching	AAB370	Licensed Representative	1
LAM Ho Pan	BGR157	Licensed Representative	1, 4
LAM Ka Man, Louise	BGL217	Licensed Representative	1, 4
LAM Kwok Fai	AOJ180	Licensed Representative	1, 4, 9



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2017

CE Name	CE No.	License Type	Types of Regulated Activity
LAM Kwong Ming	AGJ135	Licensed Representative	1
LAM Pak Hung	AAB549	Licensed Representative	1
LATHER Manik	ATQ944	Licensed Representative	1, 2
LAU Ricky	AEM916	Licensed Representative	1
LAU Suk Wo	AJH838	Licensed Representative	1
LAU Wing Man	BDM838	Licensed Representative	9
LAU Yee Ching	ADV029	Licensed Representative	1
LAU Yik Kwan	ACE649	Licensed Representative	1
LAW SHEK Ruth Shin Lai	ADW727	Licensed Representative	1, 2
LECHER Stefan Alexander	BBS630	Licensed Representative	9
LEE Benny Jason	BAB814	Licensed Representative	1, 6
LEE Beom Jun	AVV713	Licensed Representative	1
LEE Chi Han	AWZ320	Licensed Representative	1, 2
LEE Gee Eun	AXG142	Licensed Representative	1, 4
LEE Gek Lang	ADW891	Licensed Representative	1, 4
LEE Henry Him Wai	AEF855	Licensed Representative	1, 4
LEE James Sangwon	BEW672	Licensed Representative	1, 2
LEE Kin Pong	BHL570	Licensed Representative	1, 4
LEE Kwok Po	BBR127	Licensed Representative	4
LEE Sam Yau	BHG397	Licensed Representative	1, 2
LEONG Pui Yan Jane	BFZ017	Licensed Representative	1, 4, 6
LEUNG Alan	AGJ508	Licensed Representative	1, 9
LEUNG Chi Keung	ADF407	Licensed Representative	1
LEWIS Christopher Jeremy Stoney	ATE108	Licensed Representative	1, 2
LI Daniel Thomas	BBS877	Licensed Representative	1
LI Jiadai	BFG345	Licensed Representative	4, 9
LI Jing	ARI941	Licensed Representative	1, 2
LI Lin	BAG442	Licensed Representative	4, 9
LI Luxian	BHJ477	Licensed Representative	1
LI Qinyi	BFE533	Licensed Representative	4
LI Shu Kee, Kon	APH415	Licensed Representative	1
LI Yue	BFK317	Licensed Representative	1, 4
LIANG Jijian	BIN264	Licensed Representative	4, 9
LIANG Xiao	BHX801	Licensed Representative	1, 6
LIM Dong Soo	AME244	Licensed Representative	4
LIM Sze Liang	BDG899	Licensed Representative	4
LIN Bin	ARO456	Licensed Representative	1, 9
LIN Jianan	BFC645	Licensed Representative	4, 9
LIN Robert	AOR309	Licensed Representative	1, 4
LIN Shu-Wei	BFD026	Licensed Representative	1, 4, 6
LING Hau Yan	BAK942	Licensed Representative	1, 2
LIU Alan Chi Lun	ABX785	Licensed Representative	1, 4
LIU Jingjing	BEO133	Licensed Representative	9



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2017

CE Name	CE No.	License Type	Types of Regulated Activity
LIU Ka Ki	AST868	Licensed Representative	4, 9
LIU Luchan	BGQ378	Licensed Representative	1, 2
LIU Sisi	BGQ206	Licensed Representative	1, 4
LIU Tao	BFJ984	Licensed Representative	1, 2, 9
LIU Weixia	BHB343	Licensed Representative	1
LIU Wing Cheong	AGD042	Licensed Representative	2
LIU Xiaowei	BAS354	Licensed Representative	6
LIU Yang	BBB364	Licensed Representative	4
LIU Yishan	BHK595	Licensed Representative	1
LO Ka Son	BDM544	Licensed Representative	6
LO Yam Kau, Gregory	BHD019	Licensed Representative	1
LO Yim On	BDW364	Licensed Representative	4, 9
LU Xuan	BGR131	Licensed Representative	1, 4, 9
LU Ying	AXS473	Licensed Representative	1, 2, 4
LUK Wing Ki	BFF357	Licensed Representative	1, 4
LUO David Alex	BEE425	Licensed Representative	1
LUO Juan	AWQ261	Licensed Representative	1, 6
LUO Xun	AUW916	Licensed Representative	9
MA Jianwei	BDO483	Licensed Representative	1, 6
MA Lai Mei	AHC358	Licensed Representative	1
MA Shichao	BEV326	Licensed Representative	4, 9
MADDOCKS James Robert Kirkby	BBU657	Licensed Representative	1, 2
MAK Ho Fung	BEA927	Licensed Representative	1
MANSUKHANI Siddharth	BED055	Licensed Representative	1
MASHRU Namrata Sudhir	BAF822	Licensed Representative	1
MATAYOSHI Yuka	APR966	Licensed Representative	1
MENG Weihuan	AOL218	Licensed Representative	1, 4, 9
MO Weiming	BGQ366	Licensed Representative	1, 2
MODI Nishank Pramod	BGA623	Licensed Representative	1, 2, 9
MURRAY Christopher Ian	BEB227	Licensed Representative	1, 6
NAKASHIMA Masahiro	BHC542	Licensed Representative	6
NAN Fang	BCB483	Licensed Representative	1, 4, 9
NG Christian Kwok Fung	AYH498	Licensed Representative	9
NG Fai Hoo	APQ580	Licensed Representative	1, 4
NG Ho Wah	BBE969	Licensed Representative	1, 4, 9
NG Kei Man	BHC209	Licensed Representative	4, 9
NG Lin Fong	ABP400	Licensed Representative	1
NG Song Meng, Thomas	BHJ476	Licensed Representative	10
NG Wai Tin, William	ALZ888	Licensed Representative	1
NG Yongxiang	BFT102	Licensed Representative	1, 6
NG Yuen Ki	BFB096	Licensed Representative	1, 4, 9
NGAI Chi Wai, Lawrence	AIR895	Licensed Representative	1, 6
NGO Francois	AHG859	Licensed Representative	1, 2



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2017

CE Name	CE No.	License Type	Types of Regulated Activity
OH Wookjin	AUZ619	Licensed Representative	4, 9
OLIVIER Christophe	BFX206	Licensed Representative	1, 2, 7
OMURA Shunta	BBH669	Licensed Representative	4
ONG Eng Keong	BEB050	Licensed Representative	1
PAN Hailin	BHC186	Licensed Representative	4, 9
PAN Sai Hung, Joseph	AGF276	Licensed Representative	1
PANG Bik Yiu, Ivy	BFJ313	Licensed Representative	1, 4
PANG Hiu Ying	BEB419	Licensed Representative	1, 4
PAREKH Meetali Raenuka	BGK942	Licensed Representative	1, 4
PETROV Bozhidar Yordanov	BGM485	Licensed Representative	1, 2
PIPERAKIS Romanos	BCD627	Licensed Representative	1, 2, 7
POLETTI Marco	AZY391	Licensed Representative	1, 6
PONG Wai Ming	BBQ621	Licensed Representative	1
PU Lingxiao	BHT352	Licensed Representative	1, 2
QIU Weina	BBR422	Licensed Representative	1, 6
QIU Xiaolin	BEE257	Licensed Representative	1, 2
QUINLAN Christopher Paul	AVQ684	Licensed Representative	1, 9
RADONS Todd Dustin	BBH018	Licensed Representative	1, 4, 6
RAXENBERG Jason Garrett	BEC367	Licensed Representative	1, 2, 9
RENWICK Douglas Raymond	BBT820	Licensed Representative	10
ROBB Gordon Bjorn	BIQ332	Licensed Representative	1
ROGERS-UFF Jesse John	BFB282	Licensed Representative	1, 2
SAHA Kunal	APB639	Licensed Representative	9
SALTER Neil Anthony	ARN455	Licensed Representative	1
SEETO Dereke Charles Wing On	AYO959	Licensed Representative	1, 2, 5
SHA Sha	BFX499	Licensed Representative	1, 6
SHARMA Shravan Chandra	BIK619	Licensed Representative	1, 4
SHE Ka Kui	BGP132	Licensed Representative	1
SHEN Xiaojing	AVX684	Licensed Representative	1, 4, 9
SHEPHERD Daniel Lee	ALW937	Licensed Representative	1
SHI Pui Sha, Linda	AQA682	Licensed Representative	1, 2
SHI Wei	BFP534	Licensed Representative	1
SHOU Yiwen	AUZ821	Licensed Representative	4, 9
SHUM Siu Hong	BGG524	Licensed Representative	1, 4
SHUM Wai Sze	AFO652	Licensed Representative	9
SIMONS Warwick Dean	AWT996	Licensed Representative	1, 4
SINGH Rohit	AVJ143	Licensed Representative	9
SIT Sze Man, Tiffany	BHY503	Licensed Representative	1
SIU Chung Wa	BGA702	Licensed Representative	4
SIU Sheung Yin	BHK216	Licensed Representative	1, 2, 7
SO Siu Hei	AOB930	Licensed Representative	4, 9
SOEGIARTO Hendy	BEC764	Licensed Representative	4
SPENCE Travis Warner	ANH551	Licensed Representative	9



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2017

CE Name	CE No.	License Type	Types of Regulated Activity
STEPHEN Paul Roderick	BBL958	Licensed Representative	10
STREATFIELD David Alan	AVI634	Licensed Representative	1, 2
SUM Pun Yan	ADX895	Licensed Representative	1, 2
SUN Chi Keung, Alex	AMR298	Licensed Representative	1, 4, 9
SUN Junwei	BDO195	Licensed Representative	1, 4
SUN Tianyi	BER563	Licensed Representative	6
SWAMY Jaganath	BDT239	Licensed Representative	1, 9
TAI Chi Hong	AFY015	Licensed Representative	1
TALWAR Abhimanyu	AZK013	Licensed Representative	4
TAM Chun Ming	AYI949	Licensed Representative	1
TAM Ho Wing	APZ204	Licensed Representative	1
TAM Kim Sing	ANT745	Licensed Representative	2
TAM Sheung Kan, Wilson	ADN816	Licensed Representative	2
TANAKA Satoshi	AWN493	Licensed Representative	1, 2
TANG Wai Ting, Selina	AEF264	Licensed Representative	1
TANG Wai Wan Danny	BGC162	Licensed Representative	1
TANG Yin Kwan, Eunice	ANU352	Licensed Representative	1, 6
TEO Siew Hong	AGZ838	Licensed Representative	1
THANT Kyi Zar	BFY999	Licensed Representative	1
TING Sze Pok	BCS280	Licensed Representative	1
TSAI Mei Li	ARG817	Licensed Representative	1
TSANG Hing Lun, Alan	AJH486	Licensed Representative	1, 4, 9
TSANG Shiu Hang	BAH507	Licensed Representative	1, 2
TSANG Tsz Kwan	ANN374	Licensed Representative	1, 2
TSE Oi Yue	AQM172	Licensed Representative	1
TSE Tanya Cheuk Lee	BBL072	Licensed Representative	6
TSUI Hing Kai	AEF847	Licensed Representative	2
TUAN Yu Hao	BHJ409	Licensed Representative	1
UNG Voon Yew	AXK215	Licensed Representative	1, 2
VESELOVA Yulia	BHL808	Licensed Representative	1, 4
VICTORIN Steven Robert	BEA754	Licensed Representative	1, 4, 6
WANG Hansen	BGI310	Licensed Representative	6
WANG Xiangping	BGI600	Licensed Representative	1, 6
WANG Xiangyu	BGM114	Licensed Representative	4
WANG Xinchun	AYC390	Licensed Representative	4, 9
WANG Yiding	AOD298	Licensed Representative	9
WANG Yu Chen	ATI399	Licensed Representative	1, 4
WANG Zijian	BFH976	Licensed Representative	1, 2, 3
WELCH JR David John	ASW658	Licensed Representative	1, 4
WHEELER James Matthew	BCK949	Licensed Representative	1, 4
WIANECKI Karl David	BCO951	Licensed Representative	1, 9
WINDSOR Michele Anne	AVF116	Licensed Representative	1, 9
WOENARDI Emilio Philip	BEB416	Licensed Representative	1, 4, 6



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2017

CE Name	CE No.	License Type	Types of Regulated Activity
WONG Che Hao, David	AIY651	Licensed Representative	1, 2, 4, 9
WONG Chi Ming	ASE373	Licensed Representative	1, 2
WONG Hin Wun, Hillwood	BAX807	Licensed Representative	1
WONG Hong Kiu	BBQ239	Licensed Representative	1
WONG Kam Wing	BBZ270	Licensed Representative	1
WONG Kei Yiu	BAM964	Licensed Representative	1, 4, 9
WONG Kenneth Sze-ho	AWT852	Licensed Representative	4
WONG Ngan, Jacqueline	ADX528	Licensed Representative	1, 4
WONG Pok Man	BCV172	Licensed Representative	3
WONG Sing Lung	BER824	Licensed Representative	3
WONG Sung Kuk	AWH034	Licensed Representative	1
WONG Wai Lam	AEL134	Licensed Representative	1, 2
WONG Wai Lung	AJH991	Licensed Representative	1
WONG Wai Yan	AWY281	Licensed Representative	1, 4
WONG Wing Sze	ANW429	Licensed Representative	1
WONG Yat Fu	BBL042	Licensed Representative	4, 9
WU Carl	ATS488	Licensed Representative	1, 4
WU Kexuan	BEW158	Licensed Representative	1, 4, 9
WU Shuang	BAL427	Licensed Representative	4
WU Siyun	BCP610	Licensed Representative	1, 6
WU Yuzhao	BEN037	Licensed Representative	1, 6
WYAND Raymond Patrick	AVM523	Licensed Representative	1, 2, 4, 6
XIAO Yan	BHJ457	Licensed Representative	1, 6
XIAO Yi Qiao	BCI456	Licensed Representative	6
XIONG Chao	BDH692	Licensed Representative	1, 4, 6
XU En Bei	APJ235	Licensed Representative	4, 9
XU Yuze	BBU878	Licensed Representative	4
YABUMOTO Yusuke	BAZ169	Licensed Representative	4
YAN Yan	BFW834	Licensed Representative	4
YANEZ HINOJOSA Anibal David	BEV153	Licensed Representative	1, 2
YANG Minzhi	AVP720	Licensed Representative	4, 9
YAU Chi Nam	ADJ896	Licensed Representative	1
YAU Wai Lun	AXH805	Licensed Representative	1
YE Bangqi	BFV908	Licensed Representative	1, 6
YE Songsheng	BFY973	Licensed Representative	1, 6
YEE Dewey Gee	BFI296	Licensed Representative	6
YEN Tien-Gi	BFK387	Licensed Representative	1, 4
YEO Tinghui	BEO266	Licensed Representative	10
YEUNG Chi Pan	BEG177	Licensed Representative	1
YEUNG Chun Shing, Nathan	BGH030	Licensed Representative	1
YEUNG Ho Hin Francis	BFO832	Licensed Representative	1
YEUNG Po	BFC362	Licensed Representative	1, 4
YEUNG Yan	BAD620	Licensed Representative	1, 4, 9



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2017

CE Name	CE No.	License Type	Types of Regulated Activity
YIM Ying Kit Moon	BHJ815	Licensed Representative	6
YING Hua	BCF439	Licensed Representative	9
YIP Carter	BBN080	Licensed Representative	1
YIU Chin Keung	APN538	Licensed Representative	1
YIU Wing Sze, Winnie	BFZ654	Licensed Representative	1, 4
YOON Julian Hyun-min	BGG794	Licensed Representative	9
YU Honghao	BHV582	Licensed Representative	1
YU Jungho	BFX811	Licensed Representative	1, 4, 6
YU Kwan Kin, Daniel	BHG640	Licensed Representative	9
YU Man Tao	BHP107	Licensed Representative	1, 2
YU Nga Shan	APJ556	Licensed Representative	1, 2
YU Wai Hong, Johnson	BAD307	Licensed Representative	9
YUAN Victor Teh-hsien	AXB940	Licensed Representative	1, 6
YUN Song	BGM437	Licensed Representative	1, 6
ZELUCK Samantha Ching-huan Ann	BCS715	Licensed Representative	1, 6
ZHANG Jiaxiang	BEQ329	Licensed Representative	6
ZHANG Jingwei	AUG360	Licensed Representative	1, 4, 6
ZHANG Yuan	BFX819	Licensed Representative	1, 4, 6
ZHANG Yuxin	BEZ796	Licensed Representative	4
ZHAO Lei	AXS327	Licensed Representative	1
ZHENG Zhenqiu	BHO446	Licensed Representative	1, 4
ZHOU Qi	BHM825	Licensed Representative	1, 4
ZHOU Xiao	AYC139	Licensed Representative	1, 6
ZHOU Yi	BBH811	Licensed Representative	1, 4, 6
ZHOU Yizi	AYX059	Licensed Representative	1, 6
ZHOU Yuda	BBF227	Licensed Representative	1, 4, 6
ZHU Junyuan	BBX318	Licensed Representative	6
ZHU Ran	BDK820	Licensed Representative	4
ZHU Yichen	BEG155	Licensed Representative	1, 4, 9
ZIMMERHAECKEL Thomas	BFI290	Licensed Representative	1, 4
ZUBERI Soofian Jalil	AEV216	Licensed Representative	1, 2, 4, 6
ADAMS Anthony Joseph	ANJ158	Licensed Representative - Responsible Officer	4, 9
CHAN Chun Wai	AFY152	Licensed Representative - Responsible Officer	1, 4
CHEN Aaron	AUQ926	Licensed Representative - Responsible Officer	9
CHENG Dickson	AGP527	Licensed Representative - Responsible Officer	1
CHEUNG Chun Man, Anthony	AGO353	Licensed Representative - Responsible Officer	4, 9
CHEUNG Fei Chau	AGC679	Licensed Representative - Responsible Officer	1, 2
CHEUNG Kin Wah, Peter	ACX463	Licensed Representative - Responsible Officer	1, 2, 4
CHEUNG On Ming, Kitty	AAF327	Licensed Representative - Responsible Officer	2
CHIU Sai Kar Jaye	BEN887	Licensed Representative - Responsible Officer	1, 4, 9
CHOW HAU Ho Ching	AAH767	Licensed Representative - Responsible Officer	1
COWARD Christopher John	BGI318	Licensed Representative - Responsible Officer	9
DAVIDSON Paul Allen	BAV207	Licensed Representative - Responsible Officer	1, 2, 4, 5



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
FOO Ji-Piao	BBJ782	Licensed Representative - Responsible Officer	4, 9
FREEMAN Randel Howard	ARN144	Licensed Representative - Responsible Officer	9
HE Jia	ATO701	Licensed Representative - Responsible Officer	9
HO Choon Leng, Patrick	AMY851	Licensed Representative - Responsible Officer	1, 4
HO Sin Ying, Pierra	AGD016	Licensed Representative - Responsible Officer	1, 4, 5
HSU Calvin James	ADM062	Licensed Representative - Responsible Officer	1, 2, 4
HUANG Jen-Liang	AVP159	Licensed Representative - Responsible Officer	1, 4
JAMET Nicolas Jean-claude Bernard	APB267	Licensed Representative - Responsible Officer	9
KAM Ying Chuen	ALB882	Licensed Representative - Responsible Officer	1
KUO Cheung	ACZ555	Licensed Representative - Responsible Officer	1, 2
KWON Jae Min	AXH017	Licensed Representative - Responsible Officer	10
LAM Kit Yee, Kathy	ACM391	Licensed Representative - Responsible Officer	2
LAM Tak Ming, Hubert	BBU157	Licensed Representative - Responsible Officer	9
LECHER Stefan Alexander	BBS630	Licensed Representative - Responsible Officer	1, 4
LEE Jae Hyun	AVQ512	Licensed Representative - Responsible Officer	1
LEUNG Chi Chung	AJE370	Licensed Representative - Responsible Officer	1
LINKER Lola Priska	AAI609	Licensed Representative - Responsible Officer	1, 4, 6, 9
LO Wai Kong, Barry	ABC563	Licensed Representative - Responsible Officer	1, 2
MARTIN Trammel Allan	ASM181	Licensed Representative - Responsible Officer	9
MCCOSKER Daniel Owen	AVH590	Licensed Representative - Responsible Officer	1, 2, 9
MING Albert	AMU061	Licensed Representative - Responsible Officer	1, 4, 9
NG Wai Tin, William	ALZ888	Licensed Representative - Responsible Officer	4, 9
QU Zhengzhe	AYQ555	Licensed Representative - Responsible Officer	2, 5
SALTER Neil Anthony	ARN455	Licensed Representative - Responsible Officer	2
SHINER Brendan Niall	AAW676	Licensed Representative - Responsible Officer	4
SPENCE Travis Warner	ANH551	Licensed Representative - Responsible Officer	1, 4
SUN Tak Sing	ABD496	Licensed Representative - Responsible Officer	1
TAN Darren	AQH372	Licensed Representative - Responsible Officer	4
TSAI Wan-Yu	ALH788	Licensed Representative - Responsible Officer	4, 9
TSANG Fan Ho, Michael	ABE280	Licensed Representative - Responsible Officer	1
TSANG Hing Chi	AAC307	Licensed Representative - Responsible Officer	1
WAI Kwong Wa	ABP327	Licensed Representative - Responsible Officer	2
WANG Yiding	AOD298	Licensed Representative - Responsible Officer	1, 4
WONG Chi	AZF867	Licensed Representative - Responsible Officer	9
WONG Kenneth Sze-ho	AWT852	Licensed Representative - Responsible Officer	1
WONG King Man	ADV639	Licensed Representative - Responsible Officer	1
XIONG Yixia	APL151	Licensed Representative - Responsible Officer	9
ZHAO Jason Mao	AUW720	Licensed Representative - Responsible Officer	9
ZHU Yan	ART872	Licensed Representative - Responsible Officer	1
AMATANI Satoshi	BIZ190	Licensed Representative (Provisional Licence)	1, 2
AU Kai Wing, Monique	BHX477	Licensed Representative (Provisional Licence)	1, 2
AU Wai Hay Ambrose	BIZ164	Licensed Representative (Provisional Licence)	2, 5
BERTOCCI Bruno	AAL326	Licensed Representative (Provisional Licence)	1, 4



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2017

CE Name	CE No.	License Type	Types of Regulated Activity
Bi Jia	BIZ157	Licensed Representative (Provisional Licence)	1, 9
CAPONE Angela	BIZ300	Licensed Representative (Provisional Licence)	1, 4
CHAN Chak Yiu Jacky	BHW815	Licensed Representative (Provisional Licence)	1
CHAN Chi Chiu	BIX006	Licensed Representative (Provisional Licence)	2
CHAN Ching Sum	BIY455	Licensed Representative (Provisional Licence)	1, 2
CHAN Christopher Wing-Kai	BIZ872	Licensed Representative (Provisional Licence)	1, 4, 9
CHAN Chun Yin Christopher	ASO895	Licensed Representative (Provisional Licence)	1, 4
CHAN Chung Wan	AJD779	Licensed Representative (Provisional Licence)	9
CHAN Hok Man	BJA646	Licensed Representative (Provisional Licence)	1, 4
CHAN Ki Fung	BIX630	Licensed Representative (Provisional Licence)	1, 6
CHAN Kwun Shing	BIZ853	Licensed Representative (Provisional Licence)	1
CHAN Qian Min Jasmine	BIZ572	Licensed Representative (Provisional Licence)	6
CHAN Tsz Kin	BIY015	Licensed Representative (Provisional Licence)	4, 9
CHAN Yau Kwan	BIY784	Licensed Representative (Provisional Licence)	1, 2, 3, 4
CHAN Yu Fan	BIV564	Licensed Representative (Provisional Licence)	1, 2
CHAPLIN Vera	BIR904	Licensed Representative (Provisional Licence)	10
CHARK Chi Fai	BIY780	Licensed Representative (Provisional Licence)	1, 4, 9
CHAU Siu Hang	BJA643	Licensed Representative (Provisional Licence)	1, 4
CHEN Bingyu	BIX773	Licensed Representative (Provisional Licence)	4
CHEN Erqian	BIV451	Licensed Representative (Provisional Licence)	6
CHEN Jasper Lee	BIZ015	Licensed Representative (Provisional Licence)	2, 5
CHEN Jiasi	BIT259	Licensed Representative (Provisional Licence)	1, 4
CHEN Jing	ANU777	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Xi	BFZ506	Licensed Representative (Provisional Licence)	1, 2
CHEN Zheng	BIW237	Licensed Representative (Provisional Licence)	1, 4, 6
CHENG Clement Kin Kwan	AQG415	Licensed Representative (Provisional Licence)	1, 2
CHENG Ka Wai	BIX841	Licensed Representative (Provisional Licence)	1
CHENG Ka Yi Kate	BIW227	Licensed Representative (Provisional Licence)	1, 4, 9
CHENG King Hin	BIX473	Licensed Representative (Provisional Licence)	9
CHEUNG Clive Ho Fung	BIW856	Licensed Representative (Provisional Licence)	1, 6
CHEUNG Maureen	BIY666	Licensed Representative (Provisional Licence)	1, 6
CHEUNG Ting Yan	BJA730	Licensed Representative (Provisional Licence)	4, 9
CHIN Abel Yoon Sern	AXI512	Licensed Representative (Provisional Licence)	1, 4
CHONG Yeh Ling	BIW328	Licensed Representative (Provisional Licence)	1, 4, 9
CHOU Harg Shy	BIX560	Licensed Representative (Provisional Licence)	1, 2, 4, 5
CHOW Chun Yin	BIV568	Licensed Representative (Provisional Licence)	1, 2
CHOW Kit Fung	BIX025	Licensed Representative (Provisional Licence)	9
CHU Ming Li	BIW568	Licensed Representative (Provisional Licence)	1, 2
COONEY Dion	BIY269	Licensed Representative (Provisional Licence)	1, 7
CORNWALL Ross Douglas	BIX011	Licensed Representative (Provisional Licence)	1
DACEY Meghan Alice	BIZ383	Licensed Representative (Provisional Licence)	1, 2, 4, 5
DAI Wei	BJA012	Licensed Representative (Provisional Licence)	1, 2
DARGIE Peter Clarke	BEO577	Licensed Representative (Provisional Licence)	1, 2

**REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2017**

CE Name	CE No.	License Type	Types of Regulated Activity
DENG Yongzhi	BIV293	Licensed Representative (Provisional Licence)	1, 4
DONG Chunchun	BBZ411	Licensed Representative (Provisional Licence)	1, 2, 4
DUAN Bing	BIW571	Licensed Representative (Provisional Licence)	4
FAN Suey-hueng Adam	BIT526	Licensed Representative (Provisional Licence)	1, 2
FENG Qiyun	BCH639	Licensed Representative (Provisional Licence)	9
FENG Xianjie	BIY695	Licensed Representative (Provisional Licence)	6
FENG Yang	BIX445	Licensed Representative (Provisional Licence)	1, 4, 9
FERNS Stewart Kenneth	AQP587	Licensed Representative (Provisional Licence)	9
FRIESE Christopher	BIY942	Licensed Representative (Provisional Licence)	1
FULWOOD Alice Mary	BIX787	Licensed Representative (Provisional Licence)	4
GE Zi	BIX028	Licensed Representative (Provisional Licence)	1, 6
GIBB Richard Boyce Massey	ANG128	Licensed Representative (Provisional Licence)	6
GU Yinmin	BIW823	Licensed Representative (Provisional Licence)	1, 4
HAMADA George Go	BIY653	Licensed Representative (Provisional Licence)	1
HAN Carey	BIS571	Licensed Representative (Provisional Licence)	1, 2
HAN XIAO	BIY697	Licensed Representative (Provisional Licence)	1, 6
HEDTKE Nathan Harrington	ARG770	Licensed Representative (Provisional Licence)	1, 2
HINTERKOERNER Roland	BBE977	Licensed Representative (Provisional Licence)	4, 9
HO Hsin Jung	BIX302	Licensed Representative (Provisional Licence)	4
HO See Pui, Christie	BIV192	Licensed Representative (Provisional Licence)	4, 6, 9
HO Sek Yan	BIW569	Licensed Representative (Provisional Licence)	1, 4
HO Yui Ki	BIZ857	Licensed Representative (Provisional Licence)	1, 2
HONDA Kazuma	BIY261	Licensed Representative (Provisional Licence)	1, 2
HONG Moon Hee	BIW969	Licensed Representative (Provisional Licence)	1, 4
HONG Sang Jin	BIW989	Licensed Representative (Provisional Licence)	1
HU Zheng	BIY894	Licensed Representative (Provisional Licence)	1, 4
HUANG Shi	BIZ350	Licensed Representative (Provisional Licence)	1, 6
IAN Ho On	BIX779	Licensed Representative (Provisional Licence)	1, 2
JIN Hyung Won	BJA014	Licensed Representative (Provisional Licence)	1, 4
JOSHI Sujeet	BIW779	Licensed Representative (Provisional Licence)	1, 4
KAPADIA Amit Damodar	BIW068	Licensed Representative (Provisional Licence)	9
KEITH Robert Michael	BIZ889	Licensed Representative (Provisional Licence)	1, 2
KIM Min Woo	BIY396	Licensed Representative (Provisional Licence)	1, 4, 6
KIM Yong Chan	AIH348	Licensed Representative (Provisional Licence)	1, 2
KIMJIMA Satoshi	BHX449	Licensed Representative (Provisional Licence)	1, 2
KOGA Shigeru	BIZ035	Licensed Representative (Provisional Licence)	1, 2
KONG Brian	BDZ945	Licensed Representative (Provisional Licence)	1, 2
KUK Wing Yee	BIZ359	Licensed Representative (Provisional Licence)	1, 4, 9
KULKARNI Amit Chandramohan	BIW790	Licensed Representative (Provisional Licence)	1, 2
KWAN Kate	ANQ329	Licensed Representative (Provisional Licence)	1, 4
KWAN Kin Shing	BIX408	Licensed Representative (Provisional Licence)	1, 4, 9
KWON Heung Sun	BIZ318	Licensed Representative (Provisional Licence)	1, 4
KWONG Chun Tak	BIX610	Licensed Representative (Provisional Licence)	6



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2017

CE Name	CE No.	License Type	Types of Regulated Activity
LACAN Maxime Anthony Gregory	BIW478	Licensed Representative (Provisional Licence)	2
LAI Ho Chuen	BIX782	Licensed Representative (Provisional Licence)	1, 2
LAI Sheng Tang	BJA023	Licensed Representative (Provisional Licence)	9
LAI Zhexing	BIZ864	Licensed Representative (Provisional Licence)	1, 2
LAM Chun Kit	BHW991	Licensed Representative (Provisional Licence)	1, 6
LAM Hay Tak	AXE899	Licensed Representative (Provisional Licence)	1, 4, 9
LAM Hei Yu Beatrice	BIW782	Licensed Representative (Provisional Licence)	4
LAM Wing Kit	BIY838	Licensed Representative (Provisional Licence)	1
LAU Ching Yan Ada	BIY756	Licensed Representative (Provisional Licence)	4, 5
LAU Ka Ki	BIX988	Licensed Representative (Provisional Licence)	4, 9
LAU Tik Lun	BIY478	Licensed Representative (Provisional Licence)	1
LAU Tsang	AQF574	Licensed Representative (Provisional Licence)	4, 9
LAU Yau Fong	AEY478	Licensed Representative (Provisional Licence)	1
LAU Yu Wa	BIZ182	Licensed Representative (Provisional Licence)	6
LAW Chui Shan, Clara	AMI503	Licensed Representative (Provisional Licence)	1
LAW Tik Hong	ARE550	Licensed Representative (Provisional Licence)	1
LAW Vanessa	BIY620	Licensed Representative (Provisional Licence)	1, 2
LEE Cheuk Hei	BIW515	Licensed Representative (Provisional Licence)	1
LEE Jin Young	BFN399	Licensed Representative (Provisional Licence)	1, 2, 7
LEE Jung Il	BIY104	Licensed Representative (Provisional Licence)	1, 4, 6
LEE Ka Hing	BIZ578	Licensed Representative (Provisional Licence)	1, 2, 3, 4
LEE Ko-Shun	BIZ374	Licensed Representative (Provisional Licence)	1, 2, 4, 9
LEE On Yi	BIX820	Licensed Representative (Provisional Licence)	1, 4
LEE Sze Long, Sharon	BIX563	Licensed Representative (Provisional Licence)	1, 4
LEE Yik Lun	BIR519	Licensed Representative (Provisional Licence)	1, 2
LEE Yong Suk	BJA739	Licensed Representative (Provisional Licence)	1
LEONG Tzi Ying	BIZ922	Licensed Representative (Provisional Licence)	6
LEUNG Dickson	BIZ269	Licensed Representative (Provisional Licence)	9
LEUNG Hoi Yuet	BFN834	Licensed Representative (Provisional Licence)	1, 2, 4
LEUNG Ka Man Norman	BIY835	Licensed Representative (Provisional Licence)	1, 4
LEUNG Kai Hin	BIT839	Licensed Representative (Provisional Licence)	1, 2
LEUNG Mei Shan	BIW785	Licensed Representative (Provisional Licence)	1, 2
LEUNG Ming Hin	BIZ869	Licensed Representative (Provisional Licence)	1
LEUNG Pui Yin	BIY656	Licensed Representative (Provisional Licence)	6
LEUNG SHEUNG BUN	BIW863	Licensed Representative (Provisional Licence)	4
LEUNG Wai Shing Vincent	BIX259	Licensed Representative (Provisional Licence)	10
LI Chun	BJA050	Licensed Representative (Provisional Licence)	1
LI Hiu Lam	BIY475	Licensed Representative (Provisional Licence)	4, 9
LI Jing	BIZ542	Licensed Representative (Provisional Licence)	10
LI Li	BIR520	Licensed Representative (Provisional Licence)	1, 4, 6
LI Muyun	BIY769	Licensed Representative (Provisional Licence)	1, 6
LI Ranran	BIW221	Licensed Representative (Provisional Licence)	1, 6
LI Shangjun	BIW784	Licensed Representative (Provisional Licence)	4



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2017

CE Name	CE No.	License Type	Types of Regulated Activity
LI Suyu	BGH891	Licensed Representative (Provisional Licence)	4
LI Wai Shun	ATT781	Licensed Representative (Provisional Licence)	9
LI Yifan	BIW783	Licensed Representative (Provisional Licence)	4
LI Yiyang	BIY431	Licensed Representative (Provisional Licence)	1, 4, 6
LIANG Jieyi	BIZ376	Licensed Representative (Provisional Licence)	1, 2
LIN Chi Hing	BIY468	Licensed Representative (Provisional Licence)	1, 6
LIN Lyllette	BIZ583	Licensed Representative (Provisional Licence)	2, 5
LIN Miaorong	BIX433	Licensed Representative (Provisional Licence)	1, 2
LIN Xiaoxiang	BIY895	Licensed Representative (Provisional Licence)	1, 4
LIN Yiu Ho	BIY579	Licensed Representative (Provisional Licence)	9
LIU Hui Lin	BJA020	Licensed Representative (Provisional Licence)	9
LIU Kwok Wah, Kenneth	AEG935	Licensed Representative (Provisional Licence)	1, 2
LIU Muqi	BIY598	Licensed Representative (Provisional Licence)	10
LIU Tianshu	BIZ149	Licensed Representative (Provisional Licence)	4
LIU Ying-Hsiao	BIW956	Licensed Representative (Provisional Licence)	1, 4, 9
LO Tak Shun, Dixon	ACZ589	Licensed Representative (Provisional Licence)	1, 2, 9
LUI Wing Tsang	BIW830	Licensed Representative (Provisional Licence)	1, 2
MA Wing Cheong	ATC243	Licensed Representative (Provisional Licence)	1, 2
MA Xiaoxuan	BIX808	Licensed Representative (Provisional Licence)	1, 4
MIAO Wenyan	BIX740	Licensed Representative (Provisional Licence)	1, 2
MIHAJLOVSKI Martin	BIX026	Licensed Representative (Provisional Licence)	2
MISRA Nityanand	ATO881	Licensed Representative (Provisional Licence)	1, 2, 7
MOK Siu Hong	BIY801	Licensed Representative (Provisional Licence)	1
MORIYAMA Kasumi	BIZ878	Licensed Representative (Provisional Licence)	9
MUKHERJEE Aniruddha	BIX023	Licensed Representative (Provisional Licence)	1, 2, 7
NAKABAYASHI Yuji	BIX277	Licensed Representative (Provisional Licence)	1, 4, 6
NG Chor Sum Sam	AYT391	Licensed Representative (Provisional Licence)	1, 4, 6
NG Hoi Yan	AOJ449	Licensed Representative (Provisional Licence)	1
NG Hon Man	BBX599	Licensed Representative (Provisional Licence)	1, 6
NG Kai Pong	AOB433	Licensed Representative (Provisional Licence)	1, 6
NG Laura Shui Yee	BJA644	Licensed Representative (Provisional Licence)	1, 4, 9
NG Mei Ching	BIX582	Licensed Representative (Provisional Licence)	1
NG Sheuk Sze, Felix	BIW056	Licensed Representative (Provisional Licence)	6
NG Wing Chi	AME752	Licensed Representative (Provisional Licence)	1, 4
NGAN Ying Kit Angus	AOL505	Licensed Representative (Provisional Licence)	1, 4
NISHIZAWA Kiyotada	AAF914	Licensed Representative (Provisional Licence)	1
NOMURA Kan	AIU759	Licensed Representative (Provisional Licence)	1, 4
ON Ka Kin	BIW853	Licensed Representative (Provisional Licence)	1
OUMARY Sophia Isabelle	BJA027	Licensed Representative (Provisional Licence)	1, 4, 6
PANG Chi Hin	BIY476	Licensed Representative (Provisional Licence)	1
PANG Ka Yin	APP351	Licensed Representative (Provisional Licence)	1, 4, 6
PAU Wing Yan	BIW629	Licensed Representative (Provisional Licence)	1, 2
POON Mei Ho	BIV309	Licensed Representative (Provisional Licence)	1, 4



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2017

CE Name	CE No.	License Type	Types of Regulated Activity
POON King Fat	BHW676	Licensed Representative (Provisional Licence)	1, 4
PURI Tarun	BIZ293	Licensed Representative (Provisional Licence)	1, 2, 7
RACCIO Jeremy E	BIV596	Licensed Representative (Provisional Licence)	1, 4
RAMIREZ Carlo Maria	AIS071	Licensed Representative (Provisional Licence)	1, 2
REUTERSWAERD Carl Adrian	BIX053	Licensed Representative (Provisional Licence)	1
ROMERO LEON Luisa Fernanda	BIY020	Licensed Representative (Provisional Licence)	1, 4
SANG Zijing	BIX210	Licensed Representative (Provisional Licence)	1, 6
SANGHAVI Maulik Jitendra	BIW816	Licensed Representative (Provisional Licence)	1, 2, 7
SETHI Ishan	BIZ380	Licensed Representative (Provisional Licence)	4, 9
SHE Kelly	BIY493	Licensed Representative (Provisional Licence)	1
SHEK Yeung, Eric	BIY663	Licensed Representative (Provisional Licence)	1, 4
SHEN Liyi	BIZ353	Licensed Representative (Provisional Licence)	1, 4
SHUM Chi Ho, Elton	BIX212	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 9
SHUM Kit	AJD416	Licensed Representative (Provisional Licence)	1, 4
SI Tingting	AYB999	Licensed Representative (Provisional Licence)	4
SING Dominique Ho	BIZ112	Licensed Representative (Provisional Licence)	4, 9
SIT Hon	BIX237	Licensed Representative (Provisional Licence)	9
SO Sik Yi	AGL773	Licensed Representative (Provisional Licence)	1
SU Dan	BIY101	Licensed Representative (Provisional Licence)	9
SU Darong	BIV798	Licensed Representative (Provisional Licence)	1, 2
SU Youjin	BAS834	Licensed Representative (Provisional Licence)	4, 9
SUH Soobene	BIT671	Licensed Representative (Provisional Licence)	9
SUN Xin	BJB194	Licensed Representative (Provisional Licence)	1, 2, 4
TAM Evon	AQE004	Licensed Representative (Provisional Licence)	1
TAM Po Wing	BIZ184	Licensed Representative (Provisional Licence)	1, 4, 6
TAN Yaxin	BIJ326	Licensed Representative (Provisional Licence)	1, 6
TANG Chung Hei	BIN853	Licensed Representative (Provisional Licence)	4
TANG Claire Jin	BIZ526	Licensed Representative (Provisional Licence)	1, 4
TANG Jurong	BIZ375	Licensed Representative (Provisional Licence)	1
TANG Shing Pan	BIW840	Licensed Representative (Provisional Licence)	1, 4
TANG Wai Ling, Ada	AVL310	Licensed Representative (Provisional Licence)	1
TERADA Motohiko	BIW601	Licensed Representative (Provisional Licence)	6
TING Chak Sum	AOW918	Licensed Representative (Provisional Licence)	1
TO Chak Shun	BIY850	Licensed Representative (Provisional Licence)	1
TO Kai Ming	BIX262	Licensed Representative (Provisional Licence)	9
TO Yuen Ching	BIW630	Licensed Representative (Provisional Licence)	1
TORNBERG Martin	AFE172	Licensed Representative (Provisional Licence)	4, 9
TRESSIERES Raphael Georges	BIZ032	Licensed Representative (Provisional Licence)	6
TSANG Chi Sheng Jason	BIY954	Licensed Representative (Provisional Licence)	1, 6
TSANG Kin Bun	AXP214	Licensed Representative (Provisional Licence)	1
TSANG Pak Hin	BIZ530	Licensed Representative (Provisional Licence)	1, 2
TSE Hiu Yu	AUG364	Licensed Representative (Provisional Licence)	1, 2
TSUI Tung Hang	BIY626	Licensed Representative (Provisional Licence)	1, 2



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2017

CE Name	CE No.	License Type	Types of Regulated Activity
TUNG Ka Sing	BIW978	Licensed Representative (Provisional Licence)	1, 2
WAN Cheuk Pong	BIX392	Licensed Representative (Provisional Licence)	1, 2
WAN Yim Ting	BIY857	Licensed Representative (Provisional Licence)	1
WANG Fang	BIY226	Licensed Representative (Provisional Licence)	4, 9
WANG Hang	BGG247	Licensed Representative (Provisional Licence)	1, 4
WANG Meng	BIW988	Licensed Representative (Provisional Licence)	6
WANG Pinyue	BIX930	Licensed Representative (Provisional Licence)	1, 4
WANG Yiren	BIO041	Licensed Representative (Provisional Licence)	1, 2
WANG Yu	BIR339	Licensed Representative (Provisional Licence)	4
WILKIN Scott Douglas	BIY698	Licensed Representative (Provisional Licence)	1, 4
WONG Chun Lung	BIW983	Licensed Representative (Provisional Licence)	2
WONG Justin Chinwo	BIY246	Licensed Representative (Provisional Licence)	1, 4, 9
WONG Kit Wing	BIW560	Licensed Representative (Provisional Licence)	1
WONG Lik Lung Janice Erika	BIW590	Licensed Representative (Provisional Licence)	1, 2
WONG Qiao Yi Jephine Tania	BIY972	Licensed Representative (Provisional Licence)	1, 2
WONG Shing Kit	BIU959	Licensed Representative (Provisional Licence)	4
WONG Tin Lok, Stella	BIV289	Licensed Representative (Provisional Licence)	1, 6
WONG Wing Kai	BIZ042	Licensed Representative (Provisional Licence)	6
WONG Yee Wai	AOR454	Licensed Representative (Provisional Licence)	4
WOO Stephanie Ka Wai	AQR182	Licensed Representative (Provisional Licence)	4
WU Rui	BIY113	Licensed Representative (Provisional Licence)	1, 4
WU Wai Ming Jennifer	BIY153	Licensed Representative (Provisional Licence)	10
WU Yifeng	BIR524	Licensed Representative (Provisional Licence)	1, 2, 4
WU Yiwen	BIW812	Licensed Representative (Provisional Licence)	4
XIA Wei	BIX413	Licensed Representative (Provisional Licence)	4, 9
XU Peijiu	BIW798	Licensed Representative (Provisional Licence)	4
XU Qing	BIW805	Licensed Representative (Provisional Licence)	4
XU Qing	BIY244	Licensed Representative (Provisional Licence)	4, 9
XU Ting	BIX777	Licensed Representative (Provisional Licence)	1, 2
XUAN Xuan	BIX220	Licensed Representative (Provisional Licence)	1, 6
XUE Yang	AWK548	Licensed Representative (Provisional Licence)	9
YAM Hiu Ping, Bruce	AMQ792	Licensed Representative (Provisional Licence)	3
YANG Jing	BIX539	Licensed Representative (Provisional Licence)	4
YANG Yi Sin	BIZ047	Licensed Representative (Provisional Licence)	1, 2
YAU Jason Chin Ting	BIW639	Licensed Representative (Provisional Licence)	1, 4
YEE Kar Seng	AVJ477	Licensed Representative (Provisional Licence)	1, 2
YEN Edward	ATJ152	Licensed Representative (Provisional Licence)	4
YEONG Woei Khoon	BIW963	Licensed Representative (Provisional Licence)	1
YIP Chi Ming	BIX790	Licensed Representative (Provisional Licence)	4, 9
YU An Li	BIT643	Licensed Representative (Provisional Licence)	1, 4
YU Bo	BJC515	Licensed Representative (Provisional Licence)	1, 4
YU Maggie H W	BIY639	Licensed Representative (Provisional Licence)	1, 4
YUAN Peiyun	BIX564	Licensed Representative (Provisional Licence)	6



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2017

CE Name	CE No.	License Type	Types of Regulated Activity
YUEN Chor Yiu Jason	BIX076	Licensed Representative (Provisional Licence)	4, 9
YUEN Ka Lun	BIY807	Licensed Representative (Provisional Licence)	1, 4, 9
ZENG Qinghao	BIW621	Licensed Representative (Provisional Licence)	1, 4
ZENG Shiru	BIX214	Licensed Representative (Provisional Licence)	6
ZHAN Bailu	BIQ910	Licensed Representative (Provisional Licence)	1, 6
ZHAN Chunli	BIY251	Licensed Representative (Provisional Licence)	4
ZHAN Qi	BIX559	Licensed Representative (Provisional Licence)	1, 4
ZHANG Hongyi	BIZ596	Licensed Representative (Provisional Licence)	4
ZHANG Peng	BIX452	Licensed Representative (Provisional Licence)	6
ZHANG Shichen	AOS551	Licensed Representative (Provisional Licence)	4, 9
ZHANG Yahan	BIW815	Licensed Representative (Provisional Licence)	4
ZHANG Yanjia	BIW743	Licensed Representative (Provisional Licence)	1
ZHANG Ying	BIX242	Licensed Representative (Provisional Licence)	9
ZHANG Yueqi	BIZ373	Licensed Representative (Provisional Licence)	1, 4
ZHANG Yuye	BIX980	Licensed Representative (Provisional Licence)	1, 4
ZHANG Zhe	BIY266	Licensed Representative (Provisional Licence)	1, 4, 6
ZHOU Yiyun	BIV852	Licensed Representative (Provisional Licence)	9
ZHU Cheng	BJB237	Licensed Representative (Provisional Licence)	1, 4
ZHU Yanyin	BIH554	Licensed Representative (Provisional Licence)	1, 4
ZOU Jianke	BIZ748	Licensed Representative (Provisional Licence)	1, 4
AOKI Hidehiko	BAI638	Licensed Representative (Temporary Licence)	1, 4
ARORA Rohit	ART681	Licensed Representative (Temporary Licence)	4
ASANO Wataru	BIY435	Licensed Representative (Temporary Licence)	1, 4
BABA Taichi	BAZ165	Licensed Representative (Temporary Licence)	1, 2
BARTON Edson George	BIZ982	Licensed Representative (Temporary Licence)	1
BARUA Chirantan	AZT869	Licensed Representative (Temporary Licence)	4
BARUS Felicia Asrinanda	AUT955	Licensed Representative (Temporary Licence)	4
BHATTACHARYA Soumyanshu	BJA016	Licensed Representative (Temporary Licence)	1, 4
BURNS Nikolas Peter Charles	BAW620	Licensed Representative (Temporary Licence)	4
CASSIDY Gerard Sean	BHB962	Licensed Representative (Temporary Licence)	4
CHANDRAKUMARAN Maiuran	BIM852	Licensed Representative (Temporary Licence)	1, 2
CHENG Robin Shiang	ATD727	Licensed Representative (Temporary Licence)	1, 4
CHEONG Taeyong	BGC155	Licensed Representative (Temporary Licence)	1
CHING Yi Edmund	AKU003	Licensed Representative (Temporary Licence)	4
CHO Helen Camille	BIZ981	Licensed Representative (Temporary Licence)	1
CHOI Jaehoon	BIZ562	Licensed Representative (Temporary Licence)	4
CHOI Minha	BFC667	Licensed Representative (Temporary Licence)	4
CHOONNAWAT Kaseedit	AOY790	Licensed Representative (Temporary Licence)	1, 4
CHUA Minguang Donald	BEF380	Licensed Representative (Temporary Licence)	1, 4
CHUKIAT Nicholas Wynn	BGA519	Licensed Representative (Temporary Licence)	1, 4
CHUNG Bo Ra	BHH387	Licensed Representative (Temporary Licence)	4
DESHMUKH Prasad Gulabrao	BAR266	Licensed Representative (Temporary Licence)	1, 4
DOWNING Christopher Thomas	BJC238	Licensed Representative (Temporary Licence)	1, 4



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2017

CE Name	CE No.	License Type	Types of Regulated Activity
EGUCHI Hiroyasu	BBL849	Licensed Representative (Temporary Licence)	1, 4
ELLINGER Craig Garret	BIZ244	Licensed Representative (Temporary Licence)	1, 4
FEHR Peter	BIZ152	Licensed Representative (Temporary Licence)	1, 4
FOX Glenn Michael	BIZ968	Licensed Representative (Temporary Licence)	1
GARRE Venugopal	AML716	Licensed Representative (Temporary Licence)	4
GISBOURNE Ian Mark	ACS236	Licensed Representative (Temporary Licence)	4
GONZALES Emeterio III David	AAI265	Licensed Representative (Temporary Licence)	1, 4
GOYAL Surendra Kumar	BEO301	Licensed Representative (Temporary Licence)	4
GRENYER Leon	BJC246	Licensed Representative (Temporary Licence)	1
GRIJNS Andrew Arnold	BAM236	Licensed Representative (Temporary Licence)	1
HARDING Daryl Jon	BJC544	Licensed Representative (Temporary Licence)	2, 5
HELSEBY Michael Francis	BAY305	Licensed Representative (Temporary Licence)	1, 4
HERR Kathryn Jane	BHK246	Licensed Representative (Temporary Licence)	4
HESSE Oliver Marc	BGK627	Licensed Representative (Temporary Licence)	1
HOLIAN James Simon	BJA888	Licensed Representative (Temporary Licence)	1
HUANG Jinzheng Corwin	BJC167	Licensed Representative (Temporary Licence)	1, 4
HWANG In Bok	BJC325	Licensed Representative (Temporary Licence)	1, 2
IENO Eiji Alex	AXB793	Licensed Representative (Temporary Licence)	1, 2
IWAO Yohei	BIZ237	Licensed Representative (Temporary Licence)	1, 2
JANG Young Woo	AGW609	Licensed Representative (Temporary Licence)	4
JANSEN Michael	BEI461	Licensed Representative (Temporary Licence)	1, 2
KAMIMURA Takeshi	BGN197	Licensed Representative (Temporary Licence)	1
KAMIMURA Taro	ARE945	Licensed Representative (Temporary Licence)	1
KANG Puay Ju	BJB545	Licensed Representative (Temporary Licence)	1
KIESEL Mark Richard	BFN437	Licensed Representative (Temporary Licence)	1, 4
KIM Hyo Jun	AOH894	Licensed Representative (Temporary Licence)	4
KIPPING Bryan Edward	ACR175	Licensed Representative (Temporary Licence)	1
KIT Wei Zheng	BEB420	Licensed Representative (Temporary Licence)	4
KLINGENBERG-TIMM Benno	BGD845	Licensed Representative (Temporary Licence)	1, 4
LARKIN Adam David	BJC096	Licensed Representative (Temporary Licence)	1
LATHAM Daniel Vincent	BDL300	Licensed Representative (Temporary Licence)	1
LAZAR Ramoun	AXC435	Licensed Representative (Temporary Licence)	4
LEE Doyeon	BFO638	Licensed Representative (Temporary Licence)	4
LEE Kyung Ja	BEX973	Licensed Representative (Temporary Licence)	4
LEE Seung Hyun	AMA939	Licensed Representative (Temporary Licence)	4
LEE Su Sie	BFU417	Licensed Representative (Temporary Licence)	1, 4
LI Jing	AOV618	Licensed Representative (Temporary Licence)	1, 2
LIE Vivi Handoyo	BEN583	Licensed Representative (Temporary Licence)	4
LIN Maximilian George	BHY284	Licensed Representative (Temporary Licence)	4
LINDQUIST Justin Myles	BJA817	Licensed Representative (Temporary Licence)	1
LOH Sin Niew	BJC093	Licensed Representative (Temporary Licence)	1
LORD James Kenji	BAX712	Licensed Representative (Temporary Licence)	1
MANSELL Steven Robert	BEI891	Licensed Representative (Temporary Licence)	4



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2017

CE Name	CE No.	License Type	Types of Regulated Activity
MAR Warren John	BAJ312	Licensed Representative (Temporary Licence)	1
MARX Christopher William	AXS989	Licensed Representative (Temporary Licence)	1
MEERS William Neame	BID388	Licensed Representative (Temporary Licence)	1
MORIUCHI Hiroyuki	BIL566	Licensed Representative (Temporary Licence)	1, 4, 6
MOSSELAAR Jan Sijtze	BHH524	Licensed Representative (Temporary Licence)	1, 4
MUKHIJA Tanuj	BJA905	Licensed Representative (Temporary Licence)	1, 4
MURAKAMI Shuhei	BGZ147	Licensed Representative (Temporary Licence)	1, 4
MURPHY Jeremy	BJB696	Licensed Representative (Temporary Licence)	1, 4, 6
NA Eun Chae	BGC163	Licensed Representative (Temporary Licence)	4
NAGATOMI Nobuto	BGV077	Licensed Representative (Temporary Licence)	1, 2
NAJARIAN Leanne Erika	BDH956	Licensed Representative (Temporary Licence)	1, 4
NOLAN Michael Timothy	BDZ929	Licensed Representative (Temporary Licence)	1
OHSAKI Shuichi	BJC170	Licensed Representative (Temporary Licence)	1, 4
ONG Choon Keong	BEV987	Licensed Representative (Temporary Licence)	1, 4
ONUMA Kazuhiko	BHA489	Licensed Representative (Temporary Licence)	1
PANGILINAN Ron Andrew Rosario	BFR071	Licensed Representative (Temporary Licence)	1, 2
PARK Ki Heung	BEX962	Licensed Representative (Temporary Licence)	4
PARK Sung Mee	BFT696	Licensed Representative (Temporary Licence)	4
PEARCE Carolyn Diana	BIN852	Licensed Representative (Temporary Licence)	1
PERRIN Yael Marie	BIZ976	Licensed Representative (Temporary Licence)	1, 2
PHELPS Mark Ivor	AZE639	Licensed Representative (Temporary Licence)	1
PHRAWPHRAIKUL Charti	BGX420	Licensed Representative (Temporary Licence)	1, 4
PINEDA Arthur San Diego	AGL866	Licensed Representative (Temporary Licence)	4
PORTELLI Josef	BJA908	Licensed Representative (Temporary Licence)	1, 4
POSCH Paul	BIY899	Licensed Representative (Temporary Licence)	1, 2
PRANOTO Henry Sulisty	BHO644	Licensed Representative (Temporary Licence)	1
QIN Bo	BIN070	Licensed Representative (Temporary Licence)	4
REDFERN William James	BGX417	Licensed Representative (Temporary Licence)	1, 4
ROBINSON Geoffrey Robert Anthony	BHX552	Licensed Representative (Temporary Licence)	4
SANGHRAJKA Satyan Arvind	BGB565	Licensed Representative (Temporary Licence)	1, 4
SARAGOSTI Yoni Sauveur Ichoua	BIX748	Licensed Representative (Temporary Licence)	1, 2
SHAH Amish Chandrakant	AUW978	Licensed Representative (Temporary Licence)	1, 4
SHAW Lachlan John Rayner	BGL492	Licensed Representative (Temporary Licence)	4
SIDHU Ravi Singh	BIM944	Licensed Representative (Temporary Licence)	1, 2
SIMMONDS Stuart Duncan	BCP839	Licensed Representative (Temporary Licence)	1, 4
SIMS David Michael	BGV073	Licensed Representative (Temporary Licence)	1, 2
SIRIPASSORN Kritapas	BDQ319	Licensed Representative (Temporary Licence)	4
SON Youngchan	ARD485	Licensed Representative (Temporary Licence)	1
SONNEVELD Ewoud Arie Jan	AOK044	Licensed Representative (Temporary Licence)	1
SPENCE Magnus Andrew Eric	AXT334	Licensed Representative (Temporary Licence)	1
STOPFORD Patrick John Richard	AQE998	Licensed Representative (Temporary Licence)	4
SWAMINATHAN Anand	AUW975	Licensed Representative (Temporary Licence)	1, 4
TAKAKU Hirofumi	AXI172	Licensed Representative (Temporary Licence)	1, 2

**REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2017**

CE Name	CE No.	Licence Type	Types of Regulated Activity
TAKEDA Marina	BHJ816	Licensed Representative (Temporary Licence)	1
TANNERS Timna Beth	BEJ877	Licensed Representative (Temporary Licence)	1, 4
TAYAL Kunal	BBL045	Licensed Representative (Temporary Licence)	1, 4
TEMPLE Ronald Scott	AFA186	Licensed Representative (Temporary Licence)	1, 4
TODD David Robert	BJA909	Licensed Representative (Temporary Licence)	1, 4
UHER Judith	BIY690	Licensed Representative (Temporary Licence)	1, 2
VACHIRAPONG Therapong	BGV252	Licensed Representative (Temporary Licence)	1, 4
VADIVELOO Premkumar Li	BIZ270	Licensed Representative (Temporary Licence)	1
WALKER Adam David Michael	BHU831	Licensed Representative (Temporary Licence)	1, 2
WEINSTEIN Ellen	BJA818	Licensed Representative (Temporary Licence)	1, 2
WILLIAMS Matthew James	BCV078	Licensed Representative (Temporary Licence)	1, 4
YANG Jong In	BEX912	Licensed Representative (Temporary Licence)	4
YAU Hoe Kiat, Patrick	AML197	Licensed Representative (Temporary Licence)	4
YIN Lei	BAV723	Licensed Representative (Temporary Licence)	4
YOSHIOKA Masahiko	BHJ396	Licensed Representative (Temporary Licence)	1
YU Carlos Jr Ranchez	BGT941	Licensed Representative (Temporary Licence)	1, 4
YUN Hee Do	BGY982	Licensed Representative (Temporary Licence)	4