



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2018

| CE Name | CE No. | License Type | Types of Regulated Activity |
|---|---------------|-------------------------|------------------------------------|
| Barings Investment Advisers (Hong Kong) Limited | ASE823 | Licensed Corporation | 1, 4, 9 |
| Bravia Capital Advisors (HK) Limited | BHR255 | Licensed Corporation | 1, 4 |
| Landmark Asset Management Limited | APY534 | Licensed Corporation | 4, 9 |
| NT Securities Asia Limited | BBY489 | Licensed Corporation | 1, 4 |
| Pine River Capital Management (HK) Limited | AOH348 | Licensed Corporation | 9 |
| ALI Nadeem | AIB749 | Licensed Representative | 1 |
| AN Bin | AUN707 | Licensed Representative | 1, 4, 6 |
| AN Chiwon | BKX406 | Licensed Representative | 1, 6 |
| ARMANDOLA Luca | AQA057 | Licensed Representative | 1 |
| ASHER Jayesh Bipin | AQP999 | Licensed Representative | 1 |
| ATKINSON Neil David | AVL149 | Licensed Representative | 1, 4 |
| AU Chan Hung, James | BHY171 | Licensed Representative | 1 |
| AU Hoi Tung | BDV504 | Licensed Representative | 1, 2 |
| AU Shuk Yin | BAF093 | Licensed Representative | 1 |
| AU Wai Fung | AVU717 | Licensed Representative | 1, 2 |
| BAO Zhenchuan | BGH466 | Licensed Representative | 6 |
| BHATIA Jitendra Lakhi Alias Babloo | BJT115 | Licensed Representative | 1, 4 |
| BHATNAGAR Anish | BBT119 | Licensed Representative | 1, 2 |
| BLANCHARD Scott Allen | ADT574 | Licensed Representative | 1, 2, 7 |
| BOILLAT Paul Henri Joseph Germain | AOB300 | Licensed Representative | 1, 4 |
| BRACKEN Edward James | AXZ896 | Licensed Representative | 1, 2 |
| BRISTOW Ryan James | BHB832 | Licensed Representative | 1, 6 |
| BURROWS Daniel John | BAB234 | Licensed Representative | 1, 6 |
| BUT Austin Owen | BGK716 | Licensed Representative | 1, 4, 9 |
| CAI Jinge | BIK509 | Licensed Representative | 1 |
| CAI Xun | BGD812 | Licensed Representative | 1 |
| CAMPBELL Lesley Anne | BDM818 | Licensed Representative | 2, 5 |
| CAO Minqian | BGY579 | Licensed Representative | 1, 9 |
| CAO Sheng | BIZ295 | Licensed Representative | 9 |
| CARSCH Harry Travis | BGY167 | Licensed Representative | 9 |
| CECIL Camilla Kate | BIH185 | Licensed Representative | 9 |
| CHAI Chi Man | AEZ771 | Licensed Representative | 9 |
| CHAN Bik Yee | AFE924 | Licensed Representative | 1, 4, 9 |
| CHAN Christopher Ting Fun | BAM797 | Licensed Representative | 1, 4 |
| CHAN Chu Kwong, Chris | BCG593 | Licensed Representative | 1 |
| CHAN Ho Him | BIY659 | Licensed Representative | 1, 2 |
| CHAN Ho Ting, Allen | ALR567 | Licensed Representative | 1 |
| CHAN Ho Yin | BFJ633 | Licensed Representative | 1, 2 |
| CHAN Ka Kit | BBP911 | Licensed Representative | 1, 4 |
| CHAN Ka Yuen | BEG057 | Licensed Representative | 1, 4 |
| CHAN Kai Ming | ABY128 | Licensed Representative | 1, 2 |
| CHAN Kay Wah | BID699 | Licensed Representative | 1, 2 |
| CHAN Kwok Kei | BEC801 | Licensed Representative | 1, 4, 9 |



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| CE Name | CE No. | License Type | Types of Regulated Activity |
|--------------------------|--------|-------------------------|-----------------------------|
| CHAN Man Fung | APV537 | Licensed Representative | 1, 4 |
| CHAN Mei Wah | ABW892 | Licensed Representative | 1, 4, 9 |
| CHAN Men Fung | BFN565 | Licensed Representative | 1 |
| CHAN Tak Po | ATW323 | Licensed Representative | 1 |
| CHAN Tsz Kwan | BEL802 | Licensed Representative | 1 |
| CHAN Tsz Yeung | BFS818 | Licensed Representative | 1, 6 |
| CHAN Weng Lun | ALU164 | Licensed Representative | 1, 2, 3 |
| CHAN Wing Yan | AVS581 | Licensed Representative | 1 |
| CHAN Yuen Kar | BHX043 | Licensed Representative | 9 |
| CHAN Yuet Mei | BBD845 | Licensed Representative | 1 |
| CHANDLER JR Michael Lee | AGC927 | Licensed Representative | 1, 2 |
| CHANG Fang Cheng | ASN247 | Licensed Representative | 1, 4 |
| CHANG Peter | AFY053 | Licensed Representative | 1, 6 |
| CHANG Wing Kong | BJR081 | Licensed Representative | 1 |
| CHANG Xueshan | AQU414 | Licensed Representative | 1, 2 |
| CHAO Jen Kai | BGK738 | Licensed Representative | 1, 6 |
| CHAU Siu Hang | BJA643 | Licensed Representative | 1, 4 |
| CHE Da | BGK429 | Licensed Representative | 4, 5, 9 |
| CHELLAPPA Govindarajan | AUX265 | Licensed Representative | 4 |
| CHEN Chih Cheng | BAN221 | Licensed Representative | 1, 4, 9 |
| CHEN Chin Yueh | AOP089 | Licensed Representative | 1, 4, 9 |
| CHEN Duyi | BIK013 | Licensed Representative | 1, 2 |
| CHEN Jie | BFI718 | Licensed Representative | 6 |
| CHEN Mengyao | BFY104 | Licensed Representative | 4 |
| CHEN Xin | BEO543 | Licensed Representative | 9 |
| CHEN Yingting | BAO687 | Licensed Representative | 4, 9 |
| CHEN Yiting | AYY601 | Licensed Representative | 9 |
| CHEN Yiwu | BHL998 | Licensed Representative | 1, 6 |
| CHENG Chi | BFP712 | Licensed Representative | 1, 6 |
| CHENG Ka Ho | AQM088 | Licensed Representative | 4, 9 |
| CHENG Ming Kin, Terrence | BEE255 | Licensed Representative | 9 |
| CHENG Patricia Hoi Yun | APP181 | Licensed Representative | 1 |
| CHENG Po Fung | AKR098 | Licensed Representative | 1, 4, 9 |
| CHENG Sze Chung, Henry | BGY653 | Licensed Representative | 1, 4, 9 |
| CHENG Tien Yum Timothy | BHR348 | Licensed Representative | 1, 4 |
| CHENG Vincent Wai Sun | BGZ596 | Licensed Representative | 1, 2, 4, 9 |
| CHENG Wang | BHY239 | Licensed Representative | 1, 4, 9 |
| CHEUK Jonathan Loang | BJP587 | Licensed Representative | 1, 2, 4, 5 |
| CHEUK Yeung Shing | BFY673 | Licensed Representative | 1, 2 |
| CHEUNG Chau Lik | BIW792 | Licensed Representative | 2 |
| CHEUNG Cheuk Fung | BIE626 | Licensed Representative | 4, 9 |
| CHEUNG Chun Kit | BLJ669 | Licensed Representative | 6 |
| CHEUNG Hei Lam, Phoebe | BBV864 | Licensed Representative | 1 |



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| CE Name | CE No. | License Type | Types of Regulated Activity |
|--|---------------|-------------------------|------------------------------------|
| CHEUNG Tsz Ho | BHV587 | Licensed Representative | 1 |
| CHEUNG Wai Lap | AXZ097 | Licensed Representative | 1, 2 |
| CHEUNG Wing Yee, Magdalen | BAH299 | Licensed Representative | 9 |
| CHIDAMBARAM Ganesh | AYE599 | Licensed Representative | 1, 4, 9 |
| CHIN Julius Jiun | BAO582 | Licensed Representative | 1 |
| CHIU Ka Chun | BGV489 | Licensed Representative | 4, 9 |
| CHIU Sin Bun | BCQ603 | Licensed Representative | 1, 4 |
| CHIU Wai Lung | BJM086 | Licensed Representative | 1, 4 |
| CHOI Kit Yee, Zoe | ATY815 | Licensed Representative | 1, 4, 9 |
| CHOI Wai Lam, Roni | AMN884 | Licensed Representative | 1 |
| CHOI-LEE Nora | BGM249 | Licensed Representative | 1, 4, 9 |
| CHOW Hermes Ho Chai | BHO997 | Licensed Representative | 6 |
| CHU Chun Hin, Steven | AMS312 | Licensed Representative | 9 |
| CHU Ho Kan | BGY644 | Licensed Representative | 6 |
| CHU Yiu Keung | BHF646 | Licensed Representative | 1, 4, 6, 9 |
| CHUGH Ashish | BI1175 | Licensed Representative | 9 |
| CHUI Tai Sun, Derry | AAW942 | Licensed Representative | 1 |
| CHUNG Hau Yee, Claudia | APF794 | Licensed Representative | 1, 2 |
| CHUNG Ho Cheong | AVD979 | Licensed Representative | 1, 2 |
| CHUNG Hon Kee | AEP533 | Licensed Representative | 1, 2, 9 |
| CHURCHILL James Edward | APO559 | Licensed Representative | 1, 4 |
| CONG Cong | BBP673 | Licensed Representative | 1, 4 |
| COOK Geoffrey John | APB534 | Licensed Representative | 1 |
| COULDREY Ranulf David Richard | BKY385 | Licensed Representative | 4, 6 |
| COX Simon Charles | AZT659 | Licensed Representative | 1, 4, 6 |
| CUI Kevin | BBK544 | Licensed Representative | 1, 4, 6 |
| CUKIERMAN David Raphael | AJE476 | Licensed Representative | 1, 2, 4 |
| CURTIS Harry Croyle | AYW063 | Licensed Representative | 4 |
| DI LULLO Cristina | BBR227 | Licensed Representative | 1, 2 |
| DOU Christopher Siyang | BFT164 | Licensed Representative | 4, 9 |
| DUAN Xinyu | BBS609 | Licensed Representative | 1, 4 |
| EACOTT Luke Leslie | BCQ753 | Licensed Representative | 1, 2, 7 |
| FAN Debby | BAV169 | Licensed Representative | 1, 4 |
| FAN Ka Yan | BAZ732 | Licensed Representative | 1, 2 |
| FERRES Nicholas Leigh | BAL986 | Licensed Representative | 1, 4 |
| FONG Kin Hung | AAF516 | Licensed Representative | 1 |
| FRANCOIS-PONCET Pauline Marie Marguerite | BGI611 | Licensed Representative | 1 |
| FU Chun Fai William | BJG731 | Licensed Representative | 1 |
| FU Junwei | BHC977 | Licensed Representative | 4 |
| FUNG Kai Qi | AAX457 | Licensed Representative | 1, 2 |
| FUNG Man Chi | AZS249 | Licensed Representative | 1 |
| FUNG Yuk Kwan | BDM522 | Licensed Representative | 1 |
| GAO Fei | BJZ288 | Licensed Representative | 1, 4, 9 |



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| CE Name | CE No. | License Type | Types of Regulated Activity |
|-------------------------------------|---------------|-------------------------|------------------------------------|
| GAO Feng | BCW547 | Licensed Representative | 1, 2 |
| GAO Ning | BAU497 | Licensed Representative | 1 |
| GUAN Qidong | BHC308 | Licensed Representative | 1, 4, 6 |
| GUO Dajiang | ATE173 | Licensed Representative | 1 |
| HARA Hitoshi | AMV311 | Licensed Representative | 1, 2 |
| HART Terence | AGN329 | Licensed Representative | 1, 4 |
| HASHIM Abdullah Sophian Bin Mohamed | BBU397 | Licensed Representative | 4 |
| HAUNER David Walter | BHK408 | Licensed Representative | 1, 4 |
| HE Fang | APC740 | Licensed Representative | 1, 4 |
| HE Li | BJK530 | Licensed Representative | 1 |
| HEO Seongmoo | AYN300 | Licensed Representative | 1, 2, 7 |
| HIROKANE Scott Yukiji | AZH207 | Licensed Representative | 9 |
| HO Fu Wing | BIY647 | Licensed Representative | 1, 2 |
| HO Hoi To Charlie | BGL375 | Licensed Representative | 1, 4, 9 |
| HO Ka Lun | BIN776 | Licensed Representative | 1, 4, 5, 9 |
| HO Lai Man, Tiffany | AMU748 | Licensed Representative | 1, 4, 9 |
| HO Siu Ling, Jackie | ABY832 | Licensed Representative | 1 |
| HO Siu Wai | ACO714 | Licensed Representative | 2 |
| HO Tsun Yu | BCN406 | Licensed Representative | 1, 4, 9 |
| HO Tsz Hin | BGZ181 | Licensed Representative | 1 |
| HON Chi Wang | BDU345 | Licensed Representative | 1 |
| HU Saisai | BAB450 | Licensed Representative | 1, 4, 6 |
| HU Ting-hui | BIS578 | Licensed Representative | 1, 4, 9 |
| HUANG Can | BFE695 | Licensed Representative | 1, 6 |
| HUANG Chengyang | BCH777 | Licensed Representative | 4, 9 |
| HUANG Jianan | BHU270 | Licensed Representative | 1, 6 |
| HUANG Mingyan | AVL143 | Licensed Representative | 1, 6 |
| HUANG Suiming | BFB090 | Licensed Representative | 1, 2 |
| HUANG Xintong | BFX193 | Licensed Representative | 1, 4, 6 |
| HUEN Kit Ying | BFW361 | Licensed Representative | 1, 4, 9 |
| IP Choi Ying | BFY803 | Licensed Representative | 1 |
| IP Tin Lung | AOV340 | Licensed Representative | 1 |
| JAJODIA Ambarish | BGI775 | Licensed Representative | 1, 4 |
| JEYARAJAH Chitranjan | AJF168 | Licensed Representative | 1 |
| JIA Liqing | AGN755 | Licensed Representative | 1, 4 |
| JIANG Lan | BGW596 | Licensed Representative | 1 |
| JIN Liangzhu | BHY759 | Licensed Representative | 1, 6 |
| JINDLE Kunal | BDL108 | Licensed Representative | 1, 9 |
| JUAN Chien Ming | AKV064 | Licensed Representative | 1 |
| JUN Hae Young | BJR969 | Licensed Representative | 1, 4 |
| JUNG Woo Sung | AYR994 | Licensed Representative | 1, 4, 6 |
| KAGIYAMA Takafumi | ALI485 | Licensed Representative | 1 |
| KEUNG Yu | AOC930 | Licensed Representative | 1, 2 |



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| CE Name | CE No. | License Type | Types of Regulated Activity |
|-----------------------|---------------|-------------------------|------------------------------------|
| KHOSLA Mohika | BIM297 | Licensed Representative | 1, 2 |
| KIM Hyung Joon | AQU597 | Licensed Representative | 1, 2, 9 |
| KIM Jong Kuk | AFC107 | Licensed Representative | 4 |
| KIM Kangill | AXR167 | Licensed Representative | 4, 9 |
| KIM Samuel, Nicolas | ATX996 | Licensed Representative | 1, 2, 7 |
| KIYO Kei | BFR490 | Licensed Representative | 1, 4 |
| KLOPP John Richard | BAV776 | Licensed Representative | 1 |
| KO Ling Siu | AQW629 | Licensed Representative | 2 |
| KOH Chink Ee | BHB655 | Licensed Representative | 4 |
| KOHLI Ishita Deepak | BBT184 | Licensed Representative | 1, 6 |
| KONG Ching Man Yvonne | BJK871 | Licensed Representative | 1 |
| KOO Bonil | BBE974 | Licensed Representative | 4 |
| KOT Suet Bing | BIC115 | Licensed Representative | 1, 2, 7 |
| KOTANI Ryota | BHN987 | Licensed Representative | 9 |
| KU Hiu Tung, Erica | AZB125 | Licensed Representative | 1, 2 |
| KWOK Luk Pun | BGB730 | Licensed Representative | 1, 4, 9 |
| KWONG Hiu Fung, Sunny | AYQ559 | Licensed Representative | 1, 2 |
| KWONG Ka Man, Carmen | BFT899 | Licensed Representative | 1 |
| LAI Chun Kit | BGH428 | Licensed Representative | 9 |
| LAI Sin Hang | BHT308 | Licensed Representative | 1 |
| LAI Sin Ting | AQA673 | Licensed Representative | 1, 4 |
| LAI Wai Man | ANQ233 | Licensed Representative | 1 |
| LAI Yeuk Ho Hardy | BFM552 | Licensed Representative | 1, 4, 9 |
| LAM Cheuk Fung | AUZ013 | Licensed Representative | 1, 2 |
| LAM Chun Lung | BHQ081 | Licensed Representative | 2, 5 |
| LAM Hung Kai | ACZ478 | Licensed Representative | 1, 2 |
| LAM Ka Yu, Simon | AKW812 | Licensed Representative | 1, 2 |
| LAM Kwong Ho | BIS542 | Licensed Representative | 1, 4 |
| LAM Lok Yeung | BGN532 | Licensed Representative | 1 |
| LAM Lorraine Sien-yue | AOY223 | Licensed Representative | 1, 4, 9 |
| LAM Sim Hing Joliot | BGQ928 | Licensed Representative | 1, 4, 9 |
| LAM Sin Yee Cindy | BEX907 | Licensed Representative | 4 |
| LAM Tung Wai | BBU728 | Licensed Representative | 1 |
| LAM Wai Ngar | BDY091 | Licensed Representative | 1, 4 |
| LAM Yuen Pang | BJQ215 | Licensed Representative | 1, 4 |
| LAMBA Vikram Singh | APL078 | Licensed Representative | 1, 4, 6 |
| LAU Hoi Fung, Helen | BFJ144 | Licensed Representative | 9 |
| LAU Ka Bo Gilbert | BJB668 | Licensed Representative | 6 |
| LAU Nga Yeung | ALQ199 | Licensed Representative | 1, 2, 3 |
| LAU Yau Leong | BAT977 | Licensed Representative | 1, 4, 9 |
| LAW Chun Hin, Addison | BJP854 | Licensed Representative | 1, 4 |
| LAW Hiu Sin | BF893 | Licensed Representative | 1 |
| LAW Li Wa, Phoebe | BEE435 | Licensed Representative | 1, 4, 9 |

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| CE Name | CE No. | License Type | Types of Regulated Activity |
|-------------------------|---------------|-------------------------|------------------------------------|
| LEE Cheuk Yu, Charles | BGI225 | Licensed Representative | 1, 4, 6 |
| LEE Chi Wang | BBA276 | Licensed Representative | 3 |
| LEE Chiu Yi | ACY232 | Licensed Representative | 1, 4, 9 |
| LEE Chui Ngan | BGI901 | Licensed Representative | 1, 2, 4 |
| LEE Helmut Inn Man | BHL036 | Licensed Representative | 1, 6 |
| LEE Ho Yee | AMX703 | Licensed Representative | 1 |
| LEE Hon Bun | AXL896 | Licensed Representative | 1, 4 |
| LEE Hong Sui | ATQ868 | Licensed Representative | 1 |
| LEE Ka Ho | BIX295 | Licensed Representative | 1, 2 |
| LEE Kai Leung | ATV574 | Licensed Representative | 1 |
| LEE Po Wai, Edward | BEC260 | Licensed Representative | 1, 4 |
| LEE Soo Young | BFA780 | Licensed Representative | 1 |
| LEE Sum Wah, Angel | ALZ429 | Licensed Representative | 1 |
| LEEM Jaehung | BFN523 | Licensed Representative | 1, 7 |
| LEUNG Chi Kin | AIU239 | Licensed Representative | 1, 2 |
| LEUNG Derek Ying Wai | ACX247 | Licensed Representative | 1, 4 |
| LEUNG Fong Ming | AAI351 | Licensed Representative | 1, 2 |
| LEUNG Ka Man | AID020 | Licensed Representative | 1, 4, 6 |
| LEUNG Ka Shun | BAU495 | Licensed Representative | 1 |
| LEUNG Ka Wah, Dillion | AXR159 | Licensed Representative | 1, 4 |
| LEUNG Ka Wing | BEH959 | Licensed Representative | 1, 4, 6 |
| LEUNG Kwai Wah, Aaron | AAB821 | Licensed Representative | 1, 2, 3 |
| LEUNG Shu Wing | BEB797 | Licensed Representative | 1 |
| LEUNG Wai Shing Vincent | BIX259 | Licensed Representative | 10 |
| LI Chengzhen | BEW378 | Licensed Representative | 1, 6 |
| LI Haoxiang | BFX483 | Licensed Representative | 6 |
| LI Hiu Fai | AIC115 | Licensed Representative | 1, 4 |
| LI Jiapeng | BGB556 | Licensed Representative | 6 |
| LI Jingyi | BGH363 | Licensed Representative | 4 |
| LI King Hei, James | BFD043 | Licensed Representative | 1, 4 |
| LI King Lam | BDT585 | Licensed Representative | 4 |
| LI Ran | BIL558 | Licensed Representative | 4 |
| LI Ruobi | BBT500 | Licensed Representative | 1, 6 |
| LI Shu Fan, Patrick | BCG146 | Licensed Representative | 1 |
| LI Wai Shun | ATT781 | Licensed Representative | 9 |
| LI Yin | BHV430 | Licensed Representative | 1 |
| LI Yinglei | BII293 | Licensed Representative | 4 |
| LI Zhengwei | BFJ416 | Licensed Representative | 1, 4 |
| LIM Sau Fung | ADW046 | Licensed Representative | 2, 5 |
| LIN Binbin | BFY985 | Licensed Representative | 1, 6 |
| LIN Rung-Yan | AJE674 | Licensed Representative | 1, 4 |
| LIN Yaheng | BFK968 | Licensed Representative | 1, 4, 9 |
| LING Ruotian | BDY068 | Licensed Representative | 4, 9 |



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| CE Name | CE No. | License Type | Types of Regulated Activity |
|--------------------------------|--------|-------------------------|-----------------------------|
| LIT Chi Hong | AAB259 | Licensed Representative | 1, 2 |
| LIU Chengen | AMN873 | Licensed Representative | 1, 4, 9 |
| LIU Chris Yang | AVE806 | Licensed Representative | 6 |
| LIU Hongge | APS261 | Licensed Representative | 1, 4, 6 |
| LIU Tsan Ching | BAH631 | Licensed Representative | 1, 4, 9 |
| LIU Xiaojie | BAK347 | Licensed Representative | 1 |
| LO Kam Wan | ADT117 | Licensed Representative | 2, 5 |
| LO King Keung, Joe | ATX834 | Licensed Representative | 1 |
| LO Lai Kwan, Thebes | ACT431 | Licensed Representative | 1, 2 |
| LO Wai On | BHE019 | Licensed Representative | 1, 4, 9 |
| LO Wei Man | AQQ599 | Licensed Representative | 3 |
| LO Yan Kin | AWJ985 | Licensed Representative | 1, 4 |
| LU Chen | AZS333 | Licensed Representative | 1, 4 |
| LU Jiawen | BEZ006 | Licensed Representative | 1, 6 |
| LUK Ka Yan | ATM631 | Licensed Representative | 1 |
| LUNG Hoi Shi | BDY317 | Licensed Representative | 1, 4, 9 |
| LUO Di | BHH206 | Licensed Representative | 1, 4, 5 |
| LUO Wanqian | BHK240 | Licensed Representative | 1 |
| MA Ho Yeung, Calvin | AXS130 | Licensed Representative | 1, 4 |
| MA Linna | BHP667 | Licensed Representative | 4, 9 |
| MA Mui Chu | AAA013 | Licensed Representative | 1 |
| MA Sau Yung | BGA922 | Licensed Representative | 1 |
| MA Wai Yin, Michael | AFA129 | Licensed Representative | 1, 4, 9 |
| MAN Ho Chuen | BDO203 | Licensed Representative | 1 |
| MANCUSO Henry Sullivan | BFK140 | Licensed Representative | 1, 4 |
| MANDEL Jade Danielle | BEE075 | Licensed Representative | 1 |
| MARIANI Jody Manuel Alessandro | BBP593 | Licensed Representative | 1 |
| MATHUR Nitin | BHZ863 | Licensed Representative | 4 |
| MATTILA Riku Johannes | AXH229 | Licensed Representative | 4 |
| MENG Qing | BFA703 | Licensed Representative | 1 |
| MIN Kyeung Won | ANX745 | Licensed Representative | 1, 4 |
| MUI Shuet Kuen, Shirley | APZ551 | Licensed Representative | 1 |
| NAGANUMA Hidemi | BGV032 | Licensed Representative | 1, 2 |
| NAM Chee Keung | ACC116 | Licensed Representative | 1, 2 |
| NG Angel | BHV238 | Licensed Representative | 1 |
| NG Cheuk Nin | BHZ345 | Licensed Representative | 1, 4 |
| NG Hung Yu | AAD613 | Licensed Representative | 1 |
| NG Laura Shui Yee | BJA644 | Licensed Representative | 1, 4, 9 |
| NG Man Wai, Rachel | BIQ722 | Licensed Representative | 1 |
| NG Min Leung | ABF177 | Licensed Representative | 1 |
| NG Siu Lung | BEF664 | Licensed Representative | 1, 4 |
| NG Siu Yeung | BFA332 | Licensed Representative | 1, 4 |
| NG Wai Man, William | AXD512 | Licensed Representative | 1 |



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| CE Name | CE No. | License Type | Types of Regulated Activity |
|--|---------------|-------------------------|------------------------------------|
| NG Wing Chi | AME752 | Licensed Representative | 1, 4 |
| NG Yuen Ling | AUE236 | Licensed Representative | 1, 4 |
| NIRAPATHPONGPORN Ekapak | BAB812 | Licensed Representative | 6 |
| NIU Qianru | BJE845 | Licensed Representative | 1 |
| NONAKA Ruri | BLK704 | Licensed Representative | 1, 4, 6 |
| OH Hyung-geun | AUZ214 | Licensed Representative | 1, 2, 7 |
| OUYANG Hui | ARN668 | Licensed Representative | 4, 9 |
| PAI Nicolas Vinayak | BEU007 | Licensed Representative | 1, 2, 9 |
| PANDOV Alexandre | BGM581 | Licensed Representative | 1, 2, 7 |
| PANG Ho Chuen | BJE558 | Licensed Representative | 1 |
| PANG Ho Ting, Diana | BCD575 | Licensed Representative | 1 |
| PANG Kwok Hang | AFJ536 | Licensed Representative | 1 |
| PANG Wing Hung | AAG139 | Licensed Representative | 1 |
| PARK Hyun Hee | ASZ670 | Licensed Representative | 10 |
| PARK Jun | BGA448 | Licensed Representative | 1, 4, 6 |
| PATEL Pinakin Kantilal | BBG312 | Licensed Representative | 1, 4, 9 |
| PATON Karen Anne | BEF836 | Licensed Representative | 4, 9 |
| PELOUX DE REYDELLET DE CHAVAGNAC Jean-Baptiste Marie | BDT687 | Licensed Representative | 6 |
| PENG Bo | BDJ188 | Licensed Representative | 1 |
| PERVEZ Ahsan | AJO361 | Licensed Representative | 1, 4 |
| PETRIE Thomas | BFY856 | Licensed Representative | 1, 2 |
| POON Wai Chung, Ricky | ANT590 | Licensed Representative | 1 |
| POON YIK HANG | BJJ554 | Licensed Representative | 6 |
| POON Ying Heung, Charlotte | AVS728 | Licensed Representative | 1, 4, 6 |
| QIAN Zhiyi | BGU292 | Licensed Representative | 1, 4, 9 |
| QUAH Chiang Ming | AER425 | Licensed Representative | 1, 2 |
| RAGHUNATH Madhusudhan | BHL507 | Licensed Representative | 1, 2 |
| SALDANHA Joshua Gerard | ANB180 | Licensed Representative | 1 |
| SCOTT Andrew | BBT921 | Licensed Representative | 1 |
| SEE Hiu Lun | BHC394 | Licensed Representative | 1, 4 |
| SHEK Chun Kong | BEF846 | Licensed Representative | 1 |
| SHI Ying Ying | ARL882 | Licensed Representative | 1 |
| SHI Zhenni | AYX154 | Licensed Representative | 1 |
| SHIU Hei Chuen | ANG609 | Licensed Representative | 1, 2 |
| SHOLAPURKAR Aditi | BKA583 | Licensed Representative | 1 |
| SIE Yan, Alison | AJD400 | Licensed Representative | 1, 4 |
| SIN Jordan Chun Kit | BEV999 | Licensed Representative | 1 |
| SINGHAL Abhishek | AVJ432 | Licensed Representative | 4 |
| SISTERSON Edward Maxwell | AEJ585 | Licensed Representative | 1, 2, 7 |
| SIU Sin Wan | BGG783 | Licensed Representative | 1, 4 |
| SMERIN Jeanette Jocelyn Ip | AXV030 | Licensed Representative | 1, 2 |
| SO Wai Ling | BEJ504 | Licensed Representative | 1 |
| SONG Minmin | BDF036 | Licensed Representative | 4, 9 |



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| SONG Rui | BGA602 | Licensed Representative | 1 |
| SONG Sijia | BIY897 | Licensed Representative | 1, 6 |
| SU Cong | BFW368 | Licensed Representative | 1, 2, 4 |
| SUEN Toi Wai, David | ACS842 | Licensed Representative | 1, 4, 6 |
| SUGAWARA Takamichi | BBM221 | Licensed Representative | 1, 2, 7 |
| SUI Jinjin | AZM580 | Licensed Representative | 1, 4, 6 |
| SUM Ka Hoe Eric | BGK890 | Licensed Representative | 1, 6 |
| SUM Lik Hang, Alex | AXF998 | Licensed Representative | 1 |
| SUN Denghui | BFV981 | Licensed Representative | 1, 6 |
| SUN King Hei | BHY889 | Licensed Representative | 1, 4 |
| SUN Mengyuan | BDY879 | Licensed Representative | 1, 4, 6 |
| SUN Timothy | ADQ628 | Licensed Representative | 1 |
| SUN Xianbing | ARO467 | Licensed Representative | 4 |
| SUN Xiaodi | BAM801 | Licensed Representative | 1 |
| SUN Yat Chun Andy | BJH204 | Licensed Representative | 1, 4 |
| TAM Ching Wo | AFE300 | Licensed Representative | 4 |
| TAM Hok Yiu | BHS150 | Licensed Representative | 1 |
| TAN Minjie | BFR472 | Licensed Representative | 4, 9 |
| TAN Mu Rong | BID590 | Licensed Representative | 1, 6 |
| TAN Shin Fei | BJR814 | Licensed Representative | 1, 6 |
| TAN Yu Sian | BIM915 | Licensed Representative | 4, 6 |
| TANG Ho Shan Mary Shirley | BGS847 | Licensed Representative | 1, 4, 9 |
| TANG Hoy Chun Mervyn Joseph | BER817 | Licensed Representative | 10 |
| TANG Jack | BBD024 | Licensed Representative | 2, 3, 5 |
| TANG Suet Yi | AVZ610 | Licensed Representative | 4, 9 |
| TANG Thompson Christian UY | BBL194 | Licensed Representative | 1, 4, 6 |
| TANG Yiu Wah | AYI750 | Licensed Representative | 1, 4 |
| THAM Kuan Chee | AMX445 | Licensed Representative | 1, 2 |
| TIAN Xiaosu | BIF037 | Licensed Representative | 9 |
| TIN Chui Wa | BIG522 | Licensed Representative | 2 |
| TIN Jackie Luk-yi | AZX218 | Licensed Representative | 1, 4 |
| TING Fu Wah | AOE212 | Licensed Representative | 1, 2, 4, 5 |
| TING Khang | AWC349 | Licensed Representative | 9 |
| TJIA Sau Wah | ACA469 | Licensed Representative | 1 |
| TJIE Maurice | BCG555 | Licensed Representative | 2, 5 |
| TONG Chung Lun, Thomas | AFM436 | Licensed Representative | 1, 4, 9 |
| TONG Wai Lun | AOO244 | Licensed Representative | 1 |
| TONG Ying | BIJ653 | Licensed Representative | 2 |
| TSAI Chi Kwong, Alfred | ADY315 | Licensed Representative | 1 |
| TSANG Chun Yan Johnson | BFW826 | Licensed Representative | 1, 6 |
| TSANG Hing Yip | ANY025 | Licensed Representative | 3 |
| TSANG Kwok Yuk | ADJ392 | Licensed Representative | 1, 4, 6, 9 |
| TSANG Mei Ling | BEC804 | Licensed Representative | 1, 4, 9 |



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2018

| CE Name | CE No. | License Type | Types of Regulated Activity |
|------------------------|--------|-------------------------|-----------------------------|
| TSANG Shan | AVB835 | Licensed Representative | 1 |
| TSANG Wai Lun | BGA742 | Licensed Representative | 1 |
| TSE Chi Hang | AXB513 | Licensed Representative | 1 |
| TSE Kin Lung | BIL594 | Licensed Representative | 6 |
| TSE Sze Man | AKS678 | Licensed Representative | 1, 4 |
| TSE Terry Michael | ANQ381 | Licensed Representative | 1 |
| TSENG Yu Wen | BFO049 | Licensed Representative | 1, 4 |
| TSOI Cheuk Yin | ANJ210 | Licensed Representative | 1, 4, 9 |
| TSOI Pak Pan | BHB618 | Licensed Representative | 1 |
| TSUI Ching Wai, Claire | APY323 | Licensed Representative | 1, 6 |
| TSUI Yui Hang | BHY619 | Licensed Representative | 1 |
| U Pou Keong | AWY617 | Licensed Representative | 1, 2, 4, 5 |
| WAN Kai Chung | ARU546 | Licensed Representative | 1 |
| WAN Wai Hang | BAZ965 | Licensed Representative | 1, 4, 9 |
| WANG Barbara Shiuan | ACQ543 | Licensed Representative | 1, 2 |
| WANG Da Qing | BAB056 | Licensed Representative | 1, 2 |
| WANG Danqi | BIG992 | Licensed Representative | 4 |
| WANG Daoheng | BEV972 | Licensed Representative | 2, 5 |
| WANG Donglei | BIR748 | Licensed Representative | 1, 6 |
| WANG Jing | AUQ482 | Licensed Representative | 1 |
| WANG Jingwen | AVH968 | Licensed Representative | 4, 5, 9 |
| WANG Lan | AXK661 | Licensed Representative | 1, 2 |
| WANG Lei | BGV046 | Licensed Representative | 1, 2 |
| WANG Liang | BLI722 | Licensed Representative | 1, 4, 9 |
| WANG Qian | BEL591 | Licensed Representative | 1, 4 |
| WANG Xing | ANU191 | Licensed Representative | 1 |
| WANG Yaqian | AMG899 | Licensed Representative | 6 |
| WANG Yifu | BJB198 | Licensed Representative | 1, 4 |
| WANG Yingying | BFA820 | Licensed Representative | 1, 4, 9 |
| WEI Haoxing | BFR504 | Licensed Representative | 1, 4 |
| WILLIAMS Douglas Brock | AQX957 | Licensed Representative | 1, 4, 9 |
| WILMOTT James Michael | BBJ760 | Licensed Representative | 1 |
| WONG Cheuk Hang | BAA787 | Licensed Representative | 1, 4, 9 |
| WONG Chi Chung | ANS286 | Licensed Representative | 2, 5 |
| WONG Chi Man, Louis | AUW820 | Licensed Representative | 1 |
| WONG Chi Ming | ANN089 | Licensed Representative | 4 |
| WONG Chun Ngai | BGS902 | Licensed Representative | 1 |
| WONG Chun Yin | AQD296 | Licensed Representative | 1, 4 |
| WONG Chung Yin, Kelvin | BBS510 | Licensed Representative | 1 |
| WONG Hoi Man | BIX227 | Licensed Representative | 1 |
| WONG Hsien Sheng | BJH450 | Licensed Representative | 1 |
| WONG Hui, Anita | ABW923 | Licensed Representative | 1, 4, 9 |
| WONG Kam Yee, Winnie | AZH264 | Licensed Representative | 4, 9 |

**REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2018**

| CE Name | CE No. | License Type | Types of Regulated Activity |
|----------------------|---------------|-------------------------|------------------------------------|
| WONG Lung Kong | AVE047 | Licensed Representative | 1, 2 |
| WONG Man Ngai | ABG801 | Licensed Representative | 1, 2 |
| WONG Si Man | BDY093 | Licensed Representative | 1, 4, 9 |
| WONG Siu Hang | BDO849 | Licensed Representative | 1 |
| WONG Sze Yeung | AOJ621 | Licensed Representative | 1, 2, 4, 5 |
| WONG Tsun Man | BBE990 | Licensed Representative | 1, 2, 3 |
| WONG Wing Yan | AFT859 | Licensed Representative | 1 |
| WONG Yuen Yee, Vicky | AUX364 | Licensed Representative | 1, 4 |
| WOO Ka Man Sara | BHD691 | Licensed Representative | 1 |
| WU Fangyu | BGB723 | Licensed Representative | 6 |
| WU Rui | BBG373 | Licensed Representative | 1 |
| WU Wenquan | APC267 | Licensed Representative | 1, 4, 9 |
| XI Xiaojie | AQM286 | Licensed Representative | 1, 4, 6 |
| XIA Ling | AVQ775 | Licensed Representative | 1, 6 |
| XIA Wei | BIX413 | Licensed Representative | 4, 9 |
| XIAO Han | BIV459 | Licensed Representative | 1, 2, 4, 9 |
| XU Jun | AYO393 | Licensed Representative | 9 |
| XU Ke | BHU228 | Licensed Representative | 1, 6 |
| XU Lin | BFA677 | Licensed Representative | 1, 2 |
| XU Weiyang | BDW151 | Licensed Representative | 1 |
| XU Wenyin | BJD206 | Licensed Representative | 4, 9 |
| XU WU Yan Hau | BFR203 | Licensed Representative | 1, 2 |
| XU Yiwei | BBQ357 | Licensed Representative | 4 |
| YAN Ligang | AXI245 | Licensed Representative | 1, 4, 6 |
| YAN Xie | BCO753 | Licensed Representative | 1, 6 |
| YANG Jae Kyoo | BCE785 | Licensed Representative | 1, 4, 9 |
| YANG Jie | BBU726 | Licensed Representative | 1 |
| YANG Xiaoyu | BJW364 | Licensed Representative | 1, 4 |
| YANG Yang | ARH481 | Licensed Representative | 1, 4, 9 |
| YAP Hsien Liang | BIM383 | Licensed Representative | 1, 4 |
| YAU Ming Kwan, Mark | AAC016 | Licensed Representative | 1, 2, 4 |
| YE Kun | BCV670 | Licensed Representative | 1, 4, 9 |
| YEE Jun Jie Mervyn | BIS559 | Licensed Representative | 4 |
| YEE Wai Lun | AQU412 | Licensed Representative | 4 |
| YEUNG Choi Fung, Amy | ANN663 | Licensed Representative | 1, 4 |
| YEUNG Lai Yee | BAA788 | Licensed Representative | 1, 4, 9 |
| YEUNG On Shu | BDM336 | Licensed Representative | 1 |
| YEUNG Sheung Yum | BHW844 | Licensed Representative | 9 |
| YEUNG Shuk Yi Rita | BJP441 | Licensed Representative | 1, 4 |
| YEUNG Ying Lung | BGE650 | Licensed Representative | 1, 4 |
| YEW Yeow Xin Don | BGQ805 | Licensed Representative | 4 |
| YI Wenhui | BHC970 | Licensed Representative | 1, 4, 6 |
| YIP Tik Yin | BEW117 | Licensed Representative | 1, 2, 3 |



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2018

| CE Name | CE No. | License Type | Types of Regulated Activity |
|------------------------|--------|---|-----------------------------|
| YOUNG Clarence Andrew | BFL541 | Licensed Representative | 1, 4 |
| YU Hing Fai | AFM186 | Licensed Representative | 1 |
| YU Peijiao | BBU271 | Licensed Representative | 1, 4 |
| YU Runnan | BFU382 | Licensed Representative | 6 |
| YU Wai Pong | BFZ073 | Licensed Representative | 1, 4, 9 |
| YU Wing Kin | BGX106 | Licensed Representative | 1 |
| YU Xin | BHZ338 | Licensed Representative | 1, 2 |
| YUAN Lixi | BCL663 | Licensed Representative | 4 |
| YUAN Minfeng | BGH787 | Licensed Representative | 9 |
| YUAN Minhui | BJM253 | Licensed Representative | 1 |
| YUAN Peiyun | BIX564 | Licensed Representative | 6 |
| YUE Yuan | BJB569 | Licensed Representative | 4, 9 |
| YUEN King Fai | AVU716 | Licensed Representative | 1, 2 |
| YUEN Shun Yiu | BJV916 | Licensed Representative | 1, 4 |
| YUEN Siu Chung | BAZ873 | Licensed Representative | 1 |
| YUEN Tat Wing | AWA568 | Licensed Representative | 1, 2 |
| YUNG Ling Ling, Lilian | ADX189 | Licensed Representative | 1 |
| ZHAN Hanxi | BHY418 | Licensed Representative | 1, 4 |
| ZHANG Jialin | AYM827 | Licensed Representative | 9 |
| ZHANG Letian | BJI768 | Licensed Representative | 1, 4 |
| ZHANG Licong | BHH175 | Licensed Representative | 4 |
| ZHANG Qiudi | BEV553 | Licensed Representative | 1 |
| ZHANG Xiao Yi | BHN551 | Licensed Representative | 4 |
| ZHANG Yamin | BDQ126 | Licensed Representative | 1 |
| ZHANG Yifan | BGK432 | Licensed Representative | 10 |
| ZHANG Yilei | BAX633 | Licensed Representative | 1 |
| ZHAO Li | BAB075 | Licensed Representative | 4, 5 |
| ZHAO Qianyu | BFF393 | Licensed Representative | 1 |
| ZHENG Xuedong | ARV905 | Licensed Representative | 1 |
| ZHOU Fei | BEM286 | Licensed Representative | 1, 4, 6 |
| ZHOU Xicheng | BHV604 | Licensed Representative | 1, 2 |
| ZHOU Yihang | BGL303 | Licensed Representative | 1, 4 |
| ZHU Zhen | BGB502 | Licensed Representative | 10 |
| ZOLL Martin Klaus | AYC388 | Licensed Representative | 1 |
| BAI Youge | ALP809 | Licensed Representative - Responsible Officer | 4 |
| BENENATI Andrea Marco | ALO539 | Licensed Representative - Responsible Officer | 1, 4, 9 |
| CHENG Kong Sen | APU888 | Licensed Representative - Responsible Officer | 1, 4, 9 |
| CHEUNG Fei Chau | AGC679 | Licensed Representative - Responsible Officer | 1 |
| CHEUNG Hon Wing | AEI346 | Licensed Representative - Responsible Officer | 1, 4, 5, 9 |
| CHIEW Bee Geok Alina | ALA864 | Licensed Representative - Responsible Officer | 1, 4, 9 |
| CHIN Ching Lan | ACZ592 | Licensed Representative - Responsible Officer | 1, 2, 4 |
| CHIN Julius Jiun | BAO582 | Licensed Representative - Responsible Officer | 4, 9 |
| CHOI Fan Wai | APY440 | Licensed Representative - Responsible Officer | 4, 9 |



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2018

| CE Name | CE No. | Licence Type | Types of Regulated Activity |
|-----------------------------|---------------|---|------------------------------------|
| CHONG Ignatius Kum Kong | ARH274 | Licensed Representative - Responsible Officer | 1, 4, 9 |
| CHU Cheung Fat | AAB347 | Licensed Representative - Responsible Officer | 1 |
| CHU Chun Hin, Steven | AMS312 | Licensed Representative - Responsible Officer | 1, 4 |
| CHUI Tai Sun, Derry | AAW942 | Licensed Representative - Responsible Officer | 3 |
| FREELY Dominic Peter | AOL670 | Licensed Representative - Responsible Officer | 6 |
| GAUD David Jacques Michel | ARP668 | Licensed Representative - Responsible Officer | 1, 4, 9 |
| GRANDISON Barry Craig | BAI833 | Licensed Representative - Responsible Officer | 5 |
| HO Kai Yip | AVC717 | Licensed Representative - Responsible Officer | 1 |
| HUNN Toby Edmund | AXX646 | Licensed Representative - Responsible Officer | 1, 2 |
| IP YUEN King Wai, Katherine | AAC343 | Licensed Representative - Responsible Officer | 1 |
| KAM Chi Leung | ACK007 | Licensed Representative - Responsible Officer | 1 |
| KIELLAND Christian H | AGJ954 | Licensed Representative - Responsible Officer | 1 |
| KIM Jong Kuk | AFC107 | Licensed Representative - Responsible Officer | 1 |
| KIM Tai Gon | AHA414 | Licensed Representative - Responsible Officer | 1, 4, 9 |
| KUNG Tak Ho | AFU803 | Licensed Representative - Responsible Officer | 4 |
| LAI Ming Hon, Justin | AWY011 | Licensed Representative - Responsible Officer | 1 |
| LAM Man Kiu, Michael | AMO552 | Licensed Representative - Responsible Officer | 4, 9 |
| LEE Wai Keung | AFK372 | Licensed Representative - Responsible Officer | 4 |
| LI Dan Jiong | AZN087 | Licensed Representative - Responsible Officer | 9 |
| LO Kam Yan, Helen | AOS365 | Licensed Representative - Responsible Officer | 4, 9 |
| LOW Taek Jho | AZT418 | Licensed Representative - Responsible Officer | 1, 4 |
| LOW Taek Szen | AJM286 | Licensed Representative - Responsible Officer | 1, 4 |
| LUI Yat Yin | ATT820 | Licensed Representative - Responsible Officer | 1, 2 |
| MA Hsiao-hung, Alisha | ALZ814 | Licensed Representative - Responsible Officer | 1, 4, 9 |
| MANLEY Richard Matthew | AUU994 | Licensed Representative - Responsible Officer | 1, 4 |
| MARTIELLI James Diego | BEG507 | Licensed Representative - Responsible Officer | 1, 4, 9 |
| MOGELOF Eric Jay | BFK203 | Licensed Representative - Responsible Officer | 1, 4, 9 |
| NG Lu Yan | AYG297 | Licensed Representative - Responsible Officer | 4, 9 |
| NICHOLS Scott | BLE879 | Licensed Representative - Responsible Officer | 9 |
| NIRAPATHPONGPORN Ekapak | BAB812 | Licensed Representative - Responsible Officer | 1, 4 |
| PARK Albert Soong Moo | AXB551 | Licensed Representative - Responsible Officer | 1, 4 |
| PLUMBRIDGE Glen Douglas | ARW888 | Licensed Representative - Responsible Officer | 6 |
| POULEUR Jessica Huang | ALY613 | Licensed Representative - Responsible Officer | 1, 4 |
| REINERTZ Knut Olav Georges | ABZ006 | Licensed Representative - Responsible Officer | 9 |
| SZE-TO May Ying, Gilda | ACG379 | Licensed Representative - Responsible Officer | 4, 9 |
| TEOH Ronnie Chee Keong | BEH781 | Licensed Representative - Responsible Officer | 1, 4, 6 |
| TO Kei Ming | AFT099 | Licensed Representative - Responsible Officer | 1 |
| TSANG Po Shan, Corina | AFW551 | Licensed Representative - Responsible Officer | 6 |
| TU Yu-hui | AEA467 | Licensed Representative - Responsible Officer | 1, 4 |
| TUNG Wai, Christina | ADA755 | Licensed Representative - Responsible Officer | 1, 4, 9 |
| VREEBURG Joris Jan | BAH311 | Licensed Representative - Responsible Officer | 9 |
| WANG Wei | BIZ145 | Licensed Representative - Responsible Officer | 1, 6 |
| WONG Arthur Siu On | AFP365 | Licensed Representative - Responsible Officer | 1, 4 |



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2018

| CE Name | CE No. | License Type | Types of Regulated Activity |
|------------------------------------|---------------|---|------------------------------------|
| WONG Chooi Peng, Angeline | AFJ932 | Licensed Representative - Responsible Officer | 9 |
| WONG Kai Hang | AGG755 | Licensed Representative - Responsible Officer | 3 |
| WONG Kim Ming | AHU702 | Licensed Representative - Responsible Officer | 1, 4, 9 |
| WONG Voon-Chung | APA193 | Licensed Representative - Responsible Officer | 1 |
| XYSTUS Daniel Artemis | AZD493 | Licensed Representative - Responsible Officer | 4, 9 |
| YIU Wing Shan | AQU332 | Licensed Representative - Responsible Officer | 1, 4 |
| ZHENG Xuedong | ARV905 | Licensed Representative - Responsible Officer | 4, 6 |
| AGGARWAL Saumya | BLU751 | Licensed Representative (Provisional Licence) | 1, 9 |
| ANWAR Shafi Din | BLV463 | Licensed Representative (Provisional Licence) | 9 |
| ARLETTAZ Benoit Michel Jose | APP420 | Licensed Representative (Provisional Licence) | 1, 4, 6 |
| BAI Huizi | BLP020 | Licensed Representative (Provisional Licence) | 1 |
| BAKER Arthur Thomas | BLV186 | Licensed Representative (Provisional Licence) | 1, 2 |
| BALL Rickie Graham | BLP740 | Licensed Representative (Provisional Licence) | 1, 6 |
| BERNARD Amaud Roland Armand | BLQ450 | Licensed Representative (Provisional Licence) | 1 |
| BURNS Duncan Andrew | BLN670 | Licensed Representative (Provisional Licence) | 9 |
| BUSSONNIERE Henri Jacques Thibault | BLO100 | Licensed Representative (Provisional Licence) | 1, 2, 7 |
| CARTER Damon | BEO225 | Licensed Representative (Provisional Licence) | 1, 2 |
| CHAMBEAU-BLOC Jacques | BLU088 | Licensed Representative (Provisional Licence) | 1, 2 |
| CHAN Cheuk Fai Andy | BGI432 | Licensed Representative (Provisional Licence) | 10 |
| CHAN Ho Yan | BLP038 | Licensed Representative (Provisional Licence) | 1 |
| CHAN Kwok Lung | BEK821 | Licensed Representative (Provisional Licence) | 3 |
| CHAN Kwok Man, Frederick | AWA392 | Licensed Representative (Provisional Licence) | 10 |
| CHAN Suk Ngai, Tiffany | AQB021 | Licensed Representative (Provisional Licence) | 1, 2 |
| CHAN Tammy | BLP888 | Licensed Representative (Provisional Licence) | 1, 2 |
| CHAN Tsz Long | BKG153 | Licensed Representative (Provisional Licence) | 1, 2 |
| CHAN Wai Hung | AGS190 | Licensed Representative (Provisional Licence) | 1 |
| CHAN Wing Lim | BLG083 | Licensed Representative (Provisional Licence) | 1 |
| CHANG Carmen | AVS344 | Licensed Representative (Provisional Licence) | 9 |
| CHANG Che-hao | BLO467 | Licensed Representative (Provisional Licence) | 1, 2, 4 |
| CHAUDHRY Anoop | AGN971 | Licensed Representative (Provisional Licence) | 1, 4, 6 |
| CHEN Chiung Wen | BLX467 | Licensed Representative (Provisional Licence) | 1, 4 |
| CHEN Chuanjun | BLT674 | Licensed Representative (Provisional Licence) | 1, 2 |
| CHEN David Shih-wei | BLP963 | Licensed Representative (Provisional Licence) | 9 |
| CHEN Hung-Yu | BLU262 | Licensed Representative (Provisional Licence) | 1, 2, 4, 5 |
| CHEN Kun | BGL674 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| CHEN Lufeier | BLM083 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| CHEN Luxiao | BLR648 | Licensed Representative (Provisional Licence) | 1, 4 |
| CHEN Ming Yu | BLT686 | Licensed Representative (Provisional Licence) | 1 |
| CHEN Peipei | BLO973 | Licensed Representative (Provisional Licence) | 4, 9 |
| CHEN Ping-Hung | BLT524 | Licensed Representative (Provisional Licence) | 1, 4 |
| CHEN SHIHHAO | BLR289 | Licensed Representative (Provisional Licence) | 1 |
| CHEN Tingting | BKE184 | Licensed Representative (Provisional Licence) | 1 |
| CHEN Wei Chia | BLU937 | Licensed Representative (Provisional Licence) | 1, 4 |



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2018

| CE Name | CE No. | License Type | Types of Regulated Activity |
|--------------------------|--------|---|-----------------------------|
| CHEN Wenhao | BLU972 | Licensed Representative (Provisional Licence) | 1, 2 |
| CHEN Xin | BLO515 | Licensed Representative (Provisional Licence) | 4 |
| CHEN Yu | BLN446 | Licensed Representative (Provisional Licence) | 1, 2 |
| CHEN Zhu | BLO224 | Licensed Representative (Provisional Licence) | 4 |
| CHEUNG Wai See, Eunice | BBP484 | Licensed Representative (Provisional Licence) | 9 |
| CHEUNG Chi Kwong | BLT496 | Licensed Representative (Provisional Licence) | 1 |
| CHEUNG Chun Chun Vivian | BLL579 | Licensed Representative (Provisional Licence) | 1, 4 |
| CHEUNG Lap Ki David | ARR119 | Licensed Representative (Provisional Licence) | 1 |
| CHEUNG Pan Chuen | BLO473 | Licensed Representative (Provisional Licence) | 9 |
| CHIU Chi Fai | BLT158 | Licensed Representative (Provisional Licence) | 1, 4 |
| CHIU Ding Chung | BLT159 | Licensed Representative (Provisional Licence) | 1, 4 |
| CHIU Kin Fai | ADC461 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| CHOI Ka Fong | BLO497 | Licensed Representative (Provisional Licence) | 9 |
| CHOI Won Suk | AYP113 | Licensed Representative (Provisional Licence) | 4 |
| CHONG Dart Yan | BLO388 | Licensed Representative (Provisional Licence) | 2 |
| CHOW Wai Ling | AGF187 | Licensed Representative (Provisional Licence) | 1 |
| CHU Hiu Yin | BLT491 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| CHU Tien | AOX106 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| CHUA GOY Neil Jordan Lao | BLS843 | Licensed Representative (Provisional Licence) | 1, 6 |
| CHUAH Jiang Shen | BLI905 | Licensed Representative (Provisional Licence) | 1, 2 |
| CHUNG Yim Ling | BEZ882 | Licensed Representative (Provisional Licence) | 9 |
| COLEMAN Eleanor Marie | BDI772 | Licensed Representative (Provisional Licence) | 1, 4 |
| CUI Wei | BLW939 | Licensed Representative (Provisional Licence) | 1, 4 |
| DENG Wei | BLT369 | Licensed Representative (Provisional Licence) | 1, 4 |
| DING Lin | BLU081 | Licensed Representative (Provisional Licence) | 1, 4 |
| DING Tianyi | BLR694 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| DONG YUE | BLP557 | Licensed Representative (Provisional Licence) | 1, 4 |
| DU Mengting | BLW083 | Licensed Representative (Provisional Licence) | 1, 6 |
| DUNNE Terry K | AUW515 | Licensed Representative (Provisional Licence) | 4 |
| DY Andre Mikael Lu | BKT170 | Licensed Representative (Provisional Licence) | 1 |
| FANG Fang | BLR664 | Licensed Representative (Provisional Licence) | 9 |
| FERRIS Andrew Peter | BLF827 | Licensed Representative (Provisional Licence) | 1 |
| FOK King Fai | BLT371 | Licensed Representative (Provisional Licence) | 1, 2 |
| FONG Ki Cheung | BLT176 | Licensed Representative (Provisional Licence) | 1, 4 |
| FONG Ting KWAN | BLP545 | Licensed Representative (Provisional Licence) | 1 |
| FU Wanying | BLC899 | Licensed Representative (Provisional Licence) | 1, 4 |
| FUN Yandeng | BLK416 | Licensed Representative (Provisional Licence) | 1, 4 |
| FUNG Chi Wai | APW679 | Licensed Representative (Provisional Licence) | 1, 2 |
| FUNG Lai Chun | BLV753 | Licensed Representative (Provisional Licence) | 1, 2 |
| FUNG Oi Yee | BLP846 | Licensed Representative (Provisional Licence) | 1, 2 |
| FUNG Po Ying | BLO106 | Licensed Representative (Provisional Licence) | 1 |
| GAN Sabrina Tsui Lyn | BLK147 | Licensed Representative (Provisional Licence) | 1 |
| GAO Xiner | BLU942 | Licensed Representative (Provisional Licence) | 4 |



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2018

| CE Name | CE No. | License Type | Types of Regulated Activity |
|---------------------------------|---------------|---|------------------------------------|
| GERVAISE Adrien Lillian Bernard | BLR489 | Licensed Representative (Provisional Licence) | 1, 2, 7 |
| GO Hiromi | BLV187 | Licensed Representative (Provisional Licence) | 1, 4 |
| GOZUBUYUK Halil Ibrahim | BLV169 | Licensed Representative (Provisional Licence) | 1 |
| HAN Yuzhu | BLT746 | Licensed Representative (Provisional Licence) | 1, 4 |
| HANDA Akashdeep Singh | BLO390 | Licensed Representative (Provisional Licence) | 9 |
| HAU Jacquelynne | BLQ406 | Licensed Representative (Provisional Licence) | 1, 2 |
| HAU Pai Lan | BLO679 | Licensed Representative (Provisional Licence) | 1 |
| HAY William Robert | BLL483 | Licensed Representative (Provisional Licence) | 1, 2, 7 |
| HO Kam Yin | BKS085 | Licensed Representative (Provisional Licence) | 1, 4 |
| HO Seen Sze, Cecilia | BLU752 | Licensed Representative (Provisional Licence) | 1, 4 |
| HO Yuen Kim | ARO425 | Licensed Representative (Provisional Licence) | 1, 4 |
| HONG Junchan | BLO695 | Licensed Representative (Provisional Licence) | 6 |
| HU Zeyu | BKI101 | Licensed Representative (Provisional Licence) | 4 |
| HUANG Anthea Yuying | BLT174 | Licensed Representative (Provisional Licence) | 1, 2 |
| HUANG Chaoni | BLO982 | Licensed Representative (Provisional Licence) | 1, 4 |
| HUANG Chin Lun | BLO104 | Licensed Representative (Provisional Licence) | 1, 4 |
| HUANG Hexiao | BLS753 | Licensed Representative (Provisional Licence) | 1, 6 |
| HUANG Jiayao | BLR822 | Licensed Representative (Provisional Licence) | 9 |
| HUANG Szu Chieh | BLP507 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| HUANG Yao Yao | BLO855 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| HUANG Ying-Chau | BLV172 | Licensed Representative (Provisional Licence) | 1 |
| HUANG Zehang | BLR936 | Licensed Representative (Provisional Licence) | 1, 4 |
| HUI Hiu Yu | BLR816 | Licensed Representative (Provisional Licence) | 6 |
| HURSON Eric Pierre Jean | BLS680 | Licensed Representative (Provisional Licence) | 2 |
| IMAIZUMI Jun | BLX952 | Licensed Representative (Provisional Licence) | 1 |
| IP Ming Kai | BLT278 | Licensed Representative (Provisional Licence) | 1, 4 |
| IP Tsz Kin | BLU979 | Licensed Representative (Provisional Licence) | 1, 2 |
| JIA Chunchi | BLO146 | Licensed Representative (Provisional Licence) | 4, 9 |
| JIANG Xiaoxu | ASO484 | Licensed Representative (Provisional Licence) | 1, 4, 6 |
| JOHANSEN Kennie Atle | AXM048 | Licensed Representative (Provisional Licence) | 9 |
| JUNG Eun | BLV431 | Licensed Representative (Provisional Licence) | 1, 4, 6 |
| KAMAI Takeo | BBA272 | Licensed Representative (Provisional Licence) | 1 |
| KATTEVILDER Jelmer Maarten | BJU618 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| KAWANO Hiroshi | ACW174 | Licensed Representative (Provisional Licence) | 1, 2, 4, 5 |
| KIM Taehong | AUV123 | Licensed Representative (Provisional Licence) | 9 |
| KIM YOUNGEUN | BLT549 | Licensed Representative (Provisional Licence) | 4, 9 |
| KUNG Wang Leong | BLR820 | Licensed Representative (Provisional Licence) | 1, 6 |
| KURZ Julian Ogden | BAI394 | Licensed Representative (Provisional Licence) | 1 |
| KWAN Boon Yee | ARP129 | Licensed Representative (Provisional Licence) | 1, 4 |
| KWAN Sai Hin | BLX076 | Licensed Representative (Provisional Licence) | 1, 4 |
| KWOK Chi Ho | BLW750 | Licensed Representative (Provisional Licence) | 4, 9 |
| KWON Jongmin | BLJ195 | Licensed Representative (Provisional Licence) | 1, 4 |
| LAI Chak Lam | BLV903 | Licensed Representative (Provisional Licence) | 1, 2 |



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2018

| CE Name | CE No. | License Type | Types of Regulated Activity |
|-----------------------|--------|---|-----------------------------|
| LAI Cheuk Wai, Nathan | BLV465 | Licensed Representative (Provisional Licence) | 1, 2, 3 |
| LAI Chun Wing | ANA177 | Licensed Representative (Provisional Licence) | 1, 2, 9 |
| LAI Kin Ho | BAZ546 | Licensed Representative (Provisional Licence) | 4 |
| LAI Yuk Fung | BLX403 | Licensed Representative (Provisional Licence) | 1 |
| LAM Lincoln | BLU924 | Licensed Representative (Provisional Licence) | 1, 2 |
| LAM Oi Yan, Nicole | BLR319 | Licensed Representative (Provisional Licence) | 1, 4, 6 |
| LAM Yu Fong | BLU744 | Licensed Representative (Provisional Licence) | 4 |
| LAO Qiyao | BLO509 | Licensed Representative (Provisional Licence) | 1 |
| LAU Cin Yu | BLX138 | Licensed Representative (Provisional Licence) | 6 |
| LAU Kin Ting, Timothy | BGL559 | Licensed Representative (Provisional Licence) | 2 |
| LAU Wing Ki Winnie | BDJ457 | Licensed Representative (Provisional Licence) | 4, 9 |
| LAURENT Lucca Nevada | BEL622 | Licensed Representative (Provisional Licence) | 1, 2 |
| LEE Gi Yue, Vivian | ABY975 | Licensed Representative (Provisional Licence) | 9 |
| LEE Kam Sheung, Karen | BLQ902 | Licensed Representative (Provisional Licence) | 1, 9 |
| LEE Kwun Ho | BLO670 | Licensed Representative (Provisional Licence) | 1, 2 |
| LEE Mei Ching, Alva | ADV120 | Licensed Representative (Provisional Licence) | 1, 2, 4 |
| LEE Tsz Hong | BLV467 | Licensed Representative (Provisional Licence) | 1, 6 |
| LENG Jiaxuan | BLR368 | Licensed Representative (Provisional Licence) | 6 |
| LEUNG Chun Yi, Gladys | BLV456 | Licensed Representative (Provisional Licence) | 1 |
| LEUNG Wai | BLR821 | Licensed Representative (Provisional Licence) | 1, 4, 6 |
| LI Lynn Lin | BLW078 | Licensed Representative (Provisional Licence) | 1, 4 |
| LI Ming | BLR011 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| LI Pulan | BLU489 | Licensed Representative (Provisional Licence) | 4 |
| LI Shun Lee | BLX815 | Licensed Representative (Provisional Licence) | 1 |
| LI Xi | BLP170 | Licensed Representative (Provisional Licence) | 1 |
| LI Xiang | BLM865 | Licensed Representative (Provisional Licence) | 1, 9 |
| LI Xiaoxi | BLS716 | Licensed Representative (Provisional Licence) | 1, 6 |
| LI Yi | BLX206 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| LIANG XIAO | BLL623 | Licensed Representative (Provisional Licence) | 1, 4, 6 |
| LIAO Maggie Mei Qi | BLC896 | Licensed Representative (Provisional Licence) | 1, 4 |
| LIAO Ning | BLP516 | Licensed Representative (Provisional Licence) | 1, 2 |
| LIM Dae Hyuck | BJV724 | Licensed Representative (Provisional Licence) | 1 |
| LIU Chin Ting | ANM327 | Licensed Representative (Provisional Licence) | 2 |
| LIU Jia | BLT540 | Licensed Representative (Provisional Licence) | 1, 4, 6 |
| LIU Ka Chun | AZD255 | Licensed Representative (Provisional Licence) | 6 |
| LIU Kei Kit | BLP543 | Licensed Representative (Provisional Licence) | 6 |
| LIU Xiaoying | BLR337 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| LIU Xinhe | BLI492 | Licensed Representative (Provisional Licence) | 1, 4 |
| LIU Xiuyuan | BLR406 | Licensed Representative (Provisional Licence) | 1, 4, 6, 9 |
| LO Chi Yin, Patrick | BLS910 | Licensed Representative (Provisional Licence) | 1, 4, 6 |
| LO Kin Lam | BLU386 | Licensed Representative (Provisional Licence) | 1, 2 |
| LO Long Pak Rambo | AWA647 | Licensed Representative (Provisional Licence) | 1, 4 |
| LOW Audrey Ying Jun | BFI437 | Licensed Representative (Provisional Licence) | 1, 4, 9 |



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2018

| CE Name | CE No. | License Type | Types of Regulated Activity |
|-------------------------------------|---------------|---|------------------------------------|
| LU Jing | BLR530 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| LYU Bingrong | BLT086 | Licensed Representative (Provisional Licence) | 1, 4 |
| LYU Ke | BLX084 | Licensed Representative (Provisional Licence) | 1 |
| MAK Angela Wing Fung | AXK731 | Licensed Representative (Provisional Licence) | 1, 9 |
| MINESHIMA KOTA | BLO098 | Licensed Representative (Provisional Licence) | 1, 4 |
| NAGATA Shunosuke | AQP759 | Licensed Representative (Provisional Licence) | 1, 4, 6 |
| NANAVATI Amit Piyush | BLE751 | Licensed Representative (Provisional Licence) | 4 |
| NG Chung Kwong | AOD605 | Licensed Representative (Provisional Licence) | 1 |
| NG Hung Tai | BLS821 | Licensed Representative (Provisional Licence) | 1, 2 |
| NG Wing Yin | BLT285 | Licensed Representative (Provisional Licence) | 1, 4 |
| NGAN Chi Chun Alfee | BLV188 | Licensed Representative (Provisional Licence) | 1 |
| NIE Zhuofei | BLR344 | Licensed Representative (Provisional Licence) | 6 |
| NING Pui Yu | BLR304 | Licensed Representative (Provisional Licence) | 1, 2 |
| NING Qianyang | BLU941 | Licensed Representative (Provisional Licence) | 1, 6 |
| NIU Anan | BLR397 | Licensed Representative (Provisional Licence) | 1 |
| PAN Wen-hsiu | BKP394 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| PAN Yinglong | BLH884 | Licensed Representative (Provisional Licence) | 1, 4 |
| PENG Xue | BLP964 | Licensed Representative (Provisional Licence) | 4 |
| PO Lok Yee | BLT520 | Licensed Representative (Provisional Licence) | 6 |
| POH Jiesheng | BLM101 | Licensed Representative (Provisional Licence) | 1, 4, 6 |
| POON Wing Yan | BLV754 | Licensed Representative (Provisional Licence) | 1, 2 |
| QIN Li | BLV366 | Licensed Representative (Provisional Licence) | 1, 9 |
| QUEK Weizhong Adrian | BLW785 | Licensed Representative (Provisional Licence) | 1 |
| REED Michael Anthony | BLS711 | Licensed Representative (Provisional Licence) | 4 |
| REGISTRAR Tarit | BLT536 | Licensed Representative (Provisional Licence) | 1 |
| RICHARDS Stephanie Ryan | BLT160 | Licensed Representative (Provisional Licence) | 1, 4 |
| ROGERSON Thomas Neil | BLN469 | Licensed Representative (Provisional Licence) | 4, 9 |
| RUSTAGI Amit | BLD719 | Licensed Representative (Provisional Licence) | 4 |
| SHAH Chirag Chandrakant | AYT913 | Licensed Representative (Provisional Licence) | 4 |
| SHANG Zihan | BLW773 | Licensed Representative (Provisional Licence) | 1, 2 |
| SHIRAKAWA Yu | BLU653 | Licensed Representative (Provisional Licence) | 1, 4 |
| SIBAL Maria Elisa Andrea Pamandanan | BKT185 | Licensed Representative (Provisional Licence) | 1 |
| SIT Cheng Po | BAX113 | Licensed Representative (Provisional Licence) | 1, 2, 4 |
| SIU Kin Wa | AWH334 | Licensed Representative (Provisional Licence) | 1 |
| SOHN Jung Min | BLN910 | Licensed Representative (Provisional Licence) | 10 |
| SU Lixuan | BLQ923 | Licensed Representative (Provisional Licence) | 1, 6 |
| SUEN Wing Sze | BLU951 | Licensed Representative (Provisional Licence) | 10 |
| SUNG Ka Hong | BLV391 | Licensed Representative (Provisional Licence) | 1 |
| SURENKOK Inci Irmak | APD602 | Licensed Representative (Provisional Licence) | 1, 4 |
| SVENSSON Moa Terese | BLK144 | Licensed Representative (Provisional Licence) | 9 |
| SY Archibald Munoz | BLD571 | Licensed Representative (Provisional Licence) | 1, 2 |
| TAI Gee Han Andy | BLL347 | Licensed Representative (Provisional Licence) | 1, 6 |
| TAI Kwan Tat | BBL046 | Licensed Representative (Provisional Licence) | 1, 4, 9 |



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2018

| CE Name | CE No. | License Type | Types of Regulated Activity |
|----------------------------|--------|---|-----------------------------|
| TAI Sing Lan | BLT706 | Licensed Representative (Provisional Licence) | 1, 2 |
| TAKAHASHI Tomohiro | BFH181 | Licensed Representative (Provisional Licence) | 1 |
| TAN Adin Yan Wei | BLS765 | Licensed Representative (Provisional Licence) | 1 |
| TAN Zhi Wei | BLL122 | Licensed Representative (Provisional Licence) | 1, 4, 6 |
| TANG Yeung Tim | BLT162 | Licensed Representative (Provisional Licence) | 1, 4 |
| TAO Jing | BLU714 | Licensed Representative (Provisional Licence) | 1 |
| TAY WEIXIONG Samuel | BLN920 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| TENG Yushan | BLU947 | Licensed Representative (Provisional Licence) | 1 |
| TIAN Hao | BBU456 | Licensed Representative (Provisional Licence) | 1, 4 |
| TIAN Ke | BLV468 | Licensed Representative (Provisional Licence) | 6 |
| TIAN Yafei | BLR391 | Licensed Representative (Provisional Licence) | 4 |
| TNG Wee Ling | BLT673 | Licensed Representative (Provisional Licence) | 1, 2 |
| TOMIMATSU Yosuke | BBB708 | Licensed Representative (Provisional Licence) | 4 |
| TOMISAWA Shotaro | BLV451 | Licensed Representative (Provisional Licence) | 1 |
| TONG Tsz Ching | BHT922 | Licensed Representative (Provisional Licence) | 9 |
| TOO Seng Shen | BLO792 | Licensed Representative (Provisional Licence) | 1, 4, 6 |
| TORRE Graeme | AJG930 | Licensed Representative (Provisional Licence) | 4, 9 |
| TSE Ho Ting | BGO659 | Licensed Representative (Provisional Licence) | 1 |
| TSOI Lon Ho | BCC468 | Licensed Representative (Provisional Licence) | 1, 4, 6 |
| TUDOR Harshika Chandrakant | BLG304 | Licensed Representative (Provisional Licence) | 2, 5 |
| UPADRASTA Sivakumar | BLT551 | Licensed Representative (Provisional Licence) | 1 |
| WADA Mariko | BLU069 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| WANG Chung-hao | ATJ196 | Licensed Representative (Provisional Licence) | 4, 9 |
| WANG Guanwen | BLG324 | Licensed Representative (Provisional Licence) | 4, 9 |
| WANG Hanxu | BMA114 | Licensed Representative (Provisional Licence) | 1, 4 |
| WANG Jundi | BLS790 | Licensed Representative (Provisional Licence) | 1, 2, 4 |
| WANG Qi | BLP469 | Licensed Representative (Provisional Licence) | 1 |
| WANG Weiqi | BLR647 | Licensed Representative (Provisional Licence) | 1, 4 |
| WANG Wenwen | BLX148 | Licensed Representative (Provisional Licence) | 6 |
| WEI Yangkun | BID176 | Licensed Representative (Provisional Licence) | 1, 4, 6 |
| WEN Jie | BLM870 | Licensed Representative (Provisional Licence) | 1, 9 |
| WENG Fang | BLP777 | Licensed Representative (Provisional Licence) | 4, 9 |
| WINSLEY David Benjamin | AWS593 | Licensed Representative (Provisional Licence) | 9 |
| WONG Cheuk Hei | BLR669 | Licensed Representative (Provisional Licence) | 1, 4 |
| WONG Chun Mui | BGI640 | Licensed Representative (Provisional Licence) | 1, 4 |
| WONG Hoi Yan | APO633 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| WONG Kai Leung | ANQ639 | Licensed Representative (Provisional Licence) | 1, 2 |
| WONG Kwok Tung | BLR098 | Licensed Representative (Provisional Licence) | 1, 2, 4, 9 |
| WONG Man Chun | APQ127 | Licensed Representative (Provisional Licence) | 1 |
| WONG Mei Wah, Tiffany | ALN165 | Licensed Representative (Provisional Licence) | 1, 4 |
| WONG Shun King | BLW758 | Licensed Representative (Provisional Licence) | 1, 2 |
| WONG Sung Yui | BLV226 | Licensed Representative (Provisional Licence) | 9 |
| WONG Yun Fai | APD419 | Licensed Representative (Provisional Licence) | 1, 4, 9 |



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2018

| CE Name | CE No. | License Type | Types of Regulated Activity |
|-------------------------|---------------|---|------------------------------------|
| WOO Hon Man, Herman | AGN338 | Licensed Representative (Provisional Licence) | 1 |
| WRIGHT Lindsay Margaret | BLR874 | Licensed Representative (Provisional Licence) | 1, 4 |
| WU Chun Tat | BLU043 | Licensed Representative (Provisional Licence) | 4, 9 |
| WU Wei | BLR517 | Licensed Representative (Provisional Licence) | 9 |
| WU Xiaoning | BLU996 | Licensed Representative (Provisional Licence) | 9 |
| WU Yuh-Ray | BLX384 | Licensed Representative (Provisional Licence) | 1, 4 |
| WU Zhuolun | BLS794 | Licensed Representative (Provisional Licence) | 1, 6 |
| XIAN Huixin | BJV176 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| XIAO Zongheng | BLS682 | Licensed Representative (Provisional Licence) | 4 |
| XIE Yuhong | BLR085 | Licensed Representative (Provisional Licence) | 1 |
| XU Chenxi | BLO521 | Licensed Representative (Provisional Licence) | 4, 9 |
| XU Jia Nan | BLV212 | Licensed Representative (Provisional Licence) | 1, 6 |
| XU Muye | BLW942 | Licensed Representative (Provisional Licence) | 4, 9 |
| XU Shaoyu | BLU432 | Licensed Representative (Provisional Licence) | 9 |
| XU Zhiran | BLR037 | Licensed Representative (Provisional Licence) | 1, 9 |
| YAMAGAMI Shinichiro | AYG572 | Licensed Representative (Provisional Licence) | 1, 2, 4, 5 |
| YANG Chunbai | BLV935 | Licensed Representative (Provisional Licence) | 9 |
| YANG Chung Ying | BLR665 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| YANG Jiakun | BLW963 | Licensed Representative (Provisional Licence) | 1, 6 |
| YANG Zeqi | BLR889 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| YAU Chiu Tong | AAH690 | Licensed Representative (Provisional Licence) | 1 |
| YEUNG Hoi To | BLT492 | Licensed Representative (Provisional Licence) | 1, 2 |
| YOUK Su Jeong | BLV881 | Licensed Representative (Provisional Licence) | 1 |
| YU Chin Wai Bonny | BLT876 | Licensed Representative (Provisional Licence) | 1, 4 |
| YU Chun Kuei | ARP310 | Licensed Representative (Provisional Licence) | 1 |
| YU Ngai Lung Isaac | BLJ229 | Licensed Representative (Provisional Licence) | 1, 4 |
| YU Yan Pui Charis | BLX443 | Licensed Representative (Provisional Licence) | 1 |
| YU Yang | BLO801 | Licensed Representative (Provisional Licence) | 2 |
| YUEN Ka Yan | BLR308 | Licensed Representative (Provisional Licence) | 1 |
| YUNG Hoi Yi Julia | BLU468 | Licensed Representative (Provisional Licence) | 6 |
| YUNG Ka Tung | BLT314 | Licensed Representative (Provisional Licence) | 9 |
| ZHANG Jiaqi | BLT202 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| ZHANG Sijia | BLU767 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| ZHANG Xiao Long | BLR519 | Licensed Representative (Provisional Licence) | 9 |
| ZHANG Yue | BLS909 | Licensed Representative (Provisional Licence) | 1, 4 |
| ZHAO Xiang | BLN130 | Licensed Representative (Provisional Licence) | 4, 9 |
| ZHAO Xinyue | BLR353 | Licensed Representative (Provisional Licence) | 4 |
| ZHONG Li | BLV208 | Licensed Representative (Provisional Licence) | 1, 4 |
| ZHONG Yuxuan | BLU750 | Licensed Representative (Provisional Licence) | 1, 4, 6 |
| ZHOU Kunfeng | BLR401 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| ZHOU Qianwen | BLT528 | Licensed Representative (Provisional Licence) | 2, 5 |
| ZHOU Xin | BLO642 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| ZHU Yin | BLO978 | Licensed Representative (Provisional Licence) | 1, 4 |



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2018

| CE Name | CE No. | License Type | Types of Regulated Activity |
|--------------------------------------|---------------|---|------------------------------------|
| ZHU Yuhua | BLV919 | Licensed Representative (Provisional Licence) | 4 |
| AHUJA Vivek Manohar | ARI512 | Licensed Representative (Temporary Licence) | 1 |
| ALI Salman | BDY341 | Licensed Representative (Temporary Licence) | 4 |
| ARORA Rohit | ART681 | Licensed Representative (Temporary Licence) | 4 |
| AUBIN Edouard Jean Laurent | BLT655 | Licensed Representative (Temporary Licence) | 1, 4 |
| BALASUBRAMANIAM Venkatesh | BDT263 | Licensed Representative (Temporary Licence) | 4 |
| BEZALEL Ariel Meir | BCA040 | Licensed Representative (Temporary Licence) | 1 |
| BOKKENHEUSER Andreas Christian | ANX689 | Licensed Representative (Temporary Licence) | 4 |
| BORBORA Jason Chandra | BLY026 | Licensed Representative (Temporary Licence) | 4 |
| BROWNE Luke Richard Tyrill | BLY989 | Licensed Representative (Temporary Licence) | 1, 4 |
| BURNS Nikolas Peter Charles | BAW620 | Licensed Representative (Temporary Licence) | 4 |
| CAPANNA Derek Emmett | ARJ060 | Licensed Representative (Temporary Licence) | 1 |
| CARUSO Frank Vincent | BHH755 | Licensed Representative (Temporary Licence) | 4 |
| CAVALIER Jean-Damien Romain Philippe | BMB304 | Licensed Representative (Temporary Licence) | 1 |
| CHAE Ingwon | BLT651 | Licensed Representative (Temporary Licence) | 1, 2 |
| CHANDRAN Prashant | BEQ178 | Licensed Representative (Temporary Licence) | 1 |
| CHEN Lan | BIQ901 | Licensed Representative (Temporary Licence) | 4 |
| CHIA Joseph Kuok Lit | BLS724 | Licensed Representative (Temporary Licence) | 1 |
| CHING Yi Edmund | AKU003 | Licensed Representative (Temporary Licence) | 4 |
| CHOOI Yue Ting | BKE549 | Licensed Representative (Temporary Licence) | 10 |
| CHUA Minguang Donald | BEF380 | Licensed Representative (Temporary Licence) | 1, 4 |
| CLARK III Schuyler Harold | BKJ145 | Licensed Representative (Temporary Licence) | 1, 4 |
| CLUSE Reinhard Paul Johannes | AXK265 | Licensed Representative (Temporary Licence) | 4 |
| DE MOOR Joannes Wilhelmus | BLS911 | Licensed Representative (Temporary Licence) | 1, 4 |
| DE NOON Paul Joseph | ALY371 | Licensed Representative (Temporary Licence) | 1 |
| DERESIEWICZ Robert Leslie | BME186 | Licensed Representative (Temporary Licence) | 1, 4 |
| ELLINGER Craig Garret | BIZ244 | Licensed Representative (Temporary Licence) | 1, 4 |
| ENDERLEIN Carl Dirk | BIN855 | Licensed Representative (Temporary Licence) | 1, 4 |
| EZAWA Kota | BDY402 | Licensed Representative (Temporary Licence) | 4 |
| FELIX Philip Albert Porcioncula | BFR470 | Licensed Representative (Temporary Licence) | 1, 4 |
| FINCH Philip Julian Magnus | ATI170 | Licensed Representative (Temporary Licence) | 4 |
| FISHER Steven Michael | BER961 | Licensed Representative (Temporary Licence) | 4 |
| FORSYTH Douglas Gorman | BAL992 | Licensed Representative (Temporary Licence) | 1 |
| FREMDER Dean Jason | BKE230 | Licensed Representative (Temporary Licence) | 1 |
| FUKUSHIMA Yoshihiro | APJ449 | Licensed Representative (Temporary Licence) | 1, 2 |
| GANDHI Veekesh Suresh | BBA377 | Licensed Representative (Temporary Licence) | 1, 4 |
| GHANDOUR TALAL | BLW964 | Licensed Representative (Temporary Licence) | 1 |
| GILBERT Benjamin Joseph William | AVB999 | Licensed Representative (Temporary Licence) | 4 |
| GLEESON Jeremy Gerard | BLW073 | Licensed Representative (Temporary Licence) | 1, 4 |
| GONZALES Emeterio III David | AAI265 | Licensed Representative (Temporary Licence) | 1, 4 |
| GUO Weizhong Daniel | BKV440 | Licensed Representative (Temporary Licence) | 1, 6 |
| HAGIWARA Koichiro | BHH064 | Licensed Representative (Temporary Licence) | 4 |
| HAIRE Geoffrey Robert | BLX953 | Licensed Representative (Temporary Licence) | 4 |



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2018

| CE Name | CE No. | License Type | Types of Regulated Activity |
|--|---------------|---|------------------------------------|
| HARFORD Suni Pedersen | BLH426 | Licensed Representative (Temporary Licence) | 1, 4 |
| HAYDON David | BMA123 | Licensed Representative (Temporary Licence) | 1 |
| HAYES Christopher John | BEB738 | Licensed Representative (Temporary Licence) | 1, 2 |
| HEYES Richard James | BIJ198 | Licensed Representative (Temporary Licence) | 1 |
| HILTABRAND DAVID BLAKE | BLV357 | Licensed Representative (Temporary Licence) | 1, 4 |
| HONG Serena | BLY358 | Licensed Representative (Temporary Licence) | 1, 4 |
| HSU Chen-Chih | BEE281 | Licensed Representative (Temporary Licence) | 4 |
| HWANG Paul | AMO577 | Licensed Representative (Temporary Licence) | 4 |
| HYMAN Daniel | BLW089 | Licensed Representative (Temporary Licence) | 1, 4 |
| IVASCYN Daniel John | AZS735 | Licensed Representative (Temporary Licence) | 1, 4 |
| KALBREIER Malte Manuel | BIL892 | Licensed Representative (Temporary Licence) | 1, 4 |
| KIM Nara | BHO992 | Licensed Representative (Temporary Licence) | 1 |
| KIM Sang Soo | BDR263 | Licensed Representative (Temporary Licence) | 4 |
| KINGSMILL Stuart Guy | BFA604 | Licensed Representative (Temporary Licence) | 1 |
| KISHIDA Naoaki | BBG395 | Licensed Representative (Temporary Licence) | 2, 5 |
| KOJIMA Nobuko | BAJ425 | Licensed Representative (Temporary Licence) | 1 |
| KOK Wai Fai | BJQ184 | Licensed Representative (Temporary Licence) | 4 |
| KONTOPOULOS Ioannis | BGX211 | Licensed Representative (Temporary Licence) | 4 |
| KRAMER Yves Dominique | BGV290 | Licensed Representative (Temporary Licence) | 1 |
| KUHNERT Gregory John | AST321 | Licensed Representative (Temporary Licence) | 4 |
| KUMAR Ishank | BGP169 | Licensed Representative (Temporary Licence) | 4 |
| KWEK Kevin Siew Beng | AZN097 | Licensed Representative (Temporary Licence) | 4 |
| LANKA NAGA SASHANK VENKATRAHANA | BLX562 | Licensed Representative (Temporary Licence) | 1, 4 |
| LEE Sei Cheol | BLY339 | Licensed Representative (Temporary Licence) | 4 |
| LEE Seung Hyun | AMA939 | Licensed Representative (Temporary Licence) | 4 |
| LEMMENS Patrick Johannes Anna Franciscus | BLS913 | Licensed Representative (Temporary Licence) | 1, 4 |
| LIN Li-Chun | BIG303 | Licensed Representative (Temporary Licence) | 4 |
| LIU Wai Kin Ricky | BJD903 | Licensed Representative (Temporary Licence) | 1 |
| MACDONALD Alistair John | AVW485 | Licensed Representative (Temporary Licence) | 1 |
| MACHU Christophe Eric Henri | BLZ835 | Licensed Representative (Temporary Licence) | 1 |
| MARIANI Elena | BFU721 | Licensed Representative (Temporary Licence) | 1, 4 |
| MCKENNA Mark Ronald | BLY350 | Licensed Representative (Temporary Licence) | 1, 4 |
| MCNAY David John | BLV672 | Licensed Representative (Temporary Licence) | 1 |
| MENESES Fatima | BLV363 | Licensed Representative (Temporary Licence) | 1, 4 |
| MITTERMAIER Markus Manfred Helmut | BLX368 | Licensed Representative (Temporary Licence) | 4 |
| MIYAZAKI Takashi | BDQ458 | Licensed Representative (Temporary Licence) | 4 |
| MOOKIM Sanjay Kumar | AUW976 | Licensed Representative (Temporary Licence) | 1, 4 |
| MUKHLIS Helmi Arman | BDY347 | Licensed Representative (Temporary Licence) | 4 |
| MUNAKATA Minami | BIX260 | Licensed Representative (Temporary Licence) | 4 |
| NELSKYLA Andrei Michael | BLU776 | Licensed Representative (Temporary Licence) | 1 |
| NELSON Ryan Wesley | AQX958 | Licensed Representative (Temporary Licence) | 1 |
| NOWAK Brian Thomas | BAW836 | Licensed Representative (Temporary Licence) | 1, 4 |
| O'HARA Timothy Patrick | BLJ146 | Licensed Representative (Temporary Licence) | 1, 4 |



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2018

| CE Name | CE No. | License Type | Types of Regulated Activity |
|--|---------------|---|------------------------------------|
| OHDAIRA Mitsuyuki | BGS887 | Licensed Representative (Temporary Licence) | 4 |
| ORA Avo Erik | BAD558 | Licensed Representative (Temporary Licence) | 1 |
| PEIGNEUX LOJO Guillermo | BER729 | Licensed Representative (Temporary Licence) | 4 |
| PEREZ FERNANDEZ Juan Luis | BGE895 | Licensed Representative (Temporary Licence) | 1, 4 |
| PIDDEN Andrew Frederick Peter | ABR702 | Licensed Representative (Temporary Licence) | 1, 4 |
| PINEDA Arthur San Diego | AGL866 | Licensed Representative (Temporary Licence) | 4 |
| POOLE Gregory Hauston | BIW523 | Licensed Representative (Temporary Licence) | 1 |
| PUTRA Igor Nyoman | BDR834 | Licensed Representative (Temporary Licence) | 4 |
| REDEKER Hans Gunter Wilhelm | BFF385 | Licensed Representative (Temporary Licence) | 1, 4, 5 |
| ROCCATI Alessandro | BKY347 | Licensed Representative (Temporary Licence) | 10 |
| RYAN Matthew Hugh-Alfred | BLW777 | Licensed Representative (Temporary Licence) | 4 |
| SABBAH Belal Rajihs | BLW965 | Licensed Representative (Temporary Licence) | 1, 4 |
| SAKAMAKI Yuko | BHH238 | Licensed Representative (Temporary Licence) | 4 |
| SAMBOR Jean-Charles Pierre | BIQ355 | Licensed Representative (Temporary Licence) | 4 |
| SATHAWORAWONG Pimolrat | BEM318 | Licensed Representative (Temporary Licence) | 4 |
| SAUNDERS Philip Gordon Strode | ADB492 | Licensed Representative (Temporary Licence) | 4 |
| SHAH Aarti Pramod | BLU258 | Licensed Representative (Temporary Licence) | 1, 4 |
| SHAH Amish Chandrakant | AUW978 | Licensed Representative (Temporary Licence) | 1, 4 |
| SHOOKUS Jeffrey David | BFA606 | Licensed Representative (Temporary Licence) | 1 |
| SIMMONDS Stuart Duncan | BCP839 | Licensed Representative (Temporary Licence) | 1, 4 |
| SINGLA Anuj | BLW779 | Licensed Representative (Temporary Licence) | 1, 4 |
| SKANBERG Goran Martin | BLW756 | Licensed Representative (Temporary Licence) | 1 |
| SMITH William John Harris | BMA125 | Licensed Representative (Temporary Licence) | 1 |
| STRACKE Thibault Christian | BGT906 | Licensed Representative (Temporary Licence) | 1, 4 |
| SWAMINATHAN Anand | AUW975 | Licensed Representative (Temporary Licence) | 1, 4 |
| TIWARI Atul Kumar | BDT253 | Licensed Representative (Temporary Licence) | 4 |
| TRUELOVE Keith Alan | AWF626 | Licensed Representative (Temporary Licence) | 1 |
| TSUI Terence Mun-sion | BDD866 | Licensed Representative (Temporary Licence) | 1, 4 |
| VACHIRAPONG Therapong | BGV252 | Licensed Representative (Temporary Licence) | 1, 4 |
| VAIGHT Matthew Guy Ruthven | BMA209 | Licensed Representative (Temporary Licence) | 1 |
| VARGHESE Shinoy | BGU248 | Licensed Representative (Temporary Licence) | 10 |
| VERSTAPPEN Theodorus Mechtildis Franciscus | BEW818 | Licensed Representative (Temporary Licence) | 1, 4 |
| VIRGO Alexander Stewart | BLI749 | Licensed Representative (Temporary Licence) | 1, 4 |
| WANG Shiyan | BDP424 | Licensed Representative (Temporary Licence) | 4 |
| WEE Wilfred Zhi Zhong | BIL934 | Licensed Representative (Temporary Licence) | 4 |
| YAMAGUCHI Hidemaru | BGD668 | Licensed Representative (Temporary Licence) | 4 |
| YAZHARI Hootan | BLV945 | Licensed Representative (Temporary Licence) | 1, 4 |
| YOO Jae Hyuk | BBS475 | Licensed Representative (Temporary Licence) | 1, 4 |
| YU In Cheol | BLU691 | Licensed Representative (Temporary Licence) | 4 |
| YU Jia | BJQ980 | Licensed Representative (Temporary Licence) | 4 |
| YUNG-HOK Peter Stephen | BDL617 | Licensed Representative (Temporary Licence) | 2, 5 |
| ZHANG Ying | BJR686 | Licensed Representative (Temporary Licence) | 4 |
| ZHAO Rui | BIB608 | Licensed Representative (Temporary Licence) | 1, 4 |