



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
Dalton Capital (Hong Kong) Limited	ALU764	Licensed Corporation	9
MVN Asset Management Limited	BII897	Licensed Corporation	9
Pangu Capital Limited	ATC975	Licensed Corporation	9
ADAMS Anthony Hayward	AJG012	Licensed Representative	4, 9
APPLEBY Richard Ian	BBF268	Licensed Representative	1
AU Kin Wah, Lawrence	AFT602	Licensed Representative	1
BEDI Akash	BBL660	Licensed Representative	6
BELHACHMI Mehdi	AXX261	Licensed Representative	1, 2
BENNISON Joshua Gary	BIN565	Licensed Representative	4
BI Jingye	BDM993	Licensed Representative	1, 4
BYRON Shawn Patrick	AFJ972	Licensed Representative	1
CHAN Cheuk Hin Jeffrey	BJU340	Licensed Representative	1, 4, 5, 9
CHAN Chin Cheung	BBK011	Licensed Representative	1, 6
CHAN Chun To	ATP135	Licensed Representative	1, 2, 7
CHAN Chung Wa	BHF620	Licensed Representative	2, 5
CHAN Ka Chi	BIG461	Licensed Representative	1, 6
CHAN Ka Chun, Edwin	BGB734	Licensed Representative	1, 2, 7
CHAN Ka Yu, Julia	BGI044	Licensed Representative	1
CHAN Kai zi Gigi	BBB314	Licensed Representative	9
CHAN Koon Wai, Arnold	AUW122	Licensed Representative	9
CHAN Lek San, Alexander	BGP322	Licensed Representative	1, 4
CHAN Lo Ming	ABT270	Licensed Representative	1, 2
CHAN Maggie Chi Ying	ALB562	Licensed Representative	1, 4
CHAN Ming Yee, Kay	BHL504	Licensed Representative	1, 2
CHAN Mui Yuk	ABT252	Licensed Representative	1, 2
CHAN Tsz Lung	AYR507	Licensed Representative	9
CHAN Tsz Yin	BEI887	Licensed Representative	1, 2
CHAN Wai Tak	AHJ324	Licensed Representative	1
CHAN Wing Yam Eugenia	BAH319	Licensed Representative	2, 5
CHAN Wing Yan	BHH845	Licensed Representative	1
CHAN Yat Yee, Dorothy	AQN587	Licensed Representative	1, 4, 9
CHAN Yee Chun	APP696	Licensed Representative	1
CHAN Yu	AOC953	Licensed Representative	4
CHANG Jia-fen	ANR900	Licensed Representative	4, 9
CHEN Ge	BHO433	Licensed Representative	1, 2, 4, 5, 7



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHEN Hsuan Wei Matthew	BHT774	Licensed Representative	1, 6
CHEN Huanmu	BFJ981	Licensed Representative	1, 4, 9
CHEN Ping-Hung	BLT524	Licensed Representative	1, 4
CHEN Qi	BHV162	Licensed Representative	1, 6
CHEN Xiaoqiu	AYW247	Licensed Representative	4, 9
CHEN Xinwei	APJ308	Licensed Representative	1, 4, 6
CHENG Cheuk Yin	BIO891	Licensed Representative	1, 6
CHENG King Yin	BKC654	Licensed Representative	6
CHENG Toi Kwai	BHH835	Licensed Representative	1, 2
CHENG Wui Hang	BAO906	Licensed Representative	4
CHEUK Ki Cheung	BJM082	Licensed Representative	1, 4
CHEUNG Kin Yip	BFB168	Licensed Representative	1, 6
CHEUNG Wai Chun	BBF140	Licensed Representative	1
CHEUNG Wai Hung	AGY670	Licensed Representative	4
CHEUNG Wing Hung	APC061	Licensed Representative	1, 4
CHEUNG Yin Ping	BIK024	Licensed Representative	1, 4
CHEW Hui Lin	AWO206	Licensed Representative	1
CHING Ching	ALF582	Licensed Representative	9
CHING Po Yin	BFS735	Licensed Representative	1, 4
CHIU Hoi Ying	AYJ489	Licensed Representative	1, 4
CHIU Jonathan Chun Yip	AUK261	Licensed Representative	1, 2, 3, 4
CHIU Kelvin Ho Yin	BGA879	Licensed Representative	1
CHIU Yen-Jung	AYA137	Licensed Representative	1, 2, 5
CHOI So Yeon	BKQ224	Licensed Representative	1, 4
CHOI Tin Fu	BGR425	Licensed Representative	1
CHOUDHARY Apurv	AGO882	Licensed Representative	4, 9
CHOW Kit Jessica	BBA371	Licensed Representative	1
CHOW Kwun Chung	BJN275	Licensed Representative	10
CHU Hon Man	BDO839	Licensed Representative	1, 9
CHU Kwok On	ADE054	Licensed Representative	1, 4
CHUANG Jia Chen	BIB867	Licensed Representative	1, 2
CHUNG Andrew Wai Yip	BDH076	Licensed Representative	1
CONTE Antony	BBK175	Licensed Representative	1
COOKE Francis Michael	BFX543	Licensed Representative	1, 4
CROSS Ian Cameron	AQB165	Licensed Representative	1, 9



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
DENG Le	BGO680	Licensed Representative	4
DING Mao	BGI315	Licensed Representative	1
DUMINY Guilhem	AZI936	Licensed Representative	1, 2
FANG Tongtong	BFP649	Licensed Representative	4
FAVRE Emilie, Valerie, Nicole	ARW504	Licensed Representative	1, 2
FU Fai Wong	AVO462	Licensed Representative	1, 2, 3, 4
FUNG Chung Yin Mabel	BGE474	Licensed Representative	1, 4, 6
FURTADO Udhay Anton Dos Remedios	AFG848	Licensed Representative	1
GARATTI Alexis	ASL199	Licensed Representative	4, 9
GE Si Rui	BEE287	Licensed Representative	1, 4
GENG Yang	BID762	Licensed Representative	4, 9
GEORGE Tristan James	BHU035	Licensed Representative	1, 6
GOKHALE Prashant Achyut	AGO373	Licensed Representative	1, 4
GUEST Paul Michael George	BHT521	Licensed Representative	1, 4
GUO Shi	AXR146	Licensed Representative	1, 6
HAN Zhe	AQV652	Licensed Representative	1
HAU Yuk Sang	BEU890	Licensed Representative	9
HAYASHI Akifumi	BAL556	Licensed Representative	4
HE Peng	AVI431	Licensed Representative	1, 4
HO Ho Ming	AEE198	Licensed Representative	9
HO Ka Ho	ARL404	Licensed Representative	1, 2
HO Karen	AXL899	Licensed Representative	1
HO Yu Hei	APM031	Licensed Representative	1, 4
HONG Yat Fai	ACN758	Licensed Representative	3
HOU Weiwei	AZD343	Licensed Representative	1, 4, 9
HU Yanling	BBZ842	Licensed Representative	1, 2
HUANG Tian	BIA160	Licensed Representative	1
HUANG Timmy Chi-ming	ASF036	Licensed Representative	1
HUI Lok	BCM911	Licensed Representative	4, 9
HUNG Kevin Dingteng	BFG308	Licensed Representative	1, 4, 9
HYAMS Andrew Jon	ARR133	Licensed Representative	1, 7
IP Tsz Lam	APJ519	Licensed Representative	1
JIA Maoshi	BBY632	Licensed Representative	1
JIN Xiaoqiu	BAX004	Licensed Representative	1, 6
JOHNSON Suzanne Alicia	BBV863	Licensed Representative	1



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
KABRA Manish Jitendra	BHI967	Licensed Representative	1, 4
KAM Wing Ki	BIK396	Licensed Representative	1
KAM Wo Ping	BJB878	Licensed Representative	3
KAN Nik Hung Joseph	BGY191	Licensed Representative	1, 4, 6
KAN Wai Pong	APC204	Licensed Representative	1, 2
KAR Rohit	BIH882	Licensed Representative	1
KHANNA Kapil	AZC829	Licensed Representative	1, 4
KIKKO John	BFP833	Licensed Representative	1, 2
KIM Ji Joong	BHO550	Licensed Representative	1
KIM Agisae	BHX231	Licensed Representative	1, 4, 6
KIM Hanjae	BDJ964	Licensed Representative	1, 2
KIMIJIMA Satoshi	BHX449	Licensed Representative	1, 2
KOH Theng Theng Lynn	ALC666	Licensed Representative	1
KONG Henry James	BJP991	Licensed Representative	1, 4, 6
KRUK Jennifer Nicole	BFC690	Licensed Representative	4
KU Ka Shun	AVM937	Licensed Representative	1, 2, 3, 4
KU Kwan Patrick	AUR318	Licensed Representative	1, 4, 6
KUMAR Abhishek	ANK432	Licensed Representative	1, 2
KURODA Makoto	BKD243	Licensed Representative	4
KWAN Ka Wing	AAP393	Licensed Representative	1
KWOK Yuk Ling	BHL680	Licensed Representative	1
LAI Tsz Wo, Wanda	AUQ526	Licensed Representative	1, 4, 9
LAM Ho Suen	BIV222	Licensed Representative	4, 9
LAM Ho Yin	ACL293	Licensed Representative	1, 2
LAM Hon Kin	ACK535	Licensed Representative	3
LAM Kim Pang	ALV417	Licensed Representative	1
LAM Kit Wah	BGJ350	Licensed Representative	1
LAM Louise	BAW842	Licensed Representative	1, 4
LAM Man Man	BHU578	Licensed Representative	1
LAM Peter Gary	BDL307	Licensed Representative	1, 2
LAM Wai Bun	BFU206	Licensed Representative	1, 4
LAM Yuen Yee, Emily	BAE332	Licensed Representative	4, 9
LAMRINI Mohammed Mehdi	AUW123	Licensed Representative	2, 5
LANGLEY Toby Rowan	AUY970	Licensed Representative	1, 4
LAU Kit Sang	AMK231	Licensed Representative	1, 2



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
LAU Mei Ying	BGY365	Licensed Representative	1
LAU Nga Ki	ASY491	Licensed Representative	1
LAUNGANI Gautam Sukhdev	ANQ013	Licensed Representative	1, 2
LAW Yuen Fung	AMV341	Licensed Representative	1
LEE Chin Keng	APH298	Licensed Representative	1
LEE Chun Tung	BHU897	Licensed Representative	1, 2, 4
LEE Eugene Keng Yew	AUC307	Licensed Representative	1, 4, 6
LEE How Chih	AIZ906	Licensed Representative	1, 9
LEE Kuo Wei, Frank	BGI554	Licensed Representative	4, 9
LEE Pui Ki, Peggy	ADR661	Licensed Representative	1
LEE Young Suk Julianne	AQS657	Licensed Representative	1, 2
LEUNG Chung Hin	ATR218	Licensed Representative	1, 4
LEUNG Hei Yiu, Jaime	AZD610	Licensed Representative	4, 9
LEUNG Ka Chun	BHU242	Licensed Representative	1
LEUNG Kong Wa	ASN978	Licensed Representative	1
LEUNG Kwok Wai	AFB193	Licensed Representative	1, 4, 9
LEUNG Sze Man	BEZ799	Licensed Representative	1
LEUNG Tak Ming	ACJ959	Licensed Representative	1, 3
LEVINSON Robert Arnold	AQA773	Licensed Representative	1, 2
LI Chung Ho, Howard	BGL798	Licensed Representative	4
LI Hong	BJD743	Licensed Representative	1, 4, 6
LI Jacqueline Hsuanying	ATT459	Licensed Representative	1, 4, 6
LI Jingyi	BHT642	Licensed Representative	1, 4, 6
LI Ka Kit	APM141	Licensed Representative	1
LI Kin Man	BBT988	Licensed Representative	1, 4, 9
LI Tsz Wing	BIN427	Licensed Representative	1, 2
LI Wing Man	BGB397	Licensed Representative	1, 2
LI Yamin	AOA892	Licensed Representative	4
LIANG Haili	BIK127	Licensed Representative	3
LIANG Li	AJE067	Licensed Representative	1, 2
LIM Julian Frederick Yu	AVW353	Licensed Representative	1
LIM Si Jie, Bryan	BGD484	Licensed Representative	9
LIN Chin-che	BGC811	Licensed Representative	1, 6
LIN Hongqiang	BES159	Licensed Representative	1, 2
LIN Verna Yu-Ting	ASK367	Licensed Representative	1



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
LIU Baoman	ATL999	Licensed Representative	1, 2
LIU Guibao	BGD403	Licensed Representative	4, 9
LIU Tiantian	BGH958	Licensed Representative	1, 4
LIU Wanlin	AKY486	Licensed Representative	1, 4
LIU Xiaoming	AYM804	Licensed Representative	4
LO Chun Pong	APZ676	Licensed Representative	1, 2, 3, 4
LO Kai Leung Kane	BHC115	Licensed Representative	1, 4, 6
LOH Hui Ling Hailey	BIE948	Licensed Representative	9
LOO Kevin Lester	BFD133	Licensed Representative	1, 4, 9
LOO Pui Hong	ACI603	Licensed Representative	1, 2
LOWE Jonathan Christopher	AAC687	Licensed Representative	4, 9
LUAN Jie	BGL237	Licensed Representative	1, 4, 9
LUI Shing Wan	BGH054	Licensed Representative	1
LUO Xiaoran	BEA831	Licensed Representative	1, 4, 6
MA Nao	BFY246	Licensed Representative	1, 4, 9
MA Xiaona	AXA085	Licensed Representative	1, 6
MAN Chui Chi	BAW933	Licensed Representative	1
MAN Lan Fong	BHX068	Licensed Representative	1, 4, 9
MARDIX Elad	AYS379	Licensed Representative	1, 4, 6
MASEK Scott Axel Alan	BJE193	Licensed Representative	9
MAUGEST Pierre Emmanuel	BGB340	Licensed Representative	1, 6
MCALINDEN Barry	APF630	Licensed Representative	4
MENON Lily Jia Yang	AXK726	Licensed Representative	4, 9
MERVEILLE Jerome Louis Andre	BCA228	Licensed Representative	1, 6
MISRA Nityanand	ATO881	Licensed Representative	1, 2, 7
MIU Pepita Yinki	AEN898	Licensed Representative	9
MOK WAI SAN	BKD537	Licensed Representative	1
NEO Zi Jian	AZS690	Licensed Representative	1, 6
NG Oi Yiu, Andromeda	ABY894	Licensed Representative	1
NG Tak Hung	BFP058	Licensed Representative	1, 4, 6
NGAI Hiu Wa	ARO927	Licensed Representative	1
NGAI Tsz Kin	AWL093	Licensed Representative	1, 4
NGAI Yan Fai	BHA287	Licensed Representative	1, 2, 3
NGASERIN Andri	AXH546	Licensed Representative	1
ONG Yeok Foong Geraldine	BJW896	Licensed Representative	1, 4, 9



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
OZOLS Ashley Luke	BFE251	Licensed Representative	1, 4, 6
PEEL Edward James Willoughby	AYS709	Licensed Representative	1, 2
PENG Lulu	BJT944	Licensed Representative	4, 9
PFAJFER Aleksandar	AVI193	Licensed Representative	1, 4
PIAO Xingfeng	BFP665	Licensed Representative	1, 6
PIEPERS Pascal Peter Josephus	BDM897	Licensed Representative	1, 2
PO Choi Kwai	BMI091	Licensed Representative	1, 4
POON Chok Kei	BIR704	Licensed Representative	1, 2
POON Jian Xi	BFD262	Licensed Representative	4
PRIPP Marc Olof Wilhelm	BFT178	Licensed Representative	1, 2
PUN Wai Mui, Eliza	AMJ727	Licensed Representative	1, 4
QIAN Chen	BCN934	Licensed Representative	1, 2
QIN Ai Jun	BJC383	Licensed Representative	4
RACCAH Saul George	APD694	Licensed Representative	6
REZAEIAN KOCHESFAHANI Reza	BCH570	Licensed Representative	1, 2
ROBINOT Benjamin Pierre Jean	BCC824	Licensed Representative	4, 9
ROBSON John Mcgowan	AED494	Licensed Representative	4, 6
SAM Pak Tak	BFO399	Licensed Representative	4, 9
SARAF Aradhana	AYH391	Licensed Representative	6
SHAH Rajan Hemendra	BLC790	Licensed Representative	1, 4, 9
SHEN Hao	BGK734	Licensed Representative	1, 4, 6
SHEN Jen Der	ALY713	Licensed Representative	1, 6
SHEN Shijun	BGA586	Licensed Representative	1, 6
SHEN Yue Lei	BIC911	Licensed Representative	4, 9
SHUM Ho Yeung	BKE333	Licensed Representative	1, 6
SHUM Mary Lynn	AZG516	Licensed Representative	1, 9
SIMPSON Justin Vaughn	AJL553	Licensed Representative	1, 6
SIN Pui Wai	ARR373	Licensed Representative	1, 4
SIU Steward W.	APQ271	Licensed Representative	1
SMITH Paul Savile	AVN296	Licensed Representative	1, 2, 7
SO Ka Ho Benjamin	AXZ272	Licensed Representative	1, 2
SO Kai Chung Sebastian	BEE980	Licensed Representative	1, 2
SO Tsz Yin	BID175	Licensed Representative	10
SO Yin Hong, Calvin	BIG916	Licensed Representative	1, 6
SOBRAL John Karl	AQP972	Licensed Representative	1, 2



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
SONG Bingshen	BEZ992	Licensed Representative	4, 9
SRISUMA Sorapong	BFT060	Licensed Representative	1
STANLEY Robert Charles	AOQ941	Licensed Representative	9
SZE Kim Kwan	BIZ382	Licensed Representative	1, 2
SZE Tin Yeung, Randoll	ANW189	Licensed Representative	1, 4, 6
TAKETA Benjamin Chisato	BEC994	Licensed Representative	9
TAM Ming Him	BBQ283	Licensed Representative	1, 2, 3
TAN Rachel Hui Ch'ing	BIC290	Licensed Representative	1
TAN Songxian	BJU084	Licensed Representative	1, 4, 6
TANEJA Nikhil	BDJ430	Licensed Representative	1, 6
TANG Man Lei	AVR839	Licensed Representative	1, 2
TEE Brian Benedict	AXP239	Licensed Representative	1, 2
TENG Shengchun	BJG482	Licensed Representative	4, 9
TING Kathleen	AZQ248	Licensed Representative	1, 4, 9
TONG Ming Wai, Ronny	APN733	Licensed Representative	1
TONG Ngar Shun	AQP756	Licensed Representative	2
TONG Tak Yu	AFB892	Licensed Representative	1, 4, 9
TSAI Tsung Yu	BGC774	Licensed Representative	1, 6
TSANG Kwok Kit	BJE494	Licensed Representative	1
TSE Howe	AXM021	Licensed Representative	1
TSE Ka Yin	BEE409	Licensed Representative	2, 5
TSE Kam Chuen	BEL960	Licensed Representative	1
TSEUNG Yin Fai	BHP404	Licensed Representative	1, 2
TSUI Ho Chuen, Samson	BHB670	Licensed Representative	4, 9
TSUI Ho Ying, Dorothy	BGA851	Licensed Representative	1
TSUI Ngai, Eddie	BFO423	Licensed Representative	1, 2
USAI Andrea	BGA577	Licensed Representative	10
VILINA John Charles	BDO576	Licensed Representative	1, 2
VINCELLI Stefano	BDH346	Licensed Representative	1
WALLACE Ashley Dorothy	BFJ978	Licensed Representative	1, 4
WANG Dian	BCE889	Licensed Representative	1, 4, 9
WANG Dingyan	BEX249	Licensed Representative	6
WANG Shaohua	BJE214	Licensed Representative	1, 4, 9
WANG Ting	AXZ996	Licensed Representative	4
WATT Richard Duncan	BEI589	Licensed Representative	1, 4



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
WONG Check Shing	APA127	Licensed Representative	1, 4
WONG Chi Leung	ABP719	Licensed Representative	1
WONG Chun Ting	BAL918	Licensed Representative	1
WONG Chung Sing, Xavier	AAA012	Licensed Representative	1, 9
WONG Ho Man, Julian	AUA500	Licensed Representative	1, 2, 9
WONG Ka Long	BAR480	Licensed Representative	1
WONG Kai Shun	AUP417	Licensed Representative	1
WONG Kam Ki	BDV252	Licensed Representative	1
WONG Mei Ying, Jenny	AQI645	Licensed Representative	1, 6
WONG Sin Yan Ishtar	BJN165	Licensed Representative	1, 4
WONG Sui Ching	BLI455	Licensed Representative	1, 4
WONG Yuen Sut, Eddie	AZD381	Licensed Representative	1, 2
WRIGHT David Antony	BHP250	Licensed Representative	1, 4
WU Bonnie Po Yee	BKK907	Licensed Representative	4
WU Ji Qing	BGG964	Licensed Representative	4
WU Nga Kam, Anna	AFP897	Licensed Representative	1
WU Stella Xueyao	BDJ451	Licensed Representative	1
XIONG Chaoyu	BDE378	Licensed Representative	1, 6
XU Jingwu	AZG560	Licensed Representative	4, 6
XUE Ping	BIG682	Licensed Representative	6
YAN Man Fai	AAA960	Licensed Representative	1
YANG Jiaying	BJE212	Licensed Representative	1, 6
YANG Liu	BGY649	Licensed Representative	4, 9
YANG Wing Ka	AZU646	Licensed Representative	6
YANG Yang	AZF235	Licensed Representative	4, 9
YANG Zeqi	BLR889	Licensed Representative	1, 4, 9
YAO Wenjun	BGG930	Licensed Representative	1, 4
YAO Yunge	BFO561	Licensed Representative	1, 4, 6
YEUNG Man Chi	ALW900	Licensed Representative	1
YIM Yu Fung	AOU798	Licensed Representative	1
YIN Yin	ATY281	Licensed Representative	1, 4
YIP Man Wai	ARG184	Licensed Representative	1
YIP Nga King	BBE127	Licensed Representative	1, 2
YIP Yan	BAX642	Licensed Representative	1
YIU Ka Hing	AVW705	Licensed Representative	1



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
YIU Ka Po	BGE288	Licensed Representative	1, 6
YIU Woon Kuen, Doge	ACN829	Licensed Representative	1
YOU Ran	BHY607	Licensed Representative	6
YU Ming Yan	BID401	Licensed Representative	1, 4, 9
YU Nga Shan	APJ556	Licensed Representative	2, 5
YU Shuaihao	BLK697	Licensed Representative	1, 2, 4, 5
YUAN Ziwei	BGA580	Licensed Representative	1, 2
YUE Rachel Anne Sze Ming	BJG220	Licensed Representative	1, 4
YUEN Chester Wai Hei	AYW548	Licensed Representative	1, 2
YUEN Chi Yu	AOY107	Licensed Representative	1, 2
YUEN Ronald	BFW832	Licensed Representative	4, 9
YUNG Man Ching	BFP330	Licensed Representative	1
ZHA Wenxi	BGZ730	Licensed Representative	1, 6
ZHANG Bin	BJF189	Licensed Representative	1, 2
ZHANG Christopher Yubo	BFX186	Licensed Representative	1, 2
ZHANG Guangrong	BJX333	Licensed Representative	4, 9
ZHANG Hongyi	BGE031	Licensed Representative	2
ZHANG Weihao	BDI583	Licensed Representative	1, 6
ZHANG Yong	BFN289	Licensed Representative	1
ZHANG Yu	BDT702	Licensed Representative	1, 6
ZHAO Mei	BAT872	Licensed Representative	4, 9
ZHENG Junwei	BIX764	Licensed Representative	4, 5
ZHENG Shanni	BGQ331	Licensed Representative	4
ZHENG Xiwei	BCI837	Licensed Representative	1, 4, 6
ZHOU Bo	BFD603	Licensed Representative	1, 4
ZHOU Zejian	ARN615	Licensed Representative	1, 6
ZHU Cheng	BBQ102	Licensed Representative	1, 2
ANDERSON Desmond John	AOD237	Licensed Representative - Responsible Officer	1, 9
CAO Xiaogang	BBS247	Licensed Representative - Responsible Officer	1, 4
CHAN Wah Lee	AWJ776	Licensed Representative - Responsible Officer	1
CHAN Wing Yam Eugenia	BAH319	Licensed Representative - Responsible Officer	1, 4
CHANG Pui Shan, Melissa	ARN180	Licensed Representative - Responsible Officer	1, 4
CHENG Philip Kar Kuen	AON588	Licensed Representative - Responsible Officer	4, 9
CHEUNG Wai Hung	AGY670	Licensed Representative - Responsible Officer	1, 2
COWAN Paul Anthony John	AUC914	Licensed Representative - Responsible Officer	1, 4



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
FORD John Michael Frederic	AJE749	Licensed Representative - Responsible Officer	1, 4, 5, 9
HE Zhong	AOE223	Licensed Representative - Responsible Officer	4, 9
IP Tsz Tim	ACB576	Licensed Representative - Responsible Officer	1, 2
KEUNG Ka Hong, Kevin	AIU261	Licensed Representative - Responsible Officer	1
KWOK Yu Tin	ARN819	Licensed Representative - Responsible Officer	1, 4
LAM Lok Yee, Yvonne	API272	Licensed Representative - Responsible Officer	1, 4, 9
LEE Ho Leung, William	AEL247	Licensed Representative - Responsible Officer	1, 4, 9
LEE Kam Lun, Kenyon	AFU347	Licensed Representative - Responsible Officer	4
LIN Xianghong	AOB167	Licensed Representative - Responsible Officer	1, 4, 6, 9
LIU Ren	AZC071	Licensed Representative - Responsible Officer	1
LUK Chun Hang, Henrietta	AAJ704	Licensed Representative - Responsible Officer	9
MARELLE Stephanie Christine Annie	BDT323	Licensed Representative - Responsible Officer	1
MCDONALD Liam Patrick	AUQ456	Licensed Representative - Responsible Officer	1, 4
MO Yuk King, Rebecca	BBS843	Licensed Representative - Responsible Officer	4, 9
POON Cho Fai	ADH524	Licensed Representative - Responsible Officer	1, 6
QIU Zhihao	AVB884	Licensed Representative - Responsible Officer	1
QUINN JR James Jerome	AWZ640	Licensed Representative - Responsible Officer	1, 2
ROH Michael Suk	BGK495	Licensed Representative - Responsible Officer	2
SHANG Wei	BBA381	Licensed Representative - Responsible Officer	1, 2, 4
SHEN Jian Yu	AQK256	Licensed Representative - Responsible Officer	1, 2
SIN Kin Chung	AHR461	Licensed Representative - Responsible Officer	1
SMITHSON Travis Lorne	AHE465	Licensed Representative - Responsible Officer	1, 4, 6
STEWART James Herbert	AGU583	Licensed Representative - Responsible Officer	4
TENG Chia-Wen	BDM861	Licensed Representative - Responsible Officer	4, 9
TERASAWA Ryoya	BFZ034	Licensed Representative - Responsible Officer	2, 5
TERREBROOD JR Henry Lee	AJL563	Licensed Representative - Responsible Officer	1, 4
THANKI Vikash	BDV093	Licensed Representative - Responsible Officer	3
TIMBLICK David Rhee	AGI984	Licensed Representative - Responsible Officer	1, 4, 6
TING Wai Wan	ABS171	Licensed Representative - Responsible Officer	2
TONG Ngar Shun	AQP756	Licensed Representative - Responsible Officer	1
TSANG Chiu Wah, Samuel	AAA566	Licensed Representative - Responsible Officer	1
TSANG Chung Lam, Andrew	AFR651	Licensed Representative - Responsible Officer	1, 4, 6
WHITE Christopher James	ACS947	Licensed Representative - Responsible Officer	1
WICKS Edward Vivian	BJU359	Licensed Representative - Responsible Officer	2
WONG Tai Che, Eddy	AKW690	Licensed Representative - Responsible Officer	1, 4, 9



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
WONG Tsz Pan	AHR462	Licensed Representative - Responsible Officer	1
YANG Cuihua	BAL393	Licensed Representative - Responsible Officer	1, 2, 4, 5
YUAN Junping	ALS806	Licensed Representative - Responsible Officer	1, 4, 9
YUAN Wei	BIP064	Licensed Representative - Responsible Officer	9
ZHOU Xin	ALZ834	Licensed Representative - Responsible Officer	4, 9
AGARWAL Nitin	BMM160	Licensed Representative (Provisional Licence)	1
AINA Oluwadamilola Temitayo	BMA176	Licensed Representative (Provisional Licence)	1, 6
AOKI Hidehiko	BAI638	Licensed Representative (Provisional Licence)	4
ASSAD Edward William	BMD018	Licensed Representative (Provisional Licence)	9
AU Tsz Ki	BMM219	Licensed Representative (Provisional Licence)	1
AU YEUNG Kwun Yin	BMI354	Licensed Representative (Provisional Licence)	1
BELLVER GRANDA Luis	BMB520	Licensed Representative (Provisional Licence)	1, 2
BHATI Anil	BMI555	Licensed Representative (Provisional Licence)	1, 2
BOCK Mathias	BMI028	Licensed Representative (Provisional Licence)	1
BOUDOUX Thomas Matthieu	BML187	Licensed Representative (Provisional Licence)	1, 4
BOUTSIS Sotirios	BME175	Licensed Representative (Provisional Licence)	1
BROADBENT Neil Eric	BMI332	Licensed Representative (Provisional Licence)	1
CAI Jing	AXO945	Licensed Representative (Provisional Licence)	1, 4, 9
CAI Shixin	BMH732	Licensed Representative (Provisional Licence)	1, 2, 7
CAMPBELL Lachlan Gordon	ADU213	Licensed Representative (Provisional Licence)	1, 4, 9
CAMPLING Neil Allan	BJP479	Licensed Representative (Provisional Licence)	1, 4
CAUCHY-DUBOIS Kevin Paul Emile	BMH473	Licensed Representative (Provisional Licence)	9
CHAKER Sebastien Alexandre	BMB278	Licensed Representative (Provisional Licence)	1, 4
CHAN Che Kan	ABO128	Licensed Representative (Provisional Licence)	1, 2, 4, 5
CHAN Ching Man, Mandy	AMF100	Licensed Representative (Provisional Licence)	1, 4
CHAN Cho Leung	AFC450	Licensed Representative (Provisional Licence)	1
CHAN Chun Chiu	BMK654	Licensed Representative (Provisional Licence)	1, 4, 6
CHAN Fong Leung	BLZ047	Licensed Representative (Provisional Licence)	1
CHAN Haylock, Callum	BMF391	Licensed Representative (Provisional Licence)	1
CHAN Kin Cheung	BMG075	Licensed Representative (Provisional Licence)	1, 4
CHAN King Yeung	BCF239	Licensed Representative (Provisional Licence)	1
CHAN Lok Ming	BMJ399	Licensed Representative (Provisional Licence)	1
CHAN Man Fong Rachel	AQA622	Licensed Representative (Provisional Licence)	1, 4
CHAN Mou Sun	BMG150	Licensed Representative (Provisional Licence)	1
CHAN Sai Pan	AKW554	Licensed Representative (Provisional Licence)	9



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHAN Siu Chun	BMK909	Licensed Representative (Provisional Licence)	5
CHAN Tsang	BMD889	Licensed Representative (Provisional Licence)	10
CHAN Yick Keung	BBX848	Licensed Representative (Provisional Licence)	1, 2, 3
CHANG HSIAO HAN	BMF393	Licensed Representative (Provisional Licence)	1, 4
CHAO Xueer	BMI596	Licensed Representative (Provisional Licence)	1
CHAU Chin Wang	BMG151	Licensed Representative (Provisional Licence)	1
CHAU Chun Yiu	BMI357	Licensed Representative (Provisional Licence)	1
CHEN Chaoqin	BMH488	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Dan	BME967	Licensed Representative (Provisional Licence)	1, 4
CHEN Mei Ju	BMC881	Licensed Representative (Provisional Licence)	1
CHEN Mengxi	BMH475	Licensed Representative (Provisional Licence)	4
CHEN Mingxiao	BMJ700	Licensed Representative (Provisional Licence)	1, 6
CHEN Shijie	BML660	Licensed Representative (Provisional Licence)	1, 2
CHEN Tsou Wei	AOA957	Licensed Representative (Provisional Licence)	1, 4
CHEN Yeung, Michelle	BMG526	Licensed Representative (Provisional Licence)	1
CHEN Yi	BMK649	Licensed Representative (Provisional Licence)	1, 4, 9
CHEN Yi Pei	BMB328	Licensed Representative (Provisional Licence)	1
CHEN Ying	BMG518	Licensed Representative (Provisional Licence)	1, 2
CHENG Sui Ting	BMI093	Licensed Representative (Provisional Licence)	1
CHEUNG King Hing	BMH293	Licensed Representative (Provisional Licence)	1, 4
CHEUNG Ngai Tat	BBH785	Licensed Representative (Provisional Licence)	1, 2
CHEUNG Yik Hang	BMF145	Licensed Representative (Provisional Licence)	6
CHIK Wing Yin	BMG553	Licensed Representative (Provisional Licence)	1
CHOI Sheung Wah	BHF331	Licensed Representative (Provisional Licence)	9
CHOI Wang Ngai	BMI595	Licensed Representative (Provisional Licence)	1, 4, 6
CHOI Wing Yi	BEN508	Licensed Representative (Provisional Licence)	1, 4
CHONG Ka Man	BMI099	Licensed Representative (Provisional Licence)	1, 2, 4
CHOU Tsz Mei	BAM430	Licensed Representative (Provisional Licence)	4, 9
CHOW Andrew U Yen	BMH843	Licensed Representative (Provisional Licence)	1, 2
CHOW Long Ning	BME755	Licensed Representative (Provisional Licence)	1, 4, 5, 9
CHOW Siu Lun, Ronald	BFT562	Licensed Representative (Provisional Licence)	1, 6
CHU Cheuk Fung	BMG556	Licensed Representative (Provisional Licence)	4, 9
CHU Ching Mo	BMF147	Licensed Representative (Provisional Licence)	1, 2
DE DIEGO YENES Luis Maria	BLX547	Licensed Representative (Provisional Licence)	1, 6
DENG Jie	BMG560	Licensed Representative (Provisional Licence)	1, 4, 9



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
DING Yixin	BML415	Licensed Representative (Provisional Licence)	1, 2, 4
FAN Zijun	BMJ408	Licensed Representative (Provisional Licence)	9
FANG Wei	BMG204	Licensed Representative (Provisional Licence)	4
FUNG Hong Yiu	BMI521	Licensed Representative (Provisional Licence)	1, 2
GAMET Francois Jean Maurice	BHK370	Licensed Representative (Provisional Licence)	1, 4, 6
GOH Edwin Ee Yew	BMC884	Licensed Representative (Provisional Licence)	1, 6
GRAHAM Michael James Nicholas	BMI557	Licensed Representative (Provisional Licence)	1, 2
HAYAMA Yota	BMD879	Licensed Representative (Provisional Licence)	1, 4, 6
HE Chaowei	BMD846	Licensed Representative (Provisional Licence)	1, 6
HE Dingding	APR488	Licensed Representative (Provisional Licence)	1, 4
HE Nanyang	BML708	Licensed Representative (Provisional Licence)	4
HERCOT Guillaume Raphael Maximilien	BMH781	Licensed Representative (Provisional Licence)	1, 2
HO Chin Fei	BMI346	Licensed Representative (Provisional Licence)	1, 4, 6
HO Ho Yin	BMK024	Licensed Representative (Provisional Licence)	1, 4
HO Kai Yuen	BMC026	Licensed Representative (Provisional Licence)	1
HO Kwok Wing	BME583	Licensed Representative (Provisional Licence)	1, 2
HO Pik Kwan Kenny	BMI597	Licensed Representative (Provisional Licence)	1
HO Sing Wai Kurtise	BMH373	Licensed Representative (Provisional Licence)	1, 2
HO Suk Man, Betty	ARX005	Licensed Representative (Provisional Licence)	2
HOU Xiaoning	BMB739	Licensed Representative (Provisional Licence)	1, 6
HU Jialu	BME754	Licensed Representative (Provisional Licence)	4
HU Ming	BLE484	Licensed Representative (Provisional Licence)	1, 2, 4, 6
HU Xiangquan	BMG564	Licensed Representative (Provisional Licence)	1, 6
HU Zhichao	BMD543	Licensed Representative (Provisional Licence)	1, 6
HUANG Yating	BML416	Licensed Representative (Provisional Licence)	1, 4
HUANG Yue	BMG581	Licensed Representative (Provisional Licence)	1, 4, 6
HUI Hei Wo	BMC977	Licensed Representative (Provisional Licence)	1, 4, 9
HUI Ka Fai	BMD891	Licensed Representative (Provisional Licence)	1, 2, 4, 5
HUNG Chin Ho	BMG522	Licensed Representative (Provisional Licence)	1, 2
HUNG Wai Ching	BMA875	Licensed Representative (Provisional Licence)	1, 2, 3
HWANG Chang Hyun	BME268	Licensed Representative (Provisional Licence)	1, 2
IP Moses Alan	BMB936	Licensed Representative (Provisional Licence)	1, 2
JAIN Shreyans	BMD541	Licensed Representative (Provisional Licence)	1, 2
JI Bo	BLT493	Licensed Representative (Provisional Licence)	9
JI Wencheng	BML976	Licensed Representative (Provisional Licence)	2, 5



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
JI Xinge	BMC267	Licensed Representative (Provisional Licence)	1
JIANG Wanning	BMH757	Licensed Representative (Provisional Licence)	9
JIANG Wei	AWS208	Licensed Representative (Provisional Licence)	4
JIN Yongbo	BID144	Licensed Representative (Provisional Licence)	1, 4, 6
KALRA Abhimanyu	BMA951	Licensed Representative (Provisional Licence)	1
KANG Lei	BMK459	Licensed Representative (Provisional Licence)	1, 4
KANG Shin Young	BMH296	Licensed Representative (Provisional Licence)	9
KE Weijie	BMH284	Licensed Representative (Provisional Licence)	1, 4, 9
KIM Jee Won	BMH726	Licensed Representative (Provisional Licence)	1, 4
KO Chon Man	BMK670	Licensed Representative (Provisional Licence)	1, 4, 6
KUNG Wing San Joseph	BMH146	Licensed Representative (Provisional Licence)	1, 4
KWAN Tin Long	BMB691	Licensed Representative (Provisional Licence)	1
KWAN Wai Ling	BMF477	Licensed Representative (Provisional Licence)	1, 4, 9
KWOK Tsz Kiu	BET772	Licensed Representative (Provisional Licence)	6
KWONG Wing Yan	BMH500	Licensed Representative (Provisional Licence)	1
LAI Chun Kit	BGH428	Licensed Representative (Provisional Licence)	1, 4
LAI Man Ling	AWX953	Licensed Representative (Provisional Licence)	1, 4
LAM Hoi Yan	BMD021	Licensed Representative (Provisional Licence)	1, 4
LAM Yau Lun	AVI744	Licensed Representative (Provisional Licence)	6
LAU Chi Hang	BMC986	Licensed Representative (Provisional Licence)	1, 4
LAU Wung Koon	ABY860	Licensed Representative (Provisional Licence)	1, 2
LAU Yan Lun	BMI863	Licensed Representative (Provisional Licence)	1, 4
LAW Matthew Shun Kei	BDJ462	Licensed Representative (Provisional Licence)	4
LAW Tsz Ming	BMI035	Licensed Representative (Provisional Licence)	1, 2
LAW Yuen Yi	BMF146	Licensed Representative (Provisional Licence)	1, 4
LEE Chang Soo	BMH280	Licensed Representative (Provisional Licence)	9
LEE Chun Man	AJE100	Licensed Representative (Provisional Licence)	1, 4, 9
LEE Chun Yin	BMD849	Licensed Representative (Provisional Licence)	6
LEE Kin Pong, Tony	BMF148	Licensed Representative (Provisional Licence)	1, 2
LEE Pak Ling	BMG897	Licensed Representative (Provisional Licence)	1
LEE Wen Min Donovan	BME582	Licensed Representative (Provisional Licence)	9
LESCAROUX Frederic Paul	BLX958	Licensed Representative (Provisional Licence)	1, 2
LEUNG Chun Sing	ALN127	Licensed Representative (Provisional Licence)	1, 2, 4
LEUNG Tik Kan	BMB944	Licensed Representative (Provisional Licence)	6
LEUNG Wai Hang	BMG013	Licensed Representative (Provisional Licence)	1



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
LEUNG Yuk San	BMB683	Licensed Representative (Provisional Licence)	1, 2
LI Changyi	BLC081	Licensed Representative (Provisional Licence)	1, 6
LI Keyang	BMD878	Licensed Representative (Provisional Licence)	1, 4, 9
LI Lingli	BML155	Licensed Representative (Provisional Licence)	1, 6
LI Sijia	BMF550	Licensed Representative (Provisional Licence)	2, 5
LI Siqi	BLZ748	Licensed Representative (Provisional Licence)	1, 6
LI Taoran	BMG152	Licensed Representative (Provisional Licence)	1, 6
LI Tiangang	BMG815	Licensed Representative (Provisional Licence)	1, 2, 7
LI Xiaohan	BMI921	Licensed Representative (Provisional Licence)	1, 6
LI Yik Ngai	BMF541	Licensed Representative (Provisional Licence)	1, 2
LI Ying Kin	BBE439	Licensed Representative (Provisional Licence)	1, 2
LI Yiting	BMI083	Licensed Representative (Provisional Licence)	1, 2, 4
LIAO Maojiang	BMI130	Licensed Representative (Provisional Licence)	1, 4
LIM Damien Jia Wei	BMK464	Licensed Representative (Provisional Licence)	1
LIU Gang	BME739	Licensed Representative (Provisional Licence)	9
LIU Jiaqi	BMF539	Licensed Representative (Provisional Licence)	1, 6
LIU Shanshan	BMD868	Licensed Representative (Provisional Licence)	1, 2, 4
LIU Ye Ting	BMC891	Licensed Representative (Provisional Licence)	1, 6
LIU Yue	BMG014	Licensed Representative (Provisional Licence)	1, 6
LO Lai Kwan, Thebes	ACT431	Licensed Representative (Provisional Licence)	1
LO Wan Ju	BMI350	Licensed Representative (Provisional Licence)	1, 4, 9
LO Yiu Man	BMG156	Licensed Representative (Provisional Licence)	1, 2
LOH Wei Min	BMI076	Licensed Representative (Provisional Licence)	1, 2
LU Limei	BMH783	Licensed Representative (Provisional Licence)	1
LUI Chun Hay Joey	BMF398	Licensed Representative (Provisional Licence)	1, 2, 4
LUI Lung Wai	BMC617	Licensed Representative (Provisional Licence)	6
LUNG Chi Chiu	BMD883	Licensed Representative (Provisional Licence)	1
LUO Hankun	BMH148	Licensed Representative (Provisional Licence)	1, 2
LUO Xun	AUW916	Licensed Representative (Provisional Licence)	1, 4, 9
LUTEREAU Pablo Federico	BJU418	Licensed Representative (Provisional Licence)	10
LYU QI	BMI920	Licensed Representative (Provisional Licence)	9
MA Hiu Nga, Michelle	AWE190	Licensed Representative (Provisional Licence)	1, 4
MA Ka Chun	BMG170	Licensed Representative (Provisional Licence)	1, 2, 4
MAINGARD De La Ville Es Offran Amedee Cory	BHK684	Licensed Representative (Provisional Licence)	4, 9
MAK Clement Ka Yiu	BMA455	Licensed Representative (Provisional Licence)	1



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
MATHESON Leighton Carey	AGK143	Licensed Representative (Provisional Licence)	9
MUI Ka Chiu	BLP788	Licensed Representative (Provisional Licence)	1
NG Pak Kei	APG232	Licensed Representative (Provisional Licence)	1, 2
NG Siu Lung	BMJ747	Licensed Representative (Provisional Licence)	10
NG Sze Ho	AFI898	Licensed Representative (Provisional Licence)	4
NG Yin Kuen	BMH522	Licensed Representative (Provisional Licence)	1, 4
NG Ying Kit, Walter	ADZ360	Licensed Representative (Provisional Licence)	1
OGAWA Ryuzo	BMM183	Licensed Representative (Provisional Licence)	1
OUYANG Shirui	BIN775	Licensed Representative (Provisional Licence)	4
PAN Lei	BLX186	Licensed Representative (Provisional Licence)	4, 9
PANG WAI CHUEN EDMOND	BMA226	Licensed Representative (Provisional Licence)	6
PAO Michael Shihao	AVR356	Licensed Representative (Provisional Licence)	4, 9
PAREKH Alok	BBH963	Licensed Representative (Provisional Licence)	1
PARK Kwihwan	BLZ454	Licensed Representative (Provisional Licence)	1, 2
PATKER Sameer Sudhir	BMB521	Licensed Representative (Provisional Licence)	1, 4
PENG Gangfu	BFM414	Licensed Representative (Provisional Licence)	4, 9
PO Choi Kwai	BMI091	Licensed Representative (Provisional Licence)	1, 4
PUN Lai Shan	BMF543	Licensed Representative (Provisional Licence)	1, 2
QI Xuan	ATW424	Licensed Representative (Provisional Licence)	1, 4, 9
QIAN Zixuan	BLX759	Licensed Representative (Provisional Licence)	1, 6
QIN YU	BMF424	Licensed Representative (Provisional Licence)	1
QIU Zhiwei	BMI936	Licensed Representative (Provisional Licence)	1, 2
QUAN Jiali	BMK450	Licensed Representative (Provisional Licence)	1, 9
RATHORE Rahul	BMG231	Licensed Representative (Provisional Licence)	9
REN Qingwen	BME227	Licensed Representative (Provisional Licence)	1, 2
RISBERG Niklas Johan	BMF013	Licensed Representative (Provisional Licence)	9
ROBERTS Glyn David	BBZ480	Licensed Representative (Provisional Licence)	1, 2
SAHNI Akshay	AMC661	Licensed Representative (Provisional Licence)	1, 2
SALECHA Mitul	BMG596	Licensed Representative (Provisional Licence)	1, 2
SHANG Chuzun	BMH297	Licensed Representative (Provisional Licence)	4
SHENG Lisha	BMH847	Licensed Representative (Provisional Licence)	1, 2
SHI Wenjia	BMG353	Licensed Representative (Provisional Licence)	1, 6
SHI Yue	BMJ774	Licensed Representative (Provisional Licence)	1, 4, 6
SIERADZKI Alexandre Roger	BMH510	Licensed Representative (Provisional Licence)	1, 4, 9
SIU Man Lok	BMI524	Licensed Representative (Provisional Licence)	1, 2



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
SIU Wai Ching, Shirley	ABP528	Licensed Representative (Provisional Licence)	1, 4
SIU Wing Chun	BMG846	Licensed Representative (Provisional Licence)	1
SO Sze Yue	BMM196	Licensed Representative (Provisional Licence)	1, 6
SONG Minmin	BDF036	Licensed Representative (Provisional Licence)	1, 4
SRINIVASAN Pavithra	BLX083	Licensed Representative (Provisional Licence)	9
SU Rongxia	ATB921	Licensed Representative (Provisional Licence)	1, 4
SU Tian	BMK653	Licensed Representative (Provisional Licence)	1, 4
SULLIVAN Thomas Sean	BMH515	Licensed Representative (Provisional Licence)	1
SUN Lan	BMI353	Licensed Representative (Provisional Licence)	1, 4
SUN Qiang Chang	ACF134	Licensed Representative (Provisional Licence)	1, 4
SUN Yumeng	BME539	Licensed Representative (Provisional Licence)	9
TAI Ho Fung	BMI050	Licensed Representative (Provisional Licence)	1, 4, 6
TAM Kam Wah	ABM628	Licensed Representative (Provisional Licence)	1
TAN James Seng Yee	ATN584	Licensed Representative (Provisional Licence)	1, 2
TANG Hung Yu	BMI356	Licensed Representative (Provisional Licence)	1
TANG Li-yu	AWE763	Licensed Representative (Provisional Licence)	9
TANG Mei Kam	BLU324	Licensed Representative (Provisional Licence)	4, 9
TIN Wai Man	ARG334	Licensed Representative (Provisional Licence)	1, 4, 9
TONG Ying	BIJ653	Licensed Representative (Provisional Licence)	4
TSANG Tak Ki	BMC017	Licensed Representative (Provisional Licence)	1
TSE Christine Cheuk Wing	BLV209	Licensed Representative (Provisional Licence)	1, 2
TSE Kit Ying	BMG845	Licensed Representative (Provisional Licence)	1, 4, 9
TSE Tsz Kwan	BJO498	Licensed Representative (Provisional Licence)	1, 6
TSE Tung Wai	BMA121	Licensed Representative (Provisional Licence)	1, 6
TSOI Geoffrey Wai Yip	BBJ502	Licensed Representative (Provisional Licence)	1
TSOI Wai Hong	BMB676	Licensed Representative (Provisional Licence)	1, 2
TSOI Wei Yan	BMK463	Licensed Representative (Provisional Licence)	1, 6
TSUI Kin Ling	AVY267	Licensed Representative (Provisional Licence)	1, 4
TURAKHIA Pratik Prakash	BAI093	Licensed Representative (Provisional Licence)	1
TYRTYCHNA Olena	BMB542	Licensed Representative (Provisional Licence)	1, 2
VED Premal Gopaldas	BMM169	Licensed Representative (Provisional Licence)	1
WAN Lap Kei	AML156	Licensed Representative (Provisional Licence)	2, 5
WANG Boshen	BMA941	Licensed Representative (Provisional Licence)	1, 4
WANG Chuan Ying	BMG147	Licensed Representative (Provisional Licence)	1
WANG Dige	BMH216	Licensed Representative (Provisional Licence)	1, 4



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
WANG Hui	BLN172	Licensed Representative (Provisional Licence)	2
WANG Jun	BMH239	Licensed Representative (Provisional Licence)	1
WANG Xiaoming	BMJ757	Licensed Representative (Provisional Licence)	1
WANG Xinyi	BMI322	Licensed Representative (Provisional Licence)	4
WANG Yi	BMA944	Licensed Representative (Provisional Licence)	2
WANG Yilin	BML121	Licensed Representative (Provisional Licence)	1, 2, 4
WANG Yinghui	BMI924	Licensed Representative (Provisional Licence)	4
WANG Yushen	BMH497	Licensed Representative (Provisional Licence)	4
WAY Ling Chui	BMH151	Licensed Representative (Provisional Licence)	1, 4
WEI Wei	BMH195	Licensed Representative (Provisional Licence)	9
WENG Jinghong	BMG697	Licensed Representative (Provisional Licence)	1, 4
WONG Cheuk Fung	BFE380	Licensed Representative (Provisional Licence)	1, 4, 9
WONG Cho Fung Alan	BMF182	Licensed Representative (Provisional Licence)	1, 2
WONG Ka Hin	BML678	Licensed Representative (Provisional Licence)	1, 2
WONG Mellissa	AKO803	Licensed Representative (Provisional Licence)	1
WONG Nok Hang	BMI361	Licensed Representative (Provisional Licence)	3
WONG Pak Kan	AXK066	Licensed Representative (Provisional Licence)	9
WONG Ting Chun, Justin	BMJ409	Licensed Representative (Provisional Licence)	1
WONG Tony Tin Wing	ALZ369	Licensed Representative (Provisional Licence)	1, 4
WOO Ka Man Sara	BHD691	Licensed Representative (Provisional Licence)	1, 4
WU Chi Him, James	BJK279	Licensed Representative (Provisional Licence)	1
WU Kam Tung	BMF548	Licensed Representative (Provisional Licence)	1
WU Peiqin	BMI131	Licensed Representative (Provisional Licence)	1, 2, 4, 5
WU Shuohuan	BMF453	Licensed Representative (Provisional Licence)	1
XIAN Ming	BDV840	Licensed Representative (Provisional Licence)	1
XIN Peng	BMB690	Licensed Representative (Provisional Licence)	1, 2
XU Peiyu	BMH493	Licensed Representative (Provisional Licence)	4
XUE Jiayun	BMF180	Licensed Representative (Provisional Licence)	1, 6
YANG Geng	AWW234	Licensed Representative (Provisional Licence)	1, 4, 9
YANG Hongbo	BME752	Licensed Representative (Provisional Licence)	1, 2, 3
YANG Shi Ai	BMJ699	Licensed Representative (Provisional Licence)	1, 2
YAU Arthur	BLZ370	Licensed Representative (Provisional Licence)	1
YAU Sherlock Szelock	BMB657	Licensed Representative (Provisional Licence)	1, 6
YEUNG Chi Pan	BEG177	Licensed Representative (Provisional Licence)	1, 4
YEUNG Chin Kwan, Gilbert	BMI041	Licensed Representative (Provisional Licence)	1, 4



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
YEUNG Yu Man	BKL315	Licensed Representative (Provisional Licence)	1
YIP Ping Hang	BML889	Licensed Representative (Provisional Licence)	2, 5
YIU Wing Kit	BMF440	Licensed Representative (Provisional Licence)	1
YONG Shao Fung	BMG012	Licensed Representative (Provisional Licence)	1, 4, 9
YU Jiaqiang	BMI887	Licensed Representative (Provisional Licence)	1, 6
YU Wing Yan	BJQ181	Licensed Representative (Provisional Licence)	1
YU Zhou	BMF545	Licensed Representative (Provisional Licence)	1, 4
YUNG Ling Ling, Lilian	ADX189	Licensed Representative (Provisional Licence)	1, 4
ZHANG Bin	BMI551	Licensed Representative (Provisional Licence)	1
ZHANG Hui	BMF480	Licensed Representative (Provisional Licence)	1
ZHANG Jingdan	BMG531	Licensed Representative (Provisional Licence)	4
ZHANG Mingwei	BMF163	Licensed Representative (Provisional Licence)	1, 2, 9
ZHANG Qian	BMG072	Licensed Representative (Provisional Licence)	1, 2, 4
ZHANG Yue	BMG550	Licensed Representative (Provisional Licence)	1, 4
ZHANG Zhiwei	BMI925	Licensed Representative (Provisional Licence)	4
ZHAO Feiya	BLY281	Licensed Representative (Provisional Licence)	4
ZHAO Liang	BME560	Licensed Representative (Provisional Licence)	9
ZHAO Yue	BKA711	Licensed Representative (Provisional Licence)	1, 4
ZHENG Puyu	BLU393	Licensed Representative (Provisional Licence)	1, 2, 9
ZHENG Shenghuai	BMI556	Licensed Representative (Provisional Licence)	1, 2, 4
ZHU Jingxuan	BME963	Licensed Representative (Provisional Licence)	1, 4
ZHU Jun	BDI407	Licensed Representative (Provisional Licence)	9
ZHU Yisi	BML997	Licensed Representative (Provisional Licence)	2, 5
ZOU Yi	BMG901	Licensed Representative (Provisional Licence)	1, 4
AGRAWAL Anurag	BHN025	Licensed Representative (Temporary Licence)	1, 2
AHUJA Vivek Manohar	ARI512	Licensed Representative (Temporary Licence)	1
ALLEN Thomas Glassbrooke	BHD188	Licensed Representative (Temporary Licence)	1, 4
ANDERSON Gregory Paul	BMO234	Licensed Representative (Temporary Licence)	4
ANDREWS Christopher John	BMJ765	Licensed Representative (Temporary Licence)	1
ARCHIBALD Stuart James	BIJ836	Licensed Representative (Temporary Licence)	1
AREVALO Alejandro	BME537	Licensed Representative (Temporary Licence)	1
ARYA Vivek	BEN904	Licensed Representative (Temporary Licence)	1, 4
AUREL-SMITH Timothy Charles	BGO735	Licensed Representative (Temporary Licence)	1
AYABE Shunsuke	BEC905	Licensed Representative (Temporary Licence)	1
BABA Taichi	BAZ165	Licensed Representative (Temporary Licence)	1, 2



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
BAE Christopher Thomas	AQI792	Licensed Representative (Temporary Licence)	1, 4
BAGREE Madhusudan Prasad	BJC492	Licensed Representative (Temporary Licence)	1
BARATON Xavier Francois	AVC110	Licensed Representative (Temporary Licence)	1
BATHIJA Alok Anil	BGE896	Licensed Representative (Temporary Licence)	1
BHUTANI Ashish	ARA361	Licensed Representative (Temporary Licence)	1, 4
BISHOP Alastair Timothy	BFE366	Licensed Representative (Temporary Licence)	1, 4
BLASS Max Sunny	BMM138	Licensed Representative (Temporary Licence)	1, 4, 5
BRACKETT Robert Alan	BBA483	Licensed Representative (Temporary Licence)	4
BRENNAN Anthony Paul	BDU992	Licensed Representative (Temporary Licence)	4
BUCKLAND James Stephen	BGV232	Licensed Representative (Temporary Licence)	1
BURBANO Esteban	BLD950	Licensed Representative (Temporary Licence)	1, 4
CARTER JEREMY	BMM387	Licensed Representative (Temporary Licence)	10
CHADHA Dushyant	BIE137	Licensed Representative (Temporary Licence)	1
CHAKRABORTY Samiran	BGU585	Licensed Representative (Temporary Licence)	4
CHAN Simon Yuk Kwan	BCT212	Licensed Representative (Temporary Licence)	1, 4
CHEN Liji	BMO259	Licensed Representative (Temporary Licence)	1, 2
CHEN Wen-Chin	AVD899	Licensed Representative (Temporary Licence)	4
CHEONG Rebecca Ka Wing	BIQ011	Licensed Representative (Temporary Licence)	1
CHEUNG Kok Wah Edgar	BMO092	Licensed Representative (Temporary Licence)	1
CHIA Joseph Kuok Lit	BLS724	Licensed Representative (Temporary Licence)	1
CHIA Kiara Kim Szu Shyan	AVT057	Licensed Representative (Temporary Licence)	4
CHING Yi Edmund	AKU003	Licensed Representative (Temporary Licence)	4
CHOPRA Sameer	BAU420	Licensed Representative (Temporary Licence)	1, 4
COLANTUONI John Robert	BML112	Licensed Representative (Temporary Licence)	1, 4
CONSTABLE Anthony Joseph Peregrine	BFY119	Licensed Representative (Temporary Licence)	2
CORK Jenna Louise	AVS375	Licensed Representative (Temporary Licence)	1
COUGHLAN Thomas Jonathan	BMM126	Licensed Representative (Temporary Licence)	1, 2
CROSS Sebastien Andrew	BML626	Licensed Representative (Temporary Licence)	1, 4
CURTIS Jonathan Tourtellotte	BHP706	Licensed Representative (Temporary Licence)	1
DAI Min	BHQ112	Licensed Representative (Temporary Licence)	1, 4, 5
DAVIES Robert John	BMM340	Licensed Representative (Temporary Licence)	1, 4
DENOISEUX Vincent Yves Elisabeth Marie	BLF676	Licensed Representative (Temporary Licence)	1, 4
DEPAEPE Alexis Rene Christian	APF813	Licensed Representative (Temporary Licence)	1
DIETZ Martin Dietrich	BMJ748	Licensed Representative (Temporary Licence)	1
DIVERS Alan Richard	BIZ926	Licensed Representative (Temporary Licence)	1, 2



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
DONALD James Maitland	BFS964	Licensed Representative (Temporary Licence)	1, 4
DRAPER Daniel Eugene	ACP012	Licensed Representative (Temporary Licence)	1, 4
EL-HAKIM Faisal Ali	BLF129	Licensed Representative (Temporary Licence)	1, 4
EZAWA Kota	BDY402	Licensed Representative (Temporary Licence)	4
FAYVILEVICH GREGORY	BMN656	Licensed Representative (Temporary Licence)	10
FEDELI Fabiana	BMC997	Licensed Representative (Temporary Licence)	1
FERGUSON Graeme Aladar	BMO914	Licensed Representative (Temporary Licence)	10
FOSTER Derek Patrick Jack	ARU732	Licensed Representative (Temporary Licence)	1, 2
GARRE Venugopal	AML716	Licensed Representative (Temporary Licence)	4
GILBERT Benjamin Joseph William	AVB999	Licensed Representative (Temporary Licence)	4
GILLIS Ryan James	BMK620	Licensed Representative (Temporary Licence)	1, 2
GLAVIN Barry John	BMH808	Licensed Representative (Temporary Licence)	1, 4, 5
GLAZER Bruce Lawrence	BMQ169	Licensed Representative (Temporary Licence)	1, 4
GLOVER Anthony Stuart	BBL723	Licensed Representative (Temporary Licence)	4
GOSALIA Manan Ajay	BMM123	Licensed Representative (Temporary Licence)	1, 4
GOYAL Surendra Kumar	BEO301	Licensed Representative (Temporary Licence)	4
HALL Andrew Mark	BMO623	Licensed Representative (Temporary Licence)	1, 4
HARADA Jun	AOR374	Licensed Representative (Temporary Licence)	4
HARGIS Kent W	BAG066	Licensed Representative (Temporary Licence)	4
HARTING Morgan Clothier	AXT012	Licensed Representative (Temporary Licence)	1
HATADA Makoto	BML436	Licensed Representative (Temporary Licence)	4
HAYASHI Akifumi	BAL556	Licensed Representative (Temporary Licence)	4
HELPER Paul Douglas	ARF416	Licensed Representative (Temporary Licence)	1
HENCH William Anthony	AWF128	Licensed Representative (Temporary Licence)	1
HO Timothy	BMM135	Licensed Representative (Temporary Licence)	1, 4
HOCHFELD Kim	BKV728	Licensed Representative (Temporary Licence)	1
HOTTA Kenjin Tom	AZV542	Licensed Representative (Temporary Licence)	1, 4
HUCKER Brett Andrew	BHH564	Licensed Representative (Temporary Licence)	1
HUDSON Sarah Henrietta Elizabeth	BIT630	Licensed Representative (Temporary Licence)	1, 4
IBERG Roland Peter	BME580	Licensed Representative (Temporary Licence)	1
IKEDA Yusuke	BHB831	Licensed Representative (Temporary Licence)	1, 4
IRVING Victoria Lucy	BML108	Licensed Representative (Temporary Licence)	1, 4
ISRAELSSON Anders David	BML371	Licensed Representative (Temporary Licence)	1, 2
JANSEN Michael	BEI461	Licensed Representative (Temporary Licence)	1, 2
JOSEPH Arvin Sabu	BLP059	Licensed Representative (Temporary Licence)	1



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
KAPLOWITZ Andrew Alec	BME267	Licensed Representative (Temporary Licence)	4
KAROFISKY Robert B	ASX340	Licensed Representative (Temporary Licence)	1
KEDIA Sahil Sudhir	AZW848	Licensed Representative (Temporary Licence)	1, 4
KEDIA Sahil Sudhir	AZW848	Licensed Representative (Temporary Licence)	1, 4
KEUPPENS Jan Aloysius A.	BMG149	Licensed Representative (Temporary Licence)	1
KIKKO John	BFP833	Licensed Representative (Temporary Licence)	1, 2
KINOSHITA Yoshiyuki	BBL047	Licensed Representative (Temporary Licence)	1, 4
KIRK Natalie Marie	BMP052	Licensed Representative (Temporary Licence)	1
KOCH Jeremy Raine	BML137	Licensed Representative (Temporary Licence)	1
KOCH Trenton Godfried	BCT904	Licensed Representative (Temporary Licence)	1
KUMAR Neel	BMM341	Licensed Representative (Temporary Licence)	1, 4
KUSNADI Veronica	BCN530	Licensed Representative (Temporary Licence)	1
LANGLEY Toby Rowan	AUY970	Licensed Representative (Temporary Licence)	1, 4
LAUGHLIN Justin John	AWV278	Licensed Representative (Temporary Licence)	2, 5
LEAHY Timothy James	AWB788	Licensed Representative (Temporary Licence)	1
LEE Sangyup	BJD730	Licensed Representative (Temporary Licence)	1
LEE Su Sie	BFU417	Licensed Representative (Temporary Licence)	1, 4
LEE Tien Soon	BJT065	Licensed Representative (Temporary Licence)	1, 4
LEGGATE Douglas George Blyth	BCZ763	Licensed Representative (Temporary Licence)	1, 4
LESMANA Yulius	BHG072	Licensed Representative (Temporary Licence)	1, 2
LORD James Kenji	BAX712	Licensed Representative (Temporary Licence)	1, 4, 5
LUND Angus John	BJE671	Licensed Representative (Temporary Licence)	1, 4
LUO Yuan	BEZ807	Licensed Representative (Temporary Licence)	1
MA Beijia	BID097	Licensed Representative (Temporary Licence)	1, 4
MACDONALD Robert Cumming	ACF190	Licensed Representative (Temporary Licence)	1
MAHMOOD Saad	BMJ421	Licensed Representative (Temporary Licence)	1
MAJIMA Tatsuo	BHH066	Licensed Representative (Temporary Licence)	4
MAJOR Daniel Edward	BMP227	Licensed Representative (Temporary Licence)	4
MALCOLM James David	BJL213	Licensed Representative (Temporary Licence)	4
MANDEL Mark David	BMK027	Licensed Representative (Temporary Licence)	1, 4
MARSHALL Anita Shaw	BMK466	Licensed Representative (Temporary Licence)	1, 2
MARTIN Kristofer Aaron Terrell	BMN374	Licensed Representative (Temporary Licence)	1, 2
MAZOY SANCHEZ Jose Manuel	BIN599	Licensed Representative (Temporary Licence)	1
MC CLOSKEY Kevin Richard	BCU815	Licensed Representative (Temporary Licence)	4
MCLEISH Neil Alan	BAJ429	Licensed Representative (Temporary Licence)	1, 4, 5



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
MCNAY David John	BLV672	Licensed Representative (Temporary Licence)	1
MEIER Andreas Peter	BLI878	Licensed Representative (Temporary Licence)	1, 2
MIEDEMA Jeldert	BLF654	Licensed Representative (Temporary Licence)	1
MOBLEY Amina Erica	BJG670	Licensed Representative (Temporary Licence)	1, 2
MOHAN Wamsi	BHD332	Licensed Representative (Temporary Licence)	1, 4
MOORE Kyle Jowett	BMP979	Licensed Representative (Temporary Licence)	1
MORAN Seth James Edward	BBP361	Licensed Representative (Temporary Licence)	1
MORAN Seth James Edward	BBP361	Licensed Representative (Temporary Licence)	1
MORRISON Thomas James	AZT479	Licensed Representative (Temporary Licence)	1
MOUTHON Alexandre Remy	BCT481	Licensed Representative (Temporary Licence)	1
MULHOLLAND David Terence	BMJ692	Licensed Representative (Temporary Licence)	4
MURAKAMI Shuhei	BGZ147	Licensed Representative (Temporary Licence)	1, 4
NA PATTALOONG Natchutha	BIO006	Licensed Representative (Temporary Licence)	4
NAITO Takayuki	BMJ733	Licensed Representative (Temporary Licence)	4
NASH Mark Roderick	BMM195	Licensed Representative (Temporary Licence)	1
NAYAR Viswanath	BDP262	Licensed Representative (Temporary Licence)	1
NELESEN Joseph James	BFP351	Licensed Representative (Temporary Licence)	1, 4
NELSON Thomas Michael	BEG498	Licensed Representative (Temporary Licence)	4
OLOFSSON Johan Thomas Leonard	BMP980	Licensed Representative (Temporary Licence)	1
PARSONS David Richard	BMO311	Licensed Representative (Temporary Licence)	1
PAUKER Andrew Brown	BMM541	Licensed Representative (Temporary Licence)	1, 4
PERVEZ Ahsan	AJO361	Licensed Representative (Temporary Licence)	1, 4
PHELPS Mark Ivor	AZE639	Licensed Representative (Temporary Licence)	1
PODRUG Frane	BDH801	Licensed Representative (Temporary Licence)	1, 4
POLLACKOV Eric Mark	BIW001	Licensed Representative (Temporary Licence)	1, 4
PRIMEAU Daniel	BMP229	Licensed Representative (Temporary Licence)	1, 4
QUOIREZ Lorraine	BIE680	Licensed Representative (Temporary Licence)	4
RAGHUNATH Madhusudhan	BHL507	Licensed Representative (Temporary Licence)	1, 2
RAMJEE Shaniel	BFS335	Licensed Representative (Temporary Licence)	1
RASTOMJEE Cyrus Russi	ATS154	Licensed Representative (Temporary Licence)	1
RAYMOND Bryan Andrew	BGD474	Licensed Representative (Temporary Licence)	4
RICE Eric Marshall	BIJ005	Licensed Representative (Temporary Licence)	1, 4
ROUX Andre David	BMK460	Licensed Representative (Temporary Licence)	4
RUANGRIT Kraingkrai	ATI757	Licensed Representative (Temporary Licence)	1
RUDLAND Michael James	BJD156	Licensed Representative (Temporary Licence)	1



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
SALES Michael James	BEZ878	Licensed Representative (Temporary Licence)	1
SCHINDLER Nathaniel Holmes	BIG463	Licensed Representative (Temporary Licence)	1, 4
SEKI Atsushi	BIB389	Licensed Representative (Temporary Licence)	4
SHETTY Nathan Sunil	BKM662	Licensed Representative (Temporary Licence)	1, 4
SHIBANO Nozomi	AVI061	Licensed Representative (Temporary Licence)	4
SILVIA Dawn Sarni	BML380	Licensed Representative (Temporary Licence)	1, 4
SINGER Darian Aaron	BLN157	Licensed Representative (Temporary Licence)	1, 4, 6
SINGER David Antony	AWS936	Licensed Representative (Temporary Licence)	1
SOOD Piyush	BMM546	Licensed Representative (Temporary Licence)	1, 4
STAFFORD Craig Martin	ATC363	Licensed Representative (Temporary Licence)	4
STAVLIOTIS John	BFL347	Licensed Representative (Temporary Licence)	1, 4
SUGIMURA Yasuharu	BCB789	Licensed Representative (Temporary Licence)	1
SUZUKI Takayuki	AXL566	Licensed Representative (Temporary Licence)	1, 2
SZABO Jenő	BMP295	Licensed Representative (Temporary Licence)	1, 4
TAKADA Junko	BMN433	Licensed Representative (Temporary Licence)	1
TAKAYA Masanori	BHK208	Licensed Representative (Temporary Licence)	1
TAKEUCHI Kazufumi	AYA032	Licensed Representative (Temporary Licence)	4
TAKIKAWA Shoji	AVW681	Licensed Representative (Temporary Licence)	1
TECHAWATANAWANA Atthaka	BLK087	Licensed Representative (Temporary Licence)	1, 2
TOLLARDO Neri	BMG825	Licensed Representative (Temporary Licence)	1, 4
TOMLIN Owen	ALI374	Licensed Representative (Temporary Licence)	1
TROMAN Mark Antony	BAJ469	Licensed Representative (Temporary Licence)	1, 4
TRUELOVE Keith Alan	AWF626	Licensed Representative (Temporary Licence)	1
VINCENT Timothy Francis	BMN425	Licensed Representative (Temporary Licence)	1, 4
WANG Junxiu	BMN378	Licensed Representative (Temporary Licence)	1
WANG Peng Yu	BKO879	Licensed Representative (Temporary Licence)	1, 4
WARD Martin John	BMJ422	Licensed Representative (Temporary Licence)	1
WATANABE Hideharu	BHC546	Licensed Representative (Temporary Licence)	1
WATSON Adam James	BCY110	Licensed Representative (Temporary Licence)	1, 4
WELCH Michael Godfrey	BGN066	Licensed Representative (Temporary Licence)	4
WELLS Justin Derek	BGK594	Licensed Representative (Temporary Licence)	1
WEN Chung-Yee	AXL994	Licensed Representative (Temporary Licence)	1
WOLF Ryuji Rafael	BLN156	Licensed Representative (Temporary Licence)	1, 4, 6
WU You	BMO958	Licensed Representative (Temporary Licence)	1, 4
YADAV Prashant	BKC039	Licensed Representative (Temporary Licence)	1

**REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2018**

CE Name	CE No.	Licence Type	Types of Regulated Activity
YAKAVONIS Courtney Ann	BMO936	Licensed Representative (Temporary Licence)	1, 4
YAMADA Shusuke	BJG468	Licensed Representative (Temporary Licence)	1, 4
YAMAMURA Junko	BES915	Licensed Representative (Temporary Licence)	4
YANG Xiaoxi	BLU778	Licensed Representative (Temporary Licence)	1, 2
YIN Jun	BFN301	Licensed Representative (Temporary Licence)	1
YIN Jun	BFN301	Licensed Representative (Temporary Licence)	1
YUEN Yan Chi	BIG336	Licensed Representative (Temporary Licence)	5
ZHANG Fengzhan	BJR677	Licensed Representative (Temporary Licence)	4
ZHU Yirong	BMQ764	Licensed Representative (Temporary Licence)	4