



VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2017

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
ChaoShang Securities Limited	BGH629	Licensed Corporation	2	
HGNH International Securities Co., Limited	BBT518	Licensed Corporation	4	
Mason Futures Limited	AAG007	Licensed Corporation	5	
Merrill Lynch (Asia Pacific) Limited	AAC198	Licensed Corporation	5	
Prudence Investment Management (Hong Kong) Limited	ATB478	Licensed Corporation	1	
Sapiens Technologies Limited	BGD869	Licensed Corporation	1	
Value Partners Hong Kong Limited	AFJ002	Licensed Corporation	2	
Value Partners Limited	ABN759	Licensed Corporation	2	
CAI Peiye	BFS140	Licensed Representative		1
CAPELVENERE Riccardo	BCS918	Licensed Representative		1
CHAN Chau Kam	BHP654	Licensed Representative	1	
CHAN Christopher Hanlin	AZW925	Licensed Representative	9	1, 2
CHAN Hei Wai Henry	BAH822	Licensed Representative	1	
CHAN Ka Ho	AWF460	Licensed Representative	4	
CHAN Kam Fai, Gilfred	BFP142	Licensed Representative	4	
CHAN Kin Pong, Matthew	ARR346	Licensed Representative	4	
CHAN Lai Shan	BIS306	Licensed Representative	2	
CHAN Pak Sun	BII618	Licensed Representative	6	
CHAN Shing Yan	AGE665	Licensed Representative	4	
CHAN Sin Ming	AEL808	Licensed Representative		4, 9
CHAN Tin Shun	BIN234	Licensed Representative	2	
CHAN Tsz Kwan	BBT522	Licensed Representative	4, 9	
CHAN Wai Chi Esmond	AVY495	Licensed Representative	4	
CHAN Wai Ki	BFP688	Licensed Representative	4	
CHAN Wai Yin	AMS298	Licensed Representative		1, 2
CHAN Yan Lung	AUX220	Licensed Representative		1
CHAN Yim Yim	AJD859	Licensed Representative	1, 4	
CHAN Yu Him, Ronald	BDZ174	Licensed Representative	4	
CHAU Lok Yuen, Amy	AQF112	Licensed Representative		6
CHAU Suk Ling	APJ233	Licensed Representative	9	
CHEN Haohang	BFV929	Licensed Representative	4, 9	6
CHEN Hongjie	BGZ955	Licensed Representative	1	
CHEN Liye	AXF909	Licensed Representative	4	
CHEN Yi Ru	ALP979	Licensed Representative		4
CHEN Yiwu	BHL998	Licensed Representative	6	
CHEN Yu	BFD957	Licensed Representative		1
CHEN Yulong	BGX255	Licensed Representative	1, 4	
CHENG Ho Ming	BCQ328	Licensed Representative	2, 4, 9	
CHENG Sze Chung, Henry	BGY653	Licensed Representative		2
CHENG Tsz Tsun	APP183	Licensed Representative	4	2
CHENG Wai Leung	ABH991	Licensed Representative	2	1
CHEUNG Chee Him, Vincent	AWV265	Licensed Representative		1, 9
CHEUNG Hoi Ting	BIR755	Licensed Representative		1, 4, 9
CHEUNG Ka Po, Sammy	AOY581	Licensed Representative		4
CHEUNG Siu Keung	BFK706	Licensed Representative	2	
CHEUNG Sze Ying, Skylar	BHY943	Licensed Representative	9	
CHIEN Li-Min	APR338	Licensed Representative	9	
CHING On Pong, Caspar	BDU954	Licensed Representative	1	
CHIU Chung Che, Francis	AEO988	Licensed Representative		1
CHIU Kit	BHK613	Licensed Representative	1	
CHIU Shih-Liang	AOB284	Licensed Representative		1
CHOW Chun Kit	ALI715	Licensed Representative	1	
CHOW Douglas Ding-Kay	AJL423	Licensed Representative	1	
CHOW Ho Sing	BBS501	Licensed Representative		2, 3
CHU Chun Shing	BGD147	Licensed Representative		3, 9
CHU Hon Man	BDO839	Licensed Representative	9	
CHU Shek Chiu	AXF395	Licensed Representative		2



VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2017

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
CHU Tak Long	AGG942	Licensed Representative	4, 9	
CHU Wai Leung	ANX192	Licensed Representative		2, 9
CHUN Chi Tsing	AY1856	Licensed Representative	4	
CHUNG Josephine	AFL982	Licensed Representative		1
DENG William Shijun	BGT750	Licensed Representative		4
DENG Yunyi	BBP845	Licensed Representative		1, 5
DU Yanyi	AGB123	Licensed Representative		1
FANG Wei	AOT337	Licensed Representative		2, 9
FONG Yee Tat	AUP910	Licensed Representative	4	
FRIES JR Donald Brian	BDW962	Licensed Representative	1, 2	9
FUNG Man Yat	BHG940	Licensed Representative	2	
GOKHALE Prashant Achyut	AGO373	Licensed Representative	1	
HAN Dongjin	BDV099	Licensed Representative	9	1, 6
HO Cheong Yuen	BFE789	Licensed Representative	1	
HO Chi Hang, Jeffrey	AUZ020	Licensed Representative	9	1, 2
HO Shu Shun	AGV081	Licensed Representative		2
HO Ting Fung	BHS033	Licensed Representative		1
HO Tsun Yu	BCN406	Licensed Representative	9	
HO Wai Fat	AQE681	Licensed Representative		4
HO Yan Lam	AVJ407	Licensed Representative		1
HONG Kam Kit Eddie	ATX920	Licensed Representative		1, 4
HUANG Chao	ASF493	Licensed Representative	4	
HUANG Jie	BBD156	Licensed Representative		1
HUANG Ling Kit	AVA555	Licensed Representative	4, 9	
HUI John	AFU688	Licensed Representative	2	
HUI Wai Yung	AZW930	Licensed Representative	4	
HUNG Ho Lam	APK784	Licensed Representative		2
HUNG Kai Wah	AAZ491	Licensed Representative	2, 3	
HUNG Kwong Ngai	AEM105	Licensed Representative	2, 6	
HUNG Yan Ying Alice	BIT668	Licensed Representative	4	
JEHLE Philip	ABV920	Licensed Representative		9
JIANG Xiang	BDJ974	Licensed Representative		1
JIANG Yan	AXG208	Licensed Representative	5	
KAM Wai Man	AEI210	Licensed Representative		4
KHOO Terence Boo Kheng	ACZ723	Licensed Representative		1, 4
KO Chi Kwan	AHR140	Licensed Representative		2
KO Li An Lyon	BIY683	Licensed Representative	9	
KONG Kwun Lau	BAN204	Licensed Representative		3
KOTECHA Vikesh	AUA341	Licensed Representative	2	
KWONG Ka Ho	BIG236	Licensed Representative		1
LAI Chung Cheong	ADZ903	Licensed Representative		1, 2
LAI Hei Wilfred	BGS924	Licensed Representative	6	2
LAI Iris Chin Yan	BAU634	Licensed Representative	1	
LAI I-Ting	APB414	Licensed Representative	2	
LAI Tsun Kit	AYJ279	Licensed Representative	2	
LAM Cheuk Ming	BCU804	Licensed Representative		2, 4
LAM Chun Yuk	ARR348	Licensed Representative	4	
LAM Kwong Ho	BIS542	Licensed Representative	4	
LAU Ka Keung	BIT629	Licensed Representative	2	
LAU Kwun Shing	AYG096	Licensed Representative		1
LAU Tat Yan	AEZ136	Licensed Representative		2
LAU Wai Ming, Patrick	AFM339	Licensed Representative	4, 9	
LEE Chi Ho	AQJ262	Licensed Representative	1	
LEE Fung Chuen	AZJ743	Licensed Representative	2	3
LEE Geng Rong	ACB022	Licensed Representative		2
LEE Kar Ming, Kenneth	AED932	Licensed Representative	1, 4	9
LEE Kuo Wei, Frank	BGI554	Licensed Representative	4	1



VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2017

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
LEE Tsz Yan	BCK322	Licensed Representative		2
LEPINE Julien Paul Manuel	BCE036	Licensed Representative	1	5, 9
LEUNG CHAN Jaime	AOA960	Licensed Representative		2, 4, 5, 9
LEUNG Chi Hang	AWQ289	Licensed Representative	2	
LEUNG Chiu Cheong	AAI489	Licensed Representative	2	
LEUNG Chun Wai	BBN465	Licensed Representative	2	
LEUNG Ho Fai	BHC867	Licensed Representative		4
LEUNG Koon Kiu	AWK392	Licensed Representative	9	
LEUNG Ming Hin	BIZ869	Licensed Representative	2	
LEUNG Tat Lung	AJN767	Licensed Representative		2
LEUNG Wai Chuen, Sdentent	AAK254	Licensed Representative		2
LEUNG Wai Wa, Terry	AOJ441	Licensed Representative		2, 4
LEUNG Yu Hin	AZX593	Licensed Representative		4
LI Canhuang	BHX641	Licensed Representative	2	
LI Cheuk Pong Marco	BEF673	Licensed Representative		4
LI Kin Chung	AXP334	Licensed Representative	9	
LI Rui	BGA836	Licensed Representative		1
LI Shuangxing	BGC184	Licensed Representative		1
LI Wai Chiu	BFK690	Licensed Representative	2	
LI Xiaoxiao	AYP082	Licensed Representative	2	
LIANG Liang	AUM947	Licensed Representative		4
LIN Chi Hing	BIY468	Licensed Representative		6
LIN Chen	AZJ113	Licensed Representative	4	
LIN Ning	ASF476	Licensed Representative	1, 4	
LIN Shih Yi	BDQ824	Licensed Representative	4	
LING Wai Yan, Erica	AXM306	Licensed Representative		4
LIU Jun	AVM464	Licensed Representative	1	
LIU Peiyuan	BGN053	Licensed Representative	1, 9	
LIU Wenyi	BHF458	Licensed Representative	9	1, 2
LO Siu Wan	BIH645	Licensed Representative	2, 5	
LO Tin Po	ADN296	Licensed Representative		1, 2, 4
LOO Shu Sonic	BFJ585	Licensed Representative	2	5, 9
LOU Jiayi	BEV723	Licensed Representative		6
LU Zhuo Huan	BFW356	Licensed Representative	4	
LY Chau Tuong	AWZ062	Licensed Representative	1	
MA Wai Man, Isabella	API083	Licensed Representative	4	
MAO Qiyu	BAC049	Licensed Representative	5, 9	
MEIKLE Neil	BEH970	Licensed Representative		9
MOK Hiu Lam	AJE368	Licensed Representative	4	
NG Ching Yin	ARS551	Licensed Representative	2	
NG Shui Cheong	AQD381	Licensed Representative	9	
NG Siu Him	BHW861	Licensed Representative	9	
OKITA Bradley	APH490	Licensed Representative		1
OUYANG Huizi	BFR099	Licensed Representative		1
PAN Hao	BBT506	Licensed Representative	9	
PAN Jianhui	BBJ795	Licensed Representative		4
PAN Qi	BBV884	Licensed Representative		1
PANG Chun Wai, Tommy	APV527	Licensed Representative		2, 4, 5
PANIKKAR Kesava Rajat	AVQ218	Licensed Representative		4
PETERMANN Stephane Albert	AXZ945	Licensed Representative		7
PO Kwong Chiu	AFK747	Licensed Representative	9	
SHAO Ying	BBA771	Licensed Representative	4, 9	
SHUM Yuen Fai	AXG206	Licensed Representative		2
SI Tingting	AYB999	Licensed Representative	1	
SIN Ka Wai	BDL790	Licensed Representative		2
SO Hin Pong	AAF507	Licensed Representative		2, 3, 5, 6
SO Hing Fai	BDQ948	Licensed Representative	4	



VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2017

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
SO Po Ching	ALD639	Licensed Representative	1	
SONG Zhengying	BDQ750	Licensed Representative	4	
SOO Chi Kit	AOI967	Licensed Representative	2	
SUN Yuting	BGM616	Licensed Representative	4, 9	1, 2
TAN Shuyue	AWP011	Licensed Representative	4	
TANG Hao	AOL324	Licensed Representative		4, 6
TANG Lihui	AQI649	Licensed Representative		1
TANG Loi Sik	BFX684	Licensed Representative	1	3
TANG Thomas Yaw Fay	ARH348	Licensed Representative		1
TING Kam Sing	AON779	Licensed Representative		1
TING Wai Lok	BAG338	Licensed Representative	4	
TONG Leung Sang	AAV322	Licensed Representative		2
TONG Sarah Wing Sang	BGE601	Licensed Representative	1	
TSANG Nap Yin	APR021	Licensed Representative		5
TSE Hiu Yu	AUG364	Licensed Representative	4	2
TSE Yat Kei	AOG833	Licensed Representative	9	
TSOI Ha Chun Roman	BJB404	Licensed Representative	2, 5	
WADE John Howard	BEG040	Licensed Representative	6	
WANG Alan	AJK319	Licensed Representative		4
WANG Shuang	BEZ808	Licensed Representative		4
WANG Xinqi	BFS800	Licensed Representative		2
WANG Zhe	BFG506	Licensed Representative	4	1, 2
WENG Youyi	AVV680	Licensed Representative		1
WONG Calvin Su-chi	BBU724	Licensed Representative	9	1
WONG Cheung Ki, Parish	ABY243	Licensed Representative	1	4, 9
WONG Chi Hung	BJB187	Licensed Representative	2, 5	
WONG Chor Ming	AXD243	Licensed Representative		4
WONG Ho Keung	BIV025	Licensed Representative	2	
WONG Hung Cheong	BGK607	Licensed Representative	4, 9	
WONG Ka Ching	AGI520	Licensed Representative		4, 9
WONG Ka Kin, Michael	AQI872	Licensed Representative	2	
WONG Kam Wah	AHG506	Licensed Representative	1	
WONG Kar Fung, Kenneth	APL374	Licensed Representative	1	
WONG Man Lee	BIA103	Licensed Representative	4, 5	
WONG Sally Yat Sze	ASO411	Licensed Representative	4, 5	
WONG Shing Kit, Shirley	APL786	Licensed Representative	2	
WONG Siu Ming	BGB205	Licensed Representative	9	
WONG Wai Ming, Jenny	BEB588	Licensed Representative		1
WONG Yun Sum	BAA672	Licensed Representative		4
WOO Ka Wing	AND602	Licensed Representative		2
WOO Kai Wing Ray	AVM639	Licensed Representative	2	
XIAO Ping	BGE458	Licensed Representative	6	
XIAO Yaojun	BBF281	Licensed Representative	9	2
YAM Chak Sun	AYG286	Licensed Representative	1, 4	
YANG Tianming	BGV751	Licensed Representative	2	
YAO Yulin	AQI648	Licensed Representative		1
YAU Jonsy	BIM930	Licensed Representative	1	4, 9
YAU Wai Ching, Vincent	AYB009	Licensed Representative	4	
YEUNG Chee Yung	AFB597	Licensed Representative	4	
YIP Teen Hang	AWQ104	Licensed Representative	4, 9	6
YIU Ka Lam	APP686	Licensed Representative		1
YU Adrienne Jia Yan	ARQ478	Licensed Representative		1
YU Kam Chiu William	BDV879	Licensed Representative		1
YU Pak Lun	BBL881	Licensed Representative		3
YU Qiurong	BBW054	Licensed Representative		2
YU Tsz Kam	AXO649	Licensed Representative		3
YU Wai Chiu	AXI616	Licensed Representative	6	



VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2017

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
YU Wan Fung	BEJ358	Licensed Representative	9	
YUEN Man Ho	BAK282	Licensed Representative	4, 9	
YUNG Tze Mei, Dorothy	AJN060	Licensed Representative	4	
ZHANG Hao	BGV708	Licensed Representative	4	
ZHANG Heather Heqian	BBJ209	Licensed Representative	9	1
ZHANG Qiancheng	BFE244	Licensed Representative	6	2
ZHANG Yuchang	BBF379	Licensed Representative	9	6
ZHANG Zhibin	BBT483	Licensed Representative	1	
ZHAO Jiayang	BET323	Licensed Representative	1	
ZHENG Yingying	AVK954	Licensed Representative	1	
ZHONG Xuying	AVO596	Licensed Representative	6	
ZHOU Xikun	BGH805	Licensed Representative	4	
ZHOU Yunlong	BAE838	Licensed Representative	4	
ZHU Weishun	BEZ152	Licensed Representative	4	6
AU Kwong Hoi	ABM765	Licensed Representative - Responsible Officer	2, 4, 9	
CHAN Woon Yew, William	ALJ813	Licensed Representative - Responsible Officer	5	
CHEAH Cheng Hye	AAM308	Licensed Representative - Responsible Officer	2	
CHEN Bo Long	APJ189	Licensed Representative - Responsible Officer	5	
CHENG Kai Man, Raymond	AHC103	Licensed Representative - Responsible Officer	5	
CHIEN Li-Min	APR338	Licensed Representative - Responsible Officer	1	
CHIU Chung Che, Francis	AEO988	Licensed Representative - Responsible Officer	4, 9	
CHIU Shih-Liang	AOB284	Licensed Representative - Responsible Officer	9	
CHONG Ka Yee	AME715	Licensed Representative - Responsible Officer	5	
CHUNG Josephine	AFL982	Licensed Representative - Responsible Officer	4, 9	
DING Liqi	AYJ941	Licensed Representative - Responsible Officer	4	
HO Hin Sing, Hanson	AMI554	Licensed Representative - Responsible Officer	4	
HO Man Kei	AAZ906	Licensed Representative - Responsible Officer	2	
HUANG Jie	BBD156	Licensed Representative - Responsible Officer	9	
KWAN Chung Yan	AFQ218	Licensed Representative - Responsible Officer	2	
KWOK Ying Cheuk	AKU150	Licensed Representative - Responsible Officer	4, 9	
LAM Fat, Charles	AGQ583	Licensed Representative - Responsible Officer	4	
LAM Mei Kwan, Bowie	AAV921	Licensed Representative - Responsible Officer	2	
LEE Chee Khoon	BDB922	Licensed Representative - Responsible Officer	4	
LEE Wilson Tsz Kin	ACX185	Licensed Representative - Responsible Officer	1	
LEI Zhongren	ASS916	Licensed Representative - Responsible Officer	1	
LEUNG Wai Chuen, Sdentent	AAK254	Licensed Representative - Responsible Officer	4	
LI Chi On	ADQ161	Licensed Representative - Responsible Officer	4	
LING Man Ping	AZB304	Licensed Representative - Responsible Officer	4	
LIU Qian	ATB480	Licensed Representative - Responsible Officer	1	
LU Clark Chia-chueh	AFE990	Licensed Representative - Responsible Officer	4	
PANG Kwok Kit, Kenneth	AEO731	Licensed Representative - Responsible Officer	7	
RAJPAL Amit	AEY336	Licensed Representative - Responsible Officer	1	
SAHU Vikram Prem Kumar	BHB674	Licensed Representative - Responsible Officer	5	
SHEN Jian Yu	AQK256	Licensed Representative - Responsible Officer	1	
TSE Man Chi, Bonnie	ADM298	Licensed Representative - Responsible Officer	1	
WONG Ka Ching	AGI520	Licensed Representative - Responsible Officer	1	
WONG Kai Kok	ACT922	Licensed Representative - Responsible Officer	1, 4	
XIONG Minan	AYW263	Licensed Representative - Responsible Officer	1, 4	
YIU Ka Lam	APP686	Licensed Representative - Responsible Officer	9	
ZHANG Zhibin	AYT695	Licensed Representative - Responsible Officer	1	
CHAN Ka Fung	BJG131	Licensed Representative (Provisional Licence)	9	
HO Oi Yee, Margaret	ALP937	Licensed Representative (Provisional Licence)	2	