

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PER			I	T
CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
E D & F Man Capital Markets Hong Kong Limited	BBD066	Licensed Corporation		1
Fruit Tree Securities Limited	BGX710	Licensed Corporation	4	
Gayang Securities Limited	AFW106	Licensed Corporation	9	
Joyee International Asset Management Co. Limited	BHV354	Licensed Corporation	4	
Righteous Capital Limited	BHN808	Licensed Corporation	1, 4	
AN An	BHK900	Licensed Representative	1	
AU Kam Pui, Clarence	AHC169	Licensed Representative	2	
BHAGAVATH Sunil Sudhakar	BDR859	Licensed Representative	2, 5	
BYRNE Anthony John	AXT652	Licensed Representative	9	1, 2, 4, 5
CHAI Jun	BID608	Licensed Representative	4, 5, 9	
CHAN Charmaine Ching Man	BBJ888	Licensed Representative		9
CHAN Ho Lam, Ronald	BAR258	Licensed Representative	2	
CHAN Ka Ming	BAK267	Licensed Representative		4
-	AFC960	Licensed Representative	1	5, 9
CHAN King To, Ronald	AHF243	Licensed Representative	1, 9	5, 5
CHAN King Yuet		·		
CHAN Kwok Wai	ARE605	Licensed Representative	3	
CHAN Man Li	BEF802	Licensed Representative	9	
CHAN Pak Sun	BII618	Licensed Representative	4, 9	6
CHAN Ping Lit, Victor	AEZ466	Licensed Representative	9	1, 2
CHAN Ting Yan	BLK726	Licensed Representative	4	
CHAN Tsz Hon	ANW417	Licensed Representative		1, 2, 4
CHAN Tsz Man	AXP990	Licensed Representative		1
CHAN Wing Lam Linda	ANA238	Licensed Representative		2
CHEN Bin	BIH025	Licensed Representative	1	
CHEN Shujin	BDZ961	Licensed Representative	4	
CHEN Siguo	BAE279	Licensed Representative	1, 9	
CHEN Wan	ALV331	Licensed Representative	4	
CHEN Wen-Liang	BHE181	Licensed Representative	9	
CHEN Ying	AYR997	Licensed Representative	4	
CHEN Yujia	BIH327	Licensed Representative	1	
CHENG Jazreel Yeun Yee	AHT093	Licensed Representative	4	
CHENG Sharon	BFB173	Licensed Representative		2, 4
CHENG Yuan	BBR221	Licensed Representative	9	2
CHEUNG Hung Fei	BGY944	Licensed Representative	4	
-	BGB954	·	*	1, 4
CHEUNG Kai Ming		Licensed Representative		
CHEUNG Man Yu	ADV638	Licensed Representative		9
CHEUNG Wai Ching, Anthony	AEC908	Licensed Representative	1, 2, 4	
CHOI Chun Fat, Anderson	ANA716	Licensed Representative	2, 5	
CHOW Kam Ho	BLC130	Licensed Representative	4	
CHOW Kwok Cheung, Tony	AAE445	Licensed Representative	4	
CHOW Leung Chi	BFY425	Licensed Representative		2, 3
CHOW Sze Wan	AIJ676	Licensed Representative	2	4, 5, 9
CHU Chun Man	ASZ711	Licensed Representative	4	
CHU Lei Mei	AWJ929	Licensed Representative		9
CHUNG Ho Yee	BHH748	Licensed Representative		4
CHUNG Tony	ACJ250	Licensed Representative	2, 5	
CHUNG Wai Shing	AMF222	Licensed Representative	9	1, 6
DAVIES Chad Matthew Francis	BGV499	Licensed Representative	2, 9	
DE SOUZA Matthew Dylan	BFA918	Licensed Representative	9	
DICKS Lili	BGU589	Licensed Representative	4	
DONG Wei	BEM064	Licensed Representative	9	4, 5
DU Yunfan	AVS847	Licensed Representative	4	
EOM Ui Lim	AXN892	Licensed Representative	4	
FAN King Yip Felix	AXC707	Licensed Representative	9	
	BFX876		2	
FAN Raymond Chung Yan		Licensed Representative		
FENG Shiran	ARJ059	Licensed Representative	2	
FONG Chin Kong, Jason	ADT434	Licensed Representative		1



VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PER	T	RED INCTITOTIONS DOMING 12/2017		
CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
FONG Man Kin	APF454	Licensed Representative		2
FONG Siu Yat	AFA176	Licensed Representative		2, 5
FONG Wai Lok, Raymond	AFT306	Licensed Representative	4	
FORCIOLI Mathieu Jean	AYP973	Licensed Representative	9	
FU Chak	BJL314	Licensed Representative	1	4
FUJISAKI Daisuke	AVR204	Licensed Representative	9	
GAO Yijia	BEL955	Licensed Representative	9	
GENG Beijia	BIB699	Licensed Representative	2, 4	
GUO Xinxin	BEM326	Licensed Representative		4
GUPTA Sankalp	BCC833	Licensed Representative		1
HAR Wilson Wai Shun	BBT689	Licensed Representative	2	
HASAN Safaat	BDE810	Licensed Representative	4	
HE Xin	AWN877	Licensed Representative	1, 4	
HE Yao	AYR359	Licensed Representative	1, 4	
		Licensed Representative	1, 4	2, 3
HO Wai Man, Raymond	AGG546	·		2, 3
HO Yui Him	BGB436	Licensed Representative	4	
HU Chao	BGX227	<u> </u>	9	
HU Lingxi	BIE143	Licensed Representative		4
HUA Zhenyu	BGF983	Licensed Representative	4	
HUANG Jialin	BJI242	Licensed Representative	4	
HUANG Jingheng	BJQ666	Licensed Representative	2	
HUI Ka Yeung, Matthew	BGN150	Licensed Representative	2, 4	
HUI Wai Yan, Kelly	APZ793	Licensed Representative		1
IAN Ho On	BIX779	Licensed Representative	2	
JAMES Alun Richard	BBU307	Licensed Representative	2	
JEON Woonki	BFT921	Licensed Representative	1, 2, 4, 5	9
JIANG Peng	BFE243	Licensed Representative		1
JIANG Xiang	BDJ974	Licensed Representative	1	2
JIN Yuanjie	BIS262	Licensed Representative	4	
KAM Wing Shu, Stephen	AAI338	Licensed Representative		3
KANG In Muk	ANG413	Licensed Representative		9
KIBBE Keith	BFV938	Licensed Representative		1, 4
KIM Min	BHC342	Licensed Representative	4	,
KIM Yong Moon	AEW096	Licensed Representative		4
	ALF942	<u> </u>		4
KO Oi Na		Licensed Representative		
KUO Shu Chen	BIV833	Licensed Representative	•	2, 4, 5
KWAN Kar Ching	BFE813	· · · · · · · · · · · · · · · · · · ·	9	
KWAN Ying	BBN347	Licensed Representative	1	
LAI Pei Chung	ANW203		4	
LAI Yiu Chung	BEV720	Licensed Representative	1	
LAI Yu Hin	BBY488	Licensed Representative	1, 3, 4	
LAM Chi Hung	AGX704	Licensed Representative	1	
LAM Hoi Yan, Karen	AEY346	Licensed Representative	4, 9	
LAM Kar Yee, Kelly	APG511	Licensed Representative	4	
LAM Lai Kwan	AXC908	Licensed Representative	1	
LAM Wai Hong, Edward	AFU656	Licensed Representative		2, 3, 5
LAM Wai Shing	AYJ113	Licensed Representative	2, 5	
LAN Chen-yu	BGO322	Licensed Representative	2	
LAU Chak Lam	ABU297	Licensed Representative		2, 9
LAU Hiu Wai	AOD527	Licensed Representative		3
LAU Ka Hei	BAZ979	Licensed Representative	4	
LAU Ping Yau	AFT494	Licensed Representative		2
LAU Wing Hong	AAC947	Licensed Representative		5
LAU Yan Kit	ARI646	Licensed Representative	4	2, 3
LAW Cheuk Chung	BGQ367	Licensed Representative		5
	APH953	Licensed Representative		1, 2, 4
LAW Ka Fai		·	4	1, 4, 7
LAW Kit Ching	ASH464	Licensed Representative	7	



VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PER			1	T
CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
LAW Long Hei Adrian	BIQ843	Licensed Representative		4, 5
LEE Man Kit	APM126	Licensed Representative		7
LEE Suk Wah, Inessa	ACH127	Licensed Representative		4
LEE Vizor	AWF319	Licensed Representative	1	
LEE Yee Man, Judith	AJB233	Licensed Representative		4
LEE Yuk Nam, William	ABF182	Licensed Representative	4	
LEI Ho Chun	ATY002	Licensed Representative		1, 3, 9
LEUNG Cheuk Kuen	BIB355	Licensed Representative	1	
LEUNG Christopher Lian-ben	BBE174	Licensed Representative		2, 9
LEUNG Chun Hei, Matthias	ARE214	Licensed Representative	9	
LEUNG Ka Ming	BJU601	Licensed Representative	2	
LEUNG Pui Ling, Polly	AJB855	Licensed Representative	4	
LI Jing	AOV618	Licensed Representative	7	
LI Min	ASU506	Licensed Representative	1, 6	
LI Qiang	BAQ944	Licensed Representative	1	
LI Wai Lun	BFT930	Licensed Representative	9	
	AJE758	Licensed Representative		3
LI Wai Ming	BFX730		1	4
LI Xunming		Licensed Representative		4
Li Yunlu	BET994	Licensed Representative		4
LI Zheng	AXW275	Licensed Representative	1	
LI Zhenyu	AKN225	Licensed Representative	2	
LIAO Wei	AYJ548	Licensed Representative		4, 5
LIM Fei Yan	BEA786	Licensed Representative	9	
LIN Jinrong	BEI714	Licensed Representative	6	
LIU Jiangyue	BEZ909	Licensed Representative	4	
LIU Kit Ling	AUI936	Licensed Representative	9	
LIU Po Wen	ATL504	Licensed Representative	1	9
LIU Tak Ho	BHG219	Licensed Representative	2	
LIU Xiangting	BDL783	Licensed Representative	4	
LIU Xiaoji	BEN198	Licensed Representative	2	
LIU Zhongwen	BID794	Licensed Representative	1, 6	
LO Wai Kiu	AYI485	Licensed Representative	4, 9	
LOU Chao	AYR613	Licensed Representative	1	
LU Jiayi	BEZ119	Licensed Representative		2
LU Lining	BGQ272	Licensed Representative		1, 9
LUI Kar Wong, Dennis	AFM600	Licensed Representative	4, 9	
LUI Sung Hing	BEZ147	Licensed Representative	2	
LUK Tan	BJV581	Licensed Representative	4, 9	
LUO Qiwen	BIE311	Licensed Representative		1, 4
MA Hing Lam	AAH838	Licensed Representative	2	,,,
MA Wan Ki Karsic	BJO062	Licensed Representative	4	
	BJZ738	Licensed Representative		4
MA Xuga, lia	BKP540	Licensed Representative	2, 5	
MADANAC Clare It linears		Licensed Representative	-, ·	7
MACNE Sideria Diagra Augusta	ARD958	·	6	'
MAGNE Sylvain Pierre Aymeric	BEX060	Licensed Representative	6	
MAK Cheuk Man	BAK387	Licensed Representative	4	
MAK Tsz Fung	BGL256	Licensed Representative	_	1
MASCOLO Fabiano Hugues Joseph	ANE267	Licensed Representative	9	
MEEPETCHDEE Yongyut	AXV892	Licensed Representative	4	
MENG Yuan	BCG394	Licensed Representative	9	
MO Li	BEX250	Licensed Representative		4
MO Mei Ling	AZY143	Licensed Representative	9	
MU Hsuan Jui	BGR452	Licensed Representative	2	
NG King Sum	BFA285	Licensed Representative	1	
OH Min Suk	BJO636	Licensed Representative	4	
PAN Dengke	BIC438	Licensed Representative	4, 9	2, 3
PANG Chi Chung, Samson	ABB259	Licensed Representative	1	
<u> </u>	•		•	



VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PER			T	T
CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
PANG Sin Yee, Sheila	ANQ371	Licensed Representative	4	
PANG Wing Yi	APH599	Licensed Representative	2	4, 9
POON Wai Kit	BGZ457	Licensed Representative	1, 4	
QIAN Shanjing	BBM291	Licensed Representative	9	
SEN Tung Ning	AFI668	Licensed Representative	1	
SHAH Rajan Hemendra	BLC790	Licensed Representative	9	
SHARMA Vikas	AFH090	Licensed Representative	2, 5	
SHAW Natalie Ann	AGB890	Licensed Representative	4	
SHEN Fangzheng	BHZ444	Licensed Representative		1, 4
SHIMAMOTO Arisa	BGM560	Licensed Representative	2, 5	
SHUM Alan Koon Ho	BBV882	Licensed Representative		5
SIT Yu Hei	BIH496	Licensed Representative	2	
SIU Chun Man	AWH255	Licensed Representative		4
SIU Hi Kin, Helfen	ABR636	Licensed Representative	2	
SONG Frank	AXK328	Licensed Representative	9	
SOU Alfred Ronald	BAN234	Licensed Representative		1, 9
Sreejesh Rajan	BCO974	Licensed Representative		1
SUN Gilbert Ken Bon	AZB607	Licensed Representative	4, 5	•
	BJP791		2	
SUN Jianwen		Licensed Representative	2	
SUN Sifan	BIJ549	Licensed Representative	9	
SUN Yijun	BGF968	Licensed Representative	4	
SUNG Hiu Fai, Ronald	AIU569	Licensed Representative		9
SWARBRICK Andrew Jeremy	AFF234	Licensed Representative	2	
TAM Wai Chi, Elly	ABS460	Licensed Representative		9
TANG Hon Sum	BJY466	Licensed Representative	1	
TANG Jingchen	AZX116	Licensed Representative		2
TANG Man Kwong	AEX178	Licensed Representative	2	
TANG Wai Hong	AWH057	Licensed Representative	4, 5, 9	
TANG Yushang	BJI936	Licensed Representative	1	
TONG Ngat	AHN527	Licensed Representative		2, 4
TSANG Kwun Kit	AQC647	Licensed Representative	9	
TSANG Yin Chun	AOE451	Licensed Representative		2
TSE Wai Ming	AMZ184	Licensed Representative		4
TSUEI Ko-Chin	AVD909	Licensed Representative	4	
WADLE John Allen	AGS454	Licensed Representative	4	
WAN Ka Nin, Kenneth	ANN397	Licensed Representative		4
WAN Koon Ping	BJP586	Licensed Representative	2	
WAN Ning	BIJ337	Licensed Representative	4	
WANG Bin	AZI719	Licensed Representative		2
WANG Bo	AMN889	Licensed Representative		4
WANG Hao	BFR960	Licensed Representative	9	
WANG Hao	BGD708	Licensed Representative	1, 4	
WANG Qiongyao	AWP340	Licensed Representative		1, 9
WANG Yishu	BIB432	Licensed Representative	9	·
WANG Yumeng	BKI610	Licensed Representative	1	4, 9
WANG Turneng WANG Zihao	BEA839	Licensed Representative	<u>-</u>	4, 6
	BFW348	Licensed Representative	2, 7	4
WELLS Alan Frank		•	1	7
WILLS David Richard	ANE567	Licensed Representative	2	
WONG Chin Wai Raymond	BLE917	Licensed Representative	2	
WONG Chun Wing	AUD220	Licensed Representative		2
WONG Ho Man	BFT530	Licensed Representative		4
WONG HOI LING	BJZ254	Licensed Representative		9
WONG Ka Yi	AMX935	Licensed Representative		4
WONG Kai Siu, Kelvin	BFX834	Licensed Representative	1	
WONG Kam Shing	BEL443	Licensed Representative		3
WONG Kan Tat, Frederick	AHJ600	Licensed Representative	4	
WONG Kin Kwan	BFD581	Licensed Representative	2	
•		· · · · · · · · · · · · · · · · · · ·		



Description	VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2017					
Description	CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed	
MONE Birk Very Marie Mar	WONG Man Kam	BCV344	Licensed Representative	4, 9		
MAIN No. No. Main	WONG On Ki	BAK702	Licensed Representative	2		
	WONG Pui Fai	BHQ211	Licensed Representative		2	
March Marc	WONG Sheh Yung	ALZ810	Licensed Representative		2	
MODE Trailbur MODE MOD	WONG Tak Shing	BJD728	Licensed Representative	9		
MONOTO AND READ MONOTO AND	WONG Wa Pan, Vic	BJR961	Licensed Representative	2		
NOTIFICATE Asset Nation NOTIFICATION PROPERTY NOTIFICATION NOTIFICATION	WONG Yiu Man	ADA634	Licensed Representative		2	
NU 2012 Frag	WOO Mun Chung	BKB253	Licensed Representative	2		
NO 1	WRIGHT Jake Robert	AYA323	Licensed Representative	1, 2	9	
MANUAR Manual Manual Manual Representative December De	WU Daniel Yang	BII022	Licensed Representative		1, 4	
20.00002000 20.000000000000000000000	WU Hiu Fung	AOV629	Licensed Representative	9	1, 2	
MIL Chapters Miles Miles		BIE681	Licensed Representative	9		
		BFG320		1, 6	3	
AU Clarical BU Service BU Ser						
NOTEST Learned Representative 6 0 0						
AL Santagia				4	6	
N. 1.7 ming				4		
March Modern Mo			<u> </u>	7		
No. No.				4.0	4	
VAM Val Ling BOJ573 Lonned Representative 4 1,9 VANG Congol BAC023 Lonned Representative 1,9 VANG Congol BAP110 Conned Representative 1,4 VANG Yorko BJ81752 Lonned Representative 4 VEH Stan Well BGE646 Lonned Representative 1,4 VEH Wan Kal BJ819 Lonned Representative 2,5 YINF Tributy Chuk Yua AAR317 Lonned Representative 2,9 YIP Tributy Chuk Yua AAR317 Lonned Representative 2 YIP Well Stan, Venz AAR0417 Lonned Representative 2 YIP Well Stan, Venz AR0417 Lonned Representative 2 YU Lam AP8733 Lonned Representative 2 YU Lam AP8773 Lonned Representative 2 YU Lam AP8773 Lonned Representative 0 YU En Lum ACA0271 Lonned Representative 1 YU En Lum ACA0271 Lonned Representative 1 YUE Fire Lum			·			
NANG Paris						
VANG Corgin 9AP110 Usonado Representative 0 1,4 VANG Vichace 0AP1722 Licensed Representative 1 1,4 VFH Wan Kal DUB19 Licensed Representative 2,5 1 VYHO You Hung AAA817 Licensed Representative 2,5 1 VYHO You Hung AAA817 Licensed Representative 2 1 VYP Wing Star, Venz AAV043 Licensed Representative 4 1 VIJ Van Yan, Kareny BR020 Licensed Representative 4 2 VIJ Laim AP8731 Licensed Representative 2 1 VIJ Laim AP8732 Licensed Representative 1 2 VIJ Laim AP8732 Licensed Representative 1,2 1 VULNI XI XIA YANGARI AUT20 Licensed Representative 1 2 VULNI XIA XIA XIA XIA XIA XIA XIA XIA XIA XI	YAN Wai Ling			4		
NAME	YANG Anqi	BAC523	Licensed Representative		1, 9	
YEH Bhu-Wei SGE406 Loensed Representative 1, 4 YEH Work-Kal BU819 Loensed Representative 2, 5 5 YNG Ya Hung AAX817 Loensed Representative 5, 9 YIP Timothy Churk Yue APE351 Loensed Representative 2 YIP Wing Say, Vanz AVD043 Loensed Representative 4 YIU Yin Man BFU881 Loensed Representative 2 YIU Xin Man BR029 Loensed Representative 2 YU Lim APE773 Loensed Representative 1, 2 YU Lim APE773 Loensed Representative 6 YU Lim APE773 Loensed Representative 1 YU Lim ACA371 Loensed Representative 6 YU Lim AGA371 Loensed Representative 1 YU E Put Lim AGA371 Loensed Representative 2 2 LANAS Xiaonal BIV039 Loensed Representative 4 2 LANAS Xiaonal AIV110 Loensed Representative 1 2 LANAS Xiaonal	YANG Dongni	BAP110	Licensed Representative	9	1, 4	
VEH Won-Kail BUB19 Licenteed Representative 2, 5 VING Yk Hung AAR817 Licenteed Representative 5, 9 VIP Timothy Churk Yue APF351 Licenteed Representative 2 VIP Wing Stx, Venz AMD043 Licenteed Representative 4 VIU Yn Man BFU881 Licenteed Representative 4 VIU Xin Kenny BIR393 Licenteed Representative 2 VI Lam AP8773 Licenteed Representative 1, 2 VI Lam AP8773 Licenteed Representative 1, 2 VI Lam AP8773 Licenteed Representative 1 1 VI Lam AP8773 Licenteed Representative 2 1 VI Lam AP8773 Licentee	YANG Yichao	BJH752	Licensed Representative	4		
YIND Yirk Hung AAKB17 Licensed Representative 5, 9 YIP Timothy Chink Yue APSSI Uconsed Representative 2 YIP Wing Stn, Yenz AWD043 Licensed Representative 4 YU Yu Yu Yu Min M BF1981 Licensed Representative 2 YU Kai Yin, Keniny BIR329 Licensed Representative 1, 2 YU Liam APST3 Licensed Representative 6 YU Ming Lung ALT720 Licensed Representative 6 YUE Ful Lam ACAST1 Licensed Representative 1 YUE Ful Lam ACAST1 Licensed Representative 2 YUE Ful Lam ACAST1 Licensed Representative 4 YUE Ful Lam ACAST3 Licensed Representative 4 YUE Ful Lam ACAST3 Licensed Representative 4 YUE Ful La	YEH Shu-Wei	BGE406	Licensed Representative		1, 4	
VIP Timothy Churk Yuu APF301 Ucensed Representative 2 VIP Wing Sto, Venz AVD043 Licensed Representative 4 VIU Ye Man BFU981 Licensed Representative 2 VIU Kai Yin, Kenny BIR502 Licensed Representative 2 VU Lain APB773 Licensed Representative 1, 2 VI Lian APB773 Licensed Representative 6 VI Lian APB773 Licensed Representative 6 VI Lian APB773 Licensed Representative 6 VIJ May Stand ALIT720 Licensed Representative 1 VIJ MA Xaoxa BH1013 Licensed Representative 2 ZHANK Min BL0050 Licensed Representative 2 ZHANK Stauchi BIY039 Licensed Representative 4 ZHANK Stauchi BIY030 Licensed Representative 1 ZHENG Kesun BF1984 Licensed Representative 4 ZHONG Yanh BIX030 Licensed Representative 4 ZHU Herogqiu <td< td=""><td>YEH Wen-Kai</td><td>BIJ819</td><td>Licensed Representative</td><td>2, 5</td><td></td></td<>	YEH Wen-Kai	BIJ819	Licensed Representative	2, 5		
YIP Wing Sze, Venz AWD043 Licensed Representative 4 YIU Yin Man BFUB81 Licensed Representative 2 YU Kai Yin, Karniy BIR829 Licensed Representative 1, 2 YU Lam APB773 Licensed Representative 6 YUMING Lung AUT720 Licensed Representative 6 YUAN Xiaosi BHC153 Ucensed Representative 1 YUE Ful Lam AGA571 Licensed Representative 2 ZHANG Min BL0500 Ucensed Representative 2 ZHANG Ruchi BIY029 Licensed Representative 4 ZHANG Xisomei AKV310 Licensed Representative 4 ZHANG Xisomei BY0490 Licensed Representative 1 ZHENK Kezun SFU394 Licensed Representative 4 ZHONG Yan BDC097 Licensed Representative 4 ZHU Jiang BEL742 Licensed Representative 4 ZHU Jiang BK0688 Licensed Representative 4 ZHU Jiang BK1680 <td>YING Yik Hung</td> <td>AAK817</td> <td>Licensed Representative</td> <td></td> <td>5, 9</td>	YING Yik Hung	AAK817	Licensed Representative		5, 9	
YIU Yin Man	YIP Timothy Churk Yue	APF351	Licensed Representative	2		
VILAIN Kan'In, Kanny BIR929 Licensed Representative 2	YIP Wing Sze, Venz	AWD043	Licensed Representative	4		
VU Lam APB773 Licensed Representative 1, 2 VU Ming Lung AUT720 Licensed Representative 6 VLAN Xiaoxi BHC153 Licensed Representative 1 VUE Pul Lam AGA571 Licensed Representative 2 ZHANG Min BJ0850 Licensed Representative 2 ZHANG Riuthi BI7029 Licensed Representative 4 ZHANG Xiaomei AKV310 Licensed Representative 1, 4 ZHANG Kiaomei BFU934 Licensed Representative 1 ZHENG Kosun BFU934 Licensed Representative 4 ZHONG Yan BD0087 Licensed Representative 2 ZHOU Yishi BELT/42 Licensed Representative 1, 6 ZHU Hengqiu ALZ610 Licensed Representative 4 ZHU Jiafeng BBC188 Licensed Representative 4 BLACKWELL Michael Kevin BCN800 Licensed Representative 4 BLACKWELL Michael Kevin BCN800 Licensed Representative - Responsible Officer 1	YIU Yin Man	BFU981	Licensed Representative		2	
YU Ming Lung AUT720 Licensed Representative 6 YUAN Xiaoxi BHC153 Licensed Representative 1 YUE Piu Lim AGA671 Licensed Representative 2 2HANG Min BJ0850 Licensed Representative 2 2HANG Ruizhi BIV029 Licensed Representative 4 2HANG Xiaomel AKV310 Licensed Representative 4 2HAO Shengije BFW849 Licensed Representative 1 2HAO Shengije BFW849 Licensed Representative 4 2HONG Yan BDC087 Licensed Representative 4 2HOU Yishi BELT42 Licensed Representative 1, 6 2HU Jiafeng BBG168 Licensed Representative 4 2HU Jiafeng BBG168 Licensed Representative 4 2HU Ziu BK1530 Licensed Representative 4 2HU Ziu BK1540 Licensed Representative - Responsible Officer 1 2HU Ziu BK1540 Licensed Representative - Responsible Officer 1 2HU Z	YU Kai Yin, Kenny	BIR929	Licensed Representative	2		
VALAN XIAOXI	YU Lam	APB773	Licensed Representative	1, 2		
YUE Pui Lam AGA571 Ucensed Representative 2 ZHANG Min BJO850 Licensed Representative 2 ZHANG Ruizhi BIY029 Licensed Representative 4 ZHANG Xiaomei AKV310 Licensed Representative 1, 4 ZHAO Shengije BFW849 Ucensed Representative 1 ZHENG Kexun BFU394 Licensed Representative 4 ZHONG Yan BDQ979 Licensed Representative 2 ZHOU Yahi BEL742 Licensed Representative 1, 6 ZHU Jiafeng BBC168 Licensed Representative 4 ZHU Jiafeng BBC168 Licensed Representative 4 ZHU Zhu BKH350 Licensed Re	YU Ming Lung	AUT720	Licensed Representative	6		
ZHANG Min BJ0850 Licensed Representative 2 ZHANG Ruizhi BIY029 Licensed Representative 4 ZHANG Xiaomei AKV310 Licensed Representative 1, 4 ZHAO Schengile BFW494 Licensed Representative 1 ZHENG Kexun BFU394 Licensed Representative 4 ZHONG Yan BD0097 Licensed Representative 2 ZHOU Yishi BEL742 Licensed Representative 1, 6 ZHU Hengqiu ALZ610 Licensed Representative 4 ZHU Jisfeng BB0168 Licensed Representative 4 ZHU Zhu BKH350 Licensed Representative 4 ZHU Zhu BKH350 Licensed Representative - Responsible Officer 1 SLACKWELL Michael Kevin BCN800 Licensed Representative - Responsible Officer 6 CHEN Wei Heun ARG669 Licensed Representative - Responsible Officer 4 CHOW Leung Chi BFY425 Licensed Representative - Responsible Officer 4 CHU Wai Pang ABF141 Licensed Represen	YUAN Xiaoxi	BHC153	Licensed Representative	1		
ZHANG Min BJ0850 Licensed Representative 2 ZHANG Ruizhi BIY029 Licensed Representative 4 ZHANG Xiaomei AKV310 Licensed Representative 1, 4 ZHAO Schengile BFW494 Licensed Representative 1 ZHENG Kexun BFU394 Licensed Representative 4 ZHONG Yan BD0097 Licensed Representative 2 ZHOU Yishi BEL742 Licensed Representative 1, 6 ZHU Hengqiu ALZ610 Licensed Representative 4 ZHU Jisfeng BB0168 Licensed Representative 4 ZHU Zhu BKH350 Licensed Representative 4 ZHU Zhu BKH350 Licensed Representative - Responsible Officer 1 SLACKWELL Michael Kevin BCN800 Licensed Representative - Responsible Officer 6 CHEN Wei Heun ARG669 Licensed Representative - Responsible Officer 4 CHOW Leung Chi BFY425 Licensed Representative - Responsible Officer 4 CHU Wai Pang ABF141 Licensed Represen	YUE Pui Lam	AGA571	Licensed Representative		2	
ZHANG Ruizhi BIY029 Licensed Representative 4 ZHANG Xisomei AKV310 Licensed Representative 1, 4 ZHAO Shengjie BFW849 Licensed Representative 1 ZHENG Kexun BFU394 Licensed Representative 4 ZHOU Shoi BD0097 Licensed Representative 2 ZHOU Yshi BEL742 Licensed Representative 1, 6 ZHU Hengilu ALZ610 Licensed Representative 4 ZHU Jaleng BBC168 Licensed Representative 4 ZHU Zhu BKH350 Licensed Representative 4 ZHU Zhu BCN800 Licensed Representative - Responsible Officer 1 CHEN Miso-Ju ALJ946 Licensed Representative - Responsible Officer 6 CHEN Weil Hsun ARG669 Licensed Representative - Responsible Officer 4, 9 CHU Wai Pang ABF141 Licensed Representative - Responsible Officer 4, 9 CHU Wai Pang ABF141 Licensed Representative - Responsible Officer 1 HO Wai Kin AV2335 Lic				2		
ZHANG Xisomei AKV310 Licensed Representative 1, 4 ZHAO Shengjie BFW849 Licensed Representative 1 ZHENG Kexun BFU394 Licensed Representative 4 ZHONG Yan BDQ097 Licensed Representative 2 ZHOU Yishi BEL742 Licensed Representative 1, 6 ZHU Hengqiu ALZ510 Licensed Representative 4 ZHU Jisfeng BBQ168 Licensed Representative 4 ZHU Zhu BKH350 Licensed Representative 4 BLACKWELL Michael Kevin BCN800 Licensed Representative - Responsible Officer 1 CHEN Misu-Ju ALJ946 Licensed Representative - Responsible Officer 6 CHEN Wei Hsun ARG669 Licensed Representative - Responsible Officer 4 CHOW Leung Chi BFY425 Licensed Representative - Responsible Officer 4 CHU Wai Pang ABF141 Licensed Representative - Responsible Officer 4 DING Yuchao AQS596 Licensed Representative - Responsible Officer 1 HO Wai Kin		BIY029		4		
ZHAO Shengjie BFW849 Licensed Representative 1 ZHENG Kexun BFU394 Licensed Representative 4 ZHONG Yan BD0097 Licensed Representative 2 ZHOU Yishi BEL742 Licensed Representative 1, 6 ZHU Hengqiu ALZ610 Licensed Representative 4 ZHU Jiafeng BBQ168 Licensed Representative 4 ZHU Zhu BKH350 Licensed Representative 4 BLACKWELL Michael Kevin BCN800 Licensed Representative - Responsible Officer 1 CHEN Miao-Ju ALJ946 Licensed Representative - Responsible Officer 6 CHEN Wei Hsun ARG669 Licensed Representative - Responsible Officer 4 CHOW Leung Chi BFY425 Licensed Representative - Responsible Officer 4, 9 CHU Wai Pang ABF141 Licensed Representative - Responsible Officer 4 DING Yuchao AQS596 Licensed Representative - Responsible Officer 1 HO Wai Kin AYZ335 Licensed Representative - Responsible Officer 4 KE					1. 4	
ZHENG Kezun BFU394 Licensed Representative 4 ZHONG Yan BDQ097 Licensed Representative 2 ZHOU Yishi BELT42 Licensed Representative 1, 6 ZHU Hengqiu ALZ610 Licensed Representative 4 ZHU Jiafeng BBQ168 Licensed Representative 4 ZHU Zhu BKH350 Licensed Representative 4 BLACKWELL Michael Kevin BCN800 Licensed Representative - Responsible Officer 1 CHEN Miao-Ju ALJ946 Licensed Representative - Responsible Officer 6 CHEN Wei Hsun ARG669 Licensed Representative - Responsible Officer 4 CHOW Leung Chi BFY425 Licensed Representative - Responsible Officer 4, 9 CHU Wai Pang ABF141 Licensed Representative - Responsible Officer 1 HO Wai Kin AY2335 Licensed Representative - Responsible Officer 1 KERRIGAN Daniel Burke BIZ540 Licensed Representative - Responsible Officer 1 KO INA ALF942 Licensed Representative - Responsible Officer 1 <td></td> <td></td> <td></td> <td></td> <td>1</td>					1	
EHONG Yan BDQ097 Licensed Representative 2 2 2HOU Yishi BEL742 Licensed Representative 1, 6 2HU Hengqiu ALZ610 Licensed Representative 4 2HU Jiafeng BBQ168 Licensed Representative 4 2HU Jiafeng BBQ168 Licensed Representative 4 2HU Zhu BKH350 Licensed Representative 4 3 3 4 4 4 5 3 4 4 6 6 6 6 6 6 7 6 6 7 6 6 7 6 7 6 7 6				4		
ZHOU Yishi BEL742 Licensed Representative 1, 6 ZHU Hengqiu ALZ610 Licensed Representative 4 ZHU Jiafeng BBQ168 Licensed Representative 4 ZHU Jiafeng BBQ168 Licensed Representative 4 ZHU Zhu BKH350 Licensed Representative 4 BLACKWELL Michael Kevin BCN800 Licensed Representative - Responsible Officer 1 CHEN Miao-Ju ALJ946 Licensed Representative - Responsible Officer 6 CHEN Wei Hsun ARG669 Licensed Representative - Responsible Officer 4 CHOW Leung Chi BFY425 Licensed Representative - Responsible Officer 4 CHU Wai Pang ABF141 Licensed Representative - Responsible Officer 4 DING Yuchao AQS596 Licensed Representative - Responsible Officer 4 DING Yuchao AQS596 Licensed Representative - Responsible Officer 1 HO Wai Kin AYZ335 Licensed Representative - Responsible Officer 4 KERRIGAN Daniel Burke BIZ540 Licensed Representative - Responsible Officer 1 KWAN Tin Pui, Andy ARF399 Licensed Representative - Responsible Officer 5 LAM Chi Hung AGX704 Licensed Representative - Responsible Officer 1			·		2	
ZHU Hengqiu ALZ610 Licensed Representative 4 ZHU Jiafeng BBQ168 Licensed Representative 4 ZHU Zhu BKH350 Licensed Representative 4 BLACKWELL Michael Kevin BCN800 Licensed Representative - Responsible Officer 1 CHEN Miao-Ju ALJ946 Licensed Representative - Responsible Officer 6 CHEN Wei Hsun ARG669 Licensed Representative - Responsible Officer 4 CHOW Leung Chi BFY425 Licensed Representative - Responsible Officer 4, 9 CHU Wai Pang ABF141 Licensed Representative - Responsible Officer 4 DING Yuchao AQS596 Licensed Representative - Responsible Officer 1 HO Wai Kin AY2335 Licensed Representative - Responsible Officer 4 KERRIGAN Daniel Burke BIZ540 Licensed Representative - Responsible Officer 1 KO Oi Na ALF942 Licensed Representative - Responsible Officer 1 KWAN Tin Pui, Andy ARF399 Licensed Representative - Responsible Officer 5 Licensed Representative - Responsible Officer 1 KWAN Tin Pui, Andy ARF399 Licensed Representative - Responsible Officer 5 LAM Chi Hung AGX704 Licensed Representative - Responsible Officer 1						
ZHU Jiafeng BBQ168 Licensed Representative 4 ZHU Zhu BKH350 Licensed Representative 4 BLACKWELL Michael Kevin BCN800 Licensed Representative - Responsible Officer 1 CHEN Miao-Ju ALJ946 Licensed Representative - Responsible Officer 6 CHEN Wei Hsun ARG669 Licensed Representative - Responsible Officer 4 CHOW Leung Chi BFY425 Licensed Representative - Responsible Officer 4, 9 CHU Wai Pang ABF141 Licensed Representative - Responsible Officer 4 DING Yuchao AQS596 Licensed Representative - Responsible Officer 1 HO Wai Kin AYZ335 Licensed Representative - Responsible Officer 4 KERRIGAN Daniel Burke BIZ540 Licensed Representative - Responsible Officer 1 KO Oi Na ALF942 Licensed Representative - Responsible Officer 1 KWAN Tin Pui, Andy ARF399 Licensed Representative - Responsible Officer 5 LAM Chi Hung AGX704 Licensed Representative - Responsible Officer 1			<u> </u>			
ZHU Zhu BKH350 Licensed Representative 4 BLACKWELL Michael Kevin BCN800 Licensed Representative - Responsible Officer 1 CHEN Miao-Ju ALJ946 Licensed Representative - Responsible Officer 6 CHEN Wei Hsun ARG669 Licensed Representative - Responsible Officer 4 CHOW Leung Chi BFY425 Licensed Representative - Responsible Officer 4, 9 CHU Wai Pang ABF141 Licensed Representative - Responsible Officer 4 DING Yuchao AQS596 Licensed Representative - Responsible Officer 1 HO Wai Kin AYZ335 Licensed Representative - Responsible Officer 4 KERRIGAN Daniel Burke BIZ540 Licensed Representative - Responsible Officer 1 KO Oi Na ALF942 Licensed Representative - Responsible Officer 1 KWAN Tin Pui, Andy ARF399 Licensed Representative - Responsible Officer 5 LAM Chi Hung AGX704 Licensed Representative - Responsible Officer 1				4	7	
BLACKWELL Michael Kevin BCN800 Licensed Representative - Responsible Officer 1 CHEN Miao-Ju ALJ946 Licensed Representative - Responsible Officer 6 CHEN Wei Hsun ARG669 Licensed Representative - Responsible Officer 4 CHOW Leung Chi BFY425 Licensed Representative - Responsible Officer 4, 9 CHU Wai Pang ABF141 Licensed Representative - Responsible Officer 4 DING Yuchao AQS596 Licensed Representative - Responsible Officer 1 HO Wai Kin AYZ335 Licensed Representative - Responsible Officer 4 KERRIGAN Daniel Burke BIZ540 Licensed Representative - Responsible Officer 1 KO Oi Na ALF942 Licensed Representative - Responsible Officer 1 KWAN Tin Pui, Andy ARF399 Licensed Representative - Responsible Officer 5 LAM Chi Hung AGX704 Licensed Representative - Responsible Officer 1				4		
CHEN Miao-Ju ALJ946 Licensed Representative - Responsible Officer ARG669 Licensed Representative - Responsible Officer 4 CHOW Leung Chi BFY425 Licensed Representative - Responsible Officer 4, 9 CHU Wai Pang ABF141 Licensed Representative - Responsible Officer 4 DING Yuchao AQS596 Licensed Representative - Responsible Officer HO Wai Kin AYZ335 Licensed Representative - Responsible Officer 4 KERRIGAN Daniel Burke BIZ540 Licensed Representative - Responsible Officer KO Oi Na ALF942 Licensed Representative - Responsible Officer 1 KWAN Tin Pui, Andy ARF399 Licensed Representative - Responsible Officer 5 LAM Chi Hung AGX704 Licensed Representative - Responsible Officer 1				4		
CHEN Wei Hsun ARG669 Licensed Representative - Responsible Officer 4, 9 CHOW Leung Chi BFY425 Licensed Representative - Responsible Officer 4, 9 CHU Wai Pang ABF141 Licensed Representative - Responsible Officer 4 DING Yuchao AQS596 Licensed Representative - Responsible Officer 1 HO Wai Kin AYZ335 Licensed Representative - Responsible Officer 4 KERRIGAN Daniel Burke BIZ540 Licensed Representative - Responsible Officer 1 KO Oi Na ALF942 Licensed Representative - Responsible Officer 1 KWAN Tin Pui, Andy ARF399 Licensed Representative - Responsible Officer 5 LAM Chi Hung AGX704 Licensed Representative - Responsible Officer 1				_	1	
CHOW Leung Chi CHU Wai Pang ABF141 Licensed Representative - Responsible Officer 4 DING Yuchao AQS596 Licensed Representative - Responsible Officer HO Wai Kin AYZ335 Licensed Representative - Responsible Officer HO Wai Kin AYZ335 Licensed Representative - Responsible Officer 4 KERRIGAN Daniel Burke BIZ540 Licensed Representative - Responsible Officer 1 KO Oi Na ALF942 Licensed Representative - Responsible Officer 1 KWAN Tin Pui, Andy ARF399 Licensed Representative - Responsible Officer 5 LAM Chi Hung AGX704 Licensed Representative - Responsible Officer 1				6		
CHU Wai Pang ABF141 Licensed Representative - Responsible Officer 4 DING Yuchao AQS596 Licensed Representative - Responsible Officer 1 HO Wai Kin AYZ335 Licensed Representative - Responsible Officer 4 KERRIGAN Daniel Burke BIZ540 Licensed Representative - Responsible Officer 1 KO Oi Na ALF942 Licensed Representative - Responsible Officer 1 KWAN Tin Pui, Andy ARF399 Licensed Representative - Responsible Officer 5 LAM Chi Hung AGX704 Licensed Representative - Responsible Officer 1				4		
DING Yuchao AQS596 Licensed Representative - Responsible Officer HO Wai Kin AYZ335 Licensed Representative - Responsible Officer KERRIGAN Daniel Burke BIZ540 Licensed Representative - Responsible Officer KO Oi Na ALF942 Licensed Representative - Responsible Officer KWAN Tin Pui, Andy ARF399 Licensed Representative - Responsible Officer LAM Chi Hung AGX704 Licensed Representative - Responsible Officer 1	CHOW Leung Chi			4, 9		
HO Wai Kin AYZ335 Licensed Representative - Responsible Officer 4 KERRIGAN Daniel Burke BIZ540 Licensed Representative - Responsible Officer 1 KO Oi Na ALF942 Licensed Representative - Responsible Officer 1 KWAN Tin Pui, Andy ARF399 Licensed Representative - Responsible Officer 5 LAM Chi Hung AGX704 Licensed Representative - Responsible Officer 1	CHU Wai Pang	ABF141	Licensed Representative - Responsible Officer	4		
KERRIGAN Daniel Burke BIZ540 Licensed Representative - Responsible Officer 1 KO Oi Na ALF942 Licensed Representative - Responsible Officer 1 KWAN Tin Pui, Andy ARF399 Licensed Representative - Responsible Officer 5 LAM Chi Hung AGX704 Licensed Representative - Responsible Officer 1	DING Yuchao	AQS596	Licensed Representative - Responsible Officer	1		
KO Oi Na ALF942 Licensed Representative - Responsible Officer 1 KWAN Tin Pui, Andy ARF399 Licensed Representative - Responsible Officer 5 LAM Chi Hung AGX704 Licensed Representative - Responsible Officer 1	HO Wai Kin	AYZ335	Licensed Representative - Responsible Officer	4		
KWAN Tin Pui, Andy ARF399 Licensed Representative - Responsible Officer 5 LAM Chi Hung AGX704 Licensed Representative - Responsible Officer 1	KERRIGAN Daniel Burke	BIZ540	Licensed Representative - Responsible Officer		1	
LAM Chi Hung AGX704 Licensed Representative - Responsible Officer 1	KO Oi Na	ALF942	Licensed Representative - Responsible Officer	1		
	KWAN Tin Pui, Andy	ARF399	Licensed Representative - Responsible Officer	5		
LAU Chung Hing, Sammy AAE939 Licensed Representative - Responsible Officer 1	LAM Chi Hung	AGX704	Licensed Representative - Responsible Officer		1	
_ = = ==== ====== ====== ====== ======	LAU Chung Hing, Sammy	AAE939	Licensed Representative - Responsible Officer	1		



VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2017					
CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed	
LEE Ronald Suk Bae	AEO545	Licensed Representative - Responsible Officer	2		
LUO Liuyi	AHS854	Licensed Representative - Responsible Officer	1, 4		
NG Hoi Kam	AGP053	Licensed Representative - Responsible Officer	1		
PARADISE James Richard	AHA220	Licensed Representative - Responsible Officer	5, 7		
POON Siu Kuen, Calvin	AFR783	Licensed Representative - Responsible Officer	1		
PUN Hong Hai	AHS951	Licensed Representative - Responsible Officer	6		
ROSKELL Jeffrey James	AED788	Licensed Representative - Responsible Officer		1, 2	
SHEA Kwok Hing, Patrick	AAC349	Licensed Representative - Responsible Officer	9		
SHEKERDEMIAN James Yervant	BGK431	Licensed Representative - Responsible Officer	7		
SHEN Weifen	BHX436	Licensed Representative - Responsible Officer	4		
SUNG Hiu Fai, Ronald	AIU569	Licensed Representative - Responsible Officer	6		
THOMPSON Marcus David William	AOL674	Licensed Representative - Responsible Officer	1		
TSANG Yin Chun	AOE451	Licensed Representative - Responsible Officer	4		
TSUI Chi Gai	AVQ208	Licensed Representative - Responsible Officer	9		
WANG Weidong	BHX438	Licensed Representative - Responsible Officer	4		
WONG Chun Kong	ATM806	Licensed Representative - Responsible Officer	4, 5		
WONG Sheh Yung	ALZ810	Licensed Representative - Responsible Officer	4		
WOO Wai Tong	ADZ983	Licensed Representative - Responsible Officer	9		
XIE Hongjun	AQZ553	Licensed Representative - Responsible Officer	4, 5		
YAN Chi Kwan	AGL147	Licensed Representative - Responsible Officer	9		
YAN Ka Lok	AJK630	Licensed Representative - Responsible Officer	1		
YAO Sheng	ALL051	Licensed Representative - Responsible Officer	4		
YE Yuedong	BGU322	Licensed Representative - Responsible Officer	9		
YEUNG Pok Man, Harvey	AJE845	Licensed Representative - Responsible Officer		1	
YU Yin Chung, Peter	AAC408	Licensed Representative - Responsible Officer	4		
ZHOU Wei	BAC428	Licensed Representative - Responsible Officer	4		
CHIU Sai Kit	BKZ194	Licensed Representative (Provisional Licence)	2		
MUNDY David James	BEX113	Licensed Representative (Provisional Licence)	1		
TO Muk Tak	BLS731	Licensed Representative (Provisional Licence)	9		