



VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2018

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
Anbang Capital (Hong Kong) Co. Limited	BBO924	Licensed Corporation		1, 4
Conrad Investment Services Limited	BIV795	Licensed Corporation	2	
East Park Investment Management Co Limited	AVO785	Licensed Corporation	4	
Freeman Securities Limited	APR560	Licensed Corporation	4, 9	
Harmony Advisors Limited	BCM666	Licensed Corporation	2, 4	
Valuable Capital Limited	AUL711	Licensed Corporation	9	
AN Zhen	APB220	Licensed Representative		1
ARTHUR-DICK Joseph	AVW172	Licensed Representative	7	
AU Kin Yu	BBF288	Licensed Representative		1, 2
AU Ngai	ALV387	Licensed Representative		2
BI Jia	BIZ157	Licensed Representative	2, 4	9
CAI Shaojun	BFL054	Licensed Representative	1	
CAO Junyang	AQG956	Licensed Representative	9	
CHADHA Prashant	BGQ083	Licensed Representative	5	
CHAM Tak Fat	BIH508	Licensed Representative	4	
CHAN Ho Kiu, Jovia	AZB961	Licensed Representative	1, 2	9
CHAN Kin Wah	AEN763	Licensed Representative	4	
CHAN Kwing Wing	BEF691	Licensed Representative	2, 5	
CHAN Kwok Ying	BKT312	Licensed Representative	2, 5	
CHAN Rocky Yu	BJE573	Licensed Representative	9	
CHAN Shue Keung	AND696	Licensed Representative	4	
CHAN Siu Kwong	BHV687	Licensed Representative	1, 4	
CHAN Sze Ho	AWZ026	Licensed Representative	4	
CHAN Wah Man Carman	AQD276	Licensed Representative		9
CHAN Wai Shing, Isaac	BFU772	Licensed Representative	3	
CHAN Yik Lun	AOR284	Licensed Representative		4
CHAN Yu Kai, Keith	BGE398	Licensed Representative	1	
CHAU Heung Wing, Edmond	AAA895	Licensed Representative		2
CHAU Kwan Kit	ARB165	Licensed Representative	1	
CHEN Hao	ATB830	Licensed Representative		2
CHEN Hui-ming	ALW538	Licensed Representative	1	
CHEN I Hsuan	BIH514	Licensed Representative		4, 9
CHEN Jing	ANU777	Licensed Representative		4
CHEN Shuai	BEM645	Licensed Representative	9	1, 2
CHEN Yanqi	AYI422	Licensed Representative		2
CHENG Kong Wai	ANM032	Licensed Representative	9	
CHENG Wing Yan Tiffany	AVG909	Licensed Representative		3
CHENG Xing	ASE477	Licensed Representative	4, 5	
CHEUNG Hoi Tsun Kevin	BGI909	Licensed Representative	7	
CHEUNG On Kit, Andrew	AUZ918	Licensed Representative		1, 4
CHEUNG Shing Kit	BDF108	Licensed Representative	9	
CHEUNG Tai Kam	ATT817	Licensed Representative	5	
CHEUNG Ting Kin, Bernard	APN584	Licensed Representative		1, 4
CHEUNG Yu Foon	ABR632	Licensed Representative		2
CHIANG Pei-Ju	AZY034	Licensed Representative		2
CHIU Chun Po	BGB008	Licensed Representative	1, 4	
CHIU Sui Ching	BDP047	Licensed Representative	4, 5	
CHOI Heung Shing Frederick	AUR572	Licensed Representative	9	
CHOI Lai Ling	AFW854	Licensed Representative		1
CHOI Sharly Yee Wan	ALD136	Licensed Representative		5
CHOUDHARY Keshav Pawankumar	BHX770	Licensed Representative		7
CHOW Wing Si	AXR657	Licensed Representative		2
CHOY Kai Man	BBS817	Licensed Representative	7	
CHOY Shuen Yan, Andy	ABF434	Licensed Representative	2	
CHU Kar Son	AUZ444	Licensed Representative		1
CHU Law Kwong, Jones	ADD805	Licensed Representative		1, 2
CHU Wai Ping	ALI396	Licensed Representative		4



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CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
CHUA Pei Feng	ASL814	Licensed Representative		7
CHUNG Chui Wah	BHL405	Licensed Representative	9	1, 4
DENG Yufan	AZT248	Licensed Representative		4, 5
EL HICHERI Fayez	ANH083	Licensed Representative	4, 5	
FANG Sai Tai, Jeffrey	AMF434	Licensed Representative	4	
FONG Chung Loon, David	AUT314	Licensed Representative		2
FOURDAN Jean-Francois Louis	ABW218	Licensed Representative		1, 2
FUKUDA Tadashi	AVM311	Licensed Representative	4, 9	1, 7
FUNG Sing Yui	ACB077	Licensed Representative		2
GARREAU Vincent Olivier	AUI992	Licensed Representative		1, 2
GE Yao	BDY307	Licensed Representative	4	
GRIEVE Andrew James	AXL790	Licensed Representative	4	
GUO Qi	AZB400	Licensed Representative	9	
HA Ming Yan, Sally	BGW594	Licensed Representative	4	
HO Hin Hang	AVH028	Licensed Representative	9	
HO Ho Ming	AZG575	Licensed Representative		2, 5
HO Jonathan Chi Yan	BJS892	Licensed Representative	6	
HO Shing Yan William	BED044	Licensed Representative	2	
HO Shu Lung	AEE580	Licensed Representative		4
HO Yin Shan, Cookie	ANG573	Licensed Representative	2, 5	
HORCHANI Tareck	BIY463	Licensed Representative	3	
HORNMARK Erik Taro	AUZ795	Licensed Representative		1, 2
HSIEH Ya-Hui	ANN504	Licensed Representative	9	
HSU Wei-Chi	AVL882	Licensed Representative	4, 9	1
HUANG Jieying	BEZ611	Licensed Representative	9	1, 2
HUANG Xiaoyi	BFA540	Licensed Representative	5	
HUGHES Glyn John	BJT332	Licensed Representative	7	
HUI Ka Wai	BJL657	Licensed Representative	9	
HUI Pik Yung	AAA803	Licensed Representative		1, 4
JIANG Hao	AVM739	Licensed Representative	2	
JIANG Yuanfeng	BJE206	Licensed Representative	5	
JIN Hyung Won	BJA014	Licensed Representative		1
KAO Shih-Lin	BHL695	Licensed Representative	9	
KATO Mayumi	AFK707	Licensed Representative	2, 5	
KIM Min Soo	AEP532	Licensed Representative		9
KIM Young Chan	AGW611	Licensed Representative	4	
KO Kwok Kuen	BBI896	Licensed Representative		2
KO Sin Pan	BLB435	Licensed Representative		1
KUAN Bernhard	AIU443	Licensed Representative		2
KUANG Yang	BGB223	Licensed Representative		1, 6
KWOK HIU CHAK	BJN723	Licensed Representative	9	
KWOK Ka In	AXQ079	Licensed Representative	1	
KWOK Tsan Ming	BJH985	Licensed Representative	1	
LAI Ka Kit	ALZ752	Licensed Representative		2
LAI Kam Wo	ABQ024	Licensed Representative		2
LAM Cheuk Yu	AXD868	Licensed Representative		3
LAM Chi Wai	AKX862	Licensed Representative	2, 5	
LAM Hoi Kay Kate	BHX731	Licensed Representative	9	
LAM Kin Sun, Kenny	AQV367	Licensed Representative		6
LAM Wai Hon	AAN686	Licensed Representative		4, 9
LAM Ying Kit	AZX858	Licensed Representative		1, 2
LAN Qingwen	AWW400	Licensed Representative	9	
LANDAIS Francois Michel Florent	BIN527	Licensed Representative	5	
LAU Cheuk Hin	BFF180	Licensed Representative	6	
LAU Sze Ki	BHD251	Licensed Representative	6	4
LAU Wai Hung	ANX950	Licensed Representative		2, 4
LAU Wai Yan	BBA106	Licensed Representative	4	



VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2018

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
LEE James	BJH960	Licensed Representative	7	
LEE Jina	AUT717	Licensed Representative	1	4
LEE Ming Sum Mason	BLI900	Licensed Representative	3	
LEE Wing Lam	BJL676	Licensed Representative	1, 4	
LEUNG Alexander	ANH673	Licensed Representative	4, 9	2, 3
LEUNG Chin Hei	ARI066	Licensed Representative	9	
LEUNG Chun Tung	APR857	Licensed Representative		2
LEUNG Heung Chuen	ACF948	Licensed Representative	2	
LEUNG Tsz Kin	BFG540	Licensed Representative	2, 5	
LEUNG Wing Sze	BBQ347	Licensed Representative		1
LEUNG Wing Yee, Fiona	AJB647	Licensed Representative		2, 9
LHERT Christian Julien Claude	AVJ292	Licensed Representative		6
LI Ching Cheung	AXX426	Licensed Representative		4
LI Hon Leung	AJC118	Licensed Representative		1, 2
LI Kai Wang	BFY153	Licensed Representative		9
LI Man Kit	AZY531	Licensed Representative		3
LI Po Wa	BIC644	Licensed Representative		1, 2, 4
LI Ran	AOX844	Licensed Representative		1, 2
LI Tsz Wai	AWL543	Licensed Representative		4, 9
LI Wai Lap	BAA227	Licensed Representative	9	
LI Xiaocen	BIC345	Licensed Representative	6	
LI YUYU	BJU225	Licensed Representative	9	
LIANG Yuan	AMH432	Licensed Representative	4	
LIANG Zheng Jie	AAH546	Licensed Representative	1	4, 9
LIN Tong	BHJ408	Licensed Representative		4
LIU Jialu	BKX396	Licensed Representative	9	
LIU Wing Yi	ARC090	Licensed Representative		4
LO Chiu Lam, Alex	BKN521	Licensed Representative	4	
LO Dickson Tat San	AVM658	Licensed Representative	4	
LOONG Kwok Chueng	AEU391	Licensed Representative	6	
LU Xiaohao	BHF484	Licensed Representative	4	
MA Linlin	ASK727	Licensed Representative	4	2, 3
MAK Wing Yin	ACL289	Licensed Representative		2
MAN Tsz Ming	AWH563	Licensed Representative	2, 5	
MARTIN Charlotte Delphine Cindy	BGE260	Licensed Representative		4, 5
MOK Chui Shan	BCW529	Licensed Representative	1	
MOK Ho Nam	BLK652	Licensed Representative	9	
MOK Michael	AHO797	Licensed Representative		2
NG Chi Hung	APK407	Licensed Representative	2, 5	
NG Chun Tak, Kenneth	AOY069	Licensed Representative	9	
NG Frederick Chee Hung	AND332	Licensed Representative		3
NG John	BBM638	Licensed Representative	1	
NG Kim Fung, Jason	BGG503	Licensed Representative	2	
NG Kwan Ying	BBM325	Licensed Representative	4	
NG Wai Kit	AVT524	Licensed Representative	4	
NG Wing Shan	AXI704	Licensed Representative	5	
PAN Yuefeng	AXY505	Licensed Representative		1, 2
PANG Lai Kwan	AUV853	Licensed Representative		4
PANG Yeung Ping	AVE982	Licensed Representative		7
PARK Ji Eun	AYZ987	Licensed Representative		4, 5
POULIOT Bernard	AAC651	Licensed Representative		1
PU Cheng-Lun	AWV417	Licensed Representative	1	
QI Boyuan	BDE297	Licensed Representative		4
RUAN Tim	BGX412	Licensed Representative		4
SABAS Steffen Erich August	BIB411	Licensed Representative	5	1
SAMPSON Machiko	AJI380	Licensed Representative	2, 5	
SEONG Kyeong Sik	BFZ082	Licensed Representative		6



VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2018

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
SHAN Jialin	BGG144	Licensed Representative	9	
SHEN Chengjie	AVQ799	Licensed Representative		5
SHIM Chi Wing	AUQ930	Licensed Representative	9	
SO Chun Wing	BLL622	Licensed Representative	3	
SO Kin Fan	AFA388	Licensed Representative	2, 4, 5	
SOO Chi Kit	AOI967	Licensed Representative	2	
SUN Jiannan	BJC785	Licensed Representative		1
SUN Qianru	BKL528	Licensed Representative		9
SUN Xiaochen	BHK749	Licensed Representative		7
SUN Yuen Han	AGN808	Licensed Representative		4, 9
SZE Kwun Yu, Jason	AXD898	Licensed Representative	2	
TAI Yik Fei	BJN160	Licensed Representative		1
TAK Yang Hyun	AKO781	Licensed Representative	4, 5, 9	1, 2
TAM Kai Yi, Kelly	AVE810	Licensed Representative	2	
TANG Cheuk Wai	BIN225	Licensed Representative	6	1, 4, 9
TANG Ka Yee, Miranda	AEZ134	Licensed Representative	1	
TANG Yu Lam	AVG579	Licensed Representative	9	
TONG Alex Chi Kai	ATX897	Licensed Representative	7	
TONG Ching Lim, Brian	BFX507	Licensed Representative	1, 4	6
TONG Phoenix	BGS695	Licensed Representative	1	
TSANG Ho Kei	ABB541	Licensed Representative	4	
TSANG On Yee	ALI325	Licensed Representative		6
TSE Tak Li, Terry	AGW560	Licensed Representative	4	
TSOI Chun Sing	BHW830	Licensed Representative		2
TSOI Ka Wai, Derek	AUP395	Licensed Representative	4	
TSUI Man San Michelle	AVJ446	Licensed Representative		2, 3
TSUI Shun Lan	AZI541	Licensed Representative	4	
TSUI Wing Yi	BCN476	Licensed Representative	1	
TUNG Ka Hing	ANU680	Licensed Representative	4	2
WANG Binzhou	BII942	Licensed Representative		1
WANG David Bo	BGE277	Licensed Representative	9	1, 4, 6
WANG Hong	ALQ657	Licensed Representative	1	
WANG Pinyue	BIX930	Licensed Representative		4
WANG Tian	BJP485	Licensed Representative	9	
WANG Yihua	AVK616	Licensed Representative		4
WILLIAMSON Andrew Masters	AXM703	Licensed Representative		2, 4
WONG Anthony Way-choy	BHV377	Licensed Representative	3	
WONG Cheuk Hung	AFC567	Licensed Representative		2
WONG Chin Hung, Cyrus	ATO773	Licensed Representative		1, 2
WONG Ching	AXN060	Licensed Representative	9	
WONG Chun Wai	AAK319	Licensed Representative		4
WONG Chung Tin	BAB906	Licensed Representative		1, 4
WONG Jamie Jun Yin	BBN706	Licensed Representative	7	
WONG Ka Hei	AVL307	Licensed Representative		2
WONG Ka Sing	BDH942	Licensed Representative		4
WONG Ka Wing	AVZ613	Licensed Representative	2	
WONG Kwong Tung	AXL541	Licensed Representative	2	
WONG Kwun Ho	AXK040	Licensed Representative		4
WONG Nga Sze	ATC256	Licensed Representative	1, 2	
WONG Po Hung	AGY911	Licensed Representative		3
WONG Pui Shan	BFP139	Licensed Representative	2, 5	
WONG Stacey Martin	ABM370	Licensed Representative		1
WONG Tin Yam, Alvin	APK149	Licensed Representative	5	
WONG Wing Sze	BJU208	Licensed Representative	9	
WONG Yik Yin, Rick	AZU828	Licensed Representative	1	
WU Zhicheng	BIT202	Licensed Representative	1	
XIANG Lin	BHU250	Licensed Representative	9	



VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2018

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
XIE Mali	BJM104	Licensed Representative	9	
XIE Yunqin	BJG869	Licensed Representative	4, 9	
XU Yue	BFY023	Licensed Representative		1, 4
YANG Chun Wai	ALL411	Licensed Representative		2
YANG Lingzi	BGC783	Licensed Representative	9	
YANG Taiho	BGQ843	Licensed Representative		1
YANG Wan-Hsiang	BGI287	Licensed Representative	2	
YANG Wenqi, Lucky	BKJ915	Licensed Representative	1	
YEUNG Chi Man	AVM936	Licensed Representative		4
YEUNG Ham	ANU667	Licensed Representative		4
YEUNG Man Lok	AUZ880	Licensed Representative	1	
YEUNG Ngo Ching	BJI909	Licensed Representative	1	
YEUNG Siu Cheong	BKL978	Licensed Representative	3	
YI Yen-Hua	ARO058	Licensed Representative		9
YIM Wing Yu	BGZ419	Licensed Representative	2	1
YIP Chi Tsang	AXU900	Licensed Representative		1, 2
YIP Lai Sang, Kelvin	AHG862	Licensed Representative		9
YIP Man Wai	AUM750	Licensed Representative	2	
YIP Wai Yan	AXZ867	Licensed Representative		1, 4, 5
YOU Jian	BDD076	Licensed Representative	1, 4	
YU Ki Bui	ALU837	Licensed Representative		3
ZHANG Han	BIE642	Licensed Representative	10	1, 2, 4, 9
ZHANG Qi	BGP506	Licensed Representative		4
ZHANG Tiansheng	BFM557	Licensed Representative		2
ZHANG Yin	AVS035	Licensed Representative	9	
ZHENG Kongdong	BFA756	Licensed Representative		9
ZHENG Runze	BEJ782	Licensed Representative	9	6
ZHONG Li	BLV208	Licensed Representative	1	
ZHOU Xinwen	BCB923	Licensed Representative	2	4, 6
ZHOU Yake	BGZ975	Licensed Representative	4, 5	
ZHOU You	BHG937	Licensed Representative	1	
ZOU Wenjing	BBJ827	Licensed Representative	9	
AU Kin Yu	BBF288	Licensed Representative - Responsible Officer	4	
BO Shuk Chee	ACN969	Licensed Representative - Responsible Officer	1, 2	
CHAN Chi Hung	AGL461	Licensed Representative - Responsible Officer	9	
CHAN Suet Yee, Shirley	AGI487	Licensed Representative - Responsible Officer	4	
CHEUNG Wai Kwok, Gary	AAC469	Licensed Representative - Responsible Officer	4, 5, 9	
CHEUNG Wing Kwan, Louis	AAH791	Licensed Representative - Responsible Officer	9	
CHOY Shuen Yan, Andy	ABF434	Licensed Representative - Responsible Officer		6
CHU Gang	AVM425	Licensed Representative - Responsible Officer	6	
FUNG Hok Kwan, Edmond	ACK376	Licensed Representative - Responsible Officer	4	
GREENE JR William Jeffrey	AUX423	Licensed Representative - Responsible Officer	5	
KAVVATHAS Dimitrios	ASN702	Licensed Representative - Responsible Officer	2, 4	
KIM Min Soo	AEP532	Licensed Representative - Responsible Officer	1	
KIM Tae Hoon	BIN247	Licensed Representative - Responsible Officer	1	
KWOK Sze Chi	AAE326	Licensed Representative - Responsible Officer	4, 9	
KWONG Kit Ying, Kitty	BDQ940	Licensed Representative - Responsible Officer	4	
LAM Pui Man, Raymond	AFG450	Licensed Representative - Responsible Officer	2	
LAM Wai Yan, Josephine	ADW932	Licensed Representative - Responsible Officer	2	
LAM Ying Kit	ACS549	Licensed Representative - Responsible Officer	9	
LAW Ming Yiu, Felix	ART905	Licensed Representative - Responsible Officer	1	
LEUNG Ho Yin, Scott	ABK257	Licensed Representative - Responsible Officer	4, 9	
LEUNG Wing Sze	BBQ347	Licensed Representative - Responsible Officer	9	
LI Hon Leung	AJC118	Licensed Representative - Responsible Officer	9	
LI Sai Chung	AMO749	Licensed Representative - Responsible Officer	4, 9	
LI Xiaoliang	BGG148	Licensed Representative - Responsible Officer	4	
LI Zhongyuan	AXD238	Licensed Representative - Responsible Officer		1, 4



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CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
LIU Cheng-Yi	BBD532	Licensed Representative - Responsible Officer		6
LIU Kit Ching, Portia	AEZ711	Licensed Representative - Responsible Officer	4	
LO Tin Po	ADN296	Licensed Representative - Responsible Officer	4, 5	
LUNG Tak Wing	AAG270	Licensed Representative - Responsible Officer	4	
MAK Kit Ping	AIR528	Licensed Representative - Responsible Officer	4, 9	
MIZUTANI Tatsumi	ACL455	Licensed Representative - Responsible Officer	2, 5	
NG Laurence Hou Jun	BEL947	Licensed Representative - Responsible Officer	4	
PREST Simon John	BJQ806	Licensed Representative - Responsible Officer	1, 7	
PUN Hong Hai	AHS951	Licensed Representative - Responsible Officer	4, 9	
SUH Jin Won	BBZ479	Licensed Representative - Responsible Officer	4, 6	
TAM Ho Kuen	AEI265	Licensed Representative - Responsible Officer	1, 2	
TANG Chi Yuen, Nick	ADZ536	Licensed Representative - Responsible Officer	4, 5	
TANG Wai Fung	ATN569	Licensed Representative - Responsible Officer	2	
TONG Pok Wai	ARR372	Licensed Representative - Responsible Officer	2	
TSE Raymond	ABF543	Licensed Representative - Responsible Officer	1	
TSUI Pak Fai	ANK382	Licensed Representative - Responsible Officer		1
WONG Chi Ching	ANY418	Licensed Representative - Responsible Officer	1, 4	
WONG Kwong Tung	AXL541	Licensed Representative - Responsible Officer	4	
WONG Shing Kwan	ACS352	Licensed Representative - Responsible Officer	4	
WONG Wai Fun	APK768	Licensed Representative - Responsible Officer	4	
WONG Yi Dung, Eden	AEV789	Licensed Representative - Responsible Officer	4	
WOO Peter	ACY383	Licensed Representative - Responsible Officer	4	
WU Hing Tong, Michael	ACI997	Licensed Representative - Responsible Officer	5	
YOUNG Jeffrey	BKM800	Licensed Representative - Responsible Officer	1, 2	
YOUNG Lai Yan, Angel	AJ1839	Licensed Representative - Responsible Officer	2, 4	
YUAN Hao	AUD136	Licensed Representative - Responsible Officer		1, 4
ZENG Liang	ARB264	Licensed Representative - Responsible Officer		4
KATTEVILDER Jelmer Maarten	BJU618	Licensed Representative (Provisional Licence)	4, 9	
LAI Chun Wing	ANA177	Licensed Representative (Provisional Licence)	1, 2	
ZHONG Li	BLV208	Licensed Representative (Provisional Licence)	1	