

**Disclosure of interests notification under Part XV of the SFO
List of new codes (effective from 3 July 2017)**

Codes of Relevant Events

(See Box 14 of Form 1, Box 17 of Form 2, Box 24 of Form 3A, Box 30 of Form 3B, Box 24 of Form 3C and Box 30 of Form 3D)

Please note that :

- (1) *It may be necessary to go through two to three levels of questions before reaching the relevant event and an event code is only assigned to the last level of question. Code numbers in brackets are interim level(s) of questions and they are not event codes. Only the ultimate event codes will be available for selection.*
- (2) *The same set of event codes apply to all forms but certain events may not be relevant to all forms. For details, please refer to the relevant form and its accompanying directions and instructions for completion.*
- (3) *In Form 1 and Form 2, “shares” are the voting shares in the listed corporation. In Form 3A, “shares” are the shares in the listed corporation. In Form 3B, “shares” are the shares of the associated corporation of the listed corporation. In Form 3C, “debentures” are the debentures of the listed corporation. In Form 3D, “debentures” are the debentures of the associated corporation of the listed corporation.*

Code No. (Level 1)	Code No. (Level 2)	Code No. (Level 3)	Description of event
(100)			<i>You first acquire a notifiable interest because:</i>
(110)			<i>The [percentage level of your interest in the shares / number of shares in which you are interested / number of debentures in which you are interested] has increased because:</i>
(120)			<i>The [percentage level of your interest in the shares / number of shares in which you are interested / number of debentures in which you are interested] has reduced because:</i>
(130)			<i>There has been a change in nature of your interest in the [shares / debentures] because:</i>
(140)			<i>You came to have [a short position of 1% or more in the shares, or the percentage level of your short position in such shares / a short position in the shares or the number of shares in which you have a short position] increased because:</i>
(150)			<i>You ceased to have [a short position of 1% or more in the shares, or the percentage level of your short position in such shares / a short in the shares or the number of shares in which you have a short position] decreased because:</i>
(160)			<i>Approved Lending Agents</i>
(170)			<i>Miscellaneous</i>
(100)			You first acquire a notifiable interest because:
	1001		you purchased the shares
	1002		you were given the shares
	(1003)		you became the holder of, wrote or issued equity derivatives under which (choose one):

Code No. (Level 1)	Code No. (Level 2)	Code No. (Level 3)	Description of event
		10031	you have a right to take the underlying shares
		10032	you are under an obligation to take the underlying shares
		10033	you have a right to receive from another person an amount if the price of the underlying shares is above a certain level
		10034	you are under an obligation to pay another person an amount if the price of the underlying shares is below a certain level
		10035	you have any of the rights or obligations referred to in 10031 to 10034 above embedded in a contract or instrument
	1004		you acquired a security interest in the shares
	1005		you inherited the shares
	1006		you became a beneficiary under a trust interested in the shares
	1007		you took steps to enforce your rights in the shares you hold by way of security as a qualified lender
	1009		you entered into an agreement for the exchange of an instrument for another instrument in respect of the same underlying shares
	1010		you were placed the shares as a placee under a top-up placing
	1011		new shares were issued to you after you have reduced your interest in shares by placing them to placee(s) under a top-up placing
	1012		you became a member of a concert party group or a member of the concert party group acquired more of the shares
	1013		any other event (you must briefly describe the relevant event in the Supplementary Information box)
(110)			The [percentage level of your interest in the shares / number of shares in which you are interested / number of debentures in which you are interested] has increased because:
	1101		you purchased the [shares / debentures]
	1102		you were given the [shares / debentures]
	(1103)		you became the holder of, wrote or issued equity derivatives under which (choose one):
		11031	you have a right to take the underlying shares
		11032	you are under an obligation to take the underlying shares
		11033	you have a right to receive from another person an amount if the price of the underlying shares is above a certain level
		11034	you are under an obligation to pay another person an amount if the price of the underlying shares is below a certain level
		11035	you have any of the rights or obligations referred to in 11031 to 11034 above embedded in a contract or instrument
	1104		you acquired a security interest in the [shares / debentures]
	1105		you inherited the [shares / debentures]
	1106		you became a beneficiary under a trust interested in the [shares / debentures]
	1107		you took steps to enforce your rights in the shares you hold by way of security as a qualified lender
	1108		your spouse ceased to be a director of chief executive of the listed corporation

Code No. (Level 1)	Code No. (Level 2)	Code No. (Level 3)	Description of event
	1109		you entered into an agreement for the exchange of an instrument for another instrument in respect of the same underlying shares
	1110		you were placed the shares as a placee under a top-up placing
	1111		new shares were issued to you after you have reduced your interest in shares by placing them to placee(s) under a top-up placing
	1112		you became a member of a concert party group or a member of the concert party group acquired more of the shares
	1113		any other event (you must briefly describe the relevant event in the Supplementary Information box)
(120)			The [percentage level of your interest in the shares / number of shares in which you are interested / number of debentures in which you are interested] has reduced because:
	1201		you completed a sale of the [shares / debentures]
	1202		you made a gift of the [shares / debentures]
	1203		you delivered the shares or an amount due under equity derivatives
	(1204)		expiry or cancellation without exercise of equity derivatives under which (choose one):
		12041	you had a right to take the underlying shares
		12042	you were under an obligation to take the underlying shares
		12043	you had a right to receive from another person an amount if the price of the underlying shares was above a certain level
		12044	you were under an obligation to pay another person an amount if the price of the underlying shares was below a certain level
		12045	you had any of the rights or obligations referred to in 12041 to 12044 above embedded in a contract or instrument
	1205		you ceased to have a security interest in the [shares / debentures]
	1206		you did not take up, or sold, rights in a rights issue
	1207		your spouse became a director or chief executive of the listed corporation
	1208		you entered into an agreement for the exchange of an instrument for another instrument in respect of the same underlying shares
	1209		you placed the shares to placee(s) under a top-up placing
	1210		new shares were issued in a top-up placing
	1211		you have ceased to be a member of a concert party group or a member of the concert party group has disposed of some of the shares
	1212		cancellation of debentures upon repayment
	1213		any other event (you must briefly describe the relevant event in the Supplementary Information box)
(130)			There has been a change in nature of your interest in the [shares / debentures] because:

Code No. (Level 1)	Code No. (Level 2)	Code No. (Level 3)	Description of event
	1301		the [shares / debentures] have been delivered to you and you have not previously notified the purchase of the [shares / debentures]
	1302		you have entered into an agreement for the sale of [shares / debentures] in which you are interested [but are not required to deliver them within 4 trading days]
	1303		you have exercised rights [to the shares under equity derivatives / under an agreement including derivatives by a person deriving from the debentures]
	1304		rights [to the shares under equity derivatives / under an agreement including derivatives deriving from the debentures] have been exercised against you
	1305		you have provided an interest in the [shares / debentures] as security to a person other than a qualified lender
	1306		an interest in the [shares / debentures], that you provided as security to a person other than a qualified lender, has been released
	1307		you have taken steps to enforce a security interest in the [shares / debentures], or rights to such shares held as security, and you are not a qualified lender
	1308		steps have been taken to enforce a security interest in the [shares / debentures], or rights to such [shares / debentures] held as security, against you
	1309		you are a beneficiary under a will and the [shares / debentures] have been transferred to you by an executor
	1310		you are a beneficiary under a trust and the [shares / debentures] have been transferred to you by a trustee
	1311		you have delivered the [shares / debentures] to a person who had agreed to borrow them
	1312		the [shares / debentures] lent by you have been returned to you
	1313		you have lent the [shares / debentures] under a securities borrowing and lending agreement
	1314		you have recalled the [shares / debentures] under a securities borrowing and lending agreement
	1315		you have declared a trust over [shares / debentures] that you continue to hold
	1316		any other event (you must briefly describe the relevant event in the Supplementary Information box)
(140)			You came to have [a short position of 1% or more in the shares, or the percentage level of your short position in such shares / a short position in the shares or the number of shares in which you have a short position] increased because:
	(1401)		you became the holder of, wrote or issued equity derivatives under which (choose one):
		14011	you have a right to require another person to take delivery of the underlying shares
		14012	you are under an obligation to deliver the underlying shares
		14013	you have a right to receive from another person an amount if the price of the underlying shares is below a certain level

Code No. (Level 1)	Code No. (Level 2)	Code No. (Level 3)	Description of event
		14014	you are under an obligation to pay another person an amount if the price of the underlying shares is above a certain level
		14015	you have any of the rights or obligations referred to in 14011 to 14014 above embedded in a contract or instrument
	1402		you borrowed the shares under a securities borrowing and lending agreement
	1403		any other event (you must briefly describe the relevant event in the Supplementary Information box)
(150)			You ceased to have [a short position of 1% or more in the shares, or the percentage level of your short position in such shares / a short in the shares or the number of shares in which you have a short position] decreased because:
	(1501)		expiry or cancellation without exercise of equity derivatives under which (choose one):
		15011	you have a right to require another person to take delivery of the underlying shares
		15012	you are under an obligation to deliver the underlying shares
		15013	you have a right to receive from another person an amount if the price of the underlying shares is below a certain level
		15014	you are under an obligation to pay another person an amount if the price of the underlying shares is above a certain level
		15015	you have any of the rights or obligations referred to in 15011 to 15014 above embedded in a contract or instrument
	1502		you returned the shares borrowed under a securities borrowing and lending agreement
	1503		any other event (you must briefly describe the relevant event in the Supplementary Information box)
(160)			Approved Lending Agents
	(1601)		Notice under section 5(4) of the Securities and Futures (Disclosure of Interests – Securities Borrowing and Lending) Rules by an approved lending agent (choose one):
		16011	the percentage level of your interest in the shares held in your lending pool is taken to have increased
		16012	the percentage level of your interest in the shares held in your lending pool is taken to have reduced
	(1602)		Notice under section 5(4) of the Securities and Futures (Disclosure of Interests – Securities Borrowing and Lending) Rules by a person that controls an approved lending agent (choose one):
		16021	the percentage level of your interest in the shares held in the lending pool of the approved lending agent is taken to have increased
		16022	the percentage level of your interest in the shares held in the lending pool of the approved lending agent is taken to have reduced
(170)			Miscellaneous
	1701		On listing of the corporation or a class of [shares / debentures] of the listed corporation
	1702		Notice filed to remove outdated information (if you select this Code you must state the outdated information in the

Code No. (Level 1)	Code No. (Level 2)	Code No. (Level 3)	Description of event
			Supplementary Information box and identify the box which contains the updated information)
	1703		Notice filed because of a change in the threshold for disclosure
	1704		Notice filed because you ceased to have a notifiable interest in the shares of the listed corporation (you must briefly describe the relevant event in the Supplementary Information box)
	1705		Notice filed because you became a director or chief executive of the listed corporation
	1706		Notice filed because you ceased to be a director or chief executive of the listed corporation
	1707		Notice filed because the corporation became an associated corporation of the listed corporation
	1708		Notice filed because the corporation ceased to be an associated corporation of the listed corporation
	1709		Notice filed because the corporation changed its name
	1710		Voluntary disclosure (you must briefly describe the relevant event in the Supplementary Information box)
	1711		Other (you must briefly describe the relevant event in the Supplementary Information box)

Codes of Capacity

(See Boxes 14 and 17 of Form 1, Boxes 17 and 20 of Form 2, Boxes 24 and 27 of Form 3A, Boxes 30 and 33 of Form 3B, Boxes 24 and 26 of Form 3C and Boxes 30 and 32 of Form 3D)

Code No.	Description of the capacity in which you held the interest or short position in shares that is acquired, disposed of or changed
	Common capacities
2101	Beneficial owner
2102	Investment manager
2103	Interests held jointly with another person
2104	Agent
2105	Underwriter
2106	Person having a security interest in shares
	Interests by attribution
2201	Interest of corporation controlled by you
2202	Interest of your spouse
2203	Interest of your child under 18 years of age
	Trusts and similar interests
2301	Trustee
2302	Custodian (other than an exempt custodian interest)
2303	Depository
2304	Executor or administrator
2305	Beneficiary of a trust (other than a discretionary interest)
2306	Nominee for another person (other than a bare trustee)
2307	Founder of a discretionary trust who can influence how the trustee exercises his discretion
	Persons acting in concert
2401	A concert party to an agreement to buy shares described in s.317(1)(a)
2402	A person making a loan or providing security to buy shares described in s.317(1)(b)
	Miscellaneous
2501	Other (you must describe the capacity in the Supplementary Information box)
2502	Approved lending agent

Codes of Nature of Consideration

(See Box 14 of Form 1, Box 17 of Form 2, Box 24 of Form 3A, Box 30 of Form 3B, Box 24 of Form 3C and Box 30 of Form 3D)

Code No.	Description of consideration for off-exchange transactions
3101	Cash
3102	Assets other than cash
3103	Surrender of rights to shares/debentures
3104	Services

Codes of Category of Derivatives

(See Box 18 of Form 1, Box 21 of Form 2, Box 28 of Form 3A and Box 34 of Form 3B)

Code No.	Common categories of derivatives
	Listed derivatives
4101	Physically settled
4102	Cash settled
4103	Convertible instruments
4104	Other (you must describe the category of derivatives in the Supplementary Information box)
	Unlisted derivatives
4105	Physically settled
4106	Cash settled
4107	Convertible instruments
4108	Other (you must describe the category of derivatives in the Supplementary Information box)

Codes of Status in relation to a Trust

(See Box 22 of Form 1, Box 24 of Form 2, Box 32 of Form 3A, Box 38 of Form 3B, Box 31 of Form 3C and Box 37 of Form 3D)

Code No.	Status in relation to a trust
5101	Trustee of a trust
5102	Beneficiary of a trust (other than a discretionary interest)
5103	Founder of a discretionary trust who can influence how the trustee exercises his discretion

Codes of Relationship with Controller

(See Box 26 of Form 2)

Code No.	Status in relation to a trust
6101	Controller is your immediate holding company
6102	Controller is your intermediate holding company
6103	Controller is your ultimate holding company
6104	Controller is a director
6105	Controller is a shadow director
6106	Other

Codes of Class of Debentures

(See Box 5 of Form 3C and Form 8 of Form 3D)

Code No.	Class of debentures (Box 5)
7101	Freely transferable and convertible into shares of [the listed corporation / the association corporation of the listed corporation]
7102	Freely transferable and convertible into shares of a corporation other than [the listed corporation / the associated corporation of the listed corporation]
7103	Not freely transferable but convertible into shares of [the listed corporation / the associated corporation of the listed corporation]
7104	Not freely transferable but convertible into shares of a corporation other than [the listed corporation / the associated corporation of the listed corporation]
7105	Not freely transferable and not convertible into shares of a corporation
7106	Other (you must describe the class of debentures in the Supplementary Information box)