

## Exemptions granted under section 309(2) of the Securities and Futures Ordinance (Cap. 571)

Exemptions granted in respect of (i) corporations issuing securities listed on The Stock Exchange of Hong Kong Limited and (ii) other persons are set out below. These exemptions are granted to (in respect of (i)) the corporations, their substantial shareholders, directors and chief executives and (in respect of (ii)) other persons, from compliance with Part XV of the Securities and Futures Ordinance in respect of the obligations that derive from their interests in the securities listed on The Stock Exchange of Hong Kong Limited. This list is updated every month.

Guidelines setting out the basis on which exemptions are granted under s.309 of the SFO are published on the Part XV page of the SFC's website. The exemptions available fall into 5 categories, which are described in the Guidelines.

### Category 1 partial exemptions for corporations whose shares are listed on The Stock Exchange of Hong Kong Limited and on another stock exchange

Name	SEHK stock codes (Note 1)	
1 Manulife Financial Corporation	0945 - S	
2 Standard Chartered PLC	2888	(and 4305, 5348, 5890, 5986, 40276 and 40518)
3 Prudential plc	2378	
4 Fast Retailing Co., Ltd. - DR	6288 - S	(only applies to Fast Retailing Co., Ltd and its substantial shareholders)
5 Alibaba Group Holding Limited	9988 - SW	
6 JD.com, Inc.	9618 - SW	
7 NetEase, Inc.	9999 - S	
8 Huazhu Group Limited	1179 - S	
9 GDS Holdings Limited	9698 - SW	
10 New Oriental Education & Technology Group Inc.	9901 - S	
11 Autohome Inc.	2518 - S	
12 Baidu, Inc.	9888 - SW	
13 Trip.com Group Limited	9961 - S	
14 Weibo Corporation	9898 - SW	
15 NIO Inc.	9866 - SW	
16 Tencent Music Entertainment Group	1698 - SW	
17 360 Digitech, Inc.	3660 - S	

Note 1: S - Secondary listed companies  
W - Companies with weighted voting right structures  
B - Biotech companies listed under Chapter 18A of the Listing Rules

### Category 2 exemptions for corporations none of whose shares are listed on The Stock Exchange of Hong Kong Limited

(a) Category 2 exemptions for corporations (none of whose shares are listed on The Stock Exchange of Hong Kong Limited) in respect of their structured products which are currently listed on The Stock Exchange of Hong Kong Limited

Name	Period of exemption (Note 2)	
	Commencing on	Ending on
1 Bank Vontobel AG	31 May 2020	30 May 2024
2 BOCI Aisa Limited	21 December 2007	20 December 2024
3 BNP Paribas Issuance B.V.	21 December 2007	20 December 2024
4 CITIC Securities Brokerage (HK) Limited	22 February 2024	21 February 2025
5 Citigroup Global Markets Europe AG	1 November 2019	31 October 2024
6 Credit Suisse AG	21 December 2007	20 December 2024
7 DBS Bank Ltd.	24 August 2021	23 August 2024
8 Goldman Sachs Structured Products (Asia) Limited	21 December 2007	20 December 2024
9 Guotai Junan Securities (Hong Kong) Limited	28 October 2019	27 October 2024
10 Haitong International Securities Company Limited	16 March 2017	15 March 2025
11 Huatai Financial Holdings (Hong Kong) Limited	1 June 2023	31 May 2024
12 J.P. Morgan Structured Products B.V.	21 December 2007	20 December 2024
13 Korea Investment & Securities Asia Limited	4 December 2023	3 December 2024
14 Macquarie Bank Limited	1 January 2008	31 December 2024
15 Morgan Stanley Asia Products Limited	22 July 2019	21 July 2024
16 SG Issuer	1 April 2016	31 March 2025
17 The Hongkong and Shanghai Banking Corporation Ltd	23 December 2007	22 December 2024
18 UBS AG	21 December 2007	20 December 2024

Note 2: Exemptions for these corporations are granted for periods of 12 months (or less) and exempt structured products issued and became listed on The Stock Exchange of Hong Kong Limited during the period of exemption for the life of the structured products.

(b) Category 2 exemptions for corporations (none of whose shares are listed on The Stock Exchange of Hong Kong Limited) in respect of their debt securities issued under debt programmes and listed on The Stock Exchange of Hong Kong Limited

Name	Programme
1 AirAsia Global Notes Limited	US\$1,000,000,000 Multicurrency Medium Term Note Programme
2 Amipeace Ltd.	HK\$20,000,000,000 Medium Term Note Programme
3 AMTD Group Company Limited	U.S.\$500,000,000 Medium Term Note Programme
4 AMTD IDEA Group	U.S.\$1,000,000,000 Medium Term Note Programme
5 AVIC International Leasing Co., Ltd.	US\$500,000,000 Medium Term Note Programme
6 AVIC International Leasing Co., Ltd.	U.S.\$500,000,000 Medium Term Note Programme
7 Azure Nova International Finance Limited	U.S.\$10,000,000,000 Medium Term Note Programme
8 Azure Orbit II International Finance Limited	US\$1,000,000,000 Medium Term Note Programme

9	Azure Orbit IV International Finance Limited	U.S.\$7,000,000,000 Medium Term Note Programme
10	Bank of China (Hong Kong) Limited	U.S.\$15,000,000,000 Medium Term Note Programme
11	Bank of China (Luxembourg) S.A.	US\$30,000,000,000 Medium Term Note Program
12	Beijing Capital Polaris Investment Co. Ltd.	U.S.\$1,000,000,000 Medium Term Note Programme
13	Bluestar Finance Holdings Limited	US\$3,000,000,000 Medium Term Note and Perpetual Securities Programme
14	Bocom Leasing Management Hong Kong Company Limited	US\$10,000,000,000 Medium Term Note Programme
15	Boom Up Investments Limited	US\$1,000,000,000 Medium Term Note Programme
16	CALC Bonds Limited	US\$3,000,000,000 Medium Term Note Programme
17	Castle Peak Power Finance Company Limited	U.S.\$2,000,000,000 Medium Term Note Programme
18	Cathay Pacific MTN Financing (HK) Limited	US\$2 billion Medium Term Note Programme
19	CCBL (Cayman) 1 Corporation Limited	US\$5,000,000,000 Guaranteed Medium Term Note Programme
20	CDBI Treasure I Limited	US\$500,000,000 Medium Term Note Programme
21	CDBL Funding 1	U.S.\$3,000,000,000 Medium Term Note Programme
22	CDBL Funding 1	US\$3,000,000,000 Medium Term Note Programme
23	CDBL Funding 2	US\$3,000,000,000 Medium Term Note Programme
24	Central Plaza Development Limited	US\$2,000,000,000 Guaranteed Medium Term Programme
25	CGNPC INTERNATIONAL LIMITED	US\$2,000,000,000 Guaranteed Medium Term Note Programme
26	Chailease International Finance Corporation	U.S.\$500,000,000 Medium Term Note Programme
27	Champion MTN Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
28	Charming Light Investments Ltd. and United Wealth Development Ltd.	US\$8,000,000,000 Medium Term Note Programme
29	Cheung Kong Finance (MTN) Limited and Joynote Ltd	US\$2,000,000,000 Medium Term Note Programme
30	Cheung Kong Infrastructure Finance (BVI) Limited	US\$2,000,000,000 Euro Medium Term Note Programme
31	China Cinda (2020) I Management Limited	U.S.\$4,000,000,000 Guaranteed Medium Term Note Programme
32	China Cinda (2020) I Management Limited	US\$2,000,000,000 Medium Term Note Programme
33	China Cinda Finance (2015) I Limited and China Cinda Finance (2015) II Limited	US\$3,000,000,000 Guaranteed Medium Term Note Programme
34	China Cinda Finance (2017) I Limited and China Cinda Finance (2017) II Limited	US\$10,000,000,000 Medium Term Note Programme
35	China CITIC Bank International Limited	US\$3,000,000,000 Medium Term Note Programme
36	China Construction Bank (Asia) Corporation Limited	US\$5,000,000,000 Medium Term Note Programme
37	China Development Bank Corporation and China Development Bank Corporation Hong Kong Branch	US\$30,000,000,000 Debt Issuance Programme
38	China Great Wall International Holdings III Limited	U.S.\$6,500,000,000 Medium Term Note Programme
39	China Overseas Finance (Cayman) VIII Limited	US\$2.5 billion medium note programme
40	CICC Hong Kong Finance 2016 MTN Limited	US\$10,000,000,000 Guaranteed Medium Term Note Programme
41	CITIC Securities Finance MTN Co., Ltd.	US\$3 billion Medium Term Note Programme
42	CK Property Finance (MTN) Limited	US\$5,000,000,000 Euro Medium Term Note Programme
43	CMB International Leasing Management Limited	US\$20,000,000,000 Medium Term Note Programme
44	CMB Wing Lung Bank Limited	U.S. \$2,000,000,000 Euro Medium Term Note Programme
45	CMBLEMTN1 Limited	U.S.\$5,000,000,000 Medium Term Note Programme
46	CMS International Gemstone Limited	US\$2,000,000,000 Medium Term Note Programme
47	CNCBINV 1 (BVI) Limited	US\$2,000,000,000 Medium Term Note Programme
48	CNPC General Capital Limited	US\$7,000,000,000 Euro Medium Term Note Programme
49	Connery Capital Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
50	COSL Singapore Capital Ltd.	US\$3,500,000,000 Euro Medium Term Note Programme
51	CSCIF Asia Limited	US\$3,000,000,000 Medium Term Note Programme
52	CSCIF Hong Kong Limited	US\$4,000,000,000 Medium Term Note Programme
53	CSI MTN Limited	U.S.\$3,000,000,000 Medium Term Note Programme
54	Dah Sing Bank, Limited	US\$2,000,000,000 Euro Medium Term Note Programme
55	DianJian Haiyu Limited, Dianjian International Finance Limited, Leader Goal International Limited, SEPCO Virgin Limited	U.S.\$2,500,000,000 Medium Term Note and Senior Perpetual Securities Programme
56	Eastern Creation II Investment Holdings Ltd.	US\$6,000,000,000 Guaranteed Euro Medium Term Note Programme
57	Everbright SHK (BVI) Limited	US\$1,000,000,000 Medium Term Note Programme
58	FEC Finance Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
59	Franshion Brilliant Limited	US\$2,000,000,000 Medium Term Note Programme
60	Future Days Limited	US\$5,000,000,000 Medium Term Note Programme
61	FWD Group Holdings Limited	US\$5,000,000,000 Medium Term Note and Capital Securities Programme
62	GE (MTN) LIMITED	US\$2,000,000,000 Guaranteed Medium Term Note Programme
63	Gemdale Ever Prosperity Investment Limited	US\$2,000,000,000 Guaranteed Medium Term Note Programme
64	GLP China Holdings Limited	HK\$20,000,000,000 Medium Term Note Programme
65	Goodman HK Finance	US\$2,000,000,000 Medium Term Note Programme
66	Greenland Global Investment Limited	US\$8,000,000,000 Guaranteed Medium Term Notes Programme
67	Guangzhou Metro Investment Finance (BVI) Ltd.	US\$3,000,000,000 Guaranteed Medium Term Note Programme
68	Guotai Junan Holdings Limited	USD3,000,000,000 Medium Term Note Programme
69	Haitong International Finance Holdings Limited	USD1,000,000,000 Guaranteed Medium Term Note Programme
70	Haitong UT Brilliant Limited	USD1,000,000,000 Guaranteed Medium Term Note Programme
71	Henderson Land MTN Limited	US\$7,000,000,000 Guaranteed Medium Term Note Programme
72	HKCG (Finance) Limited	US\$3,000,000,000 Medium Term Note Programme
73	HLP Finance Limited	US\$4 billion Medium Term Note Programme
74	Hong Kong Science and Technology Parks Corporation	HK\$1,707,000,000 Guaranteed Medium Term Note Programme
75	Horse Gallop Finance Limited	US\$2,000,000,000 Guaranteed Medium Term Note Programme
76	Horsepower Finance Limited	US \$1,200,000,000 Medium Term Note Programme
77	Huarong Finance 2017 Co., Ltd.	U.S.\$14,500,000,000 Medium Term Note Programme
78	Huarong Finance 2019 Co., Ltd.	US\$2,900,000,000 Medium Term Note Programme
79	Huarong Finance II Co., Ltd	US\$11,000,000,000 Medium Term Note Programme
80	Huarong Leasing Management Hong Kong Company Limited	U.S.\$1,000,000,000 Medium Term Note Programme
81	Huatai International Finance Limited	US\$3,000,000,000 Medium Term Note Programme
82	Hysan (MTN) Limited	US\$4,000,000,000 Medium Term Note Programme
83	ICBCIL Finance Co. Limited	US\$20,000,000,000 Medium Term Note Programme
84	Industrial and Commercial Bank of Asia (China) Limited	U.S.\$6,000,000,000 Medium Term Note Programme
85	Industrial Bank Co., Ltd.	U.S.\$5,000,000,000 Medium Term Note Programme
86	Inventive Global Investments Limited	U.S.\$3,500,000,000 Medium Term Note Programme
87	Inventive Global Investments Limited	U.S.\$3,500,000,000 Medium Term Note Programme
88	Joy Treasure Assets Holdings Inc.	USD1,400,000,000 Medium Term Note Programme
89	Joyous Glory Group Limited	US\$1,000,000,000 Medium Term Note Programme
90	K.Wah International Financial Services Limited	US\$1,000,000,000 Medium Term Note Programme
91	Kunming Traffic Investment Co., Ltd.	US\$2,000,000,000 Medium Term Note Programme

92	Lai Fung MTN Limited	US\$2,000,000,000 Medium Term Note Programme
93	LAI SUN MTN LIMITED	US\$2,000,000,000 Medium Term Note Programme
94	Legend Fortune Limited	US\$2,000,000,000 Medium Term Note Programme
95	Midea Investment Development Company Limited	US\$3,000,000,000 Medium Term Note Programme
96	Modern Terminals Capital Limited	US\$1 billion Medium Term Note Programme
97	MTR Corporation (C.I.) Limited	US\$4 billion Medium Term Note Programme
98	New World China Land Limited	US\$2,000,000,000 Medium Term Note Programme
99	NWD (MTN) Limited	US\$7,000,000,000 Medium Term Note Programme
100	NWS (MTN) Limited	US\$1,000,000,000 Medium Term Note Programme
101	P&R International Treasury Limited	U.S.\$300,000,000 Medium Term Note and Perpetual Securities Programme
102	PETRONAS Capital Limited and PETRONAS Energy Canada Ltd.	US\$15,000,000,000 Medium Term Note Programme
103	Pingan Real Estate Capital Limited	US\$1,000,000,000 Medium Term Note Programme
104	Pioneer Reward Limited	USD3,000,000,000 Medium Term Note Programme
105	Power Assets Finance Limited	U.S.\$2,000,000,000 Medium Term Note Programme
106	Proven Glory Capital Limited	US\$5,000,000,000 Medium Term Note Programme
107	QNB Finance Ltd	U.S.\$22,500,000,000 Medium Term Note Programme
108	R-REIT International Finance Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
109	RH International Finance Limited	US\$1,000,000,000 Medium Term Note Programme
110	Rongshi International Finance Limited	US\$3,000,000,000 Medium Term Note Programme
111	Shanghai Pudong Development Bank Co., Ltd., Hong Kong Branch	US\$5,000,000,000 Euro Medium Term Note Programme
112	Sichuan Development Holding Co., Ltd. and Yieldking Investment Limited	US\$2,000,000,000 Medium Term Note Programme
113	Silverbell Asia Limited	US\$1,000,000,000 Medium Term Note Programme
114	Sino (MTN) Limited	US\$1,000,000,000 Medium Term Note Programme
115	Sinochem Offshore Capital Company Limited	US\$15,000,000,000 Global Medium Term Note Programme
116	Soar Great Limited	US\$1,500,000,000 Guaranteed Medium Term Note Programme
117	Soar Wind Ltd	US\$3,500,000,000 Guaranteed Medium Term Note and Perpetual Capital Securities Programme
118	Soar Wise Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
119	SPIC Luxembourg Latin America Renewable Energy Investment Company S.à r.l., SPIC MTN Company Ltd.	U.S.\$3,000,000,000 Medium Term Note and Perpetual Securities Programme
120	Splendid International Investment Limited	U.S.\$1,500,000,000 Medium Term Note Programme
121	Standard Chartered Bank (Hong Kong) Limited and Standard Chartered Bank	US\$50,000,000,000 Debt Issuance Programme
122	State Elite Global Limited	US\$2,700,000,000 Medium Term Note Programme
123	State Grid Overseas Investment (2016) Limited	US\$15,000,000,000 Medium Term Note Programme
124	Sun Hung Kai Properties (Capital Market) Limited	US\$10,000,000,000 Medium Term Note Programme
125	Sunlight REIT MTN Limited	US\$1,000,000,000 Medium Term Note Programme
126	Swire Pacific MTN Financing (HK) Limited	US\$5,000,000,000 Medium Term Note Programme
127	Swire Pacific MTN Financing Limited	US\$5,000,000,000 Medium Term Note Programme
128	Swire Properties MTN Financing Limited	US\$3,000,000,000 Medium Term Note Programme
129	TCCL (Finance) Limited	U.S.\$2,000,000,000 Medium Term Note Programme
130	TFI Overseas Investment Limited	U.S.\$750,000,000 Structured Note and Medium Term Note Programme
131	The Hongkong and Shanghai Banking Corporation Limited	US\$20,000,000,000 Medium Term Note Programme
132	The Hong Kong Mortgage Corporation Limited	US\$30,000,000,000 Medium Term Note Programme
133	The Link Finance (Cayman) 2009 Limited	US\$5,000,000,000 Guaranteed Euro Medium Term Note Programme
134	Unican Limited	US\$1,000,000,000 Guaranteed Euro Medium Term Note Programme
135	Urban Renewal Authority	US\$1 billion Medium Term Note Programme
136	Vanke Real Estate (Hong Kong) Company Limited	US\$9,500,000,000 Medium Term Note Programme
137	Westwood Group Holdings Limited	US\$3,000,000,000 Medium Term Note Programme
138	Wharf Finance (BVI) Limited, Wharf Finance (BVI) No.1 Limited, Wharf Finance (No.1) Limited and Wharf Finance Limited	US\$7 billion Medium Term Note Programme
139	Wharf REIC Finance (BVI) Limited	U.S.\$5,000,000,000 Medium Term Note Programme
140	Wheelock MTN (BVI) Limited	US\$5 billion Medium Term Note Programme
141	Wuhan Metro Group Co., Ltd.	U.S.\$1,000,000,000 Medium Term Note Programme
142	Xingcheng (BVI) Limited	US\$1,500,000,000 Medium Term Note Programme
143	Yuexiu REIT MTN Company Limited	US\$1,500,000,000 Medium Term Note Programme
144	Zhejiang Energy International Limited	USD2,000,000,000 Medium Term Note Programme

(c) Category 2 exemptions for corporations (none of whose shares are listed on The Stock Exchange of Hong Kong Limited) in respect of their particular issue of debt securities which are currently listed on The Stock Exchange of Hong Kong Limited

		SEHK Stock codes
1	Agricultural Development Bank of China	85909
2	Agricultural Development Bank of China	85924
3	Airport Authority	4701
4	Alibaba Group Holding Limited	5844
5	Alibaba Group Holding Limited	5845
6	Anhui Boyang Urban Construction Holding Group Co., Ltd.	5429
7	Anhui Transportation Holding Group (H.K.) Limited	40815
8	Anji Qicai Lingfeng Rural Tourism Investment Co., Ltd	40844
9	Anji Qicai Lingfeng Rural Tourism Investment Co., Ltd	4531
10	AVIC International Finance & Investment Limited	40388
11	AVIC International Finance & Investment Limited	40563
12	AVIC International Finance & Investment Limited	40919
13	AVIC International Finance & Investment Limited	5012
14	Azure Orbit III International Finance Limited	5620
15	Bank of Communications (Hong Kong) Limited	40176
16	Bank of Communications (Hong Kong) Limited	40751
17	Baoji Investment (Group) Co., Ltd.	40959
18	Bauhinia ILBS 1 Limited	5797
19	Bauhinia ILBS 1 Limited	5790
20	Bauhinia ILBS 1 Limited	5791

21	Bauhinia ILBS 1 Limited	5792
22	Bauhinia ILBS 1 Limited	5795
23	BCEG (HongKong) Company Limited	40743
24	Beijing Environment (BVI) International Co., Ltd	40860
25	Beijing Gas Singapore Capital Corporation	4425
26	Beijing State-Owned Assets Management (Hong Kong) Company Limited	5521
27	Beijing State-owned Capital Operation and Management Center Investment Holdings Limited	4495
28	Bengbu Gaoxin Investment Group Co., Ltd.	5412
29	Bi Hai Co., Ltd.	4540
30	Bijie Shengfeng Agricultural Development Co., Ltd.	5799
31	Binjiang Guotou Limited	5071
32	Binzhou Bincheng Economic Development Investment Company Limited	5420
33	Black Peony (Hong Kong) Holding Co., Limited	5839
34	Blossom Joy Limited	40426
35	Blossom Joy Limited	40427
36	Blue Bright Limited	40596
37	Blue Bright Limited	40245
38	Blue Skyview Company Limited	5364
39	Bluestar Finance Holdings Limited	4550
40	Bluestar Finance Holdings Limited	5089
41	Bluestar Finance Holdings Limited	5599
42	Bluestar Finance Holdings Limited	40274
43	Bluestar Finance Holdings Limited	4487
44	BNY Trust Company of Australia Limited	5766
45	BNY Trust Company of Australia Limited	40142
46	BNY Trust Company of Australia Limited	40878
47	Boen Investment Limited	40941
48	Boen Investment Limited	40942
49	Bright Galaxy International Limited	40762
50	Cable and Wireless International Finance B.V.	1077
51	Cable and Wireless International Finance B.V.	1078
52	Caofeidian State Holding Investment Group Company Limited	4456
53	Cathay Pacific Finance III Limited	40580
54	CCB Life Insurance Company Limited	5437
55	CCCI Treasure Limited	5893
56	CCCI Treasure Limited	40157
57	CCCI Treasure Limited	40158
58	CCTHK 2021 Ltd.	4410
59	CCTHK 2021 Ltd.	4411
60	CDBL Funding 1	5850
61	CEBI Splendid Limited	40962
62	Celestial Dynasty Limited	5594
63	CELESTIAL MILES LIMITED	5706
64	CENTRAL INTERNATIONAL DEVELOPMENT (BVI) LIMITED	40812
65	Central Plaza Development Ltd.	5919
66	CGNPC International Limited	5059
67	CGNPC International Limited	5154
68	CGNPC International Limited	5519
69	Chalco Hong Kong Investment Company Limited	5920
70	Chalco Hong Kong Investment Company Limited	5727
71	Chalco Hong Kong Investment Company Limited	5985
72	Chalco Hong Kong Investment Company Limited	40783
73	Chalco Hong Kong Investment Company Limited	40784
74	Chalieco Hong Kong Corporation Limited	5321
75	Chalieco Hong Kong Corporation Limited	5702
76	Chalieco Hong Kong Corporation Limited	40070
77	Champion Path Holdings Limited	40559
78	Champion Path Holdings Limited	40560
79	Chang Development International Limited	40827
80	Chang Development International Limited	5318
81	Chang Development International Limited	40160
82	Changde Economic Construction Investment Group Co., Ltd.	5334
83	Changde Urban Construction and Investment Group Co., Ltd.	5439
84	Changde Urban Construction and Investment Group Co., Ltd.	5892
85	Changde Urban Development Group Co., Ltd.	84476
86	Changde Urban Development Group Co., Ltd.	84494
87	Changsha Jinxia New District City Development Co., Ltd	5228
88	Changxing Urban Construction Investment Group Co., Ltd.	4552
89	Charter Style International Limited	85993
90	Chengda International Co., Limited	5663
91	Chengda International Co., Limited	84456
92	Chengdu Aerotropolis City Development Group Co., Ltd.	40865
93	Chengdu Aerotropolis City Development Group Co., Ltd.	5784
94	Chengdu Airport Xingcheng Investment Group Co., Ltd.	5216
95	Chengdu Communications Investment Group Corporation Limited	5062
96	Chengdu Communications Investment Group Corporation Limited	40948
97	Chengdu Dongfangguangyi Investment Co., Ltd.	5070
98	Chengdu Economic Development Industrial Investment Group Co., Ltd.	5339
99	Chengdu Hi-Tech Investment Group Co., Ltd.	40886
100	Chengdu Jianjiang Investment Group Co., Ltd.	5219
101	Chengdu Jianjiang Investment Group Co., Ltd.	5288
102	Chengdu Jianjiang Investment Group Co., Ltd.	5436
103	Chengdu Jiaozhi Financial Holding Group Co., Ltd.	40645
104	Chengdu Jingkai Guotou Investment Group Co., Ltd.	40956
105	CHENGDU SINO FRENCH ECOLOGICAL PARK INVESTMENT DEVELOPMENT CO., LTD.	5559
106	CHENGDU SINO FRENCH ECOLOGICAL PARK INVESTMENT DEVELOPMENT CO., LTD.	5675
107	Chengdu Tianfu Dagang Group Co., Ltd.	5243
108	Chengdu Tianfu Dagang Group Co., Ltd.	5335
109	Chengdu Tianfu Dagang Group Co., Ltd.	5336

110	Chengdu Tianfu New Area Investment Group Co., Ltd	6011
111	Chengdu Tianfu New Area Investment Group Co., Ltd	4569
112	Chengdu Wenjiang Xingrongxi City Operation Group Co., Ltd	5932
113	Chengdu Xinjin City Industry Development Group Company Ltd.	40180
114	Chengdu Xinjin City Industry Development Group Company Ltd.	84474
115	Chengdu Xisheng Investment Group Co., Ltd.	5500
116	Chengdu Yunlai Investment Group Co., Ltd.	40226
117	Cheung Kong Bond Securities (02) Limited	4559
118	Cheung Kong Bond Securities (03) Limited	4595
119	Cheung Kong Infrastructure Finance (BVI) Limited	5060
120	Cheung Kong Infrastructure Finance (BVI) Limited	40698
121	Cheung Kong Infrastructure Finance (BVI) Limited	40789
122	Chibi City Development Group Co., Ltd.	84505
123	China Cinda Finance (2014) Limited	5748
124	China CITIC Bank International Limited	5199
125	China CITIC Bank International Limited	5728
126	China CITIC Bank International Limited	5831
127	China CITIC Bank International Limited	5992
128	China CITIC Bank International Limited	4594
129	China Clean Energy Development Limited	5568
130	China Development Bank Corporation	85904
131	China Development Bank Corporation	85905
132	China Development Bank Corporation	85934
133	China Gezhouba Group (Hong Kong) Overseas Investment Co., Limited	40493
134	China Great Wall International Holdings IV Limited	5617
135	China Great Wall International Holdings IV Limited	5620
136	China Great Wall International Holdings V Limited	40350
137	China Great Wall International Holdings V Limited	40930
138	China Great Wall International Holdings VI Limited	5205
139	China Huadian Overseas Development 2018 Limited	40261
140	China Huadian Overseas Development Management Company Limited	4533
141	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40094
142	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40095
143	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40502
144	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40503
145	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40543
146	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40544
147	China Life Insurance (Overseas) Co., Ltd	5250
148	China Life Insurance (Overseas) Company Limited, Hong Kong Branch	5832
149	China Merchants Finance Company Limited	5555
150	China Minmetals Corporation	4442
151	China Minmetals Corporation	5009
152	China Overseas Finance (Cayman) III Limited	5989
153	China Overseas Finance (Cayman) V Limited	4580
154	China Overseas Finance (Cayman) VI Limited	5746
155	China Overseas Finance (Cayman) VI Limited	5760
156	China Overseas Finance (Cayman) VII Limited	4476
157	China Overseas Grand Oceans Finance IV (Cayman) Limited	40592
158	China Railway XunJie Co., Limited	5692
159	China Resources Power East Foundation Co., Ltd.	4516
160	China Shenhua Overseas Capital Company Limited	5863
161	China Southern Power Grid International Finance (BVI 2018) Co., Limited	5167
162	China Southern Power Grid International Finance (BVI) Co., Limited	4598
163	China State Construction Finance (Cayman) I Limited	5462
164	China State Construction Finance (Cayman) I Limited	40712
165	China State Construction Finance (Cayman) II Limited	5038
166	China State Construction Finance (Cayman) III Limited	40083
167	Chinalco Capital Holdings Limited	5745
168	Chinalco Capital Holdings Limited	40706
169	Chinalco Capital Holdings Limited	4497
170	Chindata Group Holdings Limited	5697
171	Chiyu Banking Corporation Limited	5034
172	Chiyu Banking Corporation Limited	5109
173	Chiyu Banking Corporation Limited	5564
174	Chongqing Nan'an Urban Construction & Development (Group) Co., Ltd.	4562
175	Chongqing Fuling District New Urban Area Development (Group) Co., Ltd.	5509
176	Chongqing Nan'an Urban Construction & Development (Group) Co., Ltd.	5699
177	Chongqing Southern New City Industrial Investment Group Co., Ltd.	40893
178	Chongqing Wansheng Economic and Technological Development Zone Development & Investment Group Co., Ltd.	40794
179	Chongqing Wansheng Industrial Park Development and Construction Co., Ltd.	84465
180	Chongqing Wansheng Industrial Park Development and Construction Co., Ltd.	84472
181	Chongqing Xinshuangquan Urban Construction and Development Co., Ltd.	84464
182	Chongqing Xinshuangquan Urban Construction and Development Co., Ltd.	84493
183	Chouzhou International Investment Limited	40154
184	Chouzhou International Investment Limited	5814
185	CITIC Telecom International Finance Limited	5908
186	Cixi State-owned Assets Overseas Development Company Limited	40272
187	CLP Power HK Finance Ltd	40044
188	CLP Power HK Finance Ltd.	5740
189	CMHI Finance (BVI) Co., Ltd	5135
190	CMHI Finance (BVI) Co., Ltd	40408
191	CMHI Finance (BVI) Co., Ltd	40409
192	CMHI Finance (BVI) Co., Ltd	5275
193	CNCBINV 1 (BVI) Limited	40915
194	CNOOC Finance (2003) Limited	2568
195	CNOOC Finance (2011) Limited	4507
196	CNOOC Finance (2012) Limited	4547
197	CNOOC Finance (2013) Limited	5942

198	CNOOC Finance (2013) Limited	40016
199	CNOOC Finance (2015) Australia Pty Ltd	5507
200	CNOOC Finance (2015) U.S.A. LLC	4478
201	CNOOC Finance (2015) U.S.A. LLC	5508
202	CNOOC Nexen Finance (2014) ULLC	5739
203	CNPC (HK) Overseas Capital Ltd.	4514
204	CNPC Global Capital Limited	84485
205	Contemporary Ruiding Development Limited	40381
206	Contemporary Ruiding Development Limited	40382
207	Contemporary Ruiding Development Limited	40833
208	COSL Singapore Capital Ltd.	40277
209	COSL Singapore Capital Ltd.	40278
210	CRCC Chengan Limited	5581
211	CRCC Hean Limited	40686
212	CRCC Hean Limited	40687
213	CRCC Yupeng Limited	5778
214	CSCEC Finance (Cayman) II Limited	5233
215	CSSC Capital 2015 Limited	40145
216	CSSC Capital 2015 Limited	40146
217	CSSC Capital 2015 Limited	40778
218	Dah Sing Bank, Limited	5316
219	Dah Sing Bank, Limited	5524
220	Dalian Deta Holding Co., Ltd.	5363
221	Deqing Construction & Development Group Co., Ltd.	40736
222	Deqing Construction & Development Group Co., Ltd.	4554
223	Deqing County Cultural Tourism Development Group Co., Ltd.	5116
224	Deqing County Cultural Tourism Development Group Co., Ltd.	5118
225	Deyang Development Holding Group Co., Ltd.	5659
226	Dianjian Haixing Limited	5816
227	DianJian Haiyu Limited	40396
228	DianJian Haiyu Limited	5144
229	Dongfeng Motor (Hong Kong) International Co., Limited	40883
230	Dongtai Chengxing Investment Development Co., Ltd.	5740
231	DONGTAI HUIMIN URBANIZATION CONSTRUCTION GROUP CO., LTD.	5458
232	DONGTAI HUIMIN URBANIZATION CONSTRUCTION GROUP CO., LTD.	5586
233	Dongxing Voyage Company Limited	5643
234	Dongyang State Owned Assets Investment Co., Ltd.	4329
235	Dujiangyan Minjiang Water Group Co., Ltd.	5368
236	Dujiangyan Urban and Rural Construction Group Co., Ltd.	84463
237	Dujiangyan Urban and Rural Construction Group Co., Ltd.	84483
238	Ease Trade Global Limited	40452
239	eHi Car Services Limited	40679
240	Elect Global Investments Limited	40173
241	Elect Global Investments Limited	40353
242	ENN Clean Energy International Investment Limited	40678
243	Eve Battery Investment Limited	40926
244	Excel Capital Global Limited	4496
245	Expand Lead Limited	40768
246	Foshan Gaoming Construction Investment Group Co., Ltd.	5148
247	FPC Capital Limited	5107
248	FPC Resources Limited	40373
249	Franshion Brilliant Limited	5030
250	Franshion Brilliant Limited	5229
251	Franshion Brilliant Limited	5289
252	Franshion Brilliant Limited	5347
253	Franshion Brilliant Limited	5447
254	Franshion Brilliant Limited	5472
255	Franshion Brilliant Limited	40641
256	Fu Gang Construction Group Co. Limited	4452
257	Fu Gang Construction Group Co. Limited	4451
258	Fu Gang Construction Group Co. Limited	4450
259	Fugao Wantai Group Co., Ltd.	4506
260	Fujian Shishi State-owned Capital Operation Group Co., Ltd.	5108
261	Fujian Zhanglong Group Co., Ltd.	5362
262	Fujian Zhanglong Group Co., Ltd.	5938
263	Fujian Zhangzhou City Investment Group Co., Ltd.	84469
264	Fuyuan Worldwide Limited	40947
265	Fuyuan Worldwide Limited	40974
266	Fuyuan Worldwide Limited	5835
267	Fuzhou Digital Economy Investment Group Co., Ltd	4429
268	Fuzhou Digital Economy Investment Group Co., Ltd	5529
269	FWD Group Limited	4403
270	FWD Group Limited	5019
271	FWD Group Limited	5221
272	FWD Group Limited	5793
273	FWD Limited	4416
274	FWD Limited	5351
275	FWD Limited	5803
276	Gansu Province Electric Power Investment Group Co., Ltd.	40871
277	Gansu Provincial Highway Aviation Tourism Investment Group Co., Ltd.	40716
278	Gansu Provincial Highway Aviation Tourism Investment Group Co., Ltd.	5103
279	Gansu Provincial Highway Aviation Tourism Investment Group Co., Ltd.	84454
280	Ganzhou Hengzhi Co., Limited	40726
281	Ganzhou Jiankong Investment Holding Group Co., Ltd.	4567
282	Ganzhou Urban Investment Holding Group Co., Ltd.	40879
283	Ganzhou Urban Investment Holding Group Co., Ltd.	5526
284	Ganzhou Urban Investment Holding Group Co., Ltd.	5715
285	Ganzhou Urban Investment Holding Group Co., Ltd.	5717
286	Gaoxin International Investment Co., Ltd	5649

287	Gaoyou Construction Investment Development (BVI) Co., Ltd.	5358
288	GOHL Capital Limited	5352
289	Golden Dragon Mountain Trading (BVI) Co., Limited	4301
290	Goucheng International Co., Ltd.	40723
291	Goucheng International Co., Ltd.	40891
292	Greenland Global Investment Limited	5769
293	Guang Ying Investment Limited	40674
294	Guangxi Communications Investment Group Corporation. Ltd	5345
295	Guangxi Financial Investment Group Co., Ltd.	5949
296	Guangxi Liuzhou Dongcheng Investment Development Group Co., Ltd.	5543
297	Guangxi Liuzhou Rail Transit Investment Development Group Co., Ltd.	40819
298	Guangzhou Development District Financial Holdings Group Co., Ltd.	4407
299	Guangzhou Development District Holding Group Limited	40148
300	Guangzhou Development District Investment Group Co., Ltd	5387
301	Guilin ETDZ Investment Holding Co., Ltd.	5653
302	Guizhou Shuanglong Airport Development & Investment (Group) Co., Ltd.	5735
303	Guohui International (BVI) Co., Ltd.	40362
304	Guohui International (BVI) Co., Ltd.	5315
305	Guoneng Environmental Protection Investment Group Co., Limited	4558
306	Guoren Property and Casualty Insurance Co., Ltd.	40694
307	Hafoo Co., Ltd	40952
308	Haichuan International Investment Co., Ltd.	40911
309	Haichuan International Investment Co., Ltd.	4512
310	Hainan State Farms International Capital (HK) Co., Limited	4326
311	Haitong International Finance Holdings 2015 Limited	40186
312	Haixi Overseas Investment Company Limited	40689
313	Haixi Overseas Investment Company Limited	40943
314	Haixi Overseas Investment Company Limited	4557
315	HaiYan Coastline Co., Limited	5842
316	Hangzhou Bay Overseas Development Co., Ltd	40976
317	Hangzhou Fuyang Chengtuo Group (HK) Limited	40738
318	Hangzhou Qiantang New Area Construction and Investment Group Co., Ltd.	5825
319	Hangzhou Water Group Co., Ltd.	5098
320	Hebei Shunde Investment Group Company Limited	40892
321	Hebi Investment Group Co., Ltd.	84503
322	HENAN RAILWAY CONSTRUCTION & INVESTMENT GROUP CO., LTD.	4459
323	HENAN RAILWAY CONSTRUCTION & INVESTMENT GROUP CO., LTD.	5046
324	Henan Water Conservancy Investment Group Co., Ltd	40387
325	Heng Yuan International Company Ltd	40950
326	Heng Yuan International Company Ltd	5392
327	Hengjian International Investment Limited	40268
328	HFI International (HK) Limited	40309
329	Hong Kong JY Flower Limited	5710
330	Hong Kong Sukuk 2017 Limited	4233
331	Hongkong International (Qingdao) Company Limited	5875
332	Hongkong International (Qingdao) Company Limited	40017
333	HongKong Xiangyu Investment Co., Limited	5544
334	Huafa 2019 I Company Limited	5976
335	Huafa 2020 I Company Limited	40455
336	Huafa 2021 I Company Limited	40820
337	Huafa 2021 I Company Limited	40806
338	Huai'an Investment Holdings Group Co., Ltd.	4509
339	Huai'an Traffic Holding Group Co., Ltd.	5360
340	Huaibei City Construction Investment Holding Group Company Limited	40873
341	Huaibei City Construction Investment Holding Group Company Limited	4496
342	Huaiyuan County New Urbanization Construction Co. Ltd	4593
343	Hualu International Finance (BVI) Limited	40889
344	Huaneng Hong Kong Capital Limited	5000
345	Huangshan Tourism Group Co., Ltd.	40364
346	Huangshi State-Owned Assets Management Co., Ltd.	40967
347	Huantaihu International Investment Co., Ltd.	5691
348	Huayi Finance I Ltd.	40042
349	HUBEI NEW TONGDU URBAN INVESTMENT DEVELOPMENT GROUP CO., LTD.	5820
350	Hubei Science & Technology Investment Group (Hong Kong) Company Limited	40440
351	HUBEI UNITED DEVELOPMENT INVESTMENT GROUP CO., LTD.	5010
352	Hunan Xiangjiang New Area Development Group Co., Ltd.	40890
353	Huzhou City Investment Development Group Co., Ltd.	40900
354	Huzhou City Investment Development Group Co., Ltd.	4458
355	Huzhou City Investment Development Group Co., Ltd.	5800
356	Huzhou Moganshan High-tech Group Co., Ltd.	40775
357	Huzhou Moganshan High-tech Group Co., Ltd.	4444
358	Huzhou Nanxun New Town Investment Development Group Co., Ltd.	4448
359	Huzhou New City Investment Development Group Co., Ltd.	40979
360	Huzhou Wuxing Economic Development and Investment Group Co., Ltd.	5095
361	Huzhou Wuxing State-Owned Capital Investment Development Co., Ltd.	5284
362	Hyd International Holding Limited	40664
363	IFC Development (Corporate Treasury) Limited	6000
364	IFC Development (Corporate Treasury) Limited	40198
365	Industrial and Commercial Bank of China (Asia) Limited	5686
366	Industrial and Commercial Bank of China (Macau) Limited	5750
367	Industrial and Commercial Bank of China (Macau) Limited	5797
368	ITG HOLDING INVESTMENT (HK) LIMITED	84462
369	ITG HOLDING INVESTMENT (HK) LIMITED	5939
370	Ji'An Chengtuo Holding Group Co., Ltd	4305
371	Ji'An Chengtuo Holding Group Co., Ltd	4517
372	Jiangsu Dieshiqiao Home Textile Industrial Group Co., Ltd.	40769
373	JIANGSU DIESHIQIAO HOME TEXTILE INDUSTRY GROUP CO., LTD.	4404
374	Jiangsu Fuxuan Industrial Co., Ltd.	5779
375	Jiangsu Gaokai Investment Development Group Co., Ltd.	4447
376	Jiangsu Jurong Investment Group Co., Ltd	5401

377	Jiangsu Jurong Investment Group Co., Ltd	5428
378	Jiangsu Tenghai Investment Holding Group Co., Ltd.	5120
379	Jiangsu Tenghai Investment Holding Group Co., Ltd.	5531
380	Jiangsu Zhongguancun Holding Group (International) Co Ltd	5547
381	Jiangyin State-owned Assets Holding (Group) Co., Ltd.	84458
382	Jiaxing City Investment and Development Group Co., Ltd.	84460
383	JIC Zhixin Limited	5032
384	JIC Zhixin Limited	40360
385	JIC Zhixin Limited	40361
386	Jinan Economic Development Investment Co., Ltd.	5540
387	Jinan Hi-tech Holding Group Co., Ltd.	40933
388	Jinan Hi-tech Holding Group Co., Ltd.	5402
389	JINAN HI-TECH INTERNATIONAL (CAYMAN) INVESTMENT DEVELOPMENT CO., LIMITED	40735
390	JINAN HI-TECH INTERNATIONAL (CAYMAN) INVESTMENT DEVELOPMENT CO., LIMITED	5605
391	JINAN HI-TECH INTERNATIONAL (CAYMAN) INVESTMENT DEVELOPMENT CO., LIMITED	5934
392	Jinan Lixia Holding Group Co., Ltd.	5928
393	Jinan Shuntong International Co. Limited	5981
394	Jinan Tianqiao Industrial Development Group Co., Ltd	40185
395	JINAN ZHANGQIU HOLDING GROUP CO., LTD.	84471
396	Jincheng State-owned Capital Investment Operation Co., Ltd.	5514
397	JINGDEZHEN HESHENG INDUSTRIAL INVESTMENT DEVELOPMENT CO., LTD.	84466
398	Jingfa Overseas Investment Company Limited	5655
399	Jinghe New City Development and Construction (Group) Co, Ltd of Xixian New Area, Shaanxi Province	5326
400	Jingjiang Port (Group) Co., Ltd	40968
401	Jingjiang Port (Group) Co., Ltd	4315
402	Jingjiang Port (Group) Co., Ltd	4302
403	Jingjiang Port (Group) Co., Ltd	5076
404	Jingming Yuantong Development Investment (BVI) Co., Ltd	40966
405	Jingming Yuantong Development Investment (BVI) Co., Ltd	5294
406	Jinjiang Road and Bridge Construction & Development Co., Ltd.	5808
407	Jinjiang Road and Bridge Construction & Development Co., Ltd.	4537
408	Jinke Property Group Co., Ltd.	40695
409	Jinko Power Technology Co., Ltd	5539
410	Jinshine International Co., Ltd	5872
411	Jiujiang Municipal Development Group Co., Ltd.	40697
412	Joy Treasure Assets Holdings Inc.	5903
413	Joy Treasure Assets Holdings Inc.	6027
414	Joy Treasure Assets Holdings Inc.	40000
415	Joy Treasure Assets Holdings Inc.	40467
416	Joy Treasure Assets Holdings Inc.	40468
417	Junfeng International Co., Ltd	40958
418	Keqiao (HK) International Investment Co., Limited	5056
419	King Power Capital Ltd.	5823
420	King Talent Management Limited	5026
421	Knowledge City (Guangzhou) Investment Group Co., Ltd.	5113
422	Korean Reinsurance Company	5815
423	Kunming Public Rental Housing Development Construction Management Co., Ltd.	40750
424	Kunming Rail Transit Group Co., Ltd.	40101
425	Kunming Rail Transit Group Co., Ltd.	5661
426	LICHENG INTERNATIONAL DEVELOPMENT CO., LTD.	5549
427	LICHENG INTERNATIONAL DEVELOPMENT CO., LTD.	5816
428	LinJiang Investment and Development (BVI) Co., Ltd	5644
429	Link CB Limited	5662
430	Lintou Overseas Co., Ltd	84478
431	Linyi City Development International Co., Limited	5331
432	Linyi Investment Development Group Co., Ltd.	5102
433	Lishui Economic and Technological Development Zone Industrial Development Group Co., Ltd.	4553
434	Lishui Economic and Technological Development Zone Industrial Development Group Co., Ltd.	4560
435	Lishui Economic and Technological Development Zone Industrial Development Group Co., Ltd.	5328
436	Longchuan Overseas Investment Co., Ltd.	84473
437	Longchuan Overseas Investment Co., Ltd.	84484
438	Longnan Tourism Development and Investment Group Co., Ltd.	5434
439	Longnan Tourism Development and Investment Group Co., Ltd.	5777
440	LS Finance (2017) Limited	4471
441	LS Finance (2017) Limited	40731
442	LS Finance (2025) Limited	5535
443	Luheng International Co., Ltd.	40945
444	Luheng International Co., Ltd.	4431
445	Luso International Banking Limited	5078
446	Luso International Banking Limited	5817
447	Luso International Banking Limited	6007
448	Malaysia Sovereign Sukuk Berhad	5894
449	Malaysia Sovereign Sukuk Berhad	5895
450	Malaysia Sukuk Global Berhad	5633
451	Malaysia Sukuk Global Berhad	5634
452	Malaysia Wakala Sukuk Berhad	40662
453	Malaysia Wakala Sukuk Berhad	40663
454	Maoming Port Group Co., Ltd.	84500
455	MCC Holding (Hong Kong) Corporation Limited	4483
456	MCC Holding (Hong Kong) Corporation Limited	40188
457	MCC Holding (Hong Kong) Corporation Limited	40653
458	Mengcheng Development Zone Xingmeng Investment Co., Ltd.	84438
459	Mianyang Investment Holding (Group) Co., Ltd.	5408
460	Mianyang Science & Technology City Development & Investment (Group) Co., Ltd.	84480
461	Mianyang Science & Technology City Development & Investment (Group) Co., Ltd.	84486
462	Mianyang Science & Technology City Development & Investment (Group) Co., Ltd.	84504
463	Midea Investment Development Company Limited	4501
464	Minghu International (BVI) Investment Development Co., Limited	40917
465	MINMETALS BOUNTEOUS FINANCE (BVI) LIMITED	5553



466	MINMETALS BOUNTEOUS FINANCE (BVI) LIMITED	5689
467	Minmetals Bounteous Finance (BVI) Limited	40175
468	Mudanjiang City Investment Group Co., Ltd.	5271
469	Nanchang Jinkai Group Co., Ltd.	40788
470	Nanchang Jinkai Group Co., Ltd.	4535
471	Nanjing Future Technology City Economic Development Company Limited	5117
472	Nanjing Yangzi State-owned Assets Investment Group Co., Ltd	5054
473	Nanjing Yangzi State-owned Investment Group Co., Ltd.	5614
474	Nanning Communications Investment Group Co., Ltd.	40692
475	Nanning Communications Investment Group Co., Ltd.	4578
476	Nanxun Communications Investment Group Co., Ltd.	5072
477	Nanyang Commercial Bank, Limited	5214
478	Nanyang Commercial Bank, Limited	40069
479	Nanyang Commercial Bank, Limited	5207
480	Nanyang Commercial Bank, Limited	5752
481	Neijiang Investment Holding Group Co., Ltd.	5822
482	New Hope Group Co., Ltd.	84475
483	New Hope Group Co., Ltd.	84506
484	New Momentum International Limited	5815
485	New Town Construction Investment (Jizhou, Tianjin) Co., Ltd.	5322
486	Ningbo Yincheng Group Co., Ltd.	40801
487	Ninghai State-owned Asset Investment Holding Group Ltd.	5057
488	NWD Finance (BVI) Limited	4561
489	NWD Finance (BVI) Limited	5856
490	NWD Finance (BVI) Limited	40262
491	NWD Finance (BVI) Limited	40504
492	NWD Finance (BVI) Limited	40711
493	NWD Finance (BVI) Limited	5312
494	Ocean Laurel Co. Limited	40414
495	Omni Ireland Credit Card Securitization T3 DAC	40476
496	Omni Ireland Credit Card Securitization T3 DAC	40477
497	Omni Ireland Credit Card Securitization T3 DAC	40478
498	Omni Ireland Credit Card Securitization T3 DAC	40479
499	Omni Ireland Credit Card Securitization T3 DAC	40480
500	Omni Ireland Credit Card Securitization T3 DAC	40481
501	Omni Ireland Credit Card Securitization T3 DAC	40482
502	Omni Ireland Credit Card Securitization T3 DAC	40483
503	Omni Ireland Credit Card Securitization T3 DAC	40484
504	Omni Ireland Credit Card Securitization T3 DAC	40485
505	Omni Ireland Credit Card Securitization T3 DAC	40486
506	Omni Ireland Credit Card Securitization T3 DAC	40487
507	Omni Ireland Credit Card Securitization T3 DAC	40488
508	Omni Ireland Credit Card Securitization T3 DAC	40489
509	Orient ZhiSheng Limited	5574
510	Oriental Capital Company Limited	5550
511	OVPH Limited	5614
512	Panther Ventures Limited	40378
513	Panther Ventures Limited	40512
514	Panther Ventures Limited	40872
515	Panzhuhua City Construction and Transportation (Group) Co., Ltd.	84440
516	PB Issuer (No.5) Limited	40097
517	PCGI Intermediate Holdings (II) Limited	40074
518	PCGI Intermediate Holdings (III) Limited	40810
519	PCGI Intermediate Limited	40040
520	PCGI Intermediate Limited	40040
521	Peak Re (BVI) Holding Limited	40437
522	Peng Ying International Development Company Limited	5191
523	Peng Ying International Development Company Limited	5373
524	Pengze County Development and Construction Group Co., Ltd.	5664
525	Perfect Point Ventures Limited	40850
526	Petron Corporation	5905
527	PHBS Limited	4325
528	Phoenix Charm International Investment Limited	4500
529	Phoenix Charm International Investment Limited	5409
530	Phoenix Lead Limited	5262
531	Ping An International Financial Leasing Co., Ltd.	40818
532	Pingdu State-owned Assets Management Co., Ltd.	40965
533	Pingdu State-owned Assets Management Co., Ltd.	5051
534	Pinghu State-owned Assets Holding Group Co., Ltd.	40862
535	Pingtang Comprehensive Pilot Zone City Development Group Co., Ltd.	84470
536	Pingtang Comprehensive Pilot Zone City Development Group Co., Ltd.	84479
537	Pingxiang City Construction Investment Group Co., Ltd	5111
538	Pioneer Reward Limited	40644
539	Pioneer Reward Limited	4573
540	Pioneer Reward Limited	84407
541	Powerchina Roadbridge Group (British Virgin Islands) Limited	40635
542	Proven Honour Capital Limited	5516
543	Proven Honour Capital Limited	5641
544	Pterosaur Capital Co., Limited	6021
545	PTT Public Company Limited	2527
546	Pujiang State-Owned Capital Investment Group Co., Ltd.	40913
547	Puyang Investment Group Co., Ltd.	5838
548	Qingdao Development Area Investment Construction Group Co., Ltd.	40955
549	Qingdao Jiaozhou Bay Development Group Co., Ltd.	40710
550	Qingdao Jiaozhou Bay Development Group Co., Ltd.	4564
551	Qingdao Jiaozhou Bay Development Group Co., Ltd.	5305
552	Qingdao Jiaozhou Bay Development Group Co., Ltd.	84453
553	Qingdao Jiaozhou Urban Development And Investment Co., Ltd.	5110
554	Qinglun International (BVI) Co., Limited	5532

555	QUAN CHENG FINANCIAL HOLDINGS (BVI) LIMITED	40832
556	Quzhou Communications Investment Group Co., Ltd.	4454
557	Quzhou State-owned Capital Operation Co., Ltd.	4510
558	Radiant Access Limited	5205
559	Rizhao Xinlanshan Caijin Investment Group Co., Ltd.	5973
560	Rongshi International Finance Limited	5449
561	Rowen International Co., Limited	5940
562	Rudong County Jinxin Transportation Engineering Construction Investment Co., Ltd.	40753
563	Rudong County Jinxin Transportation Engineering Construction Investment Co., Ltd.	40885
564	Rudong County Jinxin Transportation Engineering Construction Investment Co., Ltd.	40213
565	Rudong County Jinxin Transportation Engineering Construction Investment Co., Ltd.	4418
566	Rudong County Jinxin Transportation Engineering Construction Investment Co., Ltd.	40286
567	Rudong County Jinxin Transportation Engineering Construction Investment Co., Ltd.	40287
568	Rudong County Mintai Urban and Rural Construction Engineering Co., Ltd.	5074
569	Rudong County Mintai Urban and Rural Construction Engineering Co., Ltd.	5456
570	Rudong County Mintai Urban and Rural Construction Engineering Co., Ltd.	5499
571	SAIC-GMAC Automotive Finance Company Limited	86026
572	SAN SHUI INTERNATIONAL DEVELOPMENT CO., LTD	40969
573	SAN SHUI INTERNATIONAL DEVELOPMENT CO., LTD	5292
574	SAN SHUI INTERNATIONAL DEVELOPMENT CO., LTD	5291
575	SAN SHUI INTERNATIONAL DEVELOPMENT CO., LTD	5293
576	Sanming City Construction and Development Group Co., Ltd.	84477
577	Sanming City Construction and Development Group Co., Ltd.	84487
578	SCI (HK) Development Limited	40632
579	Science City (Guangzhou) Investment Group Co., Ltd.	4519
580	SDG FINANCE I LIMITED	4539
581	SDG Finance Limited	40816
582	SDG Finance Limited	40817
583	SDG Finance Limited	5247
584	SDSC Global Finance Limited	5303
585	SDSC International Development Limited	5426
586	SF Holding Investment 2021 Limited	40920
587	SF Holding Investment 2021 Limited	40921
588	SF Holding Investment 2021 Limited	40922
589	SF Holding Investment Limited	40152
590	SFG International Holdings Co. Limited	40704
591	Shaanxi Financial Asset Management Co.,Ltd.	4542
592	Shaanxi Xixian New Area Fengxi Development Group Co., Ltd.	84455
593	Shaanxi Xixian New Area Fengxi New City Development and Construction (Group) Co., Ltd.	40906
594	Shaanxi Yushen Energy Development and Construction Group Co., Ltd.	5624
595	Shandong Commercial Group Co., Ltd.	84439
596	Shandong Iron and Steel Xinheng International Company Limited	40781
597	Shanghai Commercial Bank Limited	5043
598	Shanghai Commercial Bank Limited	5530
599	Shanghai Commercial Bank Limited	5713
600	Shanghai Port Group (BVI) Development 2 Co., Limited	40298
601	Shanghai Port Group (BVI) Development 2 Co., Limited	40299
602	Shanghai Port Group (BVI) Development Co., Limited	4587
603	Shanghai Port Group (BVI) Development Co., Limited	5734
604	Shanghai Port Group (BVI) Development Co., Limited	5741
605	Shangrao Investment Holdings International Company Limited	5647
606	Shangrao City Construction Investment Development Group Company Limited	5893
607	Shangrao City Construction Investment Development Group Company Limited	5910
608	SHANGYU URBAN CONSTRUCTION HONG KONG COMPANY LIMITED	40932
609	Shanghai (Hong Kong) International Investments Limited	5319
610	Shanxi Securities Co., Ltd.	40673
611	Shaodong City Development Group Co., Ltd.	5417
612	Shaoxing City Investment Group Limited	40807
613	Shaoxing Shangyu State-owned Capital Investment and Operation Co., Ltd.	40618
614	Shaoxing Shangyu State-owned Capital Investment and Operation Co., Ltd.	5541
615	Shaoxing Shangyu State-owned Capital Investment and Operation Co., Ltd.	4439
616	Shengzhou City Construction Investment and Development Group Co., Ltd.	5061
617	Shengzhou Communications Investment and Development Group Co., Ltd.	5195
618	Shengzhou Investment Holdings Co., Ltd.	5597
619	Shengzhou Investment Holdings Co., Ltd.	5768
620	Shenwan Hongyuan International Finance Limited	40766
621	Shenwan Hongyuan International Finance Limited	5049
622	Shuifa International Holdings (BVI) Co., Ltd.	4546
623	Si Fa Investment Limited	40640
624	Sihai International Investment Limited	40861
625	Sihai International Investment Limited	5251
626	Sino Trendy Investment Limited	40438
627	Sinochem Global Capital Co., Ltd.	5935
628	Sinochem Overseas Capital Company Limited	4502
629	Sino-Ocean Land Treasure Finance I Limited	5782
630	Sino-Ocean Land Treasure Finance II Limited	5869
631	Sino-Ocean Land Treasure III Limited	5276
632	Sino-Ocean Land Treasure IV Limited	5623
633	Sino-Ocean Land Treasure IV Limited	40115
634	Sino-Ocean Land Treasure IV Limited	40670
635	Sino-Ocean Land Treasure IV Limited	40760
636	Sino-Ocean Land Treasure IV Limited	5202
637	Sinosing Services Pte. Ltd.	40155
638	Sinosing Services Pte. Ltd.	40156
639	Societe Generale	5947
640	Societe Generale	5959
641	Societe Generale	5960
642	Societe Generale	5961
643	Societe Generale	5962

644	SPIC 2016 US dollar Bond Company Limited	5325
645	SPIC 2018 USD Senior Perpetual Bond Company Limited	5453
646	State Grid Europe Development (2014) Public Limited Company	5121
647	State Grid Europe Development (2014) Public Limited Company	5123
648	State Grid Overseas Investment (2013) Limited	5955
649	State Grid Overseas Investment (2014) Limited	5742
650	State Grid Overseas Investment (2014) Limited	5743
651	State Grid Overseas Investment (2016) Limited	5650
652	State Grid Overseas Investment (2016) Limited	5652
653	Strategic International Group Limited	40285
654	Sunny Express Enterprises Corp.	40030
655	Sunny Express Enterprises Corp.	40031
656	Sunny Express Enterprises Corp.	40203
657	Sunny Express Enterprises Corp.	40204
658	Sunny Express Enterprises Corp.	4505
659	Sunshine Life Insurance Corporation Limited	5629
660	Suqian Economic Development Group Co., Ltd.	5527
661	Suzhou City Construction Investment Group (Holding) Co., Ltd.	5405
662	Suzhou City Construction Investment Group (Holding) Co., Ltd.	5424
663	Suzhou Industrial Investment Group Co., Ltd.	40901
664	Syngenta Group Finance Limited	5557
665	Taishan City Investment Co., Limited	40283
666	Taishan City Investment Co., Limited	84496
667	Taishan Finance International Business Co., Limited	40924
668	Taixing Jinjiang Investment Co., Ltd	5395
669	TAIZHOU HUANGYAN ECONOMIC DEVELOPMENT GROUP CO., LTD.	5086
670	Taizhou Huangyan Transportation and Tourism Investment Group Co., Ltd.	5246
671	Taizhou Huangyan Transportation and Tourism Investment Group Co., Ltd.	5241
672	Taizhou Oriental China Medical City Holding Group Co., Ltd.	40846
673	Taizhou State-owned Assets Investment Group Co., Ltd.	40934
674	Taizhou Urban Construction and Investment Development Group Co., Ltd.	40821
675	Taizhou Urban Construction and Investment Development Group Co., Ltd.	5849
676	Talent Yield (Euro) Limited	40390
677	Talent Yield International Limited	40675
678	Talent Yield International Limited	40676
679	Tencent Music Entertainment Group	40365
680	Tencent Music Entertainment Group	40366
681	Tequ Mayflower Limited	40600
682	The Hongkong and Shanghai Banking Corporation Limited	4323
683	Three Gorges Finance I (Cayman Islands) Limited	5380
684	Tianfeng Securities Co., Ltd.	4536
685	Tianjin Free Trade Zone Investment Holding Group Co., Ltd	40936
686	Tianjin Lingang Investment Holding Co., Ltd.	5430
687	Tianjin Rail Transit Urban Development Co., Ltd.	5866
688	Tianjin State-owned Capital Investment and Management Co., Ltd.	40103
689	Tianjin State-owned Capital Investment and Management Co., Ltd.	40104
690	Tianjin State-owned Capital Investment and Management Co., Ltd.	40105
691	Tianjin State-owned Capital Investment and Management Co., Ltd.	40106
692	Tongling State-owned Capital Operation Holding Group Company Limited	5554
693	TONGREN STATE-OWNED CAPITAL OPERATION CO., LTD.	5911
694	Top Luxury Investment Limited	5598
695	Towngas (Finance) Limited	5749
696	Towngas (Finance) Limited	6018
697	Tsinghua Unic Limited	4415
698	UETD Construction & Development State-owned Capital Investment Operation (Group) Co., Ltd.	5767
699	UETD Construction & Development State-owned Capital Investment Operation (Group) Co., Ltd.	40499
700	UHI Capital Ltd.	4572
701	Universe Trek Limited	40295
702	Universe Trek Limited	40499
703	Universe Trek Limited	40722
704	Universe Trek Limited	40797
705	Urumqi Gaoxin Investment and Development Group Co., Ltd.	84444
706	Urumqi Gaoxin Investment and Development Group Co., Ltd.	5871
707	Vertex Capital Investment Limited	40780
708	Vertex Capital Investment Limited	4548
709	Vertex Capital Investment Limited	84499
710	Viva Biotech Investment Management Limited	40514
711	Viva Incubator Investment Management Limited	40144
712	Wealthy Vision Holdings Limited	40701
713	Weifang Urban Construction and Development Investment Group Co., Ltd.	40863
714	Weifang Urban Construction and Development Investment Group Co., Ltd.	5485
715	Weimob Investment Limited	40218
716	Weimob Investment Limited	40702
717	Wending Zhongyuan Company Limited	5066
718	WENS FOODSTUFF GROUP CO., LTD.	40442
719	WENS FOODSTUFF GROUP CO., LTD.	40443
720	Wuhan Financial Holdings (Group) Co., Ltd.	40918
721	Wuhan Jiangxia Agriculture Group Company Limited	5286
722	Wuhan Jiangxia Agriculture Group Company Limited	5558
723	Wuhan Metro Group Co., Ltd.	5467
724	Wuhan Urban Construction Group Co., Ltd.	40755
725	Wuxi Chengkai Industrial Development Group Co., Ltd.	5253
726	Wuxi Chengkai Industrial Development Group Co., Ltd.	5419
727	Wuxi Guangyi Construction Development Group Co., Ltd.	40970
728	Wuxi Xixi Industrial Development Group Co., Ltd.	4327
729	Wuxi Xixi Industrial Development Group Co., Ltd.	4328
730	Wuxing City Investment HK Company Limited	40842
731	Wuxing City Investment HK Company Limited	4550
732	Wuxing Industry Investment Husheng Company Limited	5225

733	Xi Yang Overseas Limited	4565
734	XI'AN BAQIAO INVESTMENT HOLDING GROUP CO., LTD.	5346
735	Xi'an Qujiang Culture Financial Holding (Group) Co., Ltd.	5510
736	Xi'an Weiyang Urban Construction Group Co., Ltd.	5330
737	Xi'an Weiyang Urban Construction Group Co., Ltd.	5435
738	Xi'an Weiyang Urban Construction Group Co., Ltd.	5545
739	Xi'an Aerospace High-Tech Industry Development Group Co., Ltd	40785
740	Xi'an Aerospace High-Tech Industry Development Group Co., Ltd	40798
741	Xi'an Aerospace High-Tech Industry Development Group Co., Ltd	5690
742	Xi'an Aviation City Construction & Development Group Co., Ltd.	40977
743	Xi'an Chanba Development Group Co., Ltd	5222
744	Xi'an International Horticultural Exposition Investment (Group) Co., Ltd.	5393
745	XI'AN PORT INDUSTRIAL CO., LIMITED	5921
746	XI'AN PORT INDUSTRIAL CO., LIMITED	6003
747	Xiangtan Zhenxiang State-Owned Assets Management Investment Co., Ltd.	5081
748	Xianjin Industry Investment Company Limited	5290
749	Xianjin Industry Investment Company Limited	5848
750	Xianning Urban Development (Group) Co., Ltd	5264
751	Xihui Haiwai I Investment Holdings Co., Limited	40961
752	Xin Fu (BVI) Co., Limited	5320
753	Xin Fu (BVI) Co., Limited	5302
754	Xin Yue Company Limited	4442
755	Xinchang County Transportation Investment Group Company Limited	5587
756	Xingchang International Company Limited	5801
757	Xingsheng (BVI) Company Limited	40811
758	Xinhu (BVI) 2018 Holding Company Limited	40868
759	Xinjiang Financial Investment Co., Ltd.	5035
760	Xinjiang Financial Investment Co., Ltd.	5018
761	Xinjiang Financial Investment Co., Ltd.	5017
762	Xinjiang Financial Investment Co., Ltd.	5040
763	Xinjiang Financial Investment Co., Ltd.	5022
764	Xinjiang Transportation Construction Investment Holding Co., Limited	5304
765	XL (BVI) International Development Limited	5583
766	YAN GANG LIMITED	40622
767	Yan'an Tourism (Group) Co., Ltd.	5023
768	Yancheng Dafeng Haicheng Industrial Development Co., Ltd.	5765
769	Yancheng High-tech Zone Investment Group Co., Ltd.	40825
770	Yancheng High-tech Zone Investment Group Co., Ltd.	4313
771	Yancheng State-Owned Energy Investment Co., Ltd	84467
772	Yancoal International Resources Development Co., Limited	5424
773	Yancoal International Resources Development Co., Limited	40928
774	Yancoal International Trading Co., Limited	5753
775	Yango Justice International Limited	40705
776	Yangshan Overseas Development Co., Ltd	40978
777	Yangzhou Economic and Technological Development Zone Development (Group) Co., Ltd	5124
778	Yankuang Group (Cayman) Limited	40951
779	YCIC International (HK) Co., Limited	40799
780	YCIC International (HK) Co., Limited	40908
781	Yi Bright International Limited	40748
782	Yi Bright International Limited	5833
783	Yi Bright International Limited	84468
784	Yi Bright International Limited	84489
785	Yi Hong International Development Co., Ltd	40957
786	Yi Hong International Development Co., Ltd	5459
787	Yichang High-Tech Investment Development Co., Ltd.	40927
788	Yichun Development Investment Group Co., Ltd.	5590
789	Yichun Yuanzhou District State-owned Investment Group Co., Limited	4428
790	Yidu State-owned Assets Investment, Operation and Holding Group Co., Ltd.	84502
791	Yili Holding Investment Limited	40471
792	YONGDA Investment Limited	40252
793	Yongzhou Lingling Asset Management Co., Ltd.	84482
794	Yueyang Construction and Investment Group Co., Ltd.	40935
795	Yunda Holding Investment Limited	40347
796	Yunnan Energy Investment Overseas Finance Company Ltd.	40352
797	Yunnan Energy Investment Overseas Finance Company Ltd.	5829
798	Yuyao Economic Development Zone Construction Investment and Development Co., Ltd.	40964
799	Zhangzhou Jiulongjiang Group Co., Ltd.	5376
800	Zhangzhou Transportation Development Group Co., Ltd.	5268
801	Zhangzhou Transportation Development Group Co., Ltd.	84495
802	Zhanjiang Infrastructure Construction Investment Group Co., Ltd.	84491
803	Zhaohai Investment (BVI) Limited	5523
804	Zhaohai Investment (BVI) Limited	5520
805	Zhaohai Investment (BVI) Limited	5847
806	Zhaoqing Guolian Investment Holding Co., Ltd	40888
807	Zhejiang Anji Construction Development (Hong Kong) Co., Limited	4520
808	Zhejiang Baron (BVI) Company Limited	40802
809	Zhejiang Baron (BVI) Company Limited	5836
810	Zhejiang Changxing Financial Holdings Group Co., Ltd.	4308
811	Zhejiang Changxing Jinkai Construction & Development Co., Ltd	40149
812	Zhejiang Deqing Jinkai Urban Development Co., Ltd.	40804
813	Zhejiang Deqing Jinkai Urban Development Co., Ltd.	40830
814	Zhejiang Huzhou Nanxun Economic Construction and Development Co., Ltd.	40876
815	Zhejiang Seaport International Co., Limited	40614
816	Zhejiang Tianzihu Industry Investment Co., Ltd.	5045
817	Zhejiang Xinchang Investment Development Co., Ltd.	40912
818	Zhejiang Xinchang Investment Development Co., Ltd.	4304
819	Zhengbang International Limited	40867
820	Zhengzhou Airport Economy Zone Xinggang Investment Group Co., Ltd.	5106
821	Zhengzhou Metro Group Co., Ltd.	40914

822	Zhengzhou Real Estate Group Co., Ltd.	5515
823	Zhengzhou Urban Construction Investment Group Co., Ltd.	40118
824	Zhengzhou Urban Construction Investment Group Co., Ltd.	5463
825	Zhenjiang Cultural Tourism Industry Group Co., Ltd.	5506
826	Zhenjiang Cultural Tourism Industry Group Co., Ltd.	5610
827	Zhenjiang Culture & Broadcasting Industry Group Co., Ltd.	4324
828	Zhenjiang Culture & Broadcasting Industry Group Co., Ltd.	4568
829	Zhenjiang Transportation Industry Group Co., Ltd.	5522
830	Zhenjiang Transportation Industry Group Co., Ltd.	5576
831	ZhongChuang (HK) Technology Company Limited	40975
832	ZhongChuang (HK) Technology Company Limited	4426
833	Zhongtai International Finance (BVI) Company Limited	5804
834	Zhongyuan Dayu International (BVI) Co., Ltd.	5636
835	Zhongyuan Sincere Investment Co. Ltd.	5596
836	Zhongyuan Zhicheng Co., Ltd.	40747
837	Zhoukou Urban Construction Investment Development Limited Company	5044
838	Zhoukou Urban Investment and Economic Development Industrial Co., Ltd.	84501
839	Zhoushan City Investment Group Corporation Limited	40907
840	Zhoushan Islands New Area Penglai State Asset Investment Group Co., Ltd.	5299
841	Zhuji Development Limited	40881
842	Zhuzhou City Construction Development Group Co., Ltd.	5099
843	Zibo Caijin Holding Group Co., Ltd.	84448
844	Zibo City Asset Operation Group Co., Ltd.	84481
845	Zibo City Asset Operation Group Co., Ltd.	84507
846	Zibo High-Tech International Investment Co., Limited	5681
847	Zoomwe Hong Kong New Energy Technology Co., Limited	4581

### Category 3 exemptions for open-ended CIS in corporate form whose shares are listed on The Stock Exchange of Hong Kong Limited

	Name	SEHK Stock codes
1	Xtrackers FTSE Vietnam Swap UCITS ETF*	3087
2	Xtrackers FTSE China 50 UCITS ETF	3007
3	Xtrackers MSCI Korea UCITS ETF	2848
4	Xtrackers MSCI Taiwan UCITS ETF	3036
5	Xtrackers MSCI USA Swap UCITS ETF*	3020
6	Xtrackers Nifty 50 Swap UCITS ETF*	3015
7	Global X China Consumer Brand ETF	2806 / 9806
8	Global X China Electric Vehicle and Battery ETF	2845 / 9845
9	Global X China Clean Energy ETF	2809 / 9809
10	Global X China Semiconductor ETF	3191 / 9191
11	Global X China Robotics and AI ETF	2807 / 9807
12	CSOP STAR 50 Index ETF	3109
13	Global X China Innovator Active ETF	3058
14	Global X China Global Leaders ETF	3050
15	CSOP Global Cloud Computing Technology Index ETF	3194
16	CSOP Huatai-PineBridge CSI Photovoltaic Industry ETF	3134
17	CSOP China Healthcare Disruption Index ETF	3174
18	Global X Asia Semiconductor ETF	3119
19	Global X China E-commerce and Logistics ETF	3124
20	Global X China Games and Entertainment ETF	3117
21	CSOP Global Smart Driving Index ETF	3162
22	CSOP MSCI China A 50 Connect ETF	3003
23	Global X Autonomous and Electric Vehicles ETF	2849
24	Global X FinTech ETF	3185
25	Global X Asia Innovator Active ETF	3051
26	CSOP NASDAQ 100 ETF	3034
27	Fubon FTSE Taiwan RIC Capped Index ETF	3021
28	Fubon ICE FactSet Taiwan Core Semiconductor Index ETF	3076
29	Fubon Hang Seng Shanghai-Shenzhen-Hong Kong (Selected Corporations) High Dividend Yield Index ETF	3190
30	Value Partners EMQQ Emerging Markets Internet & Ecommerce ETF	3030
31	CSOP FTSE Vietnam 30 ETF	3004
32	Harvest China Sustainable Lifestyle Tech Active ETF	3155
33	E Fund (HK) HSI ESG Enhanced Index ETF	3039
34	Bosera RMB Money Market ETF	3192 / 83192
35	Phillip HK Newly Listed Equities Index ETF	2835
36	Pando Blockchain ETF	3112
37	Pando Innovation ETF	3056
38	Bosera HKD Money Market ETF	3152
39	Fubon ICE FactSet Asia Battery and Energy Storage Technology Index ETF	3405
40	Bosera USD Money Market ETF	3196 / 83196 / 9196
41	Global X China MedTech ETF	2841
42	Global X Asia USD Investment Grade Bond ETF	3075 / 9075
43	Global X China Little Giant ETF	2815
44	Bosera 20+ Year US Treasury Bond ETF	3156 / 9156 / 9107
45	Global X HSCEI Components Covered Call Active ETF	3416
46	Global X HSI Components Covered Call Active ETF	3419
47	Global X K-pop and Culture ETF	3158
48	Bosera HashKey Bitcoin ETF	3008 / 9008
49	Bosera HashKey Ether ETF	3009 / 9009
50	ChinaAMC Bitcoin ETF	3042 / 83042 / 9042
51	ChinaAMC Ether ETF	3046 / 83046 / 9046

- 52 Harvest Bitcoin Spot ETF
- 53 Harvest Ether Spot ETF

3439 / 9439  
3179 / 9179

\* This is a synthetic ETF

#### **Category 4 exemptions for exchange participants of The Stock Exchange of Hong Kong Limited**

**Name**

- 1 China Innovation Market Service Company Limited
- 2 China Investment Information Services Limited

#### **Category 5 exemptions for clearing houses that are clearing participants**

**Name**

- 1 China Securities Depository and Clearing Corporation Limited
- 2 China Securities Depository and Clearing (Hong Kong) Company Limited

#### **Other exemptions granted under section 309(2) of the Securities and Futures Ordinance (Cap.571)**

**Name**

- 1 Budweiser Brewing Company APAC Limited
- 2 JD Health International Inc.
- 3 JD Logistics, Inc.
- 4 Cloud Music Inc.