

Exemptions granted under section 309(2) of the Securities and Futures Ordinance (Cap. 571)

Updated as at
31 August 2023

Exemptions granted in respect of (i) corporations issuing securities listed on The Stock Exchange of Hong Kong Limited and (ii) other persons are set out below. These exemptions are granted to (in respect of (i)) the corporations, their substantial shareholders, directors and chief executives and (in respect of (ii)) other persons, from compliance with Part XV of the Securities and Futures Ordinance in respect of the obligations that derive from their interests in the securities listed on The Stock Exchange of Hong Kong Limited. This list is updated every month.

Guidelines setting out the basis on which exemptions are granted under s.309 of the SFO are published on the Part XV page of the SFC's website. The exemptions available fall into 5 categories, which are described in the Guidelines.

Category 1 partial exemptions for corporations whose shares are listed on The Stock Exchange of Hong Kong Limited and on another stock exchange

Name	SEHK stock codes (Note 1)	
1 Manulife Financial Corporation	0945 - S	
2 Standard Chartered PLC	2888	(and 4305, 5348, 5890, 5986, 40276 and 40518)
3 Prudential plc	2378	
4 Fast Retailing Co., Ltd. - DR	6288 - S	(only applies to Fast Retailing Co., Ltd and its substantial shareholders)
5 Alibaba Group Holding Limited	9988 - SW	
6 JD.com, Inc.	9618 - SW	
7 NetEase, Inc.	9999 - S	
8 Huazhu Group Limited	1179 - S	
9 GDS Holdings Limited	9698 - SW	
10 New Oriental Education & Technology Group Inc.	9901 - S	
11 Autohome Inc.	2518 - S	
12 Baidu, Inc.	9888 - SW	
13 Trip.com Group Limited	9961 - S	
14 Weibo Corporation	9898 - SW	
15 NIO Inc.	9866 - SW	
16 Tencent Music Entertainment Group	1698 - SW	
17 360 DigiTech, Inc.	3660 - S	

Note 1: S - Secondary listed companies
W - Companies with weighted voting right structures
B - Biotech companies listed under Chapter 18A of the Listing Rules

Category 2 exemptions for corporations none of whose shares are listed on The Stock Exchange of Hong Kong Limited

(a) Category 2 exemptions for corporations (none of whose shares are listed on The Stock Exchange of Hong Kong Limited) in respect of their structured products which are currently listed on The Stock Exchange of Hong Kong Limited

Name	Period of exemption (Note 2)	
	Commencing on	Ending on
1 Bank Vontobel AG	31 May 2020	30 May 2024
2 BOCI Aisa Limited	21 December 2007	20 December 2023
3 BNP Paribas Issuance B.V.	21 December 2007	20 December 2023
4 Citigroup Global Markets Europe AG	1 November 2019	31 October 2023
5 Credit Suisse AG	21 December 2007	20 December 2023
6 DBS Bank Ltd.	24 August 2021	23 August 2024
7 Goldman Sachs Structured Products (Asia) Limited	21 December 2007	20 December 2023
8 Guotai Junan Securities (Hong Kong) Limited	28 October 2019	27 October 2023
9 Haitong International Securities Company Limited	16 March 2017	15 March 2024
10 Huatai Financial Holdings (Hong Kong) Limited	1 June 2023	31 May 2024
11 J.P. Morgan Structured Products B.V.	21 December 2007	20 December 2023
12 Macquarie Bank Limited	1 January 2008	31 December 2023
13 Morgan Stanley Asia Products Limited	22 July 2019	21 July 2024
14 SG Issuer	1 April 2016	31 March 2024
15 The Hongkong and Shanghai Banking Corporation Ltd	23 December 2007	22 December 2023
16 UBS AG	21 December 2007	20 December 2023

Note 2: Exemptions for these corporations are granted for periods of 12 months (or less) and exempt structured products issued and became listed on The Stock Exchange of Hong Kong Limited during the period of exemption for the life of the structured products.

(b) Category 2 exemptions for corporations (none of whose shares are listed on The Stock Exchange of Hong Kong Limited) in respect of their debt securities issued under debt programmes and listed on The Stock Exchange of Hong Kong Limited

Name	Programme
1 AirAsia Global Notes Limited	US\$1,000,000,000 Multicurrency Medium Term Note Programme
2 Amipeace Ltd.	HK\$20,000,000,000 Medium Term Note Programme
3 AMTD Group Company Limited	U.S.\$500,000,000 Medium Term Note Programme
4 AMTD IDEA Group	U.S.\$1,000,000,000 Medium Term Note Programme
5 AVIC International Leasing Co., Ltd.	US\$500,000,000 Medium Term Note Programme
6 AVIC International Leasing Co., Ltd.	U.S.\$500,000,000 Medium Term Note Programme

7	Azure Nova International Finance Limited	U.S.\$10,000,000,000 Medium Term Note Programme
8	Azure Orbit II International Finance Limited	US\$1,000,000,000 Medium Term Note Programme
9	Azure Orbit IV International Finance Limited	U.S.\$7,000,000,000 Medium Term Note Programme
10	Bank of China (Hong Kong) Limited	U.S.\$15,000,000,000 Medium Term Note Programme
11	Bank of China (Luxembourg) S.A.	US\$30,000,000,000 Medium Term Note Programme
12	Beijing Capital Polaris Investment Co. Ltd.	U.S.\$1,000,000,000 Medium Term Note Programme
13	Bluestar Finance Holdings Limited	US\$3,000,000,000 Medium Term Note and Perpetual Securities Programme
14	Bocom Leasing Management Hong Kong Company Limited	US\$6,000,000,000 Medium Term Note Programme
15	Boom Up Investments Limited	US\$1,000,000,000 Medium Term Note Programme
16	CALC Bonds Limited	US\$3,000,000,000 Medium Term Note Programme
17	Castle Peak Power Finance Company Limited	U.S.\$2,000,000,000 Medium Term Note Programme
18	Cathay Pacific MTN Financing (HK) Limited	US\$2 billion Medium Term Note Programme
19	CCBL (Cayman) 1 Corporation Limited	US\$5,000,000,000 Guaranteed Medium Term Note Programme
20	CDBI Treasure I Limited	US\$500,000,000 Medium Term Note Programme
21	CDBL Funding 1	U.S.\$3,000,000,000 Medium Term Note Programme
22	CDBL Funding 1	US\$3,000,000,000 Medium Term Note Programme
23	CDBL Funding 2	US\$3,000,000,000 Medium Term Note Programme
24	Central Plaza Development Limited	US\$2,000,000,000 Guaranteed Medium Term Programme
25	CGNPC INTERNATIONAL LIMITED	US\$2,000,000,000 Guaranteed Medium Term Note Programme
26	Chailease International Finance Corporation	U.S.\$500,000,000 Medium Term Programme
27	Champion MTN Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
28	Charming Light Investments Ltd. and United Wealth Development Ltd.	US\$8,000,000,000 Medium Term Note Programme
29	Cheung Kong Finance (MTN) Limited and Joynote Ltd	US\$2,000,000,000 Medium Term Note Programme
30	Cheung Kong Infrastructure Finance (BVI) Limited	US\$2,000,000,000 Euro Medium Term Note Programme
31	China Cinda (2020) I Management Limited	U.S.\$4,000,000,000 Guaranteed Medium Term Note Programme
32	China Cinda (2020) I Management Limited	US\$2,000,000,000 Medium Term Note Programme
33	China Cinda Finance (2015) I Limited and China Cinda Finance (2015) II Limited	US\$3,000,000,000 Guaranteed Medium Term Note Programme
34	China Cinda Finance (2017) I Limited and China Cinda Finance (2017) II Limited	US\$10,000,000,000 Medium Term Note Programme
35	China CITIC Bank International Limited	US\$3,000,000,000 Medium Term Note Programme
36	China CITIC Bank International Limited	U.S.\$3,000,000,000 Medium Term Note Programme
37	China Construction Bank (Asia) Corporation Limited	US\$5,000,000,000 Medium Term Note Programme
38	China Development Bank Corporation and China Development Bank Corporation Hong Kong Branch	US\$30,000,000,000 Debt Issuance Programme
39	China Great Wall International Holdings III Limited	U.S.\$6,500,000,000 Medium Term Note Programme
40	China Overseas Finance (Cayman) VIII Limited	US\$2.5 billion medium note programme
41	CICC Hong Kong Finance 2016 MTN Limited	US\$10,000,000,000 Guaranteed Medium Term Note Programme
42	CITIC Securities Finance MTN Co., Ltd.	US\$3 billion Medium Term Note Programme
43	CK Property Finance (MTN) Limited	US\$5,000,000,000 Euro Medium Term Note Programme
44	CMB International Leasing Management Limited	US\$20,000,000,000 Medium Term Note Programme
45	CMB Wing Lung Bank Limited	U.S. \$2,000,000,000 Euro Medium Term Note Programme
46	CMBLEMTN1 Limited	U.S.\$5,000,000,000 Medium Term Note Programme
47	CMS International Gemstone Limited	US\$2,000,000,000 Medium Term Note Programme
48	CNCBINV 1 (BVI) Limited	US\$2,000,000,000 Medium Term Note Programme
49	CNPC General Capital Limited	US\$7,000,000,000 Euro Medium Term Note Programme
50	Connery Capital Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
51	COSL Singapore Capital Ltd.	US\$3,500,000,000 Euro Medium Term Note Programme
52	CSCIF Asia Limited	US\$3,000,000,000 Medium Term Note Programme
53	CSI MTN Limited	U.S.\$3,000,000,000 Medium Term Note Programme
54	Dah Sing Bank, Limited	US\$2,000,000,000 Euro Medium Term Note Programme
55	DianJian Haiyu Limited, Dianjian International Finance Limited, Leader Goal International Limited, SEPCO Virgin Limited	U.S.\$2,500,000,000 Medium Term Note and Senior Perpetual Securities Programme
56	Eastern Creation II Investment Holdings Ltd.	US\$6,000,000,000 Guaranteed Euro Medium Term Note Programme
57	Everbright SHK (BVI) Limited	US\$1,000,000,000 Medium Term Note Programme
58	FEC Finance Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
59	Franshion Brilliant Limited	US\$2,000,000,000 Medium Term Note Programme
60	Future Days Limited	US\$5,000,000,000 Medium Term Note Programme
61	FWD Group Holdings Limited	US\$5,000,000,000 Medium Term Note and Capital Securities Programme
62	GE (MTN) LIMITED	US\$2,000,000,000 Guaranteed Medium Term Note Programme
63	Gemdale Ever Prosperity Investment Limited	US\$2,000,000,000 Guaranteed Medium Term Note Programme
64	GLP China Holdings Limited	HK\$20,000,000,000 Medium Term Note Programme
65	Goodman HK Finance	US\$2,000,000,000 Medium Term Note Programme
66	Greenland Global Investment Limited	US\$8,000,000,000 Guaranteed Medium Term Notes Programme
67	Guangzhou Metro Investment Finance (BVI) Ltd.	US\$3,000,000,000 Guaranteed Medium Term Note Programme
68	Guotai Junan Holdings Limited	USD3,000,000,000 Medium Term Note Programme
69	Haitong International Finance Holdings Limited	USD1,000,000,000 Guaranteed Medium Term Note Programme
70	Haitong UT Brilliant Limited	USD1,000,000,000 Guaranteed Medium Term Note Programme
71	Henderson Land MTN Limited	US\$7,000,000,000 Guaranteed Medium Term Note Programme
72	HKCG (Finance) Limited	US\$3,000,000,000 Medium Term Note Programme
73	HLP Finance Limited	US\$4 billion Medium Term Note Programme
74	Hong Kong Science and Technology Parks Corporation	HK\$1,707,000,000 Guaranteed Medium Term Note Programme
75	Horse Gallop Finance Limited	US\$2,000,000,000 Guaranteed Medium Term Note Programme
76	Horsepower Finance Limited	US \$1,200,000,000 Medium Term Note Programme
77	Huarong Finance 2017 Co., Ltd.	U.S.\$14,500,000,000 Medium Term Note Programme
78	Huarong Finance 2019 Co., Ltd.	US\$2,900,000,000 Medium Term Note Programme
79	Huarong Finance II Co., Ltd	US\$11,000,000,000 Medium Term Note Programme
80	Huarong Leasing Management Hong Kong Company Limited	U.S.\$1,000,000,000 Medium Term Note Programme
81	Huatai International Finance Limited	US\$3,000,000,000 Medium Term Note Programme
82	Hysan (MTN) Limited	US\$4,000,000,000 Medium Term Note Programme
83	ICBCIL Finance Co. Limited	US\$20,000,000,000 Medium Term Note Programme
84	Industrial and Commercial Bank of Asia (China) Limited	U.S.\$6,000,000,000 Medium Term Note Programme
85	Industrial Bank Co., Ltd.	U.S.\$5,000,000,000 Medium Term Note Programme

86	Inventive Global Investments Limited	U.S.\$3,500,000,000 Medium Term Note Programme
87	Inventive Global Investments Limited	U.S.\$3,500,000,000 Medium Term Note Programme
88	Joyous Glory Group Limited	US\$1,000,000,000 Medium Term Note Programme
89	K.Wah International Financial Services Limited	US\$1,000,000,000 Medium Term Note Programme
90	Kunming Traffic Investment Co., Ltd.	US\$2,000,000,000 Medium Term Note Programme
91	Lai Fung MTN Limited	US\$2,000,000,000 Medium Term Note Programme
92	LAI SUN MTN LIMITED	US\$2,000,000,000 Medium Term Note Programme
93	Legend Fortune Limited	US\$2,000,000,000 Medium Term Note Programme
94	Midea Investment Development Company Limited	US\$3,000,000,000 Medium Term Note Programme
95	Modern Terminals Capital Limited	US\$1 billion Medium Term Note Programme
96	MTR Corporation (C.I.) Limited	US\$4 billion Medium Term Note Programme
97	New World China Land Limited	US\$2,000,000,000 Medium Term Note Programme
98	NWD (MTN) Limited	US\$7,000,000,000 Medium Term Note Programme
99	NWS (MTN) Limited	US\$1,000,000,000 Medium Term Note Programme
100	P&R International Treasury Limited	U.S.\$300,000,000 Medium Term Note and Perpetual Securities Programme
101	PETRONAS Capital Limited and PETRONAS Energy Canada Ltd.	US\$15,000,000,000 Medium Term Note Programme
102	Pingan Real Estate Capital Limited	US\$1,000,000,000 Medium Term Note Programme
103	Pioneer Reward Limited	USD3,000,000,000 Medium Term Note Programme
104	Power Assets Finance Limited	U.S.\$2,000,000,000 Medium Term Note Programme
105	Proven Glory Capital Limited	US\$5,000,000,000 Medium Term Note Programme
106	QNB Finance Ltd	U.S.\$22,500,000,000 Medium Term Note Programme
107	R-REIT International Finance Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
108	RH International Finance Limited	US\$1,000,000,000 Medium Term Note Programme
109	Rongshi International Finance Limited	US\$3,000,000,000 Medium Term Note Programme
110	Shanghai Pudong Development Bank Co., Ltd., Hong Kong Branch	US\$5,000,000,000 Euro Medium Term Note Programme
111	Sichuan Development Holding Co., Ltd. and Yieldking Investment Limited	US\$2,000,000,000 Medium Term Note Programme
112	Silverbell Asia Limited	US\$1,000,000,000 Medium Term Note Programme
113	Sino (MTN) Limited	US\$1,000,000,000 Medium Term Note Programme
114	Sinochem Offshore Capital Company Limited	US\$15,000,000,000 Global Medium Term Note Programme
115	Soar Great Limited	US\$1,500,000,000 Guaranteed Medium Term Note Programme
116	Soar Wise Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
117	SPIC Luxembourg Latin America Renewable Energy Investment Company S.à r.l., SPIC MTN Company Ltd.	U.S.\$3,000,000,000 Medium Term Note and Perpetual Securities Programme
118	Splendid International Investment Limited	U.S.\$1,500,000,000 Medium Term Note Programme
119	Standard Chartered Bank (Hong Kong) Limited and Standard Chartered Bank	US\$50,000,000,000 Debt Issuance Programme
120	State Elite Global Limited	US\$2,700,000,000 Medium Term Note Programme
121	State Grid Overseas Investment (2016) Limited	US\$15,000,000,000 Medium Term Note Programme
122	Sun Hung Kai Properties (Capital Market) Limited	US\$10,000,000,000 Medium Term Note Programme
123	Sunlight REIT MTN Limited	US\$1,000,000,000 Medium Term Note Programme
124	Swire Pacific MTN Financing (HK) Limited	US\$5,000,000,000 Medium Term Note Programme
125	Swire Pacific MTN Financing Limited	US\$5,000,000,000 Medium Term Note Programme
126	Swire Properties MTN Financing Limited	US\$3,000,000,000 Medium Term Note Programme
127	TCCL (Finance) Limited	U.S.\$2,000,000,000 Medium Term Note Programme
128	TFI Overseas Investment Limited	U.S.\$750,000,000 Structured Note and Medium Term Note Programme
129	The Hongkong and Shanghai Banking Corporation Limited	US\$20,000,000,000 Medium Term Note Programme
130	The Hong Kong Mortgage Corporation Limited	US\$30,000,000,000 Medium Term Note Programme
131	The Link Finance (Cayman) 2009 Limited	US\$5,000,000,000 Guaranteed Euro Medium Term Note Programme
132	Uican Limited	US\$1,000,000,000 Guaranteed Euro Medium Term Note Programme
133	Urban Renewal Authority	US\$1 billion Medium Term Note Programme
134	Vanke Real Estate (Hong Kong) Company Limited	US\$9,500,000,000 Medium Term Note Programme
135	Westwood Group Holdings Limited	US\$3,000,000,000 Medium Term Note Programme
136	Wharf Finance (BVI) Limited, Wharf Finance (BVI) No.1 Limited, Wharf Finance (No.1) Limited and Wharf Finance Limited	US\$7 billion Medium Term Note Programme
137	Wharf REIC Finance (BVI) Limited	U.S.\$5,000,000,000 Medium Term Note Programme
138	Wheelock Finance Limited, Wheelock MTN (BVI) Limited	US\$5 billion Medium Term Note Programme
139	Wuhan Metro Group Co., Ltd.	U.S.\$1,000,000,000 Medium Term Note Programme
140	Xingcheng (BVI) Limited	US\$1,500,000,000 Medium Term Note Programme
141	Yuexiu REIT MTN Company Limited	US\$1,500,000,000 Medium Term Note Programme
142	Zhejiang Energy International Limited	USD2,000,000,000 Medium Term Note Programme

(c) Category 2 exemptions for corporations (none of whose shares are listed on The Stock Exchange of Hong Kong Limited) in respect of their particular issue of debt securities which are currently listed on The Stock Exchange of Hong Kong Limited

Name	SEHK Stock codes
1 Agricultural Development Bank of China	85909
2 Agricultural Development Bank of China	85924
3 Alibaba Group Holding Limited	5844
4 Alibaba Group Holding Limited	5845
5 Anhui Boyang Urban Construction Holding Group Co., Ltd.	5429
6 Anhui Transportation Holding Group (H.K.) Limited	40815
7 Anji Qicai Lingfeng Rural Tourism Investment Co., Ltd	40627
8 Anji Qicai Lingfeng Rural Tourism Investment Co., Ltd	40844
9 AVIC International Finance & Investment Limited	5966
10 AVIC International Finance & Investment Limited	40388
11 AVIC International Finance & Investment Limited	40563
12 AVIC International Finance & Investment Limited	40919

13	AVIC International Finance & Investment Limited	5012
14	Azure Orbit III International Finance Limited	5620
15	BAIC Finance Investment Co., Ltd	40615
16	Bank of Communications (Hong Kong) Limited	40176
17	Bank of Communications (Hong Kong) Limited	40751
18	Baoji Investment (Group) Co., Ltd.	40959
19	Bauhinia ILBS 1 Limited	5797
20	Bauhinia ILBS 1 Limited	5790
21	Bauhinia ILBS 1 Limited	5791
22	Bauhinia ILBS 1 Limited	5792
23	Bauhinia ILBS 1 Limited	5795
24	BCEG (HongKong) Company Limited	40743
25	Beijing Environment (BVI) International Co., Ltd	40860
26	Beijing Gas Singapore Capital Corporation	4425
27	Beijing State-Owned Assets Management (Hong Kong) Company Limited	5521
28	Beijing State-owned Capital Operation and Management Center Investment Holdings Limited	4495
29	Bengbu Gaoxin Investment Group Co., Ltd.	5412
30	Bi Hai Co., Ltd.	4540
31	Bijie Shengfeng Agricultural Development Co., Ltd.	5799
32	Binjiang Guotou Limited	5071
33	Binzhou Bincheng Economic Development Investment Company Limited	5420
34	Black Peony (Hong Kong) Holding Co., Limited	5839
35	Blossom Joy Limited	40426
36	Blossom Joy Limited	40427
37	Blue Bright Limited	40596
38	Blue Bright Limited	40245
39	Blue Skyview Company Limited	5364
40	Bluestar Finance Holdings Limited	4550
41	Bluestar Finance Holdings Limited	5089
42	Bluestar Finance Holdings Limited	5599
43	Bluestar Finance Holdings Limited	40274
44	Bluestar Finance Holdings Limited	4487
45	BNY Trust Company of Australia Limited	5766
46	BNY Trust Company of Australia Limited	40142
47	BNY Trust Company of Australia Limited	40878
48	Boen Investment Limited	40941
49	Boen Investment Limited	40942
50	Bright Galaxy International Limited	40762
51	Cable and Wireless International Finance B.V.	1077
52	Cable and Wireless International Finance B.V.	1078
53	CALC Bond 3 Limited	5391
54	Caofeidian State Holding Investment Group Company Limited	4456
55	Cathay Pacific Finance III Limited	40580
56	CCB Life Insurance Company Limited	5437
57	CCCI Treasure Limited	5893
58	CCCI Treasure Limited	40157
59	CCCI Treasure Limited	40158
60	CCTHK 2021 Ltd.	4410
61	CCTHK 2021 Ltd.	4411
62	CDBL Funding 1	5850
63	CEBI Splendid Limited	40962
64	Celestial Dynasty Limited	5594
65	CELESTIAL MILES LIMITED	5706
66	CENTRAL INTERNATIONAL DEVELOPMENT (BVI) LIMITED	40812
67	Central Plaza Development Ltd.	5919
68	CGNPC International Limited	5059
69	CGNPC International Limited	5153
70	CGNPC International Limited	5154
71	CGNPC International Limited	5519
72	Chalco Hong Kong Investment Company Limited	5920
73	Chalco Hong Kong Investment Company Limited	5727
74	Chalco Hong Kong Investment Company Limited	5985
75	Chalco Hong Kong Investment Company Limited	40783
76	Chalco Hong Kong Investment Company Limited	40784
77	Chalieco Hong Kong Corporation Limited	5321
78	Chalieco Hong Kong Corporation Limited	5702
79	Chalieco Hong Kong Corporation Limited	40070
80	Champion Path Holdings Limited	40559
81	Champion Path Holdings Limited	40560
82	Chanfa International (HK) Co., Limited	5616
83	Chang Development International Limited	40827
84	Chang Development International Limited	5318
85	Changde Economic Construction Investment Group Co., Ltd.	5334
86	Changde Urban Construction and Investment Group Co., Ltd.	40451
87	Changde Urban Construction and Investment Group Co., Ltd.	5439
88	Changsha Jinxia New District City Development Co., Ltd	5228
89	Changxing Urban Construction Investment Group Co., Ltd.	40533
90	Charter Style International Limited	85993
91	Chengda International Co., Limited	5663
92	Chengdu Aerotropolis City Development Group Co., Ltd.	40865
93	Chengdu Aerotropolis City Development Group Co., Ltd.	5784
94	Chengdu Airport Xingcheng Investment Group Co., Ltd.	5216
95	Chengdu Communications Investment Group Corporation Limited	5062
96	Chengdu Communications Investment Group Corporation Limited	40948
97	Chengdu Dongfangguangyi Investment Co., Ltd.	5070
98	Chengdu Economic Development Industrial Investment Group Co., Ltd.	5339

99	Chengdu Hi-Tech Investment Group Co., Ltd.	40886
100	Chengdu Jianjiang Investment Group Co., Ltd.	5219
101	Chengdu Jianjiang Investment Group Co., Ltd.	5288
102	Chengdu Jianjiang Investment Group Co., Ltd.	5436
103	Chengdu Jiaozhi Financial Holding Group Co., Ltd.	40645
104	Chengdu Jingkai Guotou Investment Group Co., Ltd.	40956
105	CHENGDU SINO FRENCH ECOLOGICAL PARK INVESTMENT DEVELOPMENT CO., LTD.	5559
106	CHENGDU SINO FRENCH ECOLOGICAL PARK INVESTMENT DEVELOPMENT CO., LTD.	5675
107	Chengdu Tianfu Dagang Group Co., Ltd.	5243
108	Chengdu Tianfu Dagang Group Co., Ltd.	5335
109	Chengdu Tianfu Dagang Group Co., Ltd.	5336
110	Chengdu Tianfu New Area Investment Group Co., Ltd.	6011
111	Chengdu Xisheng Investment Group Co., Ltd.	5500
112	Cheung Kong Bond Securities (02) Limited	4559
113	Cheung Kong Bond Securities (03) Limited	4595
114	Cheung Kong Infrastructure Finance (BVI) Limited	5060
115	Cheung Kong Infrastructure Finance (BVI) Limited	40698
116	Cheung Kong Infrastructure Finance (BVI) Limited	40789
117	China Cinda Finance (2014) Limited	5748
118	China CITIC Bank International Limited	5199
119	China CITIC Bank International Limited	5728
120	China CITIC Bank International Limited	5831
121	China CITIC Bank International Limited	5992
122	China CITIC Bank International Limited	4594
123	China Clean Energy Development Limited	5568
124	China Development Bank Corporation	85904
125	China Development Bank Corporation	85905
126	China Development Bank Corporation	85934
127	China Gezhouba Group (Hong Kong) Overseas Investment Co., Limited	40493
128	China Great Wall International Holdings IV Limited	5617
129	China Great Wall International Holdings IV Limited	5620
130	China Great Wall International Holdings V Limited	40350
131	China Great Wall International Holdings V Limited	40930
132	China Great Wall International Holdings VI Limited	5205
133	China Huadian Overseas Development 2018 Limited	40261
134	China Huadian Overseas Development Management Company Limited	4533
135	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40094
136	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40095
137	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40502
138	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40503
139	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40543
140	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40544
141	China Life Insurance (Overseas) Co., Ltd	5250
142	China Life Insurance (Overseas) Company Limited, Hong Kong Branch	5832
143	China Merchants Finance Company Limited	5555
144	China Minmetals Corporation	4442
145	China Minmetals Corporation	5009
146	China Overseas Finance (Cayman) III Limited	5988
147	China Overseas Finance (Cayman) III Limited	5989
148	China Overseas Finance (Cayman) V Limited	4580
149	China Overseas Finance (Cayman) VI Limited	5746
150	China Overseas Finance (Cayman) VI Limited	5760
151	China Overseas Finance (Cayman) VII Limited	4476
152	China Overseas Grand Oceans Finance IV (Cayman) Limited	40592
153	China Railway XunJie Co., Limited	5692
154	China Resources Power East Foundation Co., Ltd.	4516
155	China Shenhua Overseas Capital Company Limited	5863
156	China Southern Power Grid International Finance (BVI 2018) Co., Limited	5166
157	China Southern Power Grid International Finance (BVI 2018) Co., Limited	5167
158	China Southern Power Grid International Finance (BVI) Co., Limited	4598
159	China State Construction Finance (Cayman) I Limited	5462
160	China State Construction Finance (Cayman) I Limited	40712
161	China State Construction Finance (Cayman) II Limited	5038
162	China State Construction Finance (Cayman) III Limited	40083
163	China Taiping New Horizon Limited	5984
164	Chinalco Capital Holdings Limited	5745
165	Chinalco Capital Holdings Limited	40706
166	Chinalco Capital Holdings Limited	4497
167	Chindata Group Holdings Limited	5697
168	Chiyu Banking Corporation Limited	5034
169	Chiyu Banking Corporation Limited	5109
170	Chiyu Banking Corporation Limited	5564
171	Chongqing Nan'an Urban Construction & Development (Group) Co., Ltd.	4562
172	Chongqing Fuling District New Urban Area Development (Group) Co., Ltd.	5509
173	Chongqing Nan'an Urban Construction & Development (Group) Co., Ltd.	5699
174	Chongqing Southern New City Industrial Investment Group Co., Ltd.	40893
175	Chongqing Wansheng Economic and Technological Development Zone Development & Investment Group Co., Ltd.	40794
176	Chouzhou International Investment Limited	40154
177	Chouzhou International Investment Limited	5814
178	CITIC Telecom International Finance Limited	5908
179	CLP Power HK Finance Ltd	40044
180	CLP Power HK Finance Ltd.	5740
181	CLP Power Hong Kong Financing Limited	4306
182	CMHI Finance (BVI) Co., Ltd	5135
183	CMHI Finance (BVI) Co., Ltd	40408

184	CMHI Finance (BVI) Co., Ltd	40409
185	CMHI Finance (BVI) Co., Ltd	5275
186	CNCBINV 1 (BVI) Limited	40915
187	CNOOC Curtis Funding No. 1 Pty Ltd	5972
188	CNOOC Finance (2003) Limited	2568
189	CNOOC Finance (2011) Limited	4507
190	CNOOC Finance (2012) Limited	4547
191	CNOOC Finance (2013) Limited	5942
192	CNOOC Finance (2013) Limited	40016
193	CNOOC Finance (2015) Australia Pty Ltd	5507
194	CNOOC Finance (2015) U.S.A. LLC	4478
195	CNOOC Finance (2015) U.S.A. LLC	5508
196	CNOOC Nexen Finance (2014) ULC	5738
197	CNOOC Nexen Finance (2014) ULC	5739
198	CNPC (HK) Overseas Capital Ltd.	4514
199	Contemporary Ruiding Development Limited	40381
200	Contemporary Ruiding Development Limited	40382
201	Contemporary Ruiding Development Limited	40833
202	COSL Singapore Capital Ltd.	40277
203	COSL Singapore Capital Ltd.	40278
204	CRCC Chengan Limited	5581
205	CRCC Hean Limited	40686
206	CRCC Hean Limited	40687
207	CRCC Yupeng Limited	5778
208	CSCEC Finance (Cayman) II Limited	5233
209	CSSC Capital 2015 Limited	40145
210	CSSC Capital 2015 Limited	40146
211	CSSC Capital 2015 Limited	40778
212	CSSC Capital Two Limited	5182
213	Dah Sing Bank, Limited	5316
214	Dah Sing Bank, Limited	5524
215	Dah Sing Bank, Limited	6021
216	Dalian Deta Holding Co., Ltd.	5363
217	Deqing Construction & Development Group Co., Ltd.	40736
218	Deqing County Cultural Tourism Development Group Co., Ltd.	5116
219	Deqing County Cultural Tourism Development Group Co., Ltd.	5118
220	Deyang Development Holding Group Co., Ltd.	5659
221	Dianjian Haixing Limited	5816
222	DianJian Haiyu Limited	40396
223	DianJian Haiyu Limited	5144
224	Dongfeng Motor (Hong Kong) International Co., Limited	40883
225	Dongtai Chengxing Investment Development Co., Ltd.	5740
226	DONGTAI HUIMIN URBANIZATION CONSTRUCTION GROUP CO., LTD.	5458
227	DONGTAI HUIMIN URBANIZATION CONSTRUCTION GROUP CO., LTD.	5586
228	Dongxing Voyage Company Limited	5643
229	Dujiangyan Minjiang Water Group Co., Ltd.	5368
230	Ease Trade Global Limited	40452
231	eHi Car Services Limited	40679
232	Elect Global Investments Limited	40173
233	Elect Global Investments Limited	40353
234	ENN Clean Energy International Investment Limited	40678
235	ENRONG INTERNATIONAL (HONGKONG) LIMITED	40545
236	Eve Battery Investment Limited	40926
237	Excel Capital Global Limited	4496
238	Expand Lead Limited	40768
239	Foshan Gaoming Construction Investment Group Co., Ltd.	5148
240	FPC Capital Limited	5107
241	FPC Resources Limited	40373
242	Franshion Brilliant Limited	5030
243	Franshion Brilliant Limited	5229
244	Franshion Brilliant Limited	5289
245	Franshion Brilliant Limited	5347
246	Franshion Brilliant Limited	5447
247	Franshion Brilliant Limited	5472
248	Franshion Brilliant Limited	40641
249	Fu Gang Construction Group Co. Limited	4452
250	Fu Gang Construction Group Co. Limited	4451
251	Fu Gang Construction Group Co. Limited	4450
252	Fugao Wantai Group Co., Ltd.	4506
253	Fujian Shishi State-owned Capital Operation Group Co., Ltd.	5108
254	Fujian Zhanglong Group Co., Ltd.	40535
255	Fujian Zhanglong Group Co., Ltd.	5362
256	Fuyuan Worldwide Limited	40947
257	Fuyuan Worldwide Limited	40974
258	Fuyuan Worldwide Limited	5114
259	Fuyuan Worldwide Limited	5835
260	Fuzhou Digital Economy Investment Group Co., Ltd	4429
261	Fuzhou Digital Economy Investment Group Co., Ltd	5529
262	FWD Group Limited	4403
263	FWD Group Limited	5019
264	FWD Group Limited	5221
265	FWD Group Limited	5793
266	FWD Limited	4416
267	FWD Limited	5351
268	FWD Limited	5803
269	Gansu Province Electric Power Investment Group Co., Ltd.	40871

270	Gansu Provincial Highway Aviation Tourism Investment Group Co., Ltd.	40450
271	Gansu Provincial Highway Aviation Tourism Investment Group Co., Ltd.	40716
272	Gansu Provincial Highway Aviation Tourism Investment Group Co., Ltd.	5103
273	Ganzhou Hengzhi Co., Limited	40726
274	Ganzhou Urban Investment Holding Group Co., Ltd.	40879
275	Ganzhou Urban Investment Holding Group Co., Ltd.	5526
276	Ganzhou Urban Investment Holding Group Co., Ltd.	5715
277	Ganzhou Urban Investment Holding Group Co., Ltd.	5717
278	Gaoxin International Investment Co., Ltd	5649
279	Gaoyou Construction Investment Development (BVI) Co., Ltd.	5358
280	GOHL Capital Limited	5352
281	Golden Dragon Mountain Trading (BVI) Co., Limited	5682
282	Goucheng International Co., Ltd.	40513
283	Goucheng International Co., Ltd.	40723
284	Goucheng International Co., Ltd.	40891
285	Greenland Global Investment Limited	5769
286	Guang Ying Investment Limited	40674
287	Guangxi Communications Investment Group Corporation. Ltd	5345
288	Guangxi Financial Investment Group Co., Ltd.	40469
289	Guangxi Liuzhou Dongcheng Investment Development Group Co., Ltd.	5543
290	Guangxi Liuzhou Rail Transit Investment Development Group Co., Ltd.	40819
291	Guangzhou Development District Financial Holdings Group Co., Ltd.	40509
292	Guangzhou Development District Financial Holdings Group Co., Ltd.	4407
293	Guangzhou Development District Investment Group Co., Ltd	5387
294	Guilin ETDZ Investment Holding Co., Ltd.	5653
295	Guizhou Shuanglong Airport Development & Investment (Group) Co., Ltd.	5735
296	Guohui International (BVI) Co., Ltd.	40362
297	Guohui International (BVI) Co., Ltd.	5315
298	Guoneng Environmental Protection Investment Group Co., Limited	5788
299	Guoren Property and Casualty Insurance Co., Ltd.	40694
300	Hafoo Co., Ltd	40952
301	Haichuan International Investment Co., Ltd.	40621
302	Haichuan International Investment Co., Ltd.	40911
303	Haimen Zhongnan Investment Development (International) Co., Ltd.	40638
304	Hainan State Farms International (HK) Co., Limited	40575
305	Haitian (BVI) International Investment Development Limited	40457
306	Haitong International Finance Holdings 2015 Limited	40186
307	Haixi Overseas Investment Company Limited	40689
308	Haixi Overseas Investment Company Limited	40943
309	HaiYan Coastline Co., Limited	5842
310	Hangzhou Bay Overseas Development Co., Ltd	40976
311	Hangzhou Fuyang Chengtuo Group (HK) Limited	40738
312	Hangzhou Qiantang New Area Construction and Investment Group Co., Ltd.	5825
313	Hangzhou Water Group Co., Ltd.	5098
314	Hanrui Overseas Investment Co Ltd	40412
315	Hebei Shunde Investment Group Company Limited	40892
316	Helenbergh China Holdings Limited	40877
317	HENAN RAILWAY CONSTRUCTION & INVESTMENT GROUP CO., LTD.	4459
318	HENAN RAILWAY CONSTRUCTION & INVESTMENT GROUP CO., LTD.	5046
319	Henan Water Conservancy Investment Group Co., Ltd	40387
320	Heng Yuan International Company Ltd	40950
321	Heng Yuan International Company Ltd	5392
322	Hengjian International Investment Limited	40268
323	Hero Asia Investment Limited	40466
324	Heze City Investment Holdings International Co., Limited	5517
325	HFI International (HK) Limited	40309
326	Hong Kong JY Flower Limited	40410
327	Hong Kong JY Flower Limited	5710
328	Hong Kong Sukuk 2017 Limited	4233
329	Hongkong International (Qingdao) Company Limited	5875
330	Hongkong International (Qingdao) Company Limited	40017
331	HongKong Xiangyu Investment Co., Limited	5544
332	Huafa 2019 I Company Limited	5976
333	Huafa 2020 I Company Limited	40455
334	Huafa 2021 I Company Limited	40820
335	Huafa 2021 I Company Limited	40806
336	Huai'an Investment Holdings Group Co., Ltd.	4509
337	Huai'an Traffic Holding Group Co., Ltd.	5360
338	Huaibei City Construction Investment Holding Group Company Limited	40873
339	Huaibei City Construction Investment Holding Group Company Limited	4496
340	Huaiyuan County New Urbanization Construction Co. Ltd	4593
341	Hualu International Finance (BVI) Limited	40889
342	Huaneng Hong Kong Capital Limited	5000
343	Huangshan Tourism Group Co., Ltd.	40364
344	Huangshi State-Owned Assets Management Co., Ltd.	40967
345	Huantaihu International Investment Co., Ltd.	5691
346	Huatong International Investment Holdings Co., Limited	40607
347	Huaxin Pharmaceutical (Hong Kong) Co., Ltd.	40598
348	Huaxin Pharmaceutical (Hong Kong) Co., Ltd.	40571
349	Huayi Finance I Ltd.	40042
350	HUBEI NEW TONGDU URBAN INVESTMENT DEVELOPMENT GROUP CO., LTD.	5820
351	Hubei Science & Technology Investment Group (Hong Kong) Company Limited	40440
352	HUBEI UNITED DEVELOPMENT INVESTMENT GROUP CO., LTD.	5010
353	Hunan Xiangjiang New Area Development Group Co., Ltd.	40890
354	Huzhou City Investment Development Group Co., Ltd.	40900
355	Huzhou City Investment Development Group Co., Ltd.	4458

356	Huzhou City Investment Development Group Co., Ltd.	5800
357	Huzhou Moganshan High-tech Group Co., Ltd.	40775
358	Huzhou Moganshan High-tech Group Co., Ltd.	4444
359	Huzhou Nanxun New Town Investment Development Group Co., Ltd.	4448
360	Huzhou New City Investment Development Group Co., Ltd.	40979
361	Huzhou Wuxing Economic Development and Investment Group Co., Ltd.	5095
362	Huzhou Wuxing State-Owned Capital Investment Development Co., Ltd.	5284
363	Hyd International Holding Limited	40664
364	IFC Development (Corporate Treasury) Limited	6000
365	IFC Development (Corporate Treasury) Limited	40198
366	Industrial and Commercial Bank of China (Asia) Limited	5686
367	Industrial and Commercial Bank of China (Asia) Limited	5976
368	Industrial and Commercial Bank of China (Macau) Limited	5750
369	Industrial and Commercial Bank of China (Macau) Limited	5797
370	Ji'An Chengtong Holding Group Co., Ltd	40611
371	Jiangsu Dieshiqiao Home Textile Industrial Group Co., Ltd.	40769
372	JIANGSU DIESHIQIAO HOME TEXTILE INDUSTRY GROUP CO., LTD.	4404
373	Jiangsu Fuxuan Industrial Co., Ltd.	5779
374	Jiangsu Gaokai Investment Development Group Co., Ltd.	4447
375	Jiangsu Jurong Investment Group Co., Ltd	5401
376	Jiangsu Jurong Investment Group Co., Ltd	5428
377	Jiangsu Tenghai Investment Holding Group Co., Ltd.	5120
378	Jiangsu Tenghai Investment Holding Group Co., Ltd.	5531
379	Jiangsu Zhongguancun Holding Group (International) Co Ltd	5547
380	JIAOXING CITY INVESTMENT AND DEVELOPMENT GROUP CO., LTD.	40441
381	JIC Zhixin Limited	5032
382	JIC Zhixin Limited	40360
383	JIC Zhixin Limited	40361
384	Jinan Economic Development Investment Co., Ltd.	5540
385	Jinan Hi-tech Holding Group Co., Ltd.	40933
386	Jinan Hi-tech Holding Group Co., Ltd.	5402
387	JINAN HI-TECH INTERNATIONAL (CAYMAN) INVESTMENT DEVELOPMENT CO., LIMITED	40510
388	JINAN HI-TECH INTERNATIONAL (CAYMAN) INVESTMENT DEVELOPMENT CO., LIMITED	40735
389	JINAN HI-TECH INTERNATIONAL (CAYMAN) INVESTMENT DEVELOPMENT CO., LIMITED	5605
390	Jinan Lixia Holding Group Co., Ltd.	40505
391	Jinan Shuntong International Co. Limited	5639
392	Jincheng State-owned Capital Investment Operation Co., Ltd.	5514
393	Jinchuan Golden Ocean Capital Limited	40597
394	Jingfa Overseas Investment Company Limited	5655
395	Jinghe New City Development and Construction (Group) Co, Ltd of Xixian New Area, Shaanxi Province	5326
396	Jingjiang Port (Group) Co., Ltd	40968
397	Jingjiang Port (Group) Co., Ltd	4315
398	Jingjiang Port (Group) Co., Ltd	4302
399	Jingjiang Port (Group) Co., Ltd	5076
400	Jingming Yuantong Development Investment (BVI) Co., Ltd	40966
401	Jingming Yuantong Development Investment (BVI) Co., Ltd	5294
402	Jinjiang Road and Bridge Construction & Development Co., Ltd.	5757
403	Jinjiang Road and Bridge Construction & Development Co., Ltd.	5808
404	Jinke Property Group Co., Ltd.	40695
405	Jinko Power Technology Co., Ltd	5539
406	Jiujiang Municipal Development Group Co., Ltd.	40697
407	Joy Treasure Assets Holdings Inc.	5901
408	Joy Treasure Assets Holdings Inc.	5903
409	Joy Treasure Assets Holdings Inc.	6027
410	Joy Treasure Assets Holdings Inc.	40000
411	Joy Treasure Assets Holdings Inc.	40467
412	Joy Treasure Assets Holdings Inc.	40468
413	Junfeng International Co., Ltd	40958
414	Keqiao (HK) International Investment Co., Limited	5056
415	King Power Capital Ltd.	5823
416	King Talent Management Limited	5026
417	Knowledge City (Guangzhou) Investment Group Co., Ltd.	5113
418	Korean Reinsurance Company	5815
419	Kunming Public Rental Housing Development Construction Management Co., Ltd.	40750
420	Kunming Rail Transit Group Co., Ltd.	40101
421	Kunming Rail Transit Group Co., Ltd.	5661
422	LICHENG INTERNATIONAL DEVELOPMENT CO., LTD.	5549
423	LICHENG INTERNATIONAL DEVELOPMENT CO., LTD.	5816
424	LinJiang Investment and Development (BVI) Co., Ltd	5644
425	Link CB Limited	5662
426	Linyi City Development International Co., Limited	5331
427	Linyi Investment Development Group Co., Ltd.	5102
428	Lishui Economic and Technological Development Zone Industrial Development Group Co., Ltd.	4553
429	Lishui Economic and Technological Development Zone Industrial Development Group Co., Ltd.	4560
430	Lishui Economic and Technological Development Zone Industrial Development Group Co., Ltd.	5328
431	Liupanshui Minsheng Industrial Investment Group Co., Ltd	5556
432	Longnan Tourism Development and Investment Group Co., Ltd.	5434
433	Longnan Tourism Development and Investment Group Co., Ltd.	5777
434	LS Finance (2017) Limited	4471
435	LS Finance (2017) Limited	40731
436	LS Finance (2025) Limited	5535
437	Luheng International Co., Ltd.	40945
438	Luheng International Co., Ltd.	4431
439	LUOYANG LONGMEN TOURISM GROUP CO., LTD.	5063
440	Luso International Banking Limited	5078

441	Luso International Banking Limited	5817
442	Malaysia Sovereign Sukuk Berhad	5894
443	Malaysia Sovereign Sukuk Berhad	5895
444	Malaysia Sukuk Global Berhad	5633
445	Malaysia Sukuk Global Berhad	5634
446	Malaysia Wakala Sukuk Berhad	40662
447	Malaysia Wakala Sukuk Berhad	40663
448	MCC Holding (Hong Kong) Corporation Limited	4483
449	MCC Holding (Hong Kong) Corporation Limited	40188
450	MCC Holding (Hong Kong) Corporation Limited	40653
451	Mengcheng Development Zone Xingmeng Investment Co., Ltd.	84438
452	Miayang Investment Holding (Group) Co., Ltd.	5408
453	Midea Investment Development Company Limited	4501
454	Minghu International (BVI) Investment Development Co., Limited	40917
455	MINMETALS BOUNTEOUS FINANCE (BVI) LIMITED	5553
456	MINMETALS BOUNTEOUS FINANCE (BVI) LIMITED	5689
457	Minmetals Bounteous Finance (BVI) Limited	40175
458	Mudanjiang City Investment Group Co., Ltd.	5271
459	Nanchang Jinkai Group Co., Ltd.	40626
460	Nanchang Jinkai Group Co., Ltd.	40788
461	Nanjing Future Technology City Economic Development Company Limited	5117
462	Nanjing Yangzi State-owned Assets Investment Group Co., Ltd	5054
463	Nanjing Yangzi State-owned Investment Group Co., Ltd.	5614
464	Nanning Communications Investment Group Co., Ltd.	40692
465	Nanxun Communications Investment Group Co., Ltd.	5072
466	Nanyang Commercial Bank, Limited	5214
467	Nanyang Commercial Bank, Limited	40069
468	Nanyang Commercial Bank, Limited	5207
469	Nanyang Commercial Bank, Limited	5752
470	Neijiang Investment Holding Group Co., Ltd.	5822
471	New Momentum International Limited	40633
472	New Momentum International Limited	5815
473	New Town Construction Investment (Jizhou, Tianjin) Co., Ltd.	5322
474	Ningbo Yincheng Group Co., Ltd.	40801
475	Ninghai State-owned Asset Investment Holding Group Ltd.	5057
476	NWD Finance (BVI) Limited	4561
477	NWD Finance (BVI) Limited	5856
478	NWD Finance (BVI) Limited	40262
479	NWD Finance (BVI) Limited	40504
480	NWD Finance (BVI) Limited	40711
481	NWD Finance (BVI) Limited	5312
482	Ocean Laurel Co. Limited	40414
483	Omni Ireland Credit Card Securitization T3 DAC	40476
484	Omni Ireland Credit Card Securitization T3 DAC	40477
485	Omni Ireland Credit Card Securitization T3 DAC	40478
486	Omni Ireland Credit Card Securitization T3 DAC	40479
487	Omni Ireland Credit Card Securitization T3 DAC	40480
488	Omni Ireland Credit Card Securitization T3 DAC	40481
489	Omni Ireland Credit Card Securitization T3 DAC	40482
490	Omni Ireland Credit Card Securitization T3 DAC	40483
491	Omni Ireland Credit Card Securitization T3 DAC	40484
492	Omni Ireland Credit Card Securitization T3 DAC	40485
493	Omni Ireland Credit Card Securitization T3 DAC	40486
494	Omni Ireland Credit Card Securitization T3 DAC	40487
495	Omni Ireland Credit Card Securitization T3 DAC	40488
496	Omni Ireland Credit Card Securitization T3 DAC	40489
497	Orient ZhiSheng Limited	5574
498	Oriental Capital Company Limited	5550
499	OVPH Limited	5614
500	Panther Ventures Limited	40378
501	Panther Ventures Limited	40512
502	Panther Ventures Limited	40872
503	Panzhuhua City Construction and Transportation (Group) Co., Ltd.	84440
504	PB Issuer (No.5) Limited	40097
505	PCGI Intermediate Holdings (II) Limited	40074
506	PCGI Intermediate Holdings (III) Limited	40810
507	PCGI Intermediate Limited	40040
508	PCGI Intermediate Limited	40040
509	Peak Re (BVI) Holding Limited	40437
510	Peng Ying International Development Company Limited	5191
511	Peng Ying International Development Company Limited	5373
512	Pengze County Development and Construction Group Co., Ltd.	5664
513	Perfect Point Ventures Limited	40850
514	Petron Corporation	5905
515	PHBS Limited	4325
516	Phoenix Charm International Investment Limited	4500
517	Phoenix Charm International Investment Limited	5409
518	Phoenix Lead Limited	5262
519	Ping An International Financial Leasing Co., Ltd.	40818
520	Pingdu State-owned Assets Management Co., Ltd.	40965
521	Pingdu State-owned Assets Management Co., Ltd.	5051
522	Pinghu State-owned Assets Holding Group Co., Ltd.	40862
523	Pingxiang City Construction Investment Group Co., Ltd	5111
524	Pioneer Reward Limited	40643
525	Pioneer Reward Limited	40644
526	Pioneer Reward Limited	4573

527	Pioneer Reward Limited	84407
528	Poly Real Estate Finance Ltd	5164
529	Poly Real Estate Finance Ltd	5916
530	Powerchina Roadbridge Group (British Virgin Islands) Limited	40635
531	Prosperous Ray Limited	5994
532	Proven Honour Capital Limited	5516
533	Proven Honour Capital Limited	5641
534	Pterosaur Capital Co., Limited	6021
535	PTT Public Company Limited	2527
536	Pujiang State-Owned Capital Investment Group Co., Ltd.	40913
537	Puyang Investment Group Co., Ltd.	40400
538	Puyang Investment Group Co., Ltd.	40407
539	Puyang Investment Group Co., Ltd.	5838
540	Qingdao Development Area Investment Construction Group Co., Ltd.	40955
541	Qingdao Jiaozhou Bay Development Group Co., Ltd.	40710
542	Qingdao Jiaozhou Bay Development Group Co., Ltd.	4564
543	Qingdao Jiaozhou Bay Development Group Co., Ltd.	5305
544	Qingdao Jiaozhou Urban Development And Investment Co., Ltd.	5110
545	Qinglun International (BVI) Co., Limited	5532
546	QUAN CHENG FINANCIAL HOLDINGS (BVI) LIMITED	40832
547	Quzhou Communications Investment Group Co., Ltd.	4454
548	Quzhou State-owned Capital Operation Co., Ltd.	4510
549	Radiant Access Limited	5205
550	Rongshi International Finance Limited	5449
551	Rowen International Co., Limited	5601
552	Rudong County Jinxin Transportation Engineering Construction Investment Co., Ltd.	40555
553	Rudong County Jinxin Transportation Engineering Construction Investment Co., Ltd.	40616
554	Rudong County Jinxin Transportation Engineering Construction Investment Co., Ltd.	40753
555	Rudong County Jinxin Transportation Engineering Construction Investment Co., Ltd.	40885
556	Rudong County Mintai Urban and Rural Construction Engineering Co., Ltd.	5074
557	Rudong County Mintai Urban and Rural Construction Engineering Co., Ltd.	5456
558	Rudong County Mintai Urban and Rural Construction Engineering Co., Ltd.	5499
559	SAIC-GMAC Automotive Finance Company Limited	86026
560	SAN SHUI INTERNATIONAL DEVELOPMENT CO., LTD	40969
561	SAN SHUI INTERNATIONAL DEVELOPMENT CO., LTD	5292
562	SAN SHUI INTERNATIONAL DEVELOPMENT CO., LTD	5291
563	SAN SHUI INTERNATIONAL DEVELOPMENT CO., LTD	5293
564	SCI (HK) Development Limited	40631
565	SCI (HK) Development Limited	40632
566	SDG FINANCE I LIMITED	4539
567	SDG Finance Limited	40816
568	SDG Finance Limited	40817
569	SDG Finance Limited	5247
570	SDSC Global Finance Limited	5303
571	SDSC International Development Limited	5426
572	SF Holding Investment 2021 Limited	40920
573	SF Holding Investment 2021 Limited	40921
574	SF Holding Investment 2021 Limited	40922
575	SF Holding Investment Limited	40152
576	SFG International Holdings Co. Limited	40704
577	Shaanxi Financial Asset Management Co.,Ltd.	40620
578	Shaanxi Xixian New Area Fengxi New City Development and Construction (Group) Co., Ltd.	40906
579	Shaanxi Yushen Energy Development and Construction Group Co., Ltd.	5624
580	Shandong Commercial Group Co., Ltd.	84439
581	Shandong Iron and Steel Xinheng International Company Limited	40458
582	Shandong Iron and Steel Xinheng International Company Limited	40781
583	Shanghai Commercial Bank Limited	5043
584	Shanghai Commercial Bank Limited	5530
585	Shanghai Commercial Bank Limited	5713
586	Shanghai Port Group (BVI) Development 2 Co., Limited	40298
587	Shanghai Port Group (BVI) Development 2 Co., Limited	40299
588	Shanghai Port Group (BVI) Development Co., Limited	4587
589	Shanghai Port Group (BVI) Development Co., Limited	5734
590	Shanghai Port Group (BVI) Development Co., Limited	5741
591	Shangrao Investment Holdings International Company Limited	5647
592	SHANGYU URBAN CONSTRUCTION HONG KONG COMPANY LIMITED	40932
593	Shanghai (Hong Kong) International Investments Limited	5319
594	Shanxi Securities Co., Ltd.	40673
595	Shaodong City Development Group Co., Ltd.	5417
596	Shaoxing City Investment Group Limited	40807
597	Shaoxing Shangyu State-owned Capital Investment and Operation Co., Ltd.	40617
598	Shaoxing Shangyu State-owned Capital Investment and Operation Co., Ltd.	40618
599	Shaoxing Shangyu State-owned Capital Investment and Operation Co., Ltd.	5541
600	Shengzhou City Construction Investment and Development Group Co., Ltd.	5061
601	Shengzhou Communications Investment and Development Group Co., Ltd.	5195
602	Shengzhou Investment Holdings Co., Ltd.	5597
603	Shengzhou Investment Holdings Co., Ltd.	5768
604	Shenwan Hongyuan International Finance Limited	40766
605	Shenwan Hongyuan International Finance Limited	5049
606	Shouguang City Construction Investment Development Co., Ltd.	40495
607	Shuifa International Holdings (BVI) Co., Ltd.	40624
608	Si Fa Investment Limited	40640
609	Sihai International Investment Limited	40861
610	Sihai International Investment Limited	5251
611	SIHC International Capital Ltd	5177
612	Sino Trendy Investment Limited	40438

613	Sinochem Global Capital Co., Ltd.	5935
614	Sinochem Overseas Capital Company Limited	4502
615	Sino-Ocean Land Treasure Finance I Limited	5782
616	Sino-Ocean Land Treasure Finance II Limited	5869
617	Sino-Ocean Land Treasure III Limited	5276
618	Sino-Ocean Land Treasure IV Limited	5623
619	Sino-Ocean Land Treasure IV Limited	40115
620	Sino-Ocean Land Treasure IV Limited	40670
621	Sino-Ocean Land Treasure IV Limited	40760
622	Sino-Ocean Land Treasure IV Limited	5202
623	Sinosing Services Pte. Ltd.	40155
624	Sinosing Services Pte. Ltd.	40156
625	Societe Generale	5947
626	Societe Generale	5959
627	Societe Generale	5960
628	Societe Generale	5961
629	Societe Generale	5962
630	SPIC 2016 US dollar Bond Company Limited	5325
631	SPIC 2018 USD Senior Perpetual Bond Company Limited	5453
632	SPIC Luxembourg Latin America Renewable Energy Investment Company S.à r.l.	5194
633	State Grid Europe Development (2014) Public Limited Company	5121
634	State Grid Europe Development (2014) Public Limited Company	5123
635	State Grid Overseas Investment (2013) Limited	5955
636	State Grid Overseas Investment (2014) Limited	5742
637	State Grid Overseas Investment (2014) Limited	5743
638	State Grid Overseas Investment (2016) Limited	5650
639	State Grid Overseas Investment (2016) Limited	5652
640	Strategic International Group Limited	40285
641	Sunny Express Enterprises Corp.	40030
642	Sunny Express Enterprises Corp.	40031
643	Sunny Express Enterprises Corp.	40203
644	Sunny Express Enterprises Corp.	40204
645	Sunny Express Enterprises Corp.	4505
646	Sunshine Life Insurance Corporation Limited	5629
647	Suqian Economic Development Group Co., Ltd.	5527
648	Suzhou City Construction Investment Group (Holding) Co., Ltd.	5405
649	Suzhou City Construction Investment Group (Holding) Co., Ltd.	5424
650	Suzhou Industrial Investment Group Co., Ltd.	40901
651	Syngenta Group Finance Limited	5557
652	Taishan City Investment Co., Limited	5683
653	Taishan Finance International Business Co., Limited	40924
654	Taixing Jinjiang Investment Co., Ltd	5395
655	TAIZHOU HUANGYAN ECONOMIC DEVELOPMENT GROUP CO., LTD.	5086
656	Taizhou Huangyan Transportation and Tourism Investment Group Co., Ltd.	5246
657	Taizhou Huangyan Transportation and Tourism Investment Group Co., Ltd.	5241
658	Taizhou Oriental China Medical City Holding Group Co., Ltd.	40846
659	Taizhou State-owned Assets Investment Group Co., Ltd.	40934
660	Taizhou Urban Construction and Investment Development Group Co., Ltd.	40417
661	Taizhou Urban Construction and Investment Development Group Co., Ltd.	40821
662	Talent Yield (Euro) Limited	40390
663	Talent Yield International Limited	40675
664	Talent Yield International Limited	40676
665	Tangshan International Investment (Hong Kong) Co., Ltd	40391
666	Tencent Music Entertainment Group	40365
667	Tencent Music Entertainment Group	40366
668	Tequ Mayflower Limited	40600
669	The Hongkong and Shanghai Banking Corporation Limited	4323
670	Three Gorges Finance I (Cayman Islands) Limited	5380
671	Tianfeng Securities Co., Ltd.	5052
672	Tianjin Free Trade Zone Investment Holding Group Co., Ltd	40936
673	Tianjin Lingang Investment Holding Co., Ltd.	5430
674	Tianjin Rail Transit Urban Development Co., Ltd.	5548
675	Tianjin State-owned Capital Investment and Management Co., Ltd.	40103
676	Tianjin State-owned Capital Investment and Management Co., Ltd.	40104
677	Tianjin State-owned Capital Investment and Management Co., Ltd.	40105
678	Tianjin State-owned Capital Investment and Management Co., Ltd.	40106
679	Tongling State-owned Capital Operation Holding Group Company Limited	5554
680	Tongren Energy Investment Group Limited	5537
681	Top Luxury Investment Limited	5598
682	Towngas (Finance) Limited	5749
683	Towngas (Finance) Limited	6018
684	Tsinghua Unic Limited	4415
685	UETD Construction & Development State-owned Capital Investment Operation (Group) Co., Ltd.	5767
686	UHI Capital Ltd.	4572
687	Universe Trek Limited	40295
688	Universe Trek Limited	40499
689	Universe Trek Limited	40722
690	Universe Trek Limited	40797
691	Urumqi Gaoxin Investment and Development Group Co., Ltd.	40429
692	Urumqi Gaoxin Investment and Development Group Co., Ltd.	84444
693	Vertex Capital Investment Limited	5931
694	Vertex Capital Investment Limited	40780
695	Viva Biotech Investment Management Limited	40514
696	Viva Incubator Investment Management Limited	40144
697	Wanda Properties International Co. Limited	6023
698	Wealthy Vision Holdings Limited	40701

699	Weifang Urban Construction and Development Investment Group Co., Ltd.	40863
700	Weifang Urban Construction and Development Investment Group Co., Ltd.	5485
701	Weimob Investment Limited	40218
702	Weimob Investment Limited	40702
703	Wending Zhongyuan Company Limited	5066
704	WENS FOODSTUFF GROUP CO., LTD.	40442
705	WENS FOODSTUFF GROUP CO., LTD.	40443
706	Wuhan Financial Holdings (Group) Co., Ltd.	40918
707	Wuhan Jiangxia Agriculture Group Company Limited	5286
708	Wuhan Jiangxia Agriculture Group Company Limited	40395
709	Wuhan Jiangxia Agriculture Group Company Limited	5558
710	Wuhan Metro Group Co., Ltd.	5467
711	Wuhan Trading Group Co., Ltd	40474
712	Wuhan Urban Construction Group Co., Ltd.	40755
713	Wuxi Chengkai Industrial Development Group Co., Ltd.	5253
714	Wuxi Chengkai Industrial Development Group Co., Ltd.	5419
715	Wuxi Guangyi Construction Development Group Co., Ltd.	40970
716	Wuxi Xixi Industrial Development Group Co., Ltd.	4327
717	Wuxi Xixi Industrial Development Group Co., Ltd.	4328
718	Wuxing City Investment HK Company Limited	40842
719	Wuxing City Investment HK Company Limited	4550
720	Wuxing Industry Investment Husheng Company Limited	5225
721	Xi Yang Overseas Limited	4565
722	XI'AN BAQIAO INVESTMENT HOLDING GROUP CO., LTD.	5346
723	Xi'an Qujiang Culture Financial Holding (Group) Co., Ltd.	5510
724	Xi'an Weiyang Urban Construction Group Co., Ltd.	5330
725	Xi'an Weiyang Urban Construction Group Co., Ltd.	5435
726	Xi'an Weiyang Urban Construction Group Co., Ltd.	5545
727	Xi'an Aerospace High-Tech Industry Development Group Co., Ltd	40576
728	Xi'an Aerospace High-Tech Industry Development Group Co., Ltd	40612
729	Xi'an Aerospace High-Tech Industry Development Group Co., Ltd	40785
730	Xi'an Aerospace High-Tech Industry Development Group Co., Ltd	40798
731	Xi'an Aerospace High-Tech Industry Development Group Co., Ltd	5690
732	Xi'an Aviation City Construction & Development Group Co., Ltd.	40977
733	Xi'an Chanba Development Group Co., Ltd	5222
734	Xi'an International Horticultural Exposition Investment (Group) Co., Ltd.	5393
735	XI'AN PORT INDUSTRIAL CO., LIMITED	40496
736	XI'AN QUJIANG CULTURAL INDUSTRY INVESTMENT (GROUP) CO., LTD	40473
737	Xiangtan Zhenxiang State-Owned Assets Management Investment Co., Ltd.	5081
738	Xianjin Industry Investment Company Limited	5290
739	Xianjin Industry Investment Company Limited	5848
740	Xianjin Industry Investment Company Limited	40394
741	Xianning Urban Development (Group) Co., Ltd	5264
742	Xihui Haiwai I Investment Holdings Co., Limited	40961
743	Xin Fu (BVI) Co., Limited	5320
744	Xin Fu (BVI) Co., Limited	5302
745	Xin Yue Company Limited	4442
746	Xinchang County Transportation Investment Group Company Limited	5587
747	Xingchang International Company Limited	5801
748	Xingsheng (BVI) Company Limited	40811
749	Xinhu (BVI) 2018 Holding Company Limited	40868
750	Xinjiang Financial Investment Co., Ltd.	5035
751	Xinjiang Financial Investment Co., Ltd.	5018
752	Xinjiang Financial Investment Co., Ltd.	5017
753	Xinjiang Financial Investment Co., Ltd.	5040
754	Xinjiang Financial Investment Co., Ltd.	5022
755	Xinjiang Transportation Construction Investment Holding Co., Limited	5304
756	XL (BVI) International Development Limited	5583
757	YAN GANG LIMITED	40622
758	Yan'an Tourism (Group) Co., Ltd.	5023
759	Yancheng Dafeng Haicheng Industrial Development Co., Ltd.	5765
760	Yancheng High-tech Zone Investment Group Co., Ltd.	40825
761	Yancheng High-tech Zone Investment Group Co., Ltd.	4313
762	Yancoal International Resources Development Co., Limited	5424
763	Yancoal International Resources Development Co., Limited	40928
764	Yancoal International Resources Development Co., Ltd	40453
765	Yancoal International Trading Co., Limited	5753
766	Yango Justice International Limited	40705
767	Yangshan Overseas Development Co., Ltd	40978
768	Yangzhou Economic and Technological Development Zone Development (Group) Co., Ltd	5124
769	Yankuang Group (Cayman) Limited	40951
770	YCIC International (HK) Co., Limited	40799
771	YCIC International (HK) Co., Limited	40908
772	Yi Bright International Limited	40377
773	Yi Bright International Limited	40748
774	Yi Bright International Limited	5833
775	Yi Hong International Development Co., Ltd	40957
776	Yi Hong International Development Co., Ltd	5459
777	Yichang High-Tech Investment Development Co., Ltd.	40927
778	Yichun Development Investment Group Co., Ltd.	5590
779	Yichun Yuanzhou District State-owned Investment Group Co., Limited	4428
780	Yili Holding Investment Limited	40471
781	YONGDA Investment Limited	40252
782	Yueyang Construction and Investment Group Co., Ltd.	40935
783	Yunda Holding Investment Limited	40347
784	Yunnan Energy Investment Overseas Finance Company Ltd.	40352

785	Yunnan Energy Investment Overseas Finance Company Ltd.	5829
786	Yuyao Economic Development Zone Construction Investment and Development Co., Ltd.	40964
787	Zhangzhou Jiulongjiang Group Co., Ltd.	5376
788	Zhangzhou Transportation Development Group Co., Ltd.	5268
789	Zhangzhou Transportation Development Group Co., Ltd.	5771
790	Zhaohai Investment (BVI) Limited	5525
791	Zhaohai Investment (BVI) Limited	5523
792	Zhaohai Investment (BVI) Limited	5520
793	Zhaohai Investment (BVI) Limited	5847
794	Zhaoqing Guolian Investment Holding Co., Ltd	40888
795	Zhejiang Anji Construction Development (Hong Kong) Co., Limited	5695
796	Zhejiang Baron (BVI) Company Limited	40397
797	Zhejiang Baron (BVI) Company Limited	40802
798	Zhejiang Baron (BVI) Company Limited	5836
799	Zhejiang Changxing Financial Holdings Group Co., Ltd.	4308
800	Zhejiang Deqing Jinkai Urban Development Co., Ltd.	40804
801	Zhejiang Deqing Jinkai Urban Development Co., Ltd.	40830
802	Zhejiang Huzhou Nanxun Economic Construction and Development Co., Ltd.	40876
803	Zhejiang Seaport International Co., Limited	40614
804	Zhejiang Tianzihu Industry Investment Co., Ltd.	5045
805	Zhejiang Xinchang Investment Development Co., Ltd.	40583
806	Zhejiang Xinchang Investment Development Co., Ltd.	40912
807	Zhengbang International Limited	40867
808	ZHENGJIN FINANCIAL INTERNATIONAL (BVI) CO., LIMITED	5552
809	Zhengzhou Airport Economy Zone Xinggang Investment Group Co., Ltd.	5106
810	Zhengzhou Metro Group Co., Ltd.	40914
811	Zhengzhou Real Estate Group Co., Ltd.	5515
812	Zhengzhou Urban Construction Investment Group Co., Ltd.	40118
813	Zhengzhou Urban Construction Investment Group Co., Ltd.	5463
814	Zhenjiang Cultural Tourism Industry Group Co., Ltd.	5506
815	Zhenjiang Cultural Tourism Industry Group Co., Ltd.	5610
816	Zhenjiang Transportation Industry Group Co., Ltd.	5522
817	Zhenjiang Transportation Industry Group Co., Ltd.	5576
818	ZhongChuang (HK) Technology Company Limited	40975
819	ZhongChuang (HK) Technology Company Limited	4426
820	Zhongtai International Finance (BVI) Company Limited	5804
821	Zhongyuan Dayu International (BVI) Co., Ltd.	5636
822	Zhongyuan Sincere Investment Co. Ltd.	5596
823	Zhongyuan Zhicheng Co., Ltd.	40747
824	Zhoukou Urban Construction Investment Development Limited Company	5044
825	Zhoushan City Investment Group Corporation Limited	40907
826	Zhoushan Islands New Area Penglai State Asset Investment Group Co., Ltd.	5299
827	Zhuji Development Limited	40881
828	Zhuzhou City Construction Development Group Co., Ltd.	5099
829	Zibo High-Tech International Investment Co., Limited	5681
830	Zoomwe Hong Kong New Energy Technology Co., Limited	4581

Category 3 exemptions for open-ended CIS in corporate form whose shares are listed on The Stock Exchange of Hong Kong Limited

	Name	SEHK Stock codes
1	Xtrackers FTSE Vietnam Swap UCITS ETF*	3087
2	Xtrackers FTSE China 50 UCITS ETF	3007
3	Xtrackers MSCI Korea UCITS ETF	2848
4	Xtrackers MSCI Taiwan UCITS ETF	3036
5	Xtrackers MSCI USA Swap UCITS ETF*	3020
6	Xtrackers Nifty 50 Swap UCITS ETF*	3015
7	Global X China Consumer Brand ETF	2806 / 9806
8	Global X China Electric Vehicle and Battery ETF	2845 / 9845
9	Global X China Clean Energy ETF	2809 / 9809
10	Global X China Semiconductor ETF	3191 / 9191
11	Global X China Robotics and AI ETF	2807 / 9807
12	CSOP STAR 50 Index ETF	3109
13	Global X China Innovator Active ETF	3058
14	Global X China Global Leaders ETF	3050
15	CSOP Global Cloud Computing Technology Index ETF	3194
16	CSOP Huatai-PineBridge CSI Photovoltaic Industry ETF	3134
17	CSOP China Healthcare Disruption Index ETF	3174
18	Global X Asia Semiconductor ETF	3119
19	Global X China E-commerce and Logistics ETF	3124
20	Global X China Games and Entertainment ETF	3117
21	CSOP Global Smart Driving Index ETF	3162
22	CSOP MSCI China A 50 Connect ETF	3003
23	Global X Autonomous and Electric Vehicles ETF	2849
24	Global X FinTech ETF	3185
25	Global X Asia Innovator Active ETF	3051
26	CSOP Metaverse Concept ETF	3034
27	Fubon FTSE Taiwan RIC Capped Index ETF	3021
28	Fubon ICE FactSet Taiwan Core Semiconductor Index ETF	3076
29	Fubon Hang Seng Shanghai-Shenzhen-Hong Kong (Selected Corporations) High Dividend Yield Index ETF	3190
30	Value Partners EMQQ Emerging Markets Internet & Ecommerce ETF	3030

31	CSOP FTSE Vietnam 30 ETF	3004
32	Harvest China Sustainable Lifestyle Tech Active ETF	3155
33	E Fund (HK) HSI ESG Enhanced Index ETF	3039
34	Bosera RMB Money Market ETF	3192 / 83192
35	Phillip HK Newly Listed Equities Index ETF	2835
36	Pando Blockchain ETF	3112
37	Pando Innovation ETF	3056
38	Bosera HKD Money Market ETF	3152
39	Fubon ICE FactSet Asia Battery and Energy Storage Technology Index ETF	3405
40	Bosera USD Money Market ETF	3196 / 83196 / 9196
41	Global X China MedTech ETF	2841

* This is a synthetic ETF

Category 4 exemptions for exchange participants of The Stock Exchange of Hong Kong Limited

Name

- 1 China Innovation Market Service Company Limited
- 2 China Investment Information Services Limited

Category 5 exemptions for clearing houses that are clearing participants

Name

- 1 China Securities Depository and Clearing Corporation Limited
- 2 China Securities Depository and Clearing (Hong Kong) Company Limited

Other exemptions granted under section 309(2) of the Securities and Futures Ordinance (Cap.571)

Name

- 1 Budweiser Brewing Company APAC Limited
- 2 JD Health International Inc.
- 3 JD Logistics, Inc.
- 4 Cloud Music Inc.