

Exemptions granted under section 309(2) of the Securities and Futures Ordinance (Cap. 571)

Updated as at
31 October 2021

Exemptions granted in respect of (i) corporations issuing securities listed on The Stock Exchange of Hong Kong Limited and (ii) other persons are set out below. These exemptions are granted to (in respect of (i)) the corporations, their substantial shareholders, directors and chief executives and (in respect of (ii)) other persons, from compliance with Part XV of the Securities and Futures Ordinance in respect of the obligations that derive from their interests in the securities listed on The Stock Exchange of Hong Kong Limited. This list is updated every month.

Guidelines setting out the basis on which exemptions are granted under s.309 of the SFO are published on the Part XV page of the SFC's website. The exemptions available fall into 5 categories, which are described in the Guidelines.

Category 1 partial exemptions for corporations whose shares are listed on The Stock Exchange of Hong Kong Limited and on another stock exchange

Name	SEHK stock codes	
	(Note 1)	
1 Manulife Financial Corporation	0945 - S	
2 Standard Chartered PLC	2888	(and 4305, 5348, 5890, 5986, 40276 and 40518)
3 Prudential plc	2378	
4 Fast Retailing Co., Ltd. - DR	6288 - S	(only applies to Fast Retailing Co., Ltd and its substantial shareholders)
5 Alibaba Group Holding Limited	9988 - SW	
6 JD.com, Inc.	9618 - SW	
7 NetEase, Inc.	9999 - S	
8 Yum China Holdings, Inc.	9987 - S	
9 Huazhu Group Limited	1179 - S	
10 Zai Lab Limited	9688 - SB	
11 Baozun Inc.	9991 - SW	
12 ZTO Express (Cayman) Inc.	2057 - SW	
13 GDS Holdings Limited	9698 - SW	
14 New Oriental Education & Technology Group Inc.	9901 - S	
15 Bilibili Inc.	9626 - SW	
16 Autohome Inc.	2518 - S	
17 Baidu, Inc.	9888 - SW	
18 Trip.com Group Limited	9961 - S	

Note 1: S - Secondary listed companies
W - Companies with weighted voting right structures
B - Biotech companies listed under Chapter 18A of the Listing Rules

Category 2 exemptions for corporations none of whose shares are listed on The Stock Exchange of Hong Kong Limited

(a) Category 2 exemptions for corporations (none of whose shares are listed on The Stock Exchange of Hong Kong Limited) in respect of their structured products which are currently listed on The Stock Exchange of Hong Kong Limited

Name	Period of exemption (Note 2)	
	Commencing on	Ending on
1 Bank Vontobel AG	31 May 2020	30 May 2022
2 BOCI Aisa Limited	21 December 2007	20 December 2021
3 BNP Paribas Issuance B.V.	21 December 2007	20 December 2022
4 Citigroup Global Markets Europe AG	1 November 2019	31 October 2022
5 Credit Suisse AG	21 December 2007	20 December 2021
6 DBS Bank Ltd.	24 August 2021	23 August 2022
7 Goldman Sachs Structured Products (Asia) Limited	21 December 2007	20 December 2021
8 Guotai Junan Securities (Hong Kong) Limited	28 October 2019	27 October 2022
9 Haitong International Securities Company Limited	16 March 2017	15 March 2022
10 J.P. Morgan Structured Products B.V.	21 December 2007	20 December 2021
11 Macquarie Bank Limited	1 January 2008	31 December 2021
12 Morgan Stanley Asia Products Limited	22 July 2019	21 July 2022
13 SG Issuer	1 April 2016	31 March 2022
14 The Hongkong and Shanghai Banking Corporation Ltd	23 December 2007	22 December 2021
15 UBS AG	21 December 2007	20 December 2021

Note 2: Exemptions for these corporations are granted for periods of 12 months (or less) and exempt structured products issued and became listed on The Stock Exchange of Hong Kong Limited during the period of exemption for the life of the structured products.

(b) Category 2 exemptions for corporations (none of whose shares are listed on The Stock Exchange of Hong Kong Limited) in respect of their debt securities issued under debt programmes and listed on The Stock Exchange of Hong Kong Limited

Name	Programme
1 AirAsia Global Notes Limited	US\$1,000,000,000 Multicurrency Medium Term Note Programme
2 Amipeace Ltd.	HK\$20,000,000,000 Medium Term Note Programme
3 AMTD Group Company Limited	U.S.\$500,000,000 Medium Term Note Programme
4 AMTD International Inc.	U.S.\$1,000,000,000 Medium Term Note Programme
5 AVIC International Leasing Co., Ltd.	US\$500,000,000 Medium Term Note Programme
6 AVIC International Leasing Co., Ltd.	U.S.\$500,000,000 Medium Term Note Programme

7	Azure Nova International Finance Limited	U.S.\$10,000,000,000 Medium Term Note Programme
8	Azure Orbit II International Finance Limited	US\$1,000,000,000 Medium Term Note Programme
9	Azure Orbit IV International Finance Limited	U.S.\$7,000,000,000 Medium Term Note Programme
10	Bank of China (Hong Kong) Limited	U.S.\$15,000,000,000 Medium Term Note Programme
11	Bank of China (Luxembourg) S.A.	US\$30,000,000,000 Medium Term Note Programme
12	Beijing Capital Polaris Investment Co. Ltd.	U.S.\$1,000,000,000 Medium Term Note Programme
13	Bluestar Finance Holdings Limited	US\$3,000,000,000 Medium Term Note and Perpetual Securities Programme
14	Bocom Leasing Management Hong Kong Company Limited	US\$6,000,000,000 Medium Term Note Programme
15	Boom Up Investments Limited	US\$1,000,000,000 Medium Term Note Programme
16	CALC Bonds Limited	US\$3,000,000,000 Medium Term Note Programme
17	Castle Peak Power Finance Company Limited	U.S.\$2,000,000,000 Medium Term Note Programme
18	Cathay Pacific MTN Financing (HK) Limited	US\$2 billion Medium Term Note Programme
19	CCBL (Cayman) 1 Corporation Limited	US\$5,000,000,000 Guaranteed Medium Term Note Programme
20	CDBI Treasure I Limited	US\$500,000,000 Medium Term Note Programme
21	CDBL Funding 1	U.S.\$3,000,000,000 Medium Term Note Programme
22	CDBL Funding 1	US\$3,000,000,000 Medium Term Note Programme
23	CDBL Funding 2	US\$3,000,000,000 Medium Term Note Programme
24	Central Plaza Development Limited	US\$2,000,000,000 Guaranteed Medium Term Programme
25	CGNPC INTERNATIONAL LIMITED	US\$2,000,000,000 Guaranteed Medium Term Note Programme
26	Chailease International Finance Corporation	U.S.\$500,000,000 Medium Term Programme
27	Champion MTN Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
28	Charming Light Investments Ltd. and United Wealth Development Ltd.	US\$8,000,000,000 Medium Term Note Programme
29	Cheung Kong Finance (MTN) Limited and Joynote Ltd	US\$2,000,000,000 Medium Term Note Programme
30	Cheung Kong Infrastructure Finance (BVI) Limited	US\$2,000,000,000 Euro Medium Term Note Programme
31	China Cinda (2020) I Management Limited	U.S.\$2,000,000,000 Guaranteed Medium Term Note Programme
32	China Cinda (2020) I Management Limited	US\$2,000,000,000 Medium Term Note Programme
33	China Cinda Finance (2015) I Limited and China Cinda Finance (2015) II Limited	US\$3,000,000,000 Guaranteed Medium Term Note Programme
34	China Cinda Finance (2017) I Limited and China Cinda Finance (2017) II Limited	US\$10,000,000,000 Medium Term Note Programme
35	China CITIC Bank International Limited	US\$3,000,000,000 Medium Term Note Programme
36	China Construction Bank (Asia) Corporation Limited	US\$5,000,000,000 Medium Term Note Programme
37	China Development Bank Corporation and China Development Bank Corporation Hong Kong Branch	US\$30,000,000,000 Debt Issuance Programme
38	China Great Wall International Holdings III Limited	U.S.\$6,500,000,000 Medium Term Note Programme
39	China Overseas Finance (Cayman) VIII Limited	US\$2.5 billion medium note programme
40	CICC Hong Kong Finance 2016 MTN Limited	US\$10,000,000,000 Guaranteed Medium Term Note Programme
41	CITIC Securities Finance MTN Co., Ltd.	US\$3 billion Medium Term Note Programme
42	CK Property Finance (MTN) Limited	US\$5,000,000,000 Euro Medium Term Note Programme
43	CMB International Leasing Management Limited	US\$20,000,000,000 Medium Term Note Programme
44	CMB Wing Lung Bank Limited	U.S. \$2,000,000,000 Euro Medium Term Note Programme
45	CMBLEMTN1 Limited	U.S.\$5,000,000,000 Medium Term Note Programme
46	CMS International Gemstone Limited	US\$2,000,000,000 Medium Term Note Programme
47	CNPC General Capital Limited	US\$7,000,000,000 Euro Medium Term Note Programme
48	Connery Capital Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
49	COSL Singapore Capital Ltd.	US\$3,500,000,000 Euro Medium Term Note Programme
50	CSCIF Asia Limited	US\$3,000,000,000 Medium Term Note Programme
51	Dah Sing Bank, Limited	US\$2,000,000,000 Euro Medium Term Note Programme
52	DianJian Haiyu Limited, Dianjian International Finance Limited, Leader Goal International Limited, SEPCO Virgin Limited	U.S.\$2,500,000,000 Medium Term Note and Senior Perpetual Securities Programme
53	Eastern Creation II Investment Holdings Ltd.	US\$6,000,000,000 Guaranteed Euro Medium Term Note Programme
54	Everbright SHK (BVI) Limited	US\$1,000,000,000 Medium Term Note Programme
55	FEC Finance Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
56	Franshion Brilliant Limited	US\$2,000,000,000 Medium Term Note Programme
57	Future Days Limited	US\$5,000,000,000 Medium Term Note Programme
58	FWD Group Holdings Limited	US\$5,000,000,000 Medium Term Note and Capital Securities Programme
59	GE (MTN) LIMITED	US\$2,000,000,000 Guaranteed Medium Term Note Programme
60	Gemdale Ever Prosperity Investment Limited	US\$2,000,000,000 Guaranteed Medium Term Note Programme
61	GLP China Holdings Limited	HK\$20,000,000,000 Medium Term Note Programme
62	Goodman HK Finance	US\$2,000,000,000 Medium Term Note Programme
63	Greenland Global Investment Limited	US\$8,000,000,000 Guaranteed Medium Term Notes Programme
64	Guangzhou Metro Investment Finance (BVI) Ltd.	US\$3,000,000,000 Guaranteed Medium Term Note Programme
65	Guotai Junan Holdings Limited	USD3,000,000,000 Medium Term Note Programme
66	Haitong UT Brilliant Limited	USD1,000,000,000 Guaranteed Medium Term Note Programme
67	Henderson Land MTN Limited	US\$5,000,000,000 Guaranteed Medium Term Note Programme
68	HKCG (Finance) Limited	US\$3,000,000,000 Medium Term Note Programme
69	HLP Finance Limited	US\$4 billion Medium Term Note Programme
70	Hong Kong Science and Technology Parks Corporation	HK\$1,707,000,000 Guaranteed Medium Term Note Programme
71	Horse Gallop Finance Limited	US\$2,000,000,000 Guaranteed Medium Term Note Programme
72	Horsepower Finance Limited	US \$1,200,000,000 Medium Term Note Programme
73	Huarong Finance 2017 Co., Ltd.	U.S.\$14,500,000,000 Medium Term Note Programme
74	Huarong Finance 2019 Co., Ltd.	US\$2,900,000,000 Medium Term Note Programme
75	Huarong Finance II Co., Ltd	US\$11,000,000,000 Medium Term Note Programme
76	Huarong Leasing Management Hong Kong Company Limited	U.S.\$1,000,000,000 Medium Term Note Programme
77	Huatai International Finance Limited	US\$3,000,000,000 Medium Term Note Programme
78	Hysan (MTN) Limited	US\$4,000,000,000 Medium Term Note Programme
79	ICBCIL Finance Co. Limited	US\$20,000,000,000 Medium Term Note Programme
80	Industrial and Commercial Bank of Asia (China) Limited	U.S.\$6,000,000,000 Medium Term Note Programme
81	Industrial Bank Co., Ltd.	U.S.\$5,000,000,000 Medium Term Note Programme
82	Inventive Global Investments Limited	U.S.\$3,500,000,000 Medium Term Note Programme
83	Inventive Global Investments Limited	U.S.\$3,500,000,000 Medium Term Note Programme
84	Joyous Glory Group Limited	US\$1,000,000,000 Medium Term Note Programme
85	K.Wah International Financial Services Limited	US\$1,000,000,000 Medium Term Note Programme

86	Kunming Traffic Investment Co., Ltd.	US\$2,000,000,000 Medium Term Note Programme
87	LAI SUN MTN LIMITED	US\$2,000,000,000 Medium Term Note Programme
88	Legend Fortune Limited	US\$2,000,000,000 Medium Term Note Programme
89	Midea Investment Development Company Limited	US\$3,000,000,000 Medium Term Note Programme
90	Modern Terminals Capital Limited	US\$1 billion Medium Term Note Programme
91	MTR Corporation (C.I.) Limited	US\$4 billion Medium Term Note Programme
92	New World China Land Limited	US\$2,000,000,000 Medium Term Note Programme
93	NWD (MTN) Limited	US\$6,000,000,000 Medium Term Note Programme
94	NWS (MTN) Limited	US\$1,000,000,000 Medium Term Note Programme
95	P&R International Treasury Limited	U.S.\$300,000,000 Medium Term Note and Perpetual Securities Programme
96	PETRONAS Capital Limited and PETRONAS Energy Canada Ltd.	US\$15,000,000,000 Medium Term Note Programme
97	Pingan Real Estate Capital Limited	US\$1,000,000,000 Medium Term Note Programme
98	Power Assets Finance Limited	U.S.\$2,000,000,000 Medium Term Note Programme
99	Proven Glory Capital Limited	US\$5,000,000,000 Medium Term Note Programme
100	QNB Finance Ltd	U.S.\$22,500,000,000 Medium Term Note Programme
101	R-REIT International Finance Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
102	RH International Finance Limited	US\$1,000,000,000 Medium Term Note Programme
103	Rongshi International Finance Limited	US\$3,000,000,000 Medium Term Note Programme
104	Shanghai Pudong Development Bank Co., Ltd., Hong Kong Branch	US\$5,000,000,000 Euro Medium Term Note Programme
105	Sichuan Development Holding Co., Ltd. and Yieldking Investment Limited	US\$2,000,000,000 Medium Term Note Programme
106	Silverbell Asia Limited	US\$1,000,000,000 Medium Term Note Programme
107	Sino (MTN) Limited	US\$1,000,000,000 Medium Term Note Programme
108	Sinochem Offshore Capital Company Limited	US\$10,000,000,000 Global Medium Term Note Programme
109	Soar Great Limited	US\$1,500,000,000 Guaranteed Medium Term Note Programme
110	Soar Wise Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
111	SPIC Luxembourg Latin America Renewable Energy Investment Company S.à r.l., SPIC MTN Company Ltd.	U.S.\$3,000,000,000 Medium Term Note and Perpetual Securities Programme
112	Splendid International Investment Limited	U.S.\$1,500,000,000 Medium Term Note Programme
113	Standard Chartered Bank (Hong Kong) Limited and Standard Chartered Bank	US\$50,000,000,000 Debt Issuance Programme
114	State Elite Global Limited	US\$2,700,000,000 Medium Term Note Programme
115	State Grid Overseas Investment (2016) Limited	US\$15,000,000,000 Medium Term Note Programme
116	Sun Hung Kai Properties (Capital Market) Limited	US\$10,000,000,000 Medium Term Note Programme
117	Sunlight REIT MTN Limited	US\$1,000,000,000 Medium Term Note Programme
118	Swire Pacific MTN Financing (HK) Limited	US\$5,000,000,000 Medium Term Note Programme
119	Swire Pacific MTN Financing Limited	US\$5,000,000,000 Medium Term Note Programme
120	Swire Properties MTN Financing Limited	US\$3,000,000,000 Medium Term Note Programme
121	TCCL (Finance) Limited	U.S.\$2,000,000,000 Medium Term Note Programme
122	The Hongkong and Shanghai Banking Corporation Limited	US\$20,000,000,000 Medium Term Note Programme
123	The Hong Kong Mortgage Corporation Limited	US\$20,000,000,000 Medium Term Note Programme
124	The Link Finance (Cayman) 2009 Limited	US\$5,000,000,000 Guaranteed Euro Medium Term Note Programme
125	Unican Limited	US\$1,000,000,000 Guaranteed Euro Medium Term Note Programme
126	Urban Renewal Authority	US\$1 billion Medium Term Note Programme
127	Vanke Real Estate (Hong Kong) Company Limited	US\$9,500,000,000 Medium Term Note Programme
128	Westwood Group Holdings Limited	US\$3,000,000,000 Medium Term Note Programme
129	Wharf Finance (BVI) Limited, Wharf Finance (BVI) No.1 Limited, Wharf Finance (No.1) Limited and Wharf Finance Limited	US\$7 billion Medium Term Note Programme
130	Wharf REIC Finance (BVI) Limited	U.S.\$5,000,000,000 Medium Term Note Programme
131	Wheelock Finance Limited, Wheelock MTN (BVI) Limited	US\$5 billion Medium Term Note Programme
132	Wuhan Metro Group Co., Ltd.	U.S.\$1,000,000,000 Medium Term Note Programme
133	Xingcheng (BVI) Limited	US\$1,500,000,000 Medium Term Note Programme
134	Yuexiu REIT MTN Company Limited	US\$1,500,000,000 Medium Term Note Programme
135	Zhejiang Energy International Limited	USD2,000,000,000 Medium Term Note Programme

(c) Category 2 exemptions for corporations (none of whose shares are listed on The Stock Exchange of Hong Kong Limited) in respect of their particular issue of debt securities which are currently listed on The Stock Exchange of Hong Kong Limited

Name	SEHK Stock codes
1 Agricultural Development Bank of China	5455
2 Agricultural Development Bank of China	85901
3 Agricultural Development Bank of China	85909
4 Agricultural Development Bank of China	85910
5 Agricultural Development Bank of China	85922
6 Agricultural Development Bank of China	85924
7 Alibaba Group Holding Limited	5843
8 Alibaba Group Holding Limited	5844
9 Alibaba Group Holding Limited	5845
10 Amber Circle Funding Limited	4586
11 Amber Treasure Ventures Limited	40216
12 Amber Treasure Ventures Limited	40251
13 Anhui Transportation Holding Group (H.K.) Limited	40815
14 Anji Qicai Lingfeng Rural Tourism Investment Co., Ltd	40627
15 Anji Qicai Lingfeng Rural Tourism Investment Co., Ltd	40844
16 AVIC International Finance & Investment Limited	5966
17 AVIC International Finance & Investment Limited	40388
18 AVIC International Finance & Investment Limited	40563
19 Azure Orbit III International Finance Limited	5620

20	Azure Orbit International Finance Limited	5909
21	BAIC Finance Investment Co., Ltd	40615
22	Bank of Communications (Hong Kong) Limited	40176
23	Bank of Communications (Hong Kong) Limited	40751
24	Baoji Investment (Group) Co., Ltd.	5492
25	BCEG (HongKong) Company Limited	40743
26	Beijing Enterprises Water Capital Management Limited	4480
27	Beijing Environment (BVI) International Co., Ltd	40860
28	Beijing Gas Singapore Capital Corporation	5213
29	Beijing State-Owned Assets Management (Hong Kong) Company Limited	5521
30	Bengbu Gaoxin Investment Group Co., Ltd.	40131
31	Bengbu Gaoxin Investment Group Co., Ltd.	40085
32	Bi Hai Co., Ltd.	5185
33	Blossom Joy Limited	40426
34	Blossom Joy Limited	40427
35	Blue Bright Limited	40596
36	Blue Bright Limited	40245
37	Blue Skyview Company Limited	5364
38	Bluestar Finance Holdings Limited	4550
39	Bluestar Finance Holdings Limited	5089
40	Bluestar Finance Holdings Limited	5599
41	Bluestar Finance Holdings Limited	40274
42	Bluestar Finance Holdings Limited	4487
43	BNY Trust Company of Australia Limited	5766
44	BNY Trust Company of Australia Limited	40142
45	BNY Trust Company of Australia Limited	40878
46	Boen Investment Limited	40358
47	Boxinyuan International Co., Ltd.	40036
48	BPHL Capital Management Limited	40171
49	Bright Galaxy International Limited	5927
50	Bright Galaxy International Limited	40762
51	Bright Scholar Education Holdings Limited	5615
52	Cable and Wireless International Finance B.V.	1077
53	Cable and Wireless International Finance B.V.	1078
54	Caiyun International Investment Limited	5947
55	CALC Bond 3 Limited	5390
56	CALC Bond 3 Limited	5391
57	Cathay Pacific Finance III Limited	40580
58	CCB Life Insurance Company Limited	5437
59	CCCI Treasure Limited	5893
60	CCCI Treasure Limited	40157
61	CCCI Treasure Limited	40158
62	CCTI 2017 Ltd	5258
63	CDBL Funding 1	5850
64	Celestial Dynasty Limited	5594
65	CELESTIAL MILES LIMITED	5706
66	CENTRAL INTERNATIONAL DEVELOPMENT (BVI) LIMITED	40812
67	Central Plaza Development Ltd.	5919
68	CGNPC International Limited	5058
69	CGNPC International Limited	5059
70	CGNPC International Limited	5153
71	CGNPC International Limited	5154
72	CGNPC International Limited	5519
73	Chalco Hong Kong Investment Company Limited	5920
74	Chalco Hong Kong Investment Company Limited	5727
75	Chalco Hong Kong Investment Company Limited	5985
76	Chalco Hong Kong Investment Company Limited	40783
77	Chalco Hong Kong Investment Company Limited	40784
78	Chalieco Hong Kong Corporation Limited	5321
79	Chalieco Hong Kong Corporation Limited	5702
80	Chalieco Hong Kong Corporation Limited	40070
81	Champion Path Holdings Limited	40559
82	Champion Path Holdings Limited	40560
83	Chang Development International Limited	5090
84	Chang Development International Limited	5744
85	Chang Development International Limited	40827
86	Changde Economic Construction Investment Group Co., Ltd.	5642
87	Changde Urban Construction and Investment Group Co., Ltd.	5959
88	Changde Urban Construction and Investment Group Co., Ltd.	40451
89	Changsha Pilot Investment Holdings Co., Ltd.	40064
90	Changxing Communications Investment Group Co., Ltd.	40264
91	Changxing Urban Construction Investment Group Co., Ltd.	40533
92	Charter Style International Limited	85993
93	Chengdu Aerotropolis City Development Group Co., Ltd.	40865
94	Chengdu Airport Xingcheng Investment Group Co., Ltd.	5201
95	Chengdu Communications Investment Group Corporation Limited	5062
96	Chengdu Communications Investment Group Corporation Limited	5493
97	Chengdu Economic and Technological Development Zone Construction and Development Co., Ltd.	5714
98	Chengdu Economic and Technological Development Zone State-Owned Assets Investment Co., Ltd.	5751
99	Chengdu Hi-Tech Investment Group Co., Ltd.	40886
100	Chengdu Hi-tech Investment Group Co., Ltd.	5206
101	Chengdu Jiaozhi Financial Holding Group Co., Ltd.	40061
102	Chengdu Jiaozhi Financial Holding Group Co., Ltd.	40645
103	Chengdu Tianfu New Area Investment Group Co., Ltd	6011
104	Chengdu Xingcheng Investment Group Co., Ltd.	5314
105	Chenzhou Industry Investment Group Co., Ltd.	40197

106	Cheung Kong Bond Securities (02) Limited	4559
107	Cheung Kong Bond Securities (03) Limited	4595
108	Cheung Kong Infrastructure Finance (BVI) Limited	5060
109	Cheung Kong Infrastructure Finance (BVI) Limited	40698
110	Cheung Kong Infrastructure Finance (BVI) Limited	40789
111	China Bright (Hong Kong) Limited	5937
112	China Cinda Finance (2014) Limited	5748
113	China CITIC Bank International Limited	5199
114	China CITIC Bank International Limited	5728
115	China CITIC Bank International Limited	5831
116	China CITIC Bank International Limited	5992
117	China CITIC Bank International Limited	4594
118	China Clean Energy Development Limited	5568
119	China Development Bank Corporation	85904
120	China Development Bank Corporation	85905
121	China Development Bank Corporation	85934
122	China Energy Reserve and Chemicals Group Overseas Company Limited	5503
123	China Gezhouba Group (Hong Kong) Overseas Investment Co., Limited	40493
124	China Great Wall International Holdings IV Limited	5617
125	China Great Wall International Holdings IV Limited	5620
126	China Great Wall International Holdings V Limited	40350
127	China Huadian Overseas Development 2018 Limited	4491
128	China Huadian Overseas Development 2018 Limited	40261
129	China Huadian Overseas Development Management Company Limited	4533
130	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40093
131	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40094
132	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40095
133	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40502
134	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40503
135	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40543
136	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40544
137	China Life Insurance (Overseas) Co., Ltd	5250
138	China Merchants Finance Company Limited	4548
139	China Merchants Finance Company Limited	5555
140	China Minmetals Corporation	4442
141	China Minmetals Corporation	5009
142	China Overseas Finance (Cayman) III Limited	5988
143	China Overseas Finance (Cayman) III Limited	5989
144	China Overseas Finance (Cayman) V Limited	4579
145	China Overseas Finance (Cayman) V Limited	4580
146	China Overseas Finance (Cayman) VI Limited	5746
147	China Overseas Finance (Cayman) VI Limited	5760
148	China Overseas Finance (Cayman) VII Limited	4475
149	China Overseas Finance (Cayman) VII Limited	4476
150	China Overseas Finance Investment (Cayman) V Limited	5600
151	China Overseas Grand Oceans Finance IV (Cayman) Limited	40592
152	China Railway Resources Huitung Limited	5904
153	China Railway XunJie Co., Limited	5244
154	China Railway XunJie Co., Limited	5692
155	China Resources Power East Foundation Co., Ltd.	4516
156	China Shenhua Overseas Capital Company Limited	5863
157	China Southern Power Grid International Finance (BVI 2018) Co., Limited	5166
158	China Southern Power Grid International Finance (BVI 2018) Co., Limited	5167
159	China Southern Power Grid International Finance (BVI) Co., Limited	4583
160	China Southern Power Grid International Finance (BVI) Co., Limited	4598
161	China State Construction Finance (Cayman) I Limited	5462
162	China State Construction Finance (Cayman) I Limited	40712
163	China State Construction Finance (Cayman) II Limited	5037
164	China State Construction Finance (Cayman) II Limited	5038
165	China State Construction Finance (Cayman) III Limited	40083
166	China Taiping Capital Limited	4582
167	China Taiping New Horizon Limited	5984
168	China Yangtze Power International (BVI) 1 Limited	5300
169	China Yangtze Power International (BVI) 2 Limited	5301
170	Chinalco Capital Holdings Limited	5438
171	Chinalco Capital Holdings Limited	5745
172	Chinalco Capital Holdings Limited	40706
173	Chiyu Banking Corporation Limited	5034
174	Chongqing Casin Group Co., Ltd	5595
175	Chongqing Energy Investment Group Co., Ltd.	5898
176	Chongqing Hechuan City Construction Investment (Group) Co., Ltd.	5088
177	Chongqing Nan'an Urban Construction & Development (Group) Co., Ltd.	4562
178	Chongqing Nan'an Urban Construction & Development (Group) Co., Ltd.	5488
179	Chongqing Nan'an Urban Construction & Development (Group) Co., Ltd.	5699
180	Chongqing Southern New City Industrial Investment Group Co., Ltd.	40893
181	Chongqing Wansheng Economic and Technological Development Zone Development & Investment Group Co., Ltd.	40794
182	Chouzhou International Investment Limited	4542
183	Chouzhou International Investment Limited	40154
184	Chouzhou International Investment Limited	40340
185	CITIC Telecom International Finance Limited	5908
186	City Development Company of Lan Zhou	40066
187	CLP Power HK Finance Ltd	40044
188	CLP Power HK Finance Ltd.	5740
189	CLP Power Hong Kong Financing Limited	4306
190	CMHI Finance (BVI) Co., Ltd	5134

191	CMHI Finance (BVI) Co., Ltd	5135
192	CMHI Finance (BVI) Co., Ltd	40408
193	CMHI Finance (BVI) Co., Ltd	40409
194	CMOC Capital Limited	5722
195	CNI Capital Limited	85908
196	CNOOC Curtis Funding No. 1 Pty Ltd	5972
197	CNOOC Finance (2003) Limited	2568
198	CNOOC Finance (2011) Limited	4507
199	CNOOC Finance (2012) Limited	4546
200	CNOOC Finance (2012) Limited	4547
201	CNOOC Finance (2013) Limited	5941
202	CNOOC Finance (2013) Limited	5942
203	CNOOC Finance (2013) Limited	40016
204	CNOOC Finance (2015) Australia Pty Ltd	5507
205	CNOOC Finance (2015) U.S.A. LLC	4478
206	CNOOC Finance (2015) U.S.A. LLC	4479
207	CNOOC Finance (2015) U.S.A. LLC	5508
208	CNOOC Nexen Finance (2014) ULC	5738
209	CNOOC Nexen Finance (2014) ULC	5739
210	CNPC (HK) Overseas Capital Ltd.	4514
211	CNPC General Capital Limited	4544
212	CNPC General Capital Limited	5923
213	Contemporary Ruiding Development Limited	40381
214	Contemporary Ruiding Development Limited	40382
215	Contemporary Ruiding Development Limited	40833
216	COSCO Pacific Finance (2013) Company Limited	5900
217	COSL Finance (BVI) Limited	4563
218	COSL Singapore Capital Ltd.	40277
219	COSL Singapore Capital Ltd.	40278
220	CRCC Chengan Limited	5581
221	CRCC Hean Limited	40686
222	CRCC Hean Limited	40687
223	CRCC Yupeng Limited	5778
224	CRCC Yuxiang Limited	5945
225	CSCEC Finance (Cayman) II Limited	5232
226	CSCEC Finance (Cayman) II Limited	5233
227	CSSC Capital 2015 Limited	40145
228	CSSC Capital 2015 Limited	40146
229	CSSC Capital 2015 Limited	40778
230	CSSC Capital Two Limited	5182
231	Dah Sing Bank, Limited	5316
232	Dah Sing Bank, Limited	5524
233	Dah Sing Bank, Limited	6021
234	Dalian Deta Holding Co., Ltd.	5608
235	Dangdai International Investments Ltd	40121
236	Dangdai International Investments Ltd	40554
237	Deqing Construction & Development Group Co., Ltd.	40736
238	Dianjian Haixing Limited	5816
239	DianJian Haiyu Limited	40396
240	Dongfeng Motor (Hong Kong) International Co., Limited	40883
241	Dongtai Communication Investment and Construction Group Co., Ltd.	40565
242	Dongxing Voyage Company Limited	5643
243	Ease Trade Global Limited	40452
244	eHi Car Services Limited	40679
245	Elect Global Investments Limited	40173
246	Elect Global Investments Limited	40353
247	ENN Clean Energy International Investment Limited	40678
248	ENRONG INTERNATIONAL (HONGKONG) LIMITED	40545
249	Excel Capital Global Limited	4496
250	Expand Lead Limited	40768
251	Fine Perfection Investment Limited	40647
252	FPC Capital Limited	5107
253	FPC Resources Limited	40373
254	FPC Treasury Limited	5924
255	Franshion Brilliant Limited	5030
256	Franshion Brilliant Limited	5229
257	Franshion Brilliant Limited	5289
258	Franshion Brilliant Limited	5347
259	Franshion Brilliant Limited	5385
260	Franshion Brilliant Limited	5447
261	Franshion Brilliant Limited	5472
262	Franshion Brilliant Limited	40641
263	Fujian Zhanglong Group Co., Ltd.	5700
264	Fujian Zhanglong Group Co., Ltd.	40535
265	Fuyuan Worldwide Limited	40363
266	FWD Group Limited	4403
267	FWD Group Limited	5019
268	FWD Group Limited	5221
269	FWD Group Limited	5793
270	FWD Limited	4416
271	FWD Limited	5351
272	FWD Limited	5803
273	Gansu Province Electric Power Investment Group Co., Ltd.	5466
274	Gansu Province Electric Power Investment Group Co., Ltd.	40871
275	Gansu Provincial Highway Aviation Tourism Investment Group Co., Ltd.	5999
276	Gansu Provincial Highway Aviation Tourism Investment Group Co., Ltd.	40450

277	Gansu Provincial Highway Aviation Tourism Investment Group Co., Ltd.	40716
278	Ganzhou Hengzhi Co., Limited	40726
279	Ganzhou Urban Investment Holding Group Co., Ltd.	40879
280	Gaoxin International Investment Co., Ltd	40081
281	Gemdale Ever Prosperity Investment Limited	4578
282	GET International Investment Holdings Limited	5381
283	GOHL Capital Limited	5352
284	Goucheng International Co., Ltd.	40513
285	Goucheng International Co., Ltd.	40723
286	Goucheng International Co., Ltd.	40891
287	Greenland Global Investment Limited	5769
288	Guang Tao Investment Limited	40599
289	Guang Ying Investment Limited	40674
290	Guangxi Communications Investment Group Corporation. Ltd	5880
291	Guangxi Financial Investment Group Co., Ltd.	40469
292	Guangxi Liuzhou Dongcheng Investment Development Group Co., Ltd.	40013
293	Guangxi Liuzhou Rail Transit Investment Development Group Co., Ltd.	40819
294	Guangzhou Development District Financial Holdings Group Co., Ltd.	40509
295	GUANGZHOU Silk Road Investment Limited	5323
296	Guofa Overseas Investment Company Limited	40098
297	Guohui International (BVI) Co., Ltd.	6002
298	Guohui International (BVI) Co., Ltd.	40362
299	Guoren Property and Casualty Insurance Co., Ltd.	40694
300	Guoren Property and Casualty Insurance Co., Ltd.	86005
301	Guotai Junan Holdings Limited	5853
302	Haichuan International Investment Co., Ltd.	5460
303	Haichuan International Investment Co., Ltd.	40621
304	Haiguo Xintai Capital Limited	40011
305	Haimen Zhongnan Investment Development (International) Co., Ltd.	4585
306	Haimen Zhongnan Investment Development (International) Co., Ltd.	40638
307	Haimen Zhongnan Investment Development (International) Co., Ltd.	40714
308	Hainan State Farms International (HK) Co., Limited	40575
309	Haitian (BVI) International Investment Development Limited	40457
310	Haitong International Finance Holdings 2015 Limited	40186
311	Haixi Overseas Investment Company Limited	40689
312	Hangzhou Fuyang Chengtou Group (HK) Limited	5469
313	Hangzhou Fuyang Chengtou Group (HK) Limited	40738
314	Hangzhou Qiantang New Area Construction and Investment Group Co., Ltd.	40349
315	Hanhui International Limited	5657
316	Hanhui International Limited	40348
317	Hanrui Overseas Investment Co Ltd	40412
318	Harvest International Company	5024
319	HBIS Group Hong Kong Co., Limited	40108
320	Hebei Shunde Investment Group Company Limited	40892
321	Helenbergh China Holdings Limited	40877
322	Henan Water Conservancy Investment Group Co., Ltd	40387
323	Hengjian International Investment Limited	40268
324	Hero Asia Investment Limited	40466
325	HFI International (HK) Limited	40309
326	Hong Kong JY Flower Limited	40163
327	Hong Kong JY Flower Limited	40410
328	Hong Kong Red Star Macalline Universal Home Furnishings Limited	5278
329	Hong Kong Sukuk 2017 Limited	4233
330	Hong Run International Company Limited	40734
331	Hong Seng Limited	40172
332	Honghe Development Group Co. Ltd.	40215
333	Hongkong Baorong Development Limited	5465
334	Hongkong Ideal Investment Limited	40019
335	Hongkong International (Qingdao) Company Limited	5048
336	Hongkong International (Qingdao) Company Limited	5875
337	Hongkong International (Qingdao) Company Limited	40017
338	Hongkong International (Qingdao) Company Limited	40053
339	Hongkong International (Qingdao) Company Limited	40206
340	HongKong Xiangyu Investment Co., Limited	4409
341	Huafa 2019 I Company Limited	5976
342	Huafa 2020 I Company Limited	40455
343	Huafa 2021 I Company Limited	40820
344	Huafa 2021 I Company Limited	40806
345	Huai'an Traffic Holding Co., Ltd.	6006
346	Huai'an Water Conservancy Holding Group Co., Ltd.	6009
347	Huaibei City Construction Investment Holding Group Company Limited	5474
348	Huaibei City Construction Investment Holding Group Company Limited	5946
349	Huaibei City Construction Investment Holding Group Company Limited	40873
350	Hualu International Finance (BVI) Limited	40889
351	Huan Zhi Company Limited	40566
352	Huaneng Hong Kong Capital Limited	5000
353	Huangshan Tourism Group Co., Ltd.	40364
354	Huantaihu International investment Co., Ltd	40194
355	Huasing International Holdings Co., Limited	4449
356	Huatong International Investment Holdings Co., Limited	40344
357	Huatong International Investment Holdings Co., Limited	40607
358	Huaxin Pharmaceutical (Hong Kong) Co., Ltd.	40598
359	Huaxin Pharmaceutical (Hong Kong) Co., Ltd.	40571
360	Huayi Finance I Ltd.	40042
361	Huayuan Property Co., Ltd.	5239
362	Hubei Science & Technology Investment Group (Hong Kong) Company Limited	40440

363	Hunan Xiangjiang New Area Development Group Co Ltd	5223
364	Hunan Xiangjiang New Area Development Group Co., Ltd.	40890
365	Huzhou City Investment Development Group Co., Ltd.	40900
366	Huzhou Communications Investment Group Co., Ltd.	85740
367	Huzhou Moganshan High-tech Group Co., Ltd.	40775
368	Hyd International Holding Limited	40664
369	IFC Development (Corporate Treasury) Limited	6000
370	IFC Development (Corporate Treasury) Limited	40198
371	Industrial and Commercial Bank of China (Asia) Limited	5686
372	Industrial and Commercial Bank of China (Asia) Limited	5976
373	Industrial and Commercial Bank of China (Macau) Limited	5750
374	Industrial and Commercial Bank of China (Macau) Limited	5797
375	Ji'An Chengtuo Holding Group Co., Ltd	40611
376	Jiangsu Dieshiqiao Home Textile Industrial Group Co., Ltd.	40769
377	Jiangsu Yueda Group Co., Ltd.	40243
378	Jiangsu Zhongguancun Holding Group (International) Co Ltd	40038
379	Jiangsu Zhongguancun Holding Group (International) Co Ltd	40506
380	Jiangxi Provincial Water Conservancy Investment Group (China) Limited	40086
381	Jiangxi Railway Investment Group Corporation	5770
382	JIAXING CITY INVESTMENT AND DEVELOPMENT GROUP CO., LTD.	40441
383	JIC Zhixin Limited	5031
384	JIC Zhixin Limited	5032
385	JIC Zhixin Limited	40360
386	JIC Zhixin Limited	40361
387	Jinan Hi-tech Holding Group Co., Ltd.	5481
388	Jinan Hi-tech Holding Group Co., Ltd.	40018
389	JINAN HI-TECH INTERNATIONAL (CAYMAN) INVESTMENT DEVELOPMENT CO., LIMITED	40510
390	JINAN HI-TECH INTERNATIONAL (CAYMAN) INVESTMENT DEVELOPMENT CO., LIMITED	40735
391	Jinan Lixia Holding Group Co., Ltd.	40505
392	Jinchuan Golden Ocean Capital Limited	40597
393	Jingjiang Port (Group) Co., Ltd	40494
394	Jining High Tech Urban Construction Investment Co., Ltd.	40230
395	Jinke Property Group Co., Ltd.	40695
396	Jisco SR Pearl Ltd.	5953
397	Jiu An Finance Co., Ltd	40313
398	Jiujiang Municipal Development Group Co., Ltd.	40697
399	Joy Treasure Assets Holdings Inc.	5901
400	Joy Treasure Assets Holdings Inc.	5903
401	Joy Treasure Assets Holdings Inc.	6027
402	Joy Treasure Assets Holdings Inc.	40000
403	Joy Treasure Assets Holdings Inc.	40467
404	Joy Treasure Assets Holdings Inc.	40468
405	King Power Capital Ltd.	5823
406	King Talent Management Limited	5026
407	Korean Reinsurance Company	5815
408	Kunming Dianchi Investment Co. Ltd.	5569
409	Kunming Municipal Urban Construction Investment & Development Co., Ltd.	40026
410	Kunming Public Rental Housing Development Construction Management Co., Ltd.	40750
411	Kunming Rail Transit Group Co., Ltd.	40100
412	Kunming Rail Transit Group Co., Ltd.	40101
413	Lai Fung Bonds (2018) Limited	5087
414	Liang Shan International Development Limited	5585
415	LinJiang Investment and Development (BVI) Co., Ltd	40087
416	Liuzhou Dongtong Investment & Development Co., Ltd.	4329
417	Longchuang Group Limited	40492
418	LS Finance (2017) Limited	4471
419	LS Finance (2017) Limited	40731
420	LS Finance (2022) Limited	4571
421	LS Finance (2025) Limited	5535
422	LSD Bonds (2017) Limited	5270
423	Luso International Banking Limited	5078
424	Malaysia Sovereign Sukuk Berhad	5894
425	Malaysia Sovereign Sukuk Berhad	5895
426	Malaysia Sukuk Global Berhad	5633
427	Malaysia Sukuk Global Berhad	5634
428	Malaysia Wakala Sukuk Berhad	40662
429	Malaysia Wakala Sukuk Berhad	40663
430	MCC Holding (Hong Kong) Corporation Limited	4483
431	MCC Holding (Hong Kong) Corporation Limited	40188
432	MCC Holding (Hong Kong) Corporation Limited	40653
433	Mega Wisdom Global Limited	40456
434	Meilun (BVI) Limited	5625
435	Mianyang Investment Holding (Group) Co., Ltd.	40039
436	MINMETALS BOUNTEOUS FINANCE (BVI) LIMITED	5553
437	MINMETALS BOUNTEOUS FINANCE (BVI) LIMITED	5689
438	Minmetals Bounteous Finance (BVI) Limited	40175
439	Minmetals Land Capital Limited	5933
440	Mudanjiang City Investment Group Co., Ltd.	5297
441	Nanchang Jinkai Group Co., Ltd.	40626
442	Nanchang Jinkai Group Co., Ltd.	40788
443	Nanchang Linkong Economic Zone City Construction Investment and Development Group Co., Ltd.	40288
444	Nanjing Yangzi State-owned Assets Investment Group Co., Ltd	5053
445	Nanjing Yangzi State-owned Assets Investment Group Co., Ltd	5054
446	Nanning Communications Investment Group Co., Ltd.	40692
447	Nanshan Capital Holdings Limited	40740
448	Nanyang Commercial Bank, Limited	5214

449	Nanyang Commercial Bank, Limited	40069
450	Neijiang Investment Holding Group Co., Ltd.	40293
451	Neijiang Investment Holding Group Co., Ltd.	40297
452	New Lion Bridge Co., Ltd.	40637
453	New Lion Bridge Co., Ltd.	40824
454	New Momentum International Limited	40633
455	New Momentum International Limited	40746
456	Ningbo Yincheng Group Co., Ltd.	40801
457	Nuoxi Capital Limited	4402
458	Nuoxi Capital Limited	5484
459	Nuoxi Capital Limited	5704
460	Nuoxi Capital Limited	5996
461	NWD Finance (BVI) Limited	4561
462	NWD Finance (BVI) Limited	5856
463	NWD Finance (BVI) Limited	40262
464	NWD Finance (BVI) Limited	40504
465	NWD Finance (BVI) Limited	40711
466	Ocean Laurel Co. Limited	40414
467	Omni Ireland Credit Card Securitization T3 DAC	40476
468	Omni Ireland Credit Card Securitization T3 DAC	40477
469	Omni Ireland Credit Card Securitization T3 DAC	40478
470	Omni Ireland Credit Card Securitization T3 DAC	40479
471	Omni Ireland Credit Card Securitization T3 DAC	40480
472	Omni Ireland Credit Card Securitization T3 DAC	40481
473	Omni Ireland Credit Card Securitization T3 DAC	40482
474	Omni Ireland Credit Card Securitization T3 DAC	40483
475	Omni Ireland Credit Card Securitization T3 DAC	40484
476	Omni Ireland Credit Card Securitization T3 DAC	40485
477	Omni Ireland Credit Card Securitization T3 DAC	40486
478	Omni Ireland Credit Card Securitization T3 DAC	40487
479	Omni Ireland Credit Card Securitization T3 DAC	40488
480	Omni Ireland Credit Card Securitization T3 DAC	40489
481	Orient Huizhi Limited	5041
482	Oriental Capital Company Limited	40025
483	OVPH Limited	5614
484	Panther Ventures Limited	40378
485	Panther Ventures Limited	40512
486	Panther Ventures Limited	40872
487	PB Issuer (No.5) Limited	40097
488	PCGI Intermediate Holdings (II) Limited	40074
489	PCGI Intermediate Holdings (III) Limited	40810
490	PCGI Intermediate Limited	40040
491	PCGI Intermediate Limited	40040
492	Peak Re (BVI) Holding Limited	40437
493	Perfect Point Ventures Limited	4566
494	Perfect Point Ventures Limited	40850
495	Petron Corporation	5905
496	PHBS Limited	4325
497	Phoenix Charm International Investment Limited	40058
498	Phoenix Charm International Investment Limited	40071
499	Phoenix Lead Limited	5262
500	Ping An International Financial Leasing Co., Ltd.	40818
501	Pingdu State-owned Assets Management Co., Ltd.	40207
502	Pinghu State-owned Assets Holding Group Co., Ltd.	40862
503	Pingxiang Urban Construction Investment Developing Company	4535
504	Pioneer Reward Limited	4530
505	Pioneer Reward Limited	40143
506	Pioneer Reward Limited	40643
507	Pioneer Reward Limited	40644
508	Poly Real Estate Finance Ltd	4417
509	Poly Real Estate Finance Ltd	5164
510	Poly Real Estate Finance Ltd	5916
511	Power Best Global Investments Limited	40515
512	Powerchina Real Estate Group Ltd.	5471
513	Powerchina Roadbridge Group (British Virgin Islands) Limited	40635
514	Press Metal (Labuan) Ltd.	5299
515	Prosperous Ray Limited	5994
516	Proven Honour Capital Limited	5516
517	Proven Honour Capital Limited	5641
518	Pterosaur Capital Co., Limited	6021
519	PTT Public Company Limited	2527
520	Puyang Investment Group Co., Ltd.	40400
521	Puyang Investment Group Co., Ltd.	40407
522	Qingdao Jiaozhou Bay Development Group Co., Ltd.	5951
523	Qingdao Jiaozhou Bay Development Group Co., Ltd.	40710
524	Qingdao Jimo District Urban Development Investment Co., Ltd.	5658
525	Qinzhou City Development & Investment Group Co., Ltd	40796
526	QUAN CHENG FINANCIAL HOLDINGS (BVI) LIMITED	40832
527	Radiant Access Limited	5205
528	Rongshi International Finance Limited	5448
529	Rongshi International Finance Limited	5449
530	Rosy Delta Investments Limited	5097
531	Rowen International Co., Limited	40491
532	Rudong County Jinxin Transportation Engineering Construction Investment Co., Ltd.	40555
533	Rudong County Jinxin Transportation Engineering Construction Investment Co., Ltd.	40616
534	Rudong County Jinxin Transportation Engineering Construction Investment Co., Ltd.	40753

535	Rudong County Jinxin Transportation Engineering Construction Investment Co., Ltd.	40885
536	Rudong County Tongtai Investment Group Co., Ltd.	40677
537	Rugao Economic and Trade Development Company	40041
538	Rugao Economic and Trade Development Company	40133
539	Rugao Economic and Trade Development Company	40291
540	SCI (HK) Development Limited	40631
541	SCI (HK) Development Limited	40632
542	SDG FINANCE I LIMITED	4539
543	SDG Finance Limited	40816
544	SDG Finance Limited	40817
545	SDSC Global Finance Limited	5303
546	SDSC International Development Limited	40022
547	SF Holding Investment Limited	5130
548	SF Holding Investment Limited	40152
549	SFG International Holdings Co. Limited	40704
550	Shaanxi Financial Asset Management Co.,Ltd.	40620
551	Shaanxi Xixian New Area Fengxi New City Development and Construction (Group) Co., Ltd.	40073
552	Shaanxi Xixian New Area Fengxi New City Development and Construction (Group) Co., Ltd.	5504
553	Shaanxi Xixian New Area Qinhan New City Development and Construction Group Co., Ltd.	5935
554	Shandong Commercial Group Co., Ltd.	5512
555	Shandong Iron and Steel Xinheng International Company Limited	5470
556	Shandong Iron and Steel Xinheng International Company Limited	40003
557	Shandong Iron and Steel Xinheng International Company Limited	40458
558	Shandong Iron and Steel Xinheng International Company Limited	40781
559	Shanghai Commercial Bank Limited	5043
560	Shanghai Commercial Bank Limited	5530
561	Shanghai Port Group (BVI) Development 2 Co., Limited	40298
562	Shanghai Port Group (BVI) Development 2 Co., Limited	40299
563	Shanghai Port Group (BVI) Development Co., Limited	4587
564	Shanghai Port Group (BVI) Development Co., Limited	5734
565	Shanghai Port Group (BVI) Development Co., Limited	5741
566	Shanghai Port Group (BVI) Holding Co., Limited	5256
567	Shangrao Investment Holdings International Company Limited	40122
568	Shanghai (Hong Kong) International Investments Limited	40009
569	Shanxi Securities Co., Ltd.	40673
570	Shaoxing City Investment Group Limited	5489
571	Shaoxing City Investment Group Limited	40807
572	Shaoxing Shangyu State-owned Capital Investment and Operation Co., Ltd.	40082
573	Shaoxing Shangyu State-owned Capital Investment and Operation Co., Ltd.	40617
574	Shaoxing Shangyu State-owned Capital Investment and Operation Co., Ltd.	40618
575	Shengzhou Investment Holdings Co., Ltd.	40119
576	Shengzhou Investment Holdings Co., Ltd.	40267
577	Shenwan Hongyuan International Finance Limited	40766
578	Shouguang City Construction Investment Development Co., Ltd.	40233
579	Shouguang City Construction Investment Development Co., Ltd.	40495
580	Shuifa International Holdings (BVI) Co., Ltd.	5992
581	Shuifa International Holdings (BVI) Co., Ltd.	40214
582	Shuifa International Holdings (BVI) Co., Ltd.	40624
583	Si Fa Investment Limited	5490
584	Si Fa Investment Limited	40640
585	Sichuan Communications Overseas Development Co., Ltd.	5399
586	Sichuan Gloport Investment Development Group Co., Ltd	40196
587	Sichuan Railway Investment Group Co., Ltd.	5575
588	Sihai International Investment Limited	40861
589	SIHC International Capital Ltd	5177
590	Sino Trendy Investment Limited	40438
591	Sinochem Global Capital Co., Ltd.	5935
592	Sinochem Overseas Capital Company Limited	4502
593	Sino-Ocean Land Treasure Finance I Limited	5782
594	Sino-Ocean Land Treasure Finance II Limited	5869
595	Sino-Ocean Land Treasure III Limited	5276
596	Sino-Ocean Land Treasure IV Limited	5623
597	Sino-Ocean Land Treasure IV Limited	5712
598	Sino-Ocean Land Treasure IV Limited	40115
599	Sino-Ocean Land Treasure IV Limited	40670
600	Sino-Ocean Land Treasure IV Limited	40760
601	Sinosing Services Pte. Ltd.	40155
602	Sinosing Services Pte. Ltd.	40156
603	Skyland Mining (BVI) Limited	40266
604	Skysea International Capital Management Limited	4529
605	SmarTone Finance Limited	5917
606	Societe Generale	5947
607	Societe Generale	5959
608	Societe Generale	5960
609	Societe Generale	5961
610	Societe Generale	5962
611	SPIC 2016 US dollar Bond Company Limited	5324
612	SPIC 2016 US dollar Bond Company Limited	5325
613	SPIC 2018 USD Senior Perpetual Bond Company Limited	5453
614	SPIC Luxembourg Latin America Renewable Energy Investment Company S.à r.l.	5194
615	State Grid Overseas Investment (2013) Limited	5954
616	State Grid Overseas Investment (2013) Limited	5955
617	State Grid Overseas Investment (2014) Limited	5742
618	State Grid Overseas Investment (2014) Limited	5743
619	State Grid Overseas Investment (2016) Limited	5650
620	State Grid Overseas Investment (2016) Limited	5651

621	State Grid Overseas Investment (2016) Limited	5652
622	Strategic International Group Limited	5241
623	Strategic International Group Limited	40285
624	Sunny Express Enterprises Corp.	5906
625	Sunny Express Enterprises Corp.	40030
626	Sunny Express Enterprises Corp.	40031
627	Sunny Express Enterprises Corp.	40203
628	Sunny Express Enterprises Corp.	40204
629	Sunshine Life Insurance Corporation Limited	5629
630	Suqian Economic Development (BVI) Co., Limited	40034
631	Suzhou City Construction Investment Group (Holding) Co., Ltd.	5723
632	Suzhou Industrial Investment Group Co., Ltd.	40901
633	Taihu Pearl Oriental Company Limited	40326
634	Taikang Insurance Group Inc.	5349
635	Taishan City Investment Co., Limited	40608
636	Taiyuan Longcheng Development Investment Group Co., Ltd.	40281
637	Taiyuan Longcheng Development Investment Group Co., Ltd.	40805
638	Taiyuan State-owned Investment Group Company Limited	6005
639	Taizhou Haiminghui Trading Co., Ltd.	40573
640	Taizhou Haiminghui Trading Co., Ltd.	40880
641	Taizhou Infrastructure Construction Investment Group Co., Ltd.	5197
642	Taizhou Oriental China Medical City Holding Group Co., Ltd.	40846
643	Taizhou Urban Construction and Investment Development Group Co., Ltd.	40417
644	Taizhou Urban Construction and Investment Development Group Co., Ltd.	40821
645	Talent Yield (Euro) Limited	40390
646	Talent Yield International Limited	40675
647	Talent Yield International Limited	40676
648	Tangshan International Investment (Hong Kong) Co., Ltd	40391
649	Tencent Music Entertainment Group	40365
650	Tencent Music Entertainment Group	40366
651	Tequ Mayflower Limited	40600
652	The Hongkong and Shanghai Banking Corporation Limited	4323
653	Tianfeng Securities Co., Ltd.	40090
654	Tianjin Lingang Investment Holding Co., Ltd.	5716
655	Tianjin Lingang Investment Holding Co., Ltd.	40234
656	Tianjin State-owned Capital Investment and Management Co., Ltd.	40103
657	Tianjin State-owned Capital Investment and Management Co., Ltd.	40104
658	Tianjin State-owned Capital Investment and Management Co., Ltd.	40105
659	Tianjin State-owned Capital Investment and Management Co., Ltd.	40106
660	Tianqi Finco Co., Ltd	5036
661	Tibet Financial Leasing Co., Ltd.	5707
662	Tongfang Aqua Limited	6001
663	Tongling Development Investment Group Company Limited	40153
664	Top Luxury Investment Limited	5598
665	Towngas (Finance) Limited	5749
666	Towngas (Finance) Limited	6018
667	Tsinghua Unic Limited	4414
668	Tsinghua Unic Limited	4415
669	Tuspark Forward Ltd.	4599
670	UHI Capital Ltd.	4572
671	Universe Trek Limited	40295
672	Universe Trek Limited	40499
673	Universe Trek Limited	40722
674	Universe Trek Limited	40797
675	Urumqi Gaoxin Investment and Development Group Co., Ltd.	40429
676	Vertex Capital Investment Limited	5931
677	Vertex Capital Investment Limited	40780
678	Viva Biotech Investment Management Limited	40514
679	Viva Incubator Investment Management Limited	40144
680	Wanda Group Overseas Limited	5599
681	Wanda Group Overseas Limited	40386
682	Wanda Properties International Co. Limited	6023
683	Wanda Properties Overseas Limited	40088
684	Wanda Properties Overseas Limited	40139
685	Wanda Properties Overseas Limited	40665
686	Want Want China Finance Limited	5446
687	Wealthy Vision Holdings Limited	40701
688	Weifang Urban Construction and Development Investment Group Co., Ltd.	40863
689	Weimob Investment Limited	40218
690	Weimob Investment Limited	40702
691	WENS FOODSTUFF GROUP CO., LTD.	40442
692	WENS FOODSTUFF GROUP CO., LTD.	40443
693	Wuhan Financial Holdings (Group) Co., Ltd.	5498
694	Wuhan Jiangxia Agriculture Group Company Limited	40395
695	Wuhan Metro Group Co., Ltd.	5467
696	Wuhan Trading Group Co., Ltd	40474
697	Wuhan Urban Construction Group Co., Ltd.	40755
698	Wuxing City Investment HK Company Limited	40842
699	Xi Hai An 2019 Limited	40067
700	Xi Yang Overseas Limited	4565
701	Xi'an Aerospace High-Tech Industry Development Co., Ltd	40187
702	Xi'an Aerospace High-Tech Industry Development Co., Ltd	40231
703	Xi'an Aerospace High-Tech Industry Development Group Co., Ltd	40576
704	Xi'an Aerospace High-Tech Industry Development Group Co., Ltd	40612
705	Xi'an Aerospace High-Tech Industry Development Group Co., Ltd	40785
706	Xi'an Aerospace High-Tech Industry Development Group Co., Ltd	40798

707	XI'AN PORT INDUSTRIAL CO., LIMITED	40496
708	XI'AN QUJIANG CULTURAL INDUSTRY INVESTMENT (GROUP) CO., LTD	40473
709	Xi'an Qujiang Culture Holdings Co., Ltd.	40002
710	Xi'an Qujiang Culture Holdings Co., Ltd.	40183
711	Xiangtan Urban & Rural Construction Development Group Co., Ltd.	40244
712	Xiangyu Investment (BVI) Co., Ltd.	5572
713	Xianjin Industry Investment Company Limited	40394
714	Xianyang Financial Holding Group Co., Ltd.	40263
715	Xihui Haiwai I Investment Holdings Co., Limited	5990
716	Xin Fu (BVI) Co., Limited	5985
717	Xingang International Holding Limited	40099
718	Xingchang International Company Limited	40294
719	Xingsheng (BVI) Company Limited	5606
720	Xingsheng (BVI) Company Limited	40811
721	Xinhu (BVI) 2018 Holding Company Limited	4569
722	Xinhu (BVI) 2018 Holding Company Limited	5497
723	Xinhu (BVI) 2018 Holding Company Limited	5889
724	Xinhu (BVI) 2018 Holding Company Limited	40868
725	Xinjiang Financial Investment Co., Ltd.	5907
726	Xinjiang Transportation Construction Investment Holding Co. Ltd.	5570
727	Xinjiang Transportation Construction Investment Holding Co. Ltd.	5952
728	Xinjiang Zhongtai (Group) Co., Ltd.	4567
729	Xinzhan Limited	40777
730	YAN GANG LIMITED	40622
731	Yancheng High-tech Zone Investment Group Co., Ltd.	40825
732	Yancoal International Resources Development Co., Limited	4552
733	Yancoal International Resources Development Co., Limited	5424
734	Yancoal International Resources Development Co., Ltd	5461
735	Yancoal International Resources Development Co., Ltd	40453
736	Yancoal International Trading Co., Limited	5753
737	Yango Justice International Limited	40705
738	Yangzhou Economic and Technological Development Zone Development Corporation	5513
739	Yangzhou Urban Construction State-Owned Assets Holding (Group) Co., Ltd.	40355
740	Yankuang Group (Cayman) Limited	5705
741	Yankuang Group (Cayman) Limited	40312
742	YCIC International (HK) Co., Limited	40799
743	Yi Bright International Limited	40377
744	Yi Bright International Limited	40748
745	Yichang High-Tech Investment Development Co., Ltd.	5495
746	Yichun Development Investment HaiTong Co., Limited	40084
747	Yili Holding Investment Limited	40471
748	Yinchuan Tonglian Capital Investment Operation Co., Ltd.	40249
749	YONGDA Investment Limited	40252
750	Yunda Holding Investment Limited	40347
751	Yunnan Energy Investment Overseas Finance Company Ltd.	5013
752	Yunnan Energy Investment Overseas Finance Company Ltd.	5327
753	Yunnan Energy Investment Overseas Finance Company Ltd.	5457
754	Yunnan Energy Investment Overseas Finance Company Ltd.	40351
755	Yunnan Energy Investment Overseas Finance Company Ltd.	40352
756	Yun-Top International Investment Company (BVI) Limited	40318
757	Yuyao Economic Development Zone Construction Investment and Development Co., Ltd	5494
758	Yuyao Economic Development Zone Construction Investment and Development Co., Ltd	5998
759	ZGC INTERNATIONAL INVESTMENT LIMITED	40140
760	Zhangzhou Jiulongjiang Group Co., Ltd.	5730
761	Zhangzhou Transportation Development Group Co., Ltd.	5584
762	Zhaobing Investment (BVI) Limited	6018
763	Zhaojin Mining International Finance Limited	5851
764	Zhaoqing Guolian Investment Holding Co., Ltd	40888
765	Zhejiang Baron (BVI) Company Limited	40397
766	Zhejiang Baron (BVI) Company Limited	40802
767	Zhejiang Deqing Jinkai Urban Development Co., Ltd.	40804
768	Zhejiang Deqing Jinkai Urban Development Co., Ltd.	40830
769	Zhejiang Huzhou Nanxun Economic Construction and Development Co., Ltd.	40876
770	Zhejiang Seaport International Co., Limited	40614
771	Zhejiang Xinchang Investment Development Co., Ltd.	40583
772	Zhengbang International Limited	40867
773	Zhengzhou Airport Economy Zone Xinggang Investment Group Co., Ltd.	40255
774	Zhengzhou Metro Group Co., Ltd.	5496
775	Zhengzhou Real Estate Group Co., Ltd.	40014
776	Zhengzhou Urban Construction Investment Group Co., Ltd.	40063
777	Zhengzhou Urban Construction Investment Group Co., Ltd.	40118
778	Zhenjiang Cultural Tourism Industry Group Co., Ltd.	40072
779	Zhenjiang New Area Urban Construction Investment Co., Ltd	40693
780	Zhongtai International Finance (BVI) Company Limited	40339
781	Zhongyuan Asset Management Co., Ltd	40078
782	Zhongyuan Sincere Investment Co. Ltd.	5596
783	Zhongyuan Zhicheng Co., Ltd.	40747
784	Zhoushan City Investment Group Corporation Limited	5487
785	Zhuhai Da Heng Qin Investment Co., Ltd.	40080
786	Zhuhai Da Heng Qin Investment Co., Ltd.	85915
787	Zhuji Development Limited	5480
788	Zhuji Development Limited	40881
789	Zhuzhou City Construction Development Group Co., Ltd.	4531

Category 3 exemptions for open-ended CIS in corporate form whose shares are listed on The Stock Exchange of Hong Kong Limited

	Name	SEHK Stock codes
1	Xtrackers FTSE Vietnam Swap UCITS ETF*	3087
2	Xtrackers FTSE China 50 UCITS ETF	3007
3	Xtrackers MSCI Brazil UCITS ETF	3048
4	Xtrackers MSCI Korea UCITS ETF	2848
5	Xtrackers MSCI Pacific ex Japan UCITS ETF	3043
6	Xtrackers MSCI Russia Capped Swap UCITS ETF*	3027
7	Xtrackers MSCI Taiwan UCITS ETF	3036
8	Xtrackers MSCI USA Swap UCITS ETF*	3020
9	Xtrackers MSCI World Swap UCITS ETF*	3019
10	Xtrackers Nifty 50 Swap UCITS ETF*	3015
11	Xtrackers CSI300 Swap UCITS ETF*	3049
12	Xtrackers MSCI China UCITS ETF	3055
13	Xtrackers MSCI Indonesia Swap UCITS ETF*	3099
14	Xtrackers MSCI Malaysia UCITS ETF	3082
15	Xtrackers MSCI Thailand UCITS ETF	3092
16	Xtrackers MSCI Philippines UCITS ETF	3016
17	Xtrackers MSCI Singapore UCITS ETF	3065
18	Global X China Consumer Brand ETF	2806 / 9806
19	Global X China Electric Vehicle ETF	2845 / 9845
20	Global X China Clean Energy ETF	2809 / 9809
21	Global X China Semiconductor ETF	3191 / 9191
22	Global X China Robotics and AI ETF	2807 / 9807
23	CSOP STAR 50 Index ETF	3109
24	Global X China Innovator Active ETF	3058
25	Global X China Global Leaders ETF	3050
26	CSOP Global Cloud Computing Technology Index ETF	3194
27	CSOP Huatai-PineBridge CSI Photovoltaic Industry ETF	3134
28	CSOP China Healthcare Disruption Index ETF	3174
29	Global X Asia Semiconductor ETF	3119
30	Global X China E-commerce and Logistics ETF	3124
31	Global X China Games and Entertainment ETF	3117
32	CSOP Global Smart Driving Index ETF	3162

* This is a synthetic ETF

Category 4 exemptions for exchange participants of The Stock Exchange of Hong Kong Limited

	Name
1	China Innovation Market Service Company Limited
2	China Investment Information Services Limited

Category 5 exemptions for clearing houses that are clearing participants

	Name
1	China Securities Depository and Clearing Corporation Limited
2	China Securities Depository and Clearing (Hong Kong) Company Limited

Other exemptions granted under section 309(2) of the Securities and Futures Ordinance (Cap.571)

	Name
1	Budweiser Brewing Company APAC Limited
2	JD Health International Inc.
3	JD Logistics, Inc.