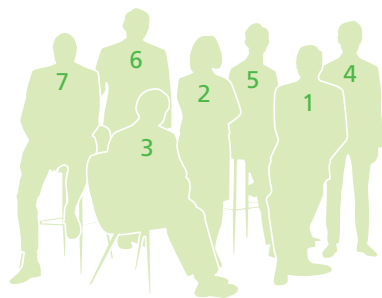


## Our Board



1. Tim LUI Tim-leung    2. Agnes CHAN Sui-kuen    3. Nicky LO Kar-chun    4. Johnson KONG  
5. Rico LEUNG Chung-yin    6. James C LIN    7. Michael DUIGNAN



8. Julia LEUNG Fung-yee    9. Victor DAWES    10. Christina CHOI Fung-yee    11. Dieter YIH  
12. Michael WONG Yick-kam    13. Christopher WILSON    14. CHEW Fook-aun

## Our Board

### Board members



**Tim LUI**  
**Tim-leung SBS, JP**

Chairman

From 20 October 2018  
Current appointment to  
19 October 2024

#### Other SFC-related positions

- Chairman: Investment Committee and Advisory Committee
- Member: Audit Committee and Remuneration Committee
- Member: Nominations Committee and SFC (HKEC Listing) Appeals Committee
- Member, Process Review Panel for the Securities and Futures Commission

#### Current offices, public service and professional affiliations

- Chairman, University Grants Committee
- Member: Banking Advisory Committee and Exchange Fund Advisory Committee, Hong Kong Monetary Authority
- Member, Listing Nominating Committee, Hong Kong Exchanges and Clearing Limited
- Member, Public Service Commission, the HKSAR Government
- Director, Hong Kong Academy of Finance
- Fellow Member, Hong Kong Institute of Certified Public Accountants
- Member, Institute of Chartered Accountants in England and Wales

#### Past offices and public service

- Partner (1989-2013) and Senior Advisor (2013-2018), PricewaterhouseCoopers Hong Kong
- Member, Global Board of PricewaterhouseCoopers International (2009-2013)
- President, Hong Kong Institute of Certified Public Accountants (1996-1997)
- Chairman, Education Commission (2015-2022)
- Chairman, Committee on Self-financing Post-secondary Education (2012-2017)
- Chairman, Self-financing Post-secondary Education Fund Steering Committee (2011-2017)
- Chairman, Standing Commission on Directorate Salaries and Conditions of Service (2009-2015)
- Chairman, Employees' Compensation Insurance Levies Management Board (2001-2007)
- Chairman, Joint Committee on Student Finance (1999-2005)



**Julia LEUNG**  
**Fung-yee SBS, JP**

Chief Executive Officer

From 1 January 2023  
Current appointment to  
31 December 2025

#### Other SFC-related positions

- Member: Budget Committee and Investment Committee
- Member: Advisory Committee and SFC (HKEC Listing) Committee

#### Past offices and public service

- Member, Council of Advisers for Applied Research, Hong Kong Institute for Monetary and Financial Research (2019-2023)
- Julius Fellow, International Economics, Chatham House (2014)
- Under Secretary for Financial Services and the Treasury, the HKSAR Government (2008-2013)
- Executive Director, Hong Kong Monetary Authority (2000-2008)

#### Past SFC positions

- Deputy Chief Executive Officer (2018-2022)
- Executive Director, Intermediaries (2016-2022)
- Executive Director, Investment Products (2015-2016)

#### Publication

- *The Tides of Capital* (London: OMFIF Press, 2015)





**Agnes CHAN  
Sui-kuen**

Non-Executive Director

From 20 October 2018  
Current appointment to  
19 October 2024

#### Other SFC-related positions

- Chairman: Audit Committee and Budget Committee
- Member: Investment Committee and Remuneration Committee
- Member, SFC (HKEC Listing) Appeals Committee

#### Current offices, public service and professional affiliations

- Senior Advisor of Chairman's Office, Greater China, Ernst & Young
- Member, The 14th National Committee of the Chinese People's Political Consultative Conference
- Hong Kong General Chamber of Commerce: Deputy Chairman, General Committee; Chairman, Investment Committee; Chairman, Membership Committee
- Hong Kong Monetary Authority: Member, Exchange Fund Advisory Committee; Member, Audit Sub-Committee; Member, Governance Sub-Committee
- Member, Public Service Commission, the HKSAR Government
- Member, Election Committee, Accountancy Subsector, the HKSAR Government
- Member, Users' Committee, Inland Revenue Department, the HKSAR Government
- Member, Advisory Panel on BEPS 2.0, the HKSAR Government
- Member, Corporate Advisory Council, Hong Kong Securities and Investment Institute
- Accounting Advisor (Hong Kong), Ministry of Finance, People's Republic of China
- Hong Kong SAR Delegate, All-China Women's Federation
- Fellow Member, Hong Kong Institute of Certified Public Accountants
- Fellow Member and Honorary Advisor, Chartered Accountants Australia and New Zealand
- Fellow Member, Taxation Institute of Hong Kong
- Honorary Advisor, Hong Kong Public Sector Accountants Association

#### Past offices and public service

- Managing Partner, Hong Kong and Macau, Ernst & Young (2009-2022)
- Competition Commission: Member; Chairperson of Finance and Administration Committee (2013-2018)
- Member, Mandatory Provident Fund Schemes Appeal Board (2011-2017)
- Member, Environment and Conservation Fund Committee (2012-2016)
- Member, Telecommunications Users and Consumers Advisory Committee, Office of the Communications Authority (2012-2016)

## Our Board



### **CHEW Fook-aun**

Non-Executive Director

From 1 January 2023  
Current appointment to  
31 December 2024

#### **Other SFC-related positions**

- Member, Remuneration Committee
- Member, SFC (HKEC Listing) Appeals Committee

#### **Current offices, public service and professional affiliations**

- Deputy Chairman and Non-Executive Director, Lai Sun Garment (International) Limited
- Deputy Chairman and Non-Executive Director, Lai Sun Development Company Limited
- Deputy Chairman and Non-Executive Director, Lai Fung Holdings Limited
- Independent Non-Executive Director, Karex Berhad
- Fellow Member, Hong Kong Institute of Certified Public Accountants
- Fellow Member, The Institute of Chartered Accountants in England and Wales
- Fellow Member, The Hong Kong Institute of Directors
- Member, Hong Kong Judiciary Barristers Disciplinary Tribunal Panel
- Vice Chairman, Hong Kong Sports Institute Limited
- Council Member, The Hong Kong Polytechnic University
- Director, Asian Youth Orchestra
- Vice President, Hong Kong Football Club
- Deputy Chairman, Malaysian Chamber of Commerce

#### **Past SFC-related position**

- Member, Advisory Committee (2007-2013)

#### **Past public service**

- Hong Kong Institute of Certified Public Accountants: Vice President (2010), Council Member (2003-2010)
- Financial Reporting Council (now known as Accounting and Financial Reporting Council): Council Member (2009-2015); Member, Investigation and Compliance Committee (2019-2021); Member, Independent Audit Oversight Reform Committee (2015-2019)
- Member, Standing Committee on Company Law Reform, Companies Registry (2009-2015)
- Independent Commission Against Corruption: Member, Operations Review Committee (2015-2020); Member, Corruption Prevention Advisory Committee (2009-2014); Member, Citizens Advisory Committee on Community Relations (2007-2008)

#### **Past offices**

- Executive Director and Group Chief Financial Officer, Esprit Holdings Limited (2009-2012)
- Executive Director and Chief Financial Officer, The Link Management Limited (2007-2009)
- Executive Director, Kyard Limited (a private family office) (2004-2007)
- Director of Corporate Finance, Kerry Holdings Limited (1998-2004)
- Chief Financial Officer, Kerry Properties Limited (1996-2004)

#### **Other SFC-related positions**

- Chairman: Committee on Real Estate Investment Trusts and Products Advisory Committee
- Member: Advisory Committee and SFC (HKEC Listing) Committee

#### **Current public service**

- Chairman, Committee on Investment Management, International Organization of Securities Commissions
- Member: Asian Financial Forum Steering Committee and Financial Services Advisory Committee, Hong Kong Trade Development Council

#### **Past SFC position**

- Senior Director, Investment Products (2012-2016)

#### **Past offices**

- Partner, Clifford Chance (2001-2004)



### **Christina CHOI Fung-ye**

Executive Director,  
Investment Products

From 1 August 2016  
Current appointment to  
31 July 2025





**Victor DAWES SC**

Non-Executive Director

From 1 August 2020  
Current appointment to  
31 July 2024

**Other SFC-related positions**

- Chairman, Investor and Financial Education Council
- Member: Audit Committee and Remuneration Committee
- Member: Nominations Committee, SFC (HKEC Listing) Appeals Committee and Disciplinary Chair Committee

**Current offices and public service**

- Senior Counsel, Temple Chambers
- Recorder, High Court
- Chairman, Hong Kong Bar Association
- Member, Proceedings Committee, Hong Kong International Arbitration Centre
- Member, Advisory Body appointed under Part 10A of the Arbitration Ordinance



**Michael DUGNAN**

Executive Director,  
Corporate Finance

From 1 November 2022  
Current appointment to  
31 October 2025

**Other SFC-related positions**

- Chairman, Public Shareholders Group
- Member: Committee on Real Estate Investment Trusts and Nominations Committee

**Current public service**

- Member, Listing Nominating Committee, Hong Kong Exchanges and Clearing Limited

**Past SFC positions**

- Senior Director, Enforcement (2019-2022)
- Senior Director, Corporate Finance (2014-2018)

**Past offices**

- Director of Securities and Markets Supervision, Malta Financial Services Authority (2012-2014)
- Head of Market Supervision, Irish Stock Exchange (2008-2011)
- Manager, UK Financial Services Authority (2000-2008)

## Our Board



### Johnson KONG

Non-Executive Director

From 15 November 2021

Current appointment to  
14 November 2023

#### Other SFC-related positions

- Member, Remuneration Committee
- Member, SFC (HKEC Listing) Appeals Committee

#### Current offices, public service and professional affiliations

- Managing Director, BDO Limited
- Fellow, Hong Kong Institute of Certified Public Accountants (HKICPA)
- Fellow, Institute of Chartered Accountants in England and Wales
- Fellow, CPA Australia
- Fellow, The Hong Kong Chartered Governance Institute
- Member, Hong Kong Academy of Finance
- Accounting Advisor (Hong Kong), Ministry of Finance, People's Republic of China
- Member, Election Committee, the HKSAR Government
- Member, Operations Review Committee, Independent Commission Against Corruption
- Member, Panel of the Witness Protection Review Board
- International Federation of Accountants: Chairman, Small and Medium Practices Business Support Task Force; Deputy Chair, Small and Medium Practices Advisory Group
- Council Member, Association of Hong Kong Accounting Advisors
- Alternate Director, Hong Kong Association of Registered Public Interest Entity Auditors
- Deputy Chair, Governance Committee, HKICPA
- Member, Audit Committee, University of Hong Kong
- President, St. Stephen's College Alumni Association

#### Past public service

- HKICPA: President (2020); Council Member (2015-2021); Chairman, Professional Conduct Committee (2017-2019); Inaugural Chair, Restructuring and Insolvency Faculty (2008-2012)
- President, Hong Kong Institute of Accredited Accounting Technicians (2009-2012)
- Member, Securities and Futures Appeals Tribunal (2017-2021)
- Member, Appeal Panel (Housing) (2012-2018)
- Member, Advisory Group on Modernisation of Corporate Insolvency Law (2012-2016)
- Member, Board of Review Panel (Inland Revenue Ordinance) (2010-2016)
- Member, Standing Committee on Company Law Reform (2009-2015)
- Member, Appeal Board Panel (Town Planning) (2009-2015)
- Executive Board Member, INSOL International (2005-2010)





**Rico LEUNG  
Chung-yin**

Executive Director,  
Supervision of Markets

From 28 August 2019  
Current appointment to  
27 August 2025

**Other SFC-related positions**

- Chairman: Investor Compensation Fund Committee and Securities Compensation Fund Committee
- Director, Investor Compensation Company Limited
- Member: Advisory Committee and SFC (HKEC Listing) Committee

**Current public service**

- Member, Risk Management Committee, Hong Kong Exchanges and Clearing Limited
- Member, Council of Advisers for Applied Research, Hong Kong Institute for Monetary and Financial Research

**Past SFC position**

- Senior Director, Supervision of Markets (2008-2019)

**Past offices**

- Chief Operations Officer, HKFE Clearing Corporation Limited (1994-2000)



**Dr James C LIN**

Non-Executive Director

From 1 August 2018  
Current appointment to  
31 July 2024

**Other SFC-related positions**

- Chairman, Investor Compensation Company Limited
- Deputy Chairman: Audit Committee, Investment Committee and Remuneration Committee
- Member: SFC (HKEC Listing) Appeals Committee, Investor Compensation Fund Committee and Securities Compensation Fund Committee

**Current offices and public service**

- Senior Partner, Davis Polk & Wardwell LLP
- Member, Process Review Panel for the Insurance Authority
- Member, Process Review Panel for the Mandatory Provident Fund Schemes Authority
- Member, Disciplinary Panel A, Hong Kong Institute of Certified Public Accountants
- Harvard Law School: Visiting Lecturer on Law; Member, Dean's Asia Leadership Council
- Overseer, Morningside College, The Chinese University of Hong Kong
- Trustee, Asia Society Hong Kong Center

**Past public service**

- Member, Appeal Panel (Housing) (2012-2018)
- Member, Securities and Futures Appeals Tribunal (2011-2017)



## Our Board



**Nicky LO**  
**Kar-chun SBS, JP**

Non-Executive Director

From 24 April 2019  
Current appointment to  
23 April 2025

### Other SFC-related positions

- Chairman, Remuneration Committee
- Member: Budget Committee and Investment Committee
- Member, SFC (HKEC Listing) Appeals Committee

### Current offices and public service

- Director, Italade Technology Holdings
- Hong Kong Monetary Authority: Chairman, Governance Sub-committee; Member, Exchange Fund Advisory Committee

### Past public service

- Chairman, Independent Commission on Remuneration for Members of the Executive Council and the Legislature, and Officials under the Political Appointment System of the HKSAR (2013-2019)
- Member, Advisory Committee on Post-service Employment of Civil Servants (2009-2013)
- Chairman, Standing Commission on Civil Service Salaries and Conditions of Service (2006-2012)
- Member, Public Service Commission, the HKSAR Government (2006-2012)
- Member, Standing Commission on Directorate Salaries and Conditions of Service (2006-2012)
- Member, Standing Commission on Disciplined Services Salaries and Conditions of Service (2001-2006)

### Past offices

- President and Chief Executive Officer, Synnex Distributions (China) Ltd (1987-2013)
- President and Chief Executive Officer, Synnex Technology International (HK) Ltd (1987-2013)
- Principal Assistant Secretary and Deputy Secretary for Trade and Industry, the Trade and Industry Branch of the Government Secretariat (1985-1987)
- Administrative Officer, the Hong Kong Government (1974-1987)



**Christopher WILSON**

Executive Director,  
Enforcement

From 1 November 2022  
Current appointment to  
31 October 2025

### Past offices

- Deputy General Counsel, Asia Global Banking and Markets, HSBC (2016-2022)
- Deputy General Counsel, Citizens Bank (2015-2016)
- Managing Director of Legal, JPMorgan Hong Kong (2004-2015)
- Associate Counsel, Simpson Thacher & Bartlett (1998-2004)

### Past public service

- Board Member, Board of Directors, Hong Kong Association of Corporate Counsel (2019-2022)





**Michael WONG**  
**Yick-kam SBS, MH, JP**

Non-Executive Director

From 1 April 2021  
Current appointment to  
31 March 2025

#### Other SFC-related positions

- Member, Remuneration Committee
- Member, SFC (HKEC Listing) Appeals Committee

#### Current offices and public service

- Member, Estate Agents Authority
- Member, Land and Development Advisory Committee
- Member, Board of Trustees of New Asia College, The Chinese University of Hong Kong
- Member, Executive Committee, Hong Kong Youth Hostels Association

#### Past offices and public service

- Sun Hung Kai Properties Group: Non-Executive Director (2013-2015); Non-Executive Director and Group Principal Advisor (2009-2013); Executive Director (1996-2009)
- Chairman, Hong Kong Youth Hostels Association (2005-2014)
- Member, Steering Committee on the Child Development Fund (2008-2016)
- Member, Social Welfare Advisory Committee (2009-2015)
- Member, Social Innovation and Entrepreneurship Development Fund Task Force (2013-2020)
- Chairman, Council of the Hong Kong Metropolitan University (2016-2022)
- Non-Executive Director, Urban Renewal Authority (2016-2022)



**Dieter YIH JP**

Non-Executive Director

From 15 November 2021  
Current appointment to  
14 November 2023

#### Other SFC-related positions

- Member, Remuneration Committee
- Member, SFC (HKEC Listing) Appeals Committee

#### Current offices and public service

- Partner, Kwok Yih & Chan, Solicitors
- Non-Executive Director, eMPF Platform Company Limited
- Chairman, Financial Dispute Resolution Centre
- Member, University Grants Committee
- Member, Standing Committee on Legal Education and Training
- Quality Education Fund Steering Committee: Member; Chairman, Dissemination and Promotion Sub-committee
- Member, Registration and Practising Committee, Hong Kong Institute of Certified Public Accountants

#### Past public service

- President, The Law Society of Hong Kong (2012-2013)
- Deputy Chairman, Council of the Education University of Hong Kong (2016-2022)
- Convenor, Investigation Panel (Panel A), Hong Kong Institute of Certified Public Accountants (2014-2021)
- Member, Banking Review Tribunal (2016-2022)
- Member, Standing Committee on Judicial Salaries and Conditions of Service (2016-2021)
- Member, Listing Committee, The Stock Exchange of Hong Kong Limited (2017-2021)

Notes: Except for the Chairman and CEO, other board members are listed in alphabetical order.

During the year, Mr Ashley Alder served on the Board as CEO until 31 December 2022. Mr Thomas Atkinson served on the Board as ED until 2 May 2022. Mr Edward Cheng Wai-sun served on the Board as NED until 31 December 2022.

The Nominations Committee nominates members of the Takeovers and Mergers Panel, the Takeovers Appeal Committee and the Disciplinary Chair Committee. See pages 183-192 for information about SFC external committees.