

Our Board

**Michael
DUIGNAN**

Executive Director,
Enforcement

**Lisa CHEN
Ping**

Executive Director,
Legal Services

**Johnson KONG
Chi-how**

Non-Executive
Director

**CHEW
Fook-aun**

Non-Executive
Director



**Michael WONG
Yick-kam**

Non-Executive
Director

**Victor
DAWES**

Non-Executive
Director

**Eric YIP
Chee-hang**

Executive Director,
Intermediaries

**Kelvin WONG
Tin-yau**

Chairman

Our Board

**Rico LEUNG
Chung-yin**

Executive Director,
Supervision of Markets

**Christina CHOI
Fung-yee**

Executive Director,
Corporate Finance

**Vincent CHAN
Chun-hung**

Non-Executive
Director

**Tony TANG
Xiaodong**

Non-Executive
Director



**Julia LEUNG
Fung-yee**

Chief Executive Officer

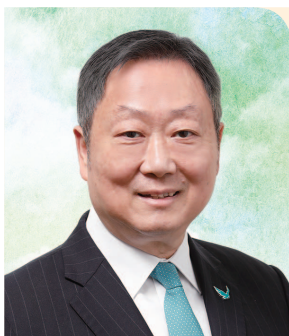
**Dieter YIH
Lai-tak**

Non-Executive
Director

**Keith
POGSON**

Non-Executive
Director

Our Board



Dr Kelvin WONG Tin-yau SBS, JP

Chairman

From 20 October 2024

Current appointment to 19 October 2027

Other SFC-related positions

- Chairman: Investment Committee, Remuneration Committee and Advisory Committee
- Member, Audit Committee
- Member, SFC (HKEC Listing) Appeals Committee
- Member, Process Review Panel for the Securities and Futures Commission

Current offices and public service

- Chairman, Independent Commission on Remuneration for Members of the Executive Council and the Legislature, and Officials under the Political Appointment System of the HKSAR
- Member: Exchange Fund Advisory Committee and Banking Advisory Committee, Hong Kong Monetary Authority
- Member, Listing Nominating Committee, The Stock Exchange of Hong Kong Limited (SEHK)
- Director, Hong Kong Academy of Finance
- Chairman, Corruption Prevention Advisory Committee; Member, Advisory Committee on Corruption, Hong Kong Independent Commission Against Corruption (ICAC)

Past offices and public service

- Chairman, Accounting and Financial Reporting Council (2018-2024)
- Chairman, Investor and Financial Education Council (2017-2018)
- Chairman, The Hong Kong Institute of Directors (2009-2014)
- Executive Director and Deputy Managing Director, COSCO SHIPPING Ports Limited (1996-2024)
- Non-Executive Director, SFC (2012-2018)
- Convenor-cum-member, Financial Reporting Review Panel (2013-2016)
- Member, Standing Committee on Company Law Reform (2010-2016)
- Member, Main Board and GEM Listing Committees, SEHK (2007-2013)
- Member, Operations Review Committee, ICAC (2017-2023)



Julia LEUNG Fung-ye SBS, JP

Chief Executive Officer

From 1 January 2023

Current appointment to 31 December 2027

Other SFC-related positions

- Member: Budget Committee and Investment Committee
- Member: Advisory Committee and SFC (HKEC Listing) Committee

Current public service

- Chairperson, Asia-Pacific Regional Committee, International Organization of Securities Commissions
- Council Member, Treasury Markets Association
- Vice President, Council of The Hong Kong Institute of Bankers

Past offices and public service

- Under Secretary for Financial Services and the Treasury, the HKSAR Government (2008-2013)
- Executive Director, Hong Kong Monetary Authority (2000-2008)

Past SFC positions

- Deputy Chief Executive Officer (2018-2022)
- Executive Director, Intermediaries (2016-2022)
- Executive Director, Investment Products (2015-2016)

Publication

- *The Tides of Capital* (London: OMFIF Press, 2015)

Our Board



Vincent CHAN Chun-hung

Non-Executive Director

From 1 August 2024
Current appointment to 31 July 2026

Other SFC-related positions

- Member: Investment Committee and Remuneration Committee

Current offices and public service

- Treasurer and Executive Director, Hong Kong Venture Capital and Private Equity Association
- Member, Antiquities Advisory Board
- Member, Board of the Hong Kong Foundation for the University of Manchester
- Member, Hospital Governing Committee, Castle Peak Hospital and Siu Lam Hospital
- Member, Investment Committee of the Investment Sub-Committee, the University of Hong Kong endowment fund

Past SFC-related position

- Member, Public Shareholders Group (2006-2012)

Past offices

- Partner, Beyond Ventures (2023-2024)
- Senior Managing Director and Head of Asia, Samena Capital (2016-2022)
- Founding Chief Executive Officer, Spring Capital (2007-2015)
- Managing Director and Head of North Asia, JAFCO Asia (2000-2007)



Lisa CHEN Ping

Executive Director, Legal Services

From 22 May 2023
Current appointment to 21 May 2029

Other SFC-related position

- Member, SFC (HKEC Listing) Committee

Past SFC positions

- Senior Director, Enforcement (2017-2023)
- Deputy Chief Counsel, Legal Services (2014-2017)
- Senior Counsel, Legal Services (2004-2009)

Past offices

- Counsel, Davis Polk & Wardwell (2013-2014)
- Consultant, Clifford Chance (2009-2013)

Our Board



CHEW Fook-aun

Non-Executive Director

From 1 January 2023

Current appointment to 31 December 2026

Other SFC-related positions

- Chairman, Budget Committee
- Member: Investment Committee and Remuneration Committee
- Member, SFC (HKEC Listing) Appeals Committee

Current offices, public service and professional affiliations

- Independent Non-Executive Director, Karex Berhad
- Fellow Member, Hong Kong Institute of Certified Public Accountants
- Fellow Member, The Institute of Chartered Accountants in England and Wales
- Fellow Member, The Hong Kong Institute of Directors
- Vice Chairman, Hong Kong Sports Institute Limited
- Council Member, The Hong Kong Polytechnic University
- Director, Asian Youth Orchestra
- Vice President, Hong Kong Football Club
- Honorary Treasurer, Golf Association of Hong Kong, China

Past SFC-related position

- Member, Advisory Committee (2007-2013)

Past offices

- Member, Hong Kong Judiciary Barristers Disciplinary Tribunal Panel (2015-2025)
- Deputy Chairman, Malaysian Chamber of Commerce (2022-2024)
- Deputy Chairman and Non-Executive Director (2023), and Deputy Chairman and Executive Director (2012-2023), Lai Sun Garment (International) Limited
- Deputy Chairman and Non-Executive Director (2023), and Deputy Chairman and Executive Director (2012-2023), Lai Sun Development Company Limited
- Deputy Chairman and Non-Executive Director (2023), and Chairman and Executive Director (2012-2023), Lai Fung Holdings Limited



Christina CHOI Fung-yee

Executive Director, Corporate Finance

From 1 August 2016

Current appointment to 31 July 2028

Other SFC-related positions

- Chairman, Public Shareholders Group
- Member: Advisory Committee, Committee on Real Estate Investment Trusts and Nominations Committee

Current public service

- Member, Listing Nominating Committee, Hong Kong Exchanges and Clearing Limited

Past SFC positions

- Executive Director, Investment Products (2016-2025)
- Senior Director, Investment Products (2012-2016)

Past offices

- Partner, Clifford Chance (2001-2004)

Our Board



Victor DAWES SC, BBS

Non-Executive Director

From 1 August 2020
Current appointment to 31 July 2026

Other SFC-related positions

- Chairman, Investor and Financial Education Council
- Deputy Chairman, Audit Committee
- Member, Remuneration Committee
- Chairman, Nominations Committee
- Member, SFC (HKEC Listing) Appeals Committee

Current offices and public service

- Senior Counsel, Temple Chambers
- Recorder, High Court
- Chairman, Air Transport Licensing Authority
- Member, Judicial Officers Recommendation Commission



Michael DUIGNAN

Executive Director, Enforcement

From 1 November 2022
Current appointment to 31 October 2028

Other SFC-related position

- Member, SFC (HKEC Listing) Committee

Past SFC positions

- Executive Director, Corporate Finance (2022-2025)
- Senior Director, Enforcement (2019-2022)
- Senior Director, Corporate Finance (2014-2018)

Past offices

- Director of Securities and Markets Supervision, Malta Financial Services Authority (2012-2014)
- Head of Market Supervision, Irish Stock Exchange (2008-2011)
- Manager, UK Financial Services Authority (2000-2008)

Our Board



Johnson KONG Chi-how

Non-Executive Director

From 15 November 2021

Current appointment to 14 November 2027

Other SFC-related positions

- Chairman, Audit Committee
- Deputy Chairman, Budget Committee
- Member, Remuneration Committee
- Member, SFC (HKEC Listing) Appeals Committee

Current offices, public service and professional affiliations

- Senior Advisor, BDO Hong Kong
- Accounting Advisor (Hong Kong), Ministry of Finance, People's Republic of China
- Fellow, Chair of Governance Committee, Member of Remuneration Committee and Audit Committee, Hong Kong Institute of Certified Public Accountants
- Fellow and Member of Hong Kong Strategy Advisory Group, Institute of Chartered Accountants in England and Wales
- Member, Election Committee, the HKSAR Government
- Member, Hong Kong Academy of Finance
- Member, Operations Review Committee and Panel of the Witness Protection Review Board, Independent Commission Against Corruption
- Council Member, Association of Hong Kong Accounting Advisors
- Alternate Director, Hong Kong Association of Registered Public Interest Entity Auditors



Rico LEUNG Chung-yin

Executive Director, Supervision of Markets

From 28 August 2019

Current appointment to 27 August 2028

Other SFC-related positions

- Chairman: Investor Compensation Fund Committee and Securities Compensation Fund Committee
- Director, Investor Compensation Company Limited
- Member, SFC (HKEC Listing) Committee

Current public service

- Member, Risk Management Committee, Hong Kong Exchanges and Clearing Limited
- Member, Council of Advisers for Applied Research, Hong Kong Institute for Monetary and Financial Research

Past SFC position

- Senior Director, Supervision of Markets (2008-2019)

Past offices

- Chief Operations Officer, HKFE Clearing Corporation Limited (1994-2000)

Our Board



Keith POGSON

Non-Executive Director

From 20 October 2024

Current appointment to 19 October 2026

Other SFC-related positions

- Member: Audit Committee and Remuneration Committee
- Member, SFC (HKEC Listing) Appeals Committee

Current offices, public service and professional affiliations

- Asia-Pacific Financial Services Senior Partner and Global Assurance Leader, Banking & Capital Markets, Ernst & Young
- Practising Fellow and Deputy Chair of Governance Committee, Hong Kong Institute of Certified Public Accountants
- Fellow and Member of Greater China Advisory Panel, Institute of Chartered Accountants in England and Wales
- Fellow, Hong Kong Securities and Investment Institute
- Member, Hong Kong Academy of Finance
- Treasurer and Chair, Financial Service Committee, British Chamber of Commerce



Tony TANG Xiaodong

Non-Executive Director

From 24 April 2025

Current appointment to 23 April 2027

Other SFC-related positions

- Member: Budget Committee and Remuneration Committee
- Member, SFC (HKEC Listing) Appeals Committee

Current offices and public service

- Head of China and Chairman of Greater China, Citadel Securities
- Board member, Financial Services Development Council of Hong Kong
- Member, Dean's Council, University of Chicago Booth School of Business

Past offices

- Head of China, BlackRock (2019-2023)
- Chief Executive Officer of the international business, GF Securities (2018-2019)
- Chief Executive Officer and Chief Compliance Officer, China Asset Management Company (2014-2018)
- Senior Advisor of Strategic Planning Department, Deputy Director-General of Fund Supervision Department and Deputy Director-General of International Affairs Department, China Securities Regulatory Commission (2009-2014)

Our Board



Michael WONG Yick-kam SBS, MH, JP

Non-Executive Director

From 1 April 2021
Current appointment to 31 March 2027

Other SFC-related positions

- Deputy Chairman, Investment Committee
- Member, Remuneration Committee
- Member, SFC (HKEC Listing) Appeals Committee

Current offices and public service

- Member, Exchange Fund Advisory Committee, Hong Kong Monetary Authority
- Member, Estate Agents Authority
- Member, Land and Development Advisory Committee
- Member, Board of Trustees of New Asia College, The Chinese University of Hong Kong
- Member, Executive Committee, Hong Kong Youth Hostels Association

Past offices

- Non-Executive Director (2013-2015), Non-Executive Director and Group Principal Advisor (2009-2013), and Executive Director (1996-2009), Sun Hung Kai Properties Group



Dieter YIH Lai-tak JP

Non-Executive Director

From 15 November 2021
Current appointment to 14 November 2027

Other SFC-related positions

- Deputy Chairman, Remuneration Committee
- Member: Audit Committee and Budget Committee
- Member: SFC (HKEC Listing) Appeals Committee, Takeovers and Mergers Panel, and Takeovers Appeal Committee

Current offices and public service

- Partner, Kwok Yih & Chan, Solicitors
- Chairman, Quality Education Fund Steering Committee
- Non-Executive Director and Chairman of Risk Committee, eMPF Platform Company Limited
- Member, University Grants Committee

Our Board



Dr Eric YIP Chee-hang

Executive Director, Intermediaries

From 2 May 2024
Current appointment to 1 May 2027

Other SFC-related positions

- Member: Advisory Committee and SFC (HKEC Listing) Committee

Past offices

- General Manager and Head of China Division, The Bank of East Asia, Limited (2015-2024)
- Chief Executive Officer, Verdant Capital Group (2011-2015)
- Deputy Chief Operating Officer, Hong Kong Exchanges and Clearing Limited (2003-2011)

Notes: Except for the Chairman and CEO, other board members are listed in alphabetical order.

The Nominations Committee nominates members of the Takeovers and Mergers Panel, the Takeovers Appeal Committee and the Disciplinary Chair Committee. See pages 187–196 for information about SFC external committees.