



Personal Information Collection Statement of the Securities and Futures Commission (SFC) in respect of Use of Personal Data Collected in Complaints

1. Data Collection and Use

- (a) All information and Personal Data submitted in making a complaint to the SFC (whether in the SFC's prescribed complaint form or in any document(s) supplied or to be supplied or in any subsequent telephone conversations with the SFC) will be used, disclosed or transferred only for those purposes related to the complaint, for discharging the SFC's statutory functions, or where permitted or required by law. The provision of personal data is voluntary. If the information provided is inaccurate or incomplete, consideration of the complaint may be affected.
- (b) All information and Personal Data collected may be disclosed to relevant courts, panels, tribunals and committees, and/or other local and/or overseas regulatory / government / judicial bodies as permitted or required under the law, pursuant to any regulatory / supervisory / investigatory assistance arrangements between us and other regulators (local / overseas), or persons engaged by us to assist us in the performance of our statutory functions.

2. Access and Correction

Any complainant who wishes to request access to and/or correction of his/her Personal Data held by the SFC may do so in writing addressed to the Data Privacy Officer, Securities and Futures Commission, 54th Floor, One Island East, 18 Westlands Road, Quarry Bay, Hong Kong. All data access request should be made using the specified Data Access Request Form, which can be downloaded from the SFC website at www.sfc.hk.