



## SFC Regional Securities Regulatory Leadership Symposium

### 證監會亞太證券監管高峰論壇

28 February 2024

Grand Ballroom, Four Seasons Hotel, Hong Kong

- 1:30 pm Registration
- 2:00 pm **Welcoming remarks**  
Mr Tim Lui, GBS, JP, Chairman, Securities and Futures Commission, Hong Kong
- 2:05 pm **Keynote address (Pre-recorded)**  
Mr Paul Chan, GBM, GBS, MH, JP, Financial Secretary of the Hong Kong Special Administrative Region
- 2:15 pm **Keynote address (Pre-recorded)**  
Dr Fang Xinghai, Vice Chairman of China Securities Regulatory Commission
- 2:25 pm **Global and regional developments – challenges and opportunities for Asia’s capital markets**  
The panel will explore key developments in the global and regional macro-economic environments, such as interest rate trajectory, inflation, geopolitics and post-pandemic recovery. The impact of these developments on the capital markets across the world and in Asia will also be explored – what are the challenges and opportunities?  
Asian capital markets across jurisdictions have shown resilience amid global market volatility in recent years. How do we ensure that this will remain the case while continuing to develop markets?  
Panellists
- Ms Madhabi Puri Buch, Chairperson, Securities and Exchange Board of India
  - Mr Ugo Bassi, Director, Financial Markets, Directorate General for Financial Stability, Financial Services and Capital Markets Union, European Commission
  - Ms Harshika Patel, Chief Executive Officer, Asia Private Bank, and Chief Executive Officer, Hong Kong, J.P. Morgan
  - Mr Kevin Sneader, President, Asia Pacific Ex-Japan, Goldman Sachs
- Moderator
- Ms Julia Leung, SBS, JP, Chief Executive Officer, Securities and Futures Commission, Hong Kong



3:00 pm

### **Landscape for investment funds in Asia**

The global investment fund markets have grown significantly in the past decade, with the rise of passive fund and private markets. Against this backdrop, panellists will examine the current asset management and investment fund landscape in Asia-Pacific, and discuss the opportunities for investors and asset managers in this region. They will also share their insights into the emerging trends in Asia's fund markets such as alternative investment, private market products and ETFs. What are the risks and the opportunities?

#### Panellists

- Ms Verena Ross, Chair, European Securities and Markets Authority
- Mr Toshiyuki Miyoshi, Vice Commissioner for International Affairs, Financial Services Agency of Japan
- Mr Brian Dillard, Partner, Head of Asia Credit, KKR
- Ms Elisa Ng, Chairman, Hong Kong Investment Funds Association

#### Moderator

- Ms Ding Chen, MH, Chief Executive Officer, CSOP Asset Management Limited, Vice Chairman, Financial Services Development Council

3:35 pm

Break

3:45 pm

### **Role of regulation in advancing sustainable finance**

The panel will focus on how regulatory frameworks can promote sustainable finance, and the challenges (eg, pushbacks) that regulators face in doing so. It will address the question about where global regulators currently are in their regulatory agenda for advancing sustainability. The panel will also discuss the need for standardised metrics and reporting requirements, measures to avoid regulatory fragmentation, and the potential for regulatory frameworks to drive innovation in sustainable finance.

#### Panellists

- Mr Jean-Paul Servais, Chair, IOSCO Board, and Chairman, Financial Services and Markets Authority, Belgium
- Dr Awang Adek Hussin, Chairman, Securities Commission, Malaysia
- Mr Joseph Longo, Chair, Australian Securities and Investments Commission, Australia
- Ms Emily Woodland, APAC Head of Sustainable and Transition Solutions, BlackRock

#### Moderator

- Mr Eddie Yue, JP, Chief Executive, Hong Kong Monetary Authority



4:20 pm

### **Intersection of Fintech and AI in wealth management**

Panellists will examine how Fintech and artificial intelligence (AI) are transforming the wealth management industry, including the use of robo-advisors, automated portfolio management and personalised investment recommendations. The panel will also discuss the challenges to balance the benefits of AI with the need for human oversight, and the importance to maintain investors' trust. It will also look into the risk management measures and the form of human intervention required when harnessing the power of AI in wealth management.

#### **Panellists**

- Dr Pornanong Budsaratagoon, Secretary General, Securities and Exchange Commission, Thailand
- Mr Lim Tuang Lee, Assistant Managing Director, Head (Capital Markets Group), Monetary Authority of Singapore
- Mrs Amy Lo, Chairman, Executive Committee, Private Wealth Management Association
- Ms Catherine Zhou, Global Chief Information Officer, Wealth and Personal Banking, HSBC

#### **Moderator**

- Ms Bénédicte Nolens, Head of the BIS Innovation Hub Hong Kong Centre, Bank for International Settlements

4:55 pm

### **Closing remarks**

Ms Julia Leung, SBS, JP, Chief Executive Officer, Securities and Futures Commission, Hong Kong