

SFC Regtech and Fintech Contact Day 2016

Date: Monday, 7 November 2016
Time: 08:30– 16:45
Venue: Securities and Futures Commission, 35/F, Cheung Kong Center, Central, HK

08:30-09:00 **Registration**
(Please arrive punctually at 8.30 am as security measures may take up to 20 minutes to complete.)

09:00-09:15 **Opening Remarks**

- James Lau, Acting Secretary for Financial Services and the Treasury

09:15-09:30 **Welcoming Remarks**

- Ashley Alder, CEO, Securities and Futures Commission

09:30-10:00 **Keynote Speech**

- Professor Douglas Arner, Faculty of Law, University of Hong Kong

10:00-10:30 **Regtech – AG Delta**

- Andrew Au, CEO, AGDelta Pte Ltd.

10:30-11:00 **Regtech – KYC Chain**

- Edmund Lowell, Founder & CEO, KYC Chain

11:00-11:15 **Coffee Break**

11:15-11:45 **Regtech – KYC Repository**

- Michelle Kam, Head of Regional Design for Risk, Thomson Reuters

11:45-12:15 **Regtech – HK Government Research & Development on Regtech and Fintech**

- Duncan Wong, Vice President, Financial Technologies, Applied Science and Technology Research Institute (ASTRI)

Endorser:

12:15-13:30 **Lunch (Sandwich)**
Sponsored by InvestHK

13:30-14:00 **Fintech – Quantifeed**

- Alex Ypsilanti, CEO & Co-Founder Quantifeed Ltd.

14:00-14:30 **Fintech – BondIT**

- Donald Chan, Director, Asia Pacific, BondIT Ltd.

14:30-15:30 **Panel Discussion –**

The intersection of regulatory and financial technologies with regulations

Moderator:

- Mark Austen, CEO, Asia Securities Industry and Financial Markets Association

Panel discussants:

- Nelson Chow, Chief Fintech Officer, Hong Kong Monetary Authority
- Lukas Petrikas, Senior Vice President of Group Strategy, Hong Kong Exchanges and Clearing Limited
- Sandra Liu, Senior Legal Counsel, Privacy Commissioner for Personal Data
- Bénédicte N. Nolens, Senior Director and Head of Risk & Strategy, Securities and Futures Commission

15:30-15:45 **Coffee Break**

15:45-16:15 **Fintech – Amareos**

- Philippe El-Asmar, Founding Partner, CEO, Amareos Ltd.

16:15-16:45 **Fintech – Veridate**

- Peter Hatz, Director, Marketing and Client Relations, Veridate Financial Ltd.

For enquiries about attendance:

- Members of ASIFMA, please contact gfung@asifma.org.
- Members of the IFA, please contact julia.chiu@hkifa.org.hk.
- Non-members, please contact InvestHK at fintech@investhk.gov.hk or the SFC Fintech Contact Point at fintech@sfc.hk.