

Stakeholders

We engage with stakeholders to help them understand our work and provide them with up-to-date regulatory information.

In October, we hosted the SFC Compliance Forum as a one-day virtual event for industry participants where we discussed the risks and opportunities facing the securities industry and capital markets, the management of liquidity, prudential and market risks and the latest developments in sustainable finance as well as private wealth management and family offices.

At November's virtual Hong Kong FinTech Week, which we co-organised, our senior management spoke about our regulatory approach to virtual assets and elaborated on the Government's legislative proposal to regulate all centralised virtual asset exchanges. Also in November, our Chief Executive Officer Mr Ashley Alder delivered a keynote speech and participated in a panel discussion at the Hong Kong Green Finance Association Annual Forum.

We organised three virtual workshops in November and December to introduce the new electronic licensing functions on our online submission platform, WINGS¹. The webinars were attended by over 1,000 participants from licensed corporations, banks, compliance advisory firms and law firms.

During the quarter, we supported four industry events and our senior executives participated in 32 local and international webinars and virtual meetings. We also held meetings with industry associations to understand their views on regulatory issues. In December, we gave a presentation at a Hong Kong Institute of Certified Public Accountants webinar on regulatory issues including the implications for family offices of our licensing regime.

In December, we released an issue of the Takeovers Bulletin which highlighted the need to conduct sufficient due diligence to identify all the regulatory approvals necessary for offers to be completed.

We also issued 21 circulars providing guidance on a variety of matters including SFC-authorized real estate investment trusts, over-the-counter derivatives reporting, and the findings of our inspections of firms' anti-money laundering and counter-financing of terrorism measures.

In October, we introduced a redesigned corporate website which provides an improved user experience in terms of navigation, functionality and information search.

Publications and other communication

	Quarter ended 31.12.2020	Nine months ended 31.12.2020	Nine months ended 31.12.2019	YoY change (%)
Press releases	35	99	97	2.1
Policy statements and announcements	1	8	6	33.3
Consultation papers	4	7	3	133.3
Consultation conclusions	2	6	4	50
Industry-related publications	1	8	10	-20
Codes and guidelines ^a	2	6	5	20
Circulars to industry	21	53	54	-1.9
Corporate website average daily page views ^b	28,028	36,298	46,635	-22.2
General enquiries	1,745	5,334	4,753	12.2

a Includes updates to previous versions.

b The average number of webpages browsed per day during the reporting period.

¹ See Intermediaries on pages 6-7.