

NOTICE OF APPROVAL TO PROVIDE SECURITIES REGISTRAR SERVICES

Pursuant to section 101AAG(6) of the Securities and Futures Ordinance (Cap 571, **SFO**), the Securities and Futures Commission hereby approves **HONGKONG MANAGERS AND SECRETARIES LIMITED** to provide securities registrar services subject to the following conditions:

- (a) Hongkong Managers and Secretaries Limited **may only** provide the following securities registrar services:
- maintenance in Hong Kong of registers of holders of prescribed securities;
 - services in connection with corporate actions in respect of prescribed securities, as described in rule 3(1)(b) of the Securities and Futures (Approved Securities Registrars) Rules (Cap 571AT, **ASR Rules**);
- (b) Hongkong Managers and Secretaries Limited **must not** provide the following securities registrar services:
- provision and operation of an uncertificated securities registration and transfer (UNSRT) system in respect of any prescribed securities; and
 - services in connection with the public offer of prescribed securities, as described in rule 3(1)(a) of the ASR Rules; and
- (c) unless the Commission permits in writing otherwise, Hongkong Managers and Secretaries Limited **may only** provide securities registrar services in respect of the following prescribed securities:
- prescribed securities for which Hongkong Managers and Secretaries Limited was acting as the securities registrar on 30 September 2026 (**existing securities**); and



- any other prescribed securities issued by a corporation issuing the existing securities.

This approval comes into effect on the day on which Part IIIAA of the SFO comes into effect.

Dated: 12 October 2026