

NOTICE OF APPROVAL TO PROVIDE SECURITIES REGISTRAR SERVICES

Pursuant to section 101AAG(6) of the Securities and Futures Ordinance (Cap 571, **SFO**), the Securities and Futures Commission hereby approves **UNION REGISTRARS LIMITED** to provide securities registrar services subject to the following conditions:

- (a) Union Registrars Limited **may only** provide the following securities registrar services:
- maintenance in Hong Kong of registers of holders of prescribed securities;
 - services in connection with the public offer of prescribed securities, as described in rule 3(1)(a) of the Securities and Futures (Approved Securities Registrars) Rules (Cap 571AT, **ASR Rules**); and
 - services in connection with corporate actions in respect of prescribed securities, as described in rule 3(1)(b) of the ASR Rules.
- (b) Union Registrars Limited **must not** provide the following securities registrar services:
- provision and operation of an uncertificated securities registration and transfer (UNSRT) system in respect of any prescribed securities.

This approval comes into effect on the day on which Part IIIAA of the SFO comes into effect.

Dated: 12 October 2026