![Securities and Futures Commission logo]

6 October 2020

To all licensed corporations

Dear Sirs,

iAM Smart Pilot Sandbox Programme (Programme)

On 6 April 2020, the SFC distributed a notification (refer to the Appendix) to all licensed corporations on behalf of Office of the Government Chief Information Officer (OGCIO) regarding the launch of Phase 1 of the Programme by Cyberport.

iAM Smart is a digital infrastructure developed by the HKSAR Government that would enable Hong Kong residents to use a single unique electronic identity for transacting online with the Government and commercial entities. Registration for iAM Smart will be free of charge and on a voluntary basis. iAM Smart is scheduled to be launched in the fourth quarter of 2020 initially with over 20 Government online services. To facilitate financial institutions in conducting mock-up tests on various functions of iAM Smart, Cyberport has partnered with the HKSAR Government to launch the Programme in March 2020. A brief introduction of iAM Smart and the Programme can be found at Cyberport's YouTube Channel https://www.youtube.com/watch?v=9z5khJz6Zmw with both Chinese and English subtitles.

Phase 2 of the Programme is to be rolled out for financial institutions intending to adopt iAM Smart for production use. It provides a new Integration Testing Environment (ITE) mimicking the prospective production environment of iAM Smart and enabling users to conduct more in-depth testing of their online services through interfacing with the iAM Smart APIs directly.

Licensed corporations which have already registered for their user account(s) in Phase 1 and are interested to participate in Phase 2 of the Programme may download an application form from the website of the Programme and complete the application according to the instructions therein. After vetting by Cyberport and OGCIO, licensed corporations will be provided with login credentials to access the ITE and start their testing. For details, please refer to the website of the Programme.

For licensed corporations which have not yet registered for their testing account(s) in Phase 1 but are interested to participate in Phase 2 of the Programme, please nominate a Responsible Officer of your firm as contact person and provide the following information by email to the SFC mailbox iAM_Smart_Programme@sfc.hk for registration.

(i)   Name of Licensed Corporation (LC)
(ii)   CE number of LC
(iii)   Name of Responsible Officer (contact person)
(iv)   CE number of contact person
(v)   Company phone number of contact person
(vi)   Company email address of contact person

Upon successful registration, Cyberport will send a confirmation email to the contact person with a hyperlink for him/her to activate his/her user account. Two user accounts will be provided to each participating organization.

Should you have any question related to the Programme, please contact Cyberport directly at iamsmart@cyberport.hk or 3166 3978.

Best regards,

Intermediaries Supervision, Intermediaries
Securities and Futures Commission

Enclosure

6 April 2020

To all licensed corporations

Dear Sirs,

iAM Smart Pilot Sandbox Programme (Programme)

iAM Smart is one of the Government's newest innovation initiatives to facilitate Hong Kong residents transacting online with the Government and commercial entities by enabling them to use a single unique electronic identity. The usage of iAM Smart includes form filling and digital signing. Hong Kong residents can register and use iAM Smart free of charge and on a voluntary basis. This new initiative is scheduled to be launched in the fourth quarter of this year. Upon its launch, over 20 online Government services will be supporting iAM Smart.

To enable commercial organisations to get better prepared for the adoption of iAM Smart in the future, Cyberport partners with the Government of the Hong Kong Special Administrative Region of the People's Republic of China to initiate the Programme to facilitate interested organisations of the financial sector to conduct mock-up tests on various functions of the iAM Smart through its Application Programming Interfaces (APIs) provided by the Office of the Government Chief Information Officer (OGCIO). Cyberport will work with OGCIO to provide technical assistance on the APIs. Participants may offer their comments and suggestions on iAM Smart through the Programme.

The Programme is to be launched soon till end of this year tentatively. You are cordially invited to participate in the Programme organised by Cyberport.

You can find a brief introduction of the iAM Smart and the Programme at Cyberport's YouTube Channel https://www.youtube.com/watch?v=9z5khJz6Zmw with both Chinese and English subtitles.

Enrolment

Should you be interested to participate in the Programme, please nominate a Responsible Officer of your firm as contact person and provide the following information by email to the SFC mailbox iAM_Smart_Programme@sfc.hk for registration.

Name of Licensed Corporation (LC)	CE number of LC	Name of Responsible Officer (contact person)	CE number of contact person	Company phone number of contact person	Company email address of contact person

香港皇后大道中二號長江集團中心三十五樓

Upon successful registration, Cyberport will send a confirmation email to the contact person with a hyperlink for him/her to activate his/her user account. Two user accounts will be provided to each participating organisation.

Should you have any question related to the Programme, please feel free to contact the Project Manager of Cyberport, Mr Kevin Chan at 3166 3850 or email to kevinchan@cyberport.hk.

Best regards,

Intermediaries Supervision, Intermediaries
Securities and Futures Commission