

Note: This Consent Form is designed to expedite the application process for a temporary licence to become a representative (not being a responsible officer). This is not a form specified under section 402 of the Securities and Futures Ordinance. Completion of this Consent Form is optional and at the discretion of an applicant.

If an applicant opts not to complete and return this Consent Form to the SFC, the standard procedures pursuant to section 140 of the Securities and Futures Ordinance concerning imposition and revocation of licensing conditions will apply.

Consent to the Imposition and Revocation of Specific Licensing Condition (Ref: TLR)

Date: _____

To: Licensing, Intermediaries
Securities and Futures Commission
54/F, One Island East
18 Westlands Road
Quarry Bay
Hong Kong

I, _____, refer to my application for a temporary licence as a representative enclosed herewith.

To expedite the application process, I hereby agree to the arrangement that the SFC, having considered this application and all relevant circumstances, may impose on my temporary licence under the Securities and Futures Ordinance the following condition in light that I will only need the licence during the period specified in the condition:

This temporary licence issued under section 121 of the Securities and Futures Ordinance shall have effect starting from _____. It shall lapse and cease to have effect after _____.

In relation to the imposition and revocation of the above licensing condition on my licence where the SFC considers appropriate, I hereby consent to the arrangement of dispensing with the procedural requirements provided under section 140 of the Securities and Futures Ordinance.

I further acknowledge that after due consideration of the application, the SFC may or may not impose the above condition on my licence. In addition, in case that the SFC considers it appropriate to impose any other conditions on my licence, the standard procedural requirements whereby I will be given the opportunity of being heard will be followed.

Signature of applicant:

(HKID/Passport no. _____)

* Please fill in the relevant dates.