

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2020**

CE Name	CE No.	License Type	Types of Regulated Activity
8F Investment Partners HK Limited	BPM444	Licensed Corporation	4, 9
Alioth Capital Limited	BPO595	Licensed Corporation	4, 9
Amoeba Capital Advisors Limited	BPY299	Licensed Corporation	9
Celadon Partners (Asia Pacific) Limited	BPN457	Licensed Corporation	1, 4, 9
Coast International Asset Management Limited	BPN452	Licensed Corporation	4, 9
EM Asset Management Limited	BPL906	Licensed Corporation	4, 9
Kaison Asset Management (Hong Kong) Limited	BOW580	Licensed Corporation	4, 9
L.V. Continuum & Co Limited	BPF355	Licensed Corporation	9
Macquarie Asia Securities Limited	AAC523	Licensed Corporation	1, 4
PEG Capital Limited	BPN438	Licensed Corporation	9
Plotio Securities Limited	BPQ161	Licensed Corporation	1
R Squared Limited	BPQ155	Licensed Corporation	9
Renascence Capital Limited	BPN576	Licensed Corporation	4, 9
SPIC Asset Management (Hong Kong) Company Limited	BPQ758	Licensed Corporation	9
Xinhai (Hong Kong) International Investment Management Co., Limited	BPW734	Licensed Corporation	4, 9
AGARWAL Sambhav	BPX096	Licensed Representative	1, 4, 6
AMIDJAJA Jelita Ilea	BQA996	Licensed Representative	1, 4
AN Jun Hwuy	BPY732	Licensed Representative	1, 6
AU Chung Nin	BPZ917	Licensed Representative	4
BAI Jiafeng	BPX388	Licensed Representative	1
BANSAL Manvi Subhash	BQA851	Licensed Representative	1, 2
BAO Han	BPU967	Licensed Representative	4, 9
BARBARA Romain	BPW962	Licensed Representative	1, 2
BASLER Matthew Howard	BPY590	Licensed Representative	1, 4, 6
BAUDEWYN Liesbeth Ann	BPW910	Licensed Representative	9
BI Chong	BPY004	Licensed Representative	2
BIAN Xiaobo	BQB891	Licensed Representative	1, 4, 6
CAI Debin	BQA565	Licensed Representative	1
CAI Feng	AOM736	Licensed Representative	1, 2
CAI Xinhao	BPY717	Licensed Representative	1, 2
CAO Jiayi	BAJ036	Licensed Representative	9
CHAI Jingjing	BPW508	Licensed Representative	1, 2, 4, 5, 9
CHAI Shengqi	BPW682	Licensed Representative	1, 2
CHAM Alvin Ho-Cheun	BOA745	Licensed Representative	1, 2, 7
CHAN Chloe	BPY111	Licensed Representative	1, 2
CHAN Christopher Anthony	BQB040	Licensed Representative	6
CHAN Hoi Yin	BQC457	Licensed Representative	1
CHAN Kwok Ho	BPY953	Licensed Representative	1
CHAN Shu Pui	AVA786	Licensed Representative	1, 2
CHAN Shun Yin	BPY166	Licensed Representative	1, 4
CHAN Siu Chung	BQB572	Licensed Representative	1, 2
CHAN Tsz Ching	BPW846	Licensed Representative	1, 4
CHAN Wai Yu	AXV582	Licensed Representative	1
CHAN Wing Him	BQB035	Licensed Representative	1, 2
CHAN Yan Fu	BPY106	Licensed Representative	1
CHAN Yat Leung	BPW720	Licensed Representative	1
CHANG Chiao-chin	BAC317	Licensed Representative	1
CHAU Catherine	BPX997	Licensed Representative	1, 2

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2020**

CE Name	CE No.	License Type	Types of Regulated Activity
CHAU Kwok Tong	BPX143	Licensed Representative	1, 6
CHAU Wai Hing	AOU403	Licensed Representative	1
CHEN Andy Gengyu	BPV045	Licensed Representative	1, 2
CHEN Baiyi	BPX269	Licensed Representative	1, 4
CHEN Chu-Fu	BPY406	Licensed Representative	1, 4
CHEN Huilun	BPY816	Licensed Representative	1, 2, 4
CHEN Hung Yin	AOT755	Licensed Representative	1, 4
CHEN Jiayi	BPY324	Licensed Representative	1, 2
CHEN Keni	BPX694	Licensed Representative	1, 4, 6
CHEN Po Hsiu	BPX519	Licensed Representative	1
CHEN Qixin	BQB439	Licensed Representative	1, 4, 6
CHEN Wei	BPY744	Licensed Representative	1, 6
CHEN Xi	APW610	Licensed Representative	1
CHEN Yi Ru	ALP979	Licensed Representative	1, 4, 9
CHEN Yi-Hua	BPS478	Licensed Representative	9
CHEN Yilin	BPX120	Licensed Representative	1, 4, 6
CHEN Yu Lung	BPT486	Licensed Representative	9
CHEN Yufeng	BPX276	Licensed Representative	4
CHENG Ka Kit, Charles	BOF391	Licensed Representative	4
CHENG Nga Sze	BPW904	Licensed Representative	1
CHENG Sze Man	BMX684	Licensed Representative	1, 2, 4
CHENG Yu Wai	BPY619	Licensed Representative	4
CHEUNG Ka Fung	BPY355	Licensed Representative	1, 2
CHEUNG Ki Hong, Wilson	BJY981	Licensed Representative	1
CHEUNG Man Yan Sindy	BPY369	Licensed Representative	1, 4
CHEUNG Pui Ying	BPY613	Licensed Representative	1
CHEUNG Shun Chi	BPZ927	Licensed Representative	1, 4
CHEUNG Tsz Fong	BPY092	Licensed Representative	1, 4
CHEUNG Wing Sum	BPZ911	Licensed Representative	1
CHEUNG Yin Hing	BPG264	Licensed Representative	1, 4
CHIANG Man Hin	BPY148	Licensed Representative	10
CHIANG Ya Ling	BPY237	Licensed Representative	1, 2
CHIANG Yan Yi	BQA984	Licensed Representative	1, 2
CHIN Lip Ting	BPW335	Licensed Representative	4
CHIU Pang Fei Patrick	BPY286	Licensed Representative	4, 9
CHO Kyu Sang	BPY441	Licensed Representative	1, 4, 6
CHOI Hong Jit Christopher	BQB509	Licensed Representative	1, 2
CHOI Joosung	BPY837	Licensed Representative	1, 4
CHONG Ka Chik	BPX612	Licensed Representative	1, 4
CHOU Stephanie	BPY753	Licensed Representative	1, 2, 4
CHOW Jacqueline Pearl Yun-yin	BBW837	Licensed Representative	1, 6
CHOW Kwok Hong	BPX897	Licensed Representative	1, 4
CHOW On So	AEU756	Licensed Representative	1
CHOW Yuen Yun	BQC280	Licensed Representative	1
CHU Hoi Yuen	BPX685	Licensed Representative	1, 4
CHU Wing Sze	BPX107	Licensed Representative	9
CHU Yiwei	BPW311	Licensed Representative	1, 4, 6
CHUANG Wing Keung	BFX697	Licensed Representative	1

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2020**

CE Name	CE No.	License Type	Types of Regulated Activity
CHUGH Shaan	BPX024	Licensed Representative	9
CHUNG Hang Yi	BPY502	Licensed Representative	1
CHUNG Li Peng	BPX712	Licensed Representative	1, 4
CHUNG Wai Chung	BQA841	Licensed Representative	1
CIARDI Francesco	BPV396	Licensed Representative	4, 5, 9
COBEN Craig Bradley	BPV438	Licensed Representative	1, 4, 6
CUNGWANARA James	BPV765	Licensed Representative	4, 9
DATTENBERG-DOYLE Finn Walter Patrick	BPW367	Licensed Representative	1, 2, 7
DING Hao	BPG698	Licensed Representative	9
DING Lin	ANH057	Licensed Representative	1, 6
DUAN Yingxin	BPM939	Licensed Representative	1, 4, 6
DUAN Yining	BPY805	Licensed Representative	1, 6
ELIASSON Carl Rickard	BMB929	Licensed Representative	1
FAIRFULL Angus Ewan Spowart	BPY726	Licensed Representative	1, 4
FAN Chih Jen	BQA568	Licensed Representative	1, 2
FAN Hao	BPY833	Licensed Representative	1
FAN Hiu Ying Joyce	BPW847	Licensed Representative	1, 2, 4, 9
FAN Jiachen	BHP648	Licensed Representative	4, 9
FAN Jiahuan	BQB021	Licensed Representative	1, 2
FAN Tony	BPX115	Licensed Representative	1, 4, 6
FAN Yun-Chieh	BNO886	Licensed Representative	1, 4, 9
FANG Chen	BPW297	Licensed Representative	1, 4, 6
FENG Xuan	BQA790	Licensed Representative	1, 4, 6
FIORI Filippo	BQA569	Licensed Representative	1, 2
FOK CHI MING	BPW474	Licensed Representative	9
FONG Ying Ching	AXO784	Licensed Representative	1
FUH Chi-wei	BPY698	Licensed Representative	1, 2
FUNG Chi Kuen	BPY718	Licensed Representative	1, 2, 4
FUNG Chung Yan	BPY061	Licensed Representative	1
FUNG Ka Yin	BJM219	Licensed Representative	1
GAO Tianyu	BDQ588	Licensed Representative	4, 9
GARG Prateet	BQB300	Licensed Representative	9
GARY Carolyn Ann	AAV200	Licensed Representative	4, 9
GOPALAKRISHNAN Prakash	BPW944	Licensed Representative	9
GOTSKAYA Tatiana	BPY745	Licensed Representative	1, 4
GOYAL Arjun	BPY023	Licensed Representative	1, 6
GU Heng	BPW537	Licensed Representative	4, 9
GU Jingcheng	BPY537	Licensed Representative	1, 4, 6
GUAN XIAOJI	BPX299	Licensed Representative	1, 4, 6
GUENANCIA Paul Pierre Josias	BPY277	Licensed Representative	1, 2
GUO Yu	BQB827	Licensed Representative	1
HAN Sixu	BNU117	Licensed Representative	4
HAN Wei	BPY364	Licensed Representative	1, 6
HE Lu	BQB821	Licensed Representative	1, 4
HIVAREKAR Abhijeet	AZD532	Licensed Representative	1, 4, 9
HO Cheong Yuen	BFE789	Licensed Representative	1, 2
HO Chit Ting	BIP998	Licensed Representative	1, 4
HO Kin Seng	BHM451	Licensed Representative	1

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2020**

CE Name	CE No.	License Type	Types of Regulated Activity
HOGSETH THOM Elisabeth Anne	BPV474	Licensed Representative	9
HO-LEE Pui Sze, Doris	AXR403	Licensed Representative	1, 4, 9
HONG Youn Joung	ARD649	Licensed Representative	1
HOU Jian	BQA843	Licensed Representative	1, 4
HOU XUENING	BPX766	Licensed Representative	1, 9
HU Jian	BPX762	Licensed Representative	4
HU Jing	BDJ941	Licensed Representative	1, 4
HU Saisai	BAB450	Licensed Representative	1, 4, 9
HU Xinlin	BQC141	Licensed Representative	1
HU Yang	BPW802	Licensed Representative	1, 4, 6
HUANG Bin	BPY554	Licensed Representative	4
HUANG Bohan	BPW678	Licensed Representative	1, 2
HUANG Ching-Heng	BPX875	Licensed Representative	1, 4, 9
HUANG Lingwen	BPW525	Licensed Representative	1, 2, 4, 5
HUANG Yongqi	BBJ359	Licensed Representative	1
HUANG Yuqing	BGU197	Licensed Representative	1, 4, 9
HUH Taemin	BPY754	Licensed Representative	1, 6
HUI Chun Wai, Henry	AGI600	Licensed Representative	9
HUI Pui Yee	BPX391	Licensed Representative	1
HUMPHREY Michael Robert	BQC363	Licensed Representative	1, 4
HUNG Chun Kit	BLM883	Licensed Representative	1, 4
IM Wai Ieng	BQA885	Licensed Representative	1
JACOB Patrick Harry	BPW830	Licensed Representative	1, 2
JI Jiechao	BQB230	Licensed Representative	9
JI Peng	BQC259	Licensed Representative	1, 4, 6
JI Xianming	BPY832	Licensed Representative	1, 6
JIA Shujun	BQA892	Licensed Representative	1, 4
JIANG Yuyin	BPX408	Licensed Representative	1
JIANG Zhiyuan	BQA888	Licensed Representative	1, 4
JIE Yile	BME569	Licensed Representative	1, 4
JIN Shiyu	BPX123	Licensed Representative	4, 9
JIN Suhui	BPW937	Licensed Representative	6
JIN Yan	BPY807	Licensed Representative	1, 6
JIO Rina Lookman	BPX089	Licensed Representative	1, 4
JOHNSON Kendall Murfree	AXH202	Licensed Representative	9
JUNG Jae Young	BEB755	Licensed Representative	1, 2
KAI Mingda	BPK278	Licensed Representative	9
KAN Man Ho Ivan	BPY616	Licensed Representative	9
KIM Haena	BPY202	Licensed Representative	1, 4, 9
KIM Han Soo	BPX119	Licensed Representative	1, 4, 6
KIM Mu Seong	BPY360	Licensed Representative	1, 6
KIM Soo Jung	BQC353	Licensed Representative	10
KOBAYASHI Kaya	BOZ241	Licensed Representative	1, 4
KREUTZBERGER Emmanuel Guillaume	BPV861	Licensed Representative	1, 2
KWAN Chi Sum	BPY114	Licensed Representative	1, 2
KWAN Chung Ting	BPY822	Licensed Representative	1, 4, 6
KWOK Wai Ling	BPX593	Licensed Representative	1, 4, 9
KWONG Chi Yun Anita	AHN065	Licensed Representative	1, 4

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2020**

CE Name	CE No.	License Type	Types of Regulated Activity
LAI Chi Hang	BPY345	Licensed Representative	1, 2
LAM Cheuk Yiu	BPX840	Licensed Representative	1, 4
LAM Ching Ho	BPY514	Licensed Representative	9
LAM CHUI	BQB731	Licensed Representative	1
LAM Chung Hong	BDP516	Licensed Representative	1
LAM Hiu Man Vivian	BQB404	Licensed Representative	1, 4
LAM Ping Ping	BPY067	Licensed Representative	1, 4
LAM Wai Chun	ASM382	Licensed Representative	3
LAM Wing Yin	BPW889	Licensed Representative	9
LAU Chung Kwan	ABI071	Licensed Representative	1, 2
LAU Kim Ching	BPX591	Licensed Representative	9
LAU Kim Man Penter	BPX937	Licensed Representative	1, 4
LAU Pui Shan	BPY752	Licensed Representative	1
LAU Wing Ho	BPY632	Licensed Representative	1, 2
LEE Adam	BPW994	Licensed Representative	1, 4, 9
LEE Chung Yee	BPY470	Licensed Representative	1
LEE Hok Yat	BGI451	Licensed Representative	1, 2
LEE Hon Sang	BPW540	Licensed Representative	1, 4, 9
LEE Ji Woo	BPY439	Licensed Representative	1, 4
LEE Kwok Wan	AZL662	Licensed Representative	1, 4, 9
LEE Man Ho	BQB104	Licensed Representative	1
LEE Minjae	BPY351	Licensed Representative	1
LEE Nok Hin	BQA773	Licensed Representative	1
LEI Anran	BON535	Licensed Representative	1, 4
LEI Ming	BPW417	Licensed Representative	4
LENG Wenhao	BPY815	Licensed Representative	1, 6
LEUNG Hei Man	BPX142	Licensed Representative	1, 4, 6
LEUNG Hiu Lam Kristie	BPY363	Licensed Representative	1
LEUNG Hiu Nam	BPY445	Licensed Representative	1, 4, 9
LEUNG Hoi Yi	BPY835	Licensed Representative	1, 4
LEUNG Lai Kuen	BPY639	Licensed Representative	1
LEUNG Lo Ming	AOD258	Licensed Representative	1, 4
LEUNG Man Ho, Derrick	BPY528	Licensed Representative	1
LEUNG Nga Wing	BOU493	Licensed Representative	1
LEUNG Shu Tak	AWM894	Licensed Representative	1
LEUNG Tong Fai	BPX590	Licensed Representative	1
LEUNG Wai Nga	AFX924	Licensed Representative	1, 4
LEUNG Wai Ying Yvonne	BPY173	Licensed Representative	1, 2, 3
LEUNG Yik Man	BPY394	Licensed Representative	1, 4, 9
LI Feng	BPX900	Licensed Representative	4
LI Hanqing	BQA891	Licensed Representative	4
LI Haopeng	BJJ555	Licensed Representative	1, 4, 9
LI Hua	BPZ910	Licensed Representative	1, 2, 4
LI Jing	BQA724	Licensed Representative	1
LI Jingjun	BPX558	Licensed Representative	1, 4, 6
LI Ka Yan	BPY298	Licensed Representative	1, 4
LI Longfei	BPX648	Licensed Representative	1, 4, 6
LI Mavis Qiwen	AMF022	Licensed Representative	1, 2, 7

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2020**

CE Name	CE No.	License Type	Types of Regulated Activity
LI Nga Chi	BQB166	Licensed Representative	4, 9
LI Quanwei	AXP766	Licensed Representative	4, 9
LI Sheng	BPX573	Licensed Representative	1, 2
LI Shuman	BPY115	Licensed Representative	1, 2
LI Siqi	BPU364	Licensed Representative	1, 4, 6
LI Sze Wan	BPY447	Licensed Representative	1, 6
LI Tsz Fai	BPY498	Licensed Representative	1
LI Wanqing	BPY097	Licensed Representative	1, 4
LI Xiao Hong	BPU177	Licensed Representative	4
LI Xiaoyuan	BPW686	Licensed Representative	1
LI Xiuzhu	BPX605	Licensed Representative	1, 6
LI Yanqi	BPY329	Licensed Representative	1, 7
LI Zhiwei	BPZ958	Licensed Representative	1, 4, 5, 9
LI Zhongjie	BPY638	Licensed Representative	1, 4, 6
LI Zichong	BPY802	Licensed Representative	1, 4, 6
LIANG Liqi	BPX249	Licensed Representative	1, 2, 4
LIANG Lulu	BPX022	Licensed Representative	1, 4, 6
LIANG Zhiyuan	BPX125	Licensed Representative	1, 2, 4, 5
LIAUW Giok Chang	BPX489	Licensed Representative	1, 4
LIN Tung I	BPL803	Licensed Representative	4, 9
LIN Xiaoming	BPY421	Licensed Representative	4
LIN Yi-Hui	BPX476	Licensed Representative	1, 4
LIU Chang	BPX390	Licensed Representative	1
LIU Chih Chun	BPY008	Licensed Representative	1, 4
LIU Fan	BPW602	Licensed Representative	1, 6
LIU Jingjing	BPY361	Licensed Representative	4, 9
LIU Peng	BPU770	Licensed Representative	4, 9
LIU ShaoJie	BPX931	Licensed Representative	4, 9
LIU Shuangrui	BQB582	Licensed Representative	1, 2, 7
LIU Tsan Ching	BAH631	Licensed Representative	9
LIU Xiaoyue	BPY226	Licensed Representative	1, 2, 4
LIU Xin	BPY628	Licensed Representative	1, 4, 6
LIU Yanming	BPY788	Licensed Representative	1, 4
LIU Yicheng	BPX400	Licensed Representative	1, 4, 6
LIU Zhuo	BPV949	Licensed Representative	1, 4, 9
LIU Zixi	BPW680	Licensed Representative	1, 4, 9
LOW Hwee Ngee Dawn	BPW833	Licensed Representative	1, 2
LU Depei	BPY734	Licensed Representative	1, 6
LU Hanzhe	BPY478	Licensed Representative	1
LU Liwei	BPX658	Licensed Representative	1
LU Xi	BPW675	Licensed Representative	4
LU Yue	BPY460	Licensed Representative	1, 6
LUI Mang Sze, Marcella	AET173	Licensed Representative	9
LUO Jingyu	BPX012	Licensed Representative	1
LUO Ruohang	BQC579	Licensed Representative	1, 4
LUO Tianbao	BQB242	Licensed Representative	1, 4
LYU Ruijing	BPY550	Licensed Representative	4
MA Bofei	BPX341	Licensed Representative	1, 4, 6

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2020**

CE Name	CE No.	License Type	Types of Regulated Activity
MA Ka Shing	BPX687	Licensed Representative	1, 4
MA Ling	BPY342	Licensed Representative	4
MA Victor Yanqi	BPR485	Licensed Representative	1, 2, 4, 5
MA Zhiyuan	BPW610	Licensed Representative	1, 6
MAK Hiu Yan	BPY320	Licensed Representative	1, 2
MAN Chi Hung	BPX645	Licensed Representative	1
MAO Cui	BPW621	Licensed Representative	1
MEHTA Anmol Gaurav	BPY886	Licensed Representative	2, 5
MILLOT Matthieu	BGE006	Licensed Representative	1
MO Li	BEX250	Licensed Representative	1, 4
MO Shuhua	BPX981	Licensed Representative	9
MOK Yik Kwan	BQB179	Licensed Representative	4, 9
MOON Jae Wook	AOU410	Licensed Representative	1, 2, 4
MORI Mike Kohzo	BPX121	Licensed Representative	1, 4, 6
MU Danying	BPW423	Licensed Representative	1, 6
MU Yu	BPY839	Licensed Representative	1, 6
MURSHED Izaan Mohammad	BPY376	Licensed Representative	1
NAGAR Varun	BPX563	Licensed Representative	2, 5
NG Chek Siang	BCI060	Licensed Representative	1, 4
NG Joe Him Thomas	BQA890	Licensed Representative	1, 4
NG Tsang Chak	BPX615	Licensed Representative	1, 2
NG Wing Sing, James	ADW711	Licensed Representative	1
NG Wing Yip	APW472	Licensed Representative	1, 2
NGAI Man Fai	AEE761	Licensed Representative	4, 9
NI Yibo	BQB281	Licensed Representative	4
NIE Hon Ming, Alvin	AED308	Licensed Representative	1, 4
NIE Xin	BPX773	Licensed Representative	1, 4, 9
NOBLE Lance	BPX521	Licensed Representative	4
OH Sun Kyung	BPU791	Licensed Representative	1, 2
OMOLEKULO Rolake Banke	BPX308	Licensed Representative	1
ONG Tiang Lock	AIR281	Licensed Representative	1
OU Shuting	BPY803	Licensed Representative	1, 6
PADMANABHAN VIJAYBALAJI	BPT170	Licensed Representative	4, 9
PAK On Ki	BPS869	Licensed Representative	1, 6
PARK Jihyun	BPX564	Licensed Representative	1, 2, 4, 5
PEI Zheng	BPY511	Licensed Representative	4, 9
PENG Qianqian	BPV767	Licensed Representative	1, 4
PINCON Benoit	BPX520	Licensed Representative	1, 4
POON Ching Ho	BPY432	Licensed Representative	1, 4
POON Wing Chuen, Tony	ACJ392	Licensed Representative	9
PRADEEP Deepika	BPY501	Licensed Representative	1, 6
PUTATUNDA Rajarshi	ARO118	Licensed Representative	4
QI Defu	BPW938	Licensed Representative	1
QIAN Catherine Ruyun	BPX566	Licensed Representative	1, 4, 9
QIN Jinfeng	BQA723	Licensed Representative	1, 4
QIU Minhui	BQB550	Licensed Representative	4, 9
RUAN Fei	BPW156	Licensed Representative	1, 2, 4, 5, 9
SAVINO Ronald Joseph	ANY191	Licensed Representative	9

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2020**

CE Name	CE No.	License Type	Types of Regulated Activity
SHAO Sze Man	BPY397	Licensed Representative	1, 2
SHEK Ho Man Hubert	BPU784	Licensed Representative	1, 4, 9
SHEN Jun	BBE669	Licensed Representative	9
SHEN Zhibo	BPZ957	Licensed Representative	1, 6
SHIN Jinwon	BPY841	Licensed Representative	1, 4
SHUM Chun Wai	APF965	Licensed Representative	4, 5, 9
SHUM Yuk Yee	AEJ175	Licensed Representative	1, 2
SIM Colin Ching Wern	AGN521	Licensed Representative	9
SINGLA Jimitesh	BPR866	Licensed Representative	1, 2
SIU Lai Ki	BGP986	Licensed Representative	1, 2, 4
SIU Pui Kay, Ruby	AGW561	Licensed Representative	1
SO Chiu Fung	BFC353	Licensed Representative	4, 9
SO Man Hin	BPY337	Licensed Representative	2
SO Ting Fung	BQD157	Licensed Representative	2
SO Zelong	BPX763	Licensed Representative	4, 9
SOH David	AEI247	Licensed Representative	1, 4
SONG Kyungmin	BDV098	Licensed Representative	1, 4
SONG Ting	BPX399	Licensed Representative	1, 4
SUBASH Jayadev	BQB401	Licensed Representative	9
SUN Haozhe	BPW795	Licensed Representative	1, 4, 6
SUN Zhijie	BPX562	Licensed Representative	1, 4, 6
SURANA Rahul	BPY586	Licensed Representative	9
TAI Ka Chun	BPY617	Licensed Representative	1, 6
TAM Hoi Mei	BPX702	Licensed Representative	4, 9
TAM Jun Yuan Matheu	BNH816	Licensed Representative	1, 2
TAM Wai Ho, Joseph	BDJ927	Licensed Representative	1
TAN Chang	BPY787	Licensed Representative	1, 4
TAN Chih-Kang	BPM530	Licensed Representative	1
TAN Michelle Angelica	BPY615	Licensed Representative	1, 4
TAN YAZHI	BPY629	Licensed Representative	6
TANG Hiu Yan	BQA523	Licensed Representative	1
TANG Jialei	BPW433	Licensed Representative	1, 6
TANG Jiayi	BPY401	Licensed Representative	1
TANG Kwun Chung	AAW467	Licensed Representative	9
TANG Lap Yan	ACG574	Licensed Representative	1, 4, 9
TIAN Jingyu	BPX058	Licensed Representative	1, 4, 6
TO Kung Him	BPY503	Licensed Representative	1, 2
TOONG Po Ming, David	AOV609	Licensed Representative	1, 2
TSAI Chung Yin Vincent	BPU719	Licensed Representative	4
TSANG Wai Chor	BPX093	Licensed Representative	1, 4
TSANG Wai Yin Jason	BPY109	Licensed Representative	1, 2
TSE Tsz Yeng	BPW526	Licensed Representative	9
TSOI Tsz Wan Vivian	BFU951	Licensed Representative	9
TSUEI Shuen-Chih	BPX776	Licensed Representative	1, 6
TSUI Chek Ming	AET441	Licensed Representative	1, 4, 9
TSUN Douglas Patrick	BMB251	Licensed Representative	1
TUNG Chia Hung, Michael	AOV770	Licensed Representative	1, 4, 9
TYLER Nicholas Christian	BPW960	Licensed Representative	1, 6



**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2020**

CE Name	CE No.	License Type	Types of Regulated Activity
VITRY Frantz	AWH797	Licensed Representative	1, 2
WANG Chen	BPY810	Licensed Representative	1, 6
WANG dengyuan	BPY712	Licensed Representative	1, 4, 9
WANG Guanlong	BOT372	Licensed Representative	4, 9
WANG Guanyi	BBU539	Licensed Representative	4
WANG Haoze	BPS150	Licensed Representative	1
WANG Jiali	BLE953	Licensed Representative	1
WANG Jie	BPY134	Licensed Representative	1, 4
WANG Miaozi	BFJ414	Licensed Representative	4, 9
WANG Pang, Paul	BAC071	Licensed Representative	4, 9
WANG Senquan	BPX070	Licensed Representative	4
WANG Shaozhong	BPW187	Licensed Representative	1, 4, 6
WANG XIMEI	BPX026	Licensed Representative	1, 4, 9
WANG Xinzhao	BPX475	Licensed Representative	1, 4, 9
WANG Yuebo	BPX984	Licensed Representative	1, 4
WANG Zhuojun	BPX118	Licensed Representative	1, 4, 6
WANG Zongchao	BPX920	Licensed Representative	4
WEBB Anthony Patrick	BGI051	Licensed Representative	1
WEE Jia Yng	BPY614	Licensed Representative	1
WENG Moyue	BPX684	Licensed Representative	4, 9
WILLIAMS Alexander Boyd	BPY300	Licensed Representative	1
WONG Chi Ho	BFF016	Licensed Representative	1, 4
WONG Cho Yu	BPY727	Licensed Representative	1
WONG Chung Yin	BPY276	Licensed Representative	1, 4, 5, 9
WONG Graham Alex	BPX063	Licensed Representative	1, 4
WONG Hin Fung	BPX252	Licensed Representative	1, 2, 4, 9
WONG Ka Chun	BPY688	Licensed Representative	4
WONG Kevin Siu Hang	BPS609	Licensed Representative	9
WONG Kin Man	BPY102	Licensed Representative	1, 9
WONG Koon Chung, Stephen	AGA156	Licensed Representative	1, 4, 9
WONG Mike Chun Lun	BPX816	Licensed Representative	1, 9
WONG Ngai Sze, Icy	BJY100	Licensed Representative	1, 4, 9
WONG Pui Ni	BQA616	Licensed Representative	1, 4, 9
WONG Siu Mei	ABJ928	Licensed Representative	1
WONG Wan In	BPY553	Licensed Representative	4
WONG Yu Tung, Benjamin	BPW651	Licensed Representative	1
WONG Yuk Lun	BGH574	Licensed Representative	1, 4
WOO Wai Man, Wyman Karen	AQU150	Licensed Representative	6
WU Chia-an	BPY308	Licensed Representative	1
WU Danni	BPY400	Licensed Representative	4
XIA Luying	BEE582	Licensed Representative	1, 4, 6
XIA Yang	BPQ936	Licensed Representative	9
XIE Bing Huan Kirby	BPW967	Licensed Representative	1, 4, 9
XIE Hao	BPX534	Licensed Representative	1
XIN Geng	BPA015	Licensed Representative	1, 4, 9
XIONG Yanshen	BPL703	Licensed Representative	1, 6
XIONG Ying	BAA078	Licensed Representative	1
XU Dingxin	BPY748	Licensed Representative	1, 6

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2020**

CE Name	CE No.	License Type	Types of Regulated Activity
XU Haoyuan	BPS658	Licensed Representative	6
XU Hefan	BPY699	Licensed Representative	1
XU Juan	BPG087	Licensed Representative	1, 4
XU Jun	BPW593	Licensed Representative	1, 6
XU Minruijun	BPX307	Licensed Representative	1, 6
XU Tianyi	AZB048	Licensed Representative	9
XU Yiren	BPZ928	Licensed Representative	4
YANG Bowen	BPX535	Licensed Representative	1, 2, 4
YANG Haochen	BQB888	Licensed Representative	4, 9
YANG Jianying	AYO960	Licensed Representative	6
YANG Yusi	BPX503	Licensed Representative	1
YAO Deyin	BHS756	Licensed Representative	9
YAO Fanjiang	BPW953	Licensed Representative	1, 2
YAO Yuan	BNU358	Licensed Representative	4, 9
YAU Man Kit Gordon	BQB564	Licensed Representative	1, 2
YEUNG Pik Chuen	BQC460	Licensed Representative	1
YEUNG Sze Chun	BEM920	Licensed Representative	1, 4, 9
YEUNG Tsz Lik	BNU778	Licensed Representative	1, 2
YEUNG Yee Eve	BBQ487	Licensed Representative	1, 4
YEUNG Yuen Ki	BPY249	Licensed Representative	1, 4
YIP Man Yin	BPW709	Licensed Representative	1, 4
YIP Ming Chun	AZQ980	Licensed Representative	4, 9
YIP Nga Wan	AJK926	Licensed Representative	1, 4, 9
YIU Fai	AYK069	Licensed Representative	1
YIU Yu Shing	BQC355	Licensed Representative	1
YU Chi Tat	BPW793	Licensed Representative	9
YU Lam King	BQC297	Licensed Representative	1, 4
YU Xiaoran	BQB825	Licensed Representative	1, 4, 6
YU Yik Man	BPX311	Licensed Representative	4, 9
YU Yipeng	BQB505	Licensed Representative	1, 9
YUAN Qinghui	BPW879	Licensed Representative	4
YUAN Ye	BGG861	Licensed Representative	1, 4, 6
YUE Richard Ka Ming	BIE101	Licensed Representative	9
YUEN Ho Yin Desmond	BPY438	Licensed Representative	1, 4, 6
ZAYCO Matthew Dionisio	BPW594	Licensed Representative	1
ZENG Yu	BAE135	Licensed Representative	1
ZHANG Bingran	BQA911	Licensed Representative	1, 4, 6
ZHANG Bolun	BQA882	Licensed Representative	9
ZHANG Ce	BPX560	Licensed Representative	1, 4, 6
ZHANG Han	BHB738	Licensed Representative	4, 9
ZHANG Jingyu	BPY218	Licensed Representative	1, 6
ZHANG Lunke	BPX023	Licensed Representative	1, 4
ZHANG Nanxi	BQB485	Licensed Representative	4, 9
ZHANG Rutian	BQB043	Licensed Representative	1, 2, 4
ZHANG Wei	BPV024	Licensed Representative	4
ZHANG Xiao	BQA567	Licensed Representative	2, 5
ZHANG Xinyue	BPY806	Licensed Representative	1, 6
ZHANG Yi	BPZ898	Licensed Representative	4

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2020**

CE Name	CE No.	License Type	Types of Regulated Activity
ZHANG Yu	BPX401	Licensed Representative	1, 4
ZHANG Yuang	BPY864	Licensed Representative	1, 2, 4
ZHANG Yulong	BPW299	Licensed Representative	4
ZHANG Yuwei	BPY054	Licensed Representative	1, 4, 6
ZHANG Zhiyu	BPX122	Licensed Representative	4, 9
ZHANG Ziqin	BQA872	Licensed Representative	6
ZHENG Tiantao	BPX547	Licensed Representative	9
ZHONG Minyi	BPY707	Licensed Representative	1, 4, 9
ZHONG Weijian	BPZ960	Licensed Representative	1, 4, 6
ZHOU Chak Hon	BPW107	Licensed Representative	4, 9
ZHOU Jialin	BPY746	Licensed Representative	1, 2, 9
ZHOU Wenqi	BPS629	Licensed Representative	1
ZHOU Xiangguang	BPY836	Licensed Representative	1, 4
ZHOU Zhao	BQA910	Licensed Representative	4
ZHOU Ziyu	BPY716	Licensed Representative	4, 9
ZHU Haiyan	BPT187	Licensed Representative	1
ZHU Jiang	AXF039	Licensed Representative	1
ZHU Jun	BPX711	Licensed Representative	4
ZHU Lei	BQB811	Licensed Representative	1, 6
ZHU Lin	BPY409	Licensed Representative	4, 9
ZOU Manshi	BPY059	Licensed Representative	1, 2
ZOU XIN	BPY287	Licensed Representative	9
ZUO Peng	BPX412	Licensed Representative	1, 6
ABOU GHANNAM Karim	BPR694	Licensed Representative - Responsible Officer	4, 9
BHATT Jay Hemant	ADR152	Licensed Representative - Responsible Officer	1, 4, 9
CHAKRAVORTY Ananda	AGP868	Licensed Representative - Responsible Officer	6
CHAU Wai Hing	AQU403	Licensed Representative - Responsible Officer	4, 9
CHEN Wen	BPS697	Licensed Representative - Responsible Officer	1, 4, 9
DENG Chen	BPQ971	Licensed Representative - Responsible Officer	9
FANG Francine Ming	BPS778	Licensed Representative - Responsible Officer	9
FOK Cho Kit	AGJ003	Licensed Representative - Responsible Officer	1, 4, 9
FOO Jong Sin	BPG730	Licensed Representative - Responsible Officer	4, 9
GUO Liang	BPV801	Licensed Representative - Responsible Officer	4, 9
HAAS Daniel	BBQ447	Licensed Representative - Responsible Officer	9
HE Martin	AFD243	Licensed Representative - Responsible Officer	6
HU Dinan	BPQ347	Licensed Representative - Responsible Officer	9
LEUNG Mei Kuen, Dannise	ADC294	Licensed Representative - Responsible Officer	1
LIN Hong Zhi	APC237	Licensed Representative - Responsible Officer	1, 4
LIN Yanjun	AMP596	Licensed Representative - Responsible Officer	1, 4, 5, 9
MALLOTT Timothy Charles	AUG689	Licensed Representative - Responsible Officer	1, 2
MEI Weiyi	BIR750	Licensed Representative - Responsible Officer	4, 9
MIAO Jingwei	BFM548	Licensed Representative - Responsible Officer	4, 9
NIEDERBERGER Jurg Hans	AWE845	Licensed Representative - Responsible Officer	9
PAN Qi	BBV884	Licensed Representative - Responsible Officer	4, 9
PHELPS Robert Ian	BOO601	Licensed Representative - Responsible Officer	10
RYAN Jonathan David	AGX020	Licensed Representative - Responsible Officer	1
SHI Yunhan	BLA252	Licensed Representative - Responsible Officer	1, 4, 9
SYWAK Simon Andrew	BPS601	Licensed Representative - Responsible Officer	9

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2020**

CE Name	CE No.	License Type	Types of Regulated Activity
TAM Marco	AXV875	Licensed Representative - Responsible Officer	4, 9
TAN Perry Michael	AWP889	Licensed Representative - Responsible Officer	9
TANAKA Yosuke	BPM008	Licensed Representative - Responsible Officer	1, 4
TANG Donald	APE833	Licensed Representative - Responsible Officer	1, 4, 9
TO Tsz Wai	AFU687	Licensed Representative - Responsible Officer	9
TORESCO Robert Dominic	ARH503	Licensed Representative - Responsible Officer	9
WANG Yeung Billy	BPR693	Licensed Representative - Responsible Officer	4, 9
WILSON Murray John	ARJ081	Licensed Representative - Responsible Officer	1, 4
WONG Chi Chun	ASM070	Licensed Representative - Responsible Officer	2
WONG Lie Ping	ABW904	Licensed Representative - Responsible Officer	6
WU Chi Keung, Walter	AAZ514	Licensed Representative - Responsible Officer	4, 9
WU Chi Ting	ALG477	Licensed Representative - Responsible Officer	9
XIAO Lina	BAZ749	Licensed Representative - Responsible Officer	1, 4, 9
YANG Li	BPF322	Licensed Representative - Responsible Officer	4, 9
YEUNG Wai Ping	AXV643	Licensed Representative - Responsible Officer	1, 4
YU Li	BPR427	Licensed Representative - Responsible Officer	9
ZHAI Xushen	BPM316	Licensed Representative - Responsible Officer	1, 4, 9
ZHAO Mengyuan	BMS593	Licensed Representative - Responsible Officer	9
ZHOU Wenqi	BPS629	Licensed Representative - Responsible Officer	4
ACKERMANN Thorsten Oliver	BQE746	Licensed Representative (Provisional Licence)	1
AN Jun Hwuy	BPY732	Licensed Representative (Provisional Licence)	1, 6
AN Qi	BQD371	Licensed Representative (Provisional Licence)	9
ARNULPHY Robb Victor	BOW990	Licensed Representative (Provisional Licence)	9
BAO Han	BPU967	Licensed Representative (Provisional Licence)	4, 9
BARTLESON III Edward Charles	BQD281	Licensed Representative (Provisional Licence)	1, 4
BEHARIE Sacha Alexander	BAH589	Licensed Representative (Provisional Licence)	1, 4
BHATT Jay Hemant	ADR152	Licensed Representative (Provisional Licence)	1, 4, 9
BIAN Huan	BGY961	Licensed Representative (Provisional Licence)	9
BIAN Xiaobo	BQB891	Licensed Representative (Provisional Licence)	1, 4, 6
BISWAS Shubhomoy	ATJ132	Licensed Representative (Provisional Licence)	1, 4, 6
BLANCHARD Scott Allen	ADT574	Licensed Representative (Provisional Licence)	1, 4
BOUEE Charles-Edouard Pascal Marie Daniel	BPQ364	Licensed Representative (Provisional Licence)	4, 9
BOVAR Cedric	BPR588	Licensed Representative (Provisional Licence)	1, 2
CAI Qiyu	BQC377	Licensed Representative (Provisional Licence)	1, 4, 6
CHAN Cheuk Lam	BQC596	Licensed Representative (Provisional Licence)	1, 2, 3
CHAN Chin Kiu	AVM792	Licensed Representative (Provisional Licence)	1, 2, 7
CHAN Ho Lam	BQA570	Licensed Representative (Provisional Licence)	1, 4
CHAN Hoi Ting	BQC479	Licensed Representative (Provisional Licence)	9
CHAN Ka Hong Jason	BQB615	Licensed Representative (Provisional Licence)	1, 4
CHAN Pak Ka	BJL689	Licensed Representative (Provisional Licence)	4
CHAN Sai Yik	BPY742	Licensed Representative (Provisional Licence)	1, 6
CHAN Siu Chung	BQB572	Licensed Representative (Provisional Licence)	1, 2
CHAN Sze Chung	AEE351	Licensed Representative (Provisional Licence)	1, 4, 6
CHAN Tat Hong	BMV838	Licensed Representative (Provisional Licence)	1
CHAN Ting Yiu	BQA988	Licensed Representative (Provisional Licence)	1
CHAN Wai Ying	BPD391	Licensed Representative (Provisional Licence)	1, 4
CHAN Wing Sze	BIZ710	Licensed Representative (Provisional Licence)	1, 2, 3, 4
CHAN Yui Pang, Oscar	AVI901	Licensed Representative (Provisional Licence)	1, 4, 9

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2020**

CE Name	CE No.	License Type	Types of Regulated Activity
CHANG Byung Woon	BQD509	Licensed Representative (Provisional Licence)	6
CHAU Yue Man	BQC523	Licensed Representative (Provisional Licence)	1, 4
CHEN Cen	BPY603	Licensed Representative (Provisional Licence)	1, 4
CHEN Feiyang	BQC220	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Gaoyuan	BQC120	Licensed Representative (Provisional Licence)	6
CHEN Guanyu	BQB979	Licensed Representative (Provisional Licence)	1
CHEN Jiabo	BQC378	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Junqi	BQD435	Licensed Representative (Provisional Licence)	4
CHEN Nai Tzu	BQD499	Licensed Representative (Provisional Licence)	9
CHEN Qixin	BQB439	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Weihang	BPL845	Licensed Representative (Provisional Licence)	1, 2
CHEN Xiaou	BPY428	Licensed Representative (Provisional Licence)	1, 4
CHEN Yi-Hua	BPS478	Licensed Representative (Provisional Licence)	9
CHEN Yixuan	BQC235	Licensed Representative (Provisional Licence)	1, 2
CHENG Kai Him	BBP547	Licensed Representative (Provisional Licence)	1, 4
CHENG Ke	BDT161	Licensed Representative (Provisional Licence)	9
CHENG Xinyu	BQB301	Licensed Representative (Provisional Licence)	1, 6
CHENG Yi Yan	BQD378	Licensed Representative (Provisional Licence)	1, 4
CHEUNG Kwan Hoi, Tony	BQD386	Licensed Representative (Provisional Licence)	1
CHEUNG Lai Yan Charles	AAV372	Licensed Representative (Provisional Licence)	4, 9
CHEUNG Mei Wai	BPW349	Licensed Representative (Provisional Licence)	1, 4
CHEUNG Siu Kei	BQC382	Licensed Representative (Provisional Licence)	4
CHEUNG Tsz Kit	AIX574	Licensed Representative (Provisional Licence)	4, 9
CHING Po Yin	BFS735	Licensed Representative (Provisional Licence)	1, 4
CHOI Foo Tong	BQC310	Licensed Representative (Provisional Licence)	1
CHOI Heung Kam, Iris	AXR109	Licensed Representative (Provisional Licence)	1, 4
CHOI Hong Jit Christopher	BQB509	Licensed Representative (Provisional Licence)	1, 2
CHOW Oi Ki	BQE977	Licensed Representative (Provisional Licence)	1
CHOW Yuen Yun	BQC280	Licensed Representative (Provisional Licence)	1
CHU Gavin Shun-Chiu	APQ060	Licensed Representative (Provisional Licence)	1, 4
CHU Man Ting, Melody	BMO920	Licensed Representative (Provisional Licence)	9
CHU Sin Mo	BQC431	Licensed Representative (Provisional Licence)	9
CHUARD Victoria Wenyi	ASI157	Licensed Representative (Provisional Licence)	1, 2
CHUNG Diana	BII595	Licensed Representative (Provisional Licence)	1, 2
CHUNG Hei Tung	BQE954	Licensed Representative (Provisional Licence)	1, 2
CHUNG Ting Kwok	ARN967	Licensed Representative (Provisional Licence)	4
CHUNG Wing Chung	BQB503	Licensed Representative (Provisional Licence)	6
COPIN Denis, Francois, Rene	ATG734	Licensed Representative (Provisional Licence)	2
DENG Guirong	BQE171	Licensed Representative (Provisional Licence)	1, 2, 4
DENG Zhibo	BQD384	Licensed Representative (Provisional Licence)	1, 4
DI Hanhua	BQE527	Licensed Representative (Provisional Licence)	1, 4, 6
DING Qiaosha	BQC217	Licensed Representative (Provisional Licence)	1, 6
DONG Hang	BQE569	Licensed Representative (Provisional Licence)	1, 4, 6
DU Han	BQA901	Licensed Representative (Provisional Licence)	1, 6
DUNDA Stanley Abdoel Rasid	AEE724	Licensed Representative (Provisional Licence)	1
EL KETTANI EL HAMIDI Mounia	BDW697	Licensed Representative (Provisional Licence)	1, 4, 9
FAN Kit Wing, Katherine	BFP026	Licensed Representative (Provisional Licence)	1, 4
FAN Yixian	BPW988	Licensed Representative (Provisional Licence)	1

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2020**

CE Name	CE No.	License Type	Types of Regulated Activity
FAN Yun-Chieh	BNO886	Licensed Representative (Provisional Licence)	1, 4, 9
FANG Francine Ming	BPS778	Licensed Representative (Provisional Licence)	9
FANG Xinyu	BQF453	Licensed Representative (Provisional Licence)	4
FANG YUAN	BQD301	Licensed Representative (Provisional Licence)	4, 9
FOK Kam Kong	BJD167	Licensed Representative (Provisional Licence)	1, 2
FONG Sin Shing	BQC929	Licensed Representative (Provisional Licence)	9
FU Yena	BPZ941	Licensed Representative (Provisional Licence)	1, 6
GAIO Alexandre Gilbert Gerard	BQC467	Licensed Representative (Provisional Licence)	1, 2
GAO Mengxuan	BQE549	Licensed Representative (Provisional Licence)	1, 6
GAO Ying	BQE244	Licensed Representative (Provisional Licence)	1, 6
GAO Zhiheng	BQD153	Licensed Representative (Provisional Licence)	1, 4, 9
GAUTHIER-STREIFF DELPHINE CLELIA	BQC481	Licensed Representative (Provisional Licence)	6
GONG Hongyu	BQE247	Licensed Representative (Provisional Licence)	4, 6
GRISSELL Edward Henry	BPY390	Licensed Representative (Provisional Licence)	1
GU Zhihan	BPY808	Licensed Representative (Provisional Licence)	1
GUAN XIAOJI	BPX299	Licensed Representative (Provisional Licence)	1, 4, 6
GUO Kaize	BQB504	Licensed Representative (Provisional Licence)	4, 9
GUO Yali	BQB164	Licensed Representative (Provisional Licence)	4
GUO Yu	BQB827	Licensed Representative (Provisional Licence)	1
GUPTA Kapil	BPY730	Licensed Representative (Provisional Licence)	6
HAN Xiao	BOO400	Licensed Representative (Provisional Licence)	1, 6
HAO Xin	BQC601	Licensed Representative (Provisional Licence)	1
HE Jiayu	BQC349	Licensed Representative (Provisional Licence)	1, 6
HE Lu	BQB821	Licensed Representative (Provisional Licence)	1, 4
HE Peng	AQJ038	Licensed Representative (Provisional Licence)	1
HE Yucheng	BIQ230	Licensed Representative (Provisional Licence)	9
HIBBERD Sydney Xu Xi	BQD352	Licensed Representative (Provisional Licence)	1, 4
HIVAREKAR Abhijeet	AZD532	Licensed Representative (Provisional Licence)	1, 4, 9
HO Suet Yiu	BQE698	Licensed Representative (Provisional Licence)	1
HO Tack Wei	BKI538	Licensed Representative (Provisional Licence)	1, 6
HSU Chih-Kai	BQA511	Licensed Representative (Provisional Licence)	1, 6
HU Mengnan	BQF156	Licensed Representative (Provisional Licence)	1, 2
HU Xinlin	BQC141	Licensed Representative (Provisional Licence)	1
HUANG Jiangyan	BPY912	Licensed Representative (Provisional Licence)	1, 4
HUANG Xinran	BQB323	Licensed Representative (Provisional Licence)	1, 6
HUANG Yuqiang	BQE386	Licensed Representative (Provisional Licence)	1, 2
HUI Tin Kay	AFS483	Licensed Representative (Provisional Licence)	1, 4, 9
HUI Tin Lung, Hollis	BQB354	Licensed Representative (Provisional Licence)	1, 4
HUMPHREY Michael Robert	BQC363	Licensed Representative (Provisional Licence)	1, 4
JI Hongyu	BQE209	Licensed Representative (Provisional Licence)	1, 2, 4
JI Jiechao	BQB230	Licensed Representative (Provisional Licence)	9
JI Peng	BQC259	Licensed Representative (Provisional Licence)	1, 4, 6
JIANG Yuanlin	BQE131	Licensed Representative (Provisional Licence)	1, 4, 6
JIN Tinghua	BQC255	Licensed Representative (Provisional Licence)	1, 2, 7
JIN Yi	BQC994	Licensed Representative (Provisional Licence)	4
KAJIYAMA Yohei	BOP676	Licensed Representative (Provisional Licence)	1
KAMIKI Toru	BQF032	Licensed Representative (Provisional Licence)	1, 4
KAMIMURA Mamiko	BQD069	Licensed Representative (Provisional Licence)	1, 4

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2020**

CE Name	CE No.	License Type	Types of Regulated Activity
KANCHERLA Pruthvi	BQC261	Licensed Representative (Provisional Licence)	1, 2
KENT William Angus	ABA456	Licensed Representative (Provisional Licence)	1
KHOSA Amarik Singh	BPY323	Licensed Representative (Provisional Licence)	1, 6
KIM Chan Young	BQB883	Licensed Representative (Provisional Licence)	1, 4
KIM Eun Ae	APR854	Licensed Representative (Provisional Licence)	4
KIM Soo Jung	BQC353	Licensed Representative (Provisional Licence)	10
KINGHAM Charles David	BQE226	Licensed Representative (Provisional Licence)	1, 2
KINOSHITA Taro	BQD545	Licensed Representative (Provisional Licence)	1
KO Cheuk Yat	BQE303	Licensed Representative (Provisional Licence)	1, 2
KUANG Kefu	BEA507	Licensed Representative (Provisional Licence)	1, 4
KWAI Hoi Ting	BQD387	Licensed Representative (Provisional Licence)	1, 2
KWAN Ka Ho	BQE509	Licensed Representative (Provisional Licence)	1, 2
LAI King Kai	AHC350	Licensed Representative (Provisional Licence)	1, 4
LAI Phenie Zoe	BQD022	Licensed Representative (Provisional Licence)	1, 2
LAM Hiu Man Vivian	BQB404	Licensed Representative (Provisional Licence)	1, 4
LAM Ka Hei	BQB431	Licensed Representative (Provisional Licence)	1, 4, 6
LAM Siu Lai	BQA677	Licensed Representative (Provisional Licence)	1
LAM Tung Lui, Nana	AI1654	Licensed Representative (Provisional Licence)	4, 9
LAM Yan Ki	BQC092	Licensed Representative (Provisional Licence)	1, 2, 4
LAN Shan	BQE747	Licensed Representative (Provisional Licence)	4
LAN Yu	BPY270	Licensed Representative (Provisional Licence)	1, 2, 7
LANCE Robert Waldo	AEL830	Licensed Representative (Provisional Licence)	1, 4
LAU Kin Bong, Joe	AFO311	Licensed Representative (Provisional Licence)	4, 9
LAU Ming Hang	BQB611	Licensed Representative (Provisional Licence)	1, 4
LAU Wai Lun	BQD589	Licensed Representative (Provisional Licence)	1
LAU Wing Yuen, Whitney	BQC532	Licensed Representative (Provisional Licence)	1, 2
LAU Yik Leung	BQE684	Licensed Representative (Provisional Licence)	1
LEE Jaejoon	BQA942	Licensed Representative (Provisional Licence)	9
LEE Jennifer Jihyun	BPY519	Licensed Representative (Provisional Licence)	1
LEE Nok Hin	BQA773	Licensed Representative (Provisional Licence)	1
LEE Seong Kyun	BHS525	Licensed Representative (Provisional Licence)	1
LEE Tsz Kiu, Matthew	BQC262	Licensed Representative (Provisional Licence)	1, 2
LEESINSKY Mikolaj Teng Hui	BQC304	Licensed Representative (Provisional Licence)	9
LEI Tong	BPY749	Licensed Representative (Provisional Licence)	1, 6
LENG Wenhao	BPY815	Licensed Representative (Provisional Licence)	1, 6
LEONG Yui Ern	BQE968	Licensed Representative (Provisional Licence)	1, 4
LEUNG Ka Man	BJX828	Licensed Representative (Provisional Licence)	1, 2, 4
LEUNG Lok Kwan	BQC222	Licensed Representative (Provisional Licence)	6
LEUNG Pui Lai	BQA857	Licensed Representative (Provisional Licence)	1, 2, 4, 9
LEUNG Shu Tak	AWM894	Licensed Representative (Provisional Licence)	1
LEUNG WAI HAN	BQB949	Licensed Representative (Provisional Licence)	1, 4
LEUNG Yau Jun Eugene	BQC193	Licensed Representative (Provisional Licence)	1, 4
LI Chunbo	AOS336	Licensed Representative (Provisional Licence)	1, 4, 7
LI Hongke	BQD443	Licensed Representative (Provisional Licence)	4
LI Juan	BPJ045	Licensed Representative (Provisional Licence)	9
LI Lingfang	BQE751	Licensed Representative (Provisional Licence)	1
LI Mengning	BQE970	Licensed Representative (Provisional Licence)	6
LI Po Yi	ANP486	Licensed Representative (Provisional Licence)	4

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2020**

<b>CE Name</b>	<b>CE No.</b>	<b>License Type</b>	<b>Types of Regulated Activity</b>
LI Pui Ching	BQB274	Licensed Representative (Provisional Licence)	1, 4, 9
LI Shiqiang	BQE276	Licensed Representative (Provisional Licence)	1, 2
LI Sze Wan	BPY447	Licensed Representative (Provisional Licence)	1, 6
LI Tianci	BQC381	Licensed Representative (Provisional Licence)	1, 4, 6
LI Xinwei	BQA898	Licensed Representative (Provisional Licence)	1, 6
LI Yani	BQF223	Licensed Representative (Provisional Licence)	1, 4, 6
LI Yimeng	BQA894	Licensed Representative (Provisional Licence)	1, 6
LI Yucan	BQC043	Licensed Representative (Provisional Licence)	1, 2, 4
LIANG Yu	BQD587	Licensed Representative (Provisional Licence)	4, 9
LIANG Yunzhang	BQC352	Licensed Representative (Provisional Licence)	9
LIANG Zhiyuan	BPX125	Licensed Representative (Provisional Licence)	1, 2, 4, 5
LIM Heng Yao Andrew	BQD021	Licensed Representative (Provisional Licence)	1, 4, 9
LIN Hong Zhi	APC237	Licensed Representative (Provisional Licence)	1, 4
LIN Shiqing	BQE332	Licensed Representative (Provisional Licence)	1, 4
LIN Susan Shan-ju	AOG287	Licensed Representative (Provisional Licence)	1
LIN Ting	BPY751	Licensed Representative (Provisional Licence)	1, 6
LIN Yuqing	BQD244	Licensed Representative (Provisional Licence)	1, 2, 4
LIN Zhe	BQE083	Licensed Representative (Provisional Licence)	1, 4, 9
LIO Ka Wai	BQB863	Licensed Representative (Provisional Licence)	1, 4, 9
LIO Wai Ki	AVP390	Licensed Representative (Provisional Licence)	1, 2
LIU CHAN	BQE822	Licensed Representative (Provisional Licence)	1, 4
LIU Kaiwen	BQB160	Licensed Representative (Provisional Licence)	1, 4
LIU Li	BQB508	Licensed Representative (Provisional Licence)	1, 2
LIU LiuJun	BPA409	Licensed Representative (Provisional Licence)	1, 4, 6
LIU Peng	BPU770	Licensed Representative (Provisional Licence)	4, 9
LIU Qianlin	BQB847	Licensed Representative (Provisional Licence)	4
LIU Shuangrui	BQB582	Licensed Representative (Provisional Licence)	1, 2, 7
LIU Ting	BOL066	Licensed Representative (Provisional Licence)	1, 4
LIU Xiaohan	BQD008	Licensed Representative (Provisional Licence)	10
LIU Xinyu	BQE326	Licensed Representative (Provisional Licence)	1
LIU Yaoyang	BQB430	Licensed Representative (Provisional Licence)	1, 6
LIU Yiheng	BQB324	Licensed Representative (Provisional Licence)	1, 6
LIU Yudian	BQC791	Licensed Representative (Provisional Licence)	1, 6
LIU Zehua	BQB363	Licensed Representative (Provisional Licence)	1, 4, 9
LIU Zhiwei	BPX301	Licensed Representative (Provisional Licence)	1, 2
LO Kin Chun	ANL083	Licensed Representative (Provisional Licence)	1
LO Tai Yue, Nelson	BQC447	Licensed Representative (Provisional Licence)	1
LONG Xiaoyao	BOH705	Licensed Representative (Provisional Licence)	6
LONG Yupeng	BPY740	Licensed Representative (Provisional Licence)	1, 6
LOR Ching Yi	BQA631	Licensed Representative (Provisional Licence)	6
LU Depei	BPY734	Licensed Representative (Provisional Licence)	1, 6
LUNG Wing Hong	AVP246	Licensed Representative (Provisional Licence)	1, 2
LUO Jie	BQC686	Licensed Representative (Provisional Licence)	1, 4
LUO Xue	BQC455	Licensed Representative (Provisional Licence)	4, 9
LYU Xueqi	BPZ923	Licensed Representative (Provisional Licence)	1, 2
MA Hak	BPY426	Licensed Representative (Provisional Licence)	1, 4
MA Hiu Kwan	BQE393	Licensed Representative (Provisional Licence)	1
MA Victor Yanqi	BPR485	Licensed Representative (Provisional Licence)	1, 2, 4, 5



**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2020**

<b>CE Name</b>	<b>CE No.</b>	<b>License Type</b>	<b>Types of Regulated Activity</b>
MA Wenting	BQC287	Licensed Representative (Provisional Licence)	1, 4, 9
MAITLAND GARDNER David Colin	BPX777	Licensed Representative (Provisional Licence)	9
MENG Hongyu	BQD385	Licensed Representative (Provisional Licence)	1
MICHAEL Wendy Ann	BQC279	Licensed Representative (Provisional Licence)	9
NG CHEUK MAN	BQB875	Licensed Representative (Provisional Licence)	1, 4
NG Chi Tin, Herman	ASQ359	Licensed Representative (Provisional Licence)	4, 9
NG Siu Na Sarnian	BMC120	Licensed Representative (Provisional Licence)	1
NGAI Siu Chung	BPY804	Licensed Representative (Provisional Licence)	1, 6
NGUYEN Tieu-Bich	BQD113	Licensed Representative (Provisional Licence)	4, 9
NISHIHARA Ayumi	BQC967	Licensed Representative (Provisional Licence)	1, 4, 6
NORTH Christopher James	BPY784	Licensed Representative (Provisional Licence)	4
OLDHAM John Andrew	BQC943	Licensed Representative (Provisional Licence)	1, 4, 9
OR Felix	ADH585	Licensed Representative (Provisional Licence)	1, 2, 7
PADMANABHAN VIJAYBALAJI	BPT170	Licensed Representative (Provisional Licence)	4, 9
PAN Wai Teresa	BPY825	Licensed Representative (Provisional Licence)	1, 6
PANUPORNPRAPONG Vipaporn Margaret	AVG599	Licensed Representative (Provisional Licence)	1
PARK Seyun	BQB947	Licensed Representative (Provisional Licence)	1
PENG Kuan Shen	BPX915	Licensed Representative (Provisional Licence)	9
PLAUCHU Simon	BPY238	Licensed Representative (Provisional Licence)	1, 2
PU Ruihang	BQE570	Licensed Representative (Provisional Licence)	1, 4, 6
PUTATUNDA Rajarshi	ARO118	Licensed Representative (Provisional Licence)	4
QIAN Catherine Ruyun	BPX566	Licensed Representative (Provisional Licence)	1, 4, 9
QIU Minhui	BQB550	Licensed Representative (Provisional Licence)	4, 9
QU Jiahao	BPY756	Licensed Representative (Provisional Licence)	1, 6
QU Xiuquan	BPY143	Licensed Representative (Provisional Licence)	1, 6
RAKE Brendan Thomas	AJD854	Licensed Representative (Provisional Licence)	1
REN Cong	BQE949	Licensed Representative (Provisional Licence)	1, 6
RONG Xiaolong	BQF454	Licensed Representative (Provisional Licence)	1, 4, 6
SAVAGE Florence Lucy	BQC384	Licensed Representative (Provisional Licence)	1, 4, 9
SAVINO Ronald Joseph	ANY191	Licensed Representative (Provisional Licence)	9
SHAHAPURKAR Nilesh	ATX901	Licensed Representative (Provisional Licence)	2, 5
SHEN Liang	BGT829	Licensed Representative (Provisional Licence)	9
SHEN Yiding	BQC196	Licensed Representative (Provisional Licence)	1
SHEN Zhe	BQC066	Licensed Representative (Provisional Licence)	1, 4, 6
SHI Guoping	BQC070	Licensed Representative (Provisional Licence)	1, 4, 6
SHIN Joo Kyung	BQC062	Licensed Representative (Provisional Licence)	1, 4, 9
SINGH Naval	BQA895	Licensed Representative (Provisional Licence)	9
SIRVINT Michael David	BEO574	Licensed Representative (Provisional Licence)	1
SOH David	AEI247	Licensed Representative (Provisional Licence)	1, 4
SPRANGERS Arnoud Jan	BQB271	Licensed Representative (Provisional Licence)	1, 4
SU Shiyu	BQE169	Licensed Representative (Provisional Licence)	1, 2
SUBASH Jayadev	BQB401	Licensed Representative (Provisional Licence)	9
SUGIHARA Hiroki	BQA909	Licensed Representative (Provisional Licence)	4, 9
SUN Yi	BCC489	Licensed Representative (Provisional Licence)	1, 4
SUSANTO Adrian Prawira	BQC563	Licensed Representative (Provisional Licence)	1, 2
TAN Jiehua	BQA893	Licensed Representative (Provisional Licence)	1, 4
TAN Yat Quan	AMW935	Licensed Representative (Provisional Licence)	1, 4
TANG Ning Yan	BQE050	Licensed Representative (Provisional Licence)	1, 4

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2020**

CE Name	CE No.	License Type	Types of Regulated Activity
TANG Yiu Man, Ernest	ANP309	Licensed Representative (Provisional Licence)	9
TAO Xinyi	BQD326	Licensed Representative (Provisional Licence)	1, 4
TAYJASANANT Thaniya	ARA485	Licensed Representative (Provisional Licence)	1
TESSARI Riccardo	BPY814	Licensed Representative (Provisional Licence)	1, 2, 7
TIAN Lun	BQE930	Licensed Representative (Provisional Licence)	1, 4
TSAI Chi	BQB428	Licensed Representative (Provisional Licence)	4
TSOI Yin Fong	BQC557	Licensed Representative (Provisional Licence)	1, 4
TUNG Chia Hung, Michael	AOV770	Licensed Representative (Provisional Licence)	1, 4, 9
WALLACE Mark Stephen	ANF262	Licensed Representative (Provisional Licence)	1, 4
WAN Ki Wai	BQB309	Licensed Representative (Provisional Licence)	1, 2
WAN Lap Ching	BQB596	Licensed Representative (Provisional Licence)	1, 2
WAN Vivian	BBW591	Licensed Representative (Provisional Licence)	9
WAN Xicen	BQE353	Licensed Representative (Provisional Licence)	4
WANG Chang Yu Judy	BIU715	Licensed Representative (Provisional Licence)	1
WANG CHEN	BQF303	Licensed Representative (Provisional Licence)	1, 6
WANG Chen	BPY810	Licensed Representative (Provisional Licence)	1, 6
WANG Chen-Chia	BQC263	Licensed Representative (Provisional Licence)	1, 2
WANG Danlin	BQF013	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Jinming	BQD109	Licensed Representative (Provisional Licence)	1, 4
WANG Junyu	BQD350	Licensed Representative (Provisional Licence)	1, 4
WANG Liwen	BQE039	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Pi-Hung	BPX925	Licensed Representative (Provisional Licence)	1, 6
WANG Shuaiyong	BQC347	Licensed Representative (Provisional Licence)	1, 2, 4
WANG Wanliu	BQC242	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Wanzhou	BQD367	Licensed Representative (Provisional Licence)	1, 4
WANG Weiwei	BPY269	Licensed Representative (Provisional Licence)	1, 6
WANG Weiwei	BPY842	Licensed Representative (Provisional Licence)	1, 6
WANG Weiyi	BQC673	Licensed Representative (Provisional Licence)	4
WANG Xinzhao	BPX475	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Yufei	BQC071	Licensed Representative (Provisional Licence)	1, 6
WANG Yuren	BPY431	Licensed Representative (Provisional Licence)	1, 4
WANG Yuxi	BQC524	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Yuxin	BQC319	Licensed Representative (Provisional Licence)	1, 6
WAT Chung Yin, Janice	BPW952	Licensed Representative (Provisional Licence)	1, 2, 7
WEI Xiaodong	BQD185	Licensed Representative (Provisional Licence)	1
WENG Moyue	BPX684	Licensed Representative (Provisional Licence)	4, 9
WENG Yuhao	BQC265	Licensed Representative (Provisional Licence)	1, 2
WENHAM James Alexander Scott	AVF334	Licensed Representative (Provisional Licence)	1, 7
WIEMER Charles Daniel	ANQ742	Licensed Representative (Provisional Licence)	1, 4
WONG Cho Yu	BPY727	Licensed Representative (Provisional Licence)	1
WONG Elaine	BQD394	Licensed Representative (Provisional Licence)	1, 4
WONG Florian	BQC211	Licensed Representative (Provisional Licence)	6
WONG Hoi Ting	BQE975	Licensed Representative (Provisional Licence)	1
WONG Johnny Kin Man	ACI033	Licensed Representative (Provisional Licence)	4
WONG Keng Fung	BQC383	Licensed Representative (Provisional Licence)	4
WONG Lok Huai, Francis	BQE246	Licensed Representative (Provisional Licence)	4, 6
WONG Mabel	BQD397	Licensed Representative (Provisional Licence)	1, 2
WONG Ming Tsun	BQF117	Licensed Representative (Provisional Licence)	1, 4

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2020**

CE Name	CE No.	License Type	Types of Regulated Activity
WONG Sai Kit	BQB528	Licensed Representative (Provisional Licence)	1, 4, 9
WONG Shuk Yan	BQE113	Licensed Representative (Provisional Licence)	1, 2
WONG Timothy Shun Yik	BQB305	Licensed Representative (Provisional Licence)	1, 6
WONG Tsun Ming	BQC294	Licensed Representative (Provisional Licence)	1, 2
WONG Yuen Tung	BQC375	Licensed Representative (Provisional Licence)	1
WOO Tsz Yin	BQF069	Licensed Representative (Provisional Licence)	1, 2
WU Kwok Yan, Eric	AVL147	Licensed Representative (Provisional Licence)	1, 4
WU Weijiang	BQC919	Licensed Representative (Provisional Licence)	4
WU Yifeng	BQC438	Licensed Representative (Provisional Licence)	9
WU Yuanzhuo	BQD515	Licensed Representative (Provisional Licence)	4
WU Zhounan	BNP014	Licensed Representative (Provisional Licence)	1
XIE Yunze	BQC380	Licensed Representative (Provisional Licence)	1, 4, 6
XIONG Shiping	BQC407	Licensed Representative (Provisional Licence)	1, 4
XIONG Wen	BQC299	Licensed Representative (Provisional Licence)	1
XIONG Ying	BAA078	Licensed Representative (Provisional Licence)	1
XU Anqi	BQC219	Licensed Representative (Provisional Licence)	6
XU Hang	BQC605	Licensed Representative (Provisional Licence)	4
XU Hefan	BPY699	Licensed Representative (Provisional Licence)	1
XU Hengjie	BQC441	Licensed Representative (Provisional Licence)	1
XU Lingyi	BQC494	Licensed Representative (Provisional Licence)	1
XU Stanford Shaozheng	BQD598	Licensed Representative (Provisional Licence)	1
XU Zhao	BQA512	Licensed Representative (Provisional Licence)	1, 2
XU Zimeng	BQC341	Licensed Representative (Provisional Licence)	1, 2
YANG Haochen	BQB888	Licensed Representative (Provisional Licence)	4, 9
YANG Shenghui	BQB668	Licensed Representative (Provisional Licence)	1, 4
YANG Shicheng	BQE351	Licensed Representative (Provisional Licence)	1, 4, 6
YANG Wei	BQA515	Licensed Representative (Provisional Licence)	1, 6
YANG Yingqi	BQD383	Licensed Representative (Provisional Licence)	1, 4, 9
YAU For Wai Texas	BQF076	Licensed Representative (Provisional Licence)	1, 2
YAU Yu Fung	BMA934	Licensed Representative (Provisional Licence)	1
YEN Pin Chen	BQE103	Licensed Representative (Provisional Licence)	1
YEUNG Ka Fung	BQC183	Licensed Representative (Provisional Licence)	1
YEUNG Wai Shing	BQF094	Licensed Representative (Provisional Licence)	1, 4, 6
YIP Chun Hei	BPY506	Licensed Representative (Provisional Licence)	1, 6
YIP Chun Hin	BQC944	Licensed Representative (Provisional Licence)	1, 2
YIP Ming Chun	AZQ980	Licensed Representative (Provisional Licence)	4, 9
YIP Tang Pang	BBJ914	Licensed Representative (Provisional Licence)	1, 2, 4, 5
YIP Tsz Kin Joe	AXU899	Licensed Representative (Provisional Licence)	1, 4, 9
YIU Ho Ching Dennis	BPY799	Licensed Representative (Provisional Licence)	1
YIU Yu Shing	BQC355	Licensed Representative (Provisional Licence)	1
YU Fiona Fei Yeung	BQD363	Licensed Representative (Provisional Licence)	1, 4
YU Hongyi	BAC149	Licensed Representative (Provisional Licence)	1, 4, 6
YU Hongyue	BPY755	Licensed Representative (Provisional Licence)	1, 6
YU Lam King	BQC297	Licensed Representative (Provisional Licence)	1, 4
YU Tin Yan Grace	BQB700	Licensed Representative (Provisional Licence)	1
YU Xiaoran	BQB825	Licensed Representative (Provisional Licence)	1, 4, 6
YUAN Haozhe	BPY838	Licensed Representative (Provisional Licence)	1, 4, 6
YUAN Quan	BQC376	Licensed Representative (Provisional Licence)	1, 4, 6

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2020**

<b>CE Name</b>	<b>CE No.</b>	<b>License Type</b>	<b>Types of Regulated Activity</b>
YUAN Yifang	BQD375	Licensed Representative (Provisional Licence)	1, 4
ZHAI Muyun	BPY736	Licensed Representative (Provisional Licence)	1, 6
ZHAI Xushen	BPM316	Licensed Representative (Provisional Licence)	1, 4, 9
ZHANG Chenchen	BQB412	Licensed Representative (Provisional Licence)	1, 6
ZHANG Guoxin	BQC463	Licensed Representative (Provisional Licence)	1
ZHANG Huizi	BQB926	Licensed Representative (Provisional Licence)	1
ZHANG Jiashu	BQB531	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Jingyi	BPX561	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Jinqin	BQB103	Licensed Representative (Provisional Licence)	1, 4
ZHANG Jiren	BQF015	Licensed Representative (Provisional Licence)	4
ZHANG Rui	BQB519	Licensed Representative (Provisional Licence)	4, 9
ZHANG Rutian	BQB043	Licensed Representative (Provisional Licence)	1, 2, 4
ZHANG Xiao	BQA567	Licensed Representative (Provisional Licence)	2, 5
ZHANG Xiaoyu	BPV041	Licensed Representative (Provisional Licence)	6
ZHANG Xuan	BQD377	Licensed Representative (Provisional Licence)	1
ZHANG Xuanci	BQC406	Licensed Representative (Provisional Licence)	1, 4
ZHANG Yi	BKE631	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Yu	BPX401	Licensed Representative (Provisional Licence)	1, 4
ZHAO Tianwei	BQC687	Licensed Representative (Provisional Licence)	1, 4, 6
ZHAO Yi Ning	BQC344	Licensed Representative (Provisional Licence)	1, 2
ZHAO Yumeng	BQE976	Licensed Representative (Provisional Licence)	1
ZHENG Wenjia	BPX567	Licensed Representative (Provisional Licence)	4, 9
ZHOU Hanyi	BQE962	Licensed Representative (Provisional Licence)	1, 4
ZHOU Huaisong	BOQ931	Licensed Representative (Provisional Licence)	1, 4
ZHOU Shiyi	BGR107	Licensed Representative (Provisional Licence)	9
ZHOU Xinwen	BCB923	Licensed Representative (Provisional Licence)	1
ZHOU Yue	BPY022	Licensed Representative (Provisional Licence)	1, 6
ZHOU Zhao	BQA910	Licensed Representative (Provisional Licence)	4
ZHU Jiafeng	BBQ168	Licensed Representative (Provisional Licence)	1, 4
ZHU Lei	BQB811	Licensed Representative (Provisional Licence)	1, 6
ZHUANG Siyu	BQC115	Licensed Representative (Provisional Licence)	1, 2
ZOU Jiayi	BQD112	Licensed Representative (Provisional Licence)	1, 4, 9
ZUO Pangli	BQE958	Licensed Representative (Provisional Licence)	1, 6
BRUGMAN Brian Thomas	BQE277	Licensed Representative (Temporary Licence)	4
CHEN Junyun	BQD164	Licensed Representative (Temporary Licence)	4
CHEN Zhu	BLO224	Licensed Representative (Temporary Licence)	4
CHEVELEY Richard George Castelfranc	AQF001	Licensed Representative (Temporary Licence)	4
DUAN Conghui	BQF177	Licensed Representative (Temporary Licence)	6
FAN Wei	BQF160	Licensed Representative (Temporary Licence)	6
FU Yunjie, Desmond	BNC600	Licensed Representative (Temporary Licence)	1
GONG Yongfeng	BPE661	Licensed Representative (Temporary Licence)	4
HU Yeqianwen	BQD364	Licensed Representative (Temporary Licence)	4
JI Min	BQD234	Licensed Representative (Temporary Licence)	4
KAPOOR Vikas	BOL084	Licensed Representative (Temporary Licence)	4
LIAO John Bond Jonathan	BQF096	Licensed Representative (Temporary Licence)	1, 2
LIU Wenshu	BOB128	Licensed Representative (Temporary Licence)	4
MONAPPA Aditya	BNH920	Licensed Representative (Temporary Licence)	4
PENG Jiale	BQD525	Licensed Representative (Temporary Licence)	4

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2020**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
SHERIDAN Matthew S	BOB459	Licensed Representative (Temporary Licence)	4
SONG Guchuan	BQF157	Licensed Representative (Temporary Licence)	6
SONG Shaoling	BQD390	Licensed Representative (Temporary Licence)	4
SUN Bo	BQF186	Licensed Representative (Temporary Licence)	6
THAPAR Vinay	BNR482	Licensed Representative (Temporary Licence)	4
WANG Siyun	BGO634	Licensed Representative (Temporary Licence)	6
WANG Xiaotong	BQF174	Licensed Representative (Temporary Licence)	6
YANG Zeyuan	BQD391	Licensed Representative (Temporary Licence)	4
YIN Xinchu	BOT875	Licensed Representative (Temporary Licence)	4
ZHU Peng	BQF198	Licensed Representative (Temporary Licence)	6