

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2021**

CE Name	CE No.	License Type	Types of Regulated Activity
Alba Capital Limited	BPX744	Licensed Corporation	9
Augment Wealth Advisors Limited	BQF764	Licensed Corporation	1, 2, 4, 5, 9
Basis Asset Management Limited	BQL814	Licensed Corporation	4, 9
Cavalry Management Asia, Limited	BQE556	Licensed Corporation	9
Chinagri Cheung Kong Company Limited	BQE327	Licensed Corporation	4, 9
Citrus Securities Limited	BPX754	Licensed Corporation	1
East Point Asset Management Limited	BQB745	Licensed Corporation	9
EverCrest Capital Limited	BPU220	Licensed Corporation	1, 4, 9
General Atlantic Asia Limited	BPT706	Licensed Corporation	1
HBM Partners Hong Kong Limited	BQB742	Licensed Corporation	4, 9
Hua Rui Capital Limited	BPT753	Licensed Corporation	1, 4, 9
Huafu International Asset Management Limited	BQC238	Licensed Corporation	9
Huafu International Finance Limited	BQC240	Licensed Corporation	6
Huafu International Securities Limited	BQC241	Licensed Corporation	1, 4
I Win Asset Management Limited	BPR443	Licensed Corporation	4, 9
ISP Financial Services (Hong Kong) Limited	BPS930	Licensed Corporation	1, 4
SilkyWater Asset Management Limited	BPV723	Licensed Corporation	4, 9
Sky Seal Global Limited	BQD524	Licensed Corporation	4, 9
South Oak Capital Management (Hong Kong) Company Limited	BPJ914	Licensed Corporation	9
Up Way Securities Limited	BQF903	Licensed Corporation	1, 4
YONXI Securities Limited	BOJ470	Licensed Corporation	1, 4
AGARWAL Amit Vikram	BQP729	Licensed Representative	4, 9
AGRAWAL Manish	BQQ405	Licensed Representative	1, 4, 9
AU Pui Ho	BNX486	Licensed Representative	1, 2
BAI Guangxin	BQN454	Licensed Representative	1, 4, 6
BAI Xiangliang	BDO575	Licensed Representative	4, 9
BAO Hong	BQO793	Licensed Representative	1, 4, 6
BI Houhou	BQP050	Licensed Representative	1, 6
BOA Yuk Wah Yuan	BNG891	Licensed Representative	9
BRACKE Vincenz	BQO500	Licensed Representative	2
BURNET Antoine	BQN318	Licensed Representative	1, 4, 9
CAI Yihan	BQN332	Licensed Representative	1, 4, 6
CHAK Kwok Fai	AAY091	Licensed Representative	1, 4
CHAN Chi Cheung	ACQ786	Licensed Representative	1
CHAN Chui Ling	BQM152	Licensed Representative	1
CHAN Chun Lung	BNO199	Licensed Representative	4
CHAN Chun Yu	BQO249	Licensed Representative	1
CHAN Fai Shing	AWU704	Licensed Representative	1, 4
CHAN Jason Chun Ho	BQO425	Licensed Representative	1, 4, 9
CHAN Lap Chun	AYC456	Licensed Representative	1
CHAN Matthew Wing Tou	BGH189	Licensed Representative	1, 2
CHAN Pui Man	BQI558	Licensed Representative	1, 2, 4
CHAN Shun Choi	BQN317	Licensed Representative	1, 2
CHAN Siu Ki, Eric	AXG825	Licensed Representative	1
CHAN Wing Yin	BQL592	Licensed Representative	1
CHAN Yin Ming	ARO378	Licensed Representative	1, 4, 9
CHAN Yu Fung Christopher	BQL861	Licensed Representative	1
CHANG Chuo	BQM508	Licensed Representative	1, 4, 6

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2021**

CE Name	CE No.	License Type	Types of Regulated Activity
CHANG Jingyi	BQO521	Licensed Representative	1, 4
CHAU Kit Yi	BQM231	Licensed Representative	9
CHEN Junjun	BQO061	Licensed Representative	1, 4, 9
CHEN Ronghua	BQO896	Licensed Representative	1, 2
CHEN Yida	BQN726	Licensed Representative	1, 6
CHEN Ying	BQN184	Licensed Representative	4
CHEN Yu	BQM408	Licensed Representative	1, 2, 7
CHEN Yunyu	BQN522	Licensed Representative	1, 4
CHEN Zuncheng	BQN732	Licensed Representative	1, 6
CHENG Ka Chai	BQO627	Licensed Representative	1, 2, 3
CHENG Wan Wing	BPN162	Licensed Representative	9
CHENG Wing Sze	BPY724	Licensed Representative	1
CHENG Yasi	BQN264	Licensed Representative	1, 4
CHEUN Wan Foon	BNC094	Licensed Representative	1
CHEUNG Cham Chiu	BQM313	Licensed Representative	1
CHEUNG Jeffrey Kwok On	BQP726	Licensed Representative	1, 2
CHEUNG Veronica Lok Yan	BQN929	Licensed Representative	4, 9
CHEUNG Wai Yip	BQO612	Licensed Representative	1
CHIU Leong Yee, Terry	BQM677	Licensed Representative	1
CHIU Lon, Ronald	AVY720	Licensed Representative	1
CHIU Ue Wa Victor	BQO895	Licensed Representative	4, 9
CHIU Yee Wan	AOZ097	Licensed Representative	1, 4, 9
CHOI Man Wing	AOV139	Licensed Representative	1
CHOI Tsz Leung	ASX465	Licensed Representative	4, 9
CHONG Sui Lam	BQN188	Licensed Representative	9
CHOW Johnathan Long Ching	BQN725	Licensed Representative	1, 2
CHOW Yuk Kei	APV070	Licensed Representative	9
CHOY Yui	BQN552	Licensed Representative	1, 4
CHUANG Shu Han Linnda	BJQ220	Licensed Representative	1
CUI Chenfei	BHS701	Licensed Representative	1, 4, 6
DAI Mengjia	BQL495	Licensed Representative	9
DAI Wei	BQM430	Licensed Representative	1, 4, 6
DANA Justin Robert	BQO555	Licensed Representative	1, 4, 9
DENG Qiaofeng	BQN515	Licensed Representative	1, 4
DENG Xianheng	BQO036	Licensed Representative	1, 6
DING Danhao	BQL551	Licensed Representative	1, 2, 7
DING Liqi	AYJ941	Licensed Representative	9
DING Yifan	BQG398	Licensed Representative	6
DU Zhiye	BQN747	Licensed Representative	4
DUAN KaiZhong	BQO342	Licensed Representative	6
FANG Chih To	BPK735	Licensed Representative	4, 9
FANG Liangrun	BQN733	Licensed Representative	1, 6
FANG Ming	BQM506	Licensed Representative	1, 2
FENG Shiran	ARJ059	Licensed Representative	1, 4
FU Ho Yi	BQN553	Licensed Representative	1, 4
FU Shuyu	BQN291	Licensed Representative	1, 4, 6
FU Yuen Lam	BQM563	Licensed Representative	4, 9
GAN Yuqi	BQM759	Licensed Representative	1, 4, 9

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2021**

CE Name	CE No.	Licence Type	Types of Regulated Activity
GAN Zhuofeng	BQO456	Licensed Representative	1, 2
GAO Danni	BQL608	Licensed Representative	1
GAO Weiwei	BQL394	Licensed Representative	1, 2
GRUSZEWSKI Toby William	BQM539	Licensed Representative	1, 6
GU Shun	BQO373	Licensed Representative	1, 6
Hai Ka Ki Martin	BPU999	Licensed Representative	9
HAN Baiyang	BQO579	Licensed Representative	1, 6
HE Yuanyuan	BQP725	Licensed Representative	1, 6
HE Zhishan	BQO934	Licensed Representative	1, 4, 6
HEPPLESTONE Richard	BPE699	Licensed Representative	1, 4
HIRATA Tomohiro	BQO764	Licensed Representative	1, 4, 6
HO Chi Chung	AGL959	Licensed Representative	10
HO Chun Yu	ANJ698	Licensed Representative	4, 9
HO Pak Yim	BQQ622	Licensed Representative	1, 2
HO Wing Shan	BQM807	Licensed Representative	1
HSIEH Hong	BQN200	Licensed Representative	1, 4, 9
HU Weimin	BQN455	Licensed Representative	1, 4, 6
HU Yongkang	BQM507	Licensed Representative	1, 2, 4
HU Yuchen	BQO210	Licensed Representative	1, 2
HUANG SIYI	BQM176	Licensed Representative	6
HUANG Zidong	BFY826	Licensed Representative	1, 4
HUI Tsz Chun	ALP760	Licensed Representative	1
HULL Stephen Beattie	AZB042	Licensed Representative	1, 4
HUNG Ling Fu	BQO550	Licensed Representative	1, 2
HUO Yuheng	BQP533	Licensed Representative	1, 4
HYUN Jae Ho	BOA251	Licensed Representative	9
ITO Hitoshi	BQO820	Licensed Representative	1, 4
JI Sanghoon	BGX585	Licensed Representative	1
JIANG Ge	BQN482	Licensed Representative	1, 4
JIANG Lingling	BLL183	Licensed Representative	1, 7
JIAO Zihao	BQM339	Licensed Representative	1, 6
JOSHI Sumeet Sharad	AVF234	Licensed Representative	1
KIM Samuel, Nicolas	ATX996	Licensed Representative	2
KIU Ah	ANG610	Licensed Representative	1
KONG Peishi	BBN890	Licensed Representative	1, 2, 4
KOWALEC Charles Robert	BQK508	Licensed Representative	1, 2
KRUUSAMAE Uku	BQM049	Licensed Representative	1, 2
KWOK Ka Yi	BQN921	Licensed Representative	1, 2
KWONG Adrian	AKO622	Licensed Representative	9
LAM Fu Kit	BQM902	Licensed Representative	1, 4, 9
LAM Kwai Yip Janet	BQM116	Licensed Representative	9
LAU Yuen Hung	ADC124	Licensed Representative	1
LAYANI Yehoram	BCD230	Licensed Representative	9
LEUNG Jaime Verntaine	BQK835	Licensed Representative	4, 9
LEUNG Ka Cheong	BJL585	Licensed Representative	4, 9
LEUNG Sze Man	BQO435	Licensed Representative	1
LEUNG Tsz Yat	BPU176	Licensed Representative	1
LEUNG Wai Shan	BQM927	Licensed Representative	1, 4, 9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
LEUNG Wang Ngai	BPY288	Licensed Representative	1, 4
LEUNG Yat Sing	BQN230	Licensed Representative	1
LI Boyang	BQM441	Licensed Representative	1, 2
LI Chen	BOC669	Licensed Representative	1, 4, 6
LI Cheong Wing	BIS547	Licensed Representative	9
LI Chun Man, Anselm	ANM192	Licensed Representative	2
LI Dan	BOU347	Licensed Representative	4, 9
LI Hiu Kwan	BQL096	Licensed Representative	1
LI Hui	AYY608	Licensed Representative	2
LI Jiaqi	BQP723	Licensed Representative	1, 6
LI Ki Kwan	BQL383	Licensed Representative	1, 2
LI Mengyue	BQO383	Licensed Representative	1, 6
LI Pui Yin	BQO839	Licensed Representative	4, 9
LI Wing Cheuk	BQM921	Licensed Representative	1
LI Yu	BQN431	Licensed Representative	1, 4, 6
LI Yucai	BJP792	Licensed Representative	4, 9
LI Yun	BQL715	Licensed Representative	2, 5
LI Zhongying	BQP158	Licensed Representative	1, 4, 6
LIANG Christopher	BNF843	Licensed Representative	1, 4
LIANG Danlin	BOK627	Licensed Representative	4, 9
LIANG Jingwen	BJX362	Licensed Representative	1, 4
LIANG Kanghua	BQO223	Licensed Representative	9
LIANG Shiming	BQN928	Licensed Representative	9
LIANG Wanli	BQN730	Licensed Representative	1
LIANG Xinyi	BQC409	Licensed Representative	1, 6
LIM Kadir Jia Ping	ALY686	Licensed Representative	4, 9
LIN Hung Yu	BQO514	Licensed Representative	1, 2
LIN Jiaqi	BQM973	Licensed Representative	1, 2
LIN Xuanxuan	BQO616	Licensed Representative	1, 2
LIU Chang	BQN232	Licensed Representative	4
LIU Chengen	AMN873	Licensed Representative	1, 4, 9
LIU Chin Chung	BQN517	Licensed Representative	1
LIU Jia	BQQ048	Licensed Representative	9
LIU Qingxin	BQN269	Licensed Representative	1, 4
LIU Sandra Siu Ching	BES752	Licensed Representative	1, 4, 9
LIU Xia	BQL693	Licensed Representative	1
LIU Xiao	BQM503	Licensed Representative	1, 2
LIU Xutong	BQP318	Licensed Representative	1, 6
LIU Zhe	BOU144	Licensed Representative	4, 9
LOW Hao Yi Benedict	BQN714	Licensed Representative	4, 9
LU Yunfei	BQO614	Licensed Representative	6
LUI Kam Sing	BQN417	Licensed Representative	1, 2
LUK Pui Chi	AWJ279	Licensed Representative	1, 4, 6
LUNG Wing Hei Hayley	BQN729	Licensed Representative	1
MA Rujun	BQL657	Licensed Representative	1, 4
MA Sing	ALY498	Licensed Representative	4, 9
MAK Chun Yuen	BQL894	Licensed Representative	1
MAK Ho Yin	BQO864	Licensed Representative	9

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2021**

CE Name	CE No.	License Type	Types of Regulated Activity
MAK Pui Yee	BQM362	Licensed Representative	1, 4, 9
MASON Rong Angie Ma	AGO962	Licensed Representative	1, 4, 9
MCGUIGAN Evgeniya	BQM952	Licensed Representative	9
MCLEAN Erik Alexander	BQO809	Licensed Representative	1
MEI Weikai	BQN708	Licensed Representative	1, 2
MENG Kehan	BQK878	Licensed Representative	4
MENG Yang	BQN760	Licensed Representative	1, 2, 4
METCALFE Nicky Steven	BQK614	Licensed Representative	1, 2
MILLER Ryan James	ARF537	Licensed Representative	1, 7
MO Guowei	BGC454	Licensed Representative	6
NG Chun Ho	BMQ911	Licensed Representative	4, 9
NG Ka Lam	AQE873	Licensed Representative	4, 9
NG Ka Lam, Karen	AIL331	Licensed Representative	9
NG Ka Sing	BDX997	Licensed Representative	1, 4
NG Ka Yan	BQP735	Licensed Representative	1
NG Keilem	BBL867	Licensed Representative	9
NG Yu Hei	BNP568	Licensed Representative	9
NGAI Ka Chun	BQR006	Licensed Representative	9
NING Yajing	BQO637	Licensed Representative	1, 4
PAK Kwun Man	BQM696	Licensed Representative	1, 4, 9
PANG Lisa	BQN440	Licensed Representative	1, 4
PARK Jae Hyung	BQO721	Licensed Representative	1, 4, 6
PARK Woo Yeung	BER768	Licensed Representative	1, 2
PINTEAUX ADRIEN PIERRE ANDRE	BJF084	Licensed Representative	2
PROFFITT Lee James	BQL639	Licensed Representative	1, 2
QIAN Hongyu	BPK287	Licensed Representative	1, 9
QIU haoyang	BQO677	Licensed Representative	1, 6
QUINN Joseph	BQP052	Licensed Representative	1
REN Minjian	BGX455	Licensed Representative	4, 9
REN Xuemei	BQM610	Licensed Representative	1, 4, 9
SAU Long Sze	BQO590	Licensed Representative	1, 4, 9
SCOTT Andrew	BBT921	Licensed Representative	9
SHANG Linzheng	BON473	Licensed Representative	1, 6
SHAZAD Amir	BQN675	Licensed Representative	1
SHEA Kwan Chun Godfrey	BQR100	Licensed Representative	4, 9
SHEN Yabing	BQM414	Licensed Representative	4
SHI Daoyi	BQM728	Licensed Representative	1, 4
SHI Haochen	BQL601	Licensed Representative	1, 4, 9
SHI Ruiming	BQL520	Licensed Representative	1, 4
SHIM Sojeong	BQM727	Licensed Representative	1, 4
SINGH Swati	BQO333	Licensed Representative	1, 4, 9
SO Man Na	BBJ588	Licensed Representative	1, 4
SO Wing Hung, Eley	BQM678	Licensed Representative	1
SONG Denghui	BQO388	Licensed Representative	1, 6
SRIVASTAVA Tarun	BQN014	Licensed Representative	1, 2
SU Geni	BQM987	Licensed Representative	2, 5
SUN Jia	BOL365	Licensed Representative	4
SUN Ning	BQM700	Licensed Representative	1, 2, 7

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2021

CE Name	CE No.	License Type	Types of Regulated Activity
SUN Yuchen	BQM668	Licensed Representative	6
SUNDARESAN Balasubramanian	BDO592	Licensed Representative	1, 2
SZE Chung Lok	BQO516	Licensed Representative	1, 4, 9
TAI Lok Sang	BQO542	Licensed Representative	4, 9
TAN Mien Kiat Raymond	BQO440	Licensed Representative	1, 4, 9
TANG Chun Chung	BQI178	Licensed Representative	1, 2
TANG Joyce	BQN556	Licensed Representative	1
TANG Ning Yan	BQE050	Licensed Representative	1, 4
TANG Pak Lun	BQM923	Licensed Representative	1
TANG Tat Fu	AEM914	Licensed Representative	1
TANG Yi Ting	BQJ953	Licensed Representative	4, 9
TAO Leyi	BQP304	Licensed Representative	1, 6
TIAN Yun	BQO021	Licensed Representative	1, 4, 6
TIN Man Leong	BQO437	Licensed Representative	1
TSANG Cheuk Shan	BQP728	Licensed Representative	9
TSE Pui Ying	BBL725	Licensed Representative	1, 4, 9
TSUI Moon Sze	BDK825	Licensed Representative	1
TUNG Man Juon	AYW276	Licensed Representative	9
VALDISOLO Mattia	AUS156	Licensed Representative	1
WAN Ting Chau	BCF606	Licensed Representative	1, 6
WANG Chu	BQO707	Licensed Representative	4
WANG Fan	BQO517	Licensed Representative	1, 2
WANG Fang	BQN774	Licensed Representative	1, 2, 4, 5
WANG Kaifeng	BQO197	Licensed Representative	1, 4, 9
WANG Lin	BQM410	Licensed Representative	4
WANG Mingxia	BQP129	Licensed Representative	1, 6
WANG Mozhu	BQN712	Licensed Representative	1, 4
WANG Shiyu	BQN767	Licensed Representative	1, 6
WANG Shuo	BQO355	Licensed Representative	1, 2
WANG Tong	BQP505	Licensed Representative	1, 6
WANG Zhihan	BQL387	Licensed Representative	1, 4
WANG Zi	BQM253	Licensed Representative	1, 4, 9
WEI Daren	BOI623	Licensed Representative	1, 2
WEI Ming-De	AHX270	Licensed Representative	1, 2, 4, 5
WENG Daiqun	BQJ796	Licensed Representative	9
WENG Tao	BBD584	Licensed Representative	9
WHITE Christopher James	ACS947	Licensed Representative	4, 6, 9
WILLIAMSON Peter George	ALF370	Licensed Representative	9
WONG Ka Hiu	BJL234	Licensed Representative	1, 9
WONG Chi Yuen	BNJ009	Licensed Representative	9
WONG Gabriel Kun Yung	BQO490	Licensed Representative	4
WONG Ho Lam	BQN460	Licensed Representative	1, 4
WONG Ping Kuen	BMW604	Licensed Representative	4, 9
WONG Sheh Chung, Michael	AFY781	Licensed Representative	1
WONG Sheh Hee Herman	BQN086	Licensed Representative	1
WONG Tse Hei	BQL404	Licensed Representative	1, 2
WU Bangxian	BQP573	Licensed Representative	1, 2, 4, 9
WU Ka Chun	BQN943	Licensed Representative	10

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CE Name	CE No.	License Type	Types of Regulated Activity
WU Wenjing	BQO867	Licensed Representative	1, 2
WU William Chen-Xi	BKW322	Licensed Representative	1, 4
WU Xinyu	BQO318	Licensed Representative	1, 4, 9
WU Yizhou	BQO175	Licensed Representative	1
XIA Yezhen	BQL628	Licensed Representative	1, 2
XIE Yuan	BQO315	Licensed Representative	1, 4, 9
XU Lei Te	BQN315	Licensed Representative	9
XU Muhan	BQO409	Licensed Representative	4, 9
XU Yaxin	BQI374	Licensed Representative	4, 9
XU Yingzhi	BQN731	Licensed Representative	4
YAN Men Hin	AZF773	Licensed Representative	9
YAN Ming	BJX910	Licensed Representative	1, 2
YANG Haokun	BOH427	Licensed Representative	1, 4, 9
YANG Qincheng	BQO852	Licensed Representative	1, 4
YANG Sen	BQN491	Licensed Representative	1, 4
YANG Yumeng	BQM672	Licensed Representative	6
YAO Hiu Tsun Nelson	BQN502	Licensed Representative	4, 9
YAO Lifang	BQN229	Licensed Representative	1, 4, 9
YAU CHAK MING	BQO630	Licensed Representative	1, 2, 4, 5
YAZDANPANAHI Mehdi	BQO369	Licensed Representative	1, 2
YE Zhuoying Susie	BEW110	Licensed Representative	1, 6
YEUNG Chi Hong	BIQ873	Licensed Representative	1, 7
YEUNG Ying Kit	BJV171	Licensed Representative	1, 2
YIP Ho Chi Dominic	BIZ712	Licensed Representative	1
YIP Sze Ki	ACL453	Licensed Representative	1, 4, 9
YOON Jongwoo	BQN364	Licensed Representative	1, 4, 9
YU Chun Wai	ATC259	Licensed Representative	1, 2
YU Jiaao	BQN383	Licensed Representative	1, 6
YU Lu	BQN201	Licensed Representative	4, 9
YU Shuyi	BQM230	Licensed Representative	1
YU sin ting	BQM174	Licensed Representative	1
YU Tak Ming Benjamin	BQO203	Licensed Representative	6
YU Wai Sun	BFY027	Licensed Representative	1, 2
YUAN Tingnan	BQN693	Licensed Representative	1, 2
YUEN Tsz Tung	BQM691	Licensed Representative	1, 4, 5
YUNG Muk Tsz	AUB778	Licensed Representative	4
YUNG Shu Ho Alex	BIB854	Licensed Representative	1, 4
ZENG Jie	BQN348	Licensed Representative	1, 4
ZENG Zhan	BCZ088	Licensed Representative	4
ZHANG Bo	BQP559	Licensed Representative	1, 4, 9
ZHANG Chiheng	BQO230	Licensed Representative	9
ZHANG Jing	BQP536	Licensed Representative	1, 6
ZHANG Mo	BQM965	Licensed Representative	4
ZHANG Rongrong	BQN442	Licensed Representative	1
ZHANG Tianqing	BMW075	Licensed Representative	1, 6
ZHANG Yichi	AVO741	Licensed Representative	9
ZHANG Yudan	BPB714	Licensed Representative	1, 2, 4, 5
ZHAO Han	BQO604	Licensed Representative	1, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2021

CE Name	CE No.	License Type	Types of Regulated Activity
ZHAO Hong	BQO892	Licensed Representative	1, 7
ZHAO Jing	BQO361	Licensed Representative	1, 6
ZHAO Yuan	BQK009	Licensed Representative	6
ZHENG XUEXUAN	BQP240	Licensed Representative	4
ZHONG Ben	BQP506	Licensed Representative	1, 6
ZHOU Diao	BQN680	Licensed Representative	4, 9
ZHOU Fangyu	BQM695	Licensed Representative	9
ZHOU Jiayu	BQN227	Licensed Representative	1, 6
ZHOU Mi	BQO816	Licensed Representative	4, 9
ZHOU Sihong	BQO018	Licensed Representative	9
ZHOU Yang	BNF447	Licensed Representative	1
ZHOU Yutong	BQG266	Licensed Representative	1, 4
ZHU JIANFENG	BPX064	Licensed Representative	4, 9
ZHU Youwei	BQN783	Licensed Representative	1, 2
ALI Jamilah	AEI556	Licensed Representative - Responsible Officer	4, 9
BANSAL Amit	APB433	Licensed Representative - Responsible Officer	1, 2, 4, 5, 9
BARTOLUCCI Marco Alessandro	BPS993	Licensed Representative - Responsible Officer	1, 4
CHEN Shuo Steve	BDJ257	Licensed Representative - Responsible Officer	4, 9
CHENG Quan	AYR965	Licensed Representative - Responsible Officer	9
CHOI Wai Hung Keith	BQI477	Licensed Representative - Responsible Officer	4, 9
FANG Pamela Jia Jun	BPT721	Licensed Representative - Responsible Officer	1
FRANK Geoffrey Campbell	BQE862	Licensed Representative - Responsible Officer	9
GORHAM Philip Matthew	BQF352	Licensed Representative - Responsible Officer	4
GUO Chaofeng	BQI612	Licensed Representative - Responsible Officer	4, 9
HYUN Jae Ho	BOA251	Licensed Representative - Responsible Officer	1, 4
KIM Peter Junho	BQL309	Licensed Representative - Responsible Officer	1, 4
LAM Chick Leung	ACR785	Licensed Representative - Responsible Officer	4, 9
LAM Yu On	ABW082	Licensed Representative - Responsible Officer	4, 9
LAU Chung Hing, Sammy	AAE939	Licensed Representative - Responsible Officer	4, 9
LAU Sai Kit, Dennis	AUV774	Licensed Representative - Responsible Officer	4, 9
LAW Cheuk Kin, Stephen	AHI655	Licensed Representative - Responsible Officer	9
LEE Jihoon	BPL912	Licensed Representative - Responsible Officer	1, 4, 9
LEUNG Tat Kwong	ASD138	Licensed Representative - Responsible Officer	4, 9
LIANG Zibin	BBT510	Licensed Representative - Responsible Officer	1, 2
LIU Xiguang	BQD597	Licensed Representative - Responsible Officer	1, 4
LIU Xinguo	BOD325	Licensed Representative - Responsible Officer	4, 9
LOONG Fei Wan	AEW099	Licensed Representative - Responsible Officer	1
NAPOLI Joseph John	BOU703	Licensed Representative - Responsible Officer	4
OU Hung Chieh	AQE456	Licensed Representative - Responsible Officer	4, 9
POIX Arnaud Bernard Fabrice Noel	ATC267	Licensed Representative - Responsible Officer	1, 2, 7
REZAEIAN KOCHESFAHANI Reza	BCH570	Licensed Representative - Responsible Officer	1, 4
SUN Lefei	AXI385	Licensed Representative - Responsible Officer	1
TAL Roy	BPS956	Licensed Representative - Responsible Officer	1, 4
TANG Ye	ANQ032	Licensed Representative - Responsible Officer	1
VARGA Zoltan	AOI870	Licensed Representative - Responsible Officer	9
VICTOR Meaghan Elizabeth	BQG703	Licensed Representative - Responsible Officer	1, 4
VIMONT Eric Michel Joseph	BPX690	Licensed Representative - Responsible Officer	4
WANG Yang	BPM753	Licensed Representative - Responsible Officer	1, 4



**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2021**

CE Name	CE No.	License Type	Types of Regulated Activity
WEIR Caroline Mary	BJL239	Licensed Representative - Responsible Officer	1
WONG Him Chi, Angela Natasha	AAW459	Licensed Representative - Responsible Officer	9
WU Wing Hong, Simon	AWH566	Licensed Representative - Responsible Officer	4, 9
YUN Chong-Long	BQE849	Licensed Representative - Responsible Officer	9
YUNG Sin Leung	ABV409	Licensed Representative - Responsible Officer	1, 4, 9
ZHANG Jingsi	BQA561	Licensed Representative - Responsible Officer	9
AGRAWAL Manish	BQQ405	Licensed Representative (Provisional Licence)	1, 4, 9
AN Yuqing	AON940	Licensed Representative (Provisional Licence)	1, 4
BLONDIN Axel	BQM513	Licensed Representative (Provisional Licence)	2, 5
BRADY Mark Joseph	ARQ480	Licensed Representative (Provisional Licence)	1, 2
BRETT SHANE TAKAO	BQQ574	Licensed Representative (Provisional Licence)	1, 2, 4
CAI Yuanling	BQQ853	Licensed Representative (Provisional Licence)	1
CAO Chen	BQQ786	Licensed Representative (Provisional Licence)	1, 2
CAO Rui	BQQ968	Licensed Representative (Provisional Licence)	1, 6
Catherine	BQR005	Licensed Representative (Provisional Licence)	1, 4, 9
CHAN Hin Lun	BQQ372	Licensed Representative (Provisional Licence)	1, 4
CHAN Hon Kiu	BQQ816	Licensed Representative (Provisional Licence)	1, 4, 6
CHAN Miu Yin	BQQ272	Licensed Representative (Provisional Licence)	1, 4
CHAN Po Yi	BQQ373	Licensed Representative (Provisional Licence)	1, 2
CHAN Sze Yuen	BQP946	Licensed Representative (Provisional Licence)	1, 2
CHAN Yin Hong	BQP047	Licensed Representative (Provisional Licence)	1, 4
CHAN Yuet Ngai	BJQ460	Licensed Representative (Provisional Licence)	1
CHANG Jingyi	BQQ521	Licensed Representative (Provisional Licence)	1, 4
CHAPMAN Peter Alexander	BQQ365	Licensed Representative (Provisional Licence)	1, 6
CHEN Bingqin	BQQ976	Licensed Representative (Provisional Licence)	1, 6
CHEN Bowen	BQN646	Licensed Representative (Provisional Licence)	1, 4
CHEN Haoxin	BQR381	Licensed Representative (Provisional Licence)	4
CHEN Shen	BIO834	Licensed Representative (Provisional Licence)	4
CHEN Yibing	BQR780	Licensed Representative (Provisional Licence)	1, 4
CHEN Ying	ATY273	Licensed Representative (Provisional Licence)	1
CHEN Ying	BQN184	Licensed Representative (Provisional Licence)	4
CHEN Ying	BQQ803	Licensed Representative (Provisional Licence)	1, 4
CHEN Yunjie	BQR955	Licensed Representative (Provisional Licence)	1, 6
CHEN Yuying	BQM386	Licensed Representative (Provisional Licence)	1, 6
CHEN Zhixue	AQI855	Licensed Representative (Provisional Licence)	1, 4, 9
CHENG Kai-wen	BQQ225	Licensed Representative (Provisional Licence)	1, 2, 7
CHENG Siqi	BQR089	Licensed Representative (Provisional Licence)	4
CHENG Yiu Leung	BQR289	Licensed Representative (Provisional Licence)	1
CHENG Yuling	BQQ787	Licensed Representative (Provisional Licence)	1, 4
CHEUNG Kam Wai	BQN782	Licensed Representative (Provisional Licence)	1
CHEUNG Siu Hei	BQP334	Licensed Representative (Provisional Licence)	1, 4
CHEUNG Wai Lap	AXZ097	Licensed Representative (Provisional Licence)	1, 2, 4
CHEUNG Wan Piu	BQR220	Licensed Representative (Provisional Licence)	1, 2
CHIANG Rueti-cheng	BOZ703	Licensed Representative (Provisional Licence)	1, 6
CHIANG Tin Pui Timothy	BQN695	Licensed Representative (Provisional Licence)	1, 6
CHIU An Ni	BQQ572	Licensed Representative (Provisional Licence)	1, 4
CHIU Chin Hung Eugene	BQP866	Licensed Representative (Provisional Licence)	1, 4, 9
CHIU Kin Hei	BQR925	Licensed Representative (Provisional Licence)	1

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2021**

CE Name	CE No.	License Type	Types of Regulated Activity
CHIU Sin Tak Alex	BQL761	Licensed Representative (Provisional Licence)	1, 4, 9
CHOI Man Kit	APH044	Licensed Representative (Provisional Licence)	1
CHOI Tsz Leung	ASX465	Licensed Representative (Provisional Licence)	4, 9
CHOW Kin Fei	BNO120	Licensed Representative (Provisional Licence)	1, 4
CHOW Tsz Kwan	BQP759	Licensed Representative (Provisional Licence)	1, 4
CHU Chun Tung Felix	BQQ422	Licensed Representative (Provisional Licence)	1, 2
CHU Ka Lok	BQQ842	Licensed Representative (Provisional Licence)	9
CHUAH Hong Leng	AXD735	Licensed Representative (Provisional Licence)	1
CHUNG Ka Chun	BQR871	Licensed Representative (Provisional Licence)	1
CHUNG Tsz Shan	BQP449	Licensed Representative (Provisional Licence)	1, 4
DAI Yining	BQR742	Licensed Representative (Provisional Licence)	4
DASSANI Anuj	BQP740	Licensed Representative (Provisional Licence)	1
DASWANI Karan Jaikishin	BQQ612	Licensed Representative (Provisional Licence)	1, 2
DING Yifan	BQG398	Licensed Representative (Provisional Licence)	6
DONG Zeyao	BQQ321	Licensed Representative (Provisional Licence)	1, 4, 6
DU Zhiye	BQN747	Licensed Representative (Provisional Licence)	4
DUAN Yichun	BQQ797	Licensed Representative (Provisional Licence)	2
FONG Cheuk Man	ACQ172	Licensed Representative (Provisional Licence)	1
FU Ning	BQQ088	Licensed Representative (Provisional Licence)	1, 2
FU Yuen Lam	BQM563	Licensed Representative (Provisional Licence)	4, 9
FUNG Yi	AXU916	Licensed Representative (Provisional Licence)	1, 4, 9
FUNG Yi Long	BQQ279	Licensed Representative (Provisional Licence)	1, 2
GAO Chongguang	BQC580	Licensed Representative (Provisional Licence)	4, 9
GONG Wei	BQE294	Licensed Representative (Provisional Licence)	1, 4
GUERVILLE Jerome Christian	AVM345	Licensed Representative (Provisional Licence)	9
GULATI Naveen	BQP769	Licensed Representative (Provisional Licence)	1, 4, 9
HA Sui Man, Vincent	AJF563	Licensed Representative (Provisional Licence)	10
HAN Ruoyu	BQR009	Licensed Representative (Provisional Licence)	1, 6
HE Jiayu	BQC349	Licensed Representative (Provisional Licence)	4
HE Shufen	BQQ796	Licensed Representative (Provisional Licence)	1, 2
HE Xiaoying	BFN544	Licensed Representative (Provisional Licence)	1
HEGLIN Domenico Robert	BGZ729	Licensed Representative (Provisional Licence)	9
HIRCHY Florent Pierre-henri	BQR057	Licensed Representative (Provisional Licence)	2
HO Mei Ling	BQP828	Licensed Representative (Provisional Licence)	1, 2
HO Pak Yim	BQQ622	Licensed Representative (Provisional Licence)	1, 2
HO Wai Kam	BQQ765	Licensed Representative (Provisional Licence)	4
HO Yim Ha	BQP917	Licensed Representative (Provisional Licence)	1, 2, 4
HU Xiaobei	BQQ289	Licensed Representative (Provisional Licence)	1, 4, 9
HUA Zhenyu	BGF983	Licensed Representative (Provisional Licence)	1, 6
HUANG Jiajun	BIC863	Licensed Representative (Provisional Licence)	9
HUANG Shiting	BQR865	Licensed Representative (Provisional Licence)	1, 2, 9
HUANG Sihan	BQN223	Licensed Representative (Provisional Licence)	1, 2
HUANG Siyang	BQP743	Licensed Representative (Provisional Licence)	1, 4
HUANG Yan-cheng	BQQ642	Licensed Representative (Provisional Licence)	1
HUANG Yinwei	BQQ722	Licensed Representative (Provisional Licence)	1, 2
HUANG Zidong	BFY826	Licensed Representative (Provisional Licence)	1, 4
HUI Ka Hei Kenneth	BQQ815	Licensed Representative (Provisional Licence)	1, 4, 6
HUI Tak Lam	BKR387	Licensed Representative (Provisional Licence)	1, 2

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2021

CE Name	CE No.	License Type	Types of Regulated Activity
HUNG Pak Yung	AZC682	Licensed Representative (Provisional Licence)	4, 9
HUNG Tak Wai	BQQ641	Licensed Representative (Provisional Licence)	1, 4
HUO Yuheng	BQP533	Licensed Representative (Provisional Licence)	1, 4
HWANG Yong Hyeon	BQQ168	Licensed Representative (Provisional Licence)	1, 6
Ji Qingyu	ASD333	Licensed Representative (Provisional Licence)	1, 4
JIANG Chenlong	BQP133	Licensed Representative (Provisional Licence)	4
JIANG Joshua Wenbo	BQQ144	Licensed Representative (Provisional Licence)	1, 2
JIANG Lingling	BLL183	Licensed Representative (Provisional Licence)	1, 7
JOO Dongyoung	BQQ362	Licensed Representative (Provisional Licence)	1, 2
KAO Yu Lap, Alan	BDL571	Licensed Representative (Provisional Licence)	1, 2, 4
KIM Jung Soo	BQR028	Licensed Representative (Provisional Licence)	4
KIM Samuel, Nicolas	ATX996	Licensed Representative (Provisional Licence)	2
KIM Yeun Joo	BQO225	Licensed Representative (Provisional Licence)	1
KIM Yong Duk	BGG675	Licensed Representative (Provisional Licence)	1, 4
KOO Wai Sun	ADO017	Licensed Representative (Provisional Licence)	1
KOWALEC Charles Robert	BQK508	Licensed Representative (Provisional Licence)	1, 2
LAI Siu Fung	BQP574	Licensed Representative (Provisional Licence)	1, 4, 6
LAM Che Man, Louisa	BQQ755	Licensed Representative (Provisional Licence)	1, 4, 9
LAM Lam	BQQ616	Licensed Representative (Provisional Licence)	1, 6
LAM Shing Ki	BQQ859	Licensed Representative (Provisional Licence)	1
LAM Tak Ming, Hubert	BBU157	Licensed Representative (Provisional Licence)	1, 4
LAM Wai Hin	BQP695	Licensed Representative (Provisional Licence)	1, 2, 4, 9
LAU Chung Hei	BQP850	Licensed Representative (Provisional Licence)	1, 2, 4
LAU Sin Ling	BQQ855	Licensed Representative (Provisional Licence)	1
LAU Wung Koon	ABY860	Licensed Representative (Provisional Licence)	1, 2
LEE Hugo Tai Kin	BQQ503	Licensed Representative (Provisional Licence)	1, 2
LEE Hwan Hee	BQO812	Licensed Representative (Provisional Licence)	1
LEE Ka Ki Gary	BQQ748	Licensed Representative (Provisional Licence)	1, 6
LEE So Bing Sylvia	BQR854	Licensed Representative (Provisional Licence)	1, 2
LEI Linwen	BQP323	Licensed Representative (Provisional Licence)	1
LEROY Pierre Jean-Marie Michel	BQP557	Licensed Representative (Provisional Licence)	1
LEUNG Chun Man Boris	BFZ541	Licensed Representative (Provisional Licence)	1, 6
LEUNG Chun Sing	ALN127	Licensed Representative (Provisional Licence)	1, 2
LEUNG Hong Lam	BQQ723	Licensed Representative (Provisional Licence)	1, 4
LEUNG Shek Tin	BQP854	Licensed Representative (Provisional Licence)	1, 2, 4
LI Hanyue	BQN190	Licensed Representative (Provisional Licence)	4, 5
LI Jason Sze Kwok	BQP321	Licensed Representative (Provisional Licence)	1, 4, 9
LI Lin	BQQ721	Licensed Representative (Provisional Licence)	1, 6
LI Xian	BQQ699	Licensed Representative (Provisional Licence)	4
LI Xinwei	BBN708	Licensed Representative (Provisional Licence)	1, 4, 9
LI Ying	BQN192	Licensed Representative (Provisional Licence)	4, 9
LIANG Ruiyi	BQM916	Licensed Representative (Provisional Licence)	1, 6
LIAO Jie	BQH159	Licensed Representative (Provisional Licence)	1, 4, 9
LIAO Shihua	BJQ472	Licensed Representative (Provisional Licence)	4
LIAO Yihui	BQQ718	Licensed Representative (Provisional Licence)	1, 4, 9
LIN Shaolong	BQQ845	Licensed Representative (Provisional Licence)	1, 4
LIN Wenyi	BQP045	Licensed Representative (Provisional Licence)	1, 2
LIU Duan	BQR374	Licensed Representative (Provisional Licence)	1, 4, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2021

CE Name	CE No.	License Type	Types of Regulated Activity
LIU Jingran	BQO704	Licensed Representative (Provisional Licence)	1, 2
LIU Junwei	BQR365	Licensed Representative (Provisional Licence)	1, 4
LIU Ruoyang	BQQ791	Licensed Representative (Provisional Licence)	1, 6
LIU Suk Ling, Nerissa	ACD289	Licensed Representative (Provisional Licence)	1
LIU Xinguo	BOD325	Licensed Representative (Provisional Licence)	4, 9
LIU Yang	BQP881	Licensed Representative (Provisional Licence)	1, 6
LIU Zhetao	BQP532	Licensed Representative (Provisional Licence)	2
LO Cheuk Kwan	BQQ715	Licensed Representative (Provisional Licence)	1
LO Kwun Bui Lorraine	BQR847	Licensed Representative (Provisional Licence)	1, 2
LU Yunfei	BQO614	Licensed Representative (Provisional Licence)	6
LUI Ho Ting	BLK723	Licensed Representative (Provisional Licence)	1, 2, 4
LUK Kwun Hei	BQQ345	Licensed Representative (Provisional Licence)	1
LUNG Wing Cheung	AMC683	Licensed Representative (Provisional Licence)	2, 5
MA Gavin See-Yin	BBK028	Licensed Representative (Provisional Licence)	9
MA Haobo	BQR012	Licensed Representative (Provisional Licence)	1, 6
MA Minghao	BQO711	Licensed Representative (Provisional Licence)	1, 4
MA Zunhao	BQP348	Licensed Representative (Provisional Licence)	1, 4, 9
MACEY Maxwell Nathan	BQN330	Licensed Representative (Provisional Licence)	1, 2
MAK Chung Yee	BQR099	Licensed Representative (Provisional Licence)	6
MAO Yunjun	AZR330	Licensed Representative (Provisional Licence)	4, 9
MARDEN ADAM CAMPBELL	BQP714	Licensed Representative (Provisional Licence)	4, 9
MASON Rong Angie Ma	AGO962	Licensed Representative (Provisional Licence)	1, 4, 9
MC GREGOR Scot Edward	BQO592	Licensed Representative (Provisional Licence)	9
MCLEAN Erik Alexander	BQO809	Licensed Representative (Provisional Licence)	1
MIAO Cheng Ying	BQQ256	Licensed Representative (Provisional Licence)	1, 4, 6
MIAO Ying	BQP931	Licensed Representative (Provisional Licence)	1, 4
MILLER Ryan James	ARF537	Licensed Representative (Provisional Licence)	1, 7
MOK Leighton Lei-gong	BQP976	Licensed Representative (Provisional Licence)	1, 2
MULLAPUDI Hari Kiran	BQM387	Licensed Representative (Provisional Licence)	9
NG Chee Yung	BQO962	Licensed Representative (Provisional Licence)	1
NG Ka Yan	BQP735	Licensed Representative (Provisional Licence)	1
NG Kelvin Pak Tao	AYN251	Licensed Representative (Provisional Licence)	1, 4
NG King Kwong	APS297	Licensed Representative (Provisional Licence)	1, 4, 9
NG Nicholas Chun Fei	BQP700	Licensed Representative (Provisional Licence)	4
NG Wang Chiu	BKS775	Licensed Representative (Provisional Licence)	1, 2
NI Yaoqing	BQS034	Licensed Representative (Provisional Licence)	1, 6
NICHOLLS William James Roland	APJ504	Licensed Representative (Provisional Licence)	2, 5
PAN Zonghui	BQS382	Licensed Representative (Provisional Licence)	1, 6
PEDINI Anna	BQQ749	Licensed Representative (Provisional Licence)	1, 2
POK Ming Kai	BGB603	Licensed Representative (Provisional Licence)	1, 6
PROFFITT Lee James	BQL639	Licensed Representative (Provisional Licence)	1, 2
QIAO Yi	BPQ849	Licensed Representative (Provisional Licence)	1
QUINN Mitchell Douglas	BQN647	Licensed Representative (Provisional Licence)	1, 2
ROLFE Roland Christopher	AVI388	Licensed Representative (Provisional Licence)	1
ROY Raunak	BQQ754	Licensed Representative (Provisional Licence)	1, 2
SATO Ryutaro	BQL407	Licensed Representative (Provisional Licence)	1
SHAO Ying	AZQ933	Licensed Representative (Provisional Licence)	9
SHEA Kwan Chun Godfrey	BQR100	Licensed Representative (Provisional Licence)	4, 9

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2021**

CE Name	CE No.	License Type	Types of Regulated Activity
SIU Chan leong	BQO297	Licensed Representative (Provisional Licence)	9
SO Kai Chiu	BQO778	Licensed Representative (Provisional Licence)	1, 4, 9
SUN Danyang	BQQ696	Licensed Representative (Provisional Licence)	4
SUN Miaoling	ATM483	Licensed Representative (Provisional Licence)	4
SZE Tsz Kwan	BOL697	Licensed Representative (Provisional Licence)	4
TAO Nina Chih-Yu	ANI909	Licensed Representative (Provisional Licence)	1, 4, 9
TIAN Lei	BPK390	Licensed Representative (Provisional Licence)	1, 2, 4
TRAN QUI Ann-Sandra	ASD252	Licensed Representative (Provisional Licence)	1, 2, 7
TSANG Ka Hing John	BQQ794	Licensed Representative (Provisional Licence)	1
TSE Kai Yan, Venus	BQQ098	Licensed Representative (Provisional Licence)	1, 4, 9
TSE Sheung Ming Darren	AXP412	Licensed Representative (Provisional Licence)	1, 2
TSENG Wing Keung, Peter	BQQ349	Licensed Representative (Provisional Licence)	1, 2
TSO Kin Man	BQP094	Licensed Representative (Provisional Licence)	1
TU Haitao	BJY425	Licensed Representative (Provisional Licence)	1, 4, 9
TU Min	BQO410	Licensed Representative (Provisional Licence)	1
VERB Agoston	BQK484	Licensed Representative (Provisional Licence)	9
WANG Beili	BQO996	Licensed Representative (Provisional Licence)	4
WANG Chu	BQO707	Licensed Representative (Provisional Licence)	4
WANG Haili	BQQ575	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Jichao	BQR551	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Jingwei	BQQ798	Licensed Representative (Provisional Licence)	1, 4
WANG Jinlin	BQN937	Licensed Representative (Provisional Licence)	1, 4
WANG Yujue	BQQ351	Licensed Representative (Provisional Licence)	1, 2, 7
WANG Zhengrui	BQR338	Licensed Representative (Provisional Licence)	1, 4, 6
WEI Pengyu	BQQ653	Licensed Representative (Provisional Licence)	1, 2
WEI Yu	BQQ720	Licensed Representative (Provisional Licence)	4
WENG Tao	BBD584	Licensed Representative (Provisional Licence)	9
WONG Hin Kwong	BDW700	Licensed Representative (Provisional Licence)	1
WONG Joshua	BQO923	Licensed Representative (Provisional Licence)	10
WONG Lok Yi	BQP867	Licensed Representative (Provisional Licence)	1, 4, 9
WU Siu Kwan	BQP333	Licensed Representative (Provisional Licence)	1, 4
WU Yanpeng	BQR008	Licensed Representative (Provisional Licence)	1, 4, 9
XIA Yue	BQN163	Licensed Representative (Provisional Licence)	1, 4, 9
XIANG Wenqian	BQP120	Licensed Representative (Provisional Licence)	4
XIAO Yinan	AYS881	Licensed Representative (Provisional Licence)	1, 4, 9
XIE Wenxi	BQQ487	Licensed Representative (Provisional Licence)	1, 6
XU Bowen	BQG540	Licensed Representative (Provisional Licence)	1, 6
XU Yutong	BQS310	Licensed Representative (Provisional Licence)	1, 6
YAN Ho Yin	BNH239	Licensed Representative (Provisional Licence)	1, 2, 4
YAN Min	BQQ558	Licensed Representative (Provisional Licence)	4
YAN Tak Ming	ATL832	Licensed Representative (Provisional Licence)	1, 4
YANG Fan	BQR011	Licensed Representative (Provisional Licence)	1, 6
YANG Shulin	BQQ520	Licensed Representative (Provisional Licence)	1, 4
YAU Sze Yu	BMP533	Licensed Representative (Provisional Licence)	1, 9
YE Wenkang	BQP558	Licensed Representative (Provisional Licence)	1
YEUNG Chi Hong	BIQ873	Licensed Representative (Provisional Licence)	1, 7
YEUNG Chun Tung	BNU845	Licensed Representative (Provisional Licence)	1, 4, 9
YEUNG Sailung	BQS312	Licensed Representative (Provisional Licence)	1, 6

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2021**

CE Name	CE No.	License Type	Types of Regulated Activity
YIU Wing Cheong	BQP159	Licensed Representative (Provisional Licence)	1, 2
YOON Young Il	BQN696	Licensed Representative (Provisional Licence)	1, 2, 4
YU Cho Ming Jeremy	BQR013	Licensed Representative (Provisional Licence)	1, 2, 4
YU Liang	BQM630	Licensed Representative (Provisional Licence)	4, 9
YU Rong	BQR038	Licensed Representative (Provisional Licence)	1, 2, 4, 5
YUE Hengyu	BGA504	Licensed Representative (Provisional Licence)	4
YUE Yuan	BJB569	Licensed Representative (Provisional Licence)	1, 4
YUNG Steven	BQP401	Licensed Representative (Provisional Licence)	1, 4, 6
YUNG Tak Ching	BQQ638	Licensed Representative (Provisional Licence)	1, 4, 9
ZHANG Bing	ATI035	Licensed Representative (Provisional Licence)	4, 9
ZHANG Binmei	BND809	Licensed Representative (Provisional Licence)	4
ZHANG Fan	BKS625	Licensed Representative (Provisional Licence)	4, 9
ZHANG Hongtao	BQQ481	Licensed Representative (Provisional Licence)	1, 6
ZHANG Jianjiao	BQQ137	Licensed Representative (Provisional Licence)	1, 2
ZHANG Wenbin	BQQ793	Licensed Representative (Provisional Licence)	1, 2, 4, 9
ZHANG Wenwen	BQP581	Licensed Representative (Provisional Licence)	1, 2, 4, 5
ZHANG Yichi	AVO741	Licensed Representative (Provisional Licence)	9
ZHANG Yueyang	BQQ175	Licensed Representative (Provisional Licence)	1
ZHAO Bowen	BQQ533	Licensed Representative (Provisional Licence)	1, 4, 6
ZHAO Hong	BQO892	Licensed Representative (Provisional Licence)	1, 7
ZHAO Huijin	BQR105	Licensed Representative (Provisional Licence)	4, 9
ZHAO Nan	BQQ317	Licensed Representative (Provisional Licence)	1, 2
ZHAO Ran	BQQ828	Licensed Representative (Provisional Licence)	4
ZHAO Xiaohu	BQQ972	Licensed Representative (Provisional Licence)	1, 4, 6
ZHENG Yiming	BQR947	Licensed Representative (Provisional Licence)	1, 6
ZHONG Hongda	BQQ075	Licensed Representative (Provisional Licence)	1, 4
ZHONG Ni	BQQ374	Licensed Representative (Provisional Licence)	1, 4, 9
ZHOU Chuxiang	BQQ252	Licensed Representative (Provisional Licence)	1, 6
ZHOU Guozhu	BQQ799	Licensed Representative (Provisional Licence)	1, 2
ZHOU Muyang	BQQ747	Licensed Representative (Provisional Licence)	1, 6
ZHOU Qinyi	BKD622	Licensed Representative (Provisional Licence)	1, 4
ZHOU Xin	BQQ697	Licensed Representative (Provisional Licence)	4
ZHU Jieyi	BPY828	Licensed Representative (Provisional Licence)	1, 2
ZHU Tianhong	BQR270	Licensed Representative (Provisional Licence)	1, 2
ZHU Yunpeng	BQK257	Licensed Representative (Provisional Licence)	1
ZOU Jingtian	BQR581	Licensed Representative (Provisional Licence)	1, 7
GIULIANO Brian Louis	BQP540	Licensed Representative (Temporary Licence)	1
HARTING Morgan Clothier	AXT012	Licensed Representative (Temporary Licence)	4
HE Jason	BQQ758	Licensed Representative (Temporary Licence)	10
HOLLAND Greg Anthony	BMQ913	Licensed Representative (Temporary Licence)	1, 4
LUI Wing Nam	BQF351	Licensed Representative (Temporary Licence)	1, 6
MENGE JR STEVEN REID	BQP932	Licensed Representative (Temporary Licence)	1, 4
MONAPPA Aditya	BNH920	Licensed Representative (Temporary Licence)	4
WANG Yunyi	BQP890	Licensed Representative (Temporary Licence)	1, 4
China Zheshang Bank Co., Ltd.	BHF065	Registered Institution	1, 4