

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
Canfield Corporate Finance Company Limited	BNH889	Licensed Corporation	6
ChinaRock Capital Management Limited	AOV861	Licensed Corporation	9
GSA Capital Partners (Hong Kong) Limited	BLO675	Licensed Corporation	1, 2
Inheliance Asset Management Limited	BOD273	Licensed Corporation	4, 9
KVB Capital Markets Limited	BHR931	Licensed Corporation	3
AGRAWAL Saurabh	AHM906	Licensed Representative	9
AKBARSJAH Budi Aditya	BPC410	Licensed Representative	1, 4
ALLEN William David Macdonald	BBH972	Licensed Representative	1
AN Yifu	BPP249	Licensed Representative	4
ATKINSON James Anthony Thomas	AVF428	Licensed Representative	9
BAKHURU Hemant	BAU633	Licensed Representative	4
BAKOUK Salma	BJJ389	Licensed Representative	1, 2, 7
BALME Remi Diego Thomas	BPT480	Licensed Representative	1, 2
BAO Shijie	BNN323	Licensed Representative	4, 5, 9
BAR Guillaume, Arnaud, Adrien	BLF073	Licensed Representative	1
BAXTER Thomas Wilbur	AYY701	Licensed Representative	1, 2
BOURKE Cillian Anthony	AXY252	Licensed Representative	1, 2
CAI Lingfeng	BOM499	Licensed Representative	4
CAO Yining	BJD165	Licensed Representative	6
CAO Yu	BNV040	Licensed Representative	1, 4, 6
CAO Yuting	BLF629	Licensed Representative	1, 4, 9
CHAN Hiu Sze	BOZ947	Licensed Representative	6
CHAN Kam Lung	BOP663	Licensed Representative	1, 2
CHAN Kam Wan	AYF322	Licensed Representative	1
CHAN Kin Ho	BPK615	Licensed Representative	1, 4, 9
CHAN Tim Yu	BNA686	Licensed Representative	1, 4, 9
CHAN Ting Him	AYD567	Licensed Representative	1, 2
CHAN Tsz Ho	BCK442	Licensed Representative	4, 9
CHAN Wai Man Andy	BCB828	Licensed Representative	9
CHAN Wing Wa	BOF278	Licensed Representative	1, 4
CHAWLA RAM-RAJ ROBERT	BOP163	Licensed Representative	9
CHEN David Wai Chung	BGU035	Licensed Representative	1, 4, 9
Chen Jian	APK844	Licensed Representative	9
CHEN Jianghui	BLG365	Licensed Representative	4
CHEN Li Sian	BNJ721	Licensed Representative	4
CHEN Lin	AOX336	Licensed Representative	4
CHEN Simin	BOS815	Licensed Representative	1, 2
CHEN Weibin	BOD694	Licensed Representative	4
CHEN Xiaomin	BOZ659	Licensed Representative	1, 4, 6
CHEN Xinchen	BMT453	Licensed Representative	9
CHEN Yi-ho	BJU897	Licensed Representative	6
CHENG Dan	BOI598	Licensed Representative	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHENG Hei Naat	ARO882	Licensed Representative	1, 4
CHENG Hoi Tang, Vincent	BGX213	Licensed Representative	1, 9
CHENG Hong Wa	ALQ593	Licensed Representative	1, 4
CHENG Nga Man	BOS613	Licensed Representative	1, 4
CHENG Pengfei	BOV379	Licensed Representative	1, 6
CHEUNG Chi Kin	BKT187	Licensed Representative	1, 6
CHEUNG Kwok Ting, Adolf	AAV286	Licensed Representative	1, 2
CHEUNG Mee Sheung, Maisie	ACX283	Licensed Representative	4, 9
CHEUNG Oi Man, Joanne	AOE448	Licensed Representative	1, 2
CHEUNG Yee Tak Jonathan	BGZ326	Licensed Representative	1
CHEUNG Yick Hung, Jackie	AWW986	Licensed Representative	1, 2
CHIN Tai Yen	AWA325	Licensed Representative	1, 4
CHIU Chuk Hay	AEZ677	Licensed Representative	1
CHIU Ju-Ping	BJQ340	Licensed Representative	1, 2, 4
CHIU Mei Ze	AGM868	Licensed Representative	1
CHIU Shi Yin	BOI494	Licensed Representative	1, 4
CHIU Tze Ting	BNG237	Licensed Representative	1, 2, 9
CHOW Hang Chi	BJQ175	Licensed Representative	1, 4
CHOW Hin Ting	BMG814	Licensed Representative	9
CHOW Ka Keung	AFO815	Licensed Representative	1, 2
CHOW Lewis Cheuk Hei	BMJ344	Licensed Representative	3
CHOW Mareene Emilee	BHA480	Licensed Representative	1, 2
CHOW Sik Wah	AAB229	Licensed Representative	1
CHUN Chung Kit	BNW258	Licensed Representative	1, 4
CHUNG Hoi Ying	AVM465	Licensed Representative	1
CHUNG Wai Sze, Grace	AEQ447	Licensed Representative	4, 9
COURT Alain	BMV156	Licensed Representative	1, 4, 9
DENG Jun	BKZ667	Licensed Representative	1, 4, 9
DENG Rong	AND181	Licensed Representative	1, 2
DILLON Joshua Han Bo	BOJ187	Licensed Representative	1
DING Binbin	BOM497	Licensed Representative	4
DING Jiaowan	BPJ942	Licensed Representative	4
DING Xiao	BDR020	Licensed Representative	1, 4
DONG Qianqian	BOI856	Licensed Representative	4, 9
DONG Shen	BPP983	Licensed Representative	9
D'SOUZA Dexter Augustus	ATX280	Licensed Representative	1, 2
FAN Youbiao	BMV583	Licensed Representative	1, 6
FANG Fang	BLR664	Licensed Representative	9
FANG Xingzhi	BOT215	Licensed Representative	1, 4, 9
FENG Lingtian	AYQ548	Licensed Representative	4
FENG Zhenglin	BOQ060	Licensed Representative	1, 4
FU Linzhao	BHC760	Licensed Representative	4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
GAI Benyang	BOP110	Licensed Representative	2, 5
GO Mau Ngai	AAK149	Licensed Representative	1
GONG Yuzhe	BNT819	Licensed Representative	1, 9
GU Mengjie	BLO442	Licensed Representative	1, 6
GUI Qiongyan	ART043	Licensed Representative	1
GUO Peiyao	BOI388	Licensed Representative	1, 4
GUO Yanchao	BPK410	Licensed Representative	1, 4
GUO Yilai	BOR871	Licensed Representative	1, 4, 6
GURNANI Dinesh	BCO755	Licensed Representative	1, 2
HAN Kexiong	BIG730	Licensed Representative	1, 4
HAN Yu	BOR260	Licensed Representative	1, 4, 9
HANNAFORD Stephen Andrew	BGQ541	Licensed Representative	9
HARTER James Hassett	BOR939	Licensed Representative	1, 6
HE Siyang	BGE017	Licensed Representative	1, 4, 9
HE Tian	BNO861	Licensed Representative	4, 9
HENDRY Cyrus Richard	AQS814	Licensed Representative	9
HEYMAN Benjamin Daniel Peter	BMT480	Licensed Representative	1, 2, 4, 5
HO Justin Gah-jen	BIA038	Licensed Representative	1, 9
HO Kin Wan, Sammy	AAA466	Licensed Representative	1, 2
HO Siu Han Christine	AFN754	Licensed Representative	1
HOU Xingyang	BMV632	Licensed Representative	4, 9
HSU Chiao Chih	AXW468	Licensed Representative	4
HU Xiangyu	BNG229	Licensed Representative	4
HU Yangzhao	BMB622	Licensed Representative	4
HUA Tianxing	BPC296	Licensed Representative	4
HUANG Kaiyao	BPJ462	Licensed Representative	1
HUANG Li Yao	BER758	Licensed Representative	10
HUANG Longwei	BPM927	Licensed Representative	1, 6
HUANG Sunpeng	BNH090	Licensed Representative	1, 6
HUANG Tian	BIA160	Licensed Representative	4
HUANG Yung-Chao	AHK485	Licensed Representative	1
HUANG Zekun	BNF375	Licensed Representative	1, 6
ISHIHARA Tetsuo	BEV134	Licensed Representative	4
JIANG Li	BOP624	Licensed Representative	4, 9
JIAO Chunyan	BAF090	Licensed Representative	1
JIN Yanzhe	BNK527	Licensed Representative	1, 2
JOHNSON Donald Joseph	AZH721	Licensed Representative	1, 2
JOHNSON Matthew Coogan	BBU784	Licensed Representative	4
JUNG Chaerim	BFU379	Licensed Representative	2, 5
JUNG Mohammad Abdullah Sahir Bin	BNH569	Licensed Representative	1, 2
KAN Yat Ming Jason	BJT639	Licensed Representative	1, 4, 6
KELT Simon Edward Albert	ATI749	Licensed Representative	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
KONDO Ken	AUY917	Licensed Representative	1, 2
KWAN Tin Yuk, Timothy	APZ090	Licensed Representative	1, 2
KWOK Alex Yiu Chung	BIR488	Licensed Representative	9
KWOK Chak Lam	BPJ593	Licensed Representative	1, 4, 9
LAI Yi-Che	AGH216	Licensed Representative	4
LAM Chak Fu	BBM323	Licensed Representative	1, 2, 4
LAM Ka Wai, Rebecca	BAR659	Licensed Representative	1, 4
LAM Lincoln	BLU924	Licensed Representative	1, 2, 3, 4
LAM Ryan Pui Yu	BJP988	Licensed Representative	1, 4, 9
LAM Sen Yong	BMQ515	Licensed Representative	1, 2, 4, 9
LANE Christopher Allen	BBK862	Licensed Representative	1, 4
LAU Chun Yan	BJV347	Licensed Representative	1, 2, 3, 9
LAU Chung Kit	AUE965	Licensed Representative	1
LAU Hok Yuk	AOE856	Licensed Representative	1, 4
LAU Jacky Chun Ho	AUG743	Licensed Representative	9
LAW Tsz Kit	BOJ325	Licensed Representative	1, 2
LEE Fung Chuen	AZJ743	Licensed Representative	1, 2
LEE Jae IL	AQO244	Licensed Representative	1
LEE Joon Mo	BNZ795	Licensed Representative	6
LEE Ka Ho	ATO877	Licensed Representative	1, 6
LEE Min Sub	BEP262	Licensed Representative	1
LEE Pui Man	AIU551	Licensed Representative	1, 4
LEE Sin Man	APV763	Licensed Representative	1, 2
LEE Tip Sheung	AEV844	Licensed Representative	1
LEE Tsz Yin Jonathan	BKM304	Licensed Representative	4, 9
LEE Yen Hwei Jennifer	BIK426	Licensed Representative	1, 4
LEI Lei	BPT207	Licensed Representative	4
LEONG Chon-ian	AZD613	Licensed Representative	1
LEUNG Tye, Jason	AOB897	Licensed Representative	1
LI Dong Chao	BJR605	Licensed Representative	10
LI Ranbor Lan Bin	BGT059	Licensed Representative	4, 9
LI Xinye	BNG674	Licensed Representative	1, 2
LI Yiting	BOG043	Licensed Representative	6
LI Yun	BIT463	Licensed Representative	4
LIAN ZHE	BMC489	Licensed Representative	1, 4
LIANG Zun	BNL470	Licensed Representative	1, 6
LIN Chi-Hsuan	BHF100	Licensed Representative	1, 2
LIN Ko An	BOZ577	Licensed Representative	1, 4, 9
LIN Xiaoling	APH362	Licensed Representative	9
LIU Tang	BOL063	Licensed Representative	1, 4
LIU Yi	BOT758	Licensed Representative	1, 4
LIU Yiming	BOJ702	Licensed Representative	4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
LIU Zhijun	BMW928	Licensed Representative	4
LO Ka Yi Joey	BPB752	Licensed Representative	9
LO Sau Wai	AFK054	Licensed Representative	1, 4, 9
LU Maggie	BCN441	Licensed Representative	1, 4
LU Qing	BEZ264	Licensed Representative	1, 2
LUO Weicheng	BAP838	Licensed Representative	1, 2, 3
MA Ching Miu, Priscilla	AAA277	Licensed Representative	1, 2
MA Chun Ki	BPT169	Licensed Representative	1
MATSUO Kai	BOM712	Licensed Representative	1, 4, 6
MAYER John Raymond	BKX376	Licensed Representative	1, 2
MELLIS Darren Paul	BCY152	Licensed Representative	9
MENG Xiaoning	BBZ464	Licensed Representative	1
MIAO Shulin	BJH269	Licensed Representative	9
MITHRAN Girish	AFU262	Licensed Representative	9
MOFFATT Matthew George	BHV149	Licensed Representative	1
MOU Zhengwen	BOG478	Licensed Representative	6
MUNDORF David	BAO563	Licensed Representative	1, 2
NAHAR Piyush	BBL066	Licensed Representative	4
NASTANSKI Neil Adam	BCY576	Licensed Representative	4, 9
NEWTON Christopher John	AVJ280	Licensed Representative	1, 2, 7
NG Chun Kin	BOI219	Licensed Representative	4, 9
NG Jasmine Claire	BJG725	Licensed Representative	1, 4, 9
NG Wing Yin	BLT285	Licensed Representative	1, 2, 3, 4
NING Hill Fung	BOK828	Licensed Representative	1, 4
NIP Chun Pong	AIG199	Licensed Representative	4
PAN Ninghe	BNH310	Licensed Representative	4
PAN Wenang	BLL356	Licensed Representative	1, 9
PARK Yejin	BMV274	Licensed Representative	1
PATRON ORTIZ Alvaro Fernando	BOA027	Licensed Representative	1, 4, 6
PENG Zhiting	BGH124	Licensed Representative	1, 2, 3
PHILIPPSON Thomas Olafur Vaughton	BAC320	Licensed Representative	1, 2
POON Ho Wing	BMV985	Licensed Representative	1
QIAN Wenqiong	BJT611	Licensed Representative	9
QIAO Xin	BPS241	Licensed Representative	1, 4, 9
QIU Leyuan	BQB163	Licensed Representative	4
QUEK Kiah Ling	AQA731	Licensed Representative	1
ROUZIER Tom Baptiste	BOJ264	Licensed Representative	1, 2
SATTAR Aaron Richard	BLI892	Licensed Representative	1, 2
SEONG Kyeong Sik	BFZ082	Licensed Representative	1, 4
SHEN Chengjie	AVQ799	Licensed Representative	1, 4
SHEN Le	BNH896	Licensed Representative	4
SHEN Shijun	BOW750	Licensed Representative	6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
SHENG Wei-Ting	AJL418	Licensed Representative	1
SHI Chenrong	BPD736	Licensed Representative	1, 2
SHI Luyao	BJG851	Licensed Representative	9
SHI Qian Ronnie	ASI187	Licensed Representative	1, 2
SHI Xingxing	BJM224	Licensed Representative	1, 4
SHING Wah Chun, Walden	ANM477	Licensed Representative	4
SHROFF Rukhshad Tehmtan	AIN692	Licensed Representative	4, 5, 9
SHUM Ching Leung	BOS303	Licensed Representative	4, 9
SHUM Wai Cheung	AAH982	Licensed Representative	1
SINGER Matthew Karl	BJE608	Licensed Representative	1, 4, 6
SINGHVI Ravi	BOB774	Licensed Representative	4, 9
STOCK Nicholas Alexander	BJY746	Licensed Representative	1, 4, 9
SU Jialin	AXT096	Licensed Representative	1, 4
SUN Xiaodong	BJJ040	Licensed Representative	4, 9
SUNG Yuk Nam	AQG693	Licensed Representative	1, 2
SZE Wai Lun	AZB035	Licensed Representative	1, 4, 9
TAM Kit Sai	AFM299	Licensed Representative	1
TAM Koon Wing	AVF814	Licensed Representative	1
TAM Siu Tsan	ATC134	Licensed Representative	1, 4
TAN Loh Boon Louis	BPK460	Licensed Representative	1
TANG Chi Kwong	BGP108	Licensed Representative	9
TANG Li-yu	AWE763	Licensed Representative	9
TANG Sisi	BLN457	Licensed Representative	4
TANG Tak Nam	AAC047	Licensed Representative	9
TANG Xinpei	BLN463	Licensed Representative	4
TANG Yi	BPG093	Licensed Representative	1, 6
TAYLOR Luke Richard	BPL111	Licensed Representative	9
TIAN Ce	BNX411	Licensed Representative	1, 4, 9
TIAN Tian	BOJ642	Licensed Representative	1
TO Man Man Judy	BHP914	Licensed Representative	1, 4, 9
TONG Minlong	BOT267	Licensed Representative	1, 2
TSANG Ka Wai	BHG876	Licensed Representative	1
TSANG Sze Wai, Karen	AFN688	Licensed Representative	1, 4, 9
TSE Shing Chi	BAK932	Licensed Representative	1, 4, 9
TSOI Ka Ki	BLK651	Licensed Representative	1
TSUI Chun Man Benjamin	BJQ007	Licensed Representative	1, 4, 9
TSUI Samuel	BFR580	Licensed Representative	1, 4, 6
TSUI Yi	BFZ563	Licensed Representative	1, 6
TUIL Jeremy Jacques	ASC991	Licensed Representative	9
UDAGAWA Hiroshi	AVQ207	Licensed Representative	1, 4
VAN DEN BERGH Candice	BPX098	Licensed Representative	9
VARGA Edit	BLB529	Licensed Representative	1, 2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
WAJNGARTEN Matthew Simon	BME751	Licensed Representative	1, 2
WANG Dan	BLO228	Licensed Representative	4, 9
WANG Jianyu	BOP243	Licensed Representative	1, 4, 9
WANG Jin	BOT082	Licensed Representative	1, 4
WANG Jue	BOF346	Licensed Representative	1, 4
WANG Jun	BOI914	Licensed Representative	1, 4
WANG Ning	BNV447	Licensed Representative	4
WANG Xueqing	BNQ652	Licensed Representative	6
WANG Zijiao	BHG785	Licensed Representative	1, 4
WEN Bo	BOC082	Licensed Representative	9
WEN Wai Ping, Jennifer	ADP450	Licensed Representative	1, 2
WONG Choi Ying, Carmen	AQD464	Licensed Representative	4, 9
WONG Gee Way	BHC540	Licensed Representative	1, 6
WONG Jimmy Kin Bong	ACI556	Licensed Representative	4
WONG Ka Kwan	BPP109	Licensed Representative	1
WONG Kwok Keung	ACC472	Licensed Representative	1
WONG Kwong Leong	BBD139	Licensed Representative	1, 2
WONG Sau Chun, Carrie	ACX079	Licensed Representative	1, 2
WONG Shing Hong, Kent	BCY701	Licensed Representative	1, 4, 6
WONG Sui Man	AMH458	Licensed Representative	1, 4, 9
WONG Wing Pan, Benny	AQO246	Licensed Representative	1, 4, 6
WOODS Ciaran David	BKY234	Licensed Representative	1, 2
WU Bin	ASC683	Licensed Representative	1, 2
WU Danchen	BNC422	Licensed Representative	1, 4, 6
WU Jason	BOF483	Licensed Representative	4, 9
WU JR John	BNV414	Licensed Representative	1, 4
WU Kwun Tung	BPQ396	Licensed Representative	1
WU Ming Hung	BKL305	Licensed Representative	1, 2
WU Ming Yan	BHK413	Licensed Representative	9
WU Yilin	BOA252	Licensed Representative	1, 4
XIA Mang	BCU732	Licensed Representative	1, 4
XIA Xiaosui	AQA110	Licensed Representative	1, 4, 9
XIANG Jingwen	BEJ869	Licensed Representative	1, 6
XIE Chen	BPF588	Licensed Representative	4
XIE Di	BGW440	Licensed Representative	1, 4, 5, 9
XIN Xin	BFY393	Licensed Representative	1, 6
XU Weichang	BDR768	Licensed Representative	1, 4, 6, 9
XUE Yang	BHX996	Licensed Representative	1, 2, 9
YANG Lingxiu	AVH936	Licensed Representative	4
YANG Seung Jun	BFJ727	Licensed Representative	9
YANG Xi	BMX508	Licensed Representative	1, 4, 9
YANG Yu	ALD507	Licensed Representative	1, 4, 5, 9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
YAO Yang	BPO892	Licensed Representative	6
YEO Weng Cheong Sam	BKE325	Licensed Representative	1, 4, 6
YEUNG Chun Yu	BGC808	Licensed Representative	1, 6
YIP Yin Fung	BNO824	Licensed Representative	1, 2, 4
YOU Janis Siu Ming	AFY832	Licensed Representative	1, 4
YU Chi Tsing, Chloe	AVL444	Licensed Representative	9
YU Shu Yi	BPB355	Licensed Representative	4, 9
YU Wai Ting, Judy	AMJ822	Licensed Representative	1
YUEN Ryan Aaron	BQJ921	Licensed Representative	1, 6
YUNG Kwok Wah, Clarence	BIR360	Licensed Representative	9
ZANG Jingying	BNG590	Licensed Representative	1
ZENG Zhen	BDU995	Licensed Representative	6
ZHAI Yu	BOL852	Licensed Representative	1, 4, 9
ZHANG Hanzhu	BNO985	Licensed Representative	1, 2
ZHANG Jiabi	BNH188	Licensed Representative	4
ZHANG Jing	BJL680	Licensed Representative	1, 4
ZHANG Shaobo	BIM865	Licensed Representative	1, 2
ZHANG Shengsu	AZI961	Licensed Representative	4, 9
ZHANG Wenwen	BLI057	Licensed Representative	4, 9
ZHANG Yi	BDJ166	Licensed Representative	1, 4
ZHAO Tianxing	BFW332	Licensed Representative	4, 9
ZHAO Xiao Le	AGC972	Licensed Representative	1
ZHAO Yao	BFY025	Licensed Representative	4, 9
ZHAO Yujie	BPI672	Licensed Representative	6
ZHENG Qin	ASP724	Licensed Representative	2
ZHONG Xiaotong	BJM474	Licensed Representative	1
ZHOU Guanyu	BKV927	Licensed Representative	1, 6
ZHOU Xuan	BDI901	Licensed Representative	1, 2, 4, 5, 9
ZHU Anne Rui	AUM639	Licensed Representative	1, 4, 6
ZHU Jiayi	BLC455	Licensed Representative	1, 2
ZHU Junsheng	BGC189	Licensed Representative	1, 2
AU King Lun	ABL820	Licensed Representative - Responsible Officer	1, 4, 5, 9
BAXTER Thomas Wilbur	AYY701	Licensed Representative - Responsible Officer	4, 9
BAYLISS James Michael Edward	BPK778	Licensed Representative - Responsible Officer	4, 5, 9
CHAN Hon Fai, Edward	AKJ965	Licensed Representative - Responsible Officer	9
CHAN Hsi Haye	BCA047	Licensed Representative - Responsible Officer	9
CHAN Yee Pui	ABK900	Licensed Representative - Responsible Officer	1
CHANG Kwok Fai	ADA891	Licensed Representative - Responsible Officer	1, 4, 9
CHEN Senlin	BCJ309	Licensed Representative - Responsible Officer	1, 4, 9
CHENG Yee Kwan	BOZ197	Licensed Representative - Responsible Officer	1, 4, 9
CHEUNG Yee Tak Jonathan	BGZ326	Licensed Representative - Responsible Officer	6
CHUI Chi Wai, Joseph	BIZ909	Licensed Representative - Responsible Officer	4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
CIARDI Francesco	BPV396	Licensed Representative - Responsible Officer	4, 5, 9
DONG Haitao	AZZ298	Licensed Representative - Responsible Officer	4, 9
ECONOMOS Andrew	APW596	Licensed Representative - Responsible Officer	4, 9
FALCK Charles Robert	BCQ314	Licensed Representative - Responsible Officer	9
FUKUSHIMA Teruhisa	BOC947	Licensed Representative - Responsible Officer	1
GAMMONS Christopher Mc Garr	AFF268	Licensed Representative - Responsible Officer	1, 4, 6
GAO Daguang	BCF482	Licensed Representative - Responsible Officer	1, 4
GARON Ross	BIS778	Licensed Representative - Responsible Officer	9
GOULD III Richard Gerardus	BOC417	Licensed Representative - Responsible Officer	1, 4, 7
GROVE Daryl Gavin	BGT769	Licensed Representative - Responsible Officer	9
HAO Andrew	AQE517	Licensed Representative - Responsible Officer	9
HE Chengzhang	BDW580	Licensed Representative - Responsible Officer	4, 9
HEFFNER Paul Lincoln	ACO619	Licensed Representative - Responsible Officer	9
HIRN Karine Christine	AOG947	Licensed Representative - Responsible Officer	1, 4, 9
HO Choon Leng, Patrick	AMY851	Licensed Representative - Responsible Officer	1, 4, 9
HO Kit Man	ABU342	Licensed Representative - Responsible Officer	1
HO Man Kit	BPE801	Licensed Representative - Responsible Officer	9
HSIEH Wei-Hong	AVC357	Licensed Representative - Responsible Officer	1, 4, 9
HU Yuting	AMK006	Licensed Representative - Responsible Officer	6
HUANG He	BOS012	Licensed Representative - Responsible Officer	2
HUANG Wei Meng	BFD871	Licensed Representative - Responsible Officer	4, 9
JIANG Ting	BFL046	Licensed Representative - Responsible Officer	4, 9
JOHNSON Nicholas Regan	AYT747	Licensed Representative - Responsible Officer	1, 4, 6
KIKUHARA Yoshiaki	BNI692	Licensed Representative - Responsible Officer	1
KO Aaron Tze Hin	AVB828	Licensed Representative - Responsible Officer	1, 6
KONG Chun On	AZO335	Licensed Representative - Responsible Officer	9
LAM Lai Heung	AEP936	Licensed Representative - Responsible Officer	1, 2, 4, 9
LAU Chi Yin	BND487	Licensed Representative - Responsible Officer	1, 4
LAU Chun Yu	ANK121	Licensed Representative - Responsible Officer	9
LAU Jacky Chun Ho	AUG743	Licensed Representative - Responsible Officer	1, 4
LAU Kok Wah	AAA605	Licensed Representative - Responsible Officer	9
LEE Fung Chuen	AZJ743	Licensed Representative - Responsible Officer	3
LEE Kyung Young	AGY967	Licensed Representative - Responsible Officer	6
LEE Yi Ching	AWX835	Licensed Representative - Responsible Officer	1, 4, 9
LEUNG Ka Fai, Fred	ADX809	Licensed Representative - Responsible Officer	1, 4, 6
LI Kam Ching, Derick	ADH908	Licensed Representative - Responsible Officer	1, 2, 4, 9
LI Wanli	ARV790	Licensed Representative - Responsible Officer	1
LI Yiu Fai	AYQ760	Licensed Representative - Responsible Officer	4, 9
LI Yong	APM138	Licensed Representative - Responsible Officer	4
LIN Ching Yin	ANX688	Licensed Representative - Responsible Officer	1, 4
LO Chin Cheung	APC270	Licensed Representative - Responsible Officer	2
MAK Lai Ling, Ada	AAJ497	Licensed Representative - Responsible Officer	4, 9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
MEENS Lodewijk Conrard Bernard Joost	ALZ935	Licensed Representative - Responsible Officer	1, 4, 6
MENG Xiaoning	BBZ464	Licensed Representative - Responsible Officer	4, 9
MURRAY Carol Edith Nee Zimmern	AAJ427	Licensed Representative - Responsible Officer	1
NONNENMACHER Sophie Sabine	APJ411	Licensed Representative - Responsible Officer	4, 5, 9
PHILIPPON Pierre-Yves	AZB316	Licensed Representative - Responsible Officer	1, 2
PRIVETT Spencer John	BLH180	Licensed Representative - Responsible Officer	9
PUN Kai Tai, Gary	ABY088	Licensed Representative - Responsible Officer	4, 9
REZNIK Alexander	BMM127	Licensed Representative - Responsible Officer	1, 4, 6, 9
RYDQVIST Craig Ivan	BOM591	Licensed Representative - Responsible Officer	1
SEVCIK Radim	BMV469	Licensed Representative - Responsible Officer	1, 4, 6
SHEN Chengjie	AVQ799	Licensed Representative - Responsible Officer	9
SOONG Yuk Lun, Gary	ADP887	Licensed Representative - Responsible Officer	4, 9
SRISKANDARAJAH Raveendra	BGT167	Licensed Representative - Responsible Officer	1, 4, 9
STADELMANN Cedric Pierre Andre	BPO978	Licensed Representative - Responsible Officer	9
SUEN Pui Shan	BBS465	Licensed Representative - Responsible Officer	1, 4, 9
SUN Yi	APZ227	Licensed Representative - Responsible Officer	9
TAI Kwok Keung	AAE013	Licensed Representative - Responsible Officer	1, 4, 9
TANG Tsz Mei	BJP054	Licensed Representative - Responsible Officer	1, 4, 9
THE LORD FARMER Michael Stahel	BLE979	Licensed Representative - Responsible Officer	9
TSUI Wai Lun	BHO716	Licensed Representative - Responsible Officer	1, 2
VAN EEK Richard Paul Christiaan	APO588	Licensed Representative - Responsible Officer	1, 2
WANG Heqian	BKB654	Licensed Representative - Responsible Officer	4, 9
WONG Ka Ho	BDF253	Licensed Representative - Responsible Officer	1, 4, 9
XU Xiang	BEU983	Licensed Representative - Responsible Officer	9
YASUI Katsuhiko	BMV945	Licensed Representative - Responsible Officer	1, 2, 4, 5, 6
YEUNG Wan Yiu	ADY321	Licensed Representative - Responsible Officer	1, 4, 9
YUEN Shing Kuen	AAG766	Licensed Representative - Responsible Officer	1, 4, 9
ZAIMI Hossein	BGU210	Licensed Representative - Responsible Officer	1, 7
ZHU Dale Qingpu	AXJ833	Licensed Representative - Responsible Officer	9
AGRAWAL Manish	BQQ405	Licensed Representative (Provisional Licence)	1, 4, 9
AU Pui Ho	BNX486	Licensed Representative (Provisional Licence)	1, 2
BAI Guangxin	BQN454	Licensed Representative (Provisional Licence)	1, 4, 6
BAO Hong	BQO793	Licensed Representative (Provisional Licence)	1, 4, 6
BOA Yuk Wah Yuan	BNG891	Licensed Representative (Provisional Licence)	9
BURNET Antoine	BQN318	Licensed Representative (Provisional Licence)	1, 4, 9
CAI Wenjie	BQN333	Licensed Representative (Provisional Licence)	1, 2
CAI Yihan	BQN332	Licensed Representative (Provisional Licence)	1, 4, 6
CHAK Kwok Fai	AAY091	Licensed Representative (Provisional Licence)	1, 4
CHAN Chui Ling	BQM152	Licensed Representative (Provisional Licence)	1
CHAN Jason Chun Ho	BQO425	Licensed Representative (Provisional Licence)	1, 4, 9
CHAN Matthew Wing Tou	BGH189	Licensed Representative (Provisional Licence)	1, 2
CHAN Shun Choi	BQN317	Licensed Representative (Provisional Licence)	1, 2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHAN Siu Ki, Eric	AXG825	Licensed Representative (Provisional Licence)	1
CHAN Yin Ming	ARO378	Licensed Representative (Provisional Licence)	1, 4, 9
CHAN Yu Fung Christopher	BQL861	Licensed Representative (Provisional Licence)	1
CHANG Chuo	BQM508	Licensed Representative (Provisional Licence)	1, 4, 6
CHANG Jingyi	BQO521	Licensed Representative (Provisional Licence)	1, 4
CHAU Kit Yi	BQM231	Licensed Representative (Provisional Licence)	9
CHEN Ronghua	BQO896	Licensed Representative (Provisional Licence)	1, 2
CHEN Yida	BQN726	Licensed Representative (Provisional Licence)	1, 6
CHEN Ying	BQN184	Licensed Representative (Provisional Licence)	4
CHEN Yu	BQM408	Licensed Representative (Provisional Licence)	1, 2, 7
CHEN Zuncheng	BQN732	Licensed Representative (Provisional Licence)	1, 6
CHENG Ka Chai	BQO627	Licensed Representative (Provisional Licence)	1, 2, 3
CHENG Wing Sze	BPY724	Licensed Representative (Provisional Licence)	1
CHENG Yasi	BQN264	Licensed Representative (Provisional Licence)	1, 4
CHEUN Wan Foon	BNC094	Licensed Representative (Provisional Licence)	1
CHEUNG Cham Chiu	BQM313	Licensed Representative (Provisional Licence)	1
CHEUNG Wai Yip	BQO612	Licensed Representative (Provisional Licence)	1
CHIU Leong Yee, Terry	BQM677	Licensed Representative (Provisional Licence)	1
CHIU Lon, Ronald	AVY720	Licensed Representative (Provisional Licence)	1
CHOI Tsz Leung	ASX465	Licensed Representative (Provisional Licence)	4, 9
CHOW Johnathan Long Ching	BQN725	Licensed Representative (Provisional Licence)	1, 2
CHUANG Shu Han Linnda	BJQ220	Licensed Representative (Provisional Licence)	1
CUI Chenfei	BHS701	Licensed Representative (Provisional Licence)	1, 4, 6
DAI Wei	BQM430	Licensed Representative (Provisional Licence)	1, 4, 6
DENG Qiaofeng	BQN515	Licensed Representative (Provisional Licence)	1, 4
DENG Xianheng	BQO036	Licensed Representative (Provisional Licence)	1, 6
DING Danhao	BQL551	Licensed Representative (Provisional Licence)	1, 2, 7
DING Liqi	AYJ941	Licensed Representative (Provisional Licence)	9
DING Yifan	BQG398	Licensed Representative (Provisional Licence)	6
DU Zhiye	BQN747	Licensed Representative (Provisional Licence)	4
DUAN KaiZhong	BQO342	Licensed Representative (Provisional Licence)	6
FANG Liangrun	BQN733	Licensed Representative (Provisional Licence)	1, 6
FANG Ming	BQM506	Licensed Representative (Provisional Licence)	1, 2
FENG Shiran	ARJ059	Licensed Representative (Provisional Licence)	1, 4
FU Shuyu	BQN291	Licensed Representative (Provisional Licence)	1, 4, 6
FU Yuen Lam	BQM563	Licensed Representative (Provisional Licence)	4, 9
GAN Yuqi	BQM759	Licensed Representative (Provisional Licence)	1, 4, 9
GAN Zhuofeng	BQO456	Licensed Representative (Provisional Licence)	1, 2
GAO Danni	BQL608	Licensed Representative (Provisional Licence)	1
GAO Weiwei	BQL394	Licensed Representative (Provisional Licence)	1, 2
GORHAM Philip Matthew	BQF352	Licensed Representative (Provisional Licence)	4
GRUSZEWSKI Toby William	BQM539	Licensed Representative (Provisional Licence)	1, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
GU Shun	BQO373	Licensed Representative (Provisional Licence)	1, 6
GUO Chaofeng	BQI612	Licensed Representative (Provisional Licence)	4, 9
Hai Ka Ki Martin	BPU999	Licensed Representative (Provisional Licence)	9
HAN Baiyang	BQO579	Licensed Representative (Provisional Licence)	1, 6
HE Yuanyuan	BQP725	Licensed Representative (Provisional Licence)	1, 6
HE Zhishan	BQO934	Licensed Representative (Provisional Licence)	1, 4, 6
HEPPLESTONE Richard	BPE699	Licensed Representative (Provisional Licence)	1, 4
HIRATA Tomohiro	BQO764	Licensed Representative (Provisional Licence)	1, 4, 6
HO Chi Chung	AGL959	Licensed Representative (Provisional Licence)	10
HO Pak Yim	BQQ622	Licensed Representative (Provisional Licence)	1, 2
HO Wing Shan	BQM807	Licensed Representative (Provisional Licence)	1
HSIEH Hong	BQN200	Licensed Representative (Provisional Licence)	1, 4, 9
HU Weimin	BQN455	Licensed Representative (Provisional Licence)	1, 4, 6
HU Yongkang	BQM507	Licensed Representative (Provisional Licence)	1, 2, 4
HU Yuchen	BQO210	Licensed Representative (Provisional Licence)	1, 2
HUANG SIYI	BQM176	Licensed Representative (Provisional Licence)	6
HUANG Zidong	BFY826	Licensed Representative (Provisional Licence)	1, 4
HUI Tsz Chun	ALP760	Licensed Representative (Provisional Licence)	1
HULL Stephen Beattie	AZB042	Licensed Representative (Provisional Licence)	1, 4
HUO Yuheng	BQP533	Licensed Representative (Provisional Licence)	1, 4
ITO Hitoshi	BQO820	Licensed Representative (Provisional Licence)	1, 4
Ji Sanghoon	BGX585	Licensed Representative (Provisional Licence)	1
JIANG Ge	BQN482	Licensed Representative (Provisional Licence)	1, 4
JIANG Lingling	BLL183	Licensed Representative (Provisional Licence)	1, 7
JIAO Zihao	BQM339	Licensed Representative (Provisional Licence)	1, 6
JOSHI Sumeet Sharad	AVF234	Licensed Representative (Provisional Licence)	1
KIM Samuel, Nicolas	ATX996	Licensed Representative (Provisional Licence)	2
KONG Peishi	BBN890	Licensed Representative (Provisional Licence)	1, 2, 4
KOWALEC Charles Robert	BQK508	Licensed Representative (Provisional Licence)	1, 2
KRUUSAMAE Uku	BQM049	Licensed Representative (Provisional Licence)	1, 2
KWOK Ka Yi	BQN921	Licensed Representative (Provisional Licence)	1, 2
LAM Fu Kit	BQM902	Licensed Representative (Provisional Licence)	1, 4, 9
LAM Yu On	ABW082	Licensed Representative (Provisional Licence)	4, 9
LAU Yuen Hung	ADC124	Licensed Representative (Provisional Licence)	1
LAW Cheuk Kin, Stephen	AHI655	Licensed Representative (Provisional Licence)	9
LAYANI Yehoram	BCD230	Licensed Representative (Provisional Licence)	9
LEUNG Sze Man	BQO435	Licensed Representative (Provisional Licence)	1
LEUNG Tsz Yat	BPU176	Licensed Representative (Provisional Licence)	1
LEUNG Wang Ngai	BPY288	Licensed Representative (Provisional Licence)	1, 4
LEUNG Yat Sing	BQN230	Licensed Representative (Provisional Licence)	1
LI Boyang	BQM441	Licensed Representative (Provisional Licence)	1, 2
LI Chen	BOC669	Licensed Representative (Provisional Licence)	1, 4, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
LI Cheong Wing	BIS547	Licensed Representative (Provisional Licence)	9
LI Chun Man, Anselm	ANM192	Licensed Representative (Provisional Licence)	2
LI Hiu Kwan	BQL096	Licensed Representative (Provisional Licence)	1
LI Jiaqi	BQP723	Licensed Representative (Provisional Licence)	1, 6
LI Ki Kwan	BQL383	Licensed Representative (Provisional Licence)	1, 2
LI Mengyue	BQO383	Licensed Representative (Provisional Licence)	1, 6
LI Wing Cheuk	BQM921	Licensed Representative (Provisional Licence)	1
LI Yu	BQN431	Licensed Representative (Provisional Licence)	1, 4, 6
LI Yun	BQL715	Licensed Representative (Provisional Licence)	2, 5
LI Zhongying	BQP158	Licensed Representative (Provisional Licence)	1, 4, 6
LIANG Jingwen	BJX362	Licensed Representative (Provisional Licence)	1, 4
LIANG Kanghua	BQO223	Licensed Representative (Provisional Licence)	9
LIANG Shiming	BQN928	Licensed Representative (Provisional Licence)	9
LIANG Xinyi	BQC409	Licensed Representative (Provisional Licence)	1, 6
LIN Hung Yu	BQO514	Licensed Representative (Provisional Licence)	1, 2
LIN Jiaqi	BQM973	Licensed Representative (Provisional Licence)	1, 2
LIU Chang	BQN232	Licensed Representative (Provisional Licence)	4
LIU Chengen	AMN873	Licensed Representative (Provisional Licence)	1, 4, 9
LIU Chin Chung	BQN517	Licensed Representative (Provisional Licence)	1
LIU Qingxin	BQN269	Licensed Representative (Provisional Licence)	1, 4
LIU Sandra Siu Ching	BES752	Licensed Representative (Provisional Licence)	1, 4, 9
LIU Xiao	BQM503	Licensed Representative (Provisional Licence)	1, 2
LIU Xinguo	BOD325	Licensed Representative (Provisional Licence)	4, 9
LIU Xutong	BQP318	Licensed Representative (Provisional Licence)	1, 6
LIU Zhe	BOU144	Licensed Representative (Provisional Licence)	4, 9
LU Yunfei	BQO614	Licensed Representative (Provisional Licence)	6
MAK Ho Yin	BQO864	Licensed Representative (Provisional Licence)	9
MASON Rong Angie Ma	AGO962	Licensed Representative (Provisional Licence)	1, 4, 9
MCLEAN Erik Alexander	BQO809	Licensed Representative (Provisional Licence)	1
MEI Weikai	BQN708	Licensed Representative (Provisional Licence)	1, 2
MENG Kehan	BQK878	Licensed Representative (Provisional Licence)	4
MENG Yang	BQN760	Licensed Representative (Provisional Licence)	1, 2, 4
METCALFE Nicky Steven	BQK614	Licensed Representative (Provisional Licence)	1, 2
MILLER Ryan James	ARF537	Licensed Representative (Provisional Licence)	1, 7
MO Guowei	BGC454	Licensed Representative (Provisional Licence)	6
NG Ka Lam, Karen	AIL331	Licensed Representative (Provisional Licence)	9
NG Ka Sing	BDX997	Licensed Representative (Provisional Licence)	1, 4
NG Ka Yan	BQP735	Licensed Representative (Provisional Licence)	1
NG Keilem	BBL867	Licensed Representative (Provisional Licence)	9
NG Yu Hei	BNP568	Licensed Representative (Provisional Licence)	9
PAK Kwun Man	BQM696	Licensed Representative (Provisional Licence)	1, 4, 9
PANG Lisa	BQN440	Licensed Representative (Provisional Licence)	1, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
PARK Woo Yeung	BER768	Licensed Representative (Provisional Licence)	1, 2
PROFFITT Lee James	BQL639	Licensed Representative (Provisional Licence)	1, 2
QIAN Hongyu	BPK287	Licensed Representative (Provisional Licence)	1, 9
QIU haoyang	BQO677	Licensed Representative (Provisional Licence)	1, 6
QUINN Joseph	BQP052	Licensed Representative (Provisional Licence)	1
REN Minjian	BGX455	Licensed Representative (Provisional Licence)	4, 9
REN Xuemei	BQM610	Licensed Representative (Provisional Licence)	1, 4, 9
SAU Long Sze	BQO590	Licensed Representative (Provisional Licence)	1, 4, 9
SCOTT Andrew	BBT921	Licensed Representative (Provisional Licence)	9
SHANG Linzheng	BON473	Licensed Representative (Provisional Licence)	1, 6
SHEA Kwan Chun Godfrey	BQR100	Licensed Representative (Provisional Licence)	4, 9
SHEN Yabing	BQM414	Licensed Representative (Provisional Licence)	4
SHI Daoyi	BQM728	Licensed Representative (Provisional Licence)	1, 4
SHI Haochen	BQL601	Licensed Representative (Provisional Licence)	1, 4, 9
SHI Ruiming	BQL520	Licensed Representative (Provisional Licence)	1, 4
SINGH Swati	BQO333	Licensed Representative (Provisional Licence)	1, 4, 9
SONG Denghui	BQO388	Licensed Representative (Provisional Licence)	1, 6
SRIVASTAVA Tarun	BQN014	Licensed Representative (Provisional Licence)	1, 2
SU Geni	BQM987	Licensed Representative (Provisional Licence)	2, 5
SUN Jia	BOL365	Licensed Representative (Provisional Licence)	4
SUN Ning	BQM700	Licensed Representative (Provisional Licence)	1, 2, 7
SUN Yuchen	BQM668	Licensed Representative (Provisional Licence)	6
SUNDARESAN Balasubramanian	BDO592	Licensed Representative (Provisional Licence)	1, 2
SZE Chung Lok	BQO516	Licensed Representative (Provisional Licence)	1, 4, 9
TANG Chun Chung	BQI178	Licensed Representative (Provisional Licence)	1, 2
TANG Joyce	BQN556	Licensed Representative (Provisional Licence)	1
TANG Ning Yan	BQE050	Licensed Representative (Provisional Licence)	1, 4
TANG Pak Lun	BQM923	Licensed Representative (Provisional Licence)	1
TAO Leyi	BQP304	Licensed Representative (Provisional Licence)	1, 6
TIAN Yun	BQO021	Licensed Representative (Provisional Licence)	1, 4, 6
TSE Pui Ying	BBL725	Licensed Representative (Provisional Licence)	1, 4, 9
TSUI Moon Sze	BDK825	Licensed Representative (Provisional Licence)	1
VICTOR Meaghan Elizabeth	BQG703	Licensed Representative (Provisional Licence)	1, 4
WAN Ting Chau	BCF606	Licensed Representative (Provisional Licence)	1, 6
WANG Chu	BQO707	Licensed Representative (Provisional Licence)	4
WANG Fan	BQO517	Licensed Representative (Provisional Licence)	1, 2
WANG Fang	BQN774	Licensed Representative (Provisional Licence)	1, 2, 4, 5
WANG Kaifeng	BQO197	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Lin	BQM410	Licensed Representative (Provisional Licence)	4
WANG Shiyu	BQN767	Licensed Representative (Provisional Licence)	1, 6
WANG Shuo	BQO355	Licensed Representative (Provisional Licence)	1, 2
WANG Zi	BQM253	Licensed Representative (Provisional Licence)	1, 4, 9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
WEI Daren	BOI623	Licensed Representative (Provisional Licence)	1, 2
WEI Ming-De	AHX270	Licensed Representative (Provisional Licence)	1, 2, 4, 5
WENG Daiqun	BQJ796	Licensed Representative (Provisional Licence)	9
WENG Tao	BBD584	Licensed Representative (Provisional Licence)	9
WONG Chi Yuen	BNJ009	Licensed Representative (Provisional Licence)	9
WONG Gabriel Kun Yung	BQO490	Licensed Representative (Provisional Licence)	4
WONG Tse Hei	BQL404	Licensed Representative (Provisional Licence)	1, 2
WU Bangxian	BQP573	Licensed Representative (Provisional Licence)	1, 2, 4, 9
WU Ka Chun	BQN943	Licensed Representative (Provisional Licence)	10
WU Wenjing	BQO867	Licensed Representative (Provisional Licence)	1, 2
WU William Chen-Xi	BKW322	Licensed Representative (Provisional Licence)	1, 4
WU Xinyu	BQO318	Licensed Representative (Provisional Licence)	1, 4, 9
XIA Yezhen	BQL628	Licensed Representative (Provisional Licence)	1, 2
XIE Yuan	BQO315	Licensed Representative (Provisional Licence)	1, 4, 9
XU Lei Te	BQN315	Licensed Representative (Provisional Licence)	9
XU Yaxin	BQI374	Licensed Representative (Provisional Licence)	4, 9
XU Yingzhi	BQN731	Licensed Representative (Provisional Licence)	4
YAN Men Hin	AZF773	Licensed Representative (Provisional Licence)	9
YAN Ming	BJX910	Licensed Representative (Provisional Licence)	1, 2
YANG Qincheng	BQO852	Licensed Representative (Provisional Licence)	1, 4
YANG Sen	BQN491	Licensed Representative (Provisional Licence)	1, 4
YANG Yumeng	BQM672	Licensed Representative (Provisional Licence)	6
YAO Hiu Tsun Nelson	BQN502	Licensed Representative (Provisional Licence)	4, 9
YAO Lifang	BQN229	Licensed Representative (Provisional Licence)	1, 4, 9
YAZDANPANA Mehdi	BQO369	Licensed Representative (Provisional Licence)	1, 2
YE Zhuoying Susie	BEW110	Licensed Representative (Provisional Licence)	1, 6
YEUNG Chi Hong	BIQ873	Licensed Representative (Provisional Licence)	1, 7
YEUNG Ying Kit	BJV171	Licensed Representative (Provisional Licence)	1, 2
YIP Sze Ki	ACL453	Licensed Representative (Provisional Licence)	1, 4, 9
YU Chun Wai	ATC259	Licensed Representative (Provisional Licence)	1, 2
YU Jiaao	BQN383	Licensed Representative (Provisional Licence)	1, 6
YU Shuyi	BQM230	Licensed Representative (Provisional Licence)	1
YU Wai Sun	BFY027	Licensed Representative (Provisional Licence)	1, 2
YUAN Tingnan	BQN693	Licensed Representative (Provisional Licence)	1, 2
YUNG Muk Tsz	AUB778	Licensed Representative (Provisional Licence)	4
ZENG Jie	BQN348	Licensed Representative (Provisional Licence)	1, 4
ZENG Zhan	BCZ088	Licensed Representative (Provisional Licence)	4
ZHANG Chiheng	BQO230	Licensed Representative (Provisional Licence)	9
ZHANG Mo	BQM965	Licensed Representative (Provisional Licence)	4
ZHANG Rongrong	BQN442	Licensed Representative (Provisional Licence)	1
ZHANG Tianqing	BMW075	Licensed Representative (Provisional Licence)	1, 6
ZHANG Yichi	AVO741	Licensed Representative (Provisional Licence)	9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHANG Yudan	BPB714	Licensed Representative (Provisional Licence)	1, 2, 4, 5
ZHAO Han	BQO604	Licensed Representative (Provisional Licence)	1, 6
ZHAO Hong	BQO892	Licensed Representative (Provisional Licence)	1, 7
ZHAO Jing	BQO361	Licensed Representative (Provisional Licence)	1, 6
ZHAO Yuan	BQK009	Licensed Representative (Provisional Licence)	6
ZHENG XUEXUAN	BQP240	Licensed Representative (Provisional Licence)	4
ZHOU Jiayu	BQN227	Licensed Representative (Provisional Licence)	1, 6
ZHOU Sihong	BQO018	Licensed Representative (Provisional Licence)	9
ZHOU Yang	BNF447	Licensed Representative (Provisional Licence)	1
ZHOU Yutong	BQG266	Licensed Representative (Provisional Licence)	1, 4
ZHU Youwei	BQN783	Licensed Representative (Provisional Licence)	1, 2
CHEN Hao	BQH895	Licensed Representative (Temporary Licence)	6
FENG Xinglei	BQG528	Licensed Representative (Temporary Licence)	6
GIULIANO Brian Louis	BQP540	Licensed Representative (Temporary Licence)	1
HARTING Morgan Clothier	AXT012	Licensed Representative (Temporary Licence)	4
HOLLAND Greg Anthony	BMQ913	Licensed Representative (Temporary Licence)	1, 4
LIN Tiantian	BIB398	Licensed Representative (Temporary Licence)	6
LUI Wing Nam	BQF351	Licensed Representative (Temporary Licence)	1, 6
LUI Wing Nam	BQF351	Licensed Representative (Temporary Licence)	1, 6
MIAO Xi	BQH325	Licensed Representative (Temporary Licence)	6
MONAPPA Aditya	BNH920	Licensed Representative (Temporary Licence)	4
SANO Fumihiko	BPW837	Licensed Representative (Temporary Licence)	1, 6