

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
ATH Capital Management Limited	BOD600	Licensed Corporation	9
Central Universe Futures Limited	BBS509	Licensed Corporation	2, 5
Confluent Capital Limited	BPI884	Licensed Corporation	4, 9
Everbright Capital Management Limited	APF194	Licensed Corporation	4, 9
LERTHAI Asset Management Limited	BFW987	Licensed Corporation	4, 9
LERTHAI Securities (Hong Kong) Limited	BHH382	Licensed Corporation	1, 2
Nuoxin Capital (Hong Kong) Limited	AMY862	Licensed Corporation	4, 9
Samena Capital Hong Kong Limited	ATM962	Licensed Corporation	4, 9
Sun International Investment Services Limited	BGY751	Licensed Corporation	1, 4, 9
United Great International Investment Limited	BGT854	Licensed Corporation	4, 9
Varde Partners Hong Kong Limited	BHS229	Licensed Corporation	1
Western Union Business Solutions (Hong Kong) Limited	BGY438	Licensed Corporation	3
Yi Shun Da Capital Limited	BFS892	Licensed Corporation	1, 6
Commerzbank AG	AAP970	Registered Institution	1, 4, 6
AGOSTINI John Edward Cobcroft	AGN388	Licensed Representative	1
AMIN Biren Navnit	BNL370	Licensed Representative	4
ANOOP Priya	BFE373	Licensed Representative	1, 4, 9
ASHBY Nicholas Stephen	BIC981	Licensed Representative	1, 7
AU Ying Man	BOD482	Licensed Representative	1, 4
AU Yuen Ting	BFI067	Licensed Representative	1, 4, 9
BAHL Alok	BCH641	Licensed Representative	2, 5
BELL-JONES Robin	BBH899	Licensed Representative	4
BENJAMIN Timothy James	BGO782	Licensed Representative	1
BIETTE Benjamin, Gerard, Philippe	ATO827	Licensed Representative	1
BISCHOFF Garry Robert	AFU861	Licensed Representative	1
BOA Yuk Wah Yuan	BNG891	Licensed Representative	9
BRADY Mark Joseph	ARQ480	Licensed Representative	1, 2
CAI Tsz Yeung	AWH561	Licensed Representative	1, 4, 9
CAO Xiangwei	AXV946	Licensed Representative	4, 9
CARPENTER Andrew	BBG434	Licensed Representative	9
CHAN Andy Tak Chun	BGH341	Licensed Representative	1
CHAN Ching Leong	BCC897	Licensed Representative	10
CHAN Hiu Yan	BDY283	Licensed Representative	1, 6
CHAN Ho Ming Horace	BFT119	Licensed Representative	4
CHAN Hon Fai	AEU371	Licensed Representative	1, 2
CHAN Junson	BMT311	Licensed Representative	4, 9
CHAN Ka Yi	BOP045	Licensed Representative	1, 2, 4
CHAN Kam Kay	AAJ482	Licensed Representative	2
CHAN Kit Lin, Catherine	BGR155	Licensed Representative	1
CHAN Kwong Lam	AEX662	Licensed Representative	1, 2
CHAN Kwun Lam	BMQ933	Licensed Representative	5
CHAN Pak Ka	BJL689	Licensed Representative	4
CHAN Pui Ling	BFP667	Licensed Representative	1
CHAN Sin Ting	AXF816	Licensed Representative	1, 2
CHAN Sio Fong	BPL473	Licensed Representative	1, 2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHAN Siu Chun	BMK909	Licensed Representative	5
CHAN Wing Sum	BBE125	Licensed Representative	1, 4, 9
CHAN Ying Kit	BLC894	Licensed Representative	1, 9
CHAN Yiu Cho	AGJ244	Licensed Representative	1, 2
CHAN Yuen Yee, Pinky	AFI268	Licensed Representative	1, 4, 9
CHANDUKA Nitin	BCB655	Licensed Representative	1, 4
CHANG Kwok See	BDG923	Licensed Representative	1
CHAO Xueer	BMI596	Licensed Representative	1
CHEN Chun Hung	BGK285	Licensed Representative	2, 5
CHEN Cong	BOW637	Licensed Representative	4
CHEN Meijing	BJY410	Licensed Representative	1, 4
CHEN Qi	BFR575	Licensed Representative	4
CHEN Roger K	BPG243	Licensed Representative	1, 4, 9
CHEN Suli	BNY373	Licensed Representative	4, 9
CHEN Xiaoheng	BOP735	Licensed Representative	2, 5
CHEN Yue	BAA910	Licensed Representative	1, 6
CHEN Yueh Chun	BGD871	Licensed Representative	1, 6
CHEN Yujia	BIH327	Licensed Representative	1, 2, 4
CHEN Yuxiao	BPM781	Licensed Representative	4, 9
CHEN Zhixin	BOD028	Licensed Representative	1, 4, 6
CHENG Chia-Lun	BHE144	Licensed Representative	4
CHENG Difei	AMR407	Licensed Representative	1, 4, 6
CHEONG Koi Un	AHO448	Licensed Representative	1
CHEUK Lai Ping	ARI041	Licensed Representative	1, 2
CHEUNG Ho Yin	BLZ801	Licensed Representative	1
CHEUNG Ka Tsing Veronica	BNU451	Licensed Representative	6
CHEUNG Man Shun	BPF015	Licensed Representative	1, 2
CHEUNG Ming Ming	BHL200	Licensed Representative	4, 5, 9
CHEUNG Wing Yan	BNX008	Licensed Representative	1, 2
CHEUNG Yuen Wong	ADI051	Licensed Representative	1, 2
CHIU Yen Lan	BCF651	Licensed Representative	1, 4
CHOI Ying Ki, Angela	ACS950	Licensed Representative	1, 2
CHONG Wee Khoon	AWT864	Licensed Representative	4, 9
CHONG Yin Ni	AME649	Licensed Representative	1, 4
CHOW Yu Yin	ARO981	Licensed Representative	1, 2
CHOY Pui Shuen	BNU916	Licensed Representative	1, 4
CHOY Suet Yin	ARH646	Licensed Representative	1, 4
CHU Hoi Lam Jesty	BQB546	Licensed Representative	1
CHU Tien	AOX106	Licensed Representative	1, 4
CHUI Hon Cheong	BNN453	Licensed Representative	9
CHUNG Loi Kit	AFE980	Licensed Representative	1
CLARK Matthew John	BNL478	Licensed Representative	1, 4
CLARK Peter Tilman	BNS935	Licensed Representative	1
CODRON Edward Alexander	BBX870	Licensed Representative	1
CONNOLLY Mark John	AUG726	Licensed Representative	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
COULIBALY Sylvestre Pankaluo	BLJ224	Licensed Representative	1, 2, 4
CUI Xiaojiao	AZH654	Licensed Representative	6
DARGIE Peter Clarke	BEO577	Licensed Representative	9
DENG Guirong	BQE171	Licensed Representative	1, 2, 4
DENG Haili	BGO942	Licensed Representative	1, 2, 4
DHOOT Anuradha	BKU744	Licensed Representative	1, 2
DIAO Shuo	BGC143	Licensed Representative	6
DING Shuang	AWO081	Licensed Representative	5
DING Wei	AJD078	Licensed Representative	1, 4
DOSHI Nirav Niranjan	BKO057	Licensed Representative	1, 4
EVANS-HENDRICK Ian Geoffrey	BOJ806	Licensed Representative	1, 2
FANG Cong	BKD315	Licensed Representative	4
FENG Yongyuan	BMN693	Licensed Representative	1, 6
FOK Wai Hung	BJL159	Licensed Representative	4, 9
FONG Shing Wan Andy	BIH318	Licensed Representative	2
FUNG Pui San, Karena	AFN682	Licensed Representative	9
FUNG Shing Wai	AWJ859	Licensed Representative	2, 5
FUNG Yi Long	BQQ279	Licensed Representative	1, 2
GAN Yuqi	BQM759	Licensed Representative	1, 4, 9
GE Zhengqi	BIB804	Licensed Representative	1, 6
GEFFROY Marie-Soazic Anne	AEU227	Licensed Representative	1, 6
GHTIS Yaniv	AYR952	Licensed Representative	1, 4, 6
GOELET Francis Hoegh	BGI543	Licensed Representative	9
GOMA Joseph Auger	BKC778	Licensed Representative	1, 2
GOODWIN Andrew D	ASJ618	Licensed Representative	9
GRABOW Adam Michael	BEX080	Licensed Representative	4
GU Yaobo	BLN626	Licensed Representative	1, 2
GURNANI Jitesh Motiram	BOM523	Licensed Representative	1, 4, 6
HART Paul Howard Farmer	ASF491	Licensed Representative	1, 2
HATANAKA Asako	AYU643	Licensed Representative	1, 4
HE Jia	AOJ239	Licensed Representative	1
HE Liyao	BKK633	Licensed Representative	1, 6
HE Shuai	BCH499	Licensed Representative	9
HIEW Juin Jye	BNV309	Licensed Representative	1, 4, 9
HOU Yiyun	BIU737	Licensed Representative	1
HSIAO Irena	AGC715	Licensed Representative	1
HU Mengnan	BQF156	Licensed Representative	1, 2
HU Mingyue	BCA416	Licensed Representative	6, 9
HU Xiaobin	BPF367	Licensed Representative	4, 9
HU Yuqing	BGG586	Licensed Representative	1, 4, 6
HUANG Tao	BOX216	Licensed Representative	9
HUEN Chi Wai	BKF442	Licensed Representative	1, 2
HUI Kin Wai	ANT508	Licensed Representative	1, 4, 9
HUI Wa Fung	BRF020	Licensed Representative	1, 2
HUI Warren	BDU524	Licensed Representative	1, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
HUNG Yiu Hin	AFD998	Licensed Representative	1, 2
HURREN Daniel Philip	ATD265	Licensed Representative	1
ICHARD Laurent, David, Cyril	BDO023	Licensed Representative	1, 2
IP Wang Fung, Frank	BGB024	Licensed Representative	1
JOUGUET Paul Christian Marie	BOT984	Licensed Representative	1, 2
KADAQUI HACHI Ahmed	AXV940	Licensed Representative	9
KAM Paul Tak Ming	BPB405	Licensed Representative	1, 4, 9
KARWAL Vijay Paul	ASS433	Licensed Representative	1, 4, 6
KEE Man Kit	AID482	Licensed Representative	1
KIM Yu Jin	BMV276	Licensed Representative	10
KLEIN Brett Steven	BJH684	Licensed Representative	1, 9
KO Cheuk Yat	BQE303	Licensed Representative	1, 2
KONG Maurice Chung Yin	BII256	Licensed Representative	1, 4, 6
KUM Young Woon	BOU200	Licensed Representative	1, 4, 6
KUNG Wai Ling	AEU211	Licensed Representative	1
KUSUNOKI Hidenori	BNR348	Licensed Representative	4
KWOK Chun Ming	BIH008	Licensed Representative	1
LAI Hoi Wah	BNU553	Licensed Representative	6
LAI Ming Tak	AAW154	Licensed Representative	1, 2
LAI Wai Hin	BQG622	Licensed Representative	1
LAI Yiu Chung	ACZ527	Licensed Representative	1
LAKHANI Jhanvi Dilip	BNE652	Licensed Representative	6
LAM Chun Hang	BMX384	Licensed Representative	1, 6
LAM Fan	BPR601	Licensed Representative	1, 2, 4
LAM Koon Hung	BED047	Licensed Representative	4, 9
LAM Wai Yan	BLK474	Licensed Representative	1, 4
LAM Yee Keung, Yanick	AAI810	Licensed Representative	1
LAM Yuen Yee, Doris	AUM216	Licensed Representative	1, 4
LASKOWSKI Christopher Anthony	AFG847	Licensed Representative	1, 6
LAU Chui Lai	BLB634	Licensed Representative	1, 4
LAU Chung Kwan	ABI071	Licensed Representative	1, 2
LAU Kin Heng, Kelvin	BMR063	Licensed Representative	5
LAU King Yu	BPJ466	Licensed Representative	3
LAU Tsz Him	BIR342	Licensed Representative	1, 4
LAU Wai Lee Willey	BJH784	Licensed Representative	4, 9
LAW Alice	BOG528	Licensed Representative	1, 2
LAW Ching Man Graciana	BND335	Licensed Representative	1, 6
LAWCOCK Glyn David	ATE171	Licensed Representative	4
LEE Cliff Yee Gong	AEH826	Licensed Representative	1
LEE Hyun Keun	BQW087	Licensed Representative	1
LEE Lok Ki	AQZ591	Licensed Representative	1, 2
LEE Ming Sum Mason	BLI900	Licensed Representative	1, 2, 3
LEE Sai King	AQH519	Licensed Representative	1
LEE Shirley Kai Ping	APV540	Licensed Representative	1, 2, 4
LEE Shue Chiu	ALF636	Licensed Representative	4, 9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
LEE Wan Chit	BCL844	Licensed Representative	6
LEI Yu Ting	BNG991	Licensed Representative	4, 9
LEU Shuk Ling Ann	BCY941	Licensed Representative	1
LEUNG Ho Ming	BJW115	Licensed Representative	1
LEUNG Man Ho, Derrick	BPY528	Licensed Representative	1
LEUNG Shing Wing, Andy	AML171	Licensed Representative	1
LEUNG Sut Cheng, Stella	ABN551	Licensed Representative	1, 2
LEUNG Tik Fung	BJY456	Licensed Representative	4, 9
LEUNG Yin Ling, Janet	AED281	Licensed Representative	1
LEVY Raphael Jonathan Chalom	BBK653	Licensed Representative	1, 2
LI Cheuk On	BIK016	Licensed Representative	1, 2
LI Hang	AXP159	Licensed Representative	1
LI Jason Sze Kwok	BQP321	Licensed Representative	1, 4, 9
LI Jie	BNI490	Licensed Representative	1, 6
LI Junzhou	BFE782	Licensed Representative	4, 9
LI Keyang	BMD878	Licensed Representative	1, 4, 9
LI lin	BKW319	Licensed Representative	1, 4
LI Nga Yan	BGB018	Licensed Representative	1, 6
LI Qian	ARI666	Licensed Representative	1, 4, 5, 9
LI Runyuan	BKK550	Licensed Representative	1, 4, 9
LI Sing Yeung	ANK461	Licensed Representative	1
LI Sze Nga, Libby	BET711	Licensed Representative	1
LI Tze Ngong	AMX714	Licensed Representative	1, 4, 6, 9
LI Xiangrong	ANM056	Licensed Representative	4
LI Xuanren	BPA548	Licensed Representative	1, 4
LI Yang	BPK152	Licensed Representative	2
LI Yik	AHA841	Licensed Representative	1
LI Ying	BEJ773	Licensed Representative	1
LI Yuanwei	BNS761	Licensed Representative	4, 9
LI Zipeng	BRF864	Licensed Representative	4
LIANG Kai Lok	ADX214	Licensed Representative	1
LIAO Xufa	AWX150	Licensed Representative	1, 4
LIAW Irene Milin	ANG854	Licensed Representative	9
LIM Marcus Brandon	BFO649	Licensed Representative	1, 2
LIN Pingyi	BNP827	Licensed Representative	4, 9
LIN Shiji	BCJ571	Licensed Representative	1, 6
LIN Weijia	BOP932	Licensed Representative	1, 6
LIN Zhen	BMR378	Licensed Representative	1, 4, 9
LING Yu Hin	BJC896	Licensed Representative	1, 6
LIU Chak Man	AFI968	Licensed Representative	1, 2
LIU Congbin	BCS978	Licensed Representative	1, 2
LIU Fung Ping	BOS654	Licensed Representative	1, 2, 3
LIU Guanqi	BNL452	Licensed Representative	1, 4, 6
LIU Jie	BLP011	Licensed Representative	5
LIU Wenjiao	BJE604	Licensed Representative	1, 2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
LIU Xiang	BNV575	Licensed Representative	1, 2, 4
LIU YEH Li-chen, Holly	AHU954	Licensed Representative	1, 4, 9
LLOYD JONES Adam Copland	AMP246	Licensed Representative	1, 2
LO Wai Ho	BEG043	Licensed Representative	1, 4
LOU Hailin	AHS587	Licensed Representative	1
LU Chao	ARI980	Licensed Representative	1
LU Chia-lin	AWB680	Licensed Representative	9
LU Shou Yue	BMT579	Licensed Representative	4, 9
MA Chi Ho	BLD605	Licensed Representative	1
MA Lei	AGM532	Licensed Representative	4, 9
MA Wenting	BQC287	Licensed Representative	1, 4, 9
MA Xiaowei	BJK280	Licensed Representative	4, 9
MAK David King Wing	ACL071	Licensed Representative	1, 2
MAK Nelson Ngai Long	BNV489	Licensed Representative	1, 6
MAK Shu Fan	AVT651	Licensed Representative	1
MARTYR Andrew Dudley Pentland	BJE202	Licensed Representative	1, 2
MAU Chin Pang	AZN896	Licensed Representative	1, 2
MICHNIEWSKI Joseph Janusz	AOY181	Licensed Representative	1, 2, 7
MILLS Jesuran Samuel Manickanayagam	BPO549	Licensed Representative	1, 6
MINESHIMA KOTA	BLO098	Licensed Representative	1, 4
MOK Ka Keung	ARC079	Licensed Representative	1, 2, 4, 5
MORIOKA Ryota	BPE776	Licensed Representative	1
MOTT Jonathan James	AUW134	Licensed Representative	4
MUI Gary Guen-Yun	AFZ133	Licensed Representative	1, 2
NG Chor Yam	ASL429	Licensed Representative	1
NG Sai Yip, Justin	APL249	Licensed Representative	1, 4, 9
NG Siu Kit	ASZ359	Licensed Representative	1, 2
NG Ying Kwan	BNV332	Licensed Representative	1, 6
NG Yuen Ling	AUE236	Licensed Representative	1, 4
NISHIZAWA Arashi	BOR475	Licensed Representative	4
NIXON Timothy William	BPW792	Licensed Representative	1, 4
ONG Seah Tatt Jonah Dominc	BOH906	Licensed Representative	4, 9
OR Justin Ka Jun	BDX546	Licensed Representative	1, 4
OW Dennis Kian Jing	BDJ762	Licensed Representative	1
PAN Ruifeng	BOD856	Licensed Representative	4, 9
PING Jiayan	BNS974	Licensed Representative	4
PINSET Victor Balthazar	ARQ494	Licensed Representative	9
POON Koon Ting	BGP558	Licensed Representative	1, 4, 9
POON Wai Kit	BGZ457	Licensed Representative	1, 4, 6
POON Yuet Sim	AAC404	Licensed Representative	1
POON Yuk	BCA382	Licensed Representative	4
PRAKASH Tapan	AVL496	Licensed Representative	1, 2
PU Frank Chang	BOT378	Licensed Representative	1, 6
PUN Wing Hong	BOI823	Licensed Representative	2
QI Xiaoyue	BNB071	Licensed Representative	1, 4

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CE Name	CE No.	Licence Type	Types of Regulated Activity
QIN Tian	BID504	Licensed Representative	1, 4, 6
QU Jialin	BPJ011	Licensed Representative	1, 2, 4
RA Hye Rin	BAC302	Licensed Representative	1
REID Sharon Margaret	BPI625	Licensed Representative	1, 4
SANO Yuki	BHH538	Licensed Representative	1, 4, 6
SHARDLOW Alexandra Alan Nathan	BBK826	Licensed Representative	1
SHEN Yichuan	BPL625	Licensed Representative	4, 9
SHERIDAN III Robert Edwin	BAK880	Licensed Representative	4, 9
SHI David	BPL904	Licensed Representative	1, 6
SHI Hui	BJA032	Licensed Representative	1, 4, 6
SIH Wai Kin, Daniel	ALI606	Licensed Representative	9
SIM Kevin Ken Ju	AXT422	Licensed Representative	6
SIU Chun Pui	BKG032	Licensed Representative	1, 9
SO Hing Nin, Henry	ATR442	Licensed Representative	1
SO Lok, Vic	AMI869	Licensed Representative	1
SO Shun Yi, Louisa	AFZ721	Licensed Representative	1, 2
SONG Xiaoling	BOB922	Licensed Representative	1, 4, 6
SONG Zhiyi	BGB785	Licensed Representative	1, 4
SOU Chon Hei	ALT765	Licensed Representative	1, 2
SUEN Hung Pan	BPU968	Licensed Representative	4, 9
SUI Xin	BPD457	Licensed Representative	1, 2
SUN Guoying	APJ352	Licensed Representative	4
SUN Jinqi	BQM292	Licensed Representative	4
SUN Ximeng	BOQ099	Licensed Representative	1, 6
SUN Ziyi	BHQ132	Licensed Representative	1, 4, 6
SUNG Jongmin	BCN702	Licensed Representative	6
SUTTON David	BBU158	Licensed Representative	9
TAM Chung Sang	BAJ049	Licensed Representative	1
TAM Chung Wai	AQP747	Licensed Representative	1, 2
TAM Ka Wo	ALQ821	Licensed Representative	1
TAM Po Kong Andrew	BFG841	Licensed Representative	1, 2
TAM Siu Po	AOO245	Licensed Representative	4
TAM Ying Mary	BBL208	Licensed Representative	1
TAN Yawei	BLD974	Licensed Representative	1, 2, 4, 5, 9
TANG JunJun	BPN122	Licensed Representative	9
TANG Kai-Hsun	BGZ751	Licensed Representative	1, 4, 9
TANG Ling	BKA502	Licensed Representative	1, 2
TANG Ying Lin	AFR750	Licensed Representative	1
TANIGAKI Hiroshi	BON950	Licensed Representative	1, 4, 6
TING Siu Hong	BKH111	Licensed Representative	1, 4, 6
TONG Ka Lin	AFR491	Licensed Representative	1
TONG Lin	BHC235	Licensed Representative	1, 2, 7
TONG Ngai	BIK624	Licensed Representative	1, 9
TSANG Chi Cheung, Gordon	AXT522	Licensed Representative	1
TSANG Hing Sum	AYF360	Licensed Representative	1, 2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
TSANG Ka Kan, Edmund	ALG188	Licensed Representative	1
TSANG Kit Chee	AQP582	Licensed Representative	1
TSANG Marie Ming Wai	BOP657	Licensed Representative	1, 4, 9
TSE Ka Cheuk	BJI501	Licensed Representative	1, 4
TSE Po Wing	ASD891	Licensed Representative	1
TSUI Kun Yu	BOL146	Licensed Representative	4, 9
TSUI Kwan	AND687	Licensed Representative	1
TSUI Sau Heung	AAC519	Licensed Representative	1
TSUI Yee Him	AQM133	Licensed Representative	1, 2
UNSAI Salih	AZU989	Licensed Representative	1
WANG Andy Aiqing	BQV559	Licensed Representative	1, 9
WANG Qianying	BON844	Licensed Representative	9
WANG Shi Ying	BOI709	Licensed Representative	1
WANG Tianyu	BFA661	Licensed Representative	2, 5
WANG Zhiqi	AYW776	Licensed Representative	1, 4
WEI Shanwei	BBS871	Licensed Representative	1, 4, 6
WEI Wei	BOP628	Licensed Representative	1
WEI Zhanyi	BPJ465	Licensed Representative	1, 6
WENG Yanyan	BJM394	Licensed Representative	1, 4, 9
WEPENER Raymond Henry	AZP278	Licensed Representative	1, 2, 4
WISWELL William Fitzgerald	AUK115	Licensed Representative	1
WONG Chi Hung	BJB187	Licensed Representative	1, 2
WONG Christopher	BAH614	Licensed Representative	9
WONG Emily Ho-Luen	BET259	Licensed Representative	1, 9
WONG Florian	BQC211	Licensed Representative	6
WONG Ka Wing	ATO884	Licensed Representative	2
WONG Leung Hang, Simpson	AIC643	Licensed Representative	1, 4
WONG Pui Pui	AOX914	Licensed Representative	1, 2
WONG Shek Hung, Daniel	ACL661	Licensed Representative	3
WONG Suk Man	BES700	Licensed Representative	1, 4
WONG Tak Shing	AAX336	Licensed Representative	1
WONG Wai Ki Angela	BPY622	Licensed Representative	1, 4, 9
WONG Wai Kwan	BOZ993	Licensed Representative	2, 5
WONG Yun Ha	ACQ101	Licensed Representative	1
WOO Fong Fong, Janet	AEM866	Licensed Representative	1
WOO Mi Ying	ABA513	Licensed Representative	1, 2
WU Chi Him, James	BJK279	Licensed Representative	1
WU Man Ki, Maggie	BLY771	Licensed Representative	6
XIAO Yang	BHH226	Licensed Representative	9
XIE Zheshi	BLJ203	Licensed Representative	1, 7
XING Yan	BGR295	Licensed Representative	1, 4, 9
XU Siyang	BNI569	Licensed Representative	1, 6
XU Ting Li	APH128	Licensed Representative	1, 2
XU Yang	AYR801	Licensed Representative	6
XU Yinfan	BQR884	Licensed Representative	1, 4, 9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
XU Yuzhao	BKW515	Licensed Representative	1, 4, 6
XUN Yiqiang	BO0739	Licensed Representative	6
YAM Tak Wan	AWT370	Licensed Representative	1, 4
YANG Zhong	BLN158	Licensed Representative	4, 9
YAO Jingwan	BLW968	Licensed Representative	1, 4, 9
YAU Yuen Man	AET313	Licensed Representative	9
YE Pan	BPG575	Licensed Representative	1, 2
YE Qianzhi	BPJ675	Licensed Representative	1, 6
YEUNG Fu Man	ABS214	Licensed Representative	1, 2
YEUNG King Fung	BJT499	Licensed Representative	4, 5, 9
YEUNG Pan Pan	BQG647	Licensed Representative	1
YEUNG Sze Chun	BEM920	Licensed Representative	1, 4, 9
YI Allan Wei Han	BGW577	Licensed Representative	1, 2, 6, 9
YIU Wing Cheong	BQP159	Licensed Representative	1, 2
YU Ming Feng	ARH753	Licensed Representative	1
YU Ning	BFT659	Licensed Representative	1, 4, 6
YU Wah Foo, Kevin	AAA034	Licensed Representative	9
YU Wing Hong, Hanson	AAH519	Licensed Representative	1, 2, 3
YU Xiaojing	BDV518	Licensed Representative	9
YU Zhangdan	BNR827	Licensed Representative	6
YU Zhou	BMF545	Licensed Representative	1, 4
YUAN Jing	BOI253	Licensed Representative	6
YUEN Man Ling	BNG615	Licensed Representative	1, 2
YUNG Hoi Yi Julia	BLU468	Licensed Representative	6
ZANG Zhuoran	BMH279	Licensed Representative	1, 6
ZENG Yuexin	BIN294	Licensed Representative	1, 2, 7
ZHANG Bolun	BQA882	Licensed Representative	9
ZHANG Chao	BJQ466	Licensed Representative	5
ZHANG Dongnan	BKY124	Licensed Representative	1
ZHANG Menghan	AYQ805	Licensed Representative	1, 4, 6
ZHANG Rui	BED057	Licensed Representative	4, 9
ZHANG Xiaoyi	BOF077	Licensed Representative	1, 6
ZHANG Xiaoyi	BOT054	Licensed Representative	4, 9
ZHANG Zezhou	BOP930	Licensed Representative	1, 6
ZHAO Mingyu	BMK900	Licensed Representative	1, 4
ZHONG Phillip Yun	BEW972	Licensed Representative	4
ZHU Jiang	AXF039	Licensed Representative	1
ZHU Yi	BJV360	Licensed Representative	4
ZONG Can	BGO812	Licensed Representative	4
AHMED Mohammad Mekail Enver Adil	ASO723	Licensed Representative - Responsible Officer	1, 9
CAHILL John Michael	BCI273	Licensed Representative - Responsible Officer	1
CASTROUNIS George Alexander	ARF619	Licensed Representative - Responsible Officer	9
CHAN Chuk Cheung, Ivan	AJB937	Licensed Representative - Responsible Officer	1, 4
CHAN Kwok Tim	AAB186	Licensed Representative - Responsible Officer	1
CHAN Lai Suen	APK770	Licensed Representative - Responsible Officer	1, 4, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHAN Sheung Kwan	AEL373	Licensed Representative - Responsible Officer	4, 9
CHAN Siu Chi	ADF951	Licensed Representative - Responsible Officer	1, 4
CHAN Sui Lung, Twice	ADC991	Licensed Representative - Responsible Officer	6
CHAN Wan Hei Pierre	BOT231	Licensed Representative - Responsible Officer	4, 9
CHAUDHARY Kanush	BHU750	Licensed Representative - Responsible Officer	9
CHAWDHRY Ajay Sankar	BNR801	Licensed Representative - Responsible Officer	1, 4
CHEN Geng	AOY114	Licensed Representative - Responsible Officer	9
CHEN Yang Chung, Roy	ADT834	Licensed Representative - Responsible Officer	4, 9
CHIU Chung Che, Francis	AEO988	Licensed Representative - Responsible Officer	1, 4, 9
CHO Young Ro	APH259	Licensed Representative - Responsible Officer	1, 4
CHOI Daniel	ALU959	Licensed Representative - Responsible Officer	1, 4, 9
CHOW Ming Wai	ACF724	Licensed Representative - Responsible Officer	1, 2
CHOY Siu Kwan	AVJ413	Licensed Representative - Responsible Officer	2
CHUNG King Yip	ALL554	Licensed Representative - Responsible Officer	9
CHUNG Tat Yin	AUT135	Licensed Representative - Responsible Officer	4, 9
CORTINA Faustino	BNR806	Licensed Representative - Responsible Officer	1, 4
COYALT Frederik Jean Lucien	BIZ603	Licensed Representative - Responsible Officer	1, 4
DE WIN Leopold Frans Joseph	BHJ572	Licensed Representative - Responsible Officer	1, 6
DU Hequn	AOJ863	Licensed Representative - Responsible Officer	1
ENGLER Curtis John	BOZ959	Licensed Representative - Responsible Officer	1
FARNON Celia	ATM963	Licensed Representative - Responsible Officer	9
FOURDAN Jean-Francois Louis	ABW218	Licensed Representative - Responsible Officer	9
HA Cheuk Wah, Michael	ABZ431	Licensed Representative - Responsible Officer	1, 4
HETSCH Frederik	BOG462	Licensed Representative - Responsible Officer	9
HO King Sun, Simon	AUB083	Licensed Representative - Responsible Officer	4
HU Mingyue	BCA416	Licensed Representative - Responsible Officer	1
IP Wai Man, Eddie	AAK709	Licensed Representative - Responsible Officer	1
IRINEO Joseph Bernard	AOM987	Licensed Representative - Responsible Officer	9
KANG Jianhong	BEA815	Licensed Representative - Responsible Officer	1, 4
KOH Nicholas Siu Hong	ANM282	Licensed Representative - Responsible Officer	1, 4, 9
KUAN Roy	AFD203	Licensed Representative - Responsible Officer	4, 9
KUNG Wai Ling	AEU211	Licensed Representative - Responsible Officer	4, 9
LAM Fu Ming	ADW923	Licensed Representative - Responsible Officer	4, 9
LAM Po Yee	AAH069	Licensed Representative - Responsible Officer	1, 4
LAU Yan Ki, Patricia	AIH455	Licensed Representative - Responsible Officer	1, 2, 4
LEE Kyoung Won	BQQ618	Licensed Representative - Responsible Officer	1, 4, 9
LEUNG Chin Ho	BJH258	Licensed Representative - Responsible Officer	4, 9
LEUNG Wai Chuen, Sdentent	AAK254	Licensed Representative - Responsible Officer	4, 9
LEUNG Wai Ming	ACC582	Licensed Representative - Responsible Officer	1
LI Quan	AWF288	Licensed Representative - Responsible Officer	1, 2
LI Sing Yeung	ANK461	Licensed Representative - Responsible Officer	4
LI Ying Hung	ACP023	Licensed Representative - Responsible Officer	1
LIU Ge	AXK573	Licensed Representative - Responsible Officer	4, 9
LIU Kit Shan, Evelyn	ABH322	Licensed Representative - Responsible Officer	1
LU Chao	ARI980	Licensed Representative - Responsible Officer	6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
MUI Kin Wai	AVY719	Licensed Representative - Responsible Officer	1, 9
NG Ka Yan	BAP994	Licensed Representative - Responsible Officer	1
NGAN Yee Man	APC659	Licensed Representative - Responsible Officer	9
OH In Hwan	BMV978	Licensed Representative - Responsible Officer	1, 2, 4, 5
ROSENSWEIG William Ernest	ARP643	Licensed Representative - Responsible Officer	3
SAEKI Nobumasa	BBG538	Licensed Representative - Responsible Officer	9
SAKAGUCHI Junichi	BNL516	Licensed Representative - Responsible Officer	1, 4, 9
SHIMODE Tetsuya	BCI692	Licensed Representative - Responsible Officer	1, 4, 9
SIH Wai Kin, Daniel	ALI606	Licensed Representative - Responsible Officer	1, 4
TANG Chin Wan	AQV733	Licensed Representative - Responsible Officer	9
TANG Wing Yin, Wilson	BMW181	Licensed Representative - Responsible Officer	9
TUNG Tai Wei David	ABS924	Licensed Representative - Responsible Officer	1
WANG Grace	APP106	Licensed Representative - Responsible Officer	9
WEIR Caroline Mary	BJL239	Licensed Representative - Responsible Officer	1
WONG Foelan	ADQ592	Licensed Representative - Responsible Officer	4, 9
WYSOCKI Michal Franciszek	BFZ217	Licensed Representative - Responsible Officer	1, 2, 9
XIONG Hao	AZI741	Licensed Representative - Responsible Officer	1, 2, 4, 9
XU Weihua	AXY048	Licensed Representative - Responsible Officer	4, 9
XU Wen	APO463	Licensed Representative - Responsible Officer	9
YANG Li	BPF322	Licensed Representative - Responsible Officer	4, 9
YE Yuedong	BGU322	Licensed Representative - Responsible Officer	1, 4, 9
YU Wah Foo, Kevin	AAA034	Licensed Representative - Responsible Officer	1, 2, 4, 5
YUNG Ka Cheung	ABN735	Licensed Representative - Responsible Officer	4, 9
ABRAHAMS Richard	BIG685	Licensed Representative (Provisional Licence)	1, 2, 4, 9
AHN Boyoung	BRG539	Licensed Representative (Provisional Licence)	1, 6
AHN Tae Eon	BRQ120	Licensed Representative (Provisional Licence)	1, 4, 6
ALAVI Arash	BIS583	Licensed Representative (Provisional Licence)	9
AN Pengfei	BRL007	Licensed Representative (Provisional Licence)	1, 4
AU Chun	BRO810	Licensed Representative (Provisional Licence)	1
AU Tsz Him Matthew	BRP811	Licensed Representative (Provisional Licence)	1, 2, 9
AU Tsz Ki	BMM219	Licensed Representative (Provisional Licence)	1
BAO Jie	BRP093	Licensed Representative (Provisional Licence)	1, 6
BAO Yuhan	BRP860	Licensed Representative (Provisional Licence)	1
BAUDOIN Victor Charles Moise	BRP034	Licensed Representative (Provisional Licence)	1, 2
BHARGAVA Nalin	AVA353	Licensed Representative (Provisional Licence)	9
BHATIA Sandeep Hareshkumar	BRP497	Licensed Representative (Provisional Licence)	1
BI Yuepeng	BRP009	Licensed Representative (Provisional Licence)	1, 6
BUT Long Tin	BRP025	Licensed Representative (Provisional Licence)	1, 6
CAI Jinyu	BRJ330	Licensed Representative (Provisional Licence)	9
CAI Xiaoyu	BRQ348	Licensed Representative (Provisional Licence)	1, 6
CAO Zeyuan	BRQ155	Licensed Representative (Provisional Licence)	1, 6
CHAI Ching-Yu	BRP847	Licensed Representative (Provisional Licence)	1
CHAN Ching Ka	BQV763	Licensed Representative (Provisional Licence)	1
CHAN Chun Kit	BRP991	Licensed Representative (Provisional Licence)	1, 4
CHAN Chun Yi	AZN469	Licensed Representative (Provisional Licence)	1, 2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHAN F. W.	BDM650	Licensed Representative (Provisional Licence)	6
CHAN Hei Yan Hazel	BRP242	Licensed Representative (Provisional Licence)	6
CHAN Kai Cheung	APO196	Licensed Representative (Provisional Licence)	1
CHAN King Hei	BRO789	Licensed Representative (Provisional Licence)	1, 2, 7
CHAN King Wing	BRP530	Licensed Representative (Provisional Licence)	1, 2
CHAN Man Ying	BRO966	Licensed Representative (Provisional Licence)	1, 4
CHAN Sau Shan	AGC410	Licensed Representative (Provisional Licence)	1, 4, 9
CHAN Sheung Fai	BRO043	Licensed Representative (Provisional Licence)	1, 2
CHAN Shi Xiang Malcolm	BRO633	Licensed Representative (Provisional Licence)	1, 2
CHAN Siu Tung, Gary	BRL047	Licensed Representative (Provisional Licence)	1, 4, 9
CHAN Sze Hin, Cherry	ALW768	Licensed Representative (Provisional Licence)	4, 9
CHAN Sze Wai, Vanessa	BRO776	Licensed Representative (Provisional Licence)	1
CHAN Tsz On	BRP026	Licensed Representative (Provisional Licence)	1, 6
CHAN Wa Ching	BRQ308	Licensed Representative (Provisional Licence)	1, 4
CHAN Wai Lun	BJP978	Licensed Representative (Provisional Licence)	1
CHAN Wing Fung	BRO027	Licensed Representative (Provisional Licence)	1, 4, 9
CHAN Wing Yu	BRP897	Licensed Representative (Provisional Licence)	1, 2
CHEN Chuan	BRO859	Licensed Representative (Provisional Licence)	6
CHEN Haoxiu	BRG475	Licensed Representative (Provisional Licence)	1
CHEN Jiawei	BPF984	Licensed Representative (Provisional Licence)	1, 7
CHEN Nan	BRO449	Licensed Representative (Provisional Licence)	6
CHEN Nanyou	BRO397	Licensed Representative (Provisional Licence)	1, 6
CHEN Shi	BRO141	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Shuangshuang	BRO944	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Wei	BRO529	Licensed Representative (Provisional Licence)	1
CHEN Wei	BRO909	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Weiman	BRM541	Licensed Representative (Provisional Licence)	1, 4, 9
CHEN Wenxin	BRP676	Licensed Representative (Provisional Licence)	1, 2
CHEN Xiang	BRQ080	Licensed Representative (Provisional Licence)	6
CHEN Xinpeng	BRP097	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Xinyang	BRO200	Licensed Representative (Provisional Licence)	1, 4
CHEN Xizi	BRN848	Licensed Representative (Provisional Licence)	1, 6
CHEN Ya Chun	BRI185	Licensed Representative (Provisional Licence)	9
CHEN Yiliang	BRQ408	Licensed Representative (Provisional Licence)	1, 6
CHEN Yong	BKC195	Licensed Representative (Provisional Licence)	1
CHEN Zhenhong	BRQ147	Licensed Representative (Provisional Licence)	1, 4, 9
CHEN Zhu	BLO224	Licensed Representative (Provisional Licence)	4
CHEN Zijian	BRK717	Licensed Representative (Provisional Licence)	1, 2, 4
CHENG Chi	BFP712	Licensed Representative (Provisional Licence)	1, 4, 6
CHENG Fuk Wai	BRO434	Licensed Representative (Provisional Licence)	1, 6
CHENG Guo	BRM310	Licensed Representative (Provisional Licence)	1, 6
CHENG Hei Naat	ARO882	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 9
CHENG Lap Kiu	BRK650	Licensed Representative (Provisional Licence)	1, 4
CHEUNG Chi Kiu	BRO462	Licensed Representative (Provisional Licence)	1, 2, 4
CHEUNG Hiu Lam	BRP433	Licensed Representative (Provisional Licence)	1, 2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHEUNG Hon	BRQ616	Licensed Representative (Provisional Licence)	1
CHEUNG Man Chor Elton	BRM572	Licensed Representative (Provisional Licence)	1, 9
CHEUNG Maureen	BIY666	Licensed Representative (Provisional Licence)	1, 6
CHEUNG Suet Ying	BRQ169	Licensed Representative (Provisional Licence)	9
CHEUNG Tsz Ching	BRO289	Licensed Representative (Provisional Licence)	1
CHIE Chi Hon	BRP896	Licensed Representative (Provisional Licence)	1
CHIK Ho Chit	BRQ718	Licensed Representative (Provisional Licence)	1, 2
CHIN Guo Dong	BRH870	Licensed Representative (Provisional Licence)	1, 4
CHIN Ka Man	BRO900	Licensed Representative (Provisional Licence)	1
CHIN Shun Shing	BRC219	Licensed Representative (Provisional Licence)	1, 4
CHO Bohwan	BRP573	Licensed Representative (Provisional Licence)	1, 2
CHO KI WOONG	BRO005	Licensed Representative (Provisional Licence)	1, 4, 9
CHOI Celine	BRN861	Licensed Representative (Provisional Licence)	1, 2
CHOI Chun Fai Jeffrey	BRQ311	Licensed Representative (Provisional Licence)	1, 4
CHOI Yun Ming	BRR069	Licensed Representative (Provisional Licence)	1
CHONG Tsz Fung	BRO128	Licensed Representative (Provisional Licence)	1, 2
CHOW Kar Cheung Clarence	BRP090	Licensed Representative (Provisional Licence)	1, 4
CHOW Man Him	BRM448	Licensed Representative (Provisional Licence)	1, 4, 6
CHOW Yuan Hui	BHT023	Licensed Representative (Provisional Licence)	1, 6
CHU Desai	BRO867	Licensed Representative (Provisional Licence)	1, 4, 6
CHU Siu Wing	BRQ409	Licensed Representative (Provisional Licence)	2
CHU Ying Na	BRP047	Licensed Representative (Provisional Licence)	1, 2
CHUNG Chor Kiu, Janice	BHY506	Licensed Representative (Provisional Licence)	1, 6
CHUNG Wai Yi	BRP022	Licensed Representative (Provisional Licence)	1, 6
CHUNG Yan Wo	BRP680	Licensed Representative (Provisional Licence)	1, 6
DA Qi	BRM015	Licensed Representative (Provisional Licence)	1, 4
DALEY Jemma	BRK937	Licensed Representative (Provisional Licence)	1, 4
DENG Qikun	BRO322	Licensed Representative (Provisional Licence)	1, 4, 6
DENG Yifan	BRN906	Licensed Representative (Provisional Licence)	4, 9
DHANUKA Ayush Kumar	BRO433	Licensed Representative (Provisional Licence)	1, 2
DIAO Yihang	BRO863	Licensed Representative (Provisional Licence)	6
DING Joanna	BRO788	Licensed Representative (Provisional Licence)	1, 6
DING Rui	BRO301	Licensed Representative (Provisional Licence)	1, 4
DING Yanzhi	BRP340	Licensed Representative (Provisional Licence)	1, 4, 9
DING Yifan	BQG398	Licensed Representative (Provisional Licence)	6
DING Yilan	BRQ996	Licensed Representative (Provisional Licence)	1, 4, 9
DONG Sirui	BRP094	Licensed Representative (Provisional Licence)	1, 2, 4
DUAN Lian	BRP041	Licensed Representative (Provisional Licence)	4
DUNWOODY Ross	BRP791	Licensed Representative (Provisional Licence)	9
FAN HO LAM	BRK927	Licensed Representative (Provisional Licence)	1, 2
FAN Jingruo	BLQ525	Licensed Representative (Provisional Licence)	1, 4, 6
FAN Minhao	BRQ217	Licensed Representative (Provisional Licence)	1, 6
FANG Zhejing	BRQ104	Licensed Representative (Provisional Licence)	4, 9
FENG Jin	BEN176	Licensed Representative (Provisional Licence)	1, 4, 6
FENG Lei	BRN897	Licensed Representative (Provisional Licence)	1, 4, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
FENN Max Benjamin	BRO046	Licensed Representative (Provisional Licence)	1
FOK Ching Pong	BCW143	Licensed Representative (Provisional Licence)	4, 9
FONG Chun Wang Calvin	BRP537	Licensed Representative (Provisional Licence)	1, 2
FONG Suk Yee Julie	BRQ271	Licensed Representative (Provisional Licence)	1, 2
FU Dawei	BRO975	Licensed Representative (Provisional Licence)	9
FU Sik Oriana	BRP844	Licensed Representative (Provisional Licence)	1, 2
GALVIN Simon Stephen	AXY950	Licensed Representative (Provisional Licence)	1, 4, 6
GAO Hanpu	BRO021	Licensed Representative (Provisional Licence)	1
GAO Minye	BRO942	Licensed Representative (Provisional Licence)	1, 6
GAO Wenli	BRP474	Licensed Representative (Provisional Licence)	1, 7
GAO Yuanru	BRQ166	Licensed Representative (Provisional Licence)	1, 6
GE Junxiao	BRH646	Licensed Representative (Provisional Licence)	1, 4, 6
GOH Ju Wei Joshua	BRN798	Licensed Representative (Provisional Licence)	1
GORMAN Thomas James	BRQ404	Licensed Representative (Provisional Licence)	1, 2
GU Jie	BQW548	Licensed Representative (Provisional Licence)	4, 9
GU Ruoming	BRP672	Licensed Representative (Provisional Licence)	1, 4, 6
GU Yulong	BRP706	Licensed Representative (Provisional Licence)	1, 6
GUPTA Aman	BRK755	Licensed Representative (Provisional Licence)	9
HAHN Ye Lin	BRL972	Licensed Representative (Provisional Licence)	9
HAN Haocheng	BRP037	Licensed Representative (Provisional Licence)	1, 2
HAN Jiandong	BFS085	Licensed Representative (Provisional Licence)	4, 9
HAN Jun	BRP908	Licensed Representative (Provisional Licence)	4
HAN Kexiong	BIG730	Licensed Representative (Provisional Licence)	1, 2, 4
HAN Wenqing	BRM446	Licensed Representative (Provisional Licence)	4
HAN Xu	BNC912	Licensed Representative (Provisional Licence)	1, 2
HAN Xudong	BRO042	Licensed Representative (Provisional Licence)	9
HANG Cheng	BRQ031	Licensed Representative (Provisional Licence)	1, 4
HARTWELL Benjamin Luke	ATC163	Licensed Representative (Provisional Licence)	1, 7
HE Huiwen	BRO420	Licensed Representative (Provisional Licence)	1, 2
HE Jiayang	BRO442	Licensed Representative (Provisional Licence)	1, 2, 4
HO Justin Ngao Wing	BRN855	Licensed Representative (Provisional Licence)	6
HO Kin Wing	BRR305	Licensed Representative (Provisional Licence)	4, 9
HO Pui Ki	BRQ498	Licensed Representative (Provisional Licence)	1
HON Yi Shing	BRP413	Licensed Representative (Provisional Licence)	1
HSIEH Wen Yao	BRQ277	Licensed Representative (Provisional Licence)	1, 4, 9
HSU Cheng Hung	BRP759	Licensed Representative (Provisional Licence)	1
HSU Kai Ming, Kevin	ARA161	Licensed Representative (Provisional Licence)	1, 2, 4, 5
HSU Yu Chen	BRO438	Licensed Representative (Provisional Licence)	1, 2
HU Chenghua	BRQ284	Licensed Representative (Provisional Licence)	1, 6
HU Lingzi	BEI552	Licensed Representative (Provisional Licence)	9
HU Tengfeng	BRO696	Licensed Representative (Provisional Licence)	1, 6
HU YAN	BRQ810	Licensed Representative (Provisional Licence)	1
HU Yuanliu	BRM260	Licensed Representative (Provisional Licence)	4
HUANG Guozhao	BRO489	Licensed Representative (Provisional Licence)	1, 4, 6
HUANG Hongchang	BRO307	Licensed Representative (Provisional Licence)	4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
HUANG Jiajun	BRO356	Licensed Representative (Provisional Licence)	1, 4, 9
HUANG Jialun	BRQ536	Licensed Representative (Provisional Licence)	1, 6
HUANG Jiayi	BRP298	Licensed Representative (Provisional Licence)	1, 6
HUANG Jinzhen	BRO321	Licensed Representative (Provisional Licence)	1, 4, 6
HUANG Xinyuan	BRO003	Licensed Representative (Provisional Licence)	1, 4, 9
HUI Yee Nok Jessica	BRQ046	Licensed Representative (Provisional Licence)	1, 2
HUNG Shek Ming	BRP674	Licensed Representative (Provisional Licence)	9
ICHIKAWA Eriko	BNH011	Licensed Representative (Provisional Licence)	1, 2
IP Yan Yee	BRL077	Licensed Representative (Provisional Licence)	1, 4, 9
JAO Shang Shu	BRO841	Licensed Representative (Provisional Licence)	1, 2, 4
JIA Ruo	BRM662	Licensed Representative (Provisional Licence)	1, 4, 6
JIANG Heyi	BRP758	Licensed Representative (Provisional Licence)	1, 4
JIANG Jiaheng	BRM258	Licensed Representative (Provisional Licence)	1, 6
JIANG Wei	BRP887	Licensed Representative (Provisional Licence)	4
JIANG YETONG	BRO293	Licensed Representative (Provisional Licence)	1, 2
JIAO Ting	BRP703	Licensed Representative (Provisional Licence)	1, 6
JIN Joon Ki	BRP095	Licensed Representative (Provisional Licence)	1, 2, 7
JIN Jun	BRM585	Licensed Representative (Provisional Licence)	1, 4, 5, 9
JING Yi	BRQ314	Licensed Representative (Provisional Licence)	4
JO Sang Youl	AEP482	Licensed Representative (Provisional Licence)	1, 4, 9
KADHIM Oliver	BRO998	Licensed Representative (Provisional Licence)	1
KE Xinran	BRN752	Licensed Representative (Provisional Licence)	1, 4, 6
KILPATRICK Oliver David Leal	BRP439	Licensed Representative (Provisional Licence)	1, 2
KIM Nam Seok	BRH458	Licensed Representative (Provisional Licence)	1, 4
KLEMENS Clarissa	BRO990	Licensed Representative (Provisional Licence)	1, 2
KO Ho Wai	BRQ318	Licensed Representative (Provisional Licence)	1, 2
KO Yat Him	BRO291	Licensed Representative (Provisional Licence)	1
KONG Jingning	BRQ118	Licensed Representative (Provisional Licence)	1
KWAN Ka Yin	AWF338	Licensed Representative (Provisional Licence)	1
KWOK Siu Chung, Jonathan	BBX571	Licensed Representative (Provisional Licence)	9
LAFON Pierre Jean Philippe Noel	BHE064	Licensed Representative (Provisional Licence)	1, 4
LAHIRI Prithvijit	AOV401	Licensed Representative (Provisional Licence)	6
LAI Chi Wang	BPJ943	Licensed Representative (Provisional Licence)	4, 9
LAI Chi Wing	BRO839	Licensed Representative (Provisional Licence)	1, 2
LAI Wang Chung	BRL054	Licensed Representative (Provisional Licence)	1
LAM Lok Ka	BRP505	Licensed Representative (Provisional Licence)	1, 2
LAM Sai Ho	BBP886	Licensed Representative (Provisional Licence)	4, 9
LAM Tak Wai, Derek	BEZ160	Licensed Representative (Provisional Licence)	4, 9
LAM Yat Fung	BRO062	Licensed Representative (Provisional Licence)	6
LAM Yi Lok	BRM581	Licensed Representative (Provisional Licence)	1
LAN Huihao	BRQ539	Licensed Representative (Provisional Licence)	1, 6
LAU Bik Sum Betty	BRP310	Licensed Representative (Provisional Licence)	1, 4
LAU Cheuk Nam	BRO775	Licensed Representative (Provisional Licence)	1, 4
LAU Ching Yee, Rebecca	AAF232	Licensed Representative (Provisional Licence)	1
LAU Joyce Kar Yan	BRO828	Licensed Representative (Provisional Licence)	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
LAU KA LING	BRN647	Licensed Representative (Provisional Licence)	1, 2, 4, 5
LAU Tai Wai	BRN878	Licensed Representative (Provisional Licence)	4, 9
LAU Wing Sang	BEW750	Licensed Representative (Provisional Licence)	1, 6
LAU Yee Ching	BRQ259	Licensed Representative (Provisional Licence)	6
LAWLOR Patrick Martin	AGU696	Licensed Representative (Provisional Licence)	1
LEE Clement Ka-lok	BRP893	Licensed Representative (Provisional Licence)	4, 9
LEE Fu Kuen	BRI007	Licensed Representative (Provisional Licence)	1, 4
LEE Ka Ho	ATO877	Licensed Representative (Provisional Licence)	1, 6
LEE Kai Yee	BAD882	Licensed Representative (Provisional Licence)	1, 4, 9
LEE Man Jun	BRO791	Licensed Representative (Provisional Licence)	4, 9
LEE Sin Ping Anita	BRM521	Licensed Representative (Provisional Licence)	4, 9
LEE Vanessa	AYS194	Licensed Representative (Provisional Licence)	1, 9
LEE Yan Yim	BRQ415	Licensed Representative (Provisional Licence)	1, 2
LEE Yu Ting	BRO313	Licensed Representative (Provisional Licence)	1, 2, 3, 4
LEE Ziu Erin	BRO084	Licensed Representative (Provisional Licence)	1, 2
LEI Yannan	BRO770	Licensed Representative (Provisional Licence)	1, 2, 4
LEUNG Hin Ho	BRO877	Licensed Representative (Provisional Licence)	1, 2, 7
LEUNG Yau Man Vivian	BRP587	Licensed Representative (Provisional Licence)	1, 2, 4, 5
LEUNG Yuen Shan	BRP540	Licensed Representative (Provisional Licence)	1, 2
LI Beili	BRQ223	Licensed Representative (Provisional Licence)	1, 6
LI Chi Tung	BRM259	Licensed Representative (Provisional Licence)	1
LI Chongyang	BRP933	Licensed Representative (Provisional Licence)	1, 6
LI Dongdong	BRO857	Licensed Representative (Provisional Licence)	1, 6
LI Huan	BRP234	Licensed Representative (Provisional Licence)	1, 4
LI Jiaqi	BRO773	Licensed Representative (Provisional Licence)	1, 6
LI Jiawen	BRQ420	Licensed Representative (Provisional Licence)	1, 6
LI Katherine Bei	AJO552	Licensed Representative (Provisional Licence)	1, 4, 9
LI KWOK WAI	BRP878	Licensed Representative (Provisional Licence)	1, 4
LI Lei	BRM320	Licensed Representative (Provisional Licence)	1
LI Libo	BRQ047	Licensed Representative (Provisional Licence)	1, 6
LI Qinshi	BRO015	Licensed Representative (Provisional Licence)	1, 4, 9
LI Shuhan	BRM244	Licensed Representative (Provisional Licence)	1, 4, 6
LI Wei Bo	BQK707	Licensed Representative (Provisional Licence)	1, 4
LI Weihao	BRP409	Licensed Representative (Provisional Licence)	10
LI Xin	BRO866	Licensed Representative (Provisional Licence)	2
LI Yangle	BRN814	Licensed Representative (Provisional Licence)	1, 2
LI Yongyi	BRN996	Licensed Representative (Provisional Licence)	1, 6
LI Yue	BRO399	Licensed Representative (Provisional Licence)	4
LI Yuemin	BRO945	Licensed Representative (Provisional Licence)	6
LI Yunlu	BRP696	Licensed Representative (Provisional Licence)	1, 4, 6
LI Zhaoyang	BRK978	Licensed Representative (Provisional Licence)	1, 4
LI Zhaoying	BRO580	Licensed Representative (Provisional Licence)	1, 6
LIAN Ruiyu	BRK767	Licensed Representative (Provisional Licence)	1, 6
LIANG Jinming	BLK923	Licensed Representative (Provisional Licence)	1, 6
LIANG Yongjia	BRO455	Licensed Representative (Provisional Licence)	4, 9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
LIAO Jingqiu	BRP846	Licensed Representative (Provisional Licence)	1, 4, 6
LIAO Ling	BRO851	Licensed Representative (Provisional Licence)	1, 6
LIM Chun Yin, Nicholas	BJI255	Licensed Representative (Provisional Licence)	1, 2
LIN Jonathan	BRM222	Licensed Representative (Provisional Licence)	4
LIN Man Man	BRO817	Licensed Representative (Provisional Licence)	1
LIN Shing Chun, Ambrose	BOP726	Licensed Representative (Provisional Licence)	1, 4
LIN Yu-Min	BRQ078	Licensed Representative (Provisional Licence)	1, 6
LIU Chang	BRQ065	Licensed Representative (Provisional Licence)	1, 4
LIU Chao	BRK475	Licensed Representative (Provisional Licence)	1, 6
LIU Fanglu	BRQ219	Licensed Representative (Provisional Licence)	1, 6
LIU Guangming	BDD671	Licensed Representative (Provisional Licence)	4, 9
LIU Huayu	BRP925	Licensed Representative (Provisional Licence)	1, 4, 6
LIU Jiali	BRP098	Licensed Representative (Provisional Licence)	4, 9
LIU Jiayao	BRE223	Licensed Representative (Provisional Licence)	4
LIU Liqing	BRP985	Licensed Representative (Provisional Licence)	1, 6
LIU Nian	BRQ193	Licensed Representative (Provisional Licence)	1, 6
LIU Ning	BNO014	Licensed Representative (Provisional Licence)	1, 6
LIU Ninghui	BRP507	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 9
LIU SIQING	BRK702	Licensed Representative (Provisional Licence)	1, 4
LIU Siyuan	AXZ979	Licensed Representative (Provisional Licence)	1, 4, 9
LIU Wenqiang	BRO873	Licensed Representative (Provisional Licence)	2
LIU Xiaofei	BRK986	Licensed Representative (Provisional Licence)	1, 4
LIU Xiaoning	BRP417	Licensed Representative (Provisional Licence)	1, 6
LIU Yang	BRO854	Licensed Representative (Provisional Licence)	1, 6
LIU Ying	BHK372	Licensed Representative (Provisional Licence)	1, 4, 6
LIU Yiran	BRM301	Licensed Representative (Provisional Licence)	1, 2, 7
LIU Yiran	BRP088	Licensed Representative (Provisional Licence)	1, 4
LIU Yuk Ling	BRP476	Licensed Representative (Provisional Licence)	1, 2
LIU Yun	BRQ333	Licensed Representative (Provisional Licence)	1, 6
LIU Yunqi	BRO938	Licensed Representative (Provisional Licence)	1, 6
LIU Zihan	BRL963	Licensed Representative (Provisional Licence)	1, 6
LO Kwai Leung	BRN717	Licensed Representative (Provisional Licence)	1
LO Yee Man	AUH450	Licensed Representative (Provisional Licence)	1
LOW Henry Donald Greenall Ka-Sing	BRM657	Licensed Representative (Provisional Licence)	1, 4, 6
LU Renhao	BRM157	Licensed Representative (Provisional Licence)	1, 4, 6
LU Wenqi	BRN905	Licensed Representative (Provisional Licence)	1, 4
LU Yanyang	BRK982	Licensed Representative (Provisional Licence)	1, 4
LU Yunzhi	BRQ414	Licensed Representative (Provisional Licence)	1, 2
LUK Cameo	BRQ238	Licensed Representative (Provisional Licence)	9
LUK Wai Ha Eva	BRM311	Licensed Representative (Provisional Licence)	1, 4, 9
LUO Zhonghua	BRQ197	Licensed Representative (Provisional Licence)	1, 6
LUO Ziyue	BRP425	Licensed Representative (Provisional Licence)	1, 6
MA David	AXY618	Licensed Representative (Provisional Licence)	1, 2
MA Ke	BRO254	Licensed Representative (Provisional Licence)	1, 6
MA Ruixiao	BHR410	Licensed Representative (Provisional Licence)	1, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
MA Tsz Yin	BRL073	Licensed Representative (Provisional Licence)	9
MA Yuanrong	BGE021	Licensed Representative (Provisional Licence)	1, 4, 6
MAI Jingtong	BRQ145	Licensed Representative (Provisional Licence)	1, 4
MAK Wai Ching	BRO061	Licensed Representative (Provisional Licence)	6
MAO Yu	BRP301	Licensed Representative (Provisional Licence)	1
MARTENSSON Ella Lee	BRG553	Licensed Representative (Provisional Licence)	1, 2
MC CARROLL James Patrick	BRN941	Licensed Representative (Provisional Licence)	1, 2
MENDONCA Vinella Anne	BRR081	Licensed Representative (Provisional Licence)	1
MENG Yue	BRP552	Licensed Representative (Provisional Licence)	2
MINAKI Tomoya	BIW810	Licensed Representative (Provisional Licence)	1, 2
MINAMI Taishi	BRN981	Licensed Representative (Provisional Licence)	2, 5
MOON Jaesuk	BRP036	Licensed Representative (Provisional Licence)	1
NARANG Radhika	BRP909	Licensed Representative (Provisional Licence)	1, 4
NEWTON Christopher John	AVJ280	Licensed Representative (Provisional Licence)	1, 2, 7
NG Cheuk Him Ivan	BRQ096	Licensed Representative (Provisional Licence)	6
NG Chi Sing	BRO400	Licensed Representative (Provisional Licence)	1, 4
NG Chi Wai	AKX184	Licensed Representative (Provisional Licence)	1, 4
NG Hiu Ching	BKS840	Licensed Representative (Provisional Licence)	1
NG Siu Na Sarnian	BMC120	Licensed Representative (Provisional Licence)	1
NG Wai Cheong, Tony	ASU462	Licensed Representative (Provisional Licence)	1
NG Wai See	BOW964	Licensed Representative (Provisional Licence)	1, 2, 4
NG Wing Nga	BRP561	Licensed Representative (Provisional Licence)	4
NGUYEN TANG Xuan Lam	ASL990	Licensed Representative (Provisional Licence)	1, 4, 9
OGASAWARA Fumihito	BFC759	Licensed Representative (Provisional Licence)	1, 4, 9
PAI Hong Wei	BRP364	Licensed Representative (Provisional Licence)	1
PAN Chunling	BRO470	Licensed Representative (Provisional Licence)	1, 2
PAN Yiwei	BRO761	Licensed Representative (Provisional Licence)	1, 4, 6
PARK Jinsun	BRP454	Licensed Representative (Provisional Licence)	1, 2
PENG Danhan	BRO095	Licensed Representative (Provisional Licence)	1, 4, 6
PENG Haoxiang	BRP478	Licensed Representative (Provisional Licence)	4, 9
PENG Siyu	BRQ089	Licensed Representative (Provisional Licence)	6
PENG Xiaohui	BRK900	Licensed Representative (Provisional Licence)	1, 4, 6
PEQUIGNOT Jean-david	AWC880	Licensed Representative (Provisional Licence)	1, 7
PRASAD Akshay	BRF257	Licensed Representative (Provisional Licence)	1, 4
QI Qi	BRO403	Licensed Representative (Provisional Licence)	10
QI Ruixiao	BLE417	Licensed Representative (Provisional Licence)	1, 4, 6
QIAN Qinyue	BRJ502	Licensed Representative (Provisional Licence)	1
QIN Peijing	BDX574	Licensed Representative (Provisional Licence)	4
QIN Xiaotian	BCF240	Licensed Representative (Provisional Licence)	1, 4
QIU Xiang	BRM243	Licensed Representative (Provisional Licence)	1, 4, 6
QU HUAQIANG	BRO050	Licensed Representative (Provisional Licence)	1, 6
QU Wenchan	BNC099	Licensed Representative (Provisional Licence)	1, 4, 9
REN Hongliang	BRP867	Licensed Representative (Provisional Licence)	9
RIDLEY Hannah Grace	BRP845	Licensed Representative (Provisional Licence)	1, 2
ROWE Paul James	BRO265	Licensed Representative (Provisional Licence)	1, 2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
SAM CHANG Segundo Willy	AHE451	Licensed Representative (Provisional Licence)	6
SHASTRI Vallabh	BRP342	Licensed Representative (Provisional Licence)	1, 2, 4
SHAW Philip	BRK607	Licensed Representative (Provisional Licence)	1, 2
SHEN Chengjie	AVQ799	Licensed Representative (Provisional Licence)	1, 4, 9
SHEN Hanming	BRP495	Licensed Representative (Provisional Licence)	1, 6
SHEN Jie	BRQ225	Licensed Representative (Provisional Licence)	1, 6
SHEN Shao Feng	BRN873	Licensed Representative (Provisional Licence)	4, 9
SHEN Wenbin	BRQ659	Licensed Representative (Provisional Licence)	1, 6
SHEN Yixiao	BRP096	Licensed Representative (Provisional Licence)	1, 4, 6
SHI Chang	BRQ660	Licensed Representative (Provisional Licence)	1, 6
SHI Heng	BRP586	Licensed Representative (Provisional Licence)	4
SHI Meiqi	BRM159	Licensed Representative (Provisional Licence)	1, 2
SHI Shanni	BRQ325	Licensed Representative (Provisional Licence)	1, 2
SHIMABUKU LANDAURE Ramiro Angel	BRM028	Licensed Representative (Provisional Licence)	1, 2, 7
SHIN Yuet Ming	BRN912	Licensed Representative (Provisional Licence)	6
SHU Kelan	BRK461	Licensed Representative (Provisional Licence)	1, 4
SIU Yee Man	BRP012	Licensed Representative (Provisional Licence)	1
SIVANATHAN Saththyan	BRG330	Licensed Representative (Provisional Licence)	1, 2
SMITS Vincentius Johannes	BRM314	Licensed Representative (Provisional Licence)	9
SONG Jia Xin	BRP092	Licensed Representative (Provisional Licence)	1, 6
SONG Long	BRP554	Licensed Representative (Provisional Licence)	9
SONG Shaoling	BQD390	Licensed Representative (Provisional Licence)	4
SONG Sijia	BIY897	Licensed Representative (Provisional Licence)	1, 6
SONG Yeqing	BRO856	Licensed Representative (Provisional Licence)	6
SONG Zhenjiang	BRM313	Licensed Representative (Provisional Licence)	1, 4, 6
SONG Zhiqing	BRQ051	Licensed Representative (Provisional Licence)	1, 6
SRINIVASAN Sairam	BRO744	Licensed Representative (Provisional Licence)	1, 2
SU Hailing	BRO448	Licensed Representative (Provisional Licence)	1, 6
SU Huachun	BRO846	Licensed Representative (Provisional Licence)	1, 6
SUI Fuyang	BRM250	Licensed Representative (Provisional Licence)	1, 4
SUN Guoxiao	BRG207	Licensed Representative (Provisional Licence)	1, 6
SUZUKI Hideki	BRP487	Licensed Representative (Provisional Licence)	4, 9
SZE Tin Yeung, Randoll	ANW189	Licensed Representative (Provisional Licence)	1, 4, 6
TAKEMURA Tsutomu	BRM008	Licensed Representative (Provisional Licence)	1, 4, 6
TAN Hong	BRL095	Licensed Representative (Provisional Licence)	1, 4, 9
TAN Peng Ming Joel	BQC315	Licensed Representative (Provisional Licence)	1, 4, 9
TAN Su-iong	BRP976	Licensed Representative (Provisional Licence)	1
TAN Yawen	BJP932	Licensed Representative (Provisional Licence)	9
TANG Chao	BBH968	Licensed Representative (Provisional Licence)	1, 4
TANG Eryang	BRP356	Licensed Representative (Provisional Licence)	1, 4, 6
TANG Ting Kwong	BRO822	Licensed Representative (Provisional Licence)	9
TANG Tsz Mei	BJP054	Licensed Representative (Provisional Licence)	1, 4, 9
TAO Yanru	BRJ707	Licensed Representative (Provisional Licence)	1, 4
THAI Adrien Quoc Duy	BRP915	Licensed Representative (Provisional Licence)	2
THOO Ee Yang	BRM455	Licensed Representative (Provisional Licence)	1, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
TIAN Muqing	BRP488	Licensed Representative (Provisional Licence)	1, 4, 6
TIAN Yang	BRO777	Licensed Representative (Provisional Licence)	6
TIAN Youjia	BRP481	Licensed Representative (Provisional Licence)	1, 4, 6
TONG Weiting	BRK507	Licensed Representative (Provisional Licence)	9
TRIPATHI Kriti	BRI262	Licensed Representative (Provisional Licence)	1, 6
TSE Kun Mei	BRN999	Licensed Representative (Provisional Licence)	1, 4
TSUI Ha Fong	BRP855	Licensed Representative (Provisional Licence)	1
TSUI Hiu Ting	BRP042	Licensed Representative (Provisional Licence)	1, 2, 7
TSUI Sing Yam	BRO927	Licensed Representative (Provisional Licence)	1, 4, 5, 9
TU Zhenqi	BRP854	Licensed Representative (Provisional Licence)	1, 4, 6
VAN DYKE Joshua Lee	BRP992	Licensed Representative (Provisional Licence)	1, 4
VERDASCA Andrew F	BRL072	Licensed Representative (Provisional Licence)	1, 4, 6
WAI Jian Rong	BRM660	Licensed Representative (Provisional Licence)	1, 2
WALKER Benjamin Jonathan James	BRI614	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 7
WAN Kenan	BRL034	Licensed Representative (Provisional Licence)	1, 6
WAN Ming Hui Ryan	BRO946	Licensed Representative (Provisional Licence)	6
WANG Fang	BRK746	Licensed Representative (Provisional Licence)	9
WANG Hao	BRP403	Licensed Representative (Provisional Licence)	1
WANG Haoyang	BRO766	Licensed Representative (Provisional Licence)	1, 6
WANG Huijie	BRQ679	Licensed Representative (Provisional Licence)	1, 6
WANG Jingyi	BRP868	Licensed Representative (Provisional Licence)	1, 2, 4
WANG Ju	BRP227	Licensed Representative (Provisional Licence)	1, 6
WANG Kang	BLM893	Licensed Representative (Provisional Licence)	1, 2, 4
WANG Ligeng	BRQ049	Licensed Representative (Provisional Licence)	1, 6
WANG Liru	BRQ077	Licensed Representative (Provisional Licence)	1, 6
WANG Ning	BRE810	Licensed Representative (Provisional Licence)	4, 9
WANG Pingshi	BRF840	Licensed Representative (Provisional Licence)	6
WANG Ruoyu	BRQ340	Licensed Representative (Provisional Licence)	1, 6
WANG Shaoyang	BRO852	Licensed Representative (Provisional Licence)	1, 6
WANG Shuang	BEZ808	Licensed Representative (Provisional Licence)	1
WANG Tianqi	BRP800	Licensed Representative (Provisional Licence)	1, 6
WANG Wenwen	AYM691	Licensed Representative (Provisional Licence)	1, 2
WANG Xichen	BOG737	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Xuan	BEW977	Licensed Representative (Provisional Licence)	9
WANG Xueqi	BRL973	Licensed Representative (Provisional Licence)	1, 6
WANG Yajie	BRP343	Licensed Representative (Provisional Licence)	1, 6
WANG Yang	BRM530	Licensed Representative (Provisional Licence)	4
WANG Yanxu	BNO691	Licensed Representative (Provisional Licence)	9
WANG Yidong	BRQ359	Licensed Representative (Provisional Licence)	1, 6
WANG Yinuo	BRJ183	Licensed Representative (Provisional Licence)	6
WANG Yizhou	BRQ965	Licensed Representative (Provisional Licence)	1, 4
WANG Zhiyu	BRO853	Licensed Representative (Provisional Licence)	1, 6
WANG Ziqiao	BRK748	Licensed Representative (Provisional Licence)	6
WEI Jie	AUT751	Licensed Representative (Provisional Licence)	1, 6
WEI Penghe	BRO256	Licensed Representative (Provisional Licence)	1, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
WEI Shiyu	BRM460	Licensed Representative (Provisional Licence)	1, 4, 6
WEI Tong	BRO487	Licensed Representative (Provisional Licence)	1, 6
WEN Hanlin	BRO913	Licensed Representative (Provisional Licence)	1, 4, 9
WEN Yu	BRO393	Licensed Representative (Provisional Licence)	1, 6
WONG Carina Jaime	BRO745	Licensed Representative (Provisional Licence)	1
WONG Chi Yat	BRN891	Licensed Representative (Provisional Licence)	10
WONG Hoi On	BRP582	Licensed Representative (Provisional Licence)	1, 2, 4
WONG Kwun Man	BEB657	Licensed Representative (Provisional Licence)	1, 6
WONG Man Ho	BEV529	Licensed Representative (Provisional Licence)	1, 4
WONG Ngo Ting	BRO630	Licensed Representative (Provisional Licence)	4
WONG Pui Wing	ADP032	Licensed Representative (Provisional Licence)	1, 2
WONG Tsun Lung	BRP942	Licensed Representative (Provisional Licence)	4
WONG Wan Lam	BRP901	Licensed Representative (Provisional Licence)	1, 2
WONG Wing Yu Chelsea	BRQ097	Licensed Representative (Provisional Licence)	6
WU Da	BRQ098	Licensed Representative (Provisional Licence)	1, 6
WU Kailin	BRP584	Licensed Representative (Provisional Licence)	4
WU Liang	BRN925	Licensed Representative (Provisional Licence)	1, 4, 6
WU Liang	BRP486	Licensed Representative (Provisional Licence)	1, 4
WU Mengdi	BRO031	Licensed Representative (Provisional Licence)	4
WU Qixuan	BRO255	Licensed Representative (Provisional Licence)	1, 6
WU Qiyue	BRP244	Licensed Representative (Provisional Licence)	6
WU Ruihan	BRN898	Licensed Representative (Provisional Licence)	1, 2
WU Yilin	BOA252	Licensed Representative (Provisional Licence)	1, 2, 4
XIA Lisi	BRE261	Licensed Representative (Provisional Licence)	6
XIA Yang	BIR052	Licensed Representative (Provisional Licence)	1, 4, 6
XIANG Yixin	BHA262	Licensed Representative (Provisional Licence)	1, 6
XIAO He	BRR464	Licensed Representative (Provisional Licence)	1, 6
XIAO Jiutian	BRQ050	Licensed Representative (Provisional Licence)	1, 6
XIAO Nan	BRQ076	Licensed Representative (Provisional Licence)	1
XIE Dong Hua	BRP946	Licensed Representative (Provisional Licence)	1, 6
XIE Jia	BRO097	Licensed Representative (Provisional Licence)	1
XIE Zhen	BRO334	Licensed Representative (Provisional Licence)	1, 6
XING Dandan	BRO903	Licensed Representative (Provisional Licence)	1
XU Ang	BRJ825	Licensed Representative (Provisional Licence)	1
XU Lei	BRO889	Licensed Representative (Provisional Licence)	1, 4
XU Muye	BLW942	Licensed Representative (Provisional Licence)	9
XU Pengbing	AWC146	Licensed Representative (Provisional Licence)	1
XU Ran	BRP918	Licensed Representative (Provisional Licence)	1, 2, 7
XU Wenchu	BRP881	Licensed Representative (Provisional Licence)	1
XU Yi	BRQ467	Licensed Representative (Provisional Licence)	1
XU Yidong	BRQ084	Licensed Representative (Provisional Licence)	6
XU Yuehui	BRP699	Licensed Representative (Provisional Licence)	1, 6
YAM Chung Man Joseph	BHR865	Licensed Representative (Provisional Licence)	1, 6
YAMADA Takehiro	BRN815	Licensed Representative (Provisional Licence)	1
YAN Chongda	BRQ831	Licensed Representative (Provisional Licence)	1, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
YAN Yunfeng	BRP462	Licensed Representative (Provisional Licence)	1
YANG Hongzhi	BRO860	Licensed Representative (Provisional Licence)	6
YANG Junjie	BOJ945	Licensed Representative (Provisional Licence)	1, 6
YANG Lile	BFR220	Licensed Representative (Provisional Licence)	1, 4, 9
YANG Linzi	BRO401	Licensed Representative (Provisional Licence)	1, 4, 6
YANG Shengpeng	BRO879	Licensed Representative (Provisional Licence)	1, 2
YANG Wenhan	BRQ210	Licensed Representative (Provisional Licence)	1, 6
YATES Jason	AHS273	Licensed Representative (Provisional Licence)	1, 2, 4
YAU Patrick	AFT664	Licensed Representative (Provisional Licence)	1, 4, 9
YE Lingling	BRK480	Licensed Representative (Provisional Licence)	1
YE Ying	APU794	Licensed Representative (Provisional Licence)	1, 6
YE Zhiyu	BRQ105	Licensed Representative (Provisional Licence)	4, 9
YI Xin	BRP651	Licensed Representative (Provisional Licence)	4
YIN Zhe	BRM641	Licensed Representative (Provisional Licence)	1, 4
YIP Cheuk Lai	BRO056	Licensed Representative (Provisional Licence)	6
YONG Clement Yun Sun	BRP617	Licensed Representative (Provisional Licence)	4, 9
YOON Seonghoon	BRP048	Licensed Representative (Provisional Licence)	1
YU Ching Ting Alexander	BRP864	Licensed Representative (Provisional Licence)	1, 4, 5, 9
YU Fengbo	BRN926	Licensed Representative (Provisional Licence)	1, 4, 6
YU Lihan	BRP006	Licensed Representative (Provisional Licence)	4
YU Yan	BRK468	Licensed Representative (Provisional Licence)	1, 2, 7
YU Yang	BRO907	Licensed Representative (Provisional Licence)	1, 6
YU Zhengyi	BRQ073	Licensed Representative (Provisional Licence)	6
YUAN Runan	BRO914	Licensed Representative (Provisional Licence)	1, 4
YUAN Yufei	BRO848	Licensed Representative (Provisional Licence)	1, 6
YUEN Man Hin	BRP851	Licensed Representative (Provisional Licence)	1, 4
YUEN Tsz King	AXJ865	Licensed Representative (Provisional Licence)	1, 2
YVER Charly Werner	BNU457	Licensed Representative (Provisional Licence)	9
ZENG Jiajing	BRQ770	Licensed Representative (Provisional Licence)	1, 6
ZENG Jiakai	BRO549	Licensed Representative (Provisional Licence)	1
ZENG Kunjie	BRO858	Licensed Representative (Provisional Licence)	1, 6
ZENG Rui	BRJ453	Licensed Representative (Provisional Licence)	6
ZENG Tao	BRQ196	Licensed Representative (Provisional Licence)	1, 4
ZENG Wenyuan	BRP953	Licensed Representative (Provisional Licence)	1, 4, 6
ZHAN Ruolin	BRR199	Licensed Representative (Provisional Licence)	1, 4
ZHANG Dale	BOZ872	Licensed Representative (Provisional Licence)	9
ZHANG Duke Du	BRO764	Licensed Representative (Provisional Licence)	1, 4
ZHANG Jinyao	BRO974	Licensed Representative (Provisional Licence)	9
ZHANG Luyi	BRK781	Licensed Representative (Provisional Licence)	1, 6
ZHANG Qiao	BRN896	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Qilu	BRK990	Licensed Representative (Provisional Licence)	1, 4
ZHANG Sishi	BRO558	Licensed Representative (Provisional Licence)	4, 9
ZHANG Tiansheng	BRO838	Licensed Representative (Provisional Licence)	4
ZHANG Wanjing	BRO790	Licensed Representative (Provisional Licence)	1, 6
ZHANG Weiyi	BRP246	Licensed Representative (Provisional Licence)	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2021

CE Name	CE No.	License Type	Types of Regulated Activity
ZHANG Wenqing	BRN914	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Xingyu	BRO737	Licensed Representative (Provisional Licence)	4, 9
ZHANG Xuechun	BRO864	Licensed Representative (Provisional Licence)	1
ZHANG Yihong	BRM132	Licensed Representative (Provisional Licence)	1, 6
ZHANG Yuhang	BRP499	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Zeming	BRQ538	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Zhaoyang	BRO763	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Zhen	BRO991	Licensed Representative (Provisional Licence)	1, 6
ZHANG Zhixiong	BQZ795	Licensed Representative (Provisional Licence)	9
ZHAO Di	BRJ887	Licensed Representative (Provisional Licence)	4, 9
ZHAO Peng	BRN919	Licensed Representative (Provisional Licence)	1, 4, 6
ZHAO Sitong	BRO970	Licensed Representative (Provisional Licence)	1, 6
ZHAO Xin	BRQ226	Licensed Representative (Provisional Licence)	1, 6
ZHAO Xinyang	BRP604	Licensed Representative (Provisional Licence)	1, 6
ZHAO Yi	BRN915	Licensed Representative (Provisional Licence)	1, 4, 6
ZHAO Yiqin	BRP538	Licensed Representative (Provisional Licence)	1, 4, 6
ZHENG Ming Yuan	BQS627	Licensed Representative (Provisional Licence)	1, 4, 9
ZHENG Pudong	BRQ021	Licensed Representative (Provisional Licence)	1, 6
ZHENG Wenjing	BRO753	Licensed Representative (Provisional Licence)	4
ZHENG Xinru	BRO695	Licensed Representative (Provisional Licence)	1, 2
ZHOU Jian	BRP445	Licensed Representative (Provisional Licence)	1, 2
ZHOU Mengjue	BRO028	Licensed Representative (Provisional Licence)	1, 2
ZHOU Xiang	BRO749	Licensed Representative (Provisional Licence)	1, 6
ZHOU Xunnan	BRP306	Licensed Representative (Provisional Licence)	4
ZHOU Yangyi	BRK786	Licensed Representative (Provisional Licence)	1, 6
ZHOU Yu	BRP477	Licensed Representative (Provisional Licence)	1, 4, 9
ZHOU Zhiyu	BRM461	Licensed Representative (Provisional Licence)	1, 4, 6
ZHOU Zijie	BRO041	Licensed Representative (Provisional Licence)	1, 4, 6
ZHU Beihang	BRP560	Licensed Representative (Provisional Licence)	1, 6
ZHU Bowen	BRN923	Licensed Representative (Provisional Licence)	1, 4, 6
ZHU Han	BRQ030	Licensed Representative (Provisional Licence)	1, 6
ZHU Zheng	BGG429	Licensed Representative (Provisional Licence)	1, 4, 6
ZHUO Qiuying	BRN854	Licensed Representative (Provisional Licence)	1, 6
ZOU Dongliang	BRN807	Licensed Representative (Provisional Licence)	1, 4, 9
ZOU Miaolang	BRO870	Licensed Representative (Provisional Licence)	1
ZOU Yining	BRQ724	Licensed Representative (Provisional Licence)	1, 6
ZUO Yongwei	BRQ540	Licensed Representative (Provisional Licence)	1, 4, 6
MOWAT John George	BOD742	Licensed Representative (Temporary Licence)	1, 4
PAN Zhongning	AWG910	Licensed Representative (Temporary Licence)	1, 4
TSU King Yiu	BFA530	Licensed Representative (Temporary Licence)	4