

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2020

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
ADS Securities Hong Kong Limited	AXC847	Licensed Corporation		4
Alphawise Asset Management Limited	BNV516	Licensed Corporation	4	
Aon Securities (Hong Kong) Limited	BIC537	Licensed Corporation		6
Apollo Capital Management Limited	BOI554	Licensed Corporation	1	
CQS (Hong Kong) Limited	ALN493	Licensed Corporation	1	
iCapital Advisory Limited	BNN296	Licensed Corporation		1
NH Investment & Securities (H.K.) Limited	ACN469	Licensed Corporation		3
OP Investment Management Limited	AJH044	Licensed Corporation	1	
Synergy Futures Limited	BMV449	Licensed Corporation	4, 5	
TNS Capital Management Limited	BJI788	Licensed Corporation	4	
ALON SHEMESH Gilat	BNG228	Licensed Representative		9
AU-YEUNG Sau Chun	AFJ413	Licensed Representative	1	
BOLTON Richard Philip	ATG683	Licensed Representative	9	
CAI Yingwei	AUU962	Licensed Representative		4
CAO Chunan	BAC321	Licensed Representative	1, 7	5
CAO Yiyun	BFN647	Licensed Representative	4	
CHAN Chi Bun	AZR722	Licensed Representative	1	1
CHAN Hiu Kwan Rebecca	BJO783	Licensed Representative	4	
CHAN Hung Kee	ABF065	Licensed Representative	6	2
CHAN Kar Wah	ADS842	Licensed Representative		1, 2
CHAN Kin Ho	ALQ830	Licensed Representative	1, 2, 4, 5	
CHAN Kwong Shan	AFV359	Licensed Representative	5	
CHAN Kwun Yin Kenneth	BNW998	Licensed Representative	9	
CHAN Man Na	AFB029	Licensed Representative	2	
CHAN Man Yee	AFG784	Licensed Representative		1
CHAN Ming Hang	BJT505	Licensed Representative		1, 4
CHAN Raymond Siu Lun	ATT484	Licensed Representative	1	
CHAN Shu Ting	BKY634	Licensed Representative	1	
CHAN Sze	BOK502	Licensed Representative	2	
CHAN Tak Sum	BLZ737	Licensed Representative	3, 4	
CHAN Yiu Kwong	AED596	Licensed Representative		4, 9
CHAN Yue Tat Andy	BHY880	Licensed Representative	9	1
CHANG Huiii	BJC906	Licensed Representative		1
CHANG Shui Man Jacqueline	BPV278	Licensed Representative	3	
CHAU Chung Yin, Samiel	AAV692	Licensed Representative		4
CHAU Kong San	AYR628	Licensed Representative	2	9
CHAU Kwong Lui	AUI437	Licensed Representative		2, 4
CHAU Wing Nam	BKD518	Licensed Representative		1
CHE Haonan	BCC411	Licensed Representative		1
CHEH Jonathan Win-yiu	BAF472	Licensed Representative		2
CHEN Chen	AVZ038	Licensed Representative	10	1, 4, 9
CHEN Junhui	AXW582	Licensed Representative	5	
CHEN Ningxin	BLF890	Licensed Representative		1
CHEN Shaowen	BOF188	Licensed Representative	4	
CHEN Xiaohan	BNI764	Licensed Representative	2	
CHEN Yaoqiang	BIR928	Licensed Representative	4	2, 7
CHEN Yifan	BDL756	Licensed Representative	6	
CHEN Yingchong	BNV053	Licensed Representative		1
CHEN Yu	BFD957	Licensed Representative		5
CHEN Yu	BLN446	Licensed Representative	1, 2	6
CHEN Yu-Lun	BPH861	Licensed Representative	2, 5	
CHEN Zhikai	AYM953	Licensed Representative	4	
CHENG Kaichung	BOF503	Licensed Representative	6	1, 4, 9
CHENG Ya-wei	BNW174	Licensed Representative	4	

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2020

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
CHENG Yuk Kin	AOB642	Licensed Representative	1	
CHENG Zi Heng	BLI613	Licensed Representative	1, 4, 6	9
CHEUNG Chung Yin	BOB016	Licensed Representative	2	1
CHEUNG Chung Yiu	AEF749	Licensed Representative	2	
CHEUNG Man Yan Sindy	BPY369	Licensed Representative	9	
CHEUNG Shin Kwan, Judy	AEE793	Licensed Representative	2	
CHEUNG Siu Man	BNO146	Licensed Representative	9	
CHEUNG Tsz Fong	BPY092	Licensed Representative	2	
CHIANG Ya Ling	BPY237	Licensed Representative	4	
CHIU Chi Kin, Andrew	AEI648	Licensed Representative		4
CHIU Ka Chun	BGM282	Licensed Representative	3	
CHOO Bee Ling, Elaine	BBP300	Licensed Representative	5	1
CHOW Ka Yee	BFS087	Licensed Representative	4	
CHOW Kit Har, Susanna	ADY295	Licensed Representative		2
CHOW Wah Hung	BJZ480	Licensed Representative	4	
CHOW Wai Kei, Ricky	ACG060	Licensed Representative		4
CHOW Wing	ALK717	Licensed Representative		1
CHOY Tak Sum	BAJ163	Licensed Representative		1
CHOY Yan Yi	BQC450	Licensed Representative	5	
CHU Cheuk Ho, Andrew	ATX915	Licensed Representative	2	
CHUNG Cheuk Lam	BHL816	Licensed Representative	2	
CHUNG Chieh-Hung	BKL124	Licensed Representative	4	
CHUNG Li Peng	BPX712	Licensed Representative	9	
CHUNG Man Him, James	ANK917	Licensed Representative	1	
CHUNG Wing Kin	ATE069	Licensed Representative	4, 5, 9	
CUI Jiaming	BOU586	Licensed Representative	4	
DAGUET Olivier Pierre Louis	AEY436	Licensed Representative	2	
DAI Shuchang	BPK768	Licensed Representative	1	
EL MAZHOR Salim	BNF590	Licensed Representative	9	1, 2
FONG Anthony Chek Hien	BPN271	Licensed Representative		1, 2
FUNG Kin Chung	ANT597	Licensed Representative	9	1
GAO Caiyun	AUD011	Licensed Representative	4	
GAO Mengyang	BNJ100	Licensed Representative	9	1
GARECHE Ahcene Saadi	BJK534	Licensed Representative		4, 5
HAO Xin	BQC601	Licensed Representative	2	
HE Chuangli	BBQ909	Licensed Representative	1	
HE Minyi	BIS436	Licensed Representative	4	
HE Xi	BCC252	Licensed Representative		1, 4
HENG Wui Kiat	AFE178	Licensed Representative	4	
HO Ka Wing	BLO676	Licensed Representative	2	
HO Ken Hon	AFR777	Licensed Representative		2, 9
HO Kin Chung, Kelvin	AFR360	Licensed Representative		1
HO Kwok Wing	BME583	Licensed Representative	4	
HO Pui Lam	ARP168	Licensed Representative		2, 4
HO Taine Ting Hin	BCO501	Licensed Representative	4, 9	2
HOR Kin Hung	AOT575	Licensed Representative	4	
HU Xinlin	BQC141	Licensed Representative	2	
HUA Weilai	ALU448	Licensed Representative	4	
HUANG Rongzuo	BMP043	Licensed Representative	1, 4	10
HUANG Xiaoyi	BOR781	Licensed Representative	4, 9	1
HUANG Ying	BPN577	Licensed Representative	4	
HUANG Zixiao	BPX104	Licensed Representative	4	
HUI Chi Kong, Joseph	AEZ713	Licensed Representative		1
HUI Chun Kwok	BKK679	Licensed Representative		9

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CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
HUI Lam	AQZ995	Licensed Representative	9	
HUI Luk Shing	BGL182	Licensed Representative		4
HUI Nga Ying	AMU039	Licensed Representative		5
HUI Sze Tat	BNV085	Licensed Representative		3
HUNG Ngai Lam	BDO427	Licensed Representative	4	
IM Wai Ieng	BQA885	Licensed Representative	2	
JALIF Jasmine	BNH474	Licensed Representative	9	
JEFFREYS Nicholas Vaughan	ADM299	Licensed Representative	9	
JIANG Yingyun	BKL592	Licensed Representative		1
JIANG Yuanlin	BQE131	Licensed Representative	2, 5	6
JU Linlin	BNH088	Licensed Representative		6
KELLY Andrew Richard	AAG731	Licensed Representative	9	
KHAIRPURI Prashant Kumar	BOQ804	Licensed Representative	4, 5	
KO Ming Shan	AWC879	Licensed Representative		4
KOK Chi Ho	ACW515	Licensed Representative		1, 4
KUMAR Ashish	BCH673	Licensed Representative	9	1, 2
KUNG Wai Ling	AEU211	Licensed Representative	1	6
KUNG Wing San Joseph	BMH146	Licensed Representative		9
KWOK Chi Kit	BOX405	Licensed Representative	1	9
KWOK Wang Wai	BFA614	Licensed Representative		4
KWONG Chun Hin	BIM257	Licensed Representative	3	
LAI Billy Yee Piu	AMV035	Licensed Representative	3	
LAM Ping Bun	BOH004	Licensed Representative		9
LAM Tat Hung	ARE174	Licensed Representative	1	
LAW Fung Ling, Viola	AME281	Licensed Representative		2
LEE Chun On John	BNU213	Licensed Representative		1
LEE Joanshien, Johanne	AOI966	Licensed Representative		1
LEE Ka Long	AME566	Licensed Representative	4, 9	2
LEE Kyle Alexander	BOQ887	Licensed Representative	4, 9	6
LEE Siu Kwan Sammy	BDV594	Licensed Representative		2
LEE Steven Hyung Wk	AUJ842	Licensed Representative	9	1
LEE Wai Hong, Wilson	AEF114	Licensed Representative	4	
LEE Wai Yip	AWX944	Licensed Representative	5	
LEI Chi Cheong	BBF302	Licensed Representative	5	
LEUNG Chi Kin	ALB846	Licensed Representative		4
LEUNG Chun Pui	AUC491	Licensed Representative	2, 4	
LEUNG Kit Man	APC586	Licensed Representative	3	
LEUNG Kong Fai	AVM522	Licensed Representative		3
LI Jiageng	BFX311	Licensed Representative	2, 4	
LI Quentin Chun Yin	BOS053	Licensed Representative	4	
LI Sisi	ARN059	Licensed Representative	4	
LI Yichen	BGG188	Licensed Representative	4	
LI Yiming	BIE646	Licensed Representative	9	2
LI Yunjun	BOB952	Licensed Representative	5	
LIANG Heye	BIB869	Licensed Representative	2	
LIM Kin Heng	AUV550	Licensed Representative		1
LIN Mengdi	BNB152	Licensed Representative	1, 6	
LIN Po Yin	BOT983	Licensed Representative	4	
LIN Xiaotian	ASY956	Licensed Representative	4	
LIU Chang	BLF877	Licensed Representative	4	
LIU Jiapai	BPE711	Licensed Representative	7	
LIU Lai Sum Christina	AAH544	Licensed Representative		4
LIU Qianyue	BLI683	Licensed Representative	4	
LIU Yi-Ling	BFC555	Licensed Representative	2	

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CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
LIU Yishu	BMX378	Licensed Representative	1	
LO Sin Sin	AOD942	Licensed Representative		1
LO Wai Lun	AVM934	Licensed Representative		2
LOU Meng	AUN916	Licensed Representative	9	1, 2
LOU Yifin	BNO289	Licensed Representative	10	1, 6
LUI Chun Wing, Adrian	BHU859	Licensed Representative	9	
LUI Lok Sze	AYD006	Licensed Representative	1	
LUK Man To	AEW788	Licensed Representative	1, 2	9
LUK Sze Ip Lydia	BOP455	Licensed Representative	3	
MA Chao	BBS855	Licensed Representative	2, 4, 5	
MA Siu Kay	BDH471	Licensed Representative		2
MA Tak Ming, Dick	ABH572	Licensed Representative		2
MA Zhiying	BMR252	Licensed Representative	1, 4	
MAK Cheuk Man	BKB778	Licensed Representative	4	
MAN Chun Wai	BJE593	Licensed Representative		4
MAYS Marshall Trammell	ADB031	Licensed Representative		4
MENG Xiangwei	BPK910	Licensed Representative		1
MENG Zixiang	BOT913	Licensed Representative	1, 2	3
MOK Hiu Lam	AJE368	Licensed Representative	1, 2	4, 9
NAM Chun Man	BBS202	Licensed Representative	4	2
NG Joe Him Thomas	BQA890	Licensed Representative	2	
NG Thomas Sai Kidd	BPK383	Licensed Representative	9	
NG Wing Hoi	ASH955	Licensed Representative	4, 9	
NG Yiu Fai	BGI384	Licensed Representative	4	
NGAI Tak Sing, Alfred	AFG504	Licensed Representative		9
NIE Xin	BPX773	Licensed Representative		9
OEY Ching Tat	AHS157	Licensed Representative		1, 4
OR Siu Kam	AJD975	Licensed Representative		4
PAN Yi	BPG386	Licensed Representative	4, 9	
PANG Wing Ho	BLG347	Licensed Representative	9	10
PARK Jang Ho	ALF284	Licensed Representative	1	
PENG Yuyao	BAB449	Licensed Representative	4	
PUN Chun Kit	BPM598	Licensed Representative	4, 5	
PUTNAM Stephen Peter	BGN176	Licensed Representative	9	
QIU Lin	AYI706	Licensed Representative	1, 9	
RAWSON Mark Donald	ADX840	Licensed Representative	9	
ROBINSON Claire	BHL326	Licensed Representative	9	
ROBINSON Simon Francis	BAP671	Licensed Representative	9	
Saiyam	BPK994	Licensed Representative		2
SHANG Ning	AVL540	Licensed Representative		1
SIT Hau Yi	BEE951	Licensed Representative		2, 9
SIU Hiu Ting	AXJ725	Licensed Representative	4	
SIU Wing Sin	APK361	Licensed Representative		4, 9
SLONE Jonathan David	AAI585	Licensed Representative		1
SUNG Hon Wing Edward	BHY910	Licensed Representative	4	
TAM Jun Yuan Matheu	BNH816	Licensed Representative	3	
TAM Ka Ho	BCC092	Licensed Representative	2	
TAM Ka Yu	API010	Licensed Representative		1
TAM Wing Tai, Victor	ARN041	Licensed Representative		2
TAN Eng Guan	AEO695	Licensed Representative	4	
TAN Jack H	BFY637	Licensed Representative		4
TAN Ruishen	BIE315	Licensed Representative	9	1, 4, 6
TANG Kai Wing	BAB779	Licensed Representative	1, 9	
TANG Pak Chun	BMX548	Licensed Representative		5, 6

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CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
TAWN Andrew John	BFC254	Licensed Representative	9	
TONG Wai Tak, William	AJB117	Licensed Representative	1, 4	
TSANG WONG Yuen Kee, Yvonne	AEZ573	Licensed Representative		1, 4
TSE Joseph	AKL709	Licensed Representative		2
TSO Chi Lik	APJ940	Licensed Representative		1, 4
TSUI Cheung On	APH370	Licensed Representative	4, 5, 9	
VAN SMIT Michael Jonathan	ARD710	Licensed Representative	9	
VANICA Jonathan Richard	AGN748	Licensed Representative	9	1, 2, 4
WADA Mariko	BLU069	Licensed Representative	2	9
WAN Ka Ki, Katherine	APY872	Licensed Representative	1	
WAN Tze Bun Gilbert	BJE734	Licensed Representative	2, 4	3
WANG Duan	BOM051	Licensed Representative	9	1
WANG Hong	ALQ657	Licensed Representative		1
WANG Yiqun	BFC565	Licensed Representative		1
WANG Zhixue	AFI417	Licensed Representative	2	
WANG Zinian	BNJ569	Licensed Representative	4	
WERNER Conrad Jacob	ASH900	Licensed Representative		6
WONG Ho Hin Jeremy	BNR817	Licensed Representative	9	
WONG Ka Chun	BAI761	Licensed Representative		2, 5
WONG Ka Wai, Kavi	ALQ402	Licensed Representative		4, 9
WONG Lok Man, Arnold	AHV035	Licensed Representative	5	
WONG Lok Sang	AFZ880	Licensed Representative	4	
WONG Shing Chung, Anthony	BHA279	Licensed Representative	1	
WONG Shun Wah	ARN141	Licensed Representative		1
WONG Wing Yin, Raymond	AAW773	Licensed Representative		2
WONG Yuk Sheung	BNO695	Licensed Representative	9	
WU Chiang Li	ACF083	Licensed Representative		4, 5
WU Lulu	BBJ798	Licensed Representative	2	
WU Qinxuan	BOS816	Licensed Representative	4	
WU Sheung Chi, Steven	APV971	Licensed Representative		6
WU Shuk Ming	BJV936	Licensed Representative	4, 5	
WU Weijia	BHR850	Licensed Representative	1	
WU Yongcheng	BPS797	Licensed Representative	9	
WU Yue	BDI411	Licensed Representative		1
XIAO Xiaoyu	BFA287	Licensed Representative	9	
XIE Kaicong	BAP925	Licensed Representative		2, 4
XU Da	BOU141	Licensed Representative		1, 6
XU Mengyang	BNS259	Licensed Representative		4
XU Xinru	BOG497	Licensed Representative	4	
XUE Yang	AWK548	Licensed Representative	1, 4	9
YAN Kuo	BOM672	Licensed Representative	9	1, 4, 7
YAN Yijie	BMT538	Licensed Representative	4, 9	
YANG Buyun	BDQ418	Licensed Representative		4
YANG Xu	BIN075	Licensed Representative	4	
YAO Wenjing	BPL439	Licensed Representative	9	
YAO Zefeng	BOH051	Licensed Representative	9	
YAU Ka Wing	ASL909	Licensed Representative	3	
YAU Yin Hung	AUI767	Licensed Representative		4
YE Zhiyuan	BNU296	Licensed Representative	2, 5	
YEUNG Ernest Sze Lok	ADI295	Licensed Representative		1
YEUNG Sau San, Eric	ADL089	Licensed Representative		2
YEUNG Wai Shum	APC729	Licensed Representative	9	
YIP Chau Po	AZS694	Licensed Representative	4	
YIP Wan Man, Jennifer	AIZ025	Licensed Representative		4

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CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
YIU Ka Wai	BLM934	Licensed Representative	1	
YU Ge	BIG516	Licensed Representative	2	9
YU Yiu Hei Boris	BGP388	Licensed Representative		1, 4
YUE Ho Kei	AFJ021	Licensed Representative	4, 9	
YUEN Wing Kit	AXP610	Licensed Representative	4	
YUN Tianqi	BPM321	Licensed Representative	1	
YUNG Wing Hung, Dominic	AFI615	Licensed Representative	9	
ZHANG Aidi	ANK409	Licensed Representative		4
ZHANG Chunwang	BOQ932	Licensed Representative	1	
ZHANG Dayang	BPV545	Licensed Representative	1	
ZHANG Ke	BGA342	Licensed Representative	4	
ZHANG Qi	BNG080	Licensed Representative		2
ZHANG Tailai	BOD343	Licensed Representative	4	
ZHANG YAN	BNO557	Licensed Representative	4, 9	6
ZHANG Yiran	BHP832	Licensed Representative	4, 5, 9	1
ZHANG Zhe	BIY266	Licensed Representative	4	
ZHAO Gangyi	BOR688	Licensed Representative		6
ZHAO Yang	ARS552	Licensed Representative	2	
ZHOU Yang	BNH866	Licensed Representative	1	
ZHOU Yuting	BPN759	Licensed Representative		6
ZHOU Zhou	BNQ631	Licensed Representative		1, 4
ZHU Mantian Myron	AMQ629	Licensed Representative	1	
ZHU Minyue	AUE243	Licensed Representative	9	2
AU-YEUNG Sau Chun	AFJ413	Licensed Representative - Responsible Officer	4	
BARKER Geoffrey Charles	ADF374	Licensed Representative - Responsible Officer	1	
CAPELVENERE Riccardo	BCS918	Licensed Representative - Responsible Officer	4	
CHAN Chi Kin	ABI401	Licensed Representative - Responsible Officer		1, 4
CHAN Winnie	AFD453	Licensed Representative - Responsible Officer	4	
CHAN Yiu Kwong	AED596	Licensed Representative - Responsible Officer	2	
CHANG Chung Kan	ADJ876	Licensed Representative - Responsible Officer	4	
CHAU Koan Hung, Ringo	AAK733	Licensed Representative - Responsible Officer		9
CHENG Chi Ming, Andrew	AGI759	Licensed Representative - Responsible Officer	4, 9	
CHENG Kar Wai	AFD053	Licensed Representative - Responsible Officer	4	
CHEUNG Wai Lik	AEK034	Licensed Representative - Responsible Officer	4	
CHIN Chi Kui	APQ984	Licensed Representative - Responsible Officer	9	
CHIU Chi Kin, Andrew	AEI648	Licensed Representative - Responsible Officer	6	
CHU Cheuk Hung	BDL291	Licensed Representative - Responsible Officer		1
FAN Alvin Makay	BFT556	Licensed Representative - Responsible Officer	1	
FURUTA Taketora	BMP059	Licensed Representative - Responsible Officer	2, 4, 5	
HALFORD Steven Thomas	AWV229	Licensed Representative - Responsible Officer		1
HO Kin Chung, Kelvin	AFR360	Licensed Representative - Responsible Officer	4	
HO Shui Fai, Ricky	ABP466	Licensed Representative - Responsible Officer	1	
JUNG Yoan	BBW602	Licensed Representative - Responsible Officer		3
KUNG Wai Ling	AEU211	Licensed Representative - Responsible Officer	4, 9	
LAW Fung Ling, Viola	AME281	Licensed Representative - Responsible Officer	4	
LEE Francis	AIM240	Licensed Representative - Responsible Officer		4
LEE Geng Rong	ACB022	Licensed Representative - Responsible Officer		2, 9
LEE Yan Lam	BHP426	Licensed Representative - Responsible Officer	1	
LEE Yuan Tat	BJO643	Licensed Representative - Responsible Officer		6, 9
LI Pou Hing, Paul	ACP182	Licensed Representative - Responsible Officer		1, 4
LIU Cheng-Yi	BBD532	Licensed Representative - Responsible Officer	9	
LIU Lai Sum Christina	AAH544	Licensed Representative - Responsible Officer	2	
LIU Yihe	BDL890	Licensed Representative - Responsible Officer		4
LUI Sung Hing	BEZ147	Licensed Representative - Responsible Officer	4, 9	

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
NG Chi Wai	APH765	Licensed Representative - Responsible Officer		1, 4
POHLI Brian Albert	AIR628	Licensed Representative - Responsible Officer	1	
QIN Tian	AXD124	Licensed Representative - Responsible Officer	4, 5	
QU Dan	BIC626	Licensed Representative - Responsible Officer		6
SHEK Wan Sang Andy	BAG221	Licensed Representative - Responsible Officer	5	
SHEN Pei Lin	AXP645	Licensed Representative - Responsible Officer	1	
SHI Zhen	AYK898	Licensed Representative - Responsible Officer	4, 5	
SLONE Jonathan David	AAI585	Licensed Representative - Responsible Officer	9	
SUNG Siu Hang, Sennen	AOR113	Licensed Representative - Responsible Officer	1	
TSANG Tsz Ho	AWE361	Licensed Representative - Responsible Officer	1	
WAN Hei Yeung	AZF510	Licensed Representative - Responsible Officer	1	
WAN Ka Ki, Katherine	APY872	Licensed Representative - Responsible Officer	4	
WANG Hong	ALQ657	Licensed Representative - Responsible Officer	4	
WANG Jing	BJG321	Licensed Representative - Responsible Officer	4	
WANG Weiran	AZC884	Licensed Representative - Responsible Officer	5	
WONG Chun Ho, Sammy	AKT152	Licensed Representative - Responsible Officer	1, 4	
WONG Kenty	AFW272	Licensed Representative - Responsible Officer	4	
WONG Pui Yan, Joey	AGD800	Licensed Representative - Responsible Officer	4, 9	
WU Yanlin	BMQ608	Licensed Representative - Responsible Officer		9
YEUNG Ernest Sze Lok	ADI295	Licensed Representative - Responsible Officer	9	
YEUNG Shu Fan	AAI312	Licensed Representative - Responsible Officer		6
YU Kwan Lung	AAC706	Licensed Representative - Responsible Officer	9	
YU Shui Sang, Bernard	AEO855	Licensed Representative - Responsible Officer	1	
ZHANG Honghe	BMQ612	Licensed Representative - Responsible Officer		9
ZHANG Wenjun	AVR821	Licensed Representative - Responsible Officer	4	
ZHANG Yibo	APW140	Licensed Representative - Responsible Officer	4	