

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2021

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
Christfund Securities Limited	AAY133	Licensed Corporation	9	
J-Stone Capital Limited	BOS693	Licensed Corporation	4	
JT Capital Asset Management Limited	BJR201	Licensed Corporation		1
Major Asset Management Limited	BMM240	Licensed Corporation		1
Sparc Capital Management Limited	BPB919	Licensed Corporation		1
Sun Hung Kai Investment Services Limited	AAC153	Licensed Corporation	9	
Tap Capital Limited	BHM585	Licensed Corporation	4	
MUFG Bank, Ltd	AAN305	Registered Institution		6
AGRAWAL Prasoon	AYE810	Licensed Representative	1	
BREWER James Christopher	BHB321	Licensed Representative	6	4, 9
BUCKBY Guy Christopher	BOG073	Licensed Representative	9	
CAO Jun	APW805	Licensed Representative	2	
CAO Xinyue	BOW552	Licensed Representative	9	1, 4, 6
CHAK Chi Man	ANG125	Licensed Representative		1
CHAN Bonnie See Wa	BNK872	Licensed Representative	2	
CHAN Choi Wah, Kanise	AHF667	Licensed Representative		4
CHAN Chun Kei, Kelvin	AHY019	Licensed Representative		1
CHAN Chun King	AGQ451	Licensed Representative	9	1
CHAN Chun Kit, Lawrance	ART448	Licensed Representative		1
CHAN Ka Hung	AOA854	Licensed Representative	1	
CHAN Ka Wai	BLF061	Licensed Representative		1
CHAN Kwok Hay	ACA610	Licensed Representative		9
CHAN Man Sze	AZZ250	Licensed Representative	4	
CHAN Man Yi	BPG219	Licensed Representative	4	
CHAN Raymond Siu Lun	ATT484	Licensed Representative		9
CHAN Tak Hong	BHX406	Licensed Representative	4	
CHAN Wai Ki, Joffee	AZN568	Licensed Representative	9	
CHAN Wing Yiu	AXW231	Licensed Representative		4
CHAN Yan Yu Jessica	BMX472	Licensed Representative		4, 9
CHAN Yue Yan	AGH925	Licensed Representative	4	
CHAN Yuen Ching Sarah	BOK367	Licensed Representative	4, 6	
CHANG Hoi Yiu	AUN662	Licensed Representative		4
CHAU Chi Yan, Amanda	ALD220	Licensed Representative	4	
CHAU Choi Lam	ARJ516	Licensed Representative		4
CHAU Chung Yin, Samiel	AAV692	Licensed Representative	2	
CHE Xiaoya	BOP150	Licensed Representative	1	
CHEN Bijun	BOA182	Licensed Representative	4, 9	1
CHEN Ke	BOT251	Licensed Representative		4
CHEN Xiao	AVA602	Licensed Representative		2
CHEN Xiaolu	ALI416	Licensed Representative	1	
CHENG Kai-Jen	BMQ167	Licensed Representative	9	
CHENG King Hin	BIX473	Licensed Representative	4	
CHENG Tsang Shin	BNW984	Licensed Representative		2, 4
CHEUNG Kar Heng	ARQ132	Licensed Representative	4	
CHEUNG Kei	AKX863	Licensed Representative		4, 5
CHIU On Sang	AJE177	Licensed Representative	9	
CHO Hye Jung	BGP719	Licensed Representative		1
CHO Young Uk	BPU391	Licensed Representative	4, 9	
CHOI Chi Cheong, Antonius	AXS308	Licensed Representative		4
CHON Charles Chungsik	BGG591	Licensed Representative	1, 4	
CHOW Chun Bong	AED560	Licensed Representative		3, 9
CHOW Ting Fai, Stephen Benny	ANE749	Licensed Representative	1	9
CHOY Yau Fai	AQQ237	Licensed Representative		2
CHU Ho Yan, Babiana	AEG452	Licensed Representative		9

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CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
CHU Wah Cheung	AEC727	Licensed Representative		2
CHUA Kam Yi	BNQ733	Licensed Representative	4, 9	
CHUEN Jeanine Jing Yin	BJV716	Licensed Representative		6
CHUN Ka Wing	BOT644	Licensed Representative		2
CHUNG Chi Chuen Ryan	BDV071	Licensed Representative	9	
CHUNG Nicholas	BBU702	Licensed Representative	2	
DENG Songjun	BNC084	Licensed Representative	4	
DENG Yunyi	BBP845	Licensed Representative	6	4, 9
DING Zhen	BLR088	Licensed Representative	4	
DU Jialu	BLT526	Licensed Representative		4, 9
FAN Hiu Ying Joyce	BPW847	Licensed Representative		2
FENG Jingyan	BPM186	Licensed Representative		1
FONG Chi Chuen, Ronnie	BLF532	Licensed Representative	9	1, 2
FONG Ka Kiu	AVM519	Licensed Representative		2
FONG Mun Yee, Belinda	AOC873	Licensed Representative		2
FONG William	AVM672	Licensed Representative	1, 4	
FOX Robin Jonathan Gibbs	AAJ578	Licensed Representative	9	
FU Ruiming	BPK781	Licensed Representative	4, 9	1, 6
FU Zuo Qiu	AYJ694	Licensed Representative	1	
FUNG Nga Chung, Sabina	ADI123	Licensed Representative	1	
GAN Zhonghui	BDA494	Licensed Representative		1
GAO Qifan	BHE173	Licensed Representative		1
GU Shiyi	BIK447	Licensed Representative		1, 4
GU Siyu	BQI774	Licensed Representative	2	
GUO Yuxiang	BMU936	Licensed Representative	1, 4	
HAN Ji Eun	BPB351	Licensed Representative	1, 4	6
HAU Chun Wai, William	ACX033	Licensed Representative	1	4, 5
HO Kin Chung, Kelvin	AFR360	Licensed Representative	9	
HUANG Chen	AQM708	Licensed Representative	10	1, 4
HUANG Renbin	BDA037	Licensed Representative	9	
HUI Chun Wai, Henry	AGI600	Licensed Representative	4	
HUNG Ho Lun	AGH158	Licensed Representative	4, 9	1, 2
HUNG Sze Tsun	BOC219	Licensed Representative	1, 5	
IN Suhwan	BHU904	Licensed Representative		4
KIANG Ho Tin	BLX826	Licensed Representative	4	
KIM Jeong Hoon	BJR334	Licensed Representative	4, 9	
KIM Lee Seul	BAZ737	Licensed Representative	4	
KUO Che-Jung	ALT954	Licensed Representative	9	
KUO Pei Yu	BPQ277	Licensed Representative	4	
KWAN Chung Tsz	AXS410	Licensed Representative	1, 2	4, 5, 9
KWONG Ka Leung	BGB944	Licensed Representative	6	1, 4
LAM Cheuk Sun	AXZ771	Licensed Representative		2
LAM Hiu Tung	BOF254	Licensed Representative	4	6
LAM Kwan Wai	AAA625	Licensed Representative	4	
LAM Sung Wai	BGO451	Licensed Representative	4	
LAU Kit Ling	ALI074	Licensed Representative	1	
LAU Siu Fai	API277	Licensed Representative	4	
LAU Tsz On	BCQ012	Licensed Representative		5
LAU Wai Mei, Debby	AFI649	Licensed Representative		9
LAU Yu Leong	BNL309	Licensed Representative		9
LAVATELLI Francesco	BOT058	Licensed Representative		2, 5
LEE Brian Henry	BQC264	Licensed Representative	6	
LEE Chia-Hao	BNP707	Licensed Representative	9	
LEE Chiu Man	ATE228	Licensed Representative	4	

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CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
LEE Chun Yin, Wesley	ANS288	Licensed Representative	4	
LEE Hing Cheung	BJP245	Licensed Representative	2	
LEE Hong Nam	BHQ295	Licensed Representative	4	
LEE Hung Kong	BDI877	Licensed Representative	4	
LEE Mei Yee	BQI189	Licensed Representative	2	
LEE Tak Law	BNL407	Licensed Representative	9	
LEE Wai Hung, Isaac	AUD171	Licensed Representative	4	
LEE Wai Kit	BHC700	Licensed Representative	4	
LEE Wing Keung, Toby	AEA535	Licensed Representative		2
LEE Wo Chiu	BCM667	Licensed Representative		1
LEFRANC Denis Pierre Henri	ARN065	Licensed Representative		1
LEI Shuyu	BGN236	Licensed Representative		4
LEUNG Ching Fai	BKJ224	Licensed Representative		1
LEUNG Ka Wai	AOK606	Licensed Representative		4, 5
LEUNG Shing Kai	AXQ838	Licensed Representative	2	
LEUNG Sui Chung	ASX746	Licensed Representative	2	
LEUNG Yiu Kei	AFX469	Licensed Representative	1	
LI Xiaofan	BMU939	Licensed Representative		4
LI Yi Sum	AXF706	Licensed Representative	4	
LI Yue	BIN442	Licensed Representative	2, 5	1, 4
LI Yunchang	BLF179	Licensed Representative	1, 4	
LIEW Shan Hock	AOE025	Licensed Representative	1	
LIN Michelle Chia-Hsing	AUW242	Licensed Representative		1, 4
LIU Dawei	BKO397	Licensed Representative	9	
LIU Ruiyin	BLG369	Licensed Representative	9	6
LIU Sufeng	BBJ505	Licensed Representative	9	1
LIU Taisheng	AMO971	Licensed Representative	1	
LIU Zhiwei	BPX301	Licensed Representative	4	
LO An Yee, Ann	BPF575	Licensed Representative		9
LO Hiu Lam	APL937	Licensed Representative		1, 4
LO Yun Tsun	AOU884	Licensed Representative		4
LU Robert Bryan	AIB831	Licensed Representative	9	
LU Yang	BPJ266	Licensed Representative	4	
LUI Ho Kit	AYO352	Licensed Representative		1
LUI Kwok Wai	BHF628	Licensed Representative		1
MA Shuting	BOL304	Licensed Representative	1	6
MA Yue Lung, Alan	BGM556	Licensed Representative	1, 4	
MAK Lok Yin	BNP627	Licensed Representative	2	
MAK Wing Fat	BBE588	Licensed Representative	4, 9	
MEAU Ambrose Yin Hung	ALY778	Licensed Representative	9	2
MI Pan	BPU303	Licensed Representative	9	
MO Shuhua	BPX981	Licensed Representative	4	
MUI Kay Boon	AAJ763	Licensed Representative	2	6
NG Hung Tai	BLS821	Licensed Representative	4	2
NG Suet Man	AEK106	Licensed Representative	2	
NGAN Ka Sing	AUM483	Licensed Representative		2
NING Qianyang	BLU941	Licensed Representative	4	
PANG Leung Wai, Andrew	ABK028	Licensed Representative		4
PARK Jang Ho	ALF284	Licensed Representative		4
PENG Yongxing	BKN534	Licensed Representative		1
PONG Ling Cherng	AOC714	Licensed Representative	9	
PU Jimmy	AUX546	Licensed Representative		6
PUN Yung Yin	BJC895	Licensed Representative	1	
QIN Rong	BPU308	Licensed Representative	4	

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CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
QIU Pinru	BOH925	Licensed Representative	1	
QIU Xin	BNX424	Licensed Representative	1, 9	
SHAO Tianyi	BOU086	Licensed Representative	4	
SHI Beiyang	BNB386	Licensed Representative	4, 9	10
SHING Yee Sun Jayson	BQE372	Licensed Representative	4	
SHIU Lap Kei	AOI979	Licensed Representative		1, 4
SHU Wenqing	BPN632	Licensed Representative		4
SIU Kai Yun	BIB329	Licensed Representative	4	
SKORA Daniel Robert	BNZ125	Licensed Representative	9	
SONG Wanling	BLJ694	Licensed Representative	4	
STANSFIELD Michael A	BNB768	Licensed Representative	9	
SUN Haiwen	BJX741	Licensed Representative	9	
SUN Haoru	BCN936	Licensed Representative	4	
SUN Lu	BJL347	Licensed Representative	1, 2	4
SUN Xiaofei	BJK535	Licensed Representative		4, 5
SUNG Hiu Fai, Ronald	AIU569	Licensed Representative		6
TAM Edwin	BFC674	Licensed Representative		5
TAM Ho Kwan	BOJ234	Licensed Representative	4	
TAN Jie-Li Brina	BEV968	Licensed Representative	2, 4, 5, 7	
TAO Sha	AYJ250	Licensed Representative		1, 4
TIAN Jin	BFY294	Licensed Representative	1	
TONG Hin Jo	ALA312	Licensed Representative		9
TONG Wa Cheung	BAK537	Licensed Representative		10
TSANG Hin Fai	BFT903	Licensed Representative	9	
TSANG Ka Wai	AYC270	Licensed Representative	2, 4	
TSOI Kwan Yee	BPX013	Licensed Representative		4
WANG Guan	BBN532	Licensed Representative	1	
WANG Yan	BOA764	Licensed Representative		6, 9
WANG Yao	BFW346	Licensed Representative	4	
WONG Chor Ming	AXD243	Licensed Representative		1
WONG Ka Chun	BPI985	Licensed Representative	1, 6	
WONG Lok Ting, Adrian	AQG752	Licensed Representative	1	
WONG Tsz Hei Herbert	BJX760	Licensed Representative	9	
WONG Tsz Wai	AYJ435	Licensed Representative		2
WU Ngai Pan	AWO869	Licensed Representative		2
WU Oi Chung, Jocelyn	ALR004	Licensed Representative	4	
WU Rundong	BNI781	Licensed Representative	4, 9	10
WU Yichao	AVI107	Licensed Representative	1, 4	
XIE Haituo	BOD009	Licensed Representative		1, 2
XIE Xinxin	BHZ673	Licensed Representative	4	
XU Tiantian	BJR785	Licensed Representative	1	9
XU Yue	BFE156	Licensed Representative	1	
YANG Lei	BCY602	Licensed Representative		6
YANG Sikai	BFA187	Licensed Representative	2	
YANG Wenhuan	ALL166	Licensed Representative		3
YAO Jack Hsin-Chou	APQ725	Licensed Representative	4	
YAU Chi Kwan	ASU315	Licensed Representative	4	
YEH Shing Hang Kevin Arthur	BMV015	Licensed Representative	4	
YEUNG Ho Hung	BPT126	Licensed Representative		9
YEUNG Tak Lam	ANN274	Licensed Representative	2	
YIP Kai Sun, Sunny	AHK750	Licensed Representative	1	
YIU Wai Lam	BHB449	Licensed Representative	9	
YOO Yong Jae	BMQ117	Licensed Representative		4
YU An Li	BIT643	Licensed Representative		4

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CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
YUNG Ka Cheung	ABN735	Licensed Representative		1
ZHANG Chao	BAK268	Licensed Representative		1, 4
ZHANG Fan	AWE514	Licensed Representative		6
ZHANG Manyun	BJR944	Licensed Representative	2	
ZHANG Miao	BOX399	Licensed Representative	1, 4	
ZHANG Yang	BDM026	Licensed Representative	1	4, 9
ZHANG Yinan	BBT733	Licensed Representative	9	1, 4
ZHANG Zeyu	BPA360	Licensed Representative	9	1, 6
ZHANG Zhen	AMI749	Licensed Representative	1	
ZHANG Zhijie	BBB359	Licensed Representative		1
ZHANG Zichen	BJB888	Licensed Representative	9	
ZHAO Jiaqi	BOE936	Licensed Representative	4	
ZHAO Xun	BJU631	Licensed Representative	1, 4	
ZHAO Zefen	BOS728	Licensed Representative	9	
ZHENG Tianyi	BLE950	Licensed Representative	2, 7	4, 9
ZHENG Zhiyan	BJR447	Licensed Representative		6
ZHOU Xinwen	BCB923	Licensed Representative	4	
ZHOU Yanyan	BGK776	Licensed Representative	4	
ZOU Bin	BBA101	Licensed Representative		9
AU-YEUNG Kam Kay	ABA474	Licensed Representative - Responsible Officer	1, 4	
CHAN Yiu Kwong	AED596	Licensed Representative - Responsible Officer	4	
CHEN Ning	AYF260	Licensed Representative - Responsible Officer	4, 9	
CHEUNG Pak Kin, Patrick	AEF320	Licensed Representative - Responsible Officer	4, 9	
CHEUNG Wah Fung, Christopher	AAE131	Licensed Representative - Responsible Officer	9	
CHIN Sydney Chung Hin	ANK516	Licensed Representative - Responsible Officer	4, 5	
CHIU Ka Leung	AYK457	Licensed Representative - Responsible Officer		1
CHOI Wai Yin	ADE691	Licensed Representative - Responsible Officer	4	
CHOW Yan, Inez	ADX379	Licensed Representative - Responsible Officer	1	
CHUNG Chak Man	APH261	Licensed Representative - Responsible Officer	1	
CHUNG Chi Chuen Ryan	BDV071	Licensed Representative - Responsible Officer		6
FONG Ka Kiu	AVM519	Licensed Representative - Responsible Officer	4	
FONG Wai Lok, Raymond	AFT306	Licensed Representative - Responsible Officer	4, 9	
GAN Tian	AMI240	Licensed Representative - Responsible Officer	1	
GAO Jianfeng	AQS811	Licensed Representative - Responsible Officer	4	
HE Jialin	ASL683	Licensed Representative - Responsible Officer	4	
HO Eugene	AMH070	Licensed Representative - Responsible Officer	4	
HO Shui Fai, Ricky	ABP466	Licensed Representative - Responsible Officer	2, 5	
HUNG Wai Choi, Leo	ABG066	Licensed Representative - Responsible Officer	4, 5	
KARTHA Harish Ramachandran	BFG315	Licensed Representative - Responsible Officer		4
KONG Ming Fai	ADZ902	Licensed Representative - Responsible Officer	4, 9	
LAI Cheuk Hao	BHB688	Licensed Representative - Responsible Officer	4	
LAVATELLI Francesco	BOT058	Licensed Representative - Responsible Officer	1, 4, 6	
LEE Chun Hin	BIT239	Licensed Representative - Responsible Officer	4	
LEE Pui Wah	AWQ265	Licensed Representative - Responsible Officer	4	
LIU Feng	BMV622	Licensed Representative - Responsible Officer	9	
LIU Ximeng	BEI255	Licensed Representative - Responsible Officer	5	
LUI Ho Kit	AYO352	Licensed Representative - Responsible Officer	9	
LUK Michael Wai Hoe	AFS485	Licensed Representative - Responsible Officer	9	
NG Kam Shing	AAA057	Licensed Representative - Responsible Officer	9	
OU Hui	BAM665	Licensed Representative - Responsible Officer	1, 4	
QI Xiaohu	BMP042	Licensed Representative - Responsible Officer	4, 9	
SUN Derrick	APY600	Licensed Representative - Responsible Officer		4, 9
SUNG Hiu Fai, Ronald	AIU569	Licensed Representative - Responsible Officer	9	
TAI Pak Kin	ACE795	Licensed Representative - Responsible Officer		1

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CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
TAM Chi Fu, Julian	AOE685	Licensed Representative - Responsible Officer	4	
TING Sai Man	ADZ993	Licensed Representative - Responsible Officer	5	
TO Ka Yan	BHP839	Licensed Representative - Responsible Officer	4	
TONG Wa Cheung	BAK537	Licensed Representative - Responsible Officer	1, 4	
TSANG Kwok Fung	BGK261	Licensed Representative - Responsible Officer	4	
TSANG WONG Yuen Kee, Yvonne	AEZ573	Licensed Representative - Responsible Officer	1, 4	
TSANG Yim Fan, Josephine	AGY049	Licensed Representative - Responsible Officer		1
TSOI Gary Ka Ming	BHX791	Licensed Representative - Responsible Officer	4	
WONG Wai Kuen	ABP501	Licensed Representative - Responsible Officer	4, 9	
WU Xiao	AOS437	Licensed Representative - Responsible Officer	2	
YE Yong	AOB368	Licensed Representative - Responsible Officer	4, 9	
YIM Chi Ho	AOD008	Licensed Representative - Responsible Officer	4	
YOUNG Ting Kar	AEY445	Licensed Representative - Responsible Officer	4, 9	
MENG Yanyi	BPW530	Licensed Representative (Provisional Licence)	4	2