

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2022

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
BC Asia Asset Management Limited	BQN953	Licensed Corporation		1
CLSA Asset Management Limited	ARE947	Licensed Corporation	1	
Dingxin (Securities) Limited	BOS734	Licensed Corporation	9	
Fu Yip Securities and Investment Company Limited	ALL192	Licensed Corporation	4	
Greater China Strategic Capital Limited	ABG072	Licensed Corporation		6
Hongkong Gaotejia Investment Management Co., Limited	BPJ745	Licensed Corporation	4	
Huarong International Securities Limited	ACV085	Licensed Corporation		2
I-Access Investors Limited	ALV032	Licensed Corporation		3
Kingsway Capital Limited	ACB578	Licensed Corporation		1
LIM Advisors Limited	ACT697	Licensed Corporation		4
President Securities (Hong Kong) Limited	ACP459	Licensed Corporation		6, 9
Profit Access Investments Limited	BLK493	Licensed Corporation		1
Quintar Capital Limited	BFY844	Licensed Corporation	4	
Sucden Financial (HK) Limited	ASE480	Licensed Corporation		3
Thornburg Investment Management (Asia) Limited	BPQ208	Licensed Corporation	9	
Vantage Capital Markets HK Limited	ARI683	Licensed Corporation	4, 6	
AU Hei Ching	AWC465	Licensed Representative		1
AU Ka Ki	AWW584	Licensed Representative		3
AU Vincent Che Tim	ANQ177	Licensed Representative		6
BATTEN Richard Michael	BMR637	Licensed Representative		1, 4
CHAN Cheuk Lun	AMF520	Licensed Representative		4
CHAN Chi Hung	ASE826	Licensed Representative	2	
CHAN Cyrus Sau-kit	BDQ943	Licensed Representative	1, 2	9
CHAN Ho Wai, Howard	ATJ294	Licensed Representative		2
CHAN Kam Yui	BEC922	Licensed Representative		4, 6
CHAN Kwong Fat, George	AFU058	Licensed Representative	4	
CHAN Lai Man	ASS908	Licensed Representative		4
CHAN Lok Fung	AUR102	Licensed Representative	9	
CHAN Man Yung Denise	BNE755	Licensed Representative	2, 7	6
CHAN Shing Ho Johnny	BNY460	Licensed Representative		9
CHAN Siu Pong, Kevin	BGU580	Licensed Representative	1, 4	9
CHAN Sum Ming	BRY443	Licensed Representative	3	
CHAN Tsz Ying, Gloria	ASN823	Licensed Representative	4	
CHAN Wai Kit, Winkins	AQD954	Licensed Representative	4	
CHAN Wang	BGO479	Licensed Representative		4, 9
CHAN Yuen Yee	AEM854	Licensed Representative	4, 9	
CHANG Shu Tin, Stephen	ALH734	Licensed Representative	2	
CHAU Hin On	BRY442	Licensed Representative	4	
CHAU Tsang Wu	ARK069	Licensed Representative	4	
CHEN Lei	BHA277	Licensed Representative	4	
CHEN Si	BPH849	Licensed Representative	1, 4	
CHEN Yen-fu	AYE805	Licensed Representative	2	9
CHEN Yisi	BSL543	Licensed Representative	4	
CHEN Yunyan	ARR085	Licensed Representative		9
CHENG Ho Lam	BRZ543	Licensed Representative	9	
CHENG Man Yi	BJC705	Licensed Representative	2	
CHENG Ruoyu	BNE748	Licensed Representative		9
CHENG Wai Leung	ABH991	Licensed Representative		4
CHEUNG Chi Wai	AVH249	Licensed Representative	4	
CHEUNG Chui Yi	BST352	Licensed Representative	4	
CHEUNG Hon	BRQ616	Licensed Representative	4, 9	6
CHEUNG Wing Tim	BCK618	Licensed Representative	1	
CHEUNG Yuk Man Dorothy	BRZ709	Licensed Representative	9	
CHIANG Che An	BOP887	Licensed Representative	4	
CHOI Jasen	BMZ508	Licensed Representative	9	1, 4, 7
CHOI Sau Wai	AEJ237	Licensed Representative		2
CHOW Chung Shun, Johnson	AEF857	Licensed Representative		1
CHU Sau Ming	AEJ665	Licensed Representative		4, 9
CHU Tianli	AVY302	Licensed Representative		1

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CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
CHUNG Man Yi	BBZ467	Licensed Representative		4
CLOTHIER Samuel Brian	BEF903	Licensed Representative	1, 4	
DAI Bo	AZG279	Licensed Representative	6	
DAI Junhao	BOT796	Licensed Representative	4, 5	
DENG Yuekai	BGE282	Licensed Representative	9	1
DHUPELIA Sunil	AUW922	Licensed Representative	6	
DUJARDIN Nicolas, Guillaume	AUJ687	Licensed Representative	7	
FENG Tong	BBP739	Licensed Representative	4	
FOK Wing Sze	BAA275	Licensed Representative		1
FORSTER Shane Anthony	BBB058	Licensed Representative	9	
FU Siu Lan	AWH344	Licensed Representative	2	7
FUNG Jason	AEO420	Licensed Representative		1
GAN Seem	BOS604	Licensed Representative		4, 9
GAO Jiabei	BBP855	Licensed Representative	4	
GUI Zhu	BQW376	Licensed Representative	9	1
GUO Shaohong	BFO843	Licensed Representative		4, 9
HALLAM Nicholas	BIM618	Licensed Representative	2	
HAN Zhixiao	BJM473	Licensed Representative	9	
HE Meng	BRT214	Licensed Representative	1	
HO Chit Ting	BIP998	Licensed Representative	2	
HO Cho Ki	ARB955	Licensed Representative	6, 9	
HO Hoi Lam, Anita	AOC914	Licensed Representative	7	
HO Sze Man, Kristie	AKQ987	Licensed Representative	1	
HOLLAND Thomas Patrick	AJE846	Licensed Representative		4, 5
HSIA Ching	APQ811	Licensed Representative		4
HSU Ka Kwan	APG168	Licensed Representative		1
HU Qiuyu	BNM917	Licensed Representative	9	4
HUANG Luyao	AQR396	Licensed Representative	4	7
HUEN Shing Chi, Albert	BHW512	Licensed Representative	1	
HUI Tak Yan	BOI539	Licensed Representative		1
HUNG Wing Kwan	BJC382	Licensed Representative	4, 9	2
HUS Chia Ching	ATB475	Licensed Representative		1
JIANG Heyi	BRP758	Licensed Representative		4
JIM Chu Sing	ATD286	Licensed Representative		4
JIN Fengyi	BPN524	Licensed Representative	4	
KEE Kin Hang	BPW487	Licensed Representative	2, 3	
KIM Joon Won	AWN404	Licensed Representative	2	
KO Wing Hay	ARN435	Licensed Representative		3
KOH Lee Shin	AXP374	Licensed Representative	4	
KONG York Hay	AEU405	Licensed Representative	2, 4	
KWAN Kai Ho	BQI174	Licensed Representative	4	2
KWOK Friedman Owen	BLX124	Licensed Representative		2, 4, 9
KWOK Hiu Chi	BSS317	Licensed Representative	9	
LAI Wai Tsz	ACU891	Licensed Representative		2, 7
LAM Pui Hei	AWD300	Licensed Representative		2
LAM Sai Ho	BBP886	Licensed Representative	1, 9	
LAM Woon Ching, Simon	ABW187	Licensed Representative		1
LAO Xiangxiang	BRQ072	Licensed Representative	2, 4	
LAU Gwen	BFK205	Licensed Representative		4
LAU Siu Po	BSS927	Licensed Representative	9	
LAU Tsz Ching Julia	BFW808	Licensed Representative	9	1, 4, 6
LAU Tsz Wing	ALW219	Licensed Representative	9	
LAU Yin Lun	BME248	Licensed Representative	1, 4	
LAW Ka Fai	APH953	Licensed Representative		1, 4
LEE Ching Man	BMV829	Licensed Representative		6
LEE Chun On John	BNU213	Licensed Representative		9
LEE Chung-Han	BET671	Licensed Representative	9	1, 2
LEE Da Eun	ARI566	Licensed Representative	1	
LEE Dong Hoon	BNO888	Licensed Representative	1	4, 9

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CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
LEE Tak Mong, Felix	AHV479	Licensed Representative	9	
LEE Wai Keung, Ricky	ANJ142	Licensed Representative		4, 9
LEE Zhi Xing	ASU238	Licensed Representative	9	
LEUNG Man Wai	AEU886	Licensed Representative		9
LEUNG Mei Shan	BIW785	Licensed Representative	2	
LEUNG Sze Yan, Zoe	BHF734	Licensed Representative	4	
LEUNG Terrance Scott	BHZ474	Licensed Representative	2, 4, 9	
LEUNG Yun Bo	BOP789	Licensed Representative		2
LI Dan	BOU347	Licensed Representative	1	9
LI Gang	APV891	Licensed Representative		1
LI Jianchang	BOA888	Licensed Representative		1, 4
LI Jianhao	BER124	Licensed Representative		4, 5, 9
LI Junhui	BKT337	Licensed Representative		1
LI Katherine Bei	AJO552	Licensed Representative		1
LI Ming	BQW734	Licensed Representative		1, 4
LI Ran	BIL558	Licensed Representative	4	6
LI Ruo Yu	BNO559	Licensed Representative	1	5, 9
LI Yun	BHZ514	Licensed Representative	5	
LIANG Xinyi	BSM424	Licensed Representative		4
LIANG Yuanyun	BRN927	Licensed Representative	5	
LIN Hsinyu	BNJ513	Licensed Representative	1, 4	
LIN Xueting	BSJ143	Licensed Representative	5	
LIN Yu-Feng	APB444	Licensed Representative	2, 4	
LIU King Wai	AAD898	Licensed Representative		2
LIU Qianyu	BLI683	Licensed Representative	2, 5	
LO Ka Chiu	AEQ415	Licensed Representative	2, 9	
LO Karen Ka-wai	ACD184	Licensed Representative	2	
LO King Chung	AKP938	Licensed Representative	1	9
LO Lap Bond James	BBJ189	Licensed Representative	9	
LO Wai Man, Betty	AVC010	Licensed Representative	4	1
LO Yan Kin	AWJ985	Licensed Representative		4, 9
LU Jiuzhou	ATR182	Licensed Representative	1	
LU Qingyuan	BFY691	Licensed Representative	4	
LU Xiaolu	BSE009	Licensed Representative	9	1, 2, 4
LUI Chi Hoi, John	ACJ228	Licensed Representative	1	
LUI Mang Sze, Marcella	AET173	Licensed Representative	1, 4	
LUO Sha	BNL483	Licensed Representative	1	
MA Ho Yin	ANY141	Licensed Representative	9	
MAK Wai Kuen	BGY373	Licensed Representative	5	
MILLINGTON BUCK Edward Sean	AWT465	Licensed Representative	4	
MO Kwan Ho	BEX254	Licensed Representative	3	
MO Zhiyu	BJE373	Licensed Representative	1, 4	5
NG Chun Ho	AXR024	Licensed Representative		2
NG Po Ling	AYI107	Licensed Representative	2	
NG Sai Tong	BBA043	Licensed Representative		4, 9
NG Sin Ying Cyrena	BMX088	Licensed Representative	4	6
NGAI Hoi Shan	AVC111	Licensed Representative		1
NGAN Kin Fung	AMI028	Licensed Representative		9
NIU Zhixiang	BKF244	Licensed Representative	1, 6	4, 9
OR Yick Yi Hydon	BRB469	Licensed Representative	2, 5	
PAN Deng	BOR075	Licensed Representative		6
PARK Sangkyun	BPA426	Licensed Representative	9	1, 4, 6
PARK Seo Hye	BKA585	Licensed Representative	2, 4, 5, 7	6
PARK Sunyeol	BOP725	Licensed Representative	6	2
POON Hing Wa	BMV612	Licensed Representative	1, 4, 9	6
QIAN Chenyue	BPK319	Licensed Representative	4	6
SEE Lee Seng, Reason	AAV138	Licensed Representative	2, 6	
SHAH Ryan Shailesh	BFI955	Licensed Representative	9	1
SHEN Li	BEE291	Licensed Representative	2	

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CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
SHI Haochen	BQL601	Licensed Representative		1
SIU Hon Man	AAE190	Licensed Representative		2
SO Yee Kwan	BPD937	Licensed Representative		4
SO Yung Yung	AXN043	Licensed Representative	2	
SONG Honghai	AME465	Licensed Representative		1
STEEL Murray Joseph	AUA735	Licensed Representative		1, 2, 3, 4
SU Yi Cheng	BRV778	Licensed Representative	2	
SUEN Ting Fong Boris	BIS100	Licensed Representative	9	
SUM Yik Po	BGY112	Licensed Representative	1, 4	
SUSANTO Adrian Prawira	BQC563	Licensed Representative	9	1, 2
SZE Cho Wang	BHC541	Licensed Representative		1
SZE Ka Wo	BIV051	Licensed Representative		4, 9
TAM Ka Man	BRT390	Licensed Representative		1
TAM Kin Wo	AYZ048	Licensed Representative		4
TAM Mei Ki, Cynthia	BF1754	Licensed Representative		1, 2
TAM Ping Chung	AWV422	Licensed Representative		1
TAM Yui Fai	BLB623	Licensed Representative	4	
TAN Jessica Margarida	AQG469	Licensed Representative	4	
TANG Jack	BBD024	Licensed Representative		3
TANG Yeung Tim	BLT162	Licensed Representative	2	
TANG Yingying	BFE794	Licensed Representative	4	
TIAN Zhuoxuan	BRB851	Licensed Representative	1	
TIMBERT Adrien Leo	BQM395	Licensed Representative	4, 9	
TO Chak Shun	BIY850	Licensed Representative	4, 9	
TO Muk Tak	BLS731	Licensed Representative		2
TONG Jenny	AIR580	Licensed Representative		1
TSANG Ki Lok Kenneth	ANM956	Licensed Representative	4, 5, 7	
TSANG Kwong Hing	BFC736	Licensed Representative	1, 4	2, 5
TSE Shek Lun, Alan	AFS797	Licensed Representative		2
TSUI Pak Fai	ANK382	Licensed Representative		4
TSUI Tat Chi	AEU434	Licensed Representative		2
WAN Lai Yung	BSB873	Licensed Representative	9	
WAN Yiding	BKW037	Licensed Representative	2	4
WANG Chenyan	BNS566	Licensed Representative	4	2, 9
WANG Jing	BHF506	Licensed Representative		3, 9
WANG Kang	AXX114	Licensed Representative	1	
WANG Pingping	AQI580	Licensed Representative	9	6
WANG Shian-tang	ARG435	Licensed Representative		1
WANG Tianyi	BNF960	Licensed Representative	9	
WANG Yiqin	BRV754	Licensed Representative		1, 5, 9
WEI Yu	BPM749	Licensed Representative	1	
WILLIAMSON Andrew Masters	AXM703	Licensed Representative	4	
WONG Ho Hin Jeremy	BNR817	Licensed Representative	4	
WONG Hok Man	BNI770	Licensed Representative		1
WONG Hon Yeung	BMN644	Licensed Representative		1
WONG Jee Chung	BIW480	Licensed Representative	9	4
WONG Ka Chun	BAI761	Licensed Representative		4
WONG Ka Kiu	BQE038	Licensed Representative	4	
WONG Ka Ming, Alan	ARQ657	Licensed Representative	1, 4	9
WONG Kin Wei	BSJ228	Licensed Representative	2	
WONG Ming Nok	BOI700	Licensed Representative	1	
WONG Sze Ho	BMW970	Licensed Representative	2	
WONG Ting Ting	BSE126	Licensed Representative		4
WONG Tsun Lung	BRP942	Licensed Representative	1	4
WONG Tsz Ho	BSR670	Licensed Representative	4	
WONG Tsz Ho	BRD708	Licensed Representative	4	2
WOOD Paul Anthony	ARW848	Licensed Representative		2
WU Weijian	BSG072	Licensed Representative		2
WU Yan Yee	BHC888	Licensed Representative	1, 4	

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CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
XIA Peng	BSF945	Licensed Representative	9	1
XIAN Minyi	AYN344	Licensed Representative		3
XIE Biwei	BRE259	Licensed Representative	1	
XIE Meiqi	BHE018	Licensed Representative		2
XIE Yinglei	BQR883	Licensed Representative	1	
XIONG Ye	BQM944	Licensed Representative	4	
XU Wanlu	AXX543	Licensed Representative	4	
YAM Alvin Siu-lun	BEE593	Licensed Representative		3
YAN Ho Yin	BNH239	Licensed Representative		4
YAN Po Kwan	ADP430	Licensed Representative		4
YAN Yuen Cheung	BPV918	Licensed Representative	1	2
YANG Shu	BPW827	Licensed Representative		6
YANG Tsz Kin	BEC363	Licensed Representative		1, 4
YAO Qiyou	BSH319	Licensed Representative	6	
YEUNG Chak Yu	BRQ973	Licensed Representative	6	1
YEUNG Ming Tsun	BRV228	Licensed Representative		1
YEUNG Pan Na	APD582	Licensed Representative	4, 9	
YEUNG Wan Hei	AUW128	Licensed Representative		2
YEUNG Yuen Ki	BPY249	Licensed Representative	2	
YIN Hao Chi, Alec	ALZ060	Licensed Representative	2	
YING Chi Kwan	ALZ863	Licensed Representative	1	
YIP Wai Fung, Andy	BOS590	Licensed Representative	4	
YU Hoi Leung	BRY659	Licensed Representative	9	
YU Yang	BKB919	Licensed Representative		4, 9
YUEN Tsz King	AXJ865	Licensed Representative		2
ZHANG Dong	BSI227	Licensed Representative	9	
ZHANG Jin	BFS725	Licensed Representative	1	
ZHANG Linnan	BNL378	Licensed Representative	6	
ZHANG Yiqi	BSJ620	Licensed Representative	2	
ZHANG Ziwei	BQG817	Licensed Representative	4, 5	
ZHAO Nanxi	BKO984	Licensed Representative	4	
ZHAO Yueting	BRH030	Licensed Representative		4
ZHENG Ke	BET699	Licensed Representative	9	1, 2, 7
ZHONG Yin	BRZ714	Licensed Representative	2, 5	
ZHOU Qinyi	BKD622	Licensed Representative		1
ZHOU Shiyl	BGR107	Licensed Representative	4	
ZHOU Yiyun	BIV852	Licensed Representative		4, 5
ZHU Ting	BNC885	Licensed Representative	1	5
ZHUANG Yishen	BCG432	Licensed Representative	1, 4	9
ZHUO Yue	BQF288	Licensed Representative	9	2, 7
AU-YEUNG Chung Kiu Johnny	BLL171	Licensed Representative - Responsible Officer		1
BAILEY Marc Richard	BNQ787	Licensed Representative - Responsible Officer		3
BRADER Lawrence Henry Burnell	AVI839	Licensed Representative - Responsible Officer		4
CHAN Lai Man	ASS908	Licensed Representative - Responsible Officer	1	
CHAN Yik Lun	AOR284	Licensed Representative - Responsible Officer	1, 2, 3	
CHEN Xiaofang	BNR541	Licensed Representative - Responsible Officer		1
CHENG Chi Kin	AZL565	Licensed Representative - Responsible Officer		1
CHENG King Wai	AHR486	Licensed Representative - Responsible Officer	4	
CHEUNG Cho Shing, Joseph	ACQ030	Licensed Representative - Responsible Officer	4	
CHING Ah Chye	AAC308	Licensed Representative - Responsible Officer	4	
CHONG Ka Yee	AME715	Licensed Representative - Responsible Officer	9	
CHOW Wing	ALK717	Licensed Representative - Responsible Officer	1	
CHU Sau Ming	AEJ665	Licensed Representative - Responsible Officer	1	
EGLOFF Markus Peter	BGG967	Licensed Representative - Responsible Officer		9
FENG Yingsi	AZR405	Licensed Representative - Responsible Officer	4	
GONG Fangxiong	AYT726	Licensed Representative - Responsible Officer		6
GSCHWEND Marco	BOK759	Licensed Representative - Responsible Officer	4	
GU Andrew Ye Yan	ALQ626	Licensed Representative - Responsible Officer	9	
HINDE David John	BQN967	Licensed Representative - Responsible Officer		1

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CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
HSU Ka Kwan	APG168	Licensed Representative - Responsible Officer	9	
HUANG Qiaowen	BIJ390	Licensed Representative - Responsible Officer	1, 4	
IP Chun Ho	ACJ705	Licensed Representative - Responsible Officer	1	
LAM Ting Yu	AGK850	Licensed Representative - Responsible Officer	9	
LAM Wing Chung, Charles	AEW033	Licensed Representative - Responsible Officer	7	
LANG Hau Wan	AES405	Licensed Representative - Responsible Officer	1	
LAU Yue Wah, Brian	AFS902	Licensed Representative - Responsible Officer		4, 9
LEE Chi Ho, Wilson	AMK405	Licensed Representative - Responsible Officer	4	
LEE Hoi Ying	AOV787	Licensed Representative - Responsible Officer		1
LEE Ka Ho	AWE955	Licensed Representative - Responsible Officer	9	
LEOTTA Sebastian John	BKP941	Licensed Representative - Responsible Officer		1
LEUNG Wai Man	ALK478	Licensed Representative - Responsible Officer		2
LI Jianhao	BER124	Licensed Representative - Responsible Officer	6	
LI Xiaoting	AVS858	Licensed Representative - Responsible Officer	4, 6	
LIU Xinguo	BOD325	Licensed Representative - Responsible Officer	1	
LONG George Williamson	AAL254	Licensed Representative - Responsible Officer		4
MA Chun Wah	ACP262	Licensed Representative - Responsible Officer		6, 9
MAN Ki Cheung	BMQ592	Licensed Representative - Responsible Officer	6	
NG Wing Yip	APW472	Licensed Representative - Responsible Officer	4	
NGAI Hoi Shan	AVC111	Licensed Representative - Responsible Officer	4	
NIEDERBERGER Jurg Hans	AWE845	Licensed Representative - Responsible Officer	4	
SNEADER Kevin David	BRW861	Licensed Representative - Responsible Officer	5, 9	
SZE Yin Cheung	AJC709	Licensed Representative - Responsible Officer		2
TO Po Kwan, Christine	ACR953	Licensed Representative - Responsible Officer		4
TONG Jenny	AIR580	Licensed Representative - Responsible Officer	9	
TONG Wa Cheung	BAK537	Licensed Representative - Responsible Officer	9	
VIRANI Adil	ANR628	Licensed Representative - Responsible Officer	4	
WAT Ying Hang	AAK716	Licensed Representative - Responsible Officer	1	
YAN King Tang	ACD374	Licensed Representative - Responsible Officer		9
YAN Monika	ANA194	Licensed Representative - Responsible Officer	4	
YAN Ziqing	BRR909	Licensed Representative - Responsible Officer		1
YANG Qi	AXF377	Licensed Representative - Responsible Officer		5
YE Zhijian	BHS216	Licensed Representative - Responsible Officer	9	
YING Yik Hung	AAK817	Licensed Representative - Responsible Officer	4, 9	
YIP Wing Chuen	AQO150	Licensed Representative - Responsible Officer	4	
YU Tat Chi, Michael	AFM499	Licensed Representative - Responsible Officer		6
ZHANG Fan	BQJ805	Licensed Representative - Responsible Officer		4
ZHANG Yong	BFN289	Licensed Representative - Responsible Officer	9	
ZHANG Zheng	AOL699	Licensed Representative - Responsible Officer	1	
LEE Seng Wang	BOC935	Licensed Representative (Provisional Licence)	1	
WONG Wai San Wilson	BQI652	Licensed Representative (Provisional Licence)	9	
YEUNG Chi Pang	BSU017	Licensed Representative (Provisional Licence)	4, 5	