

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2022

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
Carlyon Asset Management Limited	BJH953	Licensed Corporation	4	
China International Capital Corporation Hong Kong Asset Management Limited	ANA195	Licensed Corporation	1	
CVP Securities Limited	AJK645	Licensed Corporation		2
Enhanced Securities Limited	AWP684	Licensed Corporation		6
Glory Sun Securities Limited	AEZ062	Licensed Corporation		2
Jinluo Securities Limited	BOK354	Licensed Corporation	9	
Jiyuan Investment Management (HongKong) Co., Limited	BOJ437	Licensed Corporation		1
Jupiter Asset Management (Asia Pacific) Limited	AHS938	Licensed Corporation		4
Meyer Capital Group Limited	BIZ361	Licensed Corporation	4	
Ninth Eternity Capital HK Limited	BPU494	Licensed Corporation	9	
TCW Asia Limited	ABM877	Licensed Corporation	9	
AGGARWAL Minal	BRS201	Licensed Representative	6	
AN Xuancheng	BRQ870	Licensed Representative	4	
ANAND Naman	BRT327	Licensed Representative		6
ANG Tok Sin, Joseph	AFU127	Licensed Representative		2
AU YEUNG King Sau	AAB390	Licensed Representative		2
BAO Qinghe	BMM250	Licensed Representative	4	
CAO Yu	BOS198	Licensed Representative		9
CHAN Chi Shing	BHP941	Licensed Representative	6, 9	
CHAN Chiu Kam	BRJ315	Licensed Representative	1	
CHAN Chun Kong	AQH859	Licensed Representative	4, 9	1
CHAN Chun Wai	AFY152	Licensed Representative	9	
CHAN Ho Ming	BDN235	Licensed Representative	9	
CHAN Kwan Yau	BEG058	Licensed Representative	5	
CHAN See Chun	BNP412	Licensed Representative		2
CHAN Tiffany Joyce	BOW445	Licensed Representative	1, 4	
CHAN Tsz Hon	ANW417	Licensed Representative		1
CHAN Yui Richard	BIH702	Licensed Representative	4	
CHANG Hing Pong, Solomon	AIY506	Licensed Representative	5	
CHAU Hei Wai	BEZ010	Licensed Representative		4, 9
CHEN Rui	BQG839	Licensed Representative	1	9
CHEN You	BJT331	Licensed Representative	9	
CHEN Yunyan	ARR085	Licensed Representative	5	
CHENG Pui Shan	BJV196	Licensed Representative	9	6
CHENG Wai Hong	AAK494	Licensed Representative	4	
CHEUNG Chung Shing	ADR664	Licensed Representative		2
CHEUNG Kwok Wing	AAC965	Licensed Representative		2
CHEUNG Man Yu	ADV638	Licensed Representative		1
CHEUNG Pui Man	BMV665	Licensed Representative	9	4
CHEUNG Shuk Yee, Joanna	ADZ980	Licensed Representative		2
CHEUNG Siu Man	AED162	Licensed Representative		9
CHING Siu Lee	AES436	Licensed Representative		2
CHIU Chun Ting	BRV941	Licensed Representative	2	
CHIU Sin Chun	APY410	Licensed Representative	4	
CHO Kai Man	BBQ522	Licensed Representative	3	1, 4
CHOW Chuen Pak	APS741	Licensed Representative	9	1, 6
CHUANG Albert	BNQ616	Licensed Representative	5	
CHUNG Chi Ming	AAY647	Licensed Representative	9	
CHUNG Hiu Lam	BLG285	Licensed Representative		6
DAI Di	BKI617	Licensed Representative	4	
FAN Hing Shui	ABR717	Licensed Representative		2
FAN Yi	AUV739	Licensed Representative		1
FANG Wei	BMG204	Licensed Representative	9	1, 4
FU Hung Man	AAD516	Licensed Representative		4
FUKUDA Tadashi	AVM311	Licensed Representative	2, 7	4
GAO Jimmy Z	BRI087	Licensed Representative	4, 9	1, 6
GAO Qi	BQU502	Licensed Representative		2
GAO Zhi	BFY249	Licensed Representative		4
GARECHE Ahcene Saadi	BJK534	Licensed Representative		1

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2022

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
GENG Xiaoxiao	BOH030	Licensed Representative	4	
GONG Jiuzhou	BKP327	Licensed Representative	4	
HATRICK Kerr	ARH858	Licensed Representative		4, 5
HAU Jacquelynne	BLQ406	Licensed Representative	9	2
HEARNE Kevin	BDI769	Licensed Representative		7
HO Tsz Shun Kevin	AXG091	Licensed Representative	9	
HO Yuen Ying	BPA537	Licensed Representative	4, 9	1
HON Sau Yee, Kenneth	AJ837	Licensed Representative		2, 4
HOU Jie	BCS549	Licensed Representative	9	
HUANG Kai	BSA390	Licensed Representative	4	
HUANG Ling Kwan	AUQ372	Licensed Representative	5	
HUI Ka Yeung, Matthew	BGN150	Licensed Representative	3	
HUI Sze Tat	BNV085	Licensed Representative	2	
JIANG Guorong	BSE906	Licensed Representative	4	
JIN Chenghua	BDQ952	Licensed Representative	4	
KANODIA Kunal	AZT431	Licensed Representative	4	2
KO Ming-Liang	BJT858	Licensed Representative	9	1, 4
KOU Yuanyuan	BKX467	Licensed Representative		1, 5, 9
KRAUTHEIM Erica Shao-Ning	AWG056	Licensed Representative	9	1
KUI Florence	BHF137	Licensed Representative		4, 9
KWOK Chi Kit	BOX405	Licensed Representative	9	
LACZNY Piotr	BPA001	Licensed Representative	2, 4, 5	
LAI Chi Wang, Alen	AWQ674	Licensed Representative	1, 2	9
LAI Tak Leung, Iven	AAG867	Licensed Representative		9
LAI Tianxing	BOE375	Licensed Representative	4	
LAM Calvin Chi-him	ARJ016	Licensed Representative		2
LAM Chi Ping	AOY505	Licensed Representative	2	
LAM Sammy	AFS802	Licensed Representative		2
LAM Wai Hon	AAN686	Licensed Representative		9
LAM Yik Man	BNR320	Licensed Representative		3
LAU Po Chu	ACS557	Licensed Representative		2
LAU Shing Lok, Raymond	AND553	Licensed Representative	1, 4	
LAU Wai Kin, Jennifer	AQD869	Licensed Representative		2
LEE Chong Shan	ABV689	Licensed Representative		2
LEE Chun Hung	BFZ355	Licensed Representative	4, 5	
LEE Kangsun	BFX848	Licensed Representative		4, 9
LEE Shao Tang	BLA303	Licensed Representative	1, 2	4
LEE Tsi Bun, Benjamin	AQM594	Licensed Representative		4, 9
LEE Tsz Man	BAF948	Licensed Representative	1	
LEE Wei-Fang	ALW475	Licensed Representative	2, 4	
LEI Lei	BKK648	Licensed Representative	4	
LEUNG Chi Hin	BBK455	Licensed Representative	4	
LEUNG Kam Pui	ADS532	Licensed Representative		2
LEUNG Kam Tong, Thomas	AFJ457	Licensed Representative		2
LEUNG Kin Yee	ARU276	Licensed Representative	1, 9	
LI Lingfang	BQE751	Licensed Representative	4	1
LI Sai Yiu, Charles	AFN641	Licensed Representative	9	
LI Wenjie	BKP340	Licensed Representative		1
LI Yongjin	BPJ656	Licensed Representative	5	
LI Zhen	BQM312	Licensed Representative	4	
LIANG Hao	ATN174	Licensed Representative	2	9
LIAO Chien Chang	BHM367	Licensed Representative	1	
LIAO Ning	BLP516	Licensed Representative		4
LIN Cong	BER579	Licensed Representative	1	
LIN Long	BIC892	Licensed Representative		4
LIN Minglong	BRZ449	Licensed Representative	9	
LIN Yi Ping	AWT948	Licensed Representative	4	
LIU Jin	BFZ637	Licensed Representative	1	9
LIU Lianrui	BNO584	Licensed Representative		6

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2022

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
LIU Wanxin	BSU965	Licensed Representative	4	
LIU Xiuping	BRP099	Licensed Representative	4, 9	
LO Chi Kai, Ivan	AXH528	Licensed Representative		1, 2
LO Chun Kwan	AZJ983	Licensed Representative	3	
LO Long Pak Rambo	AWA647	Licensed Representative		9
LO Siu Fong, Regina	ACB850	Licensed Representative	1	2, 5
LO Sui Yan	AGO536	Licensed Representative		2
LO Tsz Ying Joey	BRF687	Licensed Representative		2
LU Fan	BRH423	Licensed Representative	4	
LU Liangjie	BOE900	Licensed Representative	9	1, 2
LU Mei Ying	AHY761	Licensed Representative		1
LUO Difei	BNC831	Licensed Representative	9	
MA Chun Hang Henry	BNL143	Licensed Representative	4	2
MA Pui Keung	BFE801	Licensed Representative	1	
MA Wing Cheong	ATC243	Licensed Representative		2
MA Xiao	BRS178	Licensed Representative	4	9
MA Xiyuan	BIU614	Licensed Representative	9	
MAK Ho Long Gareth	BQX809	Licensed Representative		2
MATHUR Nitin	AQJ211	Licensed Representative	4	
NG Chan Man	AWC045	Licensed Representative		9
NG Chi Yui	BRB159	Licensed Representative	1, 4, 9	10
NG Siu Kei	AUD457	Licensed Representative		2, 5
NGAI David	ACN887	Licensed Representative		2
NGAI Sing Lung	AQY372	Licensed Representative		2
NI Ni	BRG489	Licensed Representative	2	4
PAN Dengzhao	AWE392	Licensed Representative	1, 4	9
PAU Hui Yan	BPA073	Licensed Representative	4, 9	1
POON Cheuk Wing, Janet	BPK657	Licensed Representative	1, 4	
QI Defu	BPW938	Licensed Representative	6	
QI Qin	BGP837	Licensed Representative	4	
RONG Yanping	BNF486	Licensed Representative	1	
SERAFINO Mario John	BFL567	Licensed Representative	1	5
SHANG Ziyou	BNS040	Licensed Representative	2	
SHI Haochen	BQL601	Licensed Representative	5	
SHIAU Yann-Huei	BHX554	Licensed Representative		1
SHIH Mei Ling	AMO736	Licensed Representative		2, 4
SILVERMAN Noah Eric	BOC355	Licensed Representative		2
SINGH Kaanhari	BHC208	Licensed Representative	4	1, 2, 7
SIU Lai Yi	BQG843	Licensed Representative	2	
SO King Pui	AWH521	Licensed Representative	1, 9	
SU Shangqing	BRU962	Licensed Representative		1, 4
SUN Bijun	BNG808	Licensed Representative	9	1, 2, 7
SUN Yufei	BDD516	Licensed Representative		1, 4
SZE Cho Wang	BHC541	Licensed Representative	9	
TAM Ping Yee	AFC647	Licensed Representative		2
TAM Yui Chit Jeffrey	BMX555	Licensed Representative	4, 6	
TANG Man Lam	AXB290	Licensed Representative	6, 9	
TANG Sau Wah	AVV150	Licensed Representative	4	
TANG Wa Kin	BGM127	Licensed Representative	4	
THEN Ling Fai	BMX466	Licensed Representative		2
TIAN Mengning	BST532	Licensed Representative	6	1, 4
TONG Cheuk Fu Jeffery	BLD317	Licensed Representative	4	
TONG Yuen Ming, Anna	AAC787	Licensed Representative		2
TSAI Yu-ting	BNS103	Licensed Representative	4	
WAN Ho Lam	AUR976	Licensed Representative		9
WANG Chen-Chia	BQC263	Licensed Representative		6
WANG Jing	BEZ370	Licensed Representative	4	
WANG Julius	AEB169	Licensed Representative		1
WANG Sisi	BNJ531	Licensed Representative	4	

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2022

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
WANG Yansong	BQA757	Licensed Representative	1	
WANG Yuhuan	BRI227	Licensed Representative	4	
WANG Zhuyan	BND729	Licensed Representative	4	
WEN XIAOTING	BQL124	Licensed Representative	4	
WONG Bryant	BRC027	Licensed Representative	4, 9	1, 2
WONG Chi Ming	ARO281	Licensed Representative		2
WONG Ching Ching	BQR805	Licensed Representative	4, 9	2
WONG Chun, Jeffrey	AZF132	Licensed Representative		1
WONG Chun, Rex	BRG047	Licensed Representative	2	
WONG Ka Wing	AVZ613	Licensed Representative		2
WONG Kai Hei	BPJ841	Licensed Representative		2
WONG Kwai Chu	APO150	Licensed Representative		1
WU Jing	BRM002	Licensed Representative		9
WU Shuo	BEL223	Licensed Representative		1, 5
WU Weijian	BSG072	Licensed Representative	4	
WU Yuanzhuo	BQD515	Licensed Representative	1	9
XIA Tian	BFX031	Licensed Representative		4, 6
XU Aijia	BNE886	Licensed Representative	1	9
XU Hengjie	BQC441	Licensed Representative	2	
XU Huangsheng	BQK562	Licensed Representative	2	
XU Zijun	BRT424	Licensed Representative		2, 9
YANG Wing Hei	BJI742	Licensed Representative	4	
YE Jing	BRJ081	Licensed Representative	2, 5	
YEUNG Po Yin Poey	BNO292	Licensed Representative		4, 5, 9
YIP Chi To	BAB963	Licensed Representative		2
YIP Tsz Chung	BCU474	Licensed Representative	9	1, 2
YU Dalin	AWH883	Licensed Representative		1
YU Ping Tong	AAV676	Licensed Representative	4	2, 9
YU Sau Pan	AFS834	Licensed Representative		2
YUAN Tingnan	BQN693	Licensed Representative	4	2
YUE Man Hong	AKQ345	Licensed Representative		2
YUEN Suet Lin, Venus	AEM830	Licensed Representative		2
YUNG Man Chung	BOG581	Licensed Representative		2
ZHANG Junxiong	BIK397	Licensed Representative	4	
ZHANG Li	BHX883	Licensed Representative	9	
ZHANG Shaozhi	BPS634	Licensed Representative		9
ZHANG Yue	BRE376	Licensed Representative	1, 4	
ZHAO Siyu	BRK778	Licensed Representative	4	2
ZHENG Dongli	BFX015	Licensed Representative		1
ZHENG Yi	BPK769	Licensed Representative		4
ZHOU Yi	BON542	Licensed Representative		1, 4
ZHU Jiang	AXF039	Licensed Representative	2	
ZHU Zijing	BRY467	Licensed Representative	4	
BOWERS Fredson Thayer	ABF066	Licensed Representative - Responsible Officer	1	
CHAN Kwan Kit	ACQ850	Licensed Representative - Responsible Officer	4	
CHAN Pak Hin, Percy	AEB921	Licensed Representative - Responsible Officer		1
CHANG Hoi Yan, Vivian	AEE778	Licensed Representative - Responsible Officer		2, 4, 9
CHANG Yan Wing	ADX897	Licensed Representative - Responsible Officer	9	
CHEUNG Man Yu	ADV638	Licensed Representative - Responsible Officer	9	
CHIN Chi Ho Stanley	BIQ723	Licensed Representative - Responsible Officer	4	
CHIN Chi Kui	APQ984	Licensed Representative - Responsible Officer		2
CHONG King Pan, William	AAJ630	Licensed Representative - Responsible Officer		2
CHOU Si Kit, James	AAG504	Licensed Representative - Responsible Officer	9	
CHOW Yiu Chung	BEC579	Licensed Representative - Responsible Officer	4	
COLVEN Peter Richard Thomas	BFR255	Licensed Representative - Responsible Officer	9	
FAN Yi	AUV739	Licensed Representative - Responsible Officer	9	
GARY Carolyn Ann	AAV200	Licensed Representative - Responsible Officer		9
GEORGE Manu	AZJ281	Licensed Representative - Responsible Officer	9	
HAO Wei Chieh	ALG480	Licensed Representative - Responsible Officer	4	

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2022

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
HO Lik Kwan, Luke	ALS703	Licensed Representative - Responsible Officer	1	
HO Yui	ATI748	Licensed Representative - Responsible Officer	1	
HUANG Jie	BBD156	Licensed Representative - Responsible Officer	1	
JIANG Maolin	ARE474	Licensed Representative - Responsible Officer	9	
KO Wai Chun	AON561	Licensed Representative - Responsible Officer		9
KUET Chu Chau	BBF122	Licensed Representative - Responsible Officer	9	
LAI Kin Chung, Kenneth	ABP978	Licensed Representative - Responsible Officer	4	
LAM Chi Ping	AOY505	Licensed Representative - Responsible Officer	9	
LAM Hing Chung	AAB159	Licensed Representative - Responsible Officer		2
LAW Pui Kiu	AVA659	Licensed Representative - Responsible Officer	9	
LEE Kei Tat	AEM828	Licensed Representative - Responsible Officer		2, 5
LEE Tsi Bun, Benjamin	AQM594	Licensed Representative - Responsible Officer	2	
LEUNG Chi Ho	ABH320	Licensed Representative - Responsible Officer		2
LEUNG Chi Wai	ACB019	Licensed Representative - Responsible Officer		2, 5
LEUNG Man Wai	AEU886	Licensed Representative - Responsible Officer	9	
LI Kwok Chiu	ACQ611	Licensed Representative - Responsible Officer	9	
LI Longxi	BOW524	Licensed Representative - Responsible Officer	9	
LIANG Guozhong	AJG523	Licensed Representative - Responsible Officer	1	
LIU Leung	AFJ550	Licensed Representative - Responsible Officer		1
MA Pui Keung	BFE801	Licensed Representative - Responsible Officer	4	
NGAN Chiu Kit, Hudson	AGQ983	Licensed Representative - Responsible Officer		9
PARK Jae Wu	AVY657	Licensed Representative - Responsible Officer	9	
PUN Tit Shan	ABR234	Licensed Representative - Responsible Officer	1	
PUN Tze Miu	ACX643	Licensed Representative - Responsible Officer	4, 9	
TSANG Chun Man	AMU470	Licensed Representative - Responsible Officer	9	
TSE Sheung Lai, Sunny	APB109	Licensed Representative - Responsible Officer	3	
TSOI Wai Hung	BBG310	Licensed Representative - Responsible Officer		2
WONG Chi Wah, Philip	ABV200	Licensed Representative - Responsible Officer	1	
WONG Hiu Yeung	BAH384	Licensed Representative - Responsible Officer	1, 4	
WONG Hoi Hung, Horace	ACS469	Licensed Representative - Responsible Officer	4	
WONG Tin Yam, Alvin	APK149	Licensed Representative - Responsible Officer	2, 5	
XIE Lijun	BNS465	Licensed Representative - Responsible Officer	1	
YAU Hung Ban, Jimmy	BIY825	Licensed Representative - Responsible Officer	1	
YUE Ho Tung, Tony	ALU744	Licensed Representative - Responsible Officer	4	
ZHANG Xuan	AIQ967	Licensed Representative - Responsible Officer	9	
ZHOU Yi	BON542	Licensed Representative - Responsible Officer	9	
FENG Shiyun	BSZ922	Licensed Representative (Provisional Licence)	4	
YUNG Wai Man	BSW651	Licensed Representative (Provisional Licence)	1, 4	
Cathay United Bank Company, Limited	AGV591	Registered Institution	4	