

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2023**

CE Name	CE No.	Licence Type	Types of Regulated Activity
Alpha Elephant Capital Management (Hong Kong) Limited	BSO621	Licensed Corporation	9
Alpha Sherpa Capital Management Limited	BSG476	Licensed Corporation	9
BOSC International Asset Management Limited	BIY995	Licensed Corporation	9
BOSC International Securities Limited	BIY994	Licensed Corporation	1, 4, 6
Boundless Investment Limited	BSU744	Licensed Corporation	4, 9
Chenghe Capital Management Limited	BSU322	Licensed Corporation	1, 4, 9
FountainVest Capital Limited	BSR416	Licensed Corporation	4, 9
M7 Asia (HK) Limited	BRW621	Licensed Corporation	4, 9
OQ Funds Management Limited	BSJ688	Licensed Corporation	9
Peakhouse Asset Management Limited	BSQ506	Licensed Corporation	4, 9
PSP Investments Asia Limited	BSY317	Licensed Corporation	1
Qianxing Capital Limited	BSS641	Licensed Corporation	1, 4, 9
QX Asset Management Limited	BSV422	Licensed Corporation	9
TradeGo Markets Limited	BR0637	Licensed Corporation	1, 7
Wiseview Asset Management Limited	BSX588	Licensed Corporation	4, 9
ABE Yusuke	AYD375	Licensed Representative	3
AXTHELM Hlaing Yin Min Khin	BTG814	Licensed Representative	1, 4, 9
AYAZ Amber	BTI870	Licensed Representative	1, 4, 9
BANARES Jose Carlos	BTF634	Licensed Representative	6
BHOWMIK Souvik	BTK022	Licensed Representative	1, 2, 4, 5
BIDGOOD Sam George	BTH795	Licensed Representative	1, 7
BROWNELL James Llewellyn	BOI146	Licensed Representative	1, 2
CAI Yu	BTG755	Licensed Representative	4, 9
CAMPBELL Lindsay Victoria	APZ053	Licensed Representative	9
CHAN Avery Keefe	BTK671	Licensed Representative	1, 4
CHAN Cheuk Kei	BTH118	Licensed Representative	4, 9
CHAN Hiu Wing	BTL380	Licensed Representative	1
CHAN Ming Fai	AGK526	Licensed Representative	1, 4
CHAN See Lim	ASD481	Licensed Representative	1, 4
CHAN Sin Han Cecilia Catherine	BTK962	Licensed Representative	1, 4
CHAN Tung	AXY807	Licensed Representative	9
CHAN Wilfrid Shou Yi	BTK035	Licensed Representative	1, 4
CHAN Yat Fu, Edward	BGH405	Licensed Representative	1, 4
CHAN Yuen Ching	BTH668	Licensed Representative	1, 4, 9
CHAN Yuk Lam	BTK503	Licensed Representative	1, 4
CHAU Yuet Yi, Fiona	BTK166	Licensed Representative	1, 4, 9
CHE Hui	BTH299	Licensed Representative	1, 4
CHE JUN	BTK948	Licensed Representative	9
CHEN Ang	BTG491	Licensed Representative	4, 9
CHEN JAMES TZE CHIA	BTG802	Licensed Representative	9
CHEN Liangyu	BTK589	Licensed Representative	1, 2
CHEN Siman	BPJ396	Licensed Representative	4
CHEN Xiao	BFC998	Licensed Representative	4, 9
CHEN Yiu Fai	BTH946	Licensed Representative	1, 9
CHEN Zixuan	BTI919	Licensed Representative	1, 2, 4
CHENG Hoi Yan	BTG269	Licensed Representative	1
CHENG Yiu Him	BTJ492	Licensed Representative	4
CHENG Yuen Man	BAJ473	Licensed Representative	1, 2
CHEUK Hoi Ying Agnes	BTH335	Licensed Representative	1

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2023**

CE Name	CE No.	License Type	Types of Regulated Activity
CHEUNG Wing Sing	ATM574	Licensed Representative	1, 2
CHEUNG Wing Yi	BTK789	Licensed Representative	4, 9
CHEUNG Yiu Lun	BTK352	Licensed Representative	9
CHIANG Tung Hsien	BTH864	Licensed Representative	1
CHING Chun Ho	BTL442	Licensed Representative	3
CHIU Kwong Chun	BTJ453	Licensed Representative	1, 9
CHIU Wai Lam Sean	BTG776	Licensed Representative	1
CHLELA Michel	BTK028	Licensed Representative	1, 2, 4
CHO Wan	AWX765	Licensed Representative	1, 2
CHONG Siu Wing Christopher	AYU408	Licensed Representative	1, 4
CHOU Tsz Yeung	BFT505	Licensed Representative	1
CHU Chen-Jen	BTJ490	Licensed Representative	1, 9
CHU Xianghui	BTG267	Licensed Representative	1, 4
CHUNG Cheung Chak	BQR902	Licensed Representative	6
CHUNG Kai Gai	BTJ872	Licensed Representative	1, 2
CHUNG Man Ying	BTI980	Licensed Representative	1
COHEN Ayal Salomon	BGB772	Licensed Representative	1, 4
DAI Ying	BTJ789	Licensed Representative	4, 9
DAI Zheyu	BTJ781	Licensed Representative	1, 4, 5, 9
DE FREITAS BASILIO Christopher Santana	BTK839	Licensed Representative	1, 4
DIKSHIT Siddharth	BAB703	Licensed Representative	1
DU Jieqi	BTJ946	Licensed Representative	1, 4, 6
DUAN Wenxin	BTK824	Licensed Representative	2
DUAN Xiaohan	BTH028	Licensed Representative	1, 6
EADES Benjamin Richard	BTH685	Licensed Representative	1, 7
ESTACIO-CRUZ Wendy Banzuela	BTK130	Licensed Representative	4
FAN Yunfan	BQZ843	Licensed Representative	4, 9
FOK Wai Yan	BNT923	Licensed Representative	4
GAO Wei	BTH397	Licensed Representative	1, 4
GAO Yuan	BTG964	Licensed Representative	1, 6
GONG Jieru	BTK031	Licensed Representative	4, 9
GONG Xinyue	BTH090	Licensed Representative	1, 6
GU Yueqing	BTK334	Licensed Representative	1, 6
HAN Li	BDO185	Licensed Representative	4
HASKINS Andrew Robin Alexander	ALY617	Licensed Representative	1, 4
HE Limin	BTG784	Licensed Representative	4
HENRY Miles Leonard	BTJ101	Licensed Representative	9
HO Darren King Man	BNJ839	Licensed Representative	9
HO Man Wing	BTH006	Licensed Representative	1
HO Pui Yan	BTK265	Licensed Representative	9
HO Te-Ming	AGN015	Licensed Representative	1, 4, 9
HO Wai Yen Wayne	BTK044	Licensed Representative	1
HONG Weijia	BTJ591	Licensed Representative	1, 4, 9
HORIUCHI Shinichiro	BAX972	Licensed Representative	9
HOU Fan	BTK317	Licensed Representative	4
HSIEH Ya Yi	BTG570	Licensed Representative	1, 4
HU Beibei	BTK772	Licensed Representative	1, 4
HU Chih Mei	BTL445	Licensed Representative	1, 4
HU Jia	BTK487	Licensed Representative	1, 2

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2023**

CE Name	CE No.	Licence Type	Types of Regulated Activity
HU Jiani	BKA435	Licensed Representative	1
HU Yue	BTJ955	Licensed Representative	1, 4, 9
HUANG Haoran	BTK428	Licensed Representative	1, 2
HUANG Kaiwen	BTI824	Licensed Representative	1, 4, 9
HUANG Ricky Li Qi	BTJ172	Licensed Representative	1, 2
HUANG Wei	BTK473	Licensed Representative	1, 6
HUANG Xiaoyi	BOM845	Licensed Representative	1
HUANG Yiwen	BRR409	Licensed Representative	9
HUANG Zuli	BTK525	Licensed Representative	9
HUI Chun Ho	BTH954	Licensed Representative	1, 2
HUNG Chung Hei	BAY484	Licensed Representative	4, 9
HUNG Pak Hei	BTJ808	Licensed Representative	1, 4
HUNG Yick Fai	BTH342	Licensed Representative	1
HUO Youyi	BTK100	Licensed Representative	1, 4, 9
IP Fung Ki	BTJ884	Licensed Representative	1, 4, 9
JANG Hoyoung	BTK169	Licensed Representative	1, 2
JHA Vinesh Deo	BFJ610	Licensed Representative	4
JI Shishi	BTH005	Licensed Representative	1, 4
JI Yongdi	AOO699	Licensed Representative	1, 4
JIA Pengcheng	BTJ694	Licensed Representative	1, 4, 9
JIANG Jiahong	BTF009	Licensed Representative	1, 2
JIANG Ruju	BQX101	Licensed Representative	6
JIANG Yuanyuan	BTK681	Licensed Representative	1, 4, 9
JIAO Jinjun	BTJ804	Licensed Representative	6
JING Yuran	BTF187	Licensed Representative	1
JOSHI Devendra	BTK777	Licensed Representative	4
KAM Ka On	BCB658	Licensed Representative	1, 4, 9
KAMRA Saryu	BTK682	Licensed Representative	1, 4, 9
KAWAGUCHI Eishiro	BTH955	Licensed Representative	1, 4, 6
KIM Mi Hyun	BOC366	Licensed Representative	1, 4
KIM Michelle Minjeong	BTJ927	Licensed Representative	1, 4
KIM Won Jae	BTJ986	Licensed Representative	9
KONG Ho Chuen	BJL606	Licensed Representative	4, 9
KONG Kit Sau Windmill	BTF036	Licensed Representative	4, 9
KUMAR Ashutosh	BTJ487	Licensed Representative	1, 2
KWOK Peter	AGP471	Licensed Representative	4, 9
KWON Heul	BTM016	Licensed Representative	1
LAM Ka Ho	BTK785	Licensed Representative	1, 2, 9
LAM Kin Lam	BTH903	Licensed Representative	6
LAM Wai Yee	BTK170	Licensed Representative	1, 4
LAU Ka Yi	BQZ789	Licensed Representative	1, 4
LAU Pui Yi	BTG640	Licensed Representative	1, 2, 4
LAU Tsung Kei	BTK362	Licensed Representative	1, 4
LAYDON Frederick Tennyson	AFA237	Licensed Representative	4, 6, 9
LEE Gahyeon	BTK020	Licensed Representative	9
LEE Guo Chun	BHF055	Licensed Representative	1, 4, 6
LEE Jaeseo	BTM014	Licensed Representative	4
LEE Jaeyeon	BTK482	Licensed Representative	1, 7
LEEM Jaehung	BFN523	Licensed Representative	1, 7

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CE Name	CE No.	Licence Type	Types of Regulated Acitivity
LEI Lei	BTH436	Licensed Representative	1
LEI Xiaoyu	BTG291	Licensed Representative	1
LEUNG Cheuk Wai Dominic	BTJ894	Licensed Representative	1, 2
LEUNG Chin Yu, Edmond	APO551	Licensed Representative	1, 4
LEUNG Huen Tung	BTK484	Licensed Representative	1
LEUNG Kai Ching	AUX081	Licensed Representative	1, 6
LEUNG Sam	BHF143	Licensed Representative	9
LEUNG Shu Sum	BTH889	Licensed Representative	6
LI Jiajie	BTG334	Licensed Representative	1, 6
LI Jing	BTG203	Licensed Representative	1, 4, 9
LI Pengfei	BTG566	Licensed Representative	4
LI Yunpeng	BTK160	Licensed Representative	1, 6
LI Zhaoang	BTK493	Licensed Representative	1
LIAO Han	BTH174	Licensed Representative	1, 4, 9
LIAO Yating	BTH109	Licensed Representative	1, 6
LIN Chunyang	BAL090	Licensed Representative	1, 4
LIN Jing	BTK467	Licensed Representative	9
LIN Longpeng	BTI987	Licensed Representative	4
LIU Jess Bing	BTH297	Licensed Representative	1, 4
LIU Jindong	BTJ784	Licensed Representative	4
LIU Shuolin	BTH898	Licensed Representative	1, 6
LIU Tonghe	BTI933	Licensed Representative	1, 2
LIU Xin	BTF013	Licensed Representative	1, 6
LIU Yan Bing	BTM453	Licensed Representative	1, 2
LIU Yixi	BML636	Licensed Representative	1, 2
LIU Yunan	BTB358	Licensed Representative	4
LIU Yuxiang	BTJ833	Licensed Representative	1, 4, 6
LIU Yuxiang	BTG816	Licensed Representative	4, 9
LO Yan Mei	BAZ735	Licensed Representative	6
LO Yu Tsung	BTI863	Licensed Representative	4
LONG Yu	BTJ391	Licensed Representative	1, 4
LU Ming	BTK802	Licensed Representative	4, 9
LU Yu	BOZ981	Licensed Representative	9
LU Yu	BTJ783	Licensed Representative	1, 4, 6
LUANG Sin Kei	BTL976	Licensed Representative	4
LUK Wai Ha Eva	BRM311	Licensed Representative	4, 9
LUK Wing Tung	ASI166	Licensed Representative	1
LUNG Chun Ngai Darren	BTJ932	Licensed Representative	1, 4, 6
LUNG Wing Yee	BSM317	Licensed Representative	1
LUO Jiali	BTG817	Licensed Representative	4, 9
MA Bingyu	BTK118	Licensed Representative	1, 4, 6
MA Chuangsen	BTG257	Licensed Representative	1, 4
MAI HAOMING	BTG696	Licensed Representative	9
MAK Ho Ming	BAJ419	Licensed Representative	1, 9
MAK Ho Ning	BTI999	Licensed Representative	1, 2
MAK Siu Hung	BTL339	Licensed Representative	4, 9
MALABARD Thomas Charlie	BTJ675	Licensed Representative	1, 2
MALHOTRA Akshay	BTH808	Licensed Representative	1
MAN Chung Ho	AFQ894	Licensed Representative	6

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2023**

CE Name	CE No.	Licence Type	Types of Regulated Acitivity
MASON Paul Drake	BTH930	Licensed Representative	4
MATHRANI Nilima Ajay	BMT591	Licensed Representative	1
MCGEOCH Angus Hamilton	AYP088	Licensed Representative	1, 4
MENG Ke	BQW003	Licensed Representative	9
MIN Hoonsik	BTE993	Licensed Representative	9
MIZUNO Ryota	BTJ788	Licensed Representative	9
MOK Ho Nam	BLK652	Licensed Representative	1, 4, 9
MORITA Kyohei	AML278	Licensed Representative	4
MURGA Yana	BTH899	Licensed Representative	9
NG Sock Meng, Doris	ALL164	Licensed Representative	4, 9
NG Tao, Eddie	AFY697	Licensed Representative	1, 2
NG Wai Ming	BTF522	Licensed Representative	1, 4
NGUYEN Tieu-Bich	BQD113	Licensed Representative	4, 9
NIO Yu Syuan	BTK644	Licensed Representative	6
ONG Kyle Gideon Dy	BTK234	Licensed Representative	1, 4
PARK Seong Hwan	BTK689	Licensed Representative	1, 4, 6
PENG Jianye	BCE330	Licensed Representative	1
PI Jiayin	BTH378	Licensed Representative	4
POON Bosco Ho Kong	BTJ299	Licensed Representative	1, 2, 4
QING Xinxin	BTJ618	Licensed Representative	1, 4, 9
QU Yidi	BTK511	Licensed Representative	4, 9
QU Zhuoni	BTK563	Licensed Representative	4
REN Jishen	BTH277	Licensed Representative	6
REN Shuyang	BTJ491	Licensed Representative	1, 4, 6
RONG Licheng	BTH896	Licensed Representative	1, 6
RUAN Weishi	AYT644	Licensed Representative	9
SABOO VAIBHAV	BTH996	Licensed Representative	1
SAWHNEY Angad Prem	BTJ035	Licensed Representative	9
SEEBALUCK Jung Mi	BTG979	Licensed Representative	2, 5
SERIZAWA Takeo	BBH663	Licensed Representative	9
SHAO Jin	BQH142	Licensed Representative	4, 9
SHARMA Arnav	BTH948	Licensed Representative	1
SI Bowen	BTK117	Licensed Representative	1, 4
SINGHAL Aman	BTL130	Licensed Representative	1
SO Ah Ming	BTK270	Licensed Representative	9
SO Tsz Fung	BTK120	Licensed Representative	4
SONG Liang	BTK721	Licensed Representative	1, 4, 9
SU Ziyao	BTK670	Licensed Representative	1
SUI Shihua	BTI961	Licensed Representative	1, 4
SUN Jiayi	BTF344	Licensed Representative	9
SUN Xiaofeng	ASO689	Licensed Representative	1, 4, 6
SUN Xiyu	BTJ213	Licensed Representative	4
SUN Yiyi	BTK230	Licensed Representative	1, 4, 6
TAN Meng	BTI048	Licensed Representative	1, 4, 9
TANG Ka Yin	BES453	Licensed Representative	1, 2, 4
TANG Morris	BTK418	Licensed Representative	1, 6
TANG Yuchen	BTL012	Licensed Representative	4
TONG Ruochen	BTK608	Licensed Representative	1, 2
TONG Yuhao	BQG752	Licensed Representative	1, 6

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CE Name	CE No.	Licence Type	Types of Regulated Activity
TSANG Wai Hin	BTH888	Licensed Representative	6
UBILLUS Andrea Cecilia	BTK510	Licensed Representative	1
WAN Shutong	BTH029	Licensed Representative	1, 6
WANG Jing	BTJ603	Licensed Representative	1, 6
WANG Siyang	BTG198	Licensed Representative	1, 4
WANG Yong	AXW461	Licensed Representative	9
WEINBERG Benjamin Casner	BTK146	Licensed Representative	2, 5
WONG Chi Yin	BKD520	Licensed Representative	6
WONG Chun Yin	BTK552	Licensed Representative	1, 2
WONG Desmond Cheuk Man	BTK175	Licensed Representative	10
WONG King Chun Victor	BTK157	Licensed Representative	1, 4
WONG Shun Lin	BTG290	Licensed Representative	4, 9
WU Chiu Hsuan	BTI897	Licensed Representative	1, 4
WU Jeff	BPO553	Licensed Representative	2
WU Qi	BTJ493	Licensed Representative	9
WU Weizhi	BTE544	Licensed Representative	9
WU Yan	BFW634	Licensed Representative	1, 2
WU Yufei	BFY120	Licensed Representative	9
XIA Wei	BPU147	Licensed Representative	9
XIA Wenjun	BTM028	Licensed Representative	9
XIAO Yujie	BTG646	Licensed Representative	1, 6
XIE Yafei	BTM841	Licensed Representative	1, 4, 9
XIE Zhuoli	BTF189	Licensed Representative	1, 2
XU Boyang	BTK776	Licensed Representative	1
XU Ke	BTK147	Licensed Representative	1, 6
XU Siyuan	BTB335	Licensed Representative	1
XU Yunfan	BTH784	Licensed Representative	1, 4, 6
XUE Renqiang	BTK883	Licensed Representative	4, 9
YANAMANDRA Srinivasulu	BTK149	Licensed Representative	1, 6
YANG Fan	BTK172	Licensed Representative	1, 4, 6
YANG Jinghan	BTK383	Licensed Representative	1, 4
YANG Liu	BTH918	Licensed Representative	4
YANG Yang	BTH019	Licensed Representative	1, 6
YANG Yongqing	BOM626	Licensed Representative	1, 4
YANG Yuzhe	BTJ481	Licensed Representative	1, 4
YANG Zequan	BLO153	Licensed Representative	1, 4
YAO Dawei	BTH632	Licensed Representative	1, 4, 9
YAO Xin	BTJ837	Licensed Representative	1, 4
YAU Chi Chung	AFA204	Licensed Representative	1
YAU Chi Kin	ADR779	Licensed Representative	1
YEUNG Yi Chun Wilfred	BTL113	Licensed Representative	1
YIN Jiayi	BTI909	Licensed Representative	1, 6
YONG Hou Hin	BRI524	Licensed Representative	1, 2, 9
YOO Chaeri	BTF814	Licensed Representative	1, 4
YOU Hang	BTI822	Licensed Representative	1, 4
YOU Ning	BTJ971	Licensed Representative	6
YU Ka Ho	BNP832	Licensed Representative	4
YU Kin Cheung	BPK426	Licensed Representative	1, 4
YUAN Lixi	BCL663	Licensed Representative	1, 4, 9

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CE Name	CE No.	Licence Type	Types of Regulated Activity
YUEN Chi Tsun, Ronnie	BEA610	Licensed Representative	6
YUNG Pui Yi	BTL528	Licensed Representative	4, 9
ZHANG Ao	BTJ972	Licensed Representative	1, 4, 9
ZHANG Bo	BSF470	Licensed Representative	4, 9
ZHANG Chunjing	BTH679	Licensed Representative	1, 6
ZHANG Congzhan	BTJ178	Licensed Representative	1, 6
ZHANG Han	BIE642	Licensed Representative	1, 4, 9
ZHANG Han	BTK351	Licensed Representative	1, 4, 6
ZHANG Ningyuan	BTK329	Licensed Representative	1, 6
ZHANG Qiong	BTG934	Licensed Representative	1, 4, 9
ZHANG Shuqi	BTJ173	Licensed Representative	1, 2
ZHANG Wenxiu	BTH586	Licensed Representative	1
ZHANG Xuchen	BTJ799	Licensed Representative	1, 4
ZHANG Xuehui	BTM509	Licensed Representative	1, 2, 4, 5, 9
ZHANG Yichen	BTJ856	Licensed Representative	4, 9
ZHANG Yuzhe	BTH091	Licensed Representative	1, 6
ZHAO Cunxu	BTK123	Licensed Representative	1, 4, 6
ZHAO Dehai	BTH444	Licensed Representative	1, 4, 9
ZHAO Jingyu	BTK959	Licensed Representative	9
ZHAO Yinheng	BTH998	Licensed Representative	1, 6
ZHOU Chen	BTJ891	Licensed Representative	1
ZHOU Jishen	BTH281	Licensed Representative	1, 2, 4, 9
ZHOU Yiqiong	BTH435	Licensed Representative	6
ZHOU Zehui	BTL075	Licensed Representative	1, 2
ZHU Yixin	BTJ191	Licensed Representative	1, 6
ZHU Yuxiao	BTJ429	Licensed Representative	1, 4, 6
BANDEEN Adam Drummond	BOT147	Licensed Representative - Responsible Officer	1
CHAI Wei	BTA239	Licensed Representative - Responsible Officer	4, 9
CHAN Ho Yin Richard	BSU745	Licensed Representative - Responsible Officer	4, 9
CHAN Kwong Yiu Jacky	AXP292	Licensed Representative - Responsible Officer	4, 9
CHAN Tsan Tuen	AUB811	Licensed Representative - Responsible Officer	2
CHENG Tai Sheung	ALS551	Licensed Representative - Responsible Officer	1, 6
DENG Xiaoming	BDX988	Licensed Representative - Responsible Officer	1, 4, 9
DONNERT Ludwig Georg	BRB229	Licensed Representative - Responsible Officer	9
DU Bin	BJK786	Licensed Representative - Responsible Officer	1
FANG Fang	BSO623	Licensed Representative - Responsible Officer	9
HAN Li	BDO185	Licensed Representative - Responsible Officer	9
KIM Jongwon	BGM424	Licensed Representative - Responsible Officer	1
KOH Kwing Chang, Peter	AAA503	Licensed Representative - Responsible Officer	1, 7
LAI Yuen Chun	AMO727	Licensed Representative - Responsible Officer	4, 9
LAI Zhihua	BNH418	Licensed Representative - Responsible Officer	9
LAU Hiu Fung	ALQ265	Licensed Representative - Responsible Officer	4, 9
LEAHY David Joseph	AKI495	Licensed Representative - Responsible Officer	1, 4
LEE Sanghoon	AVW301	Licensed Representative - Responsible Officer	1, 4, 6
LEE Yun Wing, Aaron	AJC168	Licensed Representative - Responsible Officer	4, 9
LI Qi	AGM782	Licensed Representative - Responsible Officer	1, 4, 9
LI Qiang	BQV820	Licensed Representative - Responsible Officer	9
MA Kechao	BSV424	Licensed Representative - Responsible Officer	9
MCCABE Raymond Francis	BOT145	Licensed Representative - Responsible Officer	1

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2023**

CE Name	CE No.	Licence Type	Types of Regulated Activity
MIRCHANDANI Gopi Bhagu	BTK852	Licensed Representative - Responsible Officer	1, 4, 9
NGUYEN Gia Vinh, Patrick	ALI719	Licensed Representative - Responsible Officer	4, 9
SEETO Siu Hong	BHC305	Licensed Representative - Responsible Officer	1
SHIN Heemin	BTG563	Licensed Representative - Responsible Officer	1
SUI Yan	BDQ954	Licensed Representative - Responsible Officer	4, 9
SURIAWINATA Harold	BSU505	Licensed Representative - Responsible Officer	4, 9
TANG Kui	ADI676	Licensed Representative - Responsible Officer	4, 9
TANG Ronnie Wai Yan	BGO471	Licensed Representative - Responsible Officer	1
TEE Choon Hong	ACA867	Licensed Representative - Responsible Officer	1, 4, 9
TSOI Sze Ming, Kenneth	ABP464	Licensed Representative - Responsible Officer	4, 9
UDING Gerardus Henricus Paulus	AUZ982	Licensed Representative - Responsible Officer	4, 9
WANG Leping	APB500	Licensed Representative - Responsible Officer	4, 9
WIRTEISOHN Dominic	BSG477	Licensed Representative - Responsible Officer	9
WONG Kok Hung	AMP461	Licensed Representative - Responsible Officer	4, 9
WONG Ying Kit	AWH541	Licensed Representative - Responsible Officer	4, 9
XU Yuncheng	BOH195	Licensed Representative - Responsible Officer	4, 9
YIM Wing Yuk	BER411	Licensed Representative - Responsible Officer	9
ZHANG Yi	BRF120	Licensed Representative - Responsible Officer	4, 9
ABE Yusuke	AYD375	Licensed Representative (Provisional Licence)	3
BAGRIY Volodymyr	BTM708	Licensed Representative (Provisional Licence)	9
BAI Qifan	BTK333	Licensed Representative (Provisional Licence)	1, 6
BHANGRA Sandeep	BTL546	Licensed Representative (Provisional Licence)	1, 2, 7
BHOWMIK Souvik	BTK022	Licensed Representative (Provisional Licence)	1, 2, 4, 5
BROWNELL James Llewellyn	BOI146	Licensed Representative (Provisional Licence)	1, 2
CHAN Averyee Keefe	BTK671	Licensed Representative (Provisional Licence)	1, 4
CHAN Henry Man Hin	BTL451	Licensed Representative (Provisional Licence)	1, 4
CHAN Ka Shing	APF285	Licensed Representative (Provisional Licence)	9
CHAN Ka Shing	BSS613	Licensed Representative (Provisional Licence)	1, 4, 9
CHAN Man Ling	BTM246	Licensed Representative (Provisional Licence)	4
CHAN Wilfrid Shou Yi	BTK035	Licensed Representative (Provisional Licence)	1, 4
CHAN Yau Him	BTM498	Licensed Representative (Provisional Licence)	1, 2
CHAN Yuet Mei	BBD845	Licensed Representative (Provisional Licence)	1, 4
CHANG Tat Sean	ADL512	Licensed Representative (Provisional Licence)	1, 4, 9
CHANG Xinyi	BTM777	Licensed Representative (Provisional Licence)	4
CHE Shuyun	BTM272	Licensed Representative (Provisional Licence)	1, 4
CHELOMIANSKI Ilya	BTL472	Licensed Representative (Provisional Licence)	1
CHEN Jianye	BRH314	Licensed Representative (Provisional Licence)	1, 6
CHEN Jieshan	BTM015	Licensed Representative (Provisional Licence)	1
CHEN Michael Hao	AZX279	Licensed Representative (Provisional Licence)	1
CHEN Shangfu	BTM064	Licensed Representative (Provisional Licence)	1, 6
CHEN Shanzhe	BPT637	Licensed Representative (Provisional Licence)	1, 6
CHEN Shihui	BTK466	Licensed Representative (Provisional Licence)	4
CHEN Sijia	BTL945	Licensed Representative (Provisional Licence)	1, 6
CHEN Tianyi	BTL438	Licensed Representative (Provisional Licence)	1, 2
CHEN Tingxi	BTL547	Licensed Representative (Provisional Licence)	6
CHEN Xinrui	BTM725	Licensed Representative (Provisional Licence)	1, 6
CHEN Yahui	BTL930	Licensed Representative (Provisional Licence)	4
CHEN Yihao	BTM408	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Yunjian	BTM326	Licensed Representative (Provisional Licence)	1, 6



**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2023**

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHEN Zhijie	BTK991	Licensed Representative (Provisional Licence)	9
CHENG Kwok Wai	AUX349	Licensed Representative (Provisional Licence)	1, 2
CHEUNG Wing Yi	BTK789	Licensed Representative (Provisional Licence)	4, 9
CHIEN Chen Tsung	BTM335	Licensed Representative (Provisional Licence)	1, 4, 9
CHING Chun Ho	BTL442	Licensed Representative (Provisional Licence)	3
CHING Tsz Hei	BTL153	Licensed Representative (Provisional Licence)	1, 4, 9
CHIU Chun Hang Harris	BTK680	Licensed Representative (Provisional Licence)	1, 7
CHOI Che Kin	APJ409	Licensed Representative (Provisional Licence)	9
CHONG Siu Wing Christopher	AYU408	Licensed Representative (Provisional Licence)	1, 4
CONG Haifeng	BTL100	Licensed Representative (Provisional Licence)	1
DAI Ho Yin	BTM429	Licensed Representative (Provisional Licence)	9
DE FREITAS BASILIO Christopher Santana	BTK839	Licensed Representative (Provisional Licence)	1, 4
DUAN Wenxin	BTK824	Licensed Representative (Provisional Licence)	2
FAN Winfield	BTJ419	Licensed Representative (Provisional Licence)	4, 9
FAN Yunfan	BQZ843	Licensed Representative (Provisional Licence)	4, 9
FAN Yuxin	BTL963	Licensed Representative (Provisional Licence)	1, 6
FANG Tingzhao	BTL124	Licensed Representative (Provisional Licence)	1, 6
FEI YAQI	BTK987	Licensed Representative (Provisional Licence)	6
FUNG King Yin, Lawrence	ART079	Licensed Representative (Provisional Licence)	9
GE Qiming	BTK451	Licensed Representative (Provisional Licence)	1, 6
GENG Yichen	BTK600	Licensed Representative (Provisional Licence)	1, 4
GRIFFITH JR Charles Bradley	BTM996	Licensed Representative (Provisional Licence)	1
GRISSELL Edward Henry	BPY390	Licensed Representative (Provisional Licence)	9
GU Sijie	BTM912	Licensed Representative (Provisional Licence)	4
GUO Zhangan	BTM859	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 7
HAN Sung Il	BTN106	Licensed Representative (Provisional Licence)	1, 2
HE Haoyue	BTM407	Licensed Representative (Provisional Licence)	1, 4, 6
HE Leyi	BTN044	Licensed Representative (Provisional Licence)	1
HE Sirong	BNP602	Licensed Representative (Provisional Licence)	1, 4, 9
HONG Won Joon	BTM783	Licensed Representative (Provisional Licence)	1
HU Beibei	BTK772	Licensed Representative (Provisional Licence)	1, 4
HU Chih Mei	BTL445	Licensed Representative (Provisional Licence)	1, 4
HU Qingbo	BTM065	Licensed Representative (Provisional Licence)	1, 6
HUA Weifeng	BTL968	Licensed Representative (Provisional Licence)	4
HUANG Shuai	BTM921	Licensed Representative (Provisional Licence)	1, 6
HUANG Yihan	BTM366	Licensed Representative (Provisional Licence)	1, 4, 9
ITO Keita	BTM200	Licensed Representative (Provisional Licence)	1, 2
JANG Yun Hee	BTM067	Licensed Representative (Provisional Licence)	1
JIANG HANG	BRS626	Licensed Representative (Provisional Licence)	1, 4, 9
JIANG Yue	BTM818	Licensed Representative (Provisional Licence)	1, 4
JING Sheng	BTM457	Licensed Representative (Provisional Licence)	1, 6
KAJI Hirokazu	BAX621	Licensed Representative (Provisional Licence)	1, 4
KANG In Muk	ANG413	Licensed Representative (Provisional Licence)	1
KANG So Yeon	BOR776	Licensed Representative (Provisional Licence)	1
KIM Bansuk	ATT335	Licensed Representative (Provisional Licence)	1
KIM Jin Hyun	ADS090	Licensed Representative (Provisional Licence)	9
KIM Piljong	BTL977	Licensed Representative (Provisional Licence)	1
KIM Sun Do	ANE128	Licensed Representative (Provisional Licence)	1
KONG Tai Wai, Ernest	AWE576	Licensed Representative (Provisional Licence)	1, 2

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2023**

CE Name	CE No.	Licence Type	Types of Regulated Activity
KOO Hung Jun, Lawrence	ADL513	Licensed Representative (Provisional Licence)	4, 9
KOO Yi Lai	BTM837	Licensed Representative (Provisional Licence)	4
KUBOTA Tohki	BTM872	Licensed Representative (Provisional Licence)	9
KWON Heul	BTM016	Licensed Representative (Provisional Licence)	1
LAM Kin Yan Lincoln	BTM047	Licensed Representative (Provisional Licence)	1, 4, 6
LAM Shing	BTK354	Licensed Representative (Provisional Licence)	1
LAU Amanda Wai Sum	BTN011	Licensed Representative (Provisional Licence)	1, 4, 6
LAU KA MAN	BTM494	Licensed Representative (Provisional Licence)	4, 9
LAU Ka Yeung, Kevin	BBA733	Licensed Representative (Provisional Licence)	1, 4
LEE Jaeseo	BTM014	Licensed Representative (Provisional Licence)	4
LEE Ka Wai	BTL327	Licensed Representative (Provisional Licence)	6
LEE Kar Wai	BTL956	Licensed Representative (Provisional Licence)	1, 4
LEE Lok Ki	AQZ591	Licensed Representative (Provisional Licence)	1, 2
LEE Seok Won	BNN710	Licensed Representative (Provisional Licence)	1
LEE Theodore Frederick	AFT555	Licensed Representative (Provisional Licence)	4, 9
LEE Yat	BTM363	Licensed Representative (Provisional Licence)	1, 2
LEI Lei	BTH436	Licensed Representative (Provisional Licence)	1
LEUNG CHUN HIN	BTH564	Licensed Representative (Provisional Licence)	1, 4, 9
LEUNG FUNG PING	BTK708	Licensed Representative (Provisional Licence)	1
LEUNG Kai Ching	AUX081	Licensed Representative (Provisional Licence)	1, 6
LEUNG Kwan Wai	BTL162	Licensed Representative (Provisional Licence)	1, 4
LEUNG Man Yan	BTL312	Licensed Representative (Provisional Licence)	9
LI Bijun	BPQ205	Licensed Representative (Provisional Licence)	1, 6
LI Chenyi	BTM973	Licensed Representative (Provisional Licence)	1, 6
LI CHEUK YIU	BTM729	Licensed Representative (Provisional Licence)	1
LI Ching Him	BTM297	Licensed Representative (Provisional Licence)	4, 9
LI David Sen	AXC378	Licensed Representative (Provisional Licence)	6
LI Jiayuan	BTK490	Licensed Representative (Provisional Licence)	1, 4
LI Jiayue	BTJ961	Licensed Representative (Provisional Licence)	1, 6
LI Ke	BTM325	Licensed Representative (Provisional Licence)	1, 6
LI Menghan	BTM066	Licensed Representative (Provisional Licence)	1, 6
LI Qidi	BPQ107	Licensed Representative (Provisional Licence)	1, 6
LI Xiufeng	BTK910	Licensed Representative (Provisional Licence)	6
LI Zhangyi	BTM271	Licensed Representative (Provisional Licence)	1, 6
LIM Soyeon	BTN005	Licensed Representative (Provisional Licence)	1
LIN Jinlin	BTK937	Licensed Representative (Provisional Licence)	1
LIU Chenchen	BTM050	Licensed Representative (Provisional Licence)	1, 6
LIU Chung Ping	AFU487	Licensed Representative (Provisional Licence)	4, 9
LIU Hsiang-Ching	BTK148	Licensed Representative (Provisional Licence)	1, 4, 9
LIU Jiali	BTE247	Licensed Representative (Provisional Licence)	9
LIU Pui Ling	AYA601	Licensed Representative (Provisional Licence)	1, 4, 6
LIU Siqi	ANP991	Licensed Representative (Provisional Licence)	9
LIU Xiaoyan	BNZ142	Licensed Representative (Provisional Licence)	9
LIU Xiayu	BTK549	Licensed Representative (Provisional Licence)	1
LIU Xinwei	BTM514	Licensed Representative (Provisional Licence)	1, 6
LIU Xuenong	BTG697	Licensed Representative (Provisional Licence)	1, 4
LIU Yan Bing	BTM453	Licensed Representative (Provisional Licence)	1, 2
LIU Yifan	BRJ819	Licensed Representative (Provisional Licence)	4, 9
LIU Ying	AMF443	Licensed Representative (Provisional Licence)	9

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2023**

CE Name	CE No.	Licence Type	Types of Regulated Activity
LIU Zhenghui	BTM886	Licensed Representative (Provisional Licence)	1, 2, 7
LU Manni	BTK957	Licensed Representative (Provisional Licence)	1, 4, 6
LU MENGJIE	BTK480	Licensed Representative (Provisional Licence)	1, 4
LU Yao	BTK187	Licensed Representative (Provisional Licence)	4
LU Yu	BOZ981	Licensed Representative (Provisional Licence)	9
LUANG Sin Kei	BTL976	Licensed Representative (Provisional Licence)	4
LUI Nga Yan	BTM529	Licensed Representative (Provisional Licence)	1, 4
LUK Kai Wing, Brian	BIE313	Licensed Representative (Provisional Licence)	2
LUNG Chun Ngai Darren	BTJ932	Licensed Representative (Provisional Licence)	1, 4, 6
LUO Danlan	BTM852	Licensed Representative (Provisional Licence)	1, 4, 9
LUO Xiangyu	BTK697	Licensed Representative (Provisional Licence)	1, 4, 6
LYU Qiyang	BTM286	Licensed Representative (Provisional Licence)	3
MA Sze Yee Christopher	BTN085	Licensed Representative (Provisional Licence)	1, 2
MA Tao	BTK151	Licensed Representative (Provisional Licence)	1, 2, 4
MAIDI Rizk	BTM904	Licensed Representative (Provisional Licence)	4
MENG Ning	BGE659	Licensed Representative (Provisional Licence)	1, 4, 9
MO Shu Man	BTM082	Licensed Representative (Provisional Licence)	1, 4, 6
MOK Ho Nam	BLK652	Licensed Representative (Provisional Licence)	1, 4, 9
MORAN Seth James Edward	BBP361	Licensed Representative (Provisional Licence)	1
MORITA Kyohei	AML278	Licensed Representative (Provisional Licence)	4
NA Sangho	BTM398	Licensed Representative (Provisional Licence)	1, 2
NELSON Joel James	AQM059	Licensed Representative (Provisional Licence)	1
NG Ka Hing	ACU882	Licensed Representative (Provisional Licence)	1, 2
NG Wing Leung	BTL951	Licensed Representative (Provisional Licence)	1
NG Yu Naat	BTL944	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 9
NGAN Siu Hang	BQV992	Licensed Representative (Provisional Licence)	1, 2
NI Zhengyang	BTM566	Licensed Representative (Provisional Licence)	4
NIO Yu Syuan	BTK644	Licensed Representative (Provisional Licence)	6
OOI Kok Leong	BTM571	Licensed Representative (Provisional Licence)	1, 4, 9
PAN Qiong	BTM289	Licensed Representative (Provisional Licence)	1, 6
PAN Yingda	BTK188	Licensed Representative (Provisional Licence)	1, 6
PARK Seong Hwan	BTK689	Licensed Representative (Provisional Licence)	1, 4, 6
PENG Xiaoxiang	BTL440	Licensed Representative (Provisional Licence)	1, 6
PETRIE Thomas	BFY856	Licensed Representative (Provisional Licence)	1, 4
PHINNEY Harry Albertson	BTK621	Licensed Representative (Provisional Licence)	1
QIAN Haochun	BTL530	Licensed Representative (Provisional Licence)	4
QIU Nengyan	BTK337	Licensed Representative (Provisional Licence)	1, 6
QU Yuqing	BTM455	Licensed Representative (Provisional Licence)	1, 6
REN Shiqi	BTM281	Licensed Representative (Provisional Licence)	1, 6
REXWORTHY Christopher Alexander	BTK553	Licensed Representative (Provisional Licence)	1, 2
ROBERTS Alistair Charles Alton	AVU825	Licensed Representative (Provisional Licence)	9
SAWHNEY Angad Prem	BTJ035	Licensed Representative (Provisional Licence)	9
SCHENK Samuel Dylan	BTJ427	Licensed Representative (Provisional Licence)	1, 4, 9
SERIZAWA Takeo	BBH663	Licensed Representative (Provisional Licence)	9
SHAN Fei	BTM332	Licensed Representative (Provisional Licence)	4
SHEK Ming Wai	AHR080	Licensed Representative (Provisional Licence)	1, 4, 9
SHEK Wai Keung	BTM484	Licensed Representative (Provisional Licence)	9
SHEN Linrui	BTK842	Licensed Representative (Provisional Licence)	1, 2
SHEN Ruhui	BTL428	Licensed Representative (Provisional Licence)	1, 4, 6

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2023**

CE Name	CE No.	Licence Type	Types of Regulated Activity
SHI Qianhong	BTM207	Licensed Representative (Provisional Licence)	1, 2, 9
SINGHAL Aman	BTL130	Licensed Representative (Provisional Licence)	1
SONG Tingting	BTK945	Licensed Representative (Provisional Licence)	4
SPOTTISWOOD Daniel Jay	BTM360	Licensed Representative (Provisional Licence)	1, 2
STEEDMAN Neil Steven	BTK891	Licensed Representative (Provisional Licence)	1
SU Ying	BTM013	Licensed Representative (Provisional Licence)	1, 6
SU Zhouwei	BTM579	Licensed Representative (Provisional Licence)	1, 6
SU Ziyao	BTK670	Licensed Representative (Provisional Licence)	1
SUN Guodong	BTL964	Licensed Representative (Provisional Licence)	1, 6
SUN Shuoyang	BTK558	Licensed Representative (Provisional Licence)	1
SUN Steven Chen	AOT702	Licensed Representative (Provisional Licence)	9
SUN Xiaofeng	ASO689	Licensed Representative (Provisional Licence)	1, 4, 6
SUN Xudong	BTL136	Licensed Representative (Provisional Licence)	4
SUN Yuwei	BTL321	Licensed Representative (Provisional Licence)	6
TAMASE Patricia Isabel Salas	BTM255	Licensed Representative (Provisional Licence)	1
TAN Swee Ling, Jezreel	BTM318	Licensed Representative (Provisional Licence)	9
TAN Tian	BNJ761	Licensed Representative (Provisional Licence)	4
TANG Haibo	ALQ981	Licensed Representative (Provisional Licence)	4, 9
TANG Nga Kiu	BTM190	Licensed Representative (Provisional Licence)	1
TANG Yuchen	BTL012	Licensed Representative (Provisional Licence)	4
TANG Ziyun	BTL026	Licensed Representative (Provisional Licence)	1, 4
THAKUR Satyam	BNG483	Licensed Representative (Provisional Licence)	9
TIAN Lingjuan	BSJ550	Licensed Representative (Provisional Licence)	1, 4
TIAN Yingxue	BTK167	Licensed Representative (Provisional Licence)	1, 6
TOENNESSEN SIMON PATRICK	BTM771	Licensed Representative (Provisional Licence)	4
TONG Xuying	BTL450	Licensed Representative (Provisional Licence)	1, 9
TSANG Hing Sum	AYF360	Licensed Representative (Provisional Licence)	1, 2, 3
TSANG Kai Chi Roger	BTM001	Licensed Representative (Provisional Licence)	9
TSANG Wai Joe	BTL383	Licensed Representative (Provisional Licence)	1, 4
TSUI Chi Yung	BTM843	Licensed Representative (Provisional Licence)	1, 2
TU Chang-Chieh	BTM510	Licensed Representative (Provisional Licence)	1, 4, 6
UBILLUS Andrea Cecilia	BTK510	Licensed Representative (Provisional Licence)	1
VASWANI Arun Purushotam	AMK294	Licensed Representative (Provisional Licence)	4, 9
WAN Tsz Fung	ARN434	Licensed Representative (Provisional Licence)	1, 4, 9
WAN Zishu	BTL500	Licensed Representative (Provisional Licence)	1
WANG Chenyu	BTM049	Licensed Representative (Provisional Licence)	4
WANG Jianyu	BOP243	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Jing	BTL366	Licensed Representative (Provisional Licence)	1, 6
WANG Rui	BTM425	Licensed Representative (Provisional Licence)	4, 9
WANG Runze	BTK168	Licensed Representative (Provisional Licence)	1, 2, 4
WANG Shengyu	BDV081	Licensed Representative (Provisional Licence)	9
WANG Xinsheng	BTM021	Licensed Representative (Provisional Licence)	1, 6
WANG Yimiao	BTN072	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Yimin	BTK856	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Yixuan	BTL027	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Yucong	BTM547	Licensed Representative (Provisional Licence)	1
WANG Yuheng	BTG618	Licensed Representative (Provisional Licence)	4, 9
WEI Lingbei	BTK690	Licensed Representative (Provisional Licence)	1, 2, 9
WEI Ziyi	BTL946	Licensed Representative (Provisional Licence)	1, 6

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2023**

CE Name	CE No.	Licence Type	Types of Regulated Activity
WONG Ernest	BTL524	Licensed Representative (Provisional Licence)	1, 2
WONG Hon Yan	BTL475	Licensed Representative (Provisional Licence)	1, 4, 9
WONG Kin Tao Jonathan	BNR392	Licensed Representative (Provisional Licence)	1, 2
WONG Sin Yan Ishtar	BJN165	Licensed Representative (Provisional Licence)	1, 4
WU Dongwei	BTL947	Licensed Representative (Provisional Licence)	1, 6
WU Qi	BTJ493	Licensed Representative (Provisional Licence)	9
WU YI HSUAN	BTL457	Licensed Representative (Provisional Licence)	1, 4
XIA Wenjun	BTM028	Licensed Representative (Provisional Licence)	9
XIA Yixin	BTL514	Licensed Representative (Provisional Licence)	1, 6
XIA Yuying	BTM780	Licensed Representative (Provisional Licence)	1, 2, 7
XIAO Yini	BQA763	Licensed Representative (Provisional Licence)	4, 9
XIE Xintang	BTM776	Licensed Representative (Provisional Licence)	1, 6
XIE Yafei	BTM841	Licensed Representative (Provisional Licence)	1, 4, 9
XIONG Chenghui	BPK020	Licensed Representative (Provisional Licence)	4
XIONG Chuqi	BTK615	Licensed Representative (Provisional Licence)	1, 6
XU Jialin	BTL986	Licensed Representative (Provisional Licence)	4, 9
XU Siyuan	BTB335	Licensed Representative (Provisional Licence)	1
XU Zihan	BTM523	Licensed Representative (Provisional Licence)	1, 6
XUAN Zhicong	BTL060	Licensed Representative (Provisional Licence)	1
XUE Renqiang	BTK883	Licensed Representative (Provisional Licence)	4, 9
YAMAMOTO Haruka	AZS125	Licensed Representative (Provisional Licence)	1
YAMAMOTO Koji	BOD516	Licensed Representative (Provisional Licence)	1, 4
YAMASHINA Hiroshi	AYE268	Licensed Representative (Provisional Licence)	4
YANAMANDRA Srinivasulu	BTK149	Licensed Representative (Provisional Licence)	1, 6
YANG Dong	BTM270	Licensed Representative (Provisional Licence)	1, 4, 9
YANG Lili	BGQ860	Licensed Representative (Provisional Licence)	9
YANG Ziting	BTK556	Licensed Representative (Provisional Licence)	9
YASUDA Yosuke	BTM548	Licensed Representative (Provisional Licence)	1, 2
YAU Kwun Fung	BTL303	Licensed Representative (Provisional Licence)	1, 2
YAU Man Wing	ATY275	Licensed Representative (Provisional Licence)	1, 2, 4
YEOW Zhenye	BTN034	Licensed Representative (Provisional Licence)	1, 2, 3
YEUNG Ching Lai, Anthony Thomas	AGO955	Licensed Representative (Provisional Licence)	1
YEUNG Yi Chun Wilfred	BTL113	Licensed Representative (Provisional Licence)	1
YORK Jason Charles	AMK114	Licensed Representative (Provisional Licence)	1
YU Haoyang	BTM706	Licensed Representative (Provisional Licence)	4
YU Ka Lun	BTL105	Licensed Representative (Provisional Licence)	1, 4
YU Panhua	BTK500	Licensed Representative (Provisional Licence)	1, 6
YUEN Chi Tsun, Ronnie	BEA610	Licensed Representative (Provisional Licence)	6
YUNG Pui Yi	BTL528	Licensed Representative (Provisional Licence)	4, 9
ZENG Jun	BTM417	Licensed Representative (Provisional Licence)	4
ZENG Yifan	BTM426	Licensed Representative (Provisional Licence)	1, 6
ZHAN Xiaohan	BTK037	Licensed Representative (Provisional Licence)	1, 6
ZHANG Chenyue	BTK452	Licensed Representative (Provisional Licence)	1, 6
ZHANG Han	BIE642	Licensed Representative (Provisional Licence)	1, 4, 9
ZHANG Qin	BTM499	Licensed Representative (Provisional Licence)	1, 6
ZHANG Xinyu	BTL139	Licensed Representative (Provisional Licence)	9
ZHANG Xiyu	BTL553	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG XUANQING	BTM552	Licensed Representative (Provisional Licence)	1
ZHANG Xuehui	BTM509	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 9

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2023**

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHANG Yaqiao	BTM311	Licensed Representative (Provisional Licence)	1, 6
ZHANG Yifan	BMP514	Licensed Representative (Provisional Licence)	4
ZHANG Yilu	BSA427	Licensed Representative (Provisional Licence)	1, 6
ZHANG Yuan	BTG698	Licensed Representative (Provisional Licence)	1, 4
ZHANG Yudan	BPB714	Licensed Representative (Provisional Licence)	1, 4
ZHANG Yudi	BTK861	Licensed Representative (Provisional Licence)	1, 6
ZHANG Yuxin	BTM699	Licensed Representative (Provisional Licence)	1, 2
ZHAO Jingyu	BTK959	Licensed Representative (Provisional Licence)	9
ZHENG Michelle	BTL307	Licensed Representative (Provisional Licence)	1
ZHENG Xiaoyuan	BTM410	Licensed Representative (Provisional Licence)	1, 4, 9
ZHENG Zeyu	BTN018	Licensed Representative (Provisional Licence)	1, 4
ZHOU Haoyu	BTN054	Licensed Representative (Provisional Licence)	1, 6
ZHOU Lin	BTJ166	Licensed Representative (Provisional Licence)	1, 4
ZHOU Zehui	BTL075	Licensed Representative (Provisional Licence)	1, 2
ZHOU Zhizhe	BTM396	Licensed Representative (Provisional Licence)	1, 6
ZHU Baoxian	BTM563	Licensed Representative (Provisional Licence)	1, 6
ZHU Yukun	BTM792	Licensed Representative (Provisional Licence)	4
ZUO Zijian	BTL980	Licensed Representative (Provisional Licence)	9
AGARWAL Indrajit	BTN093	Licensed Representative (Temporary Licence)	4
AGARWAL Rupal	BOJ101	Licensed Representative (Temporary Licence)	4
AHMAD Mohammad	BJO390	Licensed Representative (Temporary Licence)	1, 4
AHN Doyoung	BTM348	Licensed Representative (Temporary Licence)	4
ALAOUI Othman	BTL369	Licensed Representative (Temporary Licence)	1
ALLEN Thomas James	BTL131	Licensed Representative (Temporary Licence)	4
AMARI Madoka	BTL091	Licensed Representative (Temporary Licence)	1, 2
ANG Sok Han	BLF878	Licensed Representative (Temporary Licence)	1
ARORA Rohit	ART681	Licensed Representative (Temporary Licence)	4
BARATON Xavier Francois	AVC110	Licensed Representative (Temporary Licence)	1, 4
BARUS Felicia Asrinanda	AUT955	Licensed Representative (Temporary Licence)	4
BELL Craig William	BTL310	Licensed Representative (Temporary Licence)	4
BISHARA Sam	BTM793	Licensed Representative (Temporary Licence)	1, 2
BODE Pascal Nicolai	BTL371	Licensed Representative (Temporary Licence)	1, 4
BREZINSKI Brad Thomas	BMT657	Licensed Representative (Temporary Licence)	1
BUCHANAN Thomas Matthew	ASJ685	Licensed Representative (Temporary Licence)	1
BUFF Hermann Philipp	BTE353	Licensed Representative (Temporary Licence)	1
BURBANO Esteban	BLD950	Licensed Representative (Temporary Licence)	1, 4
CADERAS Daniel	BGB571	Licensed Representative (Temporary Licence)	1, 4
CAO Lei	BHX612	Licensed Representative (Temporary Licence)	1, 2
CARPENTER Seth Bernard	BLO850	Licensed Representative (Temporary Licence)	1, 4
CARTWRIGHT Edward Ian	BDC766	Licensed Representative (Temporary Licence)	1
CHEN Ting-Hao	BTM282	Licensed Representative (Temporary Licence)	4
CHEN Wei	BOL386	Licensed Representative (Temporary Licence)	1
CHO Chulhee	BEX932	Licensed Representative (Temporary Licence)	4
CHOLLET Xavier	BTL955	Licensed Representative (Temporary Licence)	1
CHOW Thu Ha	BTM511	Licensed Representative (Temporary Licence)	1
CLAVEL Mathieu Pierre Luc	BGG689	Licensed Representative (Temporary Licence)	1, 4
COOMBER Harry Thomas	BTK551	Licensed Representative (Temporary Licence)	1, 2
COPLEY Luke	BTK315	Licensed Representative (Temporary Licence)	1
CORDEN-LLOYD Alistair John	BTE478	Licensed Representative (Temporary Licence)	1



**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2023**

CE Name	CE No.	Licence Type	Types of Regulated Activity
CURLEY Marcus Christopher	BFR251	Licensed Representative (Temporary Licence)	4
DAI Wei	BOL570	Licensed Representative (Temporary Licence)	1
DEBOOS William Spencer	BTK453	Licensed Representative (Temporary Licence)	1
DI MAGGIO Scott A	BIV434	Licensed Representative (Temporary Licence)	4
DORSTEN Matthew Paul	BTL940	Licensed Representative (Temporary Licence)	1, 4
DUTTA Sabyasachi	BTM283	Licensed Representative (Temporary Licence)	1, 4
EOM Su Bin	BTM415	Licensed Representative (Temporary Licence)	1
EZAWA Kota	BDY402	Licensed Representative (Temporary Licence)	4
FANG Chenjun	BJQ180	Licensed Representative (Temporary Licence)	4
FIORITO Tyler James	BOR754	Licensed Representative (Temporary Licence)	1
FLETCHER David Alan Fraser	BOI772	Licensed Representative (Temporary Licence)	1, 4
FRIESTAD Sukrit	BTL133	Licensed Representative (Temporary Licence)	4
GARRE Venugopal	AML716	Licensed Representative (Temporary Licence)	4
GASQUERES Eric Yuya Francis	ATI745	Licensed Representative (Temporary Licence)	1, 2
GIAN Jia Ying	ASS704	Licensed Representative (Temporary Licence)	1, 2
GO Robrina	ASZ683	Licensed Representative (Temporary Licence)	1
GORO Harunobu	ALV033	Licensed Representative (Temporary Licence)	4
GRAHAM Stuart Oliver	BDP994	Licensed Representative (Temporary Licence)	4
GRAINGER Paul	BTK806	Licensed Representative (Temporary Licence)	1
GRANT Jack Reinaldo	BTM500	Licensed Representative (Temporary Licence)	1, 2
GUBNER Adam Lance	BOZ764	Licensed Representative (Temporary Licence)	1, 4
GUPTA Sachin	BDD526	Licensed Representative (Temporary Licence)	1
GUYNN Edward Bradley	BTL974	Licensed Representative (Temporary Licence)	1, 4
HAN Xuyi	BNT117	Licensed Representative (Temporary Licence)	2, 5
HEDBERG Jason Joseph	BMT577	Licensed Representative (Temporary Licence)	1
HINO Keisuke	BTL088	Licensed Representative (Temporary Licence)	1, 2
HINO Yusuke	BTM978	Licensed Representative (Temporary Licence)	1, 4
HIRAKAWA Mikio	BBT553	Licensed Representative (Temporary Licence)	4
HOGBIN Christopher Edward George	AWC337	Licensed Representative (Temporary Licence)	4
HUGHES Michael Warren	AQV262	Licensed Representative (Temporary Licence)	1
HUITRON Jorge Emilio	BTM263	Licensed Representative (Temporary Licence)	1, 4
HUNT David Anthony John	BNH782	Licensed Representative (Temporary Licence)	1
HWANG Kyung Jae	BAJ130	Licensed Representative (Temporary Licence)	4
IKEDA Yusuke	BHB831	Licensed Representative (Temporary Licence)	4
JACKSON Andrew John	AYN419	Licensed Representative (Temporary Licence)	1
JANSEN Michael	BEI461	Licensed Representative (Temporary Licence)	1, 2
JIANG Na	BTM063	Licensed Representative (Temporary Licence)	4
JUNG Ho Yoon	BOH718	Licensed Representative (Temporary Licence)	4
KADAM Raju Anil	AXM874	Licensed Representative (Temporary Licence)	1
KARAMI MOGHADDAM Yasaman	BTC587	Licensed Representative (Temporary Licence)	1, 2
KIESEL Mark Richard	BFN437	Licensed Representative (Temporary Licence)	1, 4
KIM Dong Hyun	AMY253	Licensed Representative (Temporary Licence)	1
KIM Gil Hyung	AUQ480	Licensed Representative (Temporary Licence)	4
KIM Jinwoo	BGY980	Licensed Representative (Temporary Licence)	4
KIM Junghwan	BOG943	Licensed Representative (Temporary Licence)	4
KIT Wei Zheng	BEB420	Licensed Representative (Temporary Licence)	4
KOBAYASHI Hironao	BTK506	Licensed Representative (Temporary Licence)	1
KUBOTA Masashi	BBA891	Licensed Representative (Temporary Licence)	4
KWEK Kevin Siew Beng	AZN097	Licensed Representative (Temporary Licence)	4

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2023**

CE Name	CE No.	Licence Type	Types of Regulated Acitivity
LAUGHLIN Justin John	AWV278	Licensed Representative (Temporary Licence)	2, 5
LEAHY Timothy James	AWB788	Licensed Representative (Temporary Licence)	1
LEE Hoyeun	BTM456	Licensed Representative (Temporary Licence)	1
LEE Kai An, Brandon (Li Kaian)	AXN040	Licensed Representative (Temporary Licence)	4
LEE Kyu Lim	BTM945	Licensed Representative (Temporary Licence)	1
LEE Oliver David Graham	BCW949	Licensed Representative (Temporary Licence)	4
LEE Yu-Feng	BOQ102	Licensed Representative (Temporary Licence)	1, 2
LEVY Rebecca Marie	BTK591	Licensed Representative (Temporary Licence)	1, 4
LI Yuanyuan	BTM540	Licensed Representative (Temporary Licence)	1, 4
LIN Li-Chun	BIG303	Licensed Representative (Temporary Licence)	4
LU Bin	BTM516	Licensed Representative (Temporary Licence)	1, 4
MAGHENZANI Nicholas Joseph	BTL132	Licensed Representative (Temporary Licence)	1
MANOONPOL Alex	BOS797	Licensed Representative (Temporary Licence)	4
MCINTOSH James David	BOF474	Licensed Representative (Temporary Licence)	1
MCLEAN Thomas David	BTM536	Licensed Representative (Temporary Licence)	1
MIAO Junwei	BJD793	Licensed Representative (Temporary Licence)	1
MIKI Masashi	BOI949	Licensed Representative (Temporary Licence)	4
MITCHELL Paul Stuart	BTK787	Licensed Representative (Temporary Licence)	1
NEWSOME Allan Per	BKB399	Licensed Representative (Temporary Licence)	1
NICHOLS Scott Andrew	APP926	Licensed Representative (Temporary Licence)	1
NISHIMURA Seiji	BLJ206	Licensed Representative (Temporary Licence)	1
OJIMA Junki	BTL521	Licensed Representative (Temporary Licence)	4
OMURA Shunta	BBH669	Licensed Representative (Temporary Licence)	4
PARK Jinok	BTM214	Licensed Representative (Temporary Licence)	1
PARK Seonghong	BTM346	Licensed Representative (Temporary Licence)	4
PATEL Rikil Yogesh	AVH271	Licensed Representative (Temporary Licence)	1
PAUL Gordon Bruce	BHD845	Licensed Representative (Temporary Licence)	1
PING Fan	BOR749	Licensed Representative (Temporary Licence)	4
POH Celine Hui Min	BTK908	Licensed Representative (Temporary Licence)	1
PRAKASH Pramod Kumar	BTL135	Licensed Representative (Temporary Licence)	4
RASTOMJEE Cyrus Russi	ATS154	Licensed Representative (Temporary Licence)	1
REINER Neal J	BTL973	Licensed Representative (Temporary Licence)	1, 4
SAKAGAMI Ryota	AWO794	Licensed Representative (Temporary Licence)	4
SALGAONKAR Sachin	ANF161	Licensed Representative (Temporary Licence)	4
SAMARAWEERA Manusha Randika	BTL975	Licensed Representative (Temporary Licence)	1, 4
SANKARAN Srikanth	AWZ753	Licensed Representative (Temporary Licence)	1, 4
SARMIENTO Jerry Jeremias	BPH533	Licensed Representative (Temporary Licence)	1
SARRA Amy Marie	BTL555	Licensed Representative (Temporary Licence)	1
SATO Masashi	BTM885	Licensed Representative (Temporary Licence)	1, 2
SHELLBACH Richard Hurley	BTL125	Licensed Representative (Temporary Licence)	4
SHAM Wing Yi	BAT873	Licensed Representative (Temporary Licence)	1
SHERIDAN Tiana Maria	BNA730	Licensed Representative (Temporary Licence)	1, 4
SHIBANO Masahiro	BFN431	Licensed Representative (Temporary Licence)	4
SHIH Pei-Fan	BTK949	Licensed Representative (Temporary Licence)	1, 4
SINGLA Anuj	BLW779	Licensed Representative (Temporary Licence)	4
SIRISANSANEEYAWONG Sureeporn	BTK666	Licensed Representative (Temporary Licence)	4
STEIN Robert Andrew	BTL473	Licensed Representative (Temporary Licence)	4
STRACKE Thibault Christian	BGT906	Licensed Representative (Temporary Licence)	1, 4
SYMES Michael Anthony	BDO449	Licensed Representative (Temporary Licence)	1



**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2023**

<b>CE Name</b>	<b>CE No.</b>	<b>License Type</b>	<b>Types of Regulated Acitivity</b>
TAI Yu	BTM522	Licensed Representative (Temporary Licence)	1
TAN Jia	BBZ469	Licensed Representative (Temporary Licence)	1, 4
TAN Ren Feng Lorraine	BTK927	Licensed Representative (Temporary Licence)	2, 5
TEASDEL Joel Hamish Gregory John	BOL757	Licensed Representative (Temporary Licence)	1
TEO Swee Yong	ASW357	Licensed Representative (Temporary Licence)	1
TIPPINS Nicola Jean	BTM874	Licensed Representative (Temporary Licence)	1
TOH Shikai	BFI045	Licensed Representative (Temporary Licence)	1, 2
TSANG Siu Hin, Gary	BJG568	Licensed Representative (Temporary Licence)	4
VIRGO Alexander Stewart	BLI749	Licensed Representative (Temporary Licence)	4
WAEVER Simon Jos	BTM564	Licensed Representative (Temporary Licence)	1, 4
WANG Dawei	BPN456	Licensed Representative (Temporary Licence)	4
WITHAAR Jon Justin	BTL489	Licensed Representative (Temporary Licence)	1
WONG Kin Man	BPY102	Licensed Representative (Temporary Licence)	1
WOOD Robert Philip	BNR607	Licensed Representative (Temporary Licence)	1, 4
WU Kuang-Ming	BSZ207	Licensed Representative (Temporary Licence)	4
XIONG Wei	BJC489	Licensed Representative (Temporary Licence)	4
XU Ben	BBH786	Licensed Representative (Temporary Licence)	1, 4
YIN Jun	BFN301	Licensed Representative (Temporary Licence)	1
YUNG-HOK Peter Stephen	BDL617	Licensed Representative (Temporary Licence)	2, 5