

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2025

CE Name	CE No.	Licence Type	Types of Regulated Activity
Advent International Hong Kong Limited	BWK731	Licensed Corporation	1, 4
Anchorage Capital (Hong Kong) Limited	BWD123	Licensed Corporation	6
ATM Asset Management Limited	BUY347	Licensed Corporation	9
Azure Tide Capital Limited	BVZ283	Licensed Corporation	1, 4, 9
Blue and White Capital Management Limited	BWD392	Licensed Corporation	9
BT Valley Capital Management Limited	BWF271	Licensed Corporation	4, 9
Cedarmont Partners Limited	BWE795	Licensed Corporation	1, 4, 9
CNCBI Trustee Limited	BUK702	Licensed Corporation	13
Dunamis Asset Management HK Limited	BWB032	Licensed Corporation	9
Hazel Securities Limited	BWK564	Licensed Corporation	1, 4
Hong Kong Intelligent Strategy Investment Management Company Limited	BWD032	Licensed Corporation	4, 9
JINGXIN INTERNATIONAL FINANCIAL GROUP CO., LIMITED	BVW952	Licensed Corporation	1, 4, 9
Kainos Investment Limited	BWH383	Licensed Corporation	4, 9
LeFevre Park Capital Limited	BWB113	Licensed Corporation	9
LocomotivAlpha Limited	BWF276	Licensed Corporation	9
Mufort Global Investments Limited	BWK403	Licensed Corporation	4, 9
Nucopia Capital Limited	BWC220	Licensed Corporation	4, 9
Ox Capital Management HK Pty. Limited	BWF920	Licensed Corporation	9
Preeminent Asset Management Limited	BWD045	Licensed Corporation	4, 9
Rosun Capital Limited	BVW737	Licensed Corporation	1, 4
Suncrest Capital Limited	BWH886	Licensed Corporation	4, 9
AGARWAL Krishank	BWY538	Licensed Representative	1, 4
AGEE V William Frank	BXA027	Licensed Representative	1, 4, 9
ANANTHARAM Vishweshwar	ATM017	Licensed Representative	9
AU Hoy Kum	BXB042	Licensed Representative	1
AU Ka Man	BXE750	Licensed Representative	1, 4
AU Man Yi Sigmund	BWZ236	Licensed Representative	1, 4, 9
BAINBRIDGE James William	BAV890	Licensed Representative	1, 4
BAO Han	BWS039	Licensed Representative	6
BAO Shuai tian	BWZ606	Licensed Representative	1, 4, 9
BHATTACHARYA Kaunteya	BJZ042	Licensed Representative	9
BI Cheng	BWU907	Licensed Representative	1, 6
BISS Jack Douglas	BXA587	Licensed Representative	3
BOLON Jonathan Quinn	ARH276	Licensed Representative	9
BORAD Milan Bhavan	BXF260	Licensed Representative	1
BRINK Annabel Cecile	BWW902	Licensed Representative	1
BYUN Gyuri	BWY540	Licensed Representative	1, 4
CAI Huiyi	BWZ917	Licensed Representative	1
CAI JUN	BXA775	Licensed Representative	1, 4
CAI Yuanmou	BXC520	Licensed Representative	1, 4, 7
CAO Qianwen	BWW545	Licensed Representative	4
CAO Yue	BPF876	Licensed Representative	1, 6
CHAK Ho Fai	BWZ111	Licensed Representative	1, 6
CHAN Ching Kiu	BXA573	Licensed Representative	1, 2
CHAN Ching Kwan	BXB085	Licensed Representative	1, 4
CHAN Choi Wah, Kanise	AHF667	Licensed Representative	4, 9
CHAN Ho Ching	BXA056	Licensed Representative	1, 4, 9
CHAN Hung Ngam, Younker	AOV343	Licensed Representative	1, 2
CHAN Ka Wing	BSI485	Licensed Representative	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2025

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHAN Lai Ying	BWY523	Licensed Representative	1, 4
CHAN Man Sin	ART579	Licensed Representative	1
CHAN Shu Hei	BXE476	Licensed Representative	1, 4, 9
CHAN Shun Ming	BWY892	Licensed Representative	1, 4
CHAN Tsz Yan	BXA277	Licensed Representative	1, 4
CHAN Tsz Yin	BEI887	Licensed Representative	1, 4
CHAN Wai Nun	AHN460	Licensed Representative	4, 9
CHAN Yu Fung Christopher	BQL861	Licensed Representative	9
CHAU Chau Han	BWX362	Licensed Representative	1, 2
CHAU Chun	ACS103	Licensed Representative	1, 4
CHAU Jennifer Wai Yan	BXC707	Licensed Representative	1, 4
CHAU TAK FAI LAMPSON	BMA756	Licensed Representative	4, 9
CHEN Aiwei	BXB955	Licensed Representative	1, 4
CHEN Augustine	ALF255	Licensed Representative	4
CHEN Chaoran	BWT554	Licensed Representative	1, 6
CHEN Dongyi	BWS058	Licensed Representative	1
CHEN Feitong	BWZ819	Licensed Representative	4
CHEN Huihuang	BWY551	Licensed Representative	1, 6
CHEN Jiabei	BWW147	Licensed Representative	1, 4
CHEN Jianxun	BWV770	Licensed Representative	1, 4, 6
CHEN Kaiyue	BWV100	Licensed Representative	1, 2, 4
CHEN Kuo-Chung	BWO931	Licensed Representative	1, 4, 9
CHEN Pei-Cheng	BSX041	Licensed Representative	1, 6
CHEN Shuyu	BWT053	Licensed Representative	6
CHEN Shuyuan	BWY973	Licensed Representative	4
CHEN Tao	BWW057	Licensed Representative	6
CHEN Tzu-ming, Daphne	BIR629	Licensed Representative	1, 4
CHEN Wade Wei Ta	BWT863	Licensed Representative	1, 4
CHEN Xiaozhou	BWV022	Licensed Representative	9
CHEN Xin	BWV823	Licensed Representative	4
CHEN Yajiao	BWX165	Licensed Representative	1, 6
CHEN Yajing	BQQ386	Licensed Representative	1, 6
CHEN Yaxuan	BXA979	Licensed Representative	6
CHEN Yifei	BXC772	Licensed Representative	1, 4
CHEN Yuhao	BWX282	Licensed Representative	1, 4
CHENG Ho Ming	BCQ328	Licensed Representative	9
CHENG King Hang	AYD551	Licensed Representative	1, 6
CHENG Yuting	BWV412	Licensed Representative	4, 9
CHEONG Ryan Vee Sien	BWY812	Licensed Representative	1
CHEUNG Chun Hung	BXC076	Licensed Representative	4, 6
CHEUNG Ho Kwok	BXE601	Licensed Representative	1, 9
CHEUNG Ka Ho	BJQ006	Licensed Representative	1, 4, 9
CHEUNG Ka Pang	BXA182	Licensed Representative	13
CHEUNG Ka Yu	BWX366	Licensed Representative	9
CHEUNG Priscilla Po Kwan	BXA955	Licensed Representative	1, 2, 7
CHEUNG SHU NIN	BWZ773	Licensed Representative	1, 4
CHEUNG Wai Man	ARJ162	Licensed Representative	1, 4
CHEUNG Yiu Fai, Anthony	BES721	Licensed Representative	1
CHIANG PEIYU	BWZ558	Licensed Representative	1, 6

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CE Name	CE No.	Licence Type	Types of Regulated Activity
CHIN Tsz Wai	BMS679	Licensed Representative	9
CHNG Jia Zhi	BXC705	Licensed Representative	9
CHOI HyunJoon	BWY370	Licensed Representative	1, 4, 6
CHOI Pak Ho, Paco	BUA889	Licensed Representative	4
CHOI Ting Fung	BXE437	Licensed Representative	6
CHOW KAI MING GARY	BWW653	Licensed Representative	1, 4, 6
CHOW Kin Hang	BRJ369	Licensed Representative	1, 4
CHOY Shuen Yan, Andy	ABF434	Licensed Representative	1
CHU Hiu Wai	BXE689	Licensed Representative	6
CHU Sung Hei	AAV119	Licensed Representative	1
CHU Ting Cheung	BXA077	Licensed Representative	4, 9
CHUE Naomi Lois	BWY954	Licensed Representative	1, 4
CHUNG Kwan Ho	BWV707	Licensed Representative	4, 9
CHUNG Wan Tong	BWZ477	Licensed Representative	1, 4, 6
COHEN-ADDAD Nicolas Paul	AHR321	Licensed Representative	1, 4, 9
CONNOLLY Thomas	BWY996	Licensed Representative	1, 2
COOK Scott Geoffrey	BXB632	Licensed Representative	1, 4
COX Mark Cameron	BFT324	Licensed Representative	9
CUI Linlin	BVS548	Licensed Representative	1, 4, 9
CUI Qijia	BXE082	Licensed Representative	1
DALMEIDA Samson Ayite	BXA422	Licensed Representative	1, 2
DAN Chao	BWZ310	Licensed Representative	6
DANG Jianyu	BXD860	Licensed Representative	4
DAVIES Maxwell Charles	BWN342	Licensed Representative	1, 4
DING SHAONAN	BXE637	Licensed Representative	1, 6
DONG Chenchen	BXA265	Licensed Representative	1, 6
DONG Jin	BXA170	Licensed Representative	6
DONG Qing	BWZ109	Licensed Representative	1
DONG Yuhua	BWZ731	Licensed Representative	1, 6
DU Dengrui	BWY359	Licensed Representative	1, 6
DU Jiayi	BVO332	Licensed Representative	4
DU Xu	BWY943	Licensed Representative	1, 6
DU Zhuoxuan	BWY420	Licensed Representative	1, 2
EARLY Paul David	AYW039	Licensed Representative	1, 4
FAN Jijia	BWW551	Licensed Representative	1, 6
FAN Meng-Han	BXE305	Licensed Representative	1
FAN Zhe	BXA169	Licensed Representative	1, 6
FANG Dongke	BWU948	Licensed Representative	1, 2
FANG Jing	BXA651	Licensed Representative	1, 6
FANG Lingzhi	BOL305	Licensed Representative	1, 4, 9
FEI Yuanshi	BXD986	Licensed Representative	6
FENG Ronald Sheng-kai	BWX095	Licensed Representative	1
FENG Tingting	BXB048	Licensed Representative	1, 2
FENG Zibing	BXA531	Licensed Representative	1, 2
FENG Zishan	BWV687	Licensed Representative	1, 7
FONG Ernest Chun Ming	BWZ308	Licensed Representative	1
FONG Tsun Kuen, Jimmy	AAB565	Licensed Representative	1
FOO Nicholas Xiang Jie	BTJ941	Licensed Representative	1, 4
FU Wan Hin	ASG129	Licensed Representative	1, 4, 9

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CE Name	CE No.	Licence Type	Types of Regulated Activity
FU Yixin	BXA421	Licensed Representative	1, 2
FUJISAWA Kohei	BXA231	Licensed Representative	1, 4, 6
FUKUSHIMA Kodai	BXF070	Licensed Representative	1, 4
FUNG Bianca Hai Ying	BXA263	Licensed Representative	1
FUNG Chun Fung	BPG255	Licensed Representative	1
FUNG Po Ying	BLO106	Licensed Representative	1, 4
FUNG Wan Yin	AND435	Licensed Representative	1, 4, 9
FUNG Yau Hong, Dominic	BXC521	Licensed Representative	1, 4, 6
GAILLARD Clement Christian Guy	BWW254	Licensed Representative	9
GAN Lin	BGH325	Licensed Representative	1, 4, 9
GAO Hongyu	BWR325	Licensed Representative	6
GAO Jingjin	BHF782	Licensed Representative	1, 6
GAO Mingze	BWW076	Licensed Representative	1, 4, 6
GAO Peng	BRW834	Licensed Representative	1, 4
GAO Shufa	BVT663	Licensed Representative	1, 6
GAO Xiang-Le	BVF858	Licensed Representative	1
GAO Zijing	BWY496	Licensed Representative	1, 2, 4
GE Qi	BWV098	Licensed Representative	6
GE Yuhao	BWW058	Licensed Representative	6
GENG Zhuolin	BXB355	Licensed Representative	1, 4, 6
GONG Dachen	BWY381	Licensed Representative	1, 2
GONG Haowen	BWV021	Licensed Representative	1, 4, 6
GONG Sicheng	BWY837	Licensed Representative	1, 4
GONG Weiran	BXA465	Licensed Representative	1, 4
GONG Yanchun	BXE030	Licensed Representative	1, 4
GONG Ziyu	BXC050	Licensed Representative	10
GOU JIE	BXA098	Licensed Representative	1
GU Shuhan	BWX368	Licensed Representative	1, 4, 6
GU Yining	BWZ253	Licensed Representative	1, 6
GUAN Li	BPX893	Licensed Representative	1
GUO Jinyan	BXB660	Licensed Representative	9
GUO Nan	BWT647	Licensed Representative	1, 4, 9
GUO Ximing	BXA706	Licensed Representative	6
HAN FENG	BWZ903	Licensed Representative	1, 4
HAN YUCHEN	BXE639	Licensed Representative	1, 6
HANMER Joe Andrew	BWV600	Licensed Representative	1
HARTMANN Saharat	BXC788	Licensed Representative	1, 4, 9
HASHIMOTO Kizuku	BWY390	Licensed Representative	1, 4, 6
HE Guangfeng	BWW146	Licensed Representative	6
HE Jianxing	BXC127	Licensed Representative	1, 4
HE Jing	BSK026	Licensed Representative	9
HE Kaiyu	BWS866	Licensed Representative	1, 2, 4
HE Mingyu	BNY945	Licensed Representative	4, 9
HE Qiyu	BXA266	Licensed Representative	1
HE Yilin	BWY482	Licensed Representative	6
HINGORANI Seema Ram	BXE316	Licensed Representative	1
HO Chin Chung	BXE270	Licensed Representative	3
HO Pak Hang	AOS315	Licensed Representative	1, 4, 9
HO PAK NIN KENNETH	BWW381	Licensed Representative	1, 4, 6

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CE Name	CE No.	Licence Type	Types of Regulated Activity
HO Pan	BWV090	Licensed Representative	1, 4, 9
HO Sheung Chi Matthew	BXA578	Licensed Representative	1, 2
HO Suet Ying	BON560	Licensed Representative	1
HOGAN Jonathan Phipps	BWZ321	Licensed Representative	1
HOU Ruoxue	BWY706	Licensed Representative	4
HOU Yunfei	BXC713	Licensed Representative	1, 6
HU Hongxin	BWY481	Licensed Representative	1, 6
HU Hui	BXA708	Licensed Representative	1, 4
HU Jiabin	BXA173	Licensed Representative	6
HU Wentao	BWT545	Licensed Representative	1, 4, 9
HU Yajuan	BAA706	Licensed Representative	1
HU Yiming	BWW683	Licensed Representative	1, 4, 9
HU Yuting	AMK006	Licensed Representative	4
HUA Jianan	BWW424	Licensed Representative	1, 4, 6
HUA Xiaoshuang	BWZ764	Licensed Representative	1, 4
HUANG Chao	BWV300	Licensed Representative	1, 4, 6
HUANG Hao	BXE731	Licensed Representative	1, 4
HUANG Jun	BWS087	Licensed Representative	6
HUANG Junlong	BWY500	Licensed Representative	1, 6
HUANG Kuan	BWV510	Licensed Representative	1, 6
HUANG Liwen	BXB190	Licensed Representative	6
HUANG Nanfan	BXA514	Licensed Representative	6
HUANG Qianyi	BWT837	Licensed Representative	6
HUANG Rongyong	BWW649	Licensed Representative	1, 4
HUANG Sheng	BJT608	Licensed Representative	9
HUANG Tao	BWX467	Licensed Representative	1, 6
HUANG Xiaojie	BXC519	Licensed Representative	9
HUANG Xingsheng	BWY630	Licensed Representative	1, 4, 9
HUANG yanghui	BWV717	Licensed Representative	1, 4
HUANG Yejiang	BWW308	Licensed Representative	1, 6
HUANG Yuqing	BXB650	Licensed Representative	4, 5, 9
HUANG Zerui	BWY591	Licensed Representative	1, 6
HUI Chiu Shing, Jensen	ARJ355	Licensed Representative	1
HUI Chun Ling	BXE895	Licensed Representative	1, 4
HUI Ho Lam	BWY520	Licensed Representative	1, 4
HUI Hong, Alen	ADI991	Licensed Representative	1, 2
HUI Selina	BFN411	Licensed Representative	9
HUO Shangxian	BWV754	Licensed Representative	4
IMAYOSHI Toru	BWZ249	Licensed Representative	1, 4, 6
INOMATA Keisuke	BXB291	Licensed Representative	1, 4, 6
INOUE Masaki	BXB505	Licensed Representative	1, 4, 6
IP Yee Ching	BJG875	Licensed Representative	1, 4, 9
ITO Yuta	BWY566	Licensed Representative	1
JALAN Karan	BWW544	Licensed Representative	1, 2
JAYA Magnus Hosea Rangen	BWY575	Licensed Representative	4, 9
JIA Kexun	BWT548	Licensed Representative	1, 4, 9
JIA Shijian	BXA166	Licensed Representative	1, 6
JIA Yizhen	BXA070	Licensed Representative	1, 6
JIA Yuxin	BWT341	Licensed Representative	1, 2, 4

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CE Name	CE No.	Licence Type	Types of Regulated Activity
JIAN Weipeng	BXE324	Licensed Representative	1, 4
JIANG Hantian	BXA382	Licensed Representative	1, 6
JIANG Shiping	BXB708	Licensed Representative	1, 4
JIANG Vivian	BSL496	Licensed Representative	1
JIANG Yi	BAU773	Licensed Representative	9
JIANG Yue	BTM818	Licensed Representative	4, 9
JIANG Zhikun	BUB178	Licensed Representative	1, 4, 6
JIAO Hanzhen	BWW685	Licensed Representative	1, 4, 9
JIAO Jinjun	BTJ804	Licensed Representative	1, 6
JIM Calvin Wai Kit	BXA148	Licensed Representative	9
JIN Fangyu	BXA707	Licensed Representative	6
JIN Shangshu	BWZ650	Licensed Representative	1, 4, 6
JIN Yuki	BWZ016	Licensed Representative	9
JUNG Ji Yun	BWZ483	Licensed Representative	1, 4, 6
JUTTON Hans Roy	BWT761	Licensed Representative	1, 2
KAN Cheuk Ying	BTP429	Licensed Representative	1, 4, 9
KANG Kai	BGH497	Licensed Representative	4, 9
KANG Rizhong	BXA176	Licensed Representative	1, 4, 6
KANNO Kunihiro	AUW980	Licensed Representative	9
KE Tong	BWY497	Licensed Representative	1, 2
KIM Min	BWY535	Licensed Representative	1, 4, 9
KOBAYASHI Masanori	BMV321	Licensed Representative	1, 4, 6
KODAMA Yuya	BWX420	Licensed Representative	1, 4, 6
KONG Lingshu	BPJ277	Licensed Representative	1, 6
KOO Tin Man	BHQ337	Licensed Representative	4, 9
KU Mei Yee	BXA592	Licensed Representative	1, 4, 9
KWAN Ho Yan Janice	BWW198	Licensed Representative	1
KWAN Kai Yiu	AVH540	Licensed Representative	1, 2
KWAN Ming Tak, Milton	BHB478	Licensed Representative	9
LAI Boliang	BXC372	Licensed Representative	1, 6
LAI Shaoping	BAG440	Licensed Representative	4, 9
LAI Wai Ki	BBD162	Licensed Representative	1
LAI Zhitong	BWY465	Licensed Representative	1, 2, 4
LAM Derek Matthew	AXV404	Licensed Representative	1, 6
LAM Ho Ching	BWZ127	Licensed Representative	1
LAM Nicholas Wei Guo	BXD633	Licensed Representative	4, 9
LAM Pui Wang	BXD958	Licensed Representative	1, 2
LAM Pui Yan	BBL852	Licensed Representative	1, 4, 9
LAM Shing	BTk354	Licensed Representative	1
LAM Tin Wai	AMJ823	Licensed Representative	1
LAM Yin Tung	BXB034	Licensed Representative	1
LANG Yanbo	BWV324	Licensed Representative	4, 9
LAU Hiu Wai	BXA625	Licensed Representative	1, 2
LAU Tin Yat	BWH643	Licensed Representative	4, 9
LAU TSUI HUNG	BWW450	Licensed Representative	1, 4, 6
LAU Wing Han April	BXA940	Licensed Representative	1, 4
LAUNGANI Neel	ADQ008	Licensed Representative	1, 6
LEE Chak Lam	BXD652	Licensed Representative	1, 2
LEE Fredrick Changwon	BXA411	Licensed Representative	1, 4

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CE Name	CE No.	Licence Type	Types of Regulated Activity
LEE Joon Ho	BWV989	Licensed Representative	1, 7
LEE Ka Hang, Karen	AQJ949	Licensed Representative	1
LEE Wai Chun Regina	BWT881	Licensed Representative	1
LEE Wai Kit Jack	BWX212	Licensed Representative	6
LEUNG Man Chung Francis	BSZ532	Licensed Representative	1, 4, 6
LEUNG Pui Yu, Teresa	BGW778	Licensed Representative	1
LI Chengjian	BWW074	Licensed Representative	1, 4, 6
LI Edwin Chun Yeung	BCG370	Licensed Representative	1, 4, 7
LI Han	BXE706	Licensed Representative	4
LI Hanying	BWY945	Licensed Representative	1, 6
LI Heng	BWX413	Licensed Representative	6
LI Huajun	BWY570	Licensed Representative	4, 9
LI Huan	BXC773	Licensed Representative	1, 4, 6
LI Jiajun	BWY526	Licensed Representative	1, 6
LI Mengxue	BWZ476	Licensed Representative	1, 6
LI Mingzhe	BWS967	Licensed Representative	1, 2, 4
LI Minhao	BXA091	Licensed Representative	6
LI Ning	BWV282	Licensed Representative	1, 2, 3, 4
LI Pengfei	BWW640	Licensed Representative	1, 6
LI Qiuyuan	BWY546	Licensed Representative	1, 4
LI Shaojie	BVK754	Licensed Representative	1
LI Shizheng	BWY502	Licensed Representative	1, 6
LI Shuangwen	BXD696	Licensed Representative	1, 4, 9
LI Siming	BWV859	Licensed Representative	1, 4, 6
LI Sin Man Catherine	BWZ474	Licensed Representative	1
LI Tianyi	BXA498	Licensed Representative	6
LI Wei	BWW481	Licensed Representative	1, 6
LI Xiaofan	BXC449	Licensed Representative	1, 2
LI Xiaotong	BWY380	Licensed Representative	1, 6
LI Xue	BXC481	Licensed Representative	1, 4, 9
LI Xujia	BXA253	Licensed Representative	4
LI Yang	BWT461	Licensed Representative	1, 4, 6
LI Yayun	BXA225	Licensed Representative	1, 6
LI Yinan	BXA656	Licensed Representative	1, 6
LI Yushen	BWZ327	Licensed Representative	6
LI Zekang	BXA568	Licensed Representative	1, 2
LIANG Ge	BWW173	Licensed Representative	1, 6
LIAO Shuangwei	BWY397	Licensed Representative	1
LILEEVA Elena	BWF522	Licensed Representative	1, 4, 9
LIN Disheng	BLH633	Licensed Representative	4, 9
LIN Dongyue	BXC044	Licensed Representative	1, 6
LIN Haoyu	BWY542	Licensed Representative	1, 2
LIN Hoi Kit	BXB352	Licensed Representative	1, 4
LIN Hui	BWX573	Licensed Representative	1, 4
LIN Jinlin	BTk937	Licensed Representative	1, 4, 9
LIN Kuan-Hung	BXA009	Licensed Representative	4
LIN Lin	BWZ653	Licensed Representative	1, 4, 9
LIN Lin	BWZ213	Licensed Representative	1
LIN Qiyu	BWW201	Licensed Representative	1

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CE Name	CE No.	Licence Type	Types of Regulated Activity
LIN Wenyu	BXB692	Licensed Representative	1, 7
LIN Yen-Tsung	BXE307	Licensed Representative	1
LIN Yidan	BUQ271	Licensed Representative	4
LIN Yue	BWY580	Licensed Representative	6
LIU Ao	BXC220	Licensed Representative	1, 6
LIU Gao	BWW183	Licensed Representative	1
LIU Guannan	BXA654	Licensed Representative	6
LIU Haining	BWW871	Licensed Representative	1
LIU Huan	BWW643	Licensed Representative	6
LIU Jian	BWX631	Licensed Representative	6
LIU Jiaqi	BMF539	Licensed Representative	1, 4
LIU Jisheng	BJC784	Licensed Representative	4, 9
LIU Lifan	BWZ185	Licensed Representative	1, 4
LIU Lin	BXC684	Licensed Representative	1, 6
LIU Miao	BWY635	Licensed Representative	1, 2
LIU PEIYUAN	BXC398	Licensed Representative	1, 4
LIU Rong	BWY705	Licensed Representative	1, 4, 6
LIU Weisi	BXA312	Licensed Representative	1, 4
LIU Yiping	BWX408	Licensed Representative	6
LIU Yuchen	BXA066	Licensed Representative	1, 6
LIU Zhiyan	BWW855	Licensed Representative	1, 2
LIU Zhize	BRD895	Licensed Representative	1
LO Andy	BWX308	Licensed Representative	1, 4
LO Wai Tung, John	ABM507	Licensed Representative	1
LO Yuen Han Betty	AZX601	Licensed Representative	1, 4
LOEFFLER Klemens	BXA015	Licensed Representative	1
LONG Qiaoxi	BWW496	Licensed Representative	1
LONG Yuxin	BXC285	Licensed Representative	4
LOU Jiong	BWY670	Licensed Representative	4, 9
LU Anni	BXA219	Licensed Representative	1, 2, 4, 5
LU Hongyi	BWX596	Licensed Representative	1, 4
LU Jianjie	BKC043	Licensed Representative	9
LU Meng	BXB714	Licensed Representative	1
LU Mengziyu	BWY562	Licensed Representative	1, 6
LU Peiting	BNH086	Licensed Representative	1, 4
LU Yang	BWV752	Licensed Representative	1
LU Yi	BXB865	Licensed Representative	1, 4
LU Yixuan	BWX073	Licensed Representative	1, 2, 4, 5, 9
LUI Siu Fung	BWV697	Licensed Representative	1
LUI Suet Ying	BWV693	Licensed Representative	1, 4
LUK Pui Chi	AWJ279	Licensed Representative	6
LUO Ao	BIZ162	Licensed Representative	4
LUO Erli	BXA627	Licensed Representative	1, 4
LUO Haibing	BWY654	Licensed Representative	1, 4
LUO Haolan	BWW077	Licensed Representative	1, 4, 6
LUO Li	BWY634	Licensed Representative	1, 4, 9
LUO SIWEI	BWY956	Licensed Representative	1, 4
LUO Yi	BNH867	Licensed Representative	1
LYNCH Robert Kevin	BWW144	Licensed Representative	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2025

CE Name	CE No.	Licence Type	Types of Regulated Activity
LYNN Garrett Mark	BWW046	Licensed Representative	1, 2
LYU Pingu	BXC692	Licensed Representative	1, 6
LYU Siyi	BXA908	Licensed Representative	1, 4
LYU Wenjun	BXD900	Licensed Representative	6
MA Ka Wai Monet	BWR062	Licensed Representative	1, 4
MA Ruotong	BXB284	Licensed Representative	1
MA Tik Man	BWZ711	Licensed Representative	1, 4
MA Weihong	BWV097	Licensed Representative	6
MA Yanfang	BWY560	Licensed Representative	1
MADDOCK Julian Paul	AUH900	Licensed Representative	2
MAK Hiu Tung	BWU940	Licensed Representative	1
MAK Hiu Wai	BWV753	Licensed Representative	1
MAK King Wai	BWV606	Licensed Representative	1
MAK Tsz Kin	BXA738	Licensed Representative	1, 4, 6
MALIK Deepan	BTQ501	Licensed Representative	1, 4
MAN Pui Yi	BWZ289	Licensed Representative	1, 2
MANABE Ichitaro	BUF797	Licensed Representative	1, 7
MARSH Edward John	BIK172	Licensed Representative	1, 2
MATSUZAWA Ryuji	AXA165	Licensed Representative	1, 4
MEI Yuxin	BWU967	Licensed Representative	1
MENG Fanhao	BWW501	Licensed Representative	1, 6
MENG Xiangguang	BWY485	Licensed Representative	1, 6
MENG Yahan	BWU780	Licensed Representative	6
MERIC Dorian Kerim	BWW898	Licensed Representative	1
MIAO Yu	BXA168	Licensed Representative	1, 6
MIN Xiangcheng	BXB761	Licensed Representative	1, 6
MIZUNO Tomomi	BXB798	Licensed Representative	2, 5
MOHNANI PURSWANEY Bhavin	BXB174	Licensed Representative	1, 4
MORISAWA Kohei	BWZ261	Licensed Representative	1, 4, 6
MUI Ho Hay, Alex	BIR012	Licensed Representative	4, 9
NABOR Miguel Carlo Palad	BUQ735	Licensed Representative	1, 2
NAIR Srikesh Karunakaran	BXA363	Licensed Representative	1, 4, 9
NAM Chun Ho	BOG203	Licensed Representative	1
NG Ho Sze	AOJ197	Licensed Representative	4, 9
NG Kar Bo Angel	BXC729	Licensed Representative	1
NG Wai Kei	BWZ578	Licensed Representative	1, 2
NG Wai Ping	AWC089	Licensed Representative	1
NG Yik Lee Ricky	BXB024	Licensed Representative	1, 4
NGAI Jun	BVK148	Licensed Representative	6
NGAI Pui Man	BWY669	Licensed Representative	1, 4
NGOH Ying Ping, Vivian	BER761	Licensed Representative	1
NI Huayang	BXE055	Licensed Representative	1, 4
NIE Xinyan	BWV502	Licensed Representative	5
NING Ken	BWR594	Licensed Representative	6
NOLASCO William Zaharia	BWQ787	Licensed Representative	1, 4
OH Sun-Oh	BWY897	Licensed Representative	1, 6
OKI Keiko	BNP005	Licensed Representative	1, 2
ONG Hai Rou	BWZ256	Licensed Representative	1
ONO Hajime	BWY388	Licensed Representative	4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2025

CE Name	CE No.	Licence Type	Types of Regulated Activity
OU Guangsen	BXC218	Licensed Representative	1, 6
OU Jitao	BWZ079	Licensed Representative	4, 9
OYAMA Kazuki	BWY498	Licensed Representative	9
PADMANABHAN Dushyant	BXA588	Licensed Representative	9
PAN Hang	BWU910	Licensed Representative	1, 6
PANG Jihong	BXE663	Licensed Representative	1, 4
PANG Sin Ha	BWX374	Licensed Representative	4, 9
PARBROOK Robin Stewart	ACI102	Licensed Representative	4, 9
PENG Huan	BWX652	Licensed Representative	1, 6
PENG Sizhuo	BWZ869	Licensed Representative	1, 6
POON Boris	BWY664	Licensed Representative	1, 4, 9
POON KA WA	BWZ775	Licensed Representative	1, 4, 9
POON Kar Kin	BWR135	Licensed Representative	1
POON Sek Fun, Justin	ARW860	Licensed Representative	1, 4, 9
PRICE Nicholas John	APV295	Licensed Representative	4, 9
PRIOR Mark Trevor	BXA102	Licensed Representative	1
PURCELL Tristan Tobias	BXD722	Licensed Representative	1
QI Hui	BWW413	Licensed Representative	1, 2, 4
QIAN Kun	BWT345	Licensed Representative	1
QIAN Zejia	BWW061	Licensed Representative	1, 4, 9
QIAO Tianyu	BWV589	Licensed Representative	1
QIU Shichao	BXC575	Licensed Representative	1, 4
RAKHIMOV Anuar	BWY638	Licensed Representative	1, 4
RATOUCHNIAK Guillaume Calin	BWZ875	Licensed Representative	1, 2
RAUT Umesh Kundlik	BVD839	Licensed Representative	4
READ Christopher James	BOS255	Licensed Representative	4
RIUMIN Aleksandr	BXF381	Licensed Representative	6
RUAN Kejun	BWZ087	Licensed Representative	4, 9
SERLE Andrew Geoffrey	BXA075	Licensed Representative	4
SERRA Axel Pierre Louis	BWU818	Licensed Representative	1, 4, 9
SHAM Wy Tsun	BXA216	Licensed Representative	1, 6
SHAO Huawei	BUP975	Licensed Representative	4, 9
SHARKEY Barry William	BXA765	Licensed Representative	1, 4
SHEN Xiaojun	BWX435	Licensed Representative	1, 7
SHEN Yanti	BWU878	Licensed Representative	1
SHI Shan	BWZ905	Licensed Representative	1
SI QIANG	BXB415	Licensed Representative	6
SINGHI Alexander Nitin	BWW395	Licensed Representative	1, 4, 6
SINHA Tushar	BWW026	Licensed Representative	1, 2
SIOW Jia Wen	BVL619	Licensed Representative	1
SIU Yuen Wah	BWW522	Licensed Representative	1, 4
SO Ka Wai	BKH987	Licensed Representative	1, 4, 9
SO Ting Fung	AWJ003	Licensed Representative	4, 9
SOEJANA Karla Elizabeth	BXB125	Licensed Representative	9
SONG Hancheng	BWT869	Licensed Representative	1, 4, 6
SONG Haolin	BWZ189	Licensed Representative	1, 4
SONG Jun	BXA060	Licensed Representative	4, 9
SPELMAN Daryl Martin	BJP631	Licensed Representative	1, 2, 4
SU Luyuan	BXA501	Licensed Representative	4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2025

CE Name	CE No.	Licence Type	Types of Regulated Activity
SU Xiaohan	BWU924	Licensed Representative	1, 6
SU Zhengting	BWZ995	Licensed Representative	1
SUGIMOTO Takako	BWS939	Licensed Representative	1, 2
SUN Aiguo	BWW145	Licensed Representative	6
SUN Runkai	BTD915	Licensed Representative	6
SUN Shangyun	BJW317	Licensed Representative	1, 6
SUN Tianyi	BWZ709	Licensed Representative	1, 6
SUN Xinlei	BWW495	Licensed Representative	1
SUN Yisi	BPU860	Licensed Representative	1, 2, 4
SUN Yulong	BXE768	Licensed Representative	1
SUN Zhenhao	BWR865	Licensed Representative	4, 9
SZE Kwun Yu, Jason	AXD898	Licensed Representative	1, 2
SZE Yuk Lung	BKG801	Licensed Representative	1
TAI Po-An	BWZ942	Licensed Representative	1, 2, 9
TAI Wai Man	ADY511	Licensed Representative	1, 2, 3, 4
TAM Kim Wai Joseph	BEO593	Licensed Representative	4, 9
TAM Siu Kee	BWH455	Licensed Representative	4, 9
TAM Tsz Wing	BXA898	Licensed Representative	1, 4, 6
TAN Ilisa	BXA591	Licensed Representative	1, 2
TAN Toon Leng	BDM837	Licensed Representative	1
TAN Yibing	BPG156	Licensed Representative	9
TAN Zhen	BWU904	Licensed Representative	4
TANAKA Nina	BWZ600	Licensed Representative	1
TANG Dawei	BQW340	Licensed Representative	1, 6
TANG Jiixin	BRT217	Licensed Representative	1
TANG Juin Ji Adrian	BWY656	Licensed Representative	1, 4
TANG Moxin	BXE722	Licensed Representative	1, 6
TANG Sin Heng	BWW014	Licensed Representative	1, 4, 6
TANG Tat Pan	AXG793	Licensed Representative	1
TANG Wenhao	BXA630	Licensed Representative	1, 4
TANG Wing Hang	AXO388	Licensed Representative	1, 4, 9
TANG Xiao	BWX649	Licensed Representative	1, 6
TANUWIDJAJA Sherly	BXC782	Licensed Representative	1, 2
TENG Yingzhao	BWY554	Licensed Representative	1, 4
TIAN Tian	BOJ642	Licensed Representative	4, 9
TING Wing Kit, Zarus	BKA871	Licensed Representative	1, 2, 7
TIWARI Varna	BWY378	Licensed Representative	1, 2
TOMITA Hirofumi	BWY503	Licensed Representative	1, 4, 6
TSAI Che Nan	BNN713	Licensed Representative	9
TSAI Yu-ting	BNS103	Licensed Representative	1
TSANG Wing Keung	ACO979	Licensed Representative	4, 9
TSE Cheuk Him	BWW648	Licensed Representative	1, 4, 6
TSE Kwun Ho	AZP279	Licensed Representative	4, 9
TSE Sheung Lung	BWV844	Licensed Representative	4, 9
TSE Yat Long	BXA727	Licensed Representative	9
TSO Ka Yee Ashley	BXE293	Licensed Representative	1, 4
TSUKAMOTO Kenichi	BBR446	Licensed Representative	1, 4, 6
TUSI Jessica Yijia	BOD510	Licensed Representative	1, 2
URATANI Satoru	AYS706	Licensed Representative	9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2025

CE Name	CE No.	Licence Type	Types of Regulated Activity
VAN DEN BULKE Eugene Mathieu	BXB363	Licensed Representative	1, 2, 7
VAN VUUREN Shuan Ting	BQF488	Licensed Representative	4, 9
WAN Shuhan	BXD810	Licensed Representative	1, 7
WANG Aillen	BUP648	Licensed Representative	4
WANG Duoduo	BXA497	Licensed Representative	1, 2, 4, 5, 9
WANG Eson	APB798	Licensed Representative	1
WANG Hanbing	BWS293	Licensed Representative	4, 9
WANG Jian	BXA175	Licensed Representative	6
WANG Jiaojie	BWX481	Licensed Representative	6
WANG Jiaqi	BWY933	Licensed Representative	1, 7
WANG Jin	BVW966	Licensed Representative	1, 6
WANG Jing	BWW575	Licensed Representative	1, 6
WANG Jing	BWR483	Licensed Representative	1, 6
WANG Jing	BWZ447	Licensed Representative	1, 2
WANG Ju	AWN314	Licensed Representative	1, 2, 4
WANG Junqi	BWY683	Licensed Representative	6
WANG Li	BXA355	Licensed Representative	6
WANG Liye	BWY369	Licensed Representative	1, 4
WANG QI	BXB081	Licensed Representative	1, 4, 9
WANG Renfei	BWZ716	Licensed Representative	1, 4
WANG Sumei	BWV241	Licensed Representative	6
WANG Xinyuan	BXC468	Licensed Representative	1
WANG Xueyi	BWV767	Licensed Representative	1
WANG Yajuan	BWY666	Licensed Representative	6
WANG YIBO	BWT527	Licensed Representative	9
WANG Yilin	BWW393	Licensed Representative	1, 2, 4
WANG Zijin	BWX575	Licensed Representative	4
WANG Zirong	BXB690	Licensed Representative	1, 4, 9
WATANABE Hiroharu	BPK385	Licensed Representative	4
WATSON Peter Frederick John	BXB631	Licensed Representative	1, 4
WEI Ziqi	BWP801	Licensed Representative	1, 4, 9
WEN Rui	BXC439	Licensed Representative	1
WONG Chin Wai Raymond	BLE917	Licensed Representative	1, 4
WONG Chun Fai	BWY626	Licensed Representative	1, 4
WONG Chung Ho, Ian	AMC785	Licensed Representative	4, 9
WONG Kei Yan Tim	BKD110	Licensed Representative	1, 4
WONG Kin Cheong	BGX282	Licensed Representative	1, 2, 4
WONG Kin Fan	BXE157	Licensed Representative	1
WONG Kwok Hei	BSF640	Licensed Representative	1, 4, 9
WONG Ming Ho	BVH202	Licensed Representative	13
WONG Pak To	BDQ882	Licensed Representative	1, 2
WONG Shing Bun	BWV755	Licensed Representative	1, 2
WONG Shing Yip	BXE729	Licensed Representative	1, 4
WONG Sing Kit	BWZ478	Licensed Representative	4, 9
WONG Wai Ho Johnny	BXE254	Licensed Representative	4, 9
WONG Wai Lun	BWV068	Licensed Representative	1, 4, 9
WONG Wai Yip	BWY887	Licensed Representative	1, 4
WU Dairong	BWZ970	Licensed Representative	1, 4
WU Dexin	BXA703	Licensed Representative	4, 9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2025

CE Name	CE No.	Licence Type	Types of Regulated Activity
WU Guoyuan	BQV487	Licensed Representative	6
WU Huanyan	BWZ165	Licensed Representative	1, 4
WU Jinze	BXA976	Licensed Representative	2, 5
WU Qiaoke	BWW506	Licensed Representative	1, 6
WU Qiqiang	BWW257	Licensed Representative	6
WU Wei	BWY358	Licensed Representative	1, 6
WU Xiaona	BWV830	Licensed Representative	1, 2
WU Xuqin	BWZ115	Licensed Representative	1, 4, 9
WU Yixuan	BWX661	Licensed Representative	1, 6
WU Yueh Ling	BPW984	Licensed Representative	1, 4, 9
XI Si	BXA796	Licensed Representative	1, 4
XIAO Han	BOB352	Licensed Representative	1, 4, 9
XIAO Hanjin	BXC820	Licensed Representative	1, 4
XIAO Jingyi	BXA156	Licensed Representative	1, 6
XIAO Jingyi	BWW056	Licensed Representative	1, 6
XIAO Sijun	BWW249	Licensed Representative	1, 6
XIAO Xianle	BWY678	Licensed Representative	1, 4, 9
XIAO Xiao	BWZ941	Licensed Representative	1
XIAO Yuchao	BFE698	Licensed Representative	1, 4, 9
XIE Aoru	BWU898	Licensed Representative	1, 4
XIE Jin	BWW512	Licensed Representative	1, 6
XIE Jinchao	BEN368	Licensed Representative	1
XIE Ming	BWV598	Licensed Representative	6
XIE Xinling	BXE396	Licensed Representative	6
XIE Xinru	BXA383	Licensed Representative	2
XIE Yueyang	BWZ736	Licensed Representative	1
XIONG Yemei	BRG795	Licensed Representative	4
XU Ai	BTT612	Licensed Representative	9
XU ANYU	BWS681	Licensed Representative	1, 4
XU Chao	BWV703	Licensed Representative	1, 6
XU Di	BXA508	Licensed Representative	6
XU Jiantao	BXC513	Licensed Representative	6
XU Kaiwen	BVT662	Licensed Representative	1, 6
XU Pengfei	BWS730	Licensed Representative	1, 6
XU Ruiyi	BLM930	Licensed Representative	9
XU Tunan	BWY895	Licensed Representative	1, 6
XU Xiaoxuan	BWY539	Licensed Representative	6
XU Xinrui	BKP223	Licensed Representative	1, 2, 7
YAMAKATA (DONAHUE) Chiyono Megan	BWV798	Licensed Representative	1, 2
YAN Fayong	BWY501	Licensed Representative	1, 6
YAN Huakai	BWY639	Licensed Representative	1, 4, 9
YAN Jie	BJC920	Licensed Representative	1, 6
YAN Jinlong	BXB707	Licensed Representative	6
YAN Jintao	BXA685	Licensed Representative	1, 2
YAN Kun	BWW059	Licensed Representative	6
YAN Mingqing	BWX046	Licensed Representative	1, 6
YAN Yongbin	BWV281	Licensed Representative	6
YAN Zijun	BXE547	Licensed Representative	1, 4, 6
YANG Bowen	BWZ113	Licensed Representative	6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2025

CE Name	CE No.	Licence Type	Types of Regulated Activity
YANG Boya	BXB834	Licensed Representative	9
YANG Fan	BWY558	Licensed Representative	1, 6
YANG Guiqing	BWY565	Licensed Representative	1, 6
YANG Jianyu	BWY708	Licensed Representative	1, 6
YANG Lu	BXB891	Licensed Representative	6
YANG Peng	BWX415	Licensed Representative	6
YANG Pengcheng	BWZ097	Licensed Representative	1, 4
YANG Tianlu	BWW200	Licensed Representative	1
YANG Yang	BXB273	Licensed Representative	1
YANG Yiran	BKU216	Licensed Representative	4
YANG Zehao	BWV092	Licensed Representative	1
YANG Zihe	BWX414	Licensed Representative	1, 6
YANG Zizhou	BHY110	Licensed Representative	9
YAO Cheng	BWW478	Licensed Representative	1, 6
YAO Xiang	BWY783	Licensed Representative	1
YAO Yutian	BWV663	Licensed Representative	1, 6
YAU Tsz Mei	BWY738	Licensed Representative	4, 9
YEUNG Hiu Yee	BXC399	Licensed Representative	1, 2
YEUNG Lai Man	BWX616	Licensed Representative	4
YEUNG Ming Yue Victor	BKV247	Licensed Representative	1, 4
YEUNG Nga Ying	AFT582	Licensed Representative	1
YEUNG Pui Fan	BSZ005	Licensed Representative	1, 4, 6
YI Guangqing	BWT646	Licensed Representative	1, 4, 9
YIM Man Yiu	BWZ014	Licensed Representative	1, 4
YIN Jia	BXB605	Licensed Representative	1
YIN Shuyang	BWX049	Licensed Representative	1, 6
YIN Xingjian	BWX574	Licensed Representative	1
YING KA SIN KANDACE	BWY941	Licensed Representative	4, 9
YIONG Wen Ting	BWY556	Licensed Representative	1, 2
YIP Chun Yu	BAC312	Licensed Representative	1, 4
YIP Kai Hong	BHK665	Licensed Representative	1, 4
YIP Lai Ting Chloe	BWW809	Licensed Representative	1
YIU Ho Nam	BXA274	Licensed Representative	1
YOON Tae Jung	AHG507	Licensed Representative	1
YU Bin	BXC237	Licensed Representative	1, 2, 4, 9
YU CHI YING	BXC068	Licensed Representative	1, 6
YU Chin Ting	BQW322	Licensed Representative	1, 2
YU Chung-Ling	BBJ027	Licensed Representative	1, 2
YU Fengyuan	BXD813	Licensed Representative	6
YU Justin Clayton	BWU787	Licensed Representative	1
YU Pengcheng	BWU899	Licensed Representative	1, 2, 3, 4
YU Xiaoyan	BWZ627	Licensed Representative	4, 9
YUAN Chengyue	BVO695	Licensed Representative	4
YUAN Jing	BWY740	Licensed Representative	1, 4
YUAN Yuxuan	BXE048	Licensed Representative	1, 6
YUAN Zhewen	BWV042	Licensed Representative	1, 9
YUE Sumeng	BRD850	Licensed Representative	6
YUE Yuhang	BWT927	Licensed Representative	1, 4, 6
YUNOKI Jun	BFA664	Licensed Representative	1, 2

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2025

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZENG Keer	BWN419	Licensed Representative	4, 9
ZENG Yanhua	BWW256	Licensed Representative	6
ZHA Yuxing	BWZ579	Licensed Representative	1
ZHAI Hongwu	BQN719	Licensed Representative	6
ZHAN Xuewan	BWX047	Licensed Representative	1, 6
ZHANG Bonan	BWV908	Licensed Representative	1, 4
ZHANG Boya	BWX361	Licensed Representative	1, 4, 9
ZHANG Chengxin	BWV919	Licensed Representative	1
ZHANG Chunrong	BWX169	Licensed Representative	1
ZHANG Hengyi	BXA215	Licensed Representative	1
ZHANG Huimin	BXD681	Licensed Representative	1, 2, 4
ZHANG Jian	BWW055	Licensed Representative	6
ZHANG Jianbo	BWW645	Licensed Representative	1
ZHANG Jinrong	BWX065	Licensed Representative	4, 9
ZHANG Jun	BXA655	Licensed Representative	6
ZHANG Junjie	BWX406	Licensed Representative	1, 6
ZHANG Lingxi	BXA463	Licensed Representative	1, 4
ZHANG Mengxin	BWX656	Licensed Representative	1, 2
ZHANG Qianying	BWY379	Licensed Representative	1, 6
ZHANG Ruilin	BXC042	Licensed Representative	1, 6
ZHANG Shuning	BWX421	Licensed Representative	1, 6
ZHANG Siting	BRI528	Licensed Representative	1, 4
ZHANG Xialu	BXD934	Licensed Representative	1, 4, 9
ZHANG Xiaoduo	BWR903	Licensed Representative	1, 7
ZHANG Xiaoshu	BWX025	Licensed Representative	1
ZHANG Xiaoyan	BWW433	Licensed Representative	6
ZHANG Yanfei	BXA572	Licensed Representative	6
ZHANG Yi	BWV864	Licensed Representative	1, 4, 6
ZHANG Yihang	BWL383	Licensed Representative	1, 4, 9
ZHANG Yihao	BXB191	Licensed Representative	6
ZHANG Yiling	BPM932	Licensed Representative	1
ZHANG Yuanxin	BXC689	Licensed Representative	1, 6
ZHANG Yunfeng	BTT068	Licensed Representative	4
ZHANG Zhen	BXA257	Licensed Representative	1, 2, 4
ZHANG Zhenbang	BWV977	Licensed Representative	1, 2, 4
ZHANG Zhengwei	BXB492	Licensed Representative	1, 6
ZHANG Zhenzhen	BWY541	Licensed Representative	4
ZHANG Zhidi	BWV601	Licensed Representative	1
ZHANG Zhiling	BGA975	Licensed Representative	1, 2, 4, 5
ZHANG Zhiyang	BRC251	Licensed Representative	1, 4
ZHANG Zhouyuan	BXD937	Licensed Representative	1
ZHANG Ziqi	BWZ997	Licensed Representative	1, 4, 9
ZHAO BOQIAN	BXA306	Licensed Representative	1, 2
ZHAO Dingxuan	BWZ112	Licensed Representative	1, 4, 9
ZHAO Haonan	BWZ125	Licensed Representative	1, 6
ZHAO Peng	BWW402	Licensed Representative	1, 2, 9
ZHAO Zhonghong	BXE213	Licensed Representative	6
ZHAO Zhongping	BND271	Licensed Representative	4
ZHENG Linda	BWY679	Licensed Representative	1, 4, 9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2025

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHENG Qingchuan	BXC766	Licensed Representative	1, 6
ZHENG Yihao	BWV184	Licensed Representative	1
ZHI Haixing	BWV866	Licensed Representative	1, 4, 6
ZHONG Dingyi	BWW060	Licensed Representative	1, 2
ZHONG Dong	BWW184	Licensed Representative	6
ZHONG Jun	BXE323	Licensed Representative	1, 6
ZHOU Amanda Zhuoran	BWU796	Licensed Representative	1, 4, 9
ZHOU Cicong	BJD163	Licensed Representative	9
ZHOU Jiangyu	BSM043	Licensed Representative	4
ZHOU Jiuzhou	BWW253	Licensed Representative	4, 9
ZHOU Xi	BWU591	Licensed Representative	1, 2
ZHOU Yuyao	BWW170	Licensed Representative	1
ZHOU Zining	BXD800	Licensed Representative	1
ZHOU Ziyu	BWW267	Licensed Representative	1, 9
ZHOU Zuochen	BXA768	Licensed Representative	1, 4, 9
ZHU Chenyi	BWV868	Licensed Representative	4
ZHU Licen	BXC043	Licensed Representative	1, 6
ZHU Neyan	BXB287	Licensed Representative	1
ZHU Qiang	BWZ632	Licensed Representative	1, 6
ZHU Weiqi	BWU704	Licensed Representative	1, 4, 9
ZHU Yi	BWW476	Licensed Representative	1, 7
ZHU Yihan	BWW517	Licensed Representative	1, 4, 9
ZHU Zihan	BWY521	Licensed Representative	1, 4
ZHUANG KEXIN	BXB910	Licensed Representative	1, 4, 9
ZOU Jing	BWV200	Licensed Representative	1, 4
ZOU Ying	BWZ119	Licensed Representative	6
ZOU Yu	BWZ118	Licensed Representative	6
ZOU Zhou	BXB775	Licensed Representative	9
BERGER Joshua Michael	BWV147	Licensed Representative - Responsible Officer	4, 9
CHAN Mo Kit	ANZ958	Licensed Representative - Responsible Officer	4, 9
CHAN Ngat	APB445	Licensed Representative - Responsible Officer	1, 6
CHAN Tsun Man, Norman	ABW233	Licensed Representative - Responsible Officer	4, 5, 9
CHAN Wai Kit, Andy	ADJ155	Licensed Representative - Responsible Officer	6
CHEN Hui-ming	ALW538	Licensed Representative - Responsible Officer	4, 9
CHEN Qi	BWF458	Licensed Representative - Responsible Officer	1, 4, 9
CHENG Ho Ming	BCQ328	Licensed Representative - Responsible Officer	1, 2, 4
CHING Ching	ALF582	Licensed Representative - Responsible Officer	9
COMAL GOPALAN Mukundh	BEO888	Licensed Representative - Responsible Officer	1, 4
DALE Michael William	BWN728	Licensed Representative - Responsible Officer	1
DENG Yina	BWM116	Licensed Representative - Responsible Officer	9
ENG JASON	BWN156	Licensed Representative - Responsible Officer	1, 9
FAN Xiaoli	BWD036	Licensed Representative - Responsible Officer	4, 9
FERNANDEZ Ricardo Jose	BWB114	Licensed Representative - Responsible Officer	9
FUNG Kar Wai, Ivy	ACC058	Licensed Representative - Responsible Officer	1, 4, 9
FUNG Wayne	BRP199	Licensed Representative - Responsible Officer	1
GALVIN Myles Emmet	BQW786	Licensed Representative - Responsible Officer	9
HUO Xiao	BWS279	Licensed Representative - Responsible Officer	10
HUYNH Duy Canh	BTY147	Licensed Representative - Responsible Officer	9
JI Tian	BWE753	Licensed Representative - Responsible Officer	1, 4, 9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2025

CE Name	CE No.	Licence Type	Types of Regulated Activity
JIANG Pengcheng	BRG669	Licensed Representative - Responsible Officer	4, 9
JIN Shixing	BWS503	Licensed Representative - Responsible Officer	1
KANG John Brian	AFW034	Licensed Representative - Responsible Officer	9
KE Yifeng	BWY577	Licensed Representative - Responsible Officer	9
KIM David Jung	BBS218	Licensed Representative - Responsible Officer	4, 9
LAI Joseph Kin Pong	BWF929	Licensed Representative - Responsible Officer	9
LAM Sai Ho	BBP886	Licensed Representative - Responsible Officer	4, 9
LAM Yuen Hei	AXF651	Licensed Representative - Responsible Officer	9
LEE Che Chiu	AAZ110	Licensed Representative - Responsible Officer	1, 4, 9
LEE Young Tack	BWH384	Licensed Representative - Responsible Officer	4, 9
LI Chao	BOM755	Licensed Representative - Responsible Officer	13
LI Fajing	BWK396	Licensed Representative - Responsible Officer	4, 9
LI Ke	BWN531	Licensed Representative - Responsible Officer	9
LI Quanlin	BWS790	Licensed Representative - Responsible Officer	4, 9
LI WEIFENG	BUA879	Licensed Representative - Responsible Officer	10
LI Xian	AOA174	Licensed Representative - Responsible Officer	1, 4
LI Yaxin	BUY351	Licensed Representative - Responsible Officer	9
LIN Yin	BEE972	Licensed Representative - Responsible Officer	9
LIU Kairong	APS899	Licensed Representative - Responsible Officer	4, 9
LIU Xiaowei	BWS281	Licensed Representative - Responsible Officer	10
LIU Zhi	BWF277	Licensed Representative - Responsible Officer	9
LOW Alfred Chun-Jin	BWU879	Licensed Representative - Responsible Officer	1, 4, 9
MA Liying	BWS278	Licensed Representative - Responsible Officer	10
MA Xiaohui	BUM214	Licensed Representative - Responsible Officer	13
MCBAIN Mary Charlotte	ABN143	Licensed Representative - Responsible Officer	4, 9
MIAO Yi	BWM113	Licensed Representative - Responsible Officer	4, 9
NAGASHIMA Kei	BEY926	Licensed Representative - Responsible Officer	1, 9
PARK Daniel Eabum	BEI453	Licensed Representative - Responsible Officer	9
POON Kar Kin	BWR135	Licensed Representative - Responsible Officer	4, 9
SONG Yulin	BMW915	Licensed Representative - Responsible Officer	1, 4
SUN Shaowei	BWM089	Licensed Representative - Responsible Officer	4, 9
SUN Yuen Han	AGN808	Licensed Representative - Responsible Officer	1, 4
TANG Kwan Yue	AOV744	Licensed Representative - Responsible Officer	4, 9
TSE Ming Lun Alan	BBW060	Licensed Representative - Responsible Officer	4, 9
WAN Sau Yi	BKL830	Licensed Representative - Responsible Officer	4, 9
WONG Shui Lun, William	AHR091	Licensed Representative - Responsible Officer	1
WONG Tung Yuen	BHD388	Licensed Representative - Responsible Officer	6
Xian Xingchi	BWV250	Licensed Representative - Responsible Officer	1, 4
XUE Jiehao	BWH400	Licensed Representative - Responsible Officer	4, 9
YANG Yuehan	BWF701	Licensed Representative - Responsible Officer	9
YEUNG Yuk Fai, Clarence	ACJ087	Licensed Representative - Responsible Officer	4, 9
YU Tiantian	BKJ752	Licensed Representative - Responsible Officer	4, 9
ZHANG Jingchao	BWT279	Licensed Representative - Responsible Officer	9
ZHANG Junlin	BWF921	Licensed Representative - Responsible Officer	9
ZHANG Weilin	BWX581	Licensed Representative - Responsible Officer	4, 9
ZHANG Xiaorui	BWS028	Licensed Representative - Responsible Officer	9
ZHONG Peifeng	BAY182	Licensed Representative - Responsible Officer	4, 9
ZHOU Huihui	BVP432	Licensed Representative - Responsible Officer	13
ZHU Jinsong	BWF279	Licensed Representative - Responsible Officer	9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2025

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHU Shubiao	BWF272	Licensed Representative - Responsible Officer	4, 9
AN Yuan	BM714	Licensed Representative (Provisional Licence)	1, 6
ANANTHARAM Vishweshwar	ATM017	Licensed Representative (Provisional Licence)	9
ANG Sok Han	BLF878	Licensed Representative (Provisional Licence)	1
AU Hoy Kum	BXB042	Licensed Representative (Provisional Licence)	1
AU Ka Man	BXE750	Licensed Representative (Provisional Licence)	1, 4
BARNES William Wesley	BWZ086	Licensed Representative (Provisional Licence)	1, 4, 6
BAYRON Heda Hamoy	BMQ327	Licensed Representative (Provisional Licence)	1
BHANDARI Rahul	BXF353	Licensed Representative (Provisional Licence)	1
BHATTACHARYA Kaunteya	BJZ042	Licensed Representative (Provisional Licence)	9
BING Jun	BWZ446	Licensed Representative (Provisional Licence)	1, 6
BOLON Jonathan Quinn	ARH276	Licensed Representative (Provisional Licence)	9
BORAD Milan Bhavan	BXF260	Licensed Representative (Provisional Licence)	1
BUT Chi Kwan	BFP249	Licensed Representative (Provisional Licence)	1, 2
CAI Chuan	BMO267	Licensed Representative (Provisional Licence)	1, 4
CAI Yuanmou	BXC520	Licensed Representative (Provisional Licence)	1, 4, 7
CHAN Ho Ching	BXA056	Licensed Representative (Provisional Licence)	1, 4, 9
CHAN Hung Ngam, Younker	AOV343	Licensed Representative (Provisional Licence)	1, 2
CHAN Shu Hei	BXE476	Licensed Representative (Provisional Licence)	1, 4, 9
CHANG Jiayi	BXC288	Licensed Representative (Provisional Licence)	1, 6
CHAU Chun	ACS103	Licensed Representative (Provisional Licence)	1, 4
CHAU Jennifer Wai Yan	BXC707	Licensed Representative (Provisional Licence)	1, 4
CHAU Ka Yue Kenneth	BEG049	Licensed Representative (Provisional Licence)	9
CHAU TAK FAI LAMPSON	BMA756	Licensed Representative (Provisional Licence)	4, 9
CHEN Aiwei	BXB955	Licensed Representative (Provisional Licence)	1, 4
CHEN Augustine	ALF255	Licensed Representative (Provisional Licence)	4
CHEN Chi-Hsing	BWZ789	Licensed Representative (Provisional Licence)	1
CHEN Liujun	BXC554	Licensed Representative (Provisional Licence)	1
CHEN Xingtian	AXQ605	Licensed Representative (Provisional Licence)	1, 6
CHEN Yang	BWZ500	Licensed Representative (Provisional Licence)	1, 6
CHEN Yaxuan	BXA979	Licensed Representative (Provisional Licence)	6
CHEN Yifei	BXC772	Licensed Representative (Provisional Licence)	1, 4
CHEN Yubing	BWW428	Licensed Representative (Provisional Licence)	1, 4, 9
CHEN Yuhao	BWX282	Licensed Representative (Provisional Licence)	1, 4
CHEN Zhanpei	BWZ218	Licensed Representative (Provisional Licence)	1, 6
CHEN Zhengnan	BRR691	Licensed Representative (Provisional Licence)	4, 9
CHENG Bize	BXB343	Licensed Representative (Provisional Licence)	1, 6
CHENG Wing Lam	BWZ153	Licensed Representative (Provisional Licence)	4, 9
CHEUNG Chun Hung	BXC076	Licensed Representative (Provisional Licence)	4, 6
CHEUNG Ho Kwok	BXE601	Licensed Representative (Provisional Licence)	1, 9
CHEUNG Priscilla Po Kwan	BXA955	Licensed Representative (Provisional Licence)	1, 2, 7
CHIANG PEIYU	BWZ558	Licensed Representative (Provisional Licence)	1, 6
CHIN Tsz Wai	BMS679	Licensed Representative (Provisional Licence)	9
CHNG Jia Zhi	BXC705	Licensed Representative (Provisional Licence)	9
CHOI Ting Fung	BXE437	Licensed Representative (Provisional Licence)	6
CHONG Tung Yim	BXD835	Licensed Representative (Provisional Licence)	1, 4
CHOUBISA Ayan	BXC219	Licensed Representative (Provisional Licence)	1, 2
CHOW Kin Hang	BRJ369	Licensed Representative (Provisional Licence)	1, 4
CHU Hiu Wai	BXE689	Licensed Representative (Provisional Licence)	6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2025

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHUA Siong Khim	ALI262	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 9
CHUI Lai Man	BPM528	Licensed Representative (Provisional Licence)	1
CHUNG Kwong San	APW909	Licensed Representative (Provisional Licence)	2, 5
CHUNG LONG FUNG	BXB656	Licensed Representative (Provisional Licence)	1, 4
COHEN-ADDAD Nicolas Paul	AHR321	Licensed Representative (Provisional Licence)	1, 4, 9
COOK Scott Geoffrey	BXB632	Licensed Representative (Provisional Licence)	1, 4
CUI Qijia	BXE082	Licensed Representative (Provisional Licence)	1
DANG Jianyu	BXD860	Licensed Representative (Provisional Licence)	4
DENG Chuwen	BXA753	Licensed Representative (Provisional Licence)	6
DING Jialu	BXB870	Licensed Representative (Provisional Licence)	1
DING Mingming	BWY896	Licensed Representative (Provisional Licence)	1, 6
DING SHAONAN	BXE637	Licensed Representative (Provisional Licence)	1, 6
DONG Chenchen	BXA265	Licensed Representative (Provisional Licence)	1, 6
EARLY Paul David	AYW039	Licensed Representative (Provisional Licence)	1, 4
FAN Meng-Han	BXE305	Licensed Representative (Provisional Licence)	1
FAN Yaoxing	BXB020	Licensed Representative (Provisional Licence)	1
FAN Yujing	BXC568	Licensed Representative (Provisional Licence)	4
FAN Zhe	BXA169	Licensed Representative (Provisional Licence)	1, 6
FANG Jing	BXA651	Licensed Representative (Provisional Licence)	1, 6
FANG JingHong	BWZ314	Licensed Representative (Provisional Licence)	1
FANG Lingzhi	BOL305	Licensed Representative (Provisional Licence)	1, 4, 9
FANG Yibin	BRE524	Licensed Representative (Provisional Licence)	1, 4, 9
FENG Shuyao	BXA808	Licensed Representative (Provisional Licence)	1, 4
FENG Yuan	BWZ066	Licensed Representative (Provisional Licence)	1, 6
FENG Zibing	BXA531	Licensed Representative (Provisional Licence)	1, 2
FONG Ernest Chun Ming	BWZ308	Licensed Representative (Provisional Licence)	1
FU Yixin	BXA421	Licensed Representative (Provisional Licence)	1, 2
FU Zhiwu	BXA920	Licensed Representative (Provisional Licence)	1, 6
FUKUSHIMA Kodai	BXF070	Licensed Representative (Provisional Licence)	1, 4
FUNG Yau Hong, Dominic	BXC521	Licensed Representative (Provisional Licence)	1, 4, 6
GAILLARD Clement Christian Guy	BWW254	Licensed Representative (Provisional Licence)	9
GAO Jin	BWX371	Licensed Representative (Provisional Licence)	1, 4
GAO Manxi	BSF824	Licensed Representative (Provisional Licence)	1, 4, 9
GAO Thomas	BXB192	Licensed Representative (Provisional Licence)	1, 2
GAO YUAN	BXC025	Licensed Representative (Provisional Licence)	1, 2
GENG Zhuolin	BXB355	Licensed Representative (Provisional Licence)	1, 4, 6
GONG Weiran	BXA465	Licensed Representative (Provisional Licence)	1, 4
GONG Yanchun	BXE030	Licensed Representative (Provisional Licence)	1, 4
GONG Yiqin	BXC221	Licensed Representative (Provisional Licence)	1, 6
GONG Ziyou	BXC050	Licensed Representative (Provisional Licence)	10
GORNALL Adrian Rubin	AIX145	Licensed Representative (Provisional Licence)	1
GUICHON Theo Osvaldo Georges	BTY128	Licensed Representative (Provisional Licence)	9
GUO Jinyan	BXB660	Licensed Representative (Provisional Licence)	9
GUO Rongrong	BUA278	Licensed Representative (Provisional Licence)	1, 6
HADDAD Gilbert	BXB369	Licensed Representative (Provisional Licence)	1
HAN Lu	BTB994	Licensed Representative (Provisional Licence)	1, 2
HAN MINGXUAN	BXE217	Licensed Representative (Provisional Licence)	4, 9
HAN Rui	BXD683	Licensed Representative (Provisional Licence)	1, 4
HAN YUCHEN	BXE639	Licensed Representative (Provisional Licence)	1, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2025

CE Name	CE No.	Licence Type	Types of Regulated Activity
HAO Zhiwei	BXA933	Licensed Representative (Provisional Licence)	1, 6
HARDEN Antony	BXA978	Licensed Representative (Provisional Licence)	1, 2
HARTMANN Saharat	BXC788	Licensed Representative (Provisional Licence)	1, 4, 9
HAU Wing Fai Ella	BXE428	Licensed Representative (Provisional Licence)	1, 4, 9
HE Jianxing	BXC127	Licensed Representative (Provisional Licence)	1, 4
HE Xiaoshen	BOF577	Licensed Representative (Provisional Licence)	1, 4
HE Yilin	BWY482	Licensed Representative (Provisional Licence)	6
HE Yulei	BNX569	Licensed Representative (Provisional Licence)	1, 2, 4
HE Yuqin	BXF112	Licensed Representative (Provisional Licence)	1, 4
HENZE Brian Douglas	BXB368	Licensed Representative (Provisional Licence)	1
HINGORANI Seema Ram	BXE316	Licensed Representative (Provisional Licence)	1
HO Chin Chung	BXE270	Licensed Representative (Provisional Licence)	3
HO Sheung Chi Matthew	BXA578	Licensed Representative (Provisional Licence)	1, 2
HOGAN Jonathan Phipps	BWZ321	Licensed Representative (Provisional Licence)	1
HOSAKA Yuya	BBD362	Licensed Representative (Provisional Licence)	1, 4, 6
HOU Yunfei	BXC713	Licensed Representative (Provisional Licence)	1, 6
HSU Tak Yiu Arthur	BXB662	Licensed Representative (Provisional Licence)	1, 4
HU Guodong	BXC124	Licensed Representative (Provisional Licence)	1, 4
HU Yuting	AMK006	Licensed Representative (Provisional Licence)	4
HUANG Hao	BXE731	Licensed Representative (Provisional Licence)	1, 4
HUANG Liwen	BXB190	Licensed Representative (Provisional Licence)	6
HUANG Rongyong	BWW649	Licensed Representative (Provisional Licence)	1, 4
HUANG Sheng	BJT608	Licensed Representative (Provisional Licence)	9
HUANG Xiaojie	BXC519	Licensed Representative (Provisional Licence)	9
HUANG Yuqing	BXB650	Licensed Representative (Provisional Licence)	4, 5, 9
HUI Chun Ling	BXE895	Licensed Representative (Provisional Licence)	1, 4
HUNG Chiu Loi	AUS181	Licensed Representative (Provisional Licence)	1
JI Yuchen	BXE218	Licensed Representative (Provisional Licence)	1, 4, 6
JIA Lei	BWX419	Licensed Representative (Provisional Licence)	1, 2, 4
JIA Shijian	BXA166	Licensed Representative (Provisional Licence)	1, 6
JIAN Weipeng	BXE324	Licensed Representative (Provisional Licence)	1, 4
JIANG Aitong	BXE840	Licensed Representative (Provisional Licence)	1, 2
JIANG Hantian	BXA382	Licensed Representative (Provisional Licence)	1, 6
JIANG Shiping	BXB708	Licensed Representative (Provisional Licence)	1, 4
JIANG Vivian	BSL496	Licensed Representative (Provisional Licence)	1
JIAO Jinjun	BTJ804	Licensed Representative (Provisional Licence)	1, 6
JIN Tian	BXA822	Licensed Representative (Provisional Licence)	4
KAJIYAMA Toyohiro	BXB400	Licensed Representative (Provisional Licence)	1, 2
KAN Cheuk Ying	BTP429	Licensed Representative (Provisional Licence)	1, 4, 9
KANG Ho Sang	BHF431	Licensed Representative (Provisional Licence)	1
KANG Kai	BGH497	Licensed Representative (Provisional Licence)	4, 9
KANG Yun-Hsuan	BMV429	Licensed Representative (Provisional Licence)	4
KHUT Dhawal Shaileshkumar	BXB785	Licensed Representative (Provisional Licence)	4
KIM Kyehyun	BXB705	Licensed Representative (Provisional Licence)	1
KIM Min	BWY535	Licensed Representative (Provisional Licence)	1, 4, 9
KING Matthew Grant	BXC778	Licensed Representative (Provisional Licence)	1
KONG Lingshu	BPJ277	Licensed Representative (Provisional Licence)	1, 6
KWAN Ming Tak, Milton	BHB478	Licensed Representative (Provisional Licence)	9
LAI Boliang	BXC372	Licensed Representative (Provisional Licence)	1, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2025

CE Name	CE No.	Licence Type	Types of Regulated Activity
LAI Zhitong	BWY465	Licensed Representative (Provisional Licence)	1, 2, 4
LAM Derek Matthew	AXV404	Licensed Representative (Provisional Licence)	1, 6
LAM Gerald Zin-Lok	BXE986	Licensed Representative (Provisional Licence)	1, 4
LAM Nicholas Wei Guo	BXD633	Licensed Representative (Provisional Licence)	4, 9
LAM Nim Ching	BXA899	Licensed Representative (Provisional Licence)	1, 4, 6
LAM Pui Wang	BXD958	Licensed Representative (Provisional Licence)	1, 2
LAM Yin Tung	BXB034	Licensed Representative (Provisional Licence)	1
LAM Zan Kin	BVH933	Licensed Representative (Provisional Licence)	1, 4
LAU Chi Cheung, Gordon	BQB284	Licensed Representative (Provisional Licence)	1, 2, 3, 4
LAU Wing Han April	BXA940	Licensed Representative (Provisional Licence)	1, 4
LEE Chak Lam	BXD652	Licensed Representative (Provisional Licence)	1, 2
LEE Haejoon	BRM033	Licensed Representative (Provisional Licence)	1
LEE Hung Pang	BXC727	Licensed Representative (Provisional Licence)	1, 2
LEE Jooho	AWH795	Licensed Representative (Provisional Licence)	1, 4
LEE Sze Wan	BDY743	Licensed Representative (Provisional Licence)	1, 2, 4, 5
LEI Juncheng	BTP310	Licensed Representative (Provisional Licence)	4
LEUNG Chun Yin	APV053	Licensed Representative (Provisional Licence)	9
LI BOYI	BXA737	Licensed Representative (Provisional Licence)	9
LI Edwin Chun Yeung	BCG370	Licensed Representative (Provisional Licence)	1, 4, 7
LI Enhui	BKT285	Licensed Representative (Provisional Licence)	1, 4
LI Han	BXE706	Licensed Representative (Provisional Licence)	4
LI Hongchao	BQF103	Licensed Representative (Provisional Licence)	1, 6
LI Huan	BXC773	Licensed Representative (Provisional Licence)	1, 4, 6
LI Lucheng	BKL414	Licensed Representative (Provisional Licence)	1, 4, 9
LI Minhao	BXA091	Licensed Representative (Provisional Licence)	6
LI Rujian	BWX045	Licensed Representative (Provisional Licence)	4
LI Shaojie	BVK754	Licensed Representative (Provisional Licence)	1
LI Sheng	BPX573	Licensed Representative (Provisional Licence)	1, 2, 4, 5
LI Shuangwen	BXD696	Licensed Representative (Provisional Licence)	1, 4, 9
LI Suheng	BWY893	Licensed Representative (Provisional Licence)	5
LI Tai	BOK534	Licensed Representative (Provisional Licence)	1, 4
LI Tianyi	BXA498	Licensed Representative (Provisional Licence)	6
LI Wangyang	BXA701	Licensed Representative (Provisional Licence)	1
LI Wei	BWZ120	Licensed Representative (Provisional Licence)	1, 2
LI Wenyuan	BWW615	Licensed Representative (Provisional Licence)	1, 6
LI Xiaofan	BXC449	Licensed Representative (Provisional Licence)	1, 2
LI Xiaokun	BXA971	Licensed Representative (Provisional Licence)	1, 4
LI Xue	BXC481	Licensed Representative (Provisional Licence)	1, 4, 9
LI Xujia	BXA253	Licensed Representative (Provisional Licence)	4
LI Yunjia	BSY094	Licensed Representative (Provisional Licence)	1, 4
LI Zekang	BXA568	Licensed Representative (Provisional Licence)	1, 2
LI Zhihang	BXF242	Licensed Representative (Provisional Licence)	4, 9
LI Zhiwei	BWZ022	Licensed Representative (Provisional Licence)	1, 6
LIE Timothy Liputra	BXF359	Licensed Representative (Provisional Licence)	1
LILEEVA Elena	BWF522	Licensed Representative (Provisional Licence)	1, 4, 9
LIM Hwei Yin, Jaclyn	AOB427	Licensed Representative (Provisional Licence)	1
LIN Disheng	BLH633	Licensed Representative (Provisional Licence)	4, 9
LIN Dongyue	BXC044	Licensed Representative (Provisional Licence)	1, 6
LIN Hoi Kit	BXB352	Licensed Representative (Provisional Licence)	1, 4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2025

CE Name	CE No.	Licence Type	Types of Regulated Activity
LIN Jinlin	BTK937	Licensed Representative (Provisional Licence)	1, 4, 9
LIN Laifei	BWZ084	Licensed Representative (Provisional Licence)	1, 6
LIN Weibin	BBG416	Licensed Representative (Provisional Licence)	1, 4, 9
LIN Wenyu	BXB692	Licensed Representative (Provisional Licence)	1, 7
LIN Yen-Tsung	BXE307	Licensed Representative (Provisional Licence)	1
LIN Yue	BWY580	Licensed Representative (Provisional Licence)	6
LIN Yuxin	BWZ851	Licensed Representative (Provisional Licence)	1, 4, 9
LIN Zeyu	BXB035	Licensed Representative (Provisional Licence)	4
LIU Ao	BXC220	Licensed Representative (Provisional Licence)	1, 6
LIU Changzhi	BXB022	Licensed Representative (Provisional Licence)	6
LIU JIAXIN	BXA608	Licensed Representative (Provisional Licence)	9
LIU Liang	BGE209	Licensed Representative (Provisional Licence)	1, 4, 9
LIU Lin	BXC684	Licensed Representative (Provisional Licence)	1, 6
LIU Miao	BWY635	Licensed Representative (Provisional Licence)	1, 2
LIU PEIYUAN	BXC398	Licensed Representative (Provisional Licence)	1, 4
LIU Qingyang	BXC555	Licensed Representative (Provisional Licence)	1, 2, 4
LIU Xiaochen	BXB581	Licensed Representative (Provisional Licence)	1
LIU Yinuo	BXB904	Licensed Representative (Provisional Licence)	1, 6
LIU Yuming	BXE111	Licensed Representative (Provisional Licence)	6
LIU Zhaoming	BWW480	Licensed Representative (Provisional Licence)	1, 6
LO Kin Fan Karena	BXE579	Licensed Representative (Provisional Licence)	1, 9
LONG Yuxin	BXC285	Licensed Representative (Provisional Licence)	4
LU Jianjie	BKC043	Licensed Representative (Provisional Licence)	9
LU Meng	BXB714	Licensed Representative (Provisional Licence)	1
LU Yi	BXB865	Licensed Representative (Provisional Licence)	1, 4
LUK Pui Chi	AWJ279	Licensed Representative (Provisional Licence)	6
LUM Wai Yee	BNS710	Licensed Representative (Provisional Licence)	4, 9
LUO Bin	BWZ221	Licensed Representative (Provisional Licence)	1, 6
LUO Jiayi	BWZ850	Licensed Representative (Provisional Licence)	1
LUO Lin	BXA033	Licensed Representative (Provisional Licence)	1, 4, 9
LUO Yilan	BXA728	Licensed Representative (Provisional Licence)	9
LYNN Garrett Mark	BWW046	Licensed Representative (Provisional Licence)	1, 2
LYU Pingu	BXC692	Licensed Representative (Provisional Licence)	1, 6
LYU Siyi	BXA908	Licensed Representative (Provisional Licence)	1, 4
MA Ruotong	BXB284	Licensed Representative (Provisional Licence)	1
MAK Tsz Kin	BXA738	Licensed Representative (Provisional Licence)	1, 4, 6
MAO Shuhan	BXD682	Licensed Representative (Provisional Licence)	1, 6
MEHROTRA Saurabh Dilip	BXC524	Licensed Representative (Provisional Licence)	4
MEHTA Ansh Shailen	BXF586	Licensed Representative (Provisional Licence)	1, 4, 9
MENG Qi	BWY983	Licensed Representative (Provisional Licence)	1, 4
MENG Yuan	BXE034	Licensed Representative (Provisional Licence)	4
MIAO Yu	BXA168	Licensed Representative (Provisional Licence)	1, 6
MITTAL Saksham	BXD774	Licensed Representative (Provisional Licence)	1, 2, 4, 5
MIZUNO Tomomi	BXB798	Licensed Representative (Provisional Licence)	2, 5
MORALES Nicholas Tillman	BVH257	Licensed Representative (Provisional Licence)	1, 4
NAM Chun Ho	BOG203	Licensed Representative (Provisional Licence)	1
NAYAGAM David Priyanjan Anthony Xeiss	BXA074	Licensed Representative (Provisional Licence)	4
NG Salas	BXB256	Licensed Representative (Provisional Licence)	1
NG Tsz Ying	BXA181	Licensed Representative (Provisional Licence)	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2025

CE Name	CE No.	Licence Type	Types of Regulated Activity
NG Wai Kei	BWZ578	Licensed Representative (Provisional Licence)	1, 2
NI Huayang	BXE055	Licensed Representative (Provisional Licence)	1, 4
OU Guangsen	BXC218	Licensed Representative (Provisional Licence)	1, 6
PADMANABHAN Dushyant	BXA588	Licensed Representative (Provisional Licence)	9
PAN Yuzhu	BXB661	Licensed Representative (Provisional Licence)	1, 6
PANG Jihong	BXE663	Licensed Representative (Provisional Licence)	1, 4
PARBROOK Robin Stewart	ACI102	Licensed Representative (Provisional Licence)	4, 9
PARK Su Bin	BXC774	Licensed Representative (Provisional Licence)	1, 4
PARK Sun Jae	BXD901	Licensed Representative (Provisional Licence)	1, 2, 4
PEI Shihua	BXD694	Licensed Representative (Provisional Licence)	1
PENG Jianyao	BXA248	Licensed Representative (Provisional Licence)	1, 6
PREST Simon John	BJQ806	Licensed Representative (Provisional Licence)	1, 7
PRIOUX Ines	BXB159	Licensed Representative (Provisional Licence)	1, 2
PURCELL Tristan Tobias	BXD722	Licensed Representative (Provisional Licence)	1
QI Changyue	BWZ358	Licensed Representative (Provisional Licence)	1, 4, 6
QIAO Tianyu	BWV589	Licensed Representative (Provisional Licence)	1
QIN Zhiyin	BQK559	Licensed Representative (Provisional Licence)	1, 4
QIU Shichao	BXC575	Licensed Representative (Provisional Licence)	1, 4
QU Yaning	BXB111	Licensed Representative (Provisional Licence)	1, 4, 9
RIUMIN Aleksandr	BXF381	Licensed Representative (Provisional Licence)	6
RODRIGUES Sean Dominic	BXD676	Licensed Representative (Provisional Licence)	1
SAKAE Satoshi	BWI037	Licensed Representative (Provisional Licence)	4
SERLE Andrew Geoffrey	BXA075	Licensed Representative (Provisional Licence)	4
SHA Xiuyan	BXE127	Licensed Representative (Provisional Licence)	6
SHARKEY Barry William	BXA765	Licensed Representative (Provisional Licence)	1, 4
SHEN Shaowei	BXA693	Licensed Representative (Provisional Licence)	1
SHEN Xu	BSG573	Licensed Representative (Provisional Licence)	4
SHI Shan	BWZ905	Licensed Representative (Provisional Licence)	1
SO Ka Wai	BKH987	Licensed Representative (Provisional Licence)	1, 4, 9
SO Wang Wai	BXD834	Licensed Representative (Provisional Licence)	1, 4
SU Luyuwan	BXA501	Licensed Representative (Provisional Licence)	4
SU Tao	BXA502	Licensed Representative (Provisional Licence)	1, 6
SU Yun	BXB152	Licensed Representative (Provisional Licence)	6
SUN Qi	BWZ223	Licensed Representative (Provisional Licence)	1, 6
SUN Runkai	BTD915	Licensed Representative (Provisional Licence)	6
SUN Shangyun	BJW317	Licensed Representative (Provisional Licence)	1, 6
SUN Yingying	BWX370	Licensed Representative (Provisional Licence)	1, 4
SUN Yufan	BXA567	Licensed Representative (Provisional Licence)	4
SUN Yulong	BXE768	Licensed Representative (Provisional Licence)	1
SZE Yuk Lung	BKG801	Licensed Representative (Provisional Licence)	1
TAM Ka Chun	BRD974	Licensed Representative (Provisional Licence)	1, 4
TAM Kim Wai Joseph	BEO593	Licensed Representative (Provisional Licence)	4, 9
TAN Ilisa	BXA591	Licensed Representative (Provisional Licence)	1, 2
TAN Toon Leng	BDM837	Licensed Representative (Provisional Licence)	1
TANG Moxin	BXE722	Licensed Representative (Provisional Licence)	1, 6
TANG Shu	BWZ357	Licensed Representative (Provisional Licence)	1, 6
TANG Sin Heng	BWW014	Licensed Representative (Provisional Licence)	1, 4, 6
TANG Wei-Tong	BWZ318	Licensed Representative (Provisional Licence)	1, 4, 9
TANG Wenhao	BXA630	Licensed Representative (Provisional Licence)	1, 4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2025

CE Name	CE No.	Licence Type	Types of Regulated Activity
TANG Wing Hang	AXO388	Licensed Representative (Provisional Licence)	1, 4, 9
TANUWIDJAJA Sherly	BXC782	Licensed Representative (Provisional Licence)	1, 2
THAKARSHI Kishan Jitesh	BWZ080	Licensed Representative (Provisional Licence)	4, 9
TIAN Kexin	BXC069	Licensed Representative (Provisional Licence)	1, 6
TIWARI Varna	BWY378	Licensed Representative (Provisional Licence)	1, 2
TONG Ka Sin	BXB033	Licensed Representative (Provisional Licence)	1
TSAI Che Nan	BNN713	Licensed Representative (Provisional Licence)	9
TSAI Shiang An	BXC516	Licensed Representative (Provisional Licence)	1
TSAO Wing Yin	BXA415	Licensed Representative (Provisional Licence)	1, 4
TSE Yat Long	BXA727	Licensed Representative (Provisional Licence)	9
TSO Ka Yee Ashley	BXE293	Licensed Representative (Provisional Licence)	1, 4
TUSI Jessica Yijia	BOD510	Licensed Representative (Provisional Licence)	1, 2
USHIJIMA Koh	BOT005	Licensed Representative (Provisional Licence)	9
VAN DEN BULKE Eugene Mathieu	BXB363	Licensed Representative (Provisional Licence)	1, 2, 7
WAN Shuhan	BXD810	Licensed Representative (Provisional Licence)	1, 7
WANG Boxuan	BWZ417	Licensed Representative (Provisional Licence)	1, 2, 4
WANG Eson	APB798	Licensed Representative (Provisional Licence)	1
WANG Jichao	BXC208	Licensed Representative (Provisional Licence)	9
WANG Junqi	BWY683	Licensed Representative (Provisional Licence)	6
WANG Kaifan	BNR810	Licensed Representative (Provisional Licence)	4, 9
WANG Liu	BWY861	Licensed Representative (Provisional Licence)	1, 2, 4
WANG Ran	BXA825	Licensed Representative (Provisional Licence)	1, 4
WANG Xin	BXE702	Licensed Representative (Provisional Licence)	1, 4
WANG Xin	BXB659	Licensed Representative (Provisional Licence)	4, 9
WANG Xinyuan	BXC468	Licensed Representative (Provisional Licence)	1
WANG Yajuan	BWY666	Licensed Representative (Provisional Licence)	6
WANG Yuan	BAD323	Licensed Representative (Provisional Licence)	9
WANG Yuqiao	BWW531	Licensed Representative (Provisional Licence)	4
WANG Zhiyue	BXA741	Licensed Representative (Provisional Licence)	1
WANG Zirong	BXB690	Licensed Representative (Provisional Licence)	1, 4, 9
WATSON Peter Frederick John	BXB631	Licensed Representative (Provisional Licence)	1, 4
WEI Hong Fu	BQV809	Licensed Representative (Provisional Licence)	9
WEI Menglin	BWV978	Licensed Representative (Provisional Licence)	4
WEN Rui	BXC439	Licensed Representative (Provisional Licence)	1
WOJDYLA Dani Marie	BRX008	Licensed Representative (Provisional Licence)	1, 2, 4
WONG Chun Ho	AYA928	Licensed Representative (Provisional Licence)	1, 4
WONG Chung Man Jonathan	BXC709	Licensed Representative (Provisional Licence)	1
WONG Kin Fan	BXE157	Licensed Representative (Provisional Licence)	1
WONG Pak To	BDQ882	Licensed Representative (Provisional Licence)	1, 2
WONG Shing Yip	BXE729	Licensed Representative (Provisional Licence)	1, 4
WONG Wai Ho Johnny	BXE254	Licensed Representative (Provisional Licence)	4, 9
WONG Yan Yi	BWV922	Licensed Representative (Provisional Licence)	1, 4
WU Huanyan	BWZ165	Licensed Representative (Provisional Licence)	1, 4
WU Jinze	BXA976	Licensed Representative (Provisional Licence)	2, 5
WU Linfei	BWY949	Licensed Representative (Provisional Licence)	1, 2, 4
WU Qingrong	BXE957	Licensed Representative (Provisional Licence)	9
WU Yifei	BXB823	Licensed Representative (Provisional Licence)	1, 2
WU Yueh Ling	BPW984	Licensed Representative (Provisional Licence)	1, 4, 9
XI Si	BXA796	Licensed Representative (Provisional Licence)	1, 4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2025

CE Name	CE No.	Licence Type	Types of Regulated Activity
XIAO Chimin	BOS311	Licensed Representative (Provisional Licence)	1, 4
XIAO Han	BOB352	Licensed Representative (Provisional Licence)	1, 4, 9
XIAO Hanjin	BXC820	Licensed Representative (Provisional Licence)	1, 4
XIAO Xiao	BWZ941	Licensed Representative (Provisional Licence)	1
XIAO Yuchao	BFE698	Licensed Representative (Provisional Licence)	1, 4, 9
XIE Jinchao	BEN368	Licensed Representative (Provisional Licence)	1
XIE Xinling	BXE396	Licensed Representative (Provisional Licence)	6
XIE Yingwen	BXC057	Licensed Representative (Provisional Licence)	1, 4, 9
XIONG Yemei	BRG795	Licensed Representative (Provisional Licence)	4
XU Fan	BNS113	Licensed Representative (Provisional Licence)	1, 6
XU Fang	BWZ024	Licensed Representative (Provisional Licence)	1, 4, 9
XU Jiantao	BXC513	Licensed Representative (Provisional Licence)	6
XU Mingze	BXC703	Licensed Representative (Provisional Licence)	6
XU Shiran	BXA589	Licensed Representative (Provisional Licence)	1, 4
XU Weidong	BXE144	Licensed Representative (Provisional Licence)	6
XU Weimin	BWZ936	Licensed Representative (Provisional Licence)	1, 6
XU Xiaoxuan	BWY539	Licensed Representative (Provisional Licence)	6
XU Yanwei	BWY807	Licensed Representative (Provisional Licence)	1, 6
XU Yunjie	BXA029	Licensed Representative (Provisional Licence)	1, 6
YAMASHITA Aiko	BXF153	Licensed Representative (Provisional Licence)	1, 2, 4
YAN Zijun	BXE547	Licensed Representative (Provisional Licence)	1, 4, 6
YANG Boya	BXB834	Licensed Representative (Provisional Licence)	9
YANG Chenhao	BWY464	Licensed Representative (Provisional Licence)	1, 2, 4
YANG Jing	BXC469	Licensed Representative (Provisional Licence)	6
YANG Lu	BXB891	Licensed Representative (Provisional Licence)	6
YANG Xi	BRI319	Licensed Representative (Provisional Licence)	1, 4
YANG Yang	BXB273	Licensed Representative (Provisional Licence)	1
YANG Zekun	BXE652	Licensed Representative (Provisional Licence)	1, 6
YANG Zizhou	BHY110	Licensed Representative (Provisional Licence)	9
YAO Yefei	BUN676	Licensed Representative (Provisional Licence)	1, 4
YEUNG Hiu Yee	BXC399	Licensed Representative (Provisional Licence)	1, 2
YEUNG Ming Yue Victor	BKV247	Licensed Representative (Provisional Licence)	1, 4
YI Jian	BXB820	Licensed Representative (Provisional Licence)	9
YIN Jia	BXB605	Licensed Representative (Provisional Licence)	1
YU Bin	BXC237	Licensed Representative (Provisional Licence)	1, 2, 4, 9
YU CHI YING	BXC068	Licensed Representative (Provisional Licence)	1, 6
YU Fengyuan	BXD813	Licensed Representative (Provisional Licence)	6
YU Peng	BWZ169	Licensed Representative (Provisional Licence)	6
YUAN Chengyue	BVO695	Licensed Representative (Provisional Licence)	4
YUAN Yuxuan	BXE048	Licensed Representative (Provisional Licence)	1, 6
YUE Sumeng	BRD850	Licensed Representative (Provisional Licence)	6
ZHANG Hongye	BXE827	Licensed Representative (Provisional Licence)	1
ZHANG Hui	BWU637	Licensed Representative (Provisional Licence)	1, 2, 3, 4
ZHANG Huimin	BXD681	Licensed Representative (Provisional Licence)	1, 2, 4
ZHANG Jinyan	BXE336	Licensed Representative (Provisional Licence)	1, 4
ZHANG Jun	BXA655	Licensed Representative (Provisional Licence)	6
ZHANG Junwang	BWZ912	Licensed Representative (Provisional Licence)	1, 6
ZHANG Kai	BXA883	Licensed Representative (Provisional Licence)	4
ZHANG Qian	BWX029	Licensed Representative (Provisional Licence)	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2025

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHANG Ruifeng	BXF156	Licensed Representative (Provisional Licence)	1, 6
ZHANG Ruilin	BXC042	Licensed Representative (Provisional Licence)	1, 6
ZHANG Xialu	BXD934	Licensed Representative (Provisional Licence)	1, 4, 9
ZHANG Xiaoqing	BWY677	Licensed Representative (Provisional Licence)	1, 6
ZHANG Yihao	BXB191	Licensed Representative (Provisional Licence)	6
ZHANG Yiming	BXB836	Licensed Representative (Provisional Licence)	9
ZHANG Yuanxin	BXC689	Licensed Representative (Provisional Licence)	1, 6
ZHANG Yunfeng	BTT068	Licensed Representative (Provisional Licence)	4
ZHANG Yuting	BXC365	Licensed Representative (Provisional Licence)	1, 6
ZHANG Zhengwei	BXB492	Licensed Representative (Provisional Licence)	1, 6
ZHANG Zhiling	BGA975	Licensed Representative (Provisional Licence)	1, 2, 4, 5
ZHANG Zhiyang	BRC251	Licensed Representative (Provisional Licence)	1, 4
ZHANG Zhouyuan	BXD937	Licensed Representative (Provisional Licence)	1
ZHAO BOQIAN	BXA306	Licensed Representative (Provisional Licence)	1, 2
ZHAO Yang	BWZ756	Licensed Representative (Provisional Licence)	1, 4, 6
ZHAO Zhonghong	BXE213	Licensed Representative (Provisional Licence)	6
ZHENG Huili	BWW625	Licensed Representative (Provisional Licence)	4, 9
ZHENG Qingchuan	BXC766	Licensed Representative (Provisional Licence)	1, 6
ZHENG Yu	BQI535	Licensed Representative (Provisional Licence)	1, 4, 9
ZHENG Yusen	BXC366	Licensed Representative (Provisional Licence)	6
ZHONG Jun	BXE323	Licensed Representative (Provisional Licence)	1, 6
ZHOU Qian	BXA805	Licensed Representative (Provisional Licence)	9
ZHOU Xi	BWU591	Licensed Representative (Provisional Licence)	1, 2
ZHOU Xiangling	BUO555	Licensed Representative (Provisional Licence)	9
ZHOU Xiangyu	BXA524	Licensed Representative (Provisional Licence)	6
ZHOU Zining	BXD800	Licensed Representative (Provisional Licence)	1
ZHU Chenxi	BWZ555	Licensed Representative (Provisional Licence)	1, 6
ZHU Licen	BXC043	Licensed Representative (Provisional Licence)	1, 6
ZHU Ling	BXB025	Licensed Representative (Provisional Licence)	1, 4, 9
ZHU Neyan	BXB287	Licensed Representative (Provisional Licence)	1
ZHUANG Yancheng	BGD170	Licensed Representative (Provisional Licence)	6
ZOU Zhou	BXB775	Licensed Representative (Provisional Licence)	9
ABAQUITA Micaela Gabrielle	BUY254	Licensed Representative (Temporary Licence)	4
ADAMS David Steven	BUI281	Licensed Representative (Temporary Licence)	4
AGARWAL Vishal	BOB456	Licensed Representative (Temporary Licence)	1, 2
AKE David Everett	BUC011	Licensed Representative (Temporary Licence)	1, 4
ALLEN Thomas James	BTL131	Licensed Representative (Temporary Licence)	4
ANN Jie Xun Barry	BUA637	Licensed Representative (Temporary Licence)	4
ANTROBUS Oliver John	AWT520	Licensed Representative (Temporary Licence)	4
AON Rwbhu	BWN047	Licensed Representative (Temporary Licence)	4
BAGLIEN Jerome Stephen	BXC048	Licensed Representative (Temporary Licence)	1
BANSAL Sukriti	BOB594	Licensed Representative (Temporary Licence)	4
BAXTER Melinda Kate	BCP840	Licensed Representative (Temporary Licence)	4
BEDOUET Jerome Frederic Francois	BIN300	Licensed Representative (Temporary Licence)	1
BOULUS Christopher Gregory	BVF556	Licensed Representative (Temporary Licence)	4
BULLOCK Matthew John	BTV477	Licensed Representative (Temporary Licence)	1, 4
BUSHEHRI Alaa	BVO265	Licensed Representative (Temporary Licence)	4
BYUN Kara Kyung Wha	APJ708	Licensed Representative (Temporary Licence)	1, 4
CALVETTI Adam	BXC723	Licensed Representative (Temporary Licence)	4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2025

CE Name	CE No.	Licence Type	Types of Regulated Activity
CAMPBELL Blake Irvine	AYK717	Licensed Representative (Temporary Licence)	1, 2
CASTLE Jarrod	AWA482	Licensed Representative (Temporary Licence)	4
CHAE Minsook	BTJ527	Licensed Representative (Temporary Licence)	4
CHAKRABORTY Joydeep	ASS640	Licensed Representative (Temporary Licence)	6
CHAKRABORTY Samiran	BGU585	Licensed Representative (Temporary Licence)	4
CHAMBOULIVE Clement	BXC335	Licensed Representative (Temporary Licence)	1
CHANG Kai-Jung	BTW542	Licensed Representative (Temporary Licence)	4
CHENG Guillaume Matthew Hsien Jun	BPK623	Licensed Representative (Temporary Licence)	2
CHENG Pei	BWV527	Licensed Representative (Temporary Licence)	4
CHEONG Rebecca Ka Wing	BIQ011	Licensed Representative (Temporary Licence)	1
CHEW Kar Yue Bernice	BVQ448	Licensed Representative (Temporary Licence)	4
CHINNERY Michelle Anne	ARD431	Licensed Representative (Temporary Licence)	1
CHO A-Hyung	BTG868	Licensed Representative (Temporary Licence)	4
CHOI Jae Hyung	BDG877	Licensed Representative (Temporary Licence)	4
CHOPRA Raashi	BEE682	Licensed Representative (Temporary Licence)	4
CHUA Minguang Donald	BEF380	Licensed Representative (Temporary Licence)	4
CLAVEL Mathieu Pierre Luc	BGG689	Licensed Representative (Temporary Licence)	1, 4
COLLINS Michael Antiporda	BUV371	Licensed Representative (Temporary Licence)	1, 4
COUSINS Shaun Robert	BTJ421	Licensed Representative (Temporary Licence)	4
DE FRANCO Carmine	BWP613	Licensed Representative (Temporary Licence)	4
DE MARIA CAMPOS Andreas	BWY782	Licensed Representative (Temporary Licence)	1
DHEKALE Udit Vitthalrao	BXB591	Licensed Representative (Temporary Licence)	4
DUNGER Matthew John	BFF148	Licensed Representative (Temporary Licence)	4
EGAN Kevin Thomas	ASC764	Licensed Representative (Temporary Licence)	4
ERARD Lukas Christian David	BWB317	Licensed Representative (Temporary Licence)	1, 4
FENG Lixue	BLL054	Licensed Representative (Temporary Licence)	1, 4
FLETCHER Rachel Elizabeth	BXC301	Licensed Representative (Temporary Licence)	4
GABRIEL Lukas Fabian	BXC046	Licensed Representative (Temporary Licence)	1
GAO Lu	ATB718	Licensed Representative (Temporary Licence)	1, 4
GAPEN Michael Timothy	BXE480	Licensed Representative (Temporary Licence)	4
GARRE Venugopal	AML716	Licensed Representative (Temporary Licence)	4
GASQUERES Eric Yuya Francis	ATI745	Licensed Representative (Temporary Licence)	1, 2
GHOSH Dipanjan	BTO375	Licensed Representative (Temporary Licence)	4
GOMEZ Lionel Philippe	BVI802	Licensed Representative (Temporary Licence)	4
GUILLIARD Joshua Thomas	BUF760	Licensed Representative (Temporary Licence)	1, 2
GUO Dawei	BVQ551	Licensed Representative (Temporary Licence)	4
GUPTA Vikrant	BVO099	Licensed Representative (Temporary Licence)	4
GURUVANICH Aekapop	BUO451	Licensed Representative (Temporary Licence)	1, 2
HA Taekyoung	BVO339	Licensed Representative (Temporary Licence)	4
HANDA Saurabh	BFA991	Licensed Representative (Temporary Licence)	4
HANDERSON Timothy	BNJ858	Licensed Representative (Temporary Licence)	4
HAYES David Michael	BTT182	Licensed Representative (Temporary Licence)	1
HILLMAN Thomas Gerald	BXB624	Licensed Representative (Temporary Licence)	4
HONG Ji Woo	BUY453	Licensed Representative (Temporary Licence)	4
HOWE Mark Phillip	BXB912	Licensed Representative (Temporary Licence)	1, 2
HSUEH Chia-Yao	ANQ601	Licensed Representative (Temporary Licence)	1
HU Xunyu	BRC220	Licensed Representative (Temporary Licence)	4
HUANG Jiajun	BXC602	Licensed Representative (Temporary Licence)	1
HUANG Shiao Wern Charlene	BGA583	Licensed Representative (Temporary Licence)	1, 4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2025

CE Name	CE No.	Licence Type	Types of Regulated Activity
ISHIYAMA Kenji	BWR437	Licensed Representative (Temporary Licence)	1
JALINOOS Shahab	BVJ017	Licensed Representative (Temporary Licence)	4
JAMES Alun Richard	BBU307	Licensed Representative (Temporary Licence)	1, 2
JI Jiechao	BQB230	Licensed Representative (Temporary Licence)	4
JIANG Congying	BUQ734	Licensed Representative (Temporary Licence)	1
JUSMAN Selvie	BMR057	Licensed Representative (Temporary Licence)	4
KAAKEH Alexandre Abdelkader	BXC446	Licensed Representative (Temporary Licence)	1
KANAUMI Takashi	BXF629	Licensed Representative (Temporary Licence)	2, 5
KANG Jiyeon	BVZ140	Licensed Representative (Temporary Licence)	1
KAO Lin-Ya	BTC778	Licensed Representative (Temporary Licence)	4
KASHI Hiroki	BVI422	Licensed Representative (Temporary Licence)	1
KATZ Brandon Spencer	BXB517	Licensed Representative (Temporary Licence)	1
KEMPTON Christopher Richard	BVH062	Licensed Representative (Temporary Licence)	1, 4
KENNY Ryan Michael	BXE313	Licensed Representative (Temporary Licence)	4
KIHARA Michitaka	BXC797	Licensed Representative (Temporary Licence)	1
KIM Ahhyun	BXC466	Licensed Representative (Temporary Licence)	1, 2
KIM Dong Hyun	AMY253	Licensed Representative (Temporary Licence)	1
KOBAYASHI Takayoshi	BXD680	Licensed Representative (Temporary Licence)	1, 2
KOH Joseph Chang Way	BXC243	Licensed Representative (Temporary Licence)	4
KONG Freya	BVQ146	Licensed Representative (Temporary Licence)	4
KUECH Andrew Robert	ASZ093	Licensed Representative (Temporary Licence)	1
KUMAR Niles	BVN818	Licensed Representative (Temporary Licence)	1, 4
KUO Ware	BWO444	Licensed Representative (Temporary Licence)	4
LAW Ross Drummond Macleod	BXE154	Licensed Representative (Temporary Licence)	4
LEE Joon Ho	ATX670	Licensed Representative (Temporary Licence)	4
LEE May Lin	AVT527	Licensed Representative (Temporary Licence)	1
LEE Sei Cheol	BLY339	Licensed Representative (Temporary Licence)	4
LEMARIE Chloe Noelle	BXC582	Licensed Representative (Temporary Licence)	4
LERNER Michel Nicholas	BVU829	Licensed Representative (Temporary Licence)	4
LEVINGSTON Oliver Llewellyn	BVF295	Licensed Representative (Temporary Licence)	4
LIU Chiung-Fang	BMV071	Licensed Representative (Temporary Licence)	4
LIU Wai Kin, Ricky	BJD903	Licensed Representative (Temporary Licence)	1, 4
LIU Yu-Chiao	BUM748	Licensed Representative (Temporary Licence)	4
MAC KEIGAN Sophie Clare	BXD912	Licensed Representative (Temporary Licence)	1
MACGREGOR James Wallace	BVL114	Licensed Representative (Temporary Licence)	4
MAGHENZANI Nicholas Joseph	BTL132	Licensed Representative (Temporary Licence)	1
MAHAWAR Amit	BTO340	Licensed Representative (Temporary Licence)	4
MARSHALL Meta Alma	BXE542	Licensed Representative (Temporary Licence)	4
MAWER-DAVIES Nathan John	BWZ966	Licensed Representative (Temporary Licence)	1, 2
MC BRIDE Keenan Craig	BXC614	Licensed Representative (Temporary Licence)	1
MCALLEVEY James Kenneth	BVO346	Licensed Representative (Temporary Licence)	4
MCCARTHY Kyle A	BTD501	Licensed Representative (Temporary Licence)	1, 4
MCCLURG Scott	BTO338	Licensed Representative (Temporary Licence)	1, 4
MCINTYRE Benjamin David Peter	BXB574	Licensed Representative (Temporary Licence)	1, 4
MCVIE Robert John	AXV530	Licensed Representative (Temporary Licence)	1
MEYI Edward Louis	BUC096	Licensed Representative (Temporary Licence)	1, 4
MOALLA Randa	BWT521	Licensed Representative (Temporary Licence)	1, 2
MONG Pui Yee Perlie	BXB120	Licensed Representative (Temporary Licence)	4
MOORE JR Joseph Lawrence	BVC475	Licensed Representative (Temporary Licence)	4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2025

CE Name	CE No.	Licence Type	Types of Regulated Activity
MUIRHEAD Angus William Haig	BUQ047	Licensed Representative (Temporary Licence)	1, 4
MURATA Alfred Taketo	BLE987	Licensed Representative (Temporary Licence)	1, 4
NEDELCO Cristian Costin	BNV006	Licensed Representative (Temporary Licence)	4
NEEDHAM Cameron McIlhagga	BXE866	Licensed Representative (Temporary Licence)	4
NEWMAN David Nicholas	BWY775	Licensed Representative (Temporary Licence)	1
NG Kwok Fong Andrew	BTS928	Licensed Representative (Temporary Licence)	1, 2
NGUYEN Thi Thien Trang	BTR327	Licensed Representative (Temporary Licence)	4
NICHOLS Vincent Klaus	BUB238	Licensed Representative (Temporary Licence)	4
PANDEY Mohit	BVG210	Licensed Representative (Temporary Licence)	4
PARK Jee Hoon	ASL108	Licensed Representative (Temporary Licence)	4
PARK Sohyun	BWH626	Licensed Representative (Temporary Licence)	4
PAYNE Gareth David	BTV371	Licensed Representative (Temporary Licence)	1
PERRY JR James Arthur	AVV200	Licensed Representative (Temporary Licence)	6
PITTAWAY Nigel Clive	BEM323	Licensed Representative (Temporary Licence)	4
POONAWALA Ebrahim Huseini	BVQ656	Licensed Representative (Temporary Licence)	4
PRAKASH Pramod Kumar	BTL135	Licensed Representative (Temporary Licence)	4
PUTRA Igor Nyoman	BDR834	Licensed Representative (Temporary Licence)	4
RABINOWITZ David	AHW502	Licensed Representative (Temporary Licence)	1, 2
RAJAMANI VIVEK	BVB731	Licensed Representative (Temporary Licence)	4, 5
REALE Antonio	BXB372	Licensed Representative (Temporary Licence)	4
RICHARDS Mark Duncan	BVO268	Licensed Representative (Temporary Licence)	4
ROBINSON Brandon Taylor	BXE514	Licensed Representative (Temporary Licence)	1, 4
RUBEN Andrew Richard	BXC796	Licensed Representative (Temporary Licence)	4
RUSTAGI Amit	BLD719	Licensed Representative (Temporary Licence)	4
SALGAONKAR Sachin	ANF161	Licensed Representative (Temporary Licence)	4
SCIORTINO Joseph M	BPG673	Licensed Representative (Temporary Licence)	1, 4
SHIEH Ming-Yung	AYI567	Licensed Representative (Temporary Licence)	1
SHIELD Cody Dennis Lance	BXE504	Licensed Representative (Temporary Licence)	4
SINCLAIR Andrew James Alexander Grant	BUI400	Licensed Representative (Temporary Licence)	4
SINGH Binay	AVL367	Licensed Representative (Temporary Licence)	4
SMUTTRASEN Marisa	BWA659	Licensed Representative (Temporary Licence)	1
SOGI Miki	BUT643	Licensed Representative (Temporary Licence)	4
SONG Won Sang	ATY600	Licensed Representative (Temporary Licence)	1
SPENCE Alan Henri	BVN533	Licensed Representative (Temporary Licence)	4
STEIN Benjamin Matthew	BTN098	Licensed Representative (Temporary Licence)	4
STEPHENSON Jack Charles	BVD385	Licensed Representative (Temporary Licence)	1, 4
STREET Lee Alexander	AUE090	Licensed Representative (Temporary Licence)	4
SUBRAMANIAN Bharat Sriraman	BUO818	Licensed Representative (Temporary Licence)	4
SUBRAMANIAN Savita	BGI268	Licensed Representative (Temporary Licence)	4
SUH Jung Hoon	BVQ582	Licensed Representative (Temporary Licence)	4
SUTCLIFFE Laura Francesca Rose	BTW538	Licensed Representative (Temporary Licence)	4
SUZUKI Seiichiro	AUK287	Licensed Representative (Temporary Licence)	4
SWAMINATHAN Anand	AUW975	Licensed Representative (Temporary Licence)	4
TAN Ka Jie	BXC579	Licensed Representative (Temporary Licence)	1
TANG Di Sha	BWZ317	Licensed Representative (Temporary Licence)	10
TANG Serena Wing Yin	BHK683	Licensed Representative (Temporary Licence)	4
TAY Le Sann Jamie	BVA597	Licensed Representative (Temporary Licence)	4
TE John Victor Cabarles	BJC545	Licensed Representative (Temporary Licence)	4
TISCHLER Jonathan Seth	BVU249	Licensed Representative (Temporary Licence)	4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2025

CE Name	CE No.	Licence Type	Types of Regulated Activity
TRENT Julie Ann	BXA178	Licensed Representative (Temporary Licence)	1, 4
TRINH Henry	BXC541	Licensed Representative (Temporary Licence)	1
TUPPER Nigel Robert	ACS592	Licensed Representative (Temporary Licence)	4
VAN VOORST Michiel	APU852	Licensed Representative (Temporary Licence)	1
VISEN Shaurya Pratap Singh	BND225	Licensed Representative (Temporary Licence)	4
WANG Zijiao	BVP995	Licensed Representative (Temporary Licence)	4
WEE Yi Ning, Samantha	BTS042	Licensed Representative (Temporary Licence)	1
WEN Ruoxi	BXC244	Licensed Representative (Temporary Licence)	4
WHEELER Daire	BXC049	Licensed Representative (Temporary Licence)	1
WILKINSON James Richard	BXD745	Licensed Representative (Temporary Licence)	1, 4
WONG Chi Mai	ANP727	Licensed Representative (Temporary Licence)	1
WONG Hin Kwong	BDW700	Licensed Representative (Temporary Licence)	1
WONG Kin Man	BPY102	Licensed Representative (Temporary Licence)	1
WOOD Cameron Iain	BXD812	Licensed Representative (Temporary Licence)	1, 4
WOODRING Erik William Richard	BUI285	Licensed Representative (Temporary Licence)	4
YARA Daniel Martin	BWW652	Licensed Representative (Temporary Licence)	1, 2
YOSHIHARA Tomoko	BMO654	Licensed Representative (Temporary Licence)	4
YUAN Yicheng	BXD974	Licensed Representative (Temporary Licence)	4
ZENG Qiang	BXA281	Licensed Representative (Temporary Licence)	4
ZENG Tao	BRQ196	Licensed Representative (Temporary Licence)	4
ZHANG Yuanbin	BIG721	Licensed Representative (Temporary Licence)	1
ZHOU Weili	BXB623	Licensed Representative (Temporary Licence)	1
ZHOU Xiaoyu	BXF679	Licensed Representative (Temporary Licence)	4
ZOU Nianyi	BXC241	Licensed Representative (Temporary Licence)	4
PAO Bank Limited	BVJ909	Registered Institution	1, 4