

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2026**

CE Name	CE No.	Licence Type	Types of Regulated Acitivity
AegisLink Consulting Limited	BWE337	Licensed Corporation	1, 4, 9
Altallo Asset Management Limited	BVX239	Licensed Corporation	9
ARC Ratings (Asia Pacific) Limited	BWN689	Licensed Corporation	10
Ascendium Capital Partners Limited	BXE554	Licensed Corporation	4, 9
BAI Capital HK Management Limited	BWN158	Licensed Corporation	9
Byson Asset Management Limited	BWQ608	Licensed Corporation	4, 9
Chenteng Capital Limited	BWE190	Licensed Corporation	4, 9
East Crest Capital Limited	BWS122	Licensed Corporation	4, 9
Halo Capital (H.K.) Limited	BWL315	Licensed Corporation	4, 9
Halo Securities Limited	BWL320	Licensed Corporation	1
Hillstone Capital Limited	BWR367	Licensed Corporation	4, 9
Hong Kong Flying Tiger Asset Management Co., Limited	BWT522	Licensed Corporation	4, 9
Hong Kong ZH Capital Co., Limited	BWL364	Licensed Corporation	1, 6
Jing Pu Capital Limited	BWB157	Licensed Corporation	4, 9
Jing Pu Securities Limited	BWB161	Licensed Corporation	1
LEAN ARC INVESTMENT MANAGEMENT LIMITED	BWV870	Licensed Corporation	9
Longsure Capital Management (Hong Kong) Limited	BWK920	Licensed Corporation	4, 9
MILESTONE CAPITAL LIMITED	BXB169	Licensed Corporation	9
Orchid Tree Investment Management Limited	BWS395	Licensed Corporation	9
SMBC Nikko Securities (Hong Kong) Limited - SMBC Capital Markets (Asia) Limited (AVA667) and SMBC Nikko Securities (Hong Kong) Limited (ACO507) amalgamated on 19 March 2026, pursuant to the relevant provisions of the Companies Ordinance (Chapter 622). The amalgamated company is SMBC Nikko Securities (Hong Kong) Limited (BWU838).	BWU838	Licensed Corporation	1, 2, 4, 5, 6
Stellar Global Investment Management Limited	BWR528	Licensed Corporation	9
Surge Investment Management Limited	BWR535	Licensed Corporation	1, 4, 9
Tenucia Partners Limited	BWW317	Licensed Corporation	9
TrueAlpha Technology Investment Management Limited	BWN553	Licensed Corporation	9
Unity Capital Asset Management Limited	BWO849	Licensed Corporation	4, 9
Wanyan Capital (HK) Limited	BWY761	Licensed Corporation	4, 9
Winnova Capital Limited	BWV967	Licensed Corporation	4, 9
WISE WIN ASSET MANAGEMENT LIMITED	BWE906	Licensed Corporation	4, 9
ABE Naoki	BXN769	Licensed Representative	1, 4, 6
AGARWAL Vinayak	BXN717	Licensed Representative	4
ANDRIOT Alexandre Jerome	BXP330	Licensed Representative	1, 9
ARNAVUT Alev Mete	BEJ792	Licensed Representative	1, 9
AU Yu Yeung	BXR761	Licensed Representative	1, 2
AU-YEUNG Wai Kit	BXN854	Licensed Representative	1, 4, 9
BAILLIE STRONG Alastair William	BXR655	Licensed Representative	1
BHASIN Harshil	BXT813	Licensed Representative	1
CAI Shixin	BMH732	Licensed Representative	1, 4
CAI Xin	BXT720	Licensed Representative	1, 2
CAI Zhigang	BXL940	Licensed Representative	1, 4
CAO Dan	BKZ053	Licensed Representative	1
CAO Junhao	BXM271	Licensed Representative	4
CHAM Yin Hung	BUX811	Licensed Representative	4, 9

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2026**

CE Name	CE No.	License Type	Types of Regulated Acitivity
CHAN Hiu Ying Cissy	BXT732	Licensed Representative	1, 4
CHAN Ho Yeung	BXO102	Licensed Representative	1
CHAN Ka Ling	BXQ845	Licensed Representative	1
CHAN Ka Wai	BXP850	Licensed Representative	1, 4
CHAN Kei Chun Jacky	BXR415	Licensed Representative	1, 4, 9
CHAN Shun Hei	BXR789	Licensed Representative	1, 4, 9
CHAN Tsz Yeung	BXS365	Licensed Representative	4
CHAN Yan Yu	BXP493	Licensed Representative	1, 4, 6
CHANG CHIEN Ming-Che	BXQ487	Licensed Representative	1
CHANG Jui	BXS087	Licensed Representative	1, 4
CHANG Xinyuan	BXR853	Licensed Representative	6
CHANG Yunfeng	BXO407	Licensed Representative	1, 6
CHEN Bohan	BXS022	Licensed Representative	1
CHEN Chih Yung	ALU756	Licensed Representative	4, 9
CHEN Jiachao	BXM885	Licensed Representative	1
CHEN Liangyuan	BXR551	Licensed Representative	1
CHEN Ping	BXG906	Licensed Representative	4, 9
CHEN Shuai	BXG136	Licensed Representative	1, 4, 9
CHEN Shurui	BXT950	Licensed Representative	1
CHEN Siwei	BRI243	Licensed Representative	9
CHEN Wen	BRV888	Licensed Representative	1, 4, 9
CHEN Xiao	BXK217	Licensed Representative	4, 9
CHEN Xiaodong	BXO488	Licensed Representative	9
CHEN Yaohua	BXS357	Licensed Representative	1, 4
CHEN Yilin	BXN773	Licensed Representative	1, 4
CHEN Zhen	BXR496	Licensed Representative	1, 4
CHEN Zhenxing	BXT857	Licensed Representative	1, 4, 9
CHEN ZIYE	BXS899	Licensed Representative	1, 2
CHEN Ziyi	BXR284	Licensed Representative	1, 4
CHENG Ho Fung	BXJ375	Licensed Representative	1
CHENG NGO LUNG	BXP406	Licensed Representative	1
CHENG Ya Yi Amie	BSQ858	Licensed Representative	1
CHENG Yuen Hong	AUP358	Licensed Representative	4, 9
CHERNIAK Harlan Brett	BXS833	Licensed Representative	1
CHEUNG Brandon	ARV903	Licensed Representative	1
CHEUNG Chin Wa	BXS547	Licensed Representative	4, 9
CHEUNG Ching Man	AXI346	Licensed Representative	2
CHEUNG Chun Man	BXP882	Licensed Representative	4, 9
CHEUNG Hok Yuen	BXS797	Licensed Representative	1, 2, 4
CHEW Mei Tze, Marie	AXC575	Licensed Representative	1, 4
CHIANG Yi	BXP444	Licensed Representative	1
CHIEN chi ting	BXO491	Licensed Representative	1, 4, 9
CHING Yan	BXS373	Licensed Representative	4, 9
CHONG WAI KI	BXN653	Licensed Representative	1, 4
CHOW Chun Man	BXN600	Licensed Representative	1, 2
CHUNG Ho Fai	BXR788	Licensed Representative	1
CISANA Florian	BWS870	Licensed Representative	1, 4
CO Hau Yee Elkey	BXQ392	Licensed Representative	1
COFFEY Alexander Valchar	BXS011	Licensed Representative	4

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2026**

CE Name	CE No.	Licence Type	Types of Regulated Activity
CRAVEN BENEDICT LAURENCE	BXO024	Licensed Representative	10
CUI Zhuoyi	BXM113	Licensed Representative	1, 4, 9
DAI Yamin	BXL995	Licensed Representative	4
DENG Chengqi	BXR408	Licensed Representative	4
DENG Ke	BXL925	Licensed Representative	1, 4, 9
DENG Xuan	BXP579	Licensed Representative	1, 4
DILKES Ross Peter	ASH948	Licensed Representative	1, 4
DING Tingwan	BXQ152	Licensed Representative	1, 4, 9
DING Xi	BXN997	Licensed Representative	9
DING Yichun	BXH090	Licensed Representative	1, 4, 6, 9
DO ROSARIO SIN Humberto	BSS080	Licensed Representative	1
DONG Lili	BXS929	Licensed Representative	1, 4
DONG Ruiqi	BXV803	Licensed Representative	1, 2
DUTT Aaryaman	BXU143	Licensed Representative	1, 2
FAN HO TING	BXM877	Licensed Representative	3
FANG Siyuan	BXS329	Licensed Representative	1, 2
FENG Xuedan	BVJ778	Licensed Representative	1
FENG SHENG	BXM442	Licensed Representative	4, 9
FONG Chun Yin	BXQ147	Licensed Representative	1, 2
FONG Yuen Tung	BXU180	Licensed Representative	1, 2, 7
FREEMAN Tracy Taray	BCZ930	Licensed Representative	1
FU Mingyue	BXS724	Licensed Representative	4, 9
FUNG In Nei	BWS761	Licensed Representative	1, 4
GAO Feiyu	BXR590	Licensed Representative	1, 4
GAO Ningyu	BXM331	Licensed Representative	1
GAO Rebecca Jingting	BXQ936	Licensed Representative	6
GAO Xiyang	BXP984	Licensed Representative	9
GOH Teik Lye	BXS012	Licensed Representative	4
GOURSAT Stephane Frederic	BXR080	Licensed Representative	1, 2
GOVILA Sulabh	BWC209	Licensed Representative	4
GU Nigel En-Hua	BXN887	Licensed Representative	9
GU Shaobo	BXM823	Licensed Representative	1
GUAN Haotian	BXP337	Licensed Representative	1, 6
GUJARATHI Yash Sandesh	BXQ150	Licensed Representative	9
GUNDALA Anjana	BXQ882	Licensed Representative	9
GUO Boyang	BXS331	Licensed Representative	1, 2
GUO Xiaolin	BXP635	Licensed Representative	1, 4, 9
GUO Yu	BXI088	Licensed Representative	1, 2
GUO Yuanning	BXQ756	Licensed Representative	4
HAN Feng	BSU539	Licensed Representative	1, 7
HAN Yang	BXP942	Licensed Representative	1, 4
HAN Zhuowei	BXO360	Licensed Representative	4, 9
Hao Tong	BTY682	Licensed Representative	4, 9
HASAN Amber	BXT960	Licensed Representative	1
HASHIDA Hidemaru	BXU802	Licensed Representative	1
HE Chen	BXN903	Licensed Representative	1, 4
HE Likun	BXQ477	Licensed Representative	1
HE Yaowei	BXN012	Licensed Representative	1, 2, 4, 5
HE Yuhao	BXO233	Licensed Representative	10

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2026**

CE Name	CE No.	Licence Type	Types of Regulated Acitivity
HE Yuming	BXL832	Licensed Representative	1, 4, 6
HE Zhuohang	BFB225	Licensed Representative	4, 9
HE-TSEN Ying	BXS566	Licensed Representative	1, 4
HEUNG Chun Wang	BXQ482	Licensed Representative	1, 4, 9
HO Fu Wing	BIY647	Licensed Representative	1, 4
HONG Chaemin	BXS517	Licensed Representative	1, 4, 9
HOU Wenxi	BXL988	Licensed Representative	6
HU Weili	BXM883	Licensed Representative	1, 2, 4, 5
HU Wentao	BXC563	Licensed Representative	9
HU Yeqianwen	BQD364	Licensed Representative	4
HU Yihan	BXN784	Licensed Representative	1
HU Yitong	BXI007	Licensed Representative	1
HU Yu	BXN504	Licensed Representative	1, 6
HUANG Baozhu	BXP707	Licensed Representative	1, 4
HUANG Feng	BXR925	Licensed Representative	1, 2, 4
HUANG Guanming	BXR785	Licensed Representative	1
HUANG Jinchuan	BXS007	Licensed Representative	1
HUANG Qinliang	BXJ256	Licensed Representative	1, 6
HUANG Sizhe	BXQ871	Licensed Representative	2
HUANG Tianyu	BXL630	Licensed Representative	1, 4, 9
HUANG Xiaojun	BXN262	Licensed Representative	1, 6
HUANG Xiaolan	BXP323	Licensed Representative	1
HUANG Yinying	BXH799	Licensed Representative	1, 4, 9
HUANG Yu	ATI173	Licensed Representative	3
HUANG ZITONG	BXS302	Licensed Representative	6
HUANG Zixi	BXN866	Licensed Representative	1, 4, 9
HUI Junhuan Marvin	BXS228	Licensed Representative	4, 9
HUI Ka Lai	BXQ459	Licensed Representative	1
HUI Ka Yan Audrey	BXV219	Licensed Representative	1, 2
HUI Tung Hei	BXP327	Licensed Representative	13
HUI Yuheng	BUC435	Licensed Representative	1, 4, 9
HUNG Zen-Wai	BXU160	Licensed Representative	1
IUNUSOV Grigorii	BXU144	Licensed Representative	1, 4
JAIN Shikhar	BXJ986	Licensed Representative	1, 4, 6
JI MING	BXQ179	Licensed Representative	1
JIANG Chenyu	BXS952	Licensed Representative	4
JIANG Jihao	BJG860	Licensed Representative	4, 9
JIN Muyang	BXO329	Licensed Representative	4
JIN Weina	BXL338	Licensed Representative	1, 4, 9
JIN Xiaojin	BXP592	Licensed Representative	9
JING Lei	BXI879	Licensed Representative	1, 4, 9
JO Namseok	BXS256	Licensed Representative	1, 4
JOLL Barnaby Arthur Cecil	APL251	Licensed Representative	9
KAMRA Saryu	BTK682	Licensed Representative	10
KAN Ho Yin	BXS158	Licensed Representative	1, 4
KANG Yuan	BXH883	Licensed Representative	1, 6
KARAHASANOGLU Ediz	BXU227	Licensed Representative	9
KE Yixin	BXQ910	Licensed Representative	1, 4
KHAN Saad Iftikhar	BXQ217	Licensed Representative	1, 2

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2026**

CE Name	CE No.	Licence Type	Types of Regulated Acitivity
KHANDELWAL Manu	BXU616	Licensed Representative	1
KIM Dongseok	BXQ817	Licensed Representative	4
KIM Yeji	BXU554	Licensed Representative	1
KIM Yong Jun	BXP558	Licensed Representative	1
KO Chin Leuk Ivan	BSX556	Licensed Representative	4, 9
KOBAYASHI Yusuke	BXS586	Licensed Representative	1, 4, 6
KONG Hui Xuan	BXN788	Licensed Representative	1, 2
KUNG Lap Quin Kevin	BEH962	Licensed Representative	4, 9
KWAN Chi Yan Lawrence	AQC409	Licensed Representative	1, 2
KWOK Bo Yan	BXS487	Licensed Representative	1, 7
KWOK Kei	BXS174	Licensed Representative	1, 2
KWOK TING CHUN JASON	BXO525	Licensed Representative	1, 4
LAI Hei Wilfred	BGS924	Licensed Representative	1, 6
LAI Ying Tung Queenie	BXJ764	Licensed Representative	1, 4, 5, 9
LAM Chi Kit	BXQ256	Licensed Representative	1, 6
LAM Ka Man	BXS684	Licensed Representative	4, 9
LAM WING YEUNG	BXL340	Licensed Representative	4, 9
LAM Yue Hin Cyrus	BXR849	Licensed Representative	4, 9
LAN Junlong	BXT994	Licensed Representative	1, 6
LAO Ka Chon	BXQ282	Licensed Representative	2
LAU Ka Ki	BXN328	Licensed Representative	1, 2
LAU Pok Yat, Watson	BCZ918	Licensed Representative	9
LAU Yat Lun	BXR646	Licensed Representative	1
LAW Tsz Kit	BOJ325	Licensed Representative	9
LE BLANC Matthew Barry	BXQ672	Licensed Representative	1
LEE Jae Woo	AIH182	Licensed Representative	1, 4
LEE Mei Wan	BOU800	Licensed Representative	4, 9
LEE Sang Wook	BXS088	Licensed Representative	1, 4
LEE Shiu Wo Victor	BGQ657	Licensed Representative	1, 2, 4
LEE Yi Jie	BXS780	Licensed Representative	1, 2
LEI Lei	BXV284	Licensed Representative	6
LEUNG Chun Yan Gareth	BXT853	Licensed Representative	1, 4
LEUNG Yee Ting	BXQ693	Licensed Representative	1, 4
LI Changhao	BXR659	Licensed Representative	1
LI Chi Ling	BXS808	Licensed Representative	4, 9
LI Huihong	BXL233	Licensed Representative	1, 4
LI Keyi	BXP328	Licensed Representative	4
LI Minghui	BXR826	Licensed Representative	1, 4
LI Nan Ling	BXP374	Licensed Representative	4
LI Nuotong	BXP432	Licensed Representative	9
LI Qinan	BXS804	Licensed Representative	1
LI Shijuan	BXM243	Licensed Representative	1
LI Weiyi	BXQ139	Licensed Representative	1, 4
LI Xi	BXO523	Licensed Representative	1, 4, 9
LI Xiaowan	BXN626	Licensed Representative	1
LI Xiulin	BTB145	Licensed Representative	1
LI Yanfu	BXN448	Licensed Representative	1, 4, 9
LI Yuhan	BXS786	Licensed Representative	1, 2
LI Yunjia	BSY094	Licensed Representative	1, 4

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2026**

CE Name	CE No.	Licence Type	Types of Regulated Activity
LI Ziang	BXP397	Licensed Representative	1, 2
LIANG Jia Jhen	BTB080	Licensed Representative	9
LIANG YUJIE -	BXO521	Licensed Representative	1
LIAO Bangjie	BXV258	Licensed Representative	1, 2, 9
LIM PEI WEN DENISE	BXM340	Licensed Representative	1, 2
LIN Hui	BXM819	Licensed Representative	1, 2, 4, 5
LIN I-Shiuan	BXR607	Licensed Representative	4
LIN Qinghua	BXO425	Licensed Representative	4
LIN XIANG	BXM938	Licensed Representative	1, 6
LIN Xiao	BXN330	Licensed Representative	4, 9
LIN Xiaofeng	BXS165	Licensed Representative	1, 4
LIN Xu	BXJ118	Licensed Representative	1, 2
LIN Yan	BXO132	Licensed Representative	9
LIN Yongjian	BXO118	Licensed Representative	4
LIN Yuanyuan	BQE588	Licensed Representative	4, 9
LIN Zixuan	BXQ383	Licensed Representative	6
LING Jing Nam	BXQ448	Licensed Representative	1
LIU Chun Yin	BXO528	Licensed Representative	6
LIU Dengcheng	BXQ478	Licensed Representative	1
LIU Haotian	BXS862	Licensed Representative	1, 2
LIU Luk Por, Desmond	AAE569	Licensed Representative	1, 4, 9
LIU Qiang	BXU109	Licensed Representative	1
LIU Shangyuan	BXP409	Licensed Representative	1
LIU Wai Kuen	AAC325	Licensed Representative	4, 9
LIU Weipei	BXU108	Licensed Representative	1
LIU Weiping	BXL824	Licensed Representative	1, 4, 9
LIU Wenjing	BXO318	Licensed Representative	4, 9
LIU Xiuhui	BXM845	Licensed Representative	1, 4, 9
LIU Yan Fuk	BXR376	Licensed Representative	1, 4, 9
LIU Yichao	BXV541	Licensed Representative	1, 4, 9
LIU YINGDONG	BXN045	Licensed Representative	1
LIU Yixuan	BXR781	Licensed Representative	1
LO Ming Lun	BXS474	Licensed Representative	1, 4
LO Tzu Yang	BVZ334	Licensed Representative	9
LO Yuen Ting	BBN283	Licensed Representative	1, 4, 9
LONG Hongxin	BXS505	Licensed Representative	1, 4
LU Da-hsuan	BXR078	Licensed Representative	1, 4, 9
LU DONGLI	BXJ725	Licensed Representative	9
LU Kai	BXT762	Licensed Representative	1, 4, 9
LU Qu	BXR423	Licensed Representative	1, 4
LYU Chenlei	BXQ203	Licensed Representative	1, 4, 9
LYU Junxue	BXJ206	Licensed Representative	4, 9
LYU Wenliang	BXN722	Licensed Representative	6
LYU Zheng	BXN364	Licensed Representative	1, 4, 9
LYU Zhihao	BXO294	Licensed Representative	1, 2
MA Cheng	BXH702	Licensed Representative	1, 6
MA Hongyu	BXR228	Licensed Representative	1
MA Huaixian	BXN280	Licensed Representative	1, 6
MA Lung	BXS220	Licensed Representative	1, 2, 9

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2026**

CE Name	CE No.	Licence Type	Types of Regulated Acitivity
MA Mengze	BXN325	Licensed Representative	4
MA Qian	BXO351	Licensed Representative	1, 4
MA Wing Yan	BWT880	Licensed Representative	1, 2
MA Xiangnan	BXR232	Licensed Representative	1, 4
MAK Po Man Alice	BXU661	Licensed Representative	4, 9
MANDIC Vjeko	BXS431	Licensed Representative	1, 2
MD TAIB Syaza Fatin Binti	BXR157	Licensed Representative	1, 4, 9
MEDLYCOTT James Raymond	BXS848	Licensed Representative	9
MILLER Madeleine Anna	BVP960	Licensed Representative	1
MO Jieying	BXH979	Licensed Representative	1
MOK Chue Man	AZL410	Licensed Representative	1, 4, 9
MOK Yoon Su	BXR283	Licensed Representative	1
MOTTARD Alexandre Flavien	ARR798	Licensed Representative	9
MUNDORF Philipp William	BOE966	Licensed Representative	9
MUT Ka Lok Carlo	BXV705	Licensed Representative	1
NARIMAN Ahren Adil	BXR393	Licensed Representative	1, 4, 5
NG Alice Pui Shan	AUV477	Licensed Representative	1, 4
NG Chui Man	BRA117	Licensed Representative	1
NG Chui Yi	BRF199	Licensed Representative	1, 2
NG Siu Hong	BCK507	Licensed Representative	1, 4, 6
NG Wing Yan	BUK407	Licensed Representative	1, 4, 9
NI Gaofeng	BUF906	Licensed Representative	9
NIE Shuai	BXP368	Licensed Representative	1
NIU Yuxin	BXN011	Licensed Representative	1, 2, 4, 5
NORRIS Mark Alan	BXS865	Licensed Representative	1
NUKUI Rein	BXQ888	Licensed Representative	9
OH Yookyung	BUU833	Licensed Representative	1, 4, 9
OU Chupeng	BXL331	Licensed Representative	9
OUYANG Weinuo	BXG877	Licensed Representative	1, 2
PALMER Harry Charles Alexander	BXS111	Licensed Representative	1, 4
PANG Qiaoyuan	BXN688	Licensed Representative	9
PANG Yuqing	BXT747	Licensed Representative	1, 4
PARK Heeyoung	BMU802	Licensed Representative	1
PAVLENKO Mariia	BXO117	Licensed Representative	1, 2
PELLERIN Gautier Stanislas Bernard	BXU557	Licensed Representative	1, 2
PENG Shirui	BXQ185	Licensed Representative	1, 6
PENG Weiwei	BXS009	Licensed Representative	4
PENG Yinglin	BXQ951	Licensed Representative	1, 4, 9
PLEBANI Gian Arard	BNB394	Licensed Representative	1, 4, 5, 9
POK Ming Kai	BGB603	Licensed Representative	1, 6
POON Bosco Ho Kong	BTJ299	Licensed Representative	9
POON Ping Ping	BXF709	Licensed Representative	1, 4
QIAO Li	BXF665	Licensed Representative	1, 4
QIU Jialu	BXI649	Licensed Representative	1, 6
QIU Xiao	BUQ592	Licensed Representative	1, 4, 9
QIU Yiqiang	BXS962	Licensed Representative	4
QIU Yixuan	BXS708	Licensed Representative	1, 4, 6
QIU Yue	BXL033	Licensed Representative	1
QU CHEN	BXH052	Licensed Representative	4, 9

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2026**

CE Name	CE No.	Licence Type	Types of Regulated Acitivity
RAGHU Harish	BXL780	Licensed Representative	1, 2
RAY Kaustav	BXN618	Licensed Representative	1
REN Wen Fang	BXU182	Licensed Representative	4
SAVANI Hiten Arvin	BXP643	Licensed Representative	1
SCLATER Angus Nicholai	BXN774	Licensed Representative	1
SEKI Atsushi	BIB389	Licensed Representative	4
SHANGGUAN Peng	BXI469	Licensed Representative	4
SHAO Ping	BXR479	Licensed Representative	4, 9
SHEN Hanwei	BXT774	Licensed Representative	1, 4, 6
SHEN Jie	BXM380	Licensed Representative	4
SHEN Pengyi	BXQ108	Licensed Representative	9
SHI Hongmei	BXO424	Licensed Representative	4
SHI Lemeng	BXP982	Licensed Representative	1, 4, 9
SHI Lin	BXR395	Licensed Representative	1, 4, 9
SHI Qi	BXO489	Licensed Representative	4
SHI YAOYUAN	BXF043	Licensed Representative	4, 9
SHIU Yin Ting	BXR766	Licensed Representative	1, 4, 9
SHU Tingfei	BKR355	Licensed Representative	1, 4, 9
SHU Tong	BXP929	Licensed Representative	1, 4, 6
SO HIU MAN	BXS412	Licensed Representative	4, 9
SO Vincent Hon Pong	ADB610	Licensed Representative	1, 4, 9
SONG Biye	BXS005	Licensed Representative	6
SONG Yang	BXS491	Licensed Representative	1, 2, 4
SONG Yang	BXS196	Licensed Representative	1
STAFFORD Melvyn	BIE092	Licensed Representative	1
SU Chang	BXN942	Licensed Representative	2
SU Minshen	BXV495	Licensed Representative	1
SUEN KA YAM	BXP589	Licensed Representative	4, 9
SUN Austen	BRU866	Licensed Representative	9
SUN Congshi	BPQ381	Licensed Representative	1, 4
SUN Guotong	BRP931	Licensed Representative	1, 4, 6
SUN Hao Wei	BXM315	Licensed Representative	1
SUN Jiayue	BXN381	Licensed Representative	1, 4, 6
SUN Jinxia	BXO238	Licensed Representative	4
SUN Kaiqi	BXP848	Licensed Representative	4
SZE Kai Ho	BXQ870	Licensed Representative	1, 4, 9
TAM Alfred On Yu	AXK336	Licensed Representative	1
TAM Ka Chun	BRD974	Licensed Representative	1, 4
TAN Kai Siang	BXL914	Licensed Representative	1, 6
TANG Ho Ming	BNU842	Licensed Representative	5, 9
TANG Liang	BXS312	Licensed Representative	1, 4, 9
TANG Sik Shun	BXH079	Licensed Representative	1, 4, 9
TANG Siyu	BXM728	Licensed Representative	4
TANG Wai Yin	BXI773	Licensed Representative	1
TANG Yuk Ying	BRK128	Licensed Representative	1
TANG Ziwen	BXQ568	Licensed Representative	1
TAO Mingjing	BXO331	Licensed Representative	4
THAKUR Anand Bajendrasing	BXU264	Licensed Representative	1
THITHI Rearabetswe Gladstone	BXU491	Licensed Representative	1

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2026**

CE Name	CE No.	Licence Type	Types of Regulated Activity
TIAN Hongyu	BXQ967	Licensed Representative	4
TJAHJADI Ezekiel Jovan	BXS109	Licensed Representative	1, 4
TONG Shung Y	BXT727	Licensed Representative	1, 2, 7
TSANG Chun Sing	BRR768	Licensed Representative	1
TSE Yue Hong	ANQ294	Licensed Representative	1, 4, 9
TSOI Kwan Yee	BPX013	Licensed Representative	1, 4
TSUI Chi Hin	AGH786	Licensed Representative	1, 2, 3, 4, 5, 7, 8, 9
VALEO Thomas	BXN326	Licensed Representative	1
VAN AMSTEL Valentijn	BXN365	Licensed Representative	1, 2
WAEFLER Sebastien Marc	BXS543	Licensed Representative	1, 4, 9
WANG Bowen	BXN690	Licensed Representative	1, 4, 9
WANG Chen	BXN213	Licensed Representative	1, 4, 9
WANG Cui	BXQ789	Licensed Representative	1, 2, 3, 4
WANG Dan	BXS434	Licensed Representative	4
WANG Dong	BXM242	Licensed Representative	4, 9
WANG Fan	BXJ132	Licensed Representative	9
WANG Fengzhi	BVO780	Licensed Representative	1, 4, 9
WANG Ke-lin	BXT846	Licensed Representative	9
WANG Lingling	AGJ951	Licensed Representative	4, 9
WANG Lisha	AWW125	Licensed Representative	1, 4, 9
WANG Meng	BXO260	Licensed Representative	9
WANG Mengfei	BXJ797	Licensed Representative	1, 4, 9
WANG Mengyuan	BXP922	Licensed Representative	1, 2, 9
WANG Taige	BXM820	Licensed Representative	1
WANG Ti-Hung	BXQ606	Licensed Representative	1
WANG Ting-Yun	BHC626	Licensed Representative	4, 9
WANG Weida	BXQ182	Licensed Representative	4
WANG Weikang	BXN904	Licensed Representative	1, 4
WANG Xuejiao	BXU272	Licensed Representative	1, 4
WANG Yichen	BXO384	Licensed Representative	1, 6
WANG Yiqi	BXQ340	Licensed Representative	1, 6
WANG Yujue	BXH272	Licensed Representative	1
WANG Yuxiang	BXP717	Licensed Representative	4
WANG Ziao	BXJ473	Licensed Representative	1
WANG Zibo	BXS321	Licensed Representative	1
WANG Zihao	BXJ462	Licensed Representative	1, 2
WEBB Robert	ALQ617	Licensed Representative	9
WEI Jianing	BXO349	Licensed Representative	6
WEI Wenxuan	BXR697	Licensed Representative	1
WEI Yi	BXU300	Licensed Representative	4
WEN Dou	BXL083	Licensed Representative	1
WONG Chon Hou	BQE252	Licensed Representative	1, 4
WONG Chow Wah	ABP448	Licensed Representative	1
WONG Fung Yin	BXN282	Licensed Representative	1, 4
WONG KA MAN	BXN759	Licensed Representative	1, 4, 9
WONG Tracy Po-yi	BCA264	Licensed Representative	4, 9
WONG Wai Kiu	BXT821	Licensed Representative	2, 5
WONG Zhi-Yuan	BXS147	Licensed Representative	9
WU Baihua	BXS678	Licensed Representative	1

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2026**

CE Name	CE No.	Licence Type	Types of Regulated Activity
WU CONG	BXS252	Licensed Representative	1, 2, 4
WU Jiawei	BXV341	Licensed Representative	1
WU Peng	BXS319	Licensed Representative	1
WU Qinxuan	BOS816	Licensed Representative	9
WU Yifan	BXN186	Licensed Representative	6
WU Yue	BDI411	Licensed Representative	9
WU ZI XIN	BXO433	Licensed Representative	4, 9
XIANG Beibei	BXR786	Licensed Representative	1
XIANG Jie	BQU747	Licensed Representative	1
XIANG Zheng	BXN703	Licensed Representative	1, 4, 9
XIE Cailiang	BXS193	Licensed Representative	1
XIE Ming	BXI947	Licensed Representative	9
XIE Muqing	BXN066	Licensed Representative	4
XIE Shaowei	BNP481	Licensed Representative	1, 6
XIE Zhangling	BXR539	Licensed Representative	9
XIN Xin	BXR506	Licensed Representative	1, 4, 6
XING Lei	BXT923	Licensed Representative	1, 4
XU Haoxi	BXS878	Licensed Representative	1, 2, 9
XU Lei	BXL316	Licensed Representative	1, 2, 4, 5
XU Ningyu	BXN786	Licensed Representative	1
XU Siyang	BXN518	Licensed Representative	1, 4, 9
XU Yina	BNO566	Licensed Representative	1, 4, 9
XUE Chenqi	BXQ081	Licensed Representative	1
XUE Feifan	BXI966	Licensed Representative	9
XUE Kaibin	BXQ488	Licensed Representative	1
YAN Zhuorui	BXN583	Licensed Representative	1
YANG Anqi	BXL765	Licensed Representative	1, 4, 9
YANG Bo	BPM132	Licensed Representative	1, 4, 6
YANG Claudia Shiyu	BXQ336	Licensed Representative	1, 4, 9
YANG Han	BXP634	Licensed Representative	4, 9
YANG Lu	BWY559	Licensed Representative	1, 6
YANG Maoda	BTE486	Licensed Representative	1, 4, 9
YANG Run Zhou	BXF666	Licensed Representative	1
YANG Seungjoon	BTJ434	Licensed Representative	9
YANG Shuhan	BXR016	Licensed Representative	6
YANG Siqi	BXU567	Licensed Representative	1
YANG Xi	BXQ124	Licensed Representative	9
YANG Xuanting	BXS665	Licensed Representative	1, 4
YANG Yixuan	BXL126	Licensed Representative	1
YANG Yuan	BXS917	Licensed Representative	1, 2
YANG Zhibin	BXM839	Licensed Representative	9
YAO Zhengyang	BXM157	Licensed Representative	4
YAO Ziwei	BXU265	Licensed Representative	4
YEO In Hyuk	BXI543	Licensed Representative	9
YEOW Wei Liang Alvin	BXS926	Licensed Representative	1, 2
YEUNG Edwin Chun Yue	BIB909	Licensed Representative	1
YIP Ting Cheung, Joseph	AKI964	Licensed Representative	1, 4, 6
YIP Tsun Yin Arthur	BXN889	Licensed Representative	1, 3, 4
YIP Wan Yi	BFY998	Licensed Representative	1, 2, 4

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2026**

CE Name	CE No.	Licence Type	Types of Regulated Acitivity
YIU Wai Lok	BXM148	Licensed Representative	4, 9
YONG Hou Hin	BRI524	Licensed Representative	1, 4
YU Bing	BXM266	Licensed Representative	1, 4, 5, 9
YU Boren	BXS417	Licensed Representative	4
YU Shun	BXS677	Licensed Representative	1, 2, 7
YU Tsz Kwan	BXN309	Licensed Representative	9
YU Xiaohui	BXM726	Licensed Representative	4
YU Yang	BXU485	Licensed Representative	1, 4
YU Yiting	BXU165	Licensed Representative	1, 6
YUAN Junxuan	BXO330	Licensed Representative	4
YUE Lei	BXN564	Licensed Representative	4, 9
YUEN Chi Fung	BGH653	Licensed Representative	1, 2
YUEN Wing Hei, Marcus	BXP633	Licensed Representative	1, 2, 4, 5
ZENG Yu	BXU220	Licensed Representative	9
ZHA Daxin	BXL769	Licensed Representative	1, 4, 6
ZHAI Donghui	BXO178	Licensed Representative	1
ZHAI Xiaohui	BXM737	Licensed Representative	1, 2, 4, 5
ZHANG Bensheng	BXN033	Licensed Representative	6
ZHANG Chi	BXU731	Licensed Representative	1, 2
ZHANG Junshuo	BXN970	Licensed Representative	1
ZHANG Kaizhou	BXQ069	Licensed Representative	9
ZHANG Lidan	BXR690	Licensed Representative	4
ZHANG Lingrui	BXR503	Licensed Representative	1, 4
ZHANG Lisheng	BXN006	Licensed Representative	1, 4, 6
ZHANG Maggie Mei Qi	BXH671	Licensed Representative	1
ZHANG Peilin	BXQ631	Licensed Representative	1, 4, 6
ZHANG Qizhi	BXF892	Licensed Representative	9
ZHANG Sheng	BXP546	Licensed Representative	1, 4, 6
ZHANG Weiyi	BXM730	Licensed Representative	4
ZHANG Xiao Wei	AUK169	Licensed Representative	1
ZHANG Xiduo	BUD016	Licensed Representative	1
ZHANG Xinyang	BXS875	Licensed Representative	1, 4, 9
ZHANG Yong	ANT437	Licensed Representative	1
ZHANG Yuan	BTG698	Licensed Representative	1, 6
ZHANG Yumin	BNO521	Licensed Representative	4, 9
ZHANG Yuxuan	BXS494	Licensed Representative	4, 9
ZHANG Zirui	BXK236	Licensed Representative	1, 4, 6
ZHAO Di	BRZ219	Licensed Representative	1, 4, 5, 9
ZHAO Gen	BXM582	Licensed Representative	6
ZHAO Haichun	BXQ424	Licensed Representative	4
ZHAO Haoxue	BXO078	Licensed Representative	2
ZHAO Jingyi	BXP508	Licensed Representative	1
ZHAO Shenjian	BXP732	Licensed Representative	4, 9
ZHAO Sihao	BXQ378	Licensed Representative	4
ZHAO Wanbo	BXT570	Licensed Representative	1, 2, 4
ZHAO Xinyi	BXS192	Licensed Representative	6
ZHAO Yunlong	BTB542	Licensed Representative	1, 2
ZHAO Zefen	BOS728	Licensed Representative	4
ZHENG Chenxin	BXI873	Licensed Representative	4, 9

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2026**

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHENG Hao	BXH884	Licensed Representative	1, 6
ZHENG Song	BXS096	Licensed Representative	1, 4
ZHENG Tong	BXN744	Licensed Representative	4, 9
ZHONG Dongting	BXO346	Licensed Representative	2
ZHOU Ding Rong Elia	BXL906	Licensed Representative	1, 4
ZHOU Jiehua	BXR485	Licensed Representative	1
ZHOU Jing	BTM060	Licensed Representative	1, 4, 9
ZHOU Junzhi	BNV557	Licensed Representative	4
ZHOU Le	BXP458	Licensed Representative	4, 9
ZHOU Shiyang	BXP810	Licensed Representative	4
ZHOU Shuwei	BXK223	Licensed Representative	4, 9
ZHOU Xiaolan	BXF694	Licensed Representative	1, 4, 9
ZHOU Yinong	BXN566	Licensed Representative	1, 2, 4
ZHOU Zhichao	BXO172	Licensed Representative	4
ZHU Lingjie	BRZ699	Licensed Representative	1, 2, 4
ZHU Weiqi	BFX215	Licensed Representative	1, 4, 9
ZOPE Ankit Popat	BXT921	Licensed Representative	9
AU-YEUNG Kam Kay	ABA474	Licensed Representative - Responsible Officer	1, 4, 9
CHAN Ching Sum, Sam	AWO231	Licensed Representative - Responsible Officer	6
CHANG Yung Kang, Peter	AGU143	Licensed Representative - Responsible Officer	9
CHEN Chuanyao	AYO724	Licensed Representative - Responsible Officer	1, 4, 9
CHEN SIHAN	BXE697	Licensed Representative - Responsible Officer	9
CHEN Wenjie	BWN694	Licensed Representative - Responsible Officer	10
CHEN Xin	BPH529	Licensed Representative - Responsible Officer	1, 4
CHEN Zhixin	BWH254	Licensed Representative - Responsible Officer	1, 4, 9
CHENG Derek Jun-Ock	BRR020	Licensed Representative - Responsible Officer	9
CHEUNG Ching Man	AXI346	Licensed Representative - Responsible Officer	1, 4
CHIU Wai Lap	AQH355	Licensed Representative - Responsible Officer	4, 9
COLEBATCH Joseph Toohey	BXB474	Licensed Representative - Responsible Officer	1, 2
DELLA GIACOMA Marco	BWY515	Licensed Representative - Responsible Officer	4, 9
DONG Sen	BDM553	Licensed Representative - Responsible Officer	5, 9
FAN King Yip Felix	AXC707	Licensed Representative - Responsible Officer	4, 9
FANG Ning	BWT451	Licensed Representative - Responsible Officer	6
GAO Han	BTT978	Licensed Representative - Responsible Officer	4, 9
GOLOPOL Max Adam	BXQ540	Licensed Representative - Responsible Officer	1, 4
GRESVIG Haakon Matheson	BPI443	Licensed Representative - Responsible Officer	1, 4
HE Xuejie	BUN687	Licensed Representative - Responsible Officer	4, 9
HUANG Tao	AXA200	Licensed Representative - Responsible Officer	1, 4, 9
HUANG Wei Meng	BFD871	Licensed Representative - Responsible Officer	4, 9
HUANG Yanjun	BWQ609	Licensed Representative - Responsible Officer	4, 9
HUO Da	BDK083	Licensed Representative - Responsible Officer	4, 9
JE Siu Ming	BGX730	Licensed Representative - Responsible Officer	4, 9
JEN Chun-Hsing	BHD721	Licensed Representative - Responsible Officer	1, 2, 4, 5, 9
KIM Dong Kyeon	BXJ286	Licensed Representative - Responsible Officer	2
KONG Weiliang	BWQ613	Licensed Representative - Responsible Officer	4, 9
LAW Chuk Man	AXS857	Licensed Representative - Responsible Officer	4, 9
LEE Jong Hun	BVF391	Licensed Representative - Responsible Officer	4, 9
LEONG Vui Ling	AEB584	Licensed Representative - Responsible Officer	9
LEUNG Kwok Ming	AIZ701	Licensed Representative - Responsible Officer	1, 4, 9

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2026**

CE Name	CE No.	Licence Type	Types of Regulated Activity
LI Ping	BWN160	Licensed Representative - Responsible Officer	9
LI Yiu Yuen	BFB087	Licensed Representative - Responsible Officer	9
LING Ke	BXK953	Licensed Representative - Responsible Officer	4, 9
LO Tsz On, Chris	ABM814	Licensed Representative - Responsible Officer	4, 9
LONG Yu	BSX448	Licensed Representative - Responsible Officer	9
LOOK Andrew	ACO365	Licensed Representative - Responsible Officer	9
LUI Ho Wing Daniel	BUQ517	Licensed Representative - Responsible Officer	4, 9
NGAI Chi Sheng	BDW162	Licensed Representative - Responsible Officer	1, 4, 9
PAN DENG	BXM273	Licensed Representative - Responsible Officer	1, 4, 9
PENG Denghua	BVV104	Licensed Representative - Responsible Officer	4, 9
PENG Lipin	BXR827	Licensed Representative - Responsible Officer	9
QI Jue	AQS185	Licensed Representative - Responsible Officer	1, 4, 9
SAKANO Atsushi	BEH533	Licensed Representative - Responsible Officer	9
SINHA Devanjan	BXF759	Licensed Representative - Responsible Officer	1, 4, 9
SUN Ao	AVE409	Licensed Representative - Responsible Officer	4, 9
TANG Ho Ming	BNU842	Licensed Representative - Responsible Officer	4
TO Kei Ming	AFT099	Licensed Representative - Responsible Officer	4, 9
TONG Dik Ka	AYY919	Licensed Representative - Responsible Officer	9
TSOI Gary Ka Ming	BHX791	Licensed Representative - Responsible Officer	1, 4, 9
WANG Jing	BXP768	Licensed Representative - Responsible Officer	1, 4, 9
WANG Meng	BXO260	Licensed Representative - Responsible Officer	1, 4
WANG Zixin	BXG822	Licensed Representative - Responsible Officer	1, 4, 9
WONG Elvin Yiu-wai	AIJ339	Licensed Representative - Responsible Officer	4, 9
WONG Ka Ki, Ada	AOB389	Licensed Representative - Responsible Officer	9
WONG Kwan Ho	AOR534	Licensed Representative - Responsible Officer	1, 4, 9
XIANG Yang	BWY764	Licensed Representative - Responsible Officer	4, 9
XIAO Xin	BWT525	Licensed Representative - Responsible Officer	4, 9
YAN Ziyang	BFH178	Licensed Representative - Responsible Officer	4, 9
YANG Liu	BNP290	Licensed Representative - Responsible Officer	9
YAU Chi Man, Benjamin	ATL435	Licensed Representative - Responsible Officer	10
YU Huiyi	BVS992	Licensed Representative - Responsible Officer	4, 9
YUAN Ruobin	BXM847	Licensed Representative - Responsible Officer	4, 9
ZHANG Dele	BWI182	Licensed Representative - Responsible Officer	4, 9
ZHAO Yichen	BDQ495	Licensed Representative - Responsible Officer	9
ZHU Minyue	AUE243	Licensed Representative - Responsible Officer	1, 4, 9
ZOU Yongqiang	BUP953	Licensed Representative - Responsible Officer	1, 7
AGARWAL Vinayak	BXN717	Licensed Representative (Provisional Licence)	4
ANDRIOT Alexandre Jerome	BXP330	Licensed Representative (Provisional Licence)	1, 9
ANG Sze Champ	BXQ094	Licensed Representative (Provisional Licence)	4
ARNAVUT Alev Mete	BEJ792	Licensed Representative (Provisional Licence)	1, 9
BAE Sangkyu	BXT834	Licensed Representative (Provisional Licence)	1, 6
BAILLIE STRONG Alastair William	BXR655	Licensed Representative (Provisional Licence)	1
BHASIN Harshil	BXT813	Licensed Representative (Provisional Licence)	1
BOUVARD Caroline Marie Eugenie Renee	AUX261	Licensed Representative (Provisional Licence)	1, 2
BRASHER Anthony Graham	BXS200	Licensed Representative (Provisional Licence)	1, 4
CAI Xin	BXT720	Licensed Representative (Provisional Licence)	1, 2
CAO Dan	BKZ053	Licensed Representative (Provisional Licence)	1
CHAM Yin Hung	BUX811	Licensed Representative (Provisional Licence)	4, 9
CHAN Hiu Ying Cissy	BXT732	Licensed Representative (Provisional Licence)	1, 4

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2026**

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHAN Ka Wai	BXP850	Licensed Representative (Provisional Licence)	1, 4
CHAN Ling Fung	AMR221	Licensed Representative (Provisional Licence)	1
CHAN Shun Hei	BXR789	Licensed Representative (Provisional Licence)	1, 4, 9
CHAN Tsz Yeung	BXS365	Licensed Representative (Provisional Licence)	4
CHAN Yan Yu	BXP493	Licensed Representative (Provisional Licence)	1, 4, 6
CHANG CHIEN Ming-Che	BXQ487	Licensed Representative (Provisional Licence)	1
CHANG Jui	BXS087	Licensed Representative (Provisional Licence)	1, 4
CHANG Kai Chi	BWQ711	Licensed Representative (Provisional Licence)	1
CHANG Xinyuan	BXR853	Licensed Representative (Provisional Licence)	6
CHEN Chao	BXR461	Licensed Representative (Provisional Licence)	1, 2, 4
CHEN Liangyuan	BXR551	Licensed Representative (Provisional Licence)	1
CHEN Qiang	BHO455	Licensed Representative (Provisional Licence)	1
CHEN Shurui	BXT950	Licensed Representative (Provisional Licence)	1
CHEN SIQI	BXQ323	Licensed Representative (Provisional Licence)	1, 4, 9
CHEN Siwei	BRI243	Licensed Representative (Provisional Licence)	9
CHEN Wen	BRV888	Licensed Representative (Provisional Licence)	1, 4, 9
CHEN Xin	BPH529	Licensed Representative (Provisional Licence)	1, 4
CHEN Yaohua	BXS357	Licensed Representative (Provisional Licence)	1, 4
CHEN Zhen	BXR496	Licensed Representative (Provisional Licence)	1, 4
CHEN Zhenxing	BXT857	Licensed Representative (Provisional Licence)	1, 4, 9
CHEN ZIYE	BXS899	Licensed Representative (Provisional Licence)	1, 2
CHEN Ziyi	BXR284	Licensed Representative (Provisional Licence)	1, 4
CHENG Ya Yi Amie	BSQ858	Licensed Representative (Provisional Licence)	1
CHERNIAK Harlan Brett	BXS833	Licensed Representative (Provisional Licence)	1
CHEUNG Chin Wa	BXS547	Licensed Representative (Provisional Licence)	4, 9
CHEUNG Hok Yuen	BXS797	Licensed Representative (Provisional Licence)	1, 2, 4
CHEW Mei Tze, Marie	AXC575	Licensed Representative (Provisional Licence)	1, 4
CHIANG Shih-Fu	BXU400	Licensed Representative (Provisional Licence)	1, 4, 9
CHING Yan	BXS373	Licensed Representative (Provisional Licence)	4, 9
CISANA Florian	BWS870	Licensed Representative (Provisional Licence)	1, 4
CO Hau Yee Elkey	BXQ392	Licensed Representative (Provisional Licence)	1
COFFEY Alexander Valchar	BXS011	Licensed Representative (Provisional Licence)	4
CUI Chon Weng	BXU162	Licensed Representative (Provisional Licence)	1, 4
DAI Yamin	BXL995	Licensed Representative (Provisional Licence)	4
DENG Chengqi	BXR408	Licensed Representative (Provisional Licence)	4
DONG Lili	BXS929	Licensed Representative (Provisional Licence)	1, 4
DONG Ruiqi	BXV803	Licensed Representative (Provisional Licence)	1, 2
DOU Qiaoqiao	BXS076	Licensed Representative (Provisional Licence)	1
DUTT Aaryaman	BXU143	Licensed Representative (Provisional Licence)	1, 2
FANG Siyuan	BXS329	Licensed Representative (Provisional Licence)	1, 2
FENG SHENG	BXM442	Licensed Representative (Provisional Licence)	4, 9
FONG Yuen Tung	BXU180	Licensed Representative (Provisional Licence)	1, 2, 7
FREEMAN Tracy Taray	BCZ930	Licensed Representative (Provisional Licence)	1
FU Mingyue	BXS724	Licensed Representative (Provisional Licence)	4, 9
FUNG Hok Ching Harold	BXR792	Licensed Representative (Provisional Licence)	1
FUNG In Nei	BWS761	Licensed Representative (Provisional Licence)	1, 4
FUNG Shing Wai	AWJ859	Licensed Representative (Provisional Licence)	1, 4
FUNG Wai Ling	BVH562	Licensed Representative (Provisional Licence)	13
GAILLARD Jean-Philippe Marie	BXP322	Licensed Representative (Provisional Licence)	1, 4

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2026**

CE Name	CE No.	Licence Type	Types of Regulated Activity
GAO Feiyu	BXR590	Licensed Representative (Provisional Licence)	1, 4
GAO Ningyu	BXM331	Licensed Representative (Provisional Licence)	1
GAO Rebecca Jingting	BXQ936	Licensed Representative (Provisional Licence)	6
GAO Shengyao	BXS694	Licensed Representative (Provisional Licence)	1, 4
GE Wanyi	BXU569	Licensed Representative (Provisional Licence)	1, 4
GOH Teik Lye	BXS012	Licensed Representative (Provisional Licence)	4
GONG Jiaolei	BPW796	Licensed Representative (Provisional Licence)	1, 4, 6
GOURSAT Stephane Frederic	BXR080	Licensed Representative (Provisional Licence)	1, 2
GRITSAY Julia	BXV600	Licensed Representative (Provisional Licence)	1, 4, 9
GU Shaobo	BXM823	Licensed Representative (Provisional Licence)	1
GUNDALA Anjana	BXQ882	Licensed Representative (Provisional Licence)	9
GUO Boyang	BXS331	Licensed Representative (Provisional Licence)	1, 2
GUO Keyue	BXN661	Licensed Representative (Provisional Licence)	4, 9
GUO Yuanning	BXQ756	Licensed Representative (Provisional Licence)	4
GUO Yuchen	BXT712	Licensed Representative (Provisional Licence)	1, 4, 9
Hao Tong	BTY682	Licensed Representative (Provisional Licence)	4, 9
HASAN Amber	BXT960	Licensed Representative (Provisional Licence)	1
HASHIDA Hidemaru	BXU802	Licensed Representative (Provisional Licence)	1
HE Luozhong	BXU221	Licensed Representative (Provisional Licence)	1
HE Shi	BXT726	Licensed Representative (Provisional Licence)	9
HE-TSEN Ying	BXS566	Licensed Representative (Provisional Licence)	1, 4
HEUNG Chun Wang	BXQ482	Licensed Representative (Provisional Licence)	1, 4, 9
HINCHCLIFFE Michael Robert	BXS885	Licensed Representative (Provisional Licence)	1, 4, 9
HO Yan Ting	BXT627	Licensed Representative (Provisional Licence)	4, 9
HONG Binghuan	BXU088	Licensed Representative (Provisional Licence)	1, 2
HONG Chaemin	BXS517	Licensed Representative (Provisional Licence)	1, 4, 9
HU Jiayi	BXU278	Licensed Representative (Provisional Licence)	1
HU Xiaodan	BXS758	Licensed Representative (Provisional Licence)	1, 4, 9
HUANG Feng	BXR925	Licensed Representative (Provisional Licence)	1, 2, 4
HUANG Jinchuan	BXS007	Licensed Representative (Provisional Licence)	1
HUANG Sizhe	BXQ871	Licensed Representative (Provisional Licence)	2
HUANG Xiaolan	BXP323	Licensed Representative (Provisional Licence)	1
HUANG Yu	ATI173	Licensed Representative (Provisional Licence)	3
HUI Junhuan Marvin	BXS228	Licensed Representative (Provisional Licence)	4, 9
HUI Ka Yan Audrey	BXV219	Licensed Representative (Provisional Licence)	1, 2
HUI Tung Hei	BXP327	Licensed Representative (Provisional Licence)	13
HUNG Zen-Wai	BXU160	Licensed Representative (Provisional Licence)	1
HUO Hongye	BXS210	Licensed Representative (Provisional Licence)	9
IUNUSOV Grigorii	BXU144	Licensed Representative (Provisional Licence)	1, 4
JIANG Chenyu	BXS952	Licensed Representative (Provisional Licence)	4
JIANG Jihao	BJG860	Licensed Representative (Provisional Licence)	4, 9
JIANG Peiyan	BXS895	Licensed Representative (Provisional Licence)	9
JIN Muyang	BXO329	Licensed Representative (Provisional Licence)	4
JING Buhang	BTX928	Licensed Representative (Provisional Licence)	1, 4, 9
JO Namseok	BXS256	Licensed Representative (Provisional Licence)	1, 4
JOLL Barnaby Arthur Cecil	APL251	Licensed Representative (Provisional Licence)	9
KAN Ho Yin	BXS158	Licensed Representative (Provisional Licence)	1, 4
KARAHASANOGLU Ediz	BXU227	Licensed Representative (Provisional Licence)	9
KATO Nobutaka	BRQ057	Licensed Representative (Provisional Licence)	1

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2026**

CE Name	CE No.	Licence Type	Types of Regulated Activity
KE Yixin	BXQ910	Licensed Representative (Provisional Licence)	1, 4
KHABIYA Nitin Kumar	BXS806	Licensed Representative (Provisional Licence)	1
KHANDELWAL Manu	BXU616	Licensed Representative (Provisional Licence)	1
KHOSLA Rohit	BXS568	Licensed Representative (Provisional Licence)	1, 4
KIM Yeji	BXU554	Licensed Representative (Provisional Licence)	1
KIM Yong Jun	BXP558	Licensed Representative (Provisional Licence)	1
KOBAYASHI Yusuke	BXS586	Licensed Representative (Provisional Licence)	1, 4, 6
KODTHIVADA Varun	BXS801	Licensed Representative (Provisional Licence)	9
KUNG Lap Quin Kevin	BEH962	Licensed Representative (Provisional Licence)	4, 9
KWOK Bo Yan	BXS487	Licensed Representative (Provisional Licence)	1, 7
KWOK Kei	BXS174	Licensed Representative (Provisional Licence)	1, 2
LAI Hok Lam Justin	BXR764	Licensed Representative (Provisional Licence)	1, 9
LAI Jamian Wong	BTD619	Licensed Representative (Provisional Licence)	4, 9
LAM Chi Kit	BXQ256	Licensed Representative (Provisional Licence)	1, 6
LAM Ka Man	BXS684	Licensed Representative (Provisional Licence)	4, 9
LAN Junlong	BXT994	Licensed Representative (Provisional Licence)	1, 6
LAU Ka Ki	BXN328	Licensed Representative (Provisional Licence)	1, 2
LAU Pok Yat, Watson	BCZ918	Licensed Representative (Provisional Licence)	9
LAU Yat Lun	BXR646	Licensed Representative (Provisional Licence)	1
LAW Tsz Kit	BOJ325	Licensed Representative (Provisional Licence)	9
LEE Chun-I	BXS754	Licensed Representative (Provisional Licence)	1, 4, 9
LEE Jae Won	BXU776	Licensed Representative (Provisional Licence)	1, 2, 4
LEE Jae Woo	AIH182	Licensed Representative (Provisional Licence)	1, 4
LEE Mei Wan	BOU800	Licensed Representative (Provisional Licence)	4, 9
LEE Sang Wook	BXS088	Licensed Representative (Provisional Licence)	1, 4
LEE Yi Jie	BXS780	Licensed Representative (Provisional Licence)	1, 2
LEUNG Chun Yan Gareth	BXT853	Licensed Representative (Provisional Licence)	1, 4
LI Boyu	BXP972	Licensed Representative (Provisional Licence)	4
LI Changhao	BXR659	Licensed Representative (Provisional Licence)	1
LI Chi Ling	BXS808	Licensed Representative (Provisional Licence)	4, 9
LI Haocheng	BXU131	Licensed Representative (Provisional Licence)	1
LI Jian	BXU360	Licensed Representative (Provisional Licence)	4
LI Jingwen	BGC195	Licensed Representative (Provisional Licence)	1, 4, 6
LI Keyi	BXP328	Licensed Representative (Provisional Licence)	4
LI Minghui	BXR826	Licensed Representative (Provisional Licence)	1, 4
LI Peiyu	BNJ144	Licensed Representative (Provisional Licence)	1, 4
LI Qiaochu	BGB368	Licensed Representative (Provisional Licence)	1, 2
LI Qinan	BXS804	Licensed Representative (Provisional Licence)	1
LI Shijuan	BXM243	Licensed Representative (Provisional Licence)	1
LI SHITING	BXI634	Licensed Representative (Provisional Licence)	1, 4
LI Xiaoying	BXU086	Licensed Representative (Provisional Licence)	1, 4
LI Yuan	BXQ502	Licensed Representative (Provisional Licence)	1, 4
LI Yuhan	BXS786	Licensed Representative (Provisional Licence)	1, 2
LI Zonglin	BXR641	Licensed Representative (Provisional Licence)	1, 6
LIANG Kaifeng	BXS945	Licensed Representative (Provisional Licence)	1
LIAO Bangjie	BXV258	Licensed Representative (Provisional Licence)	1, 2, 9
LIM EUGENE	BXS792	Licensed Representative (Provisional Licence)	1, 4
LIN I-Shiuan	BXR607	Licensed Representative (Provisional Licence)	4
LIN Qinghua	BXO425	Licensed Representative (Provisional Licence)	4

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2026**

CE Name	CE No.	Licence Type	Types of Regulated Activity
LIN XIANG	BXM938	Licensed Representative (Provisional Licence)	1, 6
LIN Yuanyuan	BQE588	Licensed Representative (Provisional Licence)	4, 9
LIN Zixuan	BXQ383	Licensed Representative (Provisional Licence)	6
LIU Changqing	BXS800	Licensed Representative (Provisional Licence)	1, 2
LIU CHURUI	BXR552	Licensed Representative (Provisional Licence)	2
LIU Haotian	BXS862	Licensed Representative (Provisional Licence)	1, 2
LIU Qiang	BXU109	Licensed Representative (Provisional Licence)	1
LIU Siyang	BXP585	Licensed Representative (Provisional Licence)	1, 2
LIU Weipei	BXU108	Licensed Representative (Provisional Licence)	1
LIU Weiping	BXL824	Licensed Representative (Provisional Licence)	1, 4, 9
LIU Yichao	BXV541	Licensed Representative (Provisional Licence)	1, 4, 9
LIU Yifan	BXQ538	Licensed Representative (Provisional Licence)	1, 4, 6
LIU Yuze	BXU080	Licensed Representative (Provisional Licence)	1, 4, 9
LO Ming Lun	BXS474	Licensed Representative (Provisional Licence)	1, 4
LO Tsz On, Chris	ABM814	Licensed Representative (Provisional Licence)	4, 9
LO Tzu Yang	BVZ334	Licensed Representative (Provisional Licence)	9
LONG Hongxin	BXS505	Licensed Representative (Provisional Licence)	1, 4
LU Da-hsuan	BXR078	Licensed Representative (Provisional Licence)	1, 4, 9
LU Kai	BXT762	Licensed Representative (Provisional Licence)	1, 4, 9
LYU Chenlei	BXQ203	Licensed Representative (Provisional Licence)	1, 4, 9
LYU Zheng	BXN364	Licensed Representative (Provisional Licence)	1, 4, 9
LYU Zhihao	BXO294	Licensed Representative (Provisional Licence)	1, 2
MA Lung	BXS220	Licensed Representative (Provisional Licence)	1, 2, 9
MA Mengze	BXN325	Licensed Representative (Provisional Licence)	4
MA Wing Yan	BWT880	Licensed Representative (Provisional Licence)	1, 2
MA Xiangnan	BXR232	Licensed Representative (Provisional Licence)	1, 4
MANDIC Vjeko	BXS431	Licensed Representative (Provisional Licence)	1, 2
MCKERRACHER Peter Lloyd	BXS869	Licensed Representative (Provisional Licence)	1, 4
MEDLYCOTT James Raymond	BXS848	Licensed Representative (Provisional Licence)	9
MOK Hoi Ki, Ricky	ASJ715	Licensed Representative (Provisional Licence)	1, 4
MOK Yoon Su	BXR283	Licensed Representative (Provisional Licence)	1
MOTTARD Alexandre Flavien	ARR798	Licensed Representative (Provisional Licence)	9
MUNDORF Philipp William	BOE966	Licensed Representative (Provisional Licence)	9
MUT Ka Lok Carlo	BXV705	Licensed Representative (Provisional Licence)	1
NARIMAN Ahren Adil	BXR393	Licensed Representative (Provisional Licence)	1, 4, 5
NG Alice Pui Shan	AUV477	Licensed Representative (Provisional Licence)	1, 4
NG Ka Kei	BXS317	Licensed Representative (Provisional Licence)	1
NG Siu Hong	BCK507	Licensed Representative (Provisional Licence)	1, 4, 6
NG Siu May, Michelle	ARR889	Licensed Representative (Provisional Licence)	1
NG Wenxiang Wilson	AVL230	Licensed Representative (Provisional Licence)	4
NG Yong Jian	BXU798	Licensed Representative (Provisional Licence)	1, 2
NGAI Chun Kit, Henry	AEF259	Licensed Representative (Provisional Licence)	1, 4
NIE Shuai	BXP368	Licensed Representative (Provisional Licence)	1
NIU Yuxin	BXN011	Licensed Representative (Provisional Licence)	1, 2, 4, 5
NORRIS Mark Alan	BXS865	Licensed Representative (Provisional Licence)	1
NUKUI Rein	BXQ888	Licensed Representative (Provisional Licence)	9
OH Yookyung	BUU833	Licensed Representative (Provisional Licence)	1, 4, 9
OREILLY Joan Elizabeth	BXT833	Licensed Representative (Provisional Licence)	4, 9
PALMER Harry Charles Alexander	BXS111	Licensed Representative (Provisional Licence)	1, 4

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2026**

CE Name	CE No.	Licence Type	Types of Regulated Activity
PANG Yue	BXU521	Licensed Representative (Provisional Licence)	1, 2, 4
PANG Yuqing	BXT747	Licensed Representative (Provisional Licence)	1, 4
PARK Heeyoung	BMU802	Licensed Representative (Provisional Licence)	1
PELLERIN Gautier Stanislas Bernard	BXU557	Licensed Representative (Provisional Licence)	1, 2
PENG Shirui	BXQ185	Licensed Representative (Provisional Licence)	1, 6
PENG Weiwei	BXS009	Licensed Representative (Provisional Licence)	4
POON Bosco Ho Kong	BTJ299	Licensed Representative (Provisional Licence)	9
QIU Yiqiang	BXS962	Licensed Representative (Provisional Licence)	4
QIU Yixuan	BXS708	Licensed Representative (Provisional Licence)	1, 4, 6
QU CHEN	BXH052	Licensed Representative (Provisional Licence)	4, 9
RAY Kaustav	BXN618	Licensed Representative (Provisional Licence)	1
RAZET Valentin Alexis	BXS504	Licensed Representative (Provisional Licence)	1
REN Siru	BXR855	Licensed Representative (Provisional Licence)	9
REN Wen Fang	BXU182	Licensed Representative (Provisional Licence)	4
SAVANI Hiten Arvin	BXP643	Licensed Representative (Provisional Licence)	1
SEKI Atsushi	BIB389	Licensed Representative (Provisional Licence)	4
SHAO Ping	BXR479	Licensed Representative (Provisional Licence)	4, 9
SHEN Hanwei	BXT774	Licensed Representative (Provisional Licence)	1, 4, 6
SHEN Jie	BXM380	Licensed Representative (Provisional Licence)	4
SHEN Jiemei	BPO617	Licensed Representative (Provisional Licence)	9
SHI Desong	BXR787	Licensed Representative (Provisional Licence)	1
SHI Hongmei	BXO424	Licensed Representative (Provisional Licence)	4
SHI Lin	BXR395	Licensed Representative (Provisional Licence)	1, 4, 9
SHI Qi	BXO489	Licensed Representative (Provisional Licence)	4
SHI Xiaqing	BHY233	Licensed Representative (Provisional Licence)	9
SHIU Yin Ting	BXR766	Licensed Representative (Provisional Licence)	1, 4, 9
SHU Tingfei	BKR355	Licensed Representative (Provisional Licence)	1, 4, 9
SHU Tong	BXP929	Licensed Representative (Provisional Licence)	1, 4, 6
SHUM Kwok Fai	ACP470	Licensed Representative (Provisional Licence)	1
SIFE Joshua David	BXS199	Licensed Representative (Provisional Licence)	1, 4
SINGH Gaurav	BVB197	Licensed Representative (Provisional Licence)	1, 2, 4
SMITH Matthew Samuel	BXS483	Licensed Representative (Provisional Licence)	1, 4
SO Ching Hung	BSX492	Licensed Representative (Provisional Licence)	1, 2, 4, 5
SO HIU MAN	BXS412	Licensed Representative (Provisional Licence)	4, 9
SONG Biye	BXS005	Licensed Representative (Provisional Licence)	6
SONG Yang	BXS196	Licensed Representative (Provisional Licence)	1
SONG Yang	BXS491	Licensed Representative (Provisional Licence)	1, 2, 4
SU Minshen	BXV495	Licensed Representative (Provisional Licence)	1
SUN Austen	BRU866	Licensed Representative (Provisional Licence)	9
SUN Congshi	BPQ381	Licensed Representative (Provisional Licence)	1, 4
SUN Guotong	BRP931	Licensed Representative (Provisional Licence)	1, 4, 6
SUN Jinxia	BXO238	Licensed Representative (Provisional Licence)	4
SUN Kaiqi	BXP848	Licensed Representative (Provisional Licence)	4
SUN Xin	BHS412	Licensed Representative (Provisional Licence)	1, 4, 9
SY Khalife-ababacar	BXS823	Licensed Representative (Provisional Licence)	1
SZETO Wing Cheung, Edward	BXS825	Licensed Representative (Provisional Licence)	4
TAM Siu Hin Marcus	BXU471	Licensed Representative (Provisional Licence)	13
TAN Jun	BXR812	Licensed Representative (Provisional Licence)	4, 9
TANG Ho Ming	BNU842	Licensed Representative (Provisional Licence)	4, 5, 9

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2026**

CE Name	CE No.	Licence Type	Types of Regulated Activity
TANG Siyu	BXM728	Licensed Representative (Provisional Licence)	4
TANG Wai Yin	BXI773	Licensed Representative (Provisional Licence)	1
TANG Ziwen	BXQ568	Licensed Representative (Provisional Licence)	1
TAO Mingjing	BXO331	Licensed Representative (Provisional Licence)	4
THAKUR Anand Bajendrasing	BXU264	Licensed Representative (Provisional Licence)	1
THITHI Rearabetswe Gladstone	BXU491	Licensed Representative (Provisional Licence)	1
TJAHJADI Ezekiel Jovan	BXS109	Licensed Representative (Provisional Licence)	1, 4
TONG Shung Y	BXT727	Licensed Representative (Provisional Licence)	1, 2, 7
TSANG Chun Sing	BRR768	Licensed Representative (Provisional Licence)	1
TSANG Wai Yee Faredah	AWL906	Licensed Representative (Provisional Licence)	1, 2
TSE Shun	BXS740	Licensed Representative (Provisional Licence)	4, 9
TSE Yue Hong	ANQ294	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Bowen	BXN690	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Cui	BXQ789	Licensed Representative (Provisional Licence)	1, 2, 3, 4
WANG Dan	BXS434	Licensed Representative (Provisional Licence)	4
WANG Fengzhi	BVO780	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Ke-lin	BXT846	Licensed Representative (Provisional Licence)	9
WANG Lei	BPW175	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Lingling	AGJ951	Licensed Representative (Provisional Licence)	4, 9
WANG Taige	BXM820	Licensed Representative (Provisional Licence)	1
WANG Ting-Yun	BHC626	Licensed Representative (Provisional Licence)	4, 9
WANG Xuejiao	BXU272	Licensed Representative (Provisional Licence)	1, 4
WANG Yiqi	BXQ340	Licensed Representative (Provisional Licence)	1, 6
WANG Yuxiang	BXP717	Licensed Representative (Provisional Licence)	4
WANG Zhitao	BXS905	Licensed Representative (Provisional Licence)	1, 2
WANG Zibo	BXS321	Licensed Representative (Provisional Licence)	1
WEI Jianing	BXO349	Licensed Representative (Provisional Licence)	6
WEI Yi	BXU300	Licensed Representative (Provisional Licence)	4
WONG Fung Yin	BXN282	Licensed Representative (Provisional Licence)	1, 4
Wong Ka Keung	BWS740	Licensed Representative (Provisional Licence)	1, 4
WONG Po Yue Bowie	BRS472	Licensed Representative (Provisional Licence)	1, 2
WONG Sum Yee	BUP684	Licensed Representative (Provisional Licence)	1
WONG Wai Kiu	BXT821	Licensed Representative (Provisional Licence)	2, 5
WONG Wei Man	ANR767	Licensed Representative (Provisional Licence)	1, 2
WONG Yuen Ching	BXT839	Licensed Representative (Provisional Licence)	1, 6
WONG Zhi-Yuan	BXS147	Licensed Representative (Provisional Licence)	9
WU Baihua	BXS678	Licensed Representative (Provisional Licence)	1
WU CONG	BXS252	Licensed Representative (Provisional Licence)	1, 2, 4
WU Qinxuan	BOS816	Licensed Representative (Provisional Licence)	9
XIANG Beibei	BXR786	Licensed Representative (Provisional Licence)	1
XIANG Jie	BQU747	Licensed Representative (Provisional Licence)	1
XIANG Zheng	BXN703	Licensed Representative (Provisional Licence)	1, 4, 9
XIAO Di	BNH359	Licensed Representative (Provisional Licence)	1, 4
XIE Cailiang	BXS193	Licensed Representative (Provisional Licence)	1
XIE Muqing	BXN066	Licensed Representative (Provisional Licence)	4
XIE Shaowei	BNP481	Licensed Representative (Provisional Licence)	1, 6
XIE Shuming	BXN616	Licensed Representative (Provisional Licence)	1, 4, 9
XIE Zhangling	BXR539	Licensed Representative (Provisional Licence)	9
XIN Xin	BXR506	Licensed Representative (Provisional Licence)	1, 4, 6

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2026**

CE Name	CE No.	Licence Type	Types of Regulated Activity
XING Lei	BXT923	Licensed Representative (Provisional Licence)	1, 4
XIONG Yuzhou	BXU356	Licensed Representative (Provisional Licence)	9
XU Derek	BXV225	Licensed Representative (Provisional Licence)	1, 2
XU Haoxi	BXS878	Licensed Representative (Provisional Licence)	1, 2, 9
XU Yina	BNO566	Licensed Representative (Provisional Licence)	1, 4, 9
XUE Chenqi	BXQ081	Licensed Representative (Provisional Licence)	1
YANG Bo	BPM132	Licensed Representative (Provisional Licence)	1, 4, 6
YANG Jing	ARH995	Licensed Representative (Provisional Licence)	1, 4
YANG Maoda	BTE486	Licensed Representative (Provisional Licence)	1, 4, 9
YANG Shijuan	BXU353	Licensed Representative (Provisional Licence)	1, 4
YANG Shuhan	BXR016	Licensed Representative (Provisional Licence)	6
YANG Xuanting	BXS665	Licensed Representative (Provisional Licence)	1, 4
YANG Yuan	BXS917	Licensed Representative (Provisional Licence)	1, 2
YAO Ziwei	BXU265	Licensed Representative (Provisional Licence)	4
YE Ziang	BXV596	Licensed Representative (Provisional Licence)	1, 4, 9
YEOW Wei Liang Alvin	BXS926	Licensed Representative (Provisional Licence)	1, 2
YEUNG Edwin Chun Yue	BIB909	Licensed Representative (Provisional Licence)	1
YEUNG Wing Yan	BXR011	Licensed Representative (Provisional Licence)	1, 2
YIN Chuanlang	BUI520	Licensed Representative (Provisional Licence)	1, 6
YIP Ting Cheung, Joseph	AKI964	Licensed Representative (Provisional Licence)	1, 4, 6
YIP Tsun Yin Arthur	BXN889	Licensed Representative (Provisional Licence)	1, 3, 4
YIP Wan Yi	BFY998	Licensed Representative (Provisional Licence)	1, 2, 4
YIU Kwong Chun	BXS313	Licensed Representative (Provisional Licence)	1, 2
YU Boren	BXS417	Licensed Representative (Provisional Licence)	4
YU Qing	BXU384	Licensed Representative (Provisional Licence)	1, 4, 9
YU Shun	BXS677	Licensed Representative (Provisional Licence)	1, 2, 7
YU Xiaohui	BXM726	Licensed Representative (Provisional Licence)	4
YU Yang	BXU485	Licensed Representative (Provisional Licence)	1, 4
YU Yiting	BXU165	Licensed Representative (Provisional Licence)	1, 6
YUAN Junxuan	BXO330	Licensed Representative (Provisional Licence)	4
YUEN Wing Hei, Marcus	BXP633	Licensed Representative (Provisional Licence)	1, 2, 4, 5
ZENG Xuesong	BUQ185	Licensed Representative (Provisional Licence)	4
ZENG Yu	BXU220	Licensed Representative (Provisional Licence)	9
ZHANG Chi	BXU731	Licensed Representative (Provisional Licence)	1, 2
ZHANG Lidan	BXR690	Licensed Representative (Provisional Licence)	4
ZHANG Lingrui	BXR503	Licensed Representative (Provisional Licence)	1, 4
ZHANG Lisheng	BXN006	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Peilin	BXQ631	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Sheng	BXP546	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Weiyi	BXM730	Licensed Representative (Provisional Licence)	4
ZHANG Xiao Wei	AUK169	Licensed Representative (Provisional Licence)	1
ZHANG Xiduo	BUD016	Licensed Representative (Provisional Licence)	1
ZHANG Xinyang	BXS875	Licensed Representative (Provisional Licence)	1, 4, 9
ZHANG Yichi	AVO741	Licensed Representative (Provisional Licence)	9
ZHANG Yong	ANT437	Licensed Representative (Provisional Licence)	1
ZHANG Yuan	BTG698	Licensed Representative (Provisional Licence)	1, 6
ZHANG Yujing	BXS876	Licensed Representative (Provisional Licence)	4
ZHANG Yuxuan	BXS494	Licensed Representative (Provisional Licence)	4, 9
ZHAO Di	BRZ219	Licensed Representative (Provisional Licence)	1, 4, 5, 9

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2026**

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHAO Haichun	BXQ424	Licensed Representative (Provisional Licence)	4
ZHAO Wanbo	BXT570	Licensed Representative (Provisional Licence)	1, 2, 4
ZHAO Xinyi	BXS192	Licensed Representative (Provisional Licence)	6
ZHAO Yunlong	BTB542	Licensed Representative (Provisional Licence)	1, 2
ZHAO Zefen	BOS728	Licensed Representative (Provisional Licence)	4
ZHENG Song	BXS096	Licensed Representative (Provisional Licence)	1, 4
ZHERIBOR Iuliia	BXV598	Licensed Representative (Provisional Licence)	1, 4, 9
ZHOU Jiehua	BXR485	Licensed Representative (Provisional Licence)	1
ZHOU Jing	BTM060	Licensed Representative (Provisional Licence)	1, 4, 9
ZHOU Nathan Mingxin	BXU129	Licensed Representative (Provisional Licence)	1, 2
ZHOU Shiyang	BXP810	Licensed Representative (Provisional Licence)	4
ZHOU Yinong	BXN566	Licensed Representative (Provisional Licence)	1, 2, 4
ZHOU Zhichao	BXO172	Licensed Representative (Provisional Licence)	4
ZOPE Ankit Popat	BXT921	Licensed Representative (Provisional Licence)	9
ZOU Xiaoyan	BXU402	Licensed Representative (Provisional Licence)	4
AGARWAL Ashish	BWK372	Licensed Representative (Temporary Licence)	1
AGARWAL Vismaya	BTV418	Licensed Representative (Temporary Licence)	4
AGRAWAL Vivek	BUB301	Licensed Representative (Temporary Licence)	4
ALLEN Thomas James	BTL131	Licensed Representative (Temporary Licence)	4
ANDRE EP DUPONT Corinne	BXT724	Licensed Representative (Temporary Licence)	1, 4
BAPAT Rahul Rajendra	BUX916	Licensed Representative (Temporary Licence)	1
BERTRAND Jean-Charles	AQU629	Licensed Representative (Temporary Licence)	1, 4
BLUE Peter Denison Bolton	BXS938	Licensed Representative (Temporary Licence)	1
BOULIND Oliver Amadeus	BWV089	Licensed Representative (Temporary Licence)	1, 4
BRAZIER Alexander Edward	BWZ090	Licensed Representative (Temporary Licence)	1, 4
BRIGGS James Thomas Edward	BCC466	Licensed Representative (Temporary Licence)	1, 2
BROUSTRA Jerome,David	BVZ271	Licensed Representative (Temporary Licence)	1, 4
BUCKLEY Kieran Francis	BXS712	Licensed Representative (Temporary Licence)	1
BURBANO Esteban	BLD950	Licensed Representative (Temporary Licence)	1, 4
CAMPBELL Blake Irvine	AYK717	Licensed Representative (Temporary Licence)	1, 2
CATTEE Matthew	BXT804	Licensed Representative (Temporary Licence)	1
CHEVELEY Richard George Castelfranc	AQF001	Licensed Representative (Temporary Licence)	1, 4
CHIDGEY Kieren Joseph	BJX129	Licensed Representative (Temporary Licence)	4
CHOI Jae Hyung	BDG877	Licensed Representative (Temporary Licence)	4
CHUA Minguang Donald	BEF380	Licensed Representative (Temporary Licence)	4
CHUGH Saurabh	AQN361	Licensed Representative (Temporary Licence)	1
CHULANI Subash	ABW256	Licensed Representative (Temporary Licence)	1, 2
CLARK III Schuyler Harold	BKJ145	Licensed Representative (Temporary Licence)	4
CURLEY Marcus Christopher	BFR251	Licensed Representative (Temporary Licence)	4
DAI Wei	BOL570	Licensed Representative (Temporary Licence)	1, 4
DANGRA Abhishek Krishna Avtar	BOD244	Licensed Representative (Temporary Licence)	10
DANIEL Lee Kevin John	BXS371	Licensed Representative (Temporary Licence)	1, 4
DAUL Stephane Mathieu Alexandre	BXS704	Licensed Representative (Temporary Licence)	1, 4
DE BRUIJN Jan Cornelis	BTZ290	Licensed Representative (Temporary Licence)	1
DORAI Chadwick Rufus	BXC344	Licensed Representative (Temporary Licence)	4
DSOUZA Hazel Joseph	BDD166	Licensed Representative (Temporary Licence)	1
DUNGER Matthew John	BFF148	Licensed Representative (Temporary Licence)	4
ENG Si Ting	BVF163	Licensed Representative (Temporary Licence)	1
ESKIN GROSS Sophie Jemima	BXU044	Licensed Representative (Temporary Licence)	1

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2026**

CE Name	CE No.	Licence Type	Types of Regulated Activity
EVANS Joshua Edward Simon	BXS456	Licensed Representative (Temporary Licence)	1, 2
FOSTER Samuel James	BXS363	Licensed Representative (Temporary Licence)	1, 4
GAO Lu	ATB718	Licensed Representative (Temporary Licence)	1, 4
GARFIN Mitchell Seth	BJE835	Licensed Representative (Temporary Licence)	1, 4
GARRE Venugopal	AML716	Licensed Representative (Temporary Licence)	4
GILLESPIE Samuel Frederick	BOR389	Licensed Representative (Temporary Licence)	1, 4
GOYAL Megha	BKR107	Licensed Representative (Temporary Licence)	1
GOYAL Surendra Kumar	BEO301	Licensed Representative (Temporary Licence)	4
GREEN Thomas Alistair	BUM189	Licensed Representative (Temporary Licence)	1, 4
GUPTA Raj	BXS205	Licensed Representative (Temporary Licence)	1, 2
HARAGUCHI Ukyo	BXU004	Licensed Representative (Temporary Licence)	4
HARGIS Kent W	BAG066	Licensed Representative (Temporary Licence)	4
HAVELIVALA Akief Anwar	BXS841	Licensed Representative (Temporary Licence)	1
HAYASHI (SUMOGE) Manabu	BWY603	Licensed Representative (Temporary Licence)	4
HENG Brandon Liang Chew	BXT814	Licensed Representative (Temporary Licence)	6
HENG Ryan Matthew CHENGDE	BWW830	Licensed Representative (Temporary Licence)	4
HENGSTEBECK Nicolas Wolfgang	BXP407	Licensed Representative (Temporary Licence)	1
HEYES Richard James	BIJ198	Licensed Representative (Temporary Licence)	1
HIRAKAWA Mikio	BBT553	Licensed Representative (Temporary Licence)	4
HIRT Gregor Manfred Arthur	BXO367	Licensed Representative (Temporary Licence)	1
HO Chun To	AWK554	Licensed Representative (Temporary Licence)	4
HO Xin Zi	BXU186	Licensed Representative (Temporary Licence)	1
HO Ying Shun	BWO081	Licensed Representative (Temporary Licence)	1
HSUEH Chia-Yao	ANQ601	Licensed Representative (Temporary Licence)	1
HU Xunyu	BRC220	Licensed Representative (Temporary Licence)	4
HUANG Chung-Li	BVJ892	Licensed Representative (Temporary Licence)	4
HUEFNER Felix Paul	BMV915	Licensed Representative (Temporary Licence)	4
HUGUENIN-VIRCHAUX Steve Pierre	ATN574	Licensed Representative (Temporary Licence)	1, 4
HUH Yoonsoo	BJQ672	Licensed Representative (Temporary Licence)	1
INOUE Takahiro	BTN229	Licensed Representative (Temporary Licence)	4
JI Jiechao	BQB230	Licensed Representative (Temporary Licence)	4
JIN Yixuan	BUY704	Licensed Representative (Temporary Licence)	1
KANODIA Ashish	BTV404	Licensed Representative (Temporary Licence)	4
KEIRSTEAD Karl Emil	BUT188	Licensed Representative (Temporary Licence)	4
KELLY Karen	BXS765	Licensed Representative (Temporary Licence)	1, 4
KIM Dong Hyun	AMY253	Licensed Representative (Temporary Licence)	1
KOENIG Erik Dietmar	BWV666	Licensed Representative (Temporary Licence)	1, 2
KOHALMI Zsolt	BWH499	Licensed Representative (Temporary Licence)	1, 4
KONG Freya	BVQ146	Licensed Representative (Temporary Licence)	4
KUBOTA Masashi	BBA891	Licensed Representative (Temporary Licence)	4
KUECH Andrew Robert	ASZ093	Licensed Representative (Temporary Licence)	1
KUMAR Shaleen	BJQ605	Licensed Representative (Temporary Licence)	4
LEVY Stephane	BUS373	Licensed Representative (Temporary Licence)	1, 4
LI Sulei	BSD480	Licensed Representative (Temporary Licence)	1
LIAW Eric	BWV871	Licensed Representative (Temporary Licence)	1
LIM Kor Lei Grace	BTJ832	Licensed Representative (Temporary Licence)	4
LIU Annie	BXT782	Licensed Representative (Temporary Licence)	1, 2
LOW Jing Yee Zoey	BXU259	Licensed Representative (Temporary Licence)	1
MAGHENZANI Nicholas Joseph	BTL132	Licensed Representative (Temporary Licence)	1

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2026**

CE Name	CE No.	Licence Type	Types of Regulated Activity
MARTIN Victor Dennis	BTN244	Licensed Representative (Temporary Licence)	1
MATHUR Aditya	BEO279	Licensed Representative (Temporary Licence)	4
MCCABE Adam John	BXT956	Licensed Representative (Temporary Licence)	1
MCCUTCHEON Kate Elizabeth	BTC188	Licensed Representative (Temporary Licence)	4
MELLETT Clare Cecilia	BXS316	Licensed Representative (Temporary Licence)	1
MICHAEL Joseph Andrew	BKT333	Licensed Representative (Temporary Licence)	4
MILIS Maria	BXS053	Licensed Representative (Temporary Licence)	1, 4
MITILINEOS Ioannis Andrea	BXS006	Licensed Representative (Temporary Licence)	1, 4
NAGAO Yoshitaka	AVM354	Licensed Representative (Temporary Licence)	4
NAITO Takayuki	BMJ733	Licensed Representative (Temporary Licence)	4
NAKAKURA Hironori	BVK935	Licensed Representative (Temporary Licence)	4
NAYLOR-LEYLAND Edward Frederick	BLG378	Licensed Representative (Temporary Licence)	1
NG Peirong	AXH379	Licensed Representative (Temporary Licence)	1, 4
NG Yijing	BXS623	Licensed Representative (Temporary Licence)	10
NISHIZAWA Arashi	BOR475	Licensed Representative (Temporary Licence)	4
NIWA Koichi	AUW702	Licensed Representative (Temporary Licence)	4
NOWAK Brian Thomas	BAW836	Licensed Representative (Temporary Licence)	4
O'BRIEN Declan	BXS074	Licensed Representative (Temporary Licence)	1, 4
OGAWA KIRIKO	BUT667	Licensed Representative (Temporary Licence)	1
OKIHIRA Yoshiyasu	AOE022	Licensed Representative (Temporary Licence)	1, 4, 6
PARK Jaeryung	BUY637	Licensed Representative (Temporary Licence)	1
PARK Sanghyeon	BWW255	Licensed Representative (Temporary Licence)	4
PARKER Matthew William	BVK096	Licensed Representative (Temporary Licence)	1, 2
PAUKER Andrew Brown	BMM541	Licensed Representative (Temporary Licence)	4
PEH Aik Seng	BXJ845	Licensed Representative (Temporary Licence)	1, 4
PHOON Zhen Bang	BXS829	Licensed Representative (Temporary Licence)	1, 2
PINEO Philip John	BSY446	Licensed Representative (Temporary Licence)	1, 2
PRINCE Alexander Gilbert	AXH803	Licensed Representative (Temporary Licence)	1, 2
PRITHYANI Gunjan	BTH771	Licensed Representative (Temporary Licence)	4
PUSZ Zuzanna Barbara	BPC493	Licensed Representative (Temporary Licence)	4
RHENALS PORRAS Elida,Margarita	BVI254	Licensed Representative (Temporary Licence)	1, 4
ROCAFORT VARELA Maria Del Pilar	BMY198	Licensed Representative (Temporary Licence)	1
ROTH Johannes Minho	BWB946	Licensed Representative (Temporary Licence)	1, 4
RULLI Edoardo	BWW247	Licensed Representative (Temporary Licence)	1, 4
SACCO Alexander David	BMH784	Licensed Representative (Temporary Licence)	1
SALVATORE Ariana Congiu	BXU518	Licensed Representative (Temporary Licence)	4
SCEMAMA Didier Charles	BTN078	Licensed Representative (Temporary Licence)	4
SEOW Yim Peng, Dora	BPR816	Licensed Representative (Temporary Licence)	1
SINGH Binay	AVL367	Licensed Representative (Temporary Licence)	4
SMALLEY Grace Lydia	BTR102	Licensed Representative (Temporary Licence)	4
SMITH Alexander Albert Gordon	BUS187	Licensed Representative (Temporary Licence)	1
SMUTTRASEN Marisa	BWA659	Licensed Representative (Temporary Licence)	1
SPRY Levi Benjamin	BWZ311	Licensed Representative (Temporary Licence)	4
SU Hou-ho	AUB386	Licensed Representative (Temporary Licence)	4
SUBRAMANIAN Bharat Sriraman	BUO818	Licensed Representative (Temporary Licence)	4
SUGIHARA Shohei	BVC042	Licensed Representative (Temporary Licence)	1, 2
SYMES Michael Anthony	BDO449	Licensed Representative (Temporary Licence)	1
TAKEUCHI Kazufumi	AYA032	Licensed Representative (Temporary Licence)	4
TAMAI Toshiyuki	AZE887	Licensed Representative (Temporary Licence)	1, 2

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2026**

<b>CE Name</b>	<b>CE No.</b>	<b>License Type</b>	<b>Types of Regulated Acitivity</b>
TANG-U-THAISUK Ploy	BXS622	Licensed Representative (Temporary Licence)	10
TANOUE Yuki	BAX514	Licensed Representative (Temporary Licence)	1
TOMAN Michael Gregory	BXS206	Licensed Representative (Temporary Licence)	1, 4
TUPPER Nigel Robert	ACS592	Licensed Representative (Temporary Licence)	4
UJIHARA Yoshihiro	BBP909	Licensed Representative (Temporary Licence)	1, 4
VAN RIEMSDIJK Adrianus Gijsbertus	BUF742	Licensed Representative (Temporary Licence)	1, 4
VERBAAS Cornelis Adrianus	AMM438	Licensed Representative (Temporary Licence)	1
VISEN Shaurya Pratap Singh	BND225	Licensed Representative (Temporary Licence)	4
WANG Jie	BPY134	Licensed Representative (Temporary Licence)	1
WANG Zheyi	BXV239	Licensed Representative (Temporary Licence)	1, 2
WARR Alastair Charles	AGD632	Licensed Representative (Temporary Licence)	4
WILKINSON Nicholas Stephen	BVK146	Licensed Representative (Temporary Licence)	1, 2
WILLIAMS Jake	BXU057	Licensed Representative (Temporary Licence)	1
WONG Norbert Hoyan	BUT101	Licensed Representative (Temporary Licence)	1
XUE Qiaodan	BXS001	Licensed Representative (Temporary Licence)	1, 4
YONESHIMA Keiichi	BXS556	Licensed Representative (Temporary Licence)	4
YOSHIHARA Tomoko	BMO654	Licensed Representative (Temporary Licence)	4
YU Nelson N T	BWV474	Licensed Representative (Temporary Licence)	4
ZHONG Cheng	BTW235	Licensed Representative (Temporary Licence)	1