

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2026**

CE Name	CE No.	Licence Type	Types of Regulated Activity
AK Capital Limited	BWU987	Licensed Corporation	4, 9
AlphaSeek Asset Management Limited	BWY399	Licensed Corporation	4, 9
CNO Capital (Hong Kong) Limited	BWM951	Licensed Corporation	4, 9
Courage Capital Limited	BVU845	Licensed Corporation	4, 9
DEFTA International Limited	BWI773	Licensed Corporation	9
Equanimity Capital Limited	BWI433	Licensed Corporation	4, 9
Equator Assets Management Company Limited	BWN775	Licensed Corporation	4, 9
Essence Capital Limited	BXF088	Licensed Corporation	4, 9
Galaxy Digital HK Limited	BUE120	Licensed Corporation	1, 2
Hong Kong HuanHexin Asset Management Co., Limited	BWZ042	Licensed Corporation	4, 9
Hunter Point Capital Hong Kong Limited	BUH830	Licensed Corporation	1
Invictus Investment Partners Limited	BWP072	Licensed Corporation	9
L&L Holdings Limited	BWI328	Licensed Corporation	4, 9
Near Fair Fund Management Company Limited	BWX206	Licensed Corporation	9
Nextober Capital Limited	BXG784	Licensed Corporation	1, 4, 9
NOMAD HONG KONG MANAGEMENT LIMITED	BWT316	Licensed Corporation	4, 9
RD InnoVest Limited	BWV426	Licensed Corporation	1, 4
Ruiheng Securities Limited	BWR681	Licensed Corporation	1, 4, 9
Serakai Capital Management Limited	BWX377	Licensed Corporation	9
WinExcel Asset Management Limited	BXB582	Licensed Corporation	4, 9
ZG Capital Management Limited	BWT436	Licensed Corporation	4, 9
ABAJOLI Sebastien Georges Thierry	BXV502	Licensed Representative	9
ADJRIOU Abdelhak	BXV509	Licensed Representative	9
AI Liwei	BXN003	Licensed Representative	1, 6
AO JIE	BXU270	Licensed Representative	1, 4, 9
BAE Sangkyu	BXT834	Licensed Representative	1, 6
BAI Yue	BXV923	Licensed Representative	9
BAI Yunlin	BVR963	Licensed Representative	4, 9
BAIJAL Maheesh	BXX880	Licensed Representative	1, 2
BENEDICT Adrian Dharshan	AXO951	Licensed Representative	1
BHATIA Lekh Harish	BXW399	Licensed Representative	1, 2
BLASSEL Guillaume Alexandre Theophile	BXX473	Licensed Representative	1, 2
BOUVARD Caroline Marie Eugenie Renee	AUX261	Licensed Representative	1, 2
BRASHER Anthony Graham	BXS200	Licensed Representative	1, 4
BRISCOE Hayden Daniel	AVV090	Licensed Representative	4, 9
CAI Jinyi	BXT938	Licensed Representative	1, 4
CAO Jin	BLK138	Licensed Representative	1, 4, 9
CAO Qingshan	BXK219	Licensed Representative	1, 6
CAO Yang	BKE809	Licensed Representative	9
CHAN Cheuk Lam	BQC596	Licensed Representative	1, 4
CHAN King	AXH382	Licensed Representative	1, 4
CHAN Lai Yin, Michelle	APW471	Licensed Representative	1, 4, 9
CHAN Lei	BBA384	Licensed Representative	4, 9
CHAN Ling Fung	AMR221	Licensed Representative	1
CHAN Sik Ying Janice	BXV250	Licensed Representative	1, 4
CHANG Kai Chi	BWQ711	Licensed Representative	1
CHAO Xueer	BMI596	Licensed Representative	1
CHAU Chak Lung	BXV914	Licensed Representative	4, 9

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2026**

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHEN Bolun	BXV996	Licensed Representative	1, 2, 9
CHEN Chao	BXT906	Licensed Representative	6
CHEN Chao	BXR461	Licensed Representative	1, 2, 4
CHEN Fan	BXU680	Licensed Representative	4, 9
CHEN Guojie	BXN332	Licensed Representative	6
CHEN Haohua	BXS767	Licensed Representative	1, 2
CHEN Hong	BXW868	Licensed Representative	9
CHEN Jing	BXX609	Licensed Representative	4, 9
CHEN Jundao	BXM142	Licensed Representative	1, 6
CHEN Kai	AZN559	Licensed Representative	9
CHEN Shiding	BXW606	Licensed Representative	9
CHEN SIQI	BXQ323	Licensed Representative	1, 4, 9
CHEN Sitong	BXU208	Licensed Representative	4
CHEN Wen	BXU056	Licensed Representative	1, 4
CHEN Xian	BXV291	Licensed Representative	1, 2, 4
CHEN Xiao	BXT820	Licensed Representative	1, 2, 4
CHEN Yan	BXV337	Licensed Representative	9
CHEN Yuanqing	BXX705	Licensed Representative	1, 4
CHEN Yuqing	BXL011	Licensed Representative	6
CHEN Zhiyi	BJU798	Licensed Representative	1, 4
CHEN Zihan	BXV869	Licensed Representative	2
CHENG Dung Lin	BXT713	Licensed Representative	4, 9
CHENG Wun Kiu	BXS908	Licensed Representative	1
CHENG Xiaohan	BNO501	Licensed Representative	1, 4
CHEUNG Lai Fong	ARP127	Licensed Representative	1, 4
CHEUNG Yuk Kwai	BHX260	Licensed Representative	4, 9
CHIANG Shih-Fu	BXU400	Licensed Representative	1, 4, 9
CHIU Chun Ming Alfred	BXU262	Licensed Representative	1, 4, 9
CHOI Heung Kam, Iris	AXR109	Licensed Representative	2, 5
CHONG Zhen Han	BXV673	Licensed Representative	4, 9
CHOU Chia Yu	BXS112	Licensed Representative	1
CHOW Kwun Hei	BXR373	Licensed Representative	10
CHU Chun Wa	BMX722	Licensed Representative	1, 4, 6
CHU Yuren	BXQ768	Licensed Representative	1
CHUN Cheuk Yin	BXU058	Licensed Representative	1, 4
CHUNG Chi Kin Joe	BUX053	Licensed Representative	1, 4
CHUNG Wai Yip	BXW167	Licensed Representative	9
CUI Chon Weng	BXU162	Licensed Representative	1, 4
DAI Jinshui	BXT910	Licensed Representative	1, 2, 4
DAI Yan	BOP217	Licensed Representative	1
DANG Ran	BXX680	Licensed Representative	1
DENG Qiao	BXV690	Licensed Representative	1, 4
DENG Rui	BXS348	Licensed Representative	1, 2, 4
DENG Ting	BXE976	Licensed Representative	1, 9
DING Jin	BUJ963	Licensed Representative	1, 4
DONG Enliang	BXO150	Licensed Representative	4
DOU Qiaoqiao	BXS076	Licensed Representative	1
DOU Yucai	BXO405	Licensed Representative	1, 6
DOU Zixuan	BXU530	Licensed Representative	9

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2026**

CE Name	CE No.	Licence Type	Types of Regulated Acitivity
DU Jian	BXV349	Licensed Representative	4
DUNMAN Jem Mollaahmetoglu	BXS798	Licensed Representative	1
FAN Jingruo	BLQ525	Licensed Representative	1, 4, 6
FAN Yu	BXV848	Licensed Representative	9
FAN Zheqi	BXW777	Licensed Representative	1, 2
FANG Minjia	BXU779	Licensed Representative	9
FANG Shimeng	BXQ628	Licensed Representative	6
FENG Jiazhen	BXX928	Licensed Representative	4, 9
FENG Lujia	BXY535	Licensed Representative	1, 4
FOK Kin Hun	BXV292	Licensed Representative	1, 4
FONG Ming Kwan Gabriel	ADR913	Licensed Representative	1, 4, 9
FONG Wai Lun	ARL280	Licensed Representative	1, 4, 9
FU Chia-wen	BXS429	Licensed Representative	1, 4
FU Qingyi	BXW425	Licensed Representative	6
FUJITA Kazuya	BXV912	Licensed Representative	1, 4, 6
FUKUMITSU Kenji	BXV477	Licensed Representative	4, 9
FUNG Hok Ching Harold	BXR792	Licensed Representative	1
FUNG Shing Wai	AWJ859	Licensed Representative	1, 4
GAILLARD Jean-Philippe Marie	BXP322	Licensed Representative	1, 4
GAO Haotian	BXN424	Licensed Representative	4, 9
GAO Shengyao	BXS694	Licensed Representative	1, 4
GAO Xudong	BXM114	Licensed Representative	1, 6
GAO Yangyang	BXV849	Licensed Representative	1, 4
GAO Yuequn	APO883	Licensed Representative	1, 4, 9
GE Pengcheng	BXJ341	Licensed Representative	4, 9
GE Wanyi	BXU569	Licensed Representative	1, 4
GONG Jiaolei	BPW796	Licensed Representative	1, 4, 6
GRITSAY Julia	BXV600	Licensed Representative	1, 4, 9
GU Biyi	BRH075	Licensed Representative	1, 4, 9
GU Feng	BXI398	Licensed Representative	1, 6
GU Yang	BUT552	Licensed Representative	1, 4, 9
GU Yifan	BAE171	Licensed Representative	1, 4, 6
GUI Jianyuan	BXN417	Licensed Representative	6
GUO Chengli	BXN444	Licensed Representative	6
GUO Keyue	BXN661	Licensed Representative	4, 9
GUO Yao	AZP163	Licensed Representative	9
GUO Yuchen	BXT712	Licensed Representative	1, 4, 9
HAGAR-DENT Noah Anthony	BXW938	Licensed Representative	1, 2
HAO LIANG	BXQ811	Licensed Representative	9
HAO Siyuan	BSZ992	Licensed Representative	4, 9
HE Jia	AOJ239	Licensed Representative	1, 4
HE LI	BXU367	Licensed Representative	9
HE Luozhong	BXU221	Licensed Representative	1
HE Mucong	BXS769	Licensed Representative	4, 9
HE Peixuan	BXU558	Licensed Representative	1, 4, 6
HE Shi	BXT726	Licensed Representative	9
HE Zhenxing	BXU765	Licensed Representative	1, 4, 9
HINCHCLIFFE Michael Robert	BXS885	Licensed Representative	1, 4, 9
HINO Dai	AXX264	Licensed Representative	1, 2

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2026**

CE Name	CE No.	Licence Type	Types of Regulated Activity
HO Jheng-Yuan	BXU216	Licensed Representative	9
HO Kai Cheong	BXU601	Licensed Representative	1, 4, 9
HO Ming Hei Tiffany	AUV994	Licensed Representative	1, 4
HO Pui Yi	BXX240	Licensed Representative	1, 4, 9
HO Wing Yu	BXW819	Licensed Representative	4, 9
HO Yan Ting	BXT627	Licensed Representative	4, 9
HONG Binghuan	BXU088	Licensed Representative	1, 2
HONG Tao	BUB077	Licensed Representative	1, 4, 9
HONG Yang	BXP979	Licensed Representative	4
HONG Yun	BXL007	Licensed Representative	6
HU Fei	BXU344	Licensed Representative	1, 4, 9
HU Jiayi	BXU278	Licensed Representative	1
HU Xiaodan	BXS758	Licensed Representative	1, 4, 9
HU Xiaotong	BXR664	Licensed Representative	1, 4
HU Yingyue	BXW312	Licensed Representative	4
HU Yinqi	BXJ490	Licensed Representative	6
HUA Linghao	BXN009	Licensed Representative	1, 6
HUANG Cuijun	BXR583	Licensed Representative	1, 4, 9
HUANG Diyu	BXT807	Licensed Representative	1
HUANG Huaxing	BXW825	Licensed Representative	1, 4
HUANG Sike	BHI178	Licensed Representative	6
HUANG Zihong	BXU026	Licensed Representative	4, 9
HUANG Zixin	BXH172	Licensed Representative	6
HUI Hiu Yan	BKI714	Licensed Representative	1, 4, 6
JACKWAY Charlie Daniel	BXV855	Licensed Representative	1, 2
JANG Sang Bin	BSQ141	Licensed Representative	1, 4
JI Jiashuai	BXT823	Licensed Representative	1
JI Ke	BXR312	Licensed Representative	4
JI Longtian	BXX843	Licensed Representative	1, 2
JIA Peng	AOZ129	Licensed Representative	1
JIANG Peiyan	BXS895	Licensed Representative	9
JIANG Xiuling	BXV850	Licensed Representative	1, 4, 9
JIANG Zhuoheng	BXT847	Licensed Representative	1
JING Buhang	BTX928	Licensed Representative	1, 4, 9
KANG SEOKWOOD	BXW114	Licensed Representative	1, 4
KAO Chi Lam	AXO032	Licensed Representative	1, 4
KAPLAN Jacob Sanford	BXU135	Licensed Representative	1, 2
KARPES Chad Brett	BXY601	Licensed Representative	1, 4, 6
KATO Nobutaka	BRQ057	Licensed Representative	1
KHABIYA Nitin Kumar	BXS806	Licensed Representative	1
KHOSLA Rohit	BXS568	Licensed Representative	1, 4
KOO Kim Chian, Alvin	BXV504	Licensed Representative	9
KTORZA Patrice David Schlomo	BXV227	Licensed Representative	9
KUMAR Ashish	BCH673	Licensed Representative	9
KURDYAVKO Polina	BXS436	Licensed Representative	1, 4
KWAN Jonathan Tai Hin	BAZ526	Licensed Representative	9
KWAN Wing Sze Eva Carmen	BXW024	Licensed Representative	1, 6
KWOK Wang Hei	BGN049	Licensed Representative	6
KWOK Yuk Wah	BXT995	Licensed Representative	1

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2026**

CE Name	CE No.	Licence Type	Types of Regulated Activity
LABBE Stephane	BXW716	Licensed Representative	1
LAI Chun Ho	BOH524	Licensed Representative	1, 4, 9
LAI Hok Lam Justin	BXR764	Licensed Representative	1, 9
LAI Huimin	BXW479	Licensed Representative	4
LAI Jamian Wong	BTD619	Licensed Representative	4, 9
LAI Meiji	BXP554	Licensed Representative	1, 4
LAI Sze Chun	BXX623	Licensed Representative	3
LAM Chun Kit, Carson	BXV481	Licensed Representative	2, 5
LAM Ka Long	BXV853	Licensed Representative	9
LAM Kwan Yee	BXV628	Licensed Representative	1
LAM Man Ching	BXW204	Licensed Representative	9
LAM Mei Lin	BXP673	Licensed Representative	1
LAU On Ting	BXU457	Licensed Representative	1
LAU Siu Yin Angela	BXW948	Licensed Representative	4, 9
LAU Yee Wan Evonne	BSV480	Licensed Representative	1
LEE Chi Kin	BXV680	Licensed Representative	1, 6
LEE Chue Fung	BXQ698	Licensed Representative	1, 4
LEE Chun Man	BXW157	Licensed Representative	1
LEE Chun Wai, Peter	ACL418	Licensed Representative	4, 9
LEE Chun Wing	BXW594	Licensed Representative	1, 4
LEE Chun-I	BXS754	Licensed Representative	1, 4, 9
LEE Clement Ka-lok	BRP893	Licensed Representative	4, 9
LEE Hang April	BFA962	Licensed Representative	1, 4
LEE Ka Leung	BXR667	Licensed Representative	1, 4, 6
LEE Ka Nok Christopher	BXW528	Licensed Representative	1, 2
LEE Natalie Michelle	BXT992	Licensed Representative	9
LEE Nicholas	BXU540	Licensed Representative	1, 2
LEE Tze Hin Calvin	BXW873	Licensed Representative	13
LEI Fengshan	BXN950	Licensed Representative	6
LEUNG Oi Chun	BUY457	Licensed Representative	9
LEUNG Chi Chiu	BXU437	Licensed Representative	1
LEUNG Chi Chung	AAW117	Licensed Representative	13
LEUNG Kwok Kui	ALK112	Licensed Representative	1, 4
LEUNG Kwok Sum	BXS546	Licensed Representative	1, 4
LEUNG Mei Kit	ARI450	Licensed Representative	4, 9
LI Boyu	BXP972	Licensed Representative	4
LI Chi Ngai	BBP668	Licensed Representative	9
LI Chun	BXW422	Licensed Representative	6
LI Donglin	BXV930	Licensed Representative	9
LI Hao	BXS318	Licensed Representative	4
LI Hau Yi Vivian	BXV691	Licensed Representative	1, 4
LI Hiu Chung	BEZ340	Licensed Representative	1, 2, 4
LI Hiu Hin	BXO393	Licensed Representative	1, 4, 6
LI Ho Yin	BIQ834	Licensed Representative	6
LI Jian	BXU360	Licensed Representative	4
LI Juntao	BXR608	Licensed Representative	1, 4
LI Kwok Kuen	AGL709	Licensed Representative	1, 4
LI Lawrence	BXT922	Licensed Representative	9
LI Lijia	BXP480	Licensed Representative	1

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2026**

CE Name	CE No.	Licence Type	Types of Regulated Acitivity
LI Liufang	BXW510	Licensed Representative	4, 9
LI Mingchu	BXM359	Licensed Representative	1, 4, 6
LI Peiyu	BNJ144	Licensed Representative	1, 4
LI Qiaochu	BGB368	Licensed Representative	1, 2
LI Qin	BXU079	Licensed Representative	1, 4, 9
LI Qinhang	BXW429	Licensed Representative	6
LI Qiyao	BXN487	Licensed Representative	1
LI Shida	BXQ799	Licensed Representative	1, 2, 4
LI Shiting	BXI634	Licensed Representative	1, 4
LI Wenjing	BXF599	Licensed Representative	6
LI Wing Yin	BTH578	Licensed Representative	1, 4
LI Xia Kayle	BXW483	Licensed Representative	1, 2
LI Xiang	BXL495	Licensed Representative	1, 6
LI Xiangyu	BXT775	Licensed Representative	1, 4, 6
LI Xiaowei	BCC071	Licensed Representative	4, 9
LI Yang	BPD932	Licensed Representative	1
LI Yiyang	BXS747	Licensed Representative	1
LI Yuan	BXQ502	Licensed Representative	1, 4
LI Yuepeng	BXY221	Licensed Representative	1
LI Yuheng	BXS314	Licensed Representative	1, 4
LI Zeyun	BXV659	Licensed Representative	1, 2, 4
LI Zhaoxiang	BUU905	Licensed Representative	4
LI Zhicheng	BXU453	Licensed Representative	1, 4
LI Zihan	BXU706	Licensed Representative	1
LI Zihao	BXU681	Licensed Representative	4
LIAN Yixi	BXN938	Licensed Representative	4
LIANG Boyao	BXV932	Licensed Representative	1, 2, 4
LIANG Guanliang	BXR653	Licensed Representative	1
LIANG Jieying	BXU570	Licensed Representative	1
LIANG Kaifeng	BXS945	Licensed Representative	1
LIANG Xuanlong	BXW334	Licensed Representative	1, 2, 4, 5
LIAO Lei	BXN007	Licensed Representative	1, 6
LIM EUGENE	BXS792	Licensed Representative	1, 4
LIN Regan Nan-hong	AXV016	Licensed Representative	1, 4, 6
LIN Shixiao	BSH300	Licensed Representative	1, 4
LIN Xingsong	BXR609	Licensed Representative	1, 6
LIN Yunong	BXW420	Licensed Representative	6
LIU Changqing	BXS800	Licensed Representative	1, 2
LIU Chong	BXU020	Licensed Representative	1, 2
LIU Churui	BXR552	Licensed Representative	2
LIU Gaoyu	BXX234	Licensed Representative	1, 4
LIU Guanya	BXW509	Licensed Representative	1, 4
LIU Jiaming	BKV292	Licensed Representative	1, 4
LIU ORTEGA OCHOA Fangzhou	BXW883	Licensed Representative	1, 4
LIU Qingfang	BXU011	Licensed Representative	4, 9
LIU Rongming	BXU164	Licensed Representative	6
LIU Ruzhen	BXK221	Licensed Representative	1, 6
LIU Siyang	BXP585	Licensed Representative	1, 2
LIU Tongyao	BXR549	Licensed Representative	1, 4

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2026**

CE Name	CE No.	License Type	Types of Regulated Activity
LIU Xiaoli	BXW194	Licensed Representative	1, 2
LIU Xinyu	BXI730	Licensed Representative	1, 6
LIU Yawen	AVY117	Licensed Representative	4, 9
LIU Yifan	BXQ538	Licensed Representative	1, 4, 6
LIU Yinbing	BXI750	Licensed Representative	6
LIU Yuechi	BXO397	Licensed Representative	1, 4, 6
LIU Yuze	BXU080	Licensed Representative	1, 4, 9
LIU Zhibo	BXI917	Licensed Representative	6
LIU Zihan	BXV491	Licensed Representative	4
LIU Ziwen	BXW735	Licensed Representative	1, 6
LIU Zixin	BXJ891	Licensed Representative	1, 6
LO Hei Tung	BLM103	Licensed Representative	1, 6
LO Yee Lam	BXW617	Licensed Representative	4, 5
LO Yu-Chi	BXV216	Licensed Representative	1, 2, 7
LU Anh Thi	ACA797	Licensed Representative	4, 9
LU Qiuyuan	BXV666	Licensed Representative	1, 4, 9
LU Shueh Pu	AYS340	Licensed Representative	1, 2, 9
LU Yi	BXL767	Licensed Representative	1, 4, 9
LU Yiqin	BRD960	Licensed Representative	4, 9
LU Zhimao	BXR752	Licensed Representative	4, 9
LU Zhuoyi	BNP024	Licensed Representative	6
LUK Ho Hon	BXV353	Licensed Representative	1
LUO Chenxi	BXV945	Licensed Representative	1, 4, 9
LUO Di	BXX112	Licensed Representative	9
LUO Nan	BXQ804	Licensed Representative	4
LUO YUZHU	BXQ543	Licensed Representative	1, 4, 9
LUO Zhiying	BUK002	Licensed Representative	1, 4
LYFAR Egor	BXV212	Licensed Representative	1, 4, 9
MA Dong	BIJ547	Licensed Representative	9
MA Hongyuan	BXO467	Licensed Representative	1, 6
MA Kin Nok	BSR280	Licensed Representative	1
MA Yilin	BXU414	Licensed Representative	1
MA Yue	BXU533	Licensed Representative	4, 9
MA Yunxuan	BXU158	Licensed Representative	4, 9
MAK Kui Ming Maximilian	AMN847	Licensed Representative	1
MAO Yitao	BXM319	Licensed Representative	1, 6
MCKERRACHER Peter Lloyd	BXS869	Licensed Representative	1, 4
MO Fanren	BXN042	Licensed Representative	1, 6
MO Shun Ping	BUO265	Licensed Representative	4, 9
MO Yinlan	BXT984	Licensed Representative	1, 2, 4
MOK Hoi Ki, Ricky	ASJ715	Licensed Representative	1, 4
MOLLOY Isabel	BVO685	Licensed Representative	1
MOULIN Christophe Pierre Guillaume	BOF900	Licensed Representative	9
MULHOLLAND Mark William	BXU514	Licensed Representative	1, 2, 9
MUROS Guillaume	BXU426	Licensed Representative	1, 4, 9
MUSTOW David John	BXQ750	Licensed Representative	1, 6
NAKAGAWA Keisuke	BXX853	Licensed Representative	1, 4, 6
NG Ching Man Joey	BXS416	Licensed Representative	4, 9
NG Hiu Wang	BXX283	Licensed Representative	1

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2026**

CE Name	CE No.	Licence Type	Types of Regulated Acitivity
NG Ka Kei	BXS317	Licensed Representative	1
NG Kui Hei	BXT945	Licensed Representative	1, 4, 9
NG Siu May, Michelle	ARR889	Licensed Representative	1
NG Wenxiang Wilson	AVL230	Licensed Representative	4
NG Yong Jian	BXU798	Licensed Representative	1, 2
NGAI Chun Kit, Henry	AEF259	Licensed Representative	1, 4
NOBS Kaji Gerard	BXV929	Licensed Representative	1, 4, 6
OSANAI Fumio	AOR345	Licensed Representative	9
OUYANG Dengyan	BPN030	Licensed Representative	1, 4
PAN Simei	BXV703	Licensed Representative	1, 4
PAN Yingen	BXW093	Licensed Representative	9
PANDYA Shamir	BXV510	Licensed Representative	9
PANG Sze Ching	BXV767	Licensed Representative	1
PANG Sze Yan	BXR744	Licensed Representative	1, 4
PAPANTONIOU William Peter John	BXV472	Licensed Representative	1, 2
PENG Xiyao	BXS940	Licensed Representative	1, 4
PENG Zeyu	BXX979	Licensed Representative	1, 6
QI Rundong	BXW541	Licensed Representative	1, 4
QI Yunli	BXW757	Licensed Representative	1
QI Zhen	BXM269	Licensed Representative	6
QIAN Cheng	BXU459	Licensed Representative	4, 9
QIAN Jun	BXW240	Licensed Representative	1
QIAO Lu	BXS652	Licensed Representative	1, 2, 4
RAZET Valentin Alexis	BXS504	Licensed Representative	1
REECE Schuyler Shearson	BVO584	Licensed Representative	1, 4
REN Dan	BXQ183	Licensed Representative	4
REN Ruiyao	BXW231	Licensed Representative	1, 4
REN Siru	BXR855	Licensed Representative	9
RHEE Dah Yeon Peony	BSF918	Licensed Representative	9
RUI Zihao	BXS263	Licensed Representative	1
RUSLI Andre	BXP510	Licensed Representative	1
SACHANANDANI Bharat Kumar	AJD185	Licensed Representative	1, 2
SELGAS LEITE VELHO Yannick Filipe	BXU783	Licensed Representative	9
SHA Mo	BXV320	Licensed Representative	1, 4, 9
SHEK Wing Yin, Stanley	BCZ812	Licensed Representative	1, 4, 9
SHEN Jiemei	BPO617	Licensed Representative	9
SHEN Zhichao	BXT777	Licensed Representative	1, 6
SHENG Hua	AYG180	Licensed Representative	1, 4, 9
SHI Desong	BXR787	Licensed Representative	1
SHI Jiayi	BIB888	Licensed Representative	1, 6
SHI Xiaoqing	BHY233	Licensed Representative	9
SHI Yuchen	BXV626	Licensed Representative	1
SHI Yuting	BXG907	Licensed Representative	6
SHIN Hiranuma	BXX526	Licensed Representative	1, 4, 6
SHU Xiao	BXV343	Licensed Representative	9
SHU Xinchu	BXW110	Licensed Representative	1, 4, 6
SHU Yingming	BXS863	Licensed Representative	1, 2
SIFE Joshua David	BXS199	Licensed Representative	1, 4
SINGH Gaurav	BVB197	Licensed Representative	1, 2, 4

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2026**

CE Name	CE No.	Licence Type	Types of Regulated Activity
SIU Yin Hei	BXR414	Licensed Representative	1, 4
SMITH Brenton Keith	BXU406	Licensed Representative	1, 4, 6
SMITH Matthew Samuel	BXS483	Licensed Representative	1, 4
SO Ching Hung	BSX492	Licensed Representative	1, 2, 4, 5
SO Ka Wun	BJX867	Licensed Representative	4, 9
SO Nga Ting, Bernadette	AVY580	Licensed Representative	6
SONG Xiaofei	BXG823	Licensed Representative	4, 9
SONG Zhe	BXU645	Licensed Representative	1
SU Bo	BXI645	Licensed Representative	1, 6
SUN Kuohsiang	BXR433	Licensed Representative	4
SUN Leqi	BXU493	Licensed Representative	1, 4, 6
SUN Linxiao	BXN940	Licensed Representative	4
SUN Shangtong	BTC700	Licensed Representative	4, 9
SUN Xin	BHS412	Licensed Representative	1, 4, 9
SUN Zhanbo	BXW804	Licensed Representative	9
SUN Zhenning	BAT998	Licensed Representative	4, 9
SY Khalife-ababacar	BXS823	Licensed Representative	1
SZETO Wing Cheung, Edward	BXS825	Licensed Representative	4
TAI Lok Yin	BXO109	Licensed Representative	1, 2
TAKAHASHI Naohiro	BXV490	Licensed Representative	4, 9
TAM Siu Hin Marcus	BXU471	Licensed Representative	13
TAN Chang	BPY787	Licensed Representative	9
TAN Jun	BXR812	Licensed Representative	4, 9
TAN Rebecca Li Ping	BXU683	Licensed Representative	10
TAN Wenfei	BXV237	Licensed Representative	4, 9
TANG Weiting	BRR608	Licensed Representative	1, 2
TANG Yau Tak	BXS617	Licensed Representative	1
TEO Mei Tuan Adele	BXT781	Licensed Representative	1, 2, 9
TIAN Fei	BXN893	Licensed Representative	1
TONG Minlong	BOT267	Licensed Representative	1
TSANG Chai Sam	BXQ156	Licensed Representative	1, 4, 9
TSANG Marco	BXW065	Licensed Representative	4, 9
TSANG Tsz Ho	BXV851	Licensed Representative	1, 2
TSAO Yam Man	BRW213	Licensed Representative	1
TSE Shun	BXS740	Licensed Representative	4, 9
TSE Yin Han Selina	BXX244	Licensed Representative	13
TSOI Hang San	BXU184	Licensed Representative	1
TSUI Kwong Chi	BMP300	Licensed Representative	1, 4, 9
TSUI KARITA	BXU161	Licensed Representative	1, 7
UEDA Sakura	BXY129	Licensed Representative	1, 2
WAN Jiangcheng	BXP610	Licensed Representative	1, 4, 6
WAN Qianci	BXX753	Licensed Representative	1
WANG Aodong	BXC121	Licensed Representative	4, 9
WANG Dengxu	BXN432	Licensed Representative	6
WANG Fei	BXA692	Licensed Representative	6
WANG Hanwen	BXX912	Licensed Representative	4
WANG Hui	BXU185	Licensed Representative	4, 9
WANG JIAYI	BXV761	Licensed Representative	2
WANG Jun	AVA086	Licensed Representative	1, 4, 9

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2026**

CE Name	CE No.	Licence Type	Types of Regulated Activity
WANG Ke	BUV519	Licensed Representative	4, 9
WANG Keyi	BXV671	Licensed Representative	1, 4, 9
WANG Lei	BPW175	Licensed Representative	1, 4, 6
WANG Ning	BXW421	Licensed Representative	6
WANG Qianlei	BXT568	Licensed Representative	1, 4
WANG Qihao	BUY785	Licensed Representative	1, 4, 6
WANG Qinyang	BXV599	Licensed Representative	4
WANG Shengyu	BDV081	Licensed Representative	9
WANG Shiyuan	BXW209	Licensed Representative	1, 2
WANG Shuo	BQQ355	Licensed Representative	1, 2, 4, 5, 9
WANG Wencong	BXP329	Licensed Representative	4
WANG Xiaojuan	BXX010	Licensed Representative	1, 4
WANG Xiaolei	BXW224	Licensed Representative	1, 4, 9
WANG Ximing	BXM147	Licensed Representative	4
WANG Yichao	BXQ319	Licensed Representative	4, 9
WANG Yimin	AYC366	Licensed Representative	1, 4, 9
WANG Ying	BXJ359	Licensed Representative	4, 9
WANG Yuren	BXX416	Licensed Representative	1
WANG Zeyang	BXX606	Licensed Representative	4
WANG Zhiqiang	BXM119	Licensed Representative	1, 6
WANG Zilin	BXW906	Licensed Representative	1, 2
WEI Dongyu	BXP431	Licensed Representative	9
WEN Xingjie	BXS624	Licensed Representative	1
WISKIRSKI Michel, Andre	BXV503	Licensed Representative	9
WONG Alexander	BDW741	Licensed Representative	1, 6
WONG Ho Wang	BXQ434	Licensed Representative	1
Wong Ka Keung	BWS740	Licensed Representative	1, 4
WONG Kin Chun Marco	BXT840	Licensed Representative	1, 6
WONG Po Yue Bowie	BRS472	Licensed Representative	1, 2
WONG Sum Yee	BUP684	Licensed Representative	1
WONG Wei Man	ANR767	Licensed Representative	1, 2
WONG Wing Yin, Fiona	AXG330	Licensed Representative	4, 9
WONG Wing Yin, Raymond	AAW773	Licensed Representative	1
WONG Yuen Ching	BXT839	Licensed Representative	1, 6
WU Wei	BUF816	Licensed Representative	1, 4, 9
WU Yannan	BXX435	Licensed Representative	1, 4, 6
WU Yiwen	BXW843	Licensed Representative	1, 2
WU Zhaoyi	BXX131	Licensed Representative	1, 9
XIA Yang	BIR052	Licensed Representative	1, 4, 6
XIAN Zijun	BXY265	Licensed Representative	1, 2
XIAO Junwen	BXY133	Licensed Representative	1, 4
XIAO Mengxue	BXS904	Licensed Representative	4, 9
XIAO Xin	BXW430	Licensed Representative	6
XIAO YANG	BXR019	Licensed Representative	1
XIAO Yao	BXI239	Licensed Representative	1, 6
XIAO Yuxiang	BXH963	Licensed Representative	6
XIE Shuming	BXN616	Licensed Representative	1, 4, 9
XIONG Yan	BPA567	Licensed Representative	4
XU Chengwei	BXO453	Licensed Representative	4

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2026**

CE Name	CE No.	Licence Type	Types of Regulated Activity
XU Derek	BXV225	Licensed Representative	1, 2
XU Gang	BBM626	Licensed Representative	1
XU Jiaqi	BXR230	Licensed Representative	1
XU Jing	BXV925	Licensed Representative	4, 9
XU Qing	BXH872	Licensed Representative	6
XU Qiyao	BXN693	Licensed Representative	1, 4, 6
XU Ruize	BXO398	Licensed Representative	1, 4, 6
XU Suixin	BXV342	Licensed Representative	2
XU Wenxin	BXP423	Licensed Representative	1, 4, 6
XU Yujie	BXY217	Licensed Representative	1, 6
XUAN Yuekai	BXW426	Licensed Representative	6
XUE Mengqi	BUD191	Licensed Representative	1, 7
YAN Jingjing	BXQ499	Licensed Representative	1, 2
YAN Yue	BWF203	Licensed Representative	1
YANG Bin	BXU396	Licensed Representative	1, 4, 9
YANG Bo	BXR411	Licensed Representative	1, 4
YANG Huiqiang	BXT850	Licensed Representative	4
YANG Jing	ARH995	Licensed Representative	1, 4
YANG Kun	BXK220	Licensed Representative	4, 9
YANG Mingjie	BXU045	Licensed Representative	4, 9
YANG Nan	BXU524	Licensed Representative	9
YANG Qingqing	BXU691	Licensed Representative	1
YANG RICHARD CHEN XIAO	BXX726	Licensed Representative	9
YANG Shijuan	BXU353	Licensed Representative	1, 4
YANG Shixian	BXO500	Licensed Representative	1, 6
YANG Xudong	BTG910	Licensed Representative	1, 6
YANG Ying	BXT913	Licensed Representative	1, 2, 4
YAO Wang	BPW377	Licensed Representative	1, 2, 9
YAO Yuan	BXN714	Licensed Representative	4
YE Sheng	BXT776	Licensed Representative	1, 6
YE Ziang	BXV596	Licensed Representative	1, 4, 9
YE Ziqi	BVM459	Licensed Representative	1, 4
YEUNG Pui Shan, Shirley	ANL962	Licensed Representative	1, 4, 6
YEUNG Sin	BRH678	Licensed Representative	1
YEUNG Sum Ho	AOK594	Licensed Representative	1, 4, 9
YEUNG Wing Yan	BXR011	Licensed Representative	1, 2
YIN Chuanlang	BUI520	Licensed Representative	1, 6
YIU Kwong Chun	BXS313	Licensed Representative	1, 2
YONG Kai Liang Nicholas	BXQ193	Licensed Representative	1, 4, 9
YONG Kin Chim	BEW536	Licensed Representative	1, 4
YOU Siqi	BWN895	Licensed Representative	9
YOUNGER Geordie Charles Kenneth	BXW525	Licensed Representative	4, 9
YU Huihui	BXR768	Licensed Representative	1, 4
YU Liang	BVF874	Licensed Representative	1
YU Qing	BXU384	Licensed Representative	1, 4, 9
YU Yang	BXO499	Licensed Representative	1, 4, 9
YUAN Jingting	BUS733	Licensed Representative	1, 4, 9
YUAN Kang	BXW604	Licensed Representative	4
YUAN Tianyun	BXW369	Licensed Representative	1, 9

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2026**

CE Name	CE No.	Licence Type	Types of Regulated Activity
YUAN Xiner	BXR550	Licensed Representative	1
ZAN Beth	BXU098	Licensed Representative	9
ZENG FANKE	BXX425	Licensed Representative	1, 4
ZENG Jing	BXW283	Licensed Representative	9
ZENG Xiaohao	BXW402	Licensed Representative	6
ZENG Xinyue	BXW550	Licensed Representative	1
ZENG Xuesong	BUQ185	Licensed Representative	4
ZHANG Ao	BXJ877	Licensed Representative	1, 6
ZHANG Bochun	BXN934	Licensed Representative	1, 4, 6
ZHANG Bowen	BXO399	Licensed Representative	6
ZHANG Guannan	BXV641	Licensed Representative	1, 2
ZHANG He	AYJ687	Licensed Representative	1, 2
ZHANG Jieyao	BXS154	Licensed Representative	1
ZHANG Ke	BXX886	Licensed Representative	1, 2
ZHANG Kelly Wenting	BEX915	Licensed Representative	9
ZHANG Kun	BXQ964	Licensed Representative	1, 6
ZHANG Li	BXX717	Licensed Representative	1, 4
ZHANG Mengchen	BXX521	Licensed Representative	9
ZHANG Qifan	BXW019	Licensed Representative	9
ZHANG Ruosi	BXW433	Licensed Representative	6
ZHANG Shuai	BXJ945	Licensed Representative	1, 6
ZHANG Xingchen	BXT809	Licensed Representative	1, 2, 3, 4
ZHANG Xinyuan	BXP422	Licensed Representative	1, 2, 3, 4
ZHANG Yichi	AVO741	Licensed Representative	9
ZHANG Yichuan	BXS364	Licensed Representative	4, 9
ZHANG Yifan	BXV280	Licensed Representative	9
ZHANG Yige	BXV359	Licensed Representative	1, 4
ZHANG Yixiang	AVO768	Licensed Representative	1, 4, 9
ZHANG Yujing	BXS876	Licensed Representative	4
ZHANG Yunhao	BXX616	Licensed Representative	1, 2
ZHANG Yuting	BXN939	Licensed Representative	4
ZHANG Yuxin	BXV886	Licensed Representative	6
ZHANG Zhaoxiang	BXW171	Licensed Representative	1
ZHAO Hechen	BTY413	Licensed Representative	1
ZHAO Jie	BXU025	Licensed Representative	1, 4
ZHAO Jihong	BXP944	Licensed Representative	1, 4
ZHAO Menghan	BXT928	Licensed Representative	1, 2, 4
ZHAO Pengfei	BXX397	Licensed Representative	1, 4, 9
ZHAO Rae	BXN868	Licensed Representative	4, 6
ZHAO Wenrong	BXM144	Licensed Representative	4
ZHAO Zhenyi	BXS909	Licensed Representative	1, 4, 9
ZHAO Ziyi	BXV350	Licensed Representative	1
ZHENG Peng	BXU766	Licensed Representative	1, 4, 9
ZHENG Weijie	BXP512	Licensed Representative	6
ZHENG Xiurong	BXS969	Licensed Representative	1, 2, 4
ZHERIBOR Iuliia	BXV598	Licensed Representative	1, 4, 9
ZHONG Kuiting	BXF445	Licensed Representative	1, 4, 9
ZHONG Ziyang	BXV442	Licensed Representative	1, 2, 4
ZHOU Alexandre Yu-Qing	BOM635	Licensed Representative	9

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2026**

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHOU Binyan	BXQ253	Licensed Representative	4, 9
ZHOU Di	BXK048	Licensed Representative	6
ZHOU Furui	BXF791	Licensed Representative	1, 4, 6
ZHOU Kehan	BXW932	Licensed Representative	1, 2
ZHOU Nathan Mingxin	BXU129	Licensed Representative	1, 2
ZHOU Xiaoyu	BXV739	Licensed Representative	1, 2, 4
ZHOU Xin	BXJ569	Licensed Representative	6
ZHOU Yunpeng	BXW943	Licensed Representative	1
ZHOU Zuyu	BBR071	Licensed Representative	6
ZHU Gang	BXS834	Licensed Representative	4, 9
ZHU Rudi	BXT574	Licensed Representative	1, 4
ZHU Rui	BGH407	Licensed Representative	1, 4, 6
ZHU Xin	BXX372	Licensed Representative	1, 4, 9
ZHU Yifei	BXW424	Licensed Representative	6
ZHU Zhicheng	BXO376	Licensed Representative	4, 9
ZOU Huiyang	BXL662	Licensed Representative	4
ZOU Xiaoyan	BXU402	Licensed Representative	4
BATE Conway Walthew	BUH834	Licensed Representative - Responsible Officer	1
BHARTI Kirtes	ANQ111	Licensed Representative - Responsible Officer	9
CAHILL John Robert	AKI445	Licensed Representative - Responsible Officer	1, 2
CHA Yiu Chung Benjamin	AHG919	Licensed Representative - Responsible Officer	9
CHAN Hin Yan Zenia	BWX381	Licensed Representative - Responsible Officer	9
CHAN Justin Chak-shun	BFU419	Licensed Representative - Responsible Officer	1, 4
CHAN Sze Chung	BGH364	Licensed Representative - Responsible Officer	4, 9
CHEN TIAN HONG	BGQ030	Licensed Representative - Responsible Officer	4, 9
CHEN Hanliang	BUV253	Licensed Representative - Responsible Officer	4, 9
CHEN Linhua	BXN686	Licensed Representative - Responsible Officer	1, 4, 9
CHEN Liushan	BIN722	Licensed Representative - Responsible Officer	4, 9
CHEUNG Lok Ching, Rachel	BHX763	Licensed Representative - Responsible Officer	4, 9
CHEUNG Wai Kit	ANY303	Licensed Representative - Responsible Officer	4, 9
CHIU Francis	AUV238	Licensed Representative - Responsible Officer	4, 9
FENG Shu	BXL966	Licensed Representative - Responsible Officer	1, 4, 9
FU Yannan	BUX840	Licensed Representative - Responsible Officer	4, 9
GAO Yuanwei	BWI774	Licensed Representative - Responsible Officer	9
HU Jingxian	BWZ045	Licensed Representative - Responsible Officer	4, 9
HU Junchen	BWX207	Licensed Representative - Responsible Officer	9
LAU Ho Fung	AMW835	Licensed Representative - Responsible Officer	4, 9
LEUNG Hui Yu Teresa	BQM358	Licensed Representative - Responsible Officer	4, 9
LEUNG Thomas Chun Wai	BEV716	Licensed Representative - Responsible Officer	4, 9
LI Ji	BWI776	Licensed Representative - Responsible Officer	9
LI Mavis Qiwen	AMF022	Licensed Representative - Responsible Officer	9
LI Quansheng	BXI809	Licensed Representative - Responsible Officer	9
LI Qun	BWN779	Licensed Representative - Responsible Officer	4, 9
LIM Theng Luck Mark	BXF770	Licensed Representative - Responsible Officer	1
LIN King Ham, Kingston	AEF252	Licensed Representative - Responsible Officer	4, 9
LIN Xinxiang, Leroy	BNL351	Licensed Representative - Responsible Officer	1, 2
LOK Man Yee	BAB073	Licensed Representative - Responsible Officer	1, 4
MA Rujun	BQL657	Licensed Representative - Responsible Officer	9
MACDOUGALL Brian Alexander	AHA824	Licensed Representative - Responsible Officer	4, 9

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2026**

CE Name	CE No.	Licence Type	Types of Regulated Activity
MAI Jing	BXF496	Licensed Representative - Responsible Officer	1, 4, 9
MEHRA Nikhil	BVL094	Licensed Representative - Responsible Officer	1, 4, 9
NI Yibo	BQB281	Licensed Representative - Responsible Officer	4, 9
OEY Ching Tat	AHS157	Licensed Representative - Responsible Officer	4, 9
PAN Feng	BXQ828	Licensed Representative - Responsible Officer	4, 9
RAMACHANDRAN Ganesh	BOA088	Licensed Representative - Responsible Officer	9
ROSENBLOOM Peter Gordon	BOR563	Licensed Representative - Responsible Officer	1
SERIZAWA Takeo	BBH663	Licensed Representative - Responsible Officer	9
SHEN Xiaozhou	BXI752	Licensed Representative - Responsible Officer	6
SHU Chuying Kevin	BPD468	Licensed Representative - Responsible Officer	1, 4, 9
SU Zidan	BXR361	Licensed Representative - Responsible Officer	9
SUN Yijing	BXJ382	Licensed Representative - Responsible Officer	4, 9
TONG Wai Cham	AWV320	Licensed Representative - Responsible Officer	4, 9
TSOI Hok Ling	AMJ680	Licensed Representative - Responsible Officer	1, 4, 9
TU Jianzong	BVK931	Licensed Representative - Responsible Officer	1, 4, 6, 9
WAN Yiqing	BXH058	Licensed Representative - Responsible Officer	1, 4, 9
WANG Haozhe	BXS864	Licensed Representative - Responsible Officer	4, 9
XIAO Feng	BWN776	Licensed Representative - Responsible Officer	4, 9
XU Hong Ru	BXE047	Licensed Representative - Responsible Officer	4, 9
XU LEI	BXE869	Licensed Representative - Responsible Officer	1, 4, 9
XU Zheng	BXJ536	Licensed Representative - Responsible Officer	4, 9
YAMAGUCHI Tomohiro	BBD526	Licensed Representative - Responsible Officer	9
YAN Katherine	BWC124	Licensed Representative - Responsible Officer	4, 9
YAO Wang	BXH206	Licensed Representative - Responsible Officer	1, 4, 9
ZHANG Ye	BWZ043	Licensed Representative - Responsible Officer	4, 9
ABAJOLI Sebastien Georges Thierry	BXV502	Licensed Representative (Provisional Licence)	9
ADJRIOU Abdelhak	BXV509	Licensed Representative (Provisional Licence)	9
AI Liwei	BXN003	Licensed Representative (Provisional Licence)	1, 6
ANG Jacqueline	BXQ623	Licensed Representative (Provisional Licence)	1, 4
AONO Taichi	BXV910	Licensed Representative (Provisional Licence)	1
AU Chun Kit	BXU460	Licensed Representative (Provisional Licence)	1, 4
BAI Yue	BXV923	Licensed Representative (Provisional Licence)	9
BAIJAL Maheesh	BXX880	Licensed Representative (Provisional Licence)	1, 2
BENEDICT Adrian Dharshan	AXO951	Licensed Representative (Provisional Licence)	1
BHATIA Lekh Harish	BXW399	Licensed Representative (Provisional Licence)	1, 2
BLASSEL Guillaume Alexandre Theophile	BXX473	Licensed Representative (Provisional Licence)	1, 2
CAI Jinyi	BXT938	Licensed Representative (Provisional Licence)	1, 4
CAO Qingshan	BXK219	Licensed Representative (Provisional Licence)	1, 6
CHAN Lee Ka Erica	BXU261	Licensed Representative (Provisional Licence)	1, 4
CHAN Lei	BBA384	Licensed Representative (Provisional Licence)	4, 9
CHAN Sik Ying Janice	BXV250	Licensed Representative (Provisional Licence)	1, 4
CHAN Timothy William	ASE221	Licensed Representative (Provisional Licence)	1, 2
CHAO Xueer	BMI596	Licensed Representative (Provisional Licence)	1
CHAU Chak Ho	BXX854	Licensed Representative (Provisional Licence)	1, 4
CHAU Chak Lung	BXV914	Licensed Representative (Provisional Licence)	4, 9
CHEN Bolun	BXV996	Licensed Representative (Provisional Licence)	1, 2, 9
CHEN Chao	BXT906	Licensed Representative (Provisional Licence)	6
CHEN Chung-Wei	BEX457	Licensed Representative (Provisional Licence)	1, 2
CHEN Guojie	BXN332	Licensed Representative (Provisional Licence)	6

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2026**

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHEN Haohua	BXS767	Licensed Representative (Provisional Licence)	1, 2
CHEN Jiayi	BXW012	Licensed Representative (Provisional Licence)	1, 4
CHEN Jing	BXX609	Licensed Representative (Provisional Licence)	4, 9
CHEN Jundao	BXM142	Licensed Representative (Provisional Licence)	1, 6
CHEN Kai	AZN559	Licensed Representative (Provisional Licence)	9
CHEN Pinzhu	BMN240	Licensed Representative (Provisional Licence)	1, 6
CHEN Shiding	BXW606	Licensed Representative (Provisional Licence)	9
CHEN Sitong	BXU208	Licensed Representative (Provisional Licence)	4
CHEN Tianshiyu	BXU771	Licensed Representative (Provisional Licence)	1
CHEN Xian	BXV291	Licensed Representative (Provisional Licence)	1, 2, 4
CHEN Xiao	BXT820	Licensed Representative (Provisional Licence)	1, 2, 4
CHEN Yan	BXV337	Licensed Representative (Provisional Licence)	9
CHEN Ying Dan	AVS845	Licensed Representative (Provisional Licence)	1, 4, 9
CHEN Yuanting	BXX705	Licensed Representative (Provisional Licence)	1, 4
CHEN Zhiyi	BJU798	Licensed Representative (Provisional Licence)	1, 4
CHEN Zihan	BXV869	Licensed Representative (Provisional Licence)	2
CHENG Chung Fan Jamie	BXW385	Licensed Representative (Provisional Licence)	1, 4, 6
CHENG Xiaohan	BNO501	Licensed Representative (Provisional Licence)	1, 4
CHIU Chun Ming Alfred	BXU262	Licensed Representative (Provisional Licence)	1, 4, 9
CHOI Chung Long	BXU538	Licensed Representative (Provisional Licence)	1, 6
CHOI Heung Kam, Iris	AXR109	Licensed Representative (Provisional Licence)	2, 5
CHONG Zhen Han	BXV673	Licensed Representative (Provisional Licence)	4, 9
CHOY Chun Kit	BUR340	Licensed Representative (Provisional Licence)	9
CHU Chun Wa	BMX722	Licensed Representative (Provisional Licence)	1, 4, 6
CHU Ying Yang	BXV587	Licensed Representative (Provisional Licence)	1, 4
CHUNG Wai Yip	BXW167	Licensed Representative (Provisional Licence)	9
DAI Jinshui	BXT910	Licensed Representative (Provisional Licence)	1, 2, 4
DAI Yan	BOP217	Licensed Representative (Provisional Licence)	1
DANG Ran	BXX680	Licensed Representative (Provisional Licence)	1
DENG Qiao	BXV690	Licensed Representative (Provisional Licence)	1, 4
DENG Rui	BXS348	Licensed Representative (Provisional Licence)	1, 2, 4
DOMSALLA Wesley Matthew	BXX482	Licensed Representative (Provisional Licence)	1, 2
DOU Zixuan	BXU530	Licensed Representative (Provisional Licence)	9
DU Jian	BXV349	Licensed Representative (Provisional Licence)	4
DUNMAN Jem Mollaahmetoglu	BXS798	Licensed Representative (Provisional Licence)	1
FAN Jingruo	BLQ525	Licensed Representative (Provisional Licence)	1, 4, 6
FAN Yu	BXV848	Licensed Representative (Provisional Licence)	9
FAN Zheqi	BXW777	Licensed Representative (Provisional Licence)	1, 2
FENG Jiazhen	BXX928	Licensed Representative (Provisional Licence)	4, 9
FENG Lujia	BXY535	Licensed Representative (Provisional Licence)	1, 4
FOK Kin Hun	BXV292	Licensed Representative (Provisional Licence)	1, 4
FONG Hoi Yan	BXY264	Licensed Representative (Provisional Licence)	1, 2
FONG Ming Kwan Gabriel	ADR913	Licensed Representative (Provisional Licence)	1, 4, 9
FU Chia-wen	BXS429	Licensed Representative (Provisional Licence)	1, 4
FU Qingyi	BXW425	Licensed Representative (Provisional Licence)	6
FUJITA Kazuya	BXV912	Licensed Representative (Provisional Licence)	1, 4, 6
FUKUMITSU Kenji	BXV477	Licensed Representative (Provisional Licence)	4, 9
GAO Haotian	BXN424	Licensed Representative (Provisional Licence)	4, 9
GAO Xudong	BXM114	Licensed Representative (Provisional Licence)	1, 6

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2026**

CE Name	CE No.	Licence Type	Types of Regulated Activity
GAO Yangyang	BXV849	Licensed Representative (Provisional Licence)	1, 4
GAO Yuequn	APO883	Licensed Representative (Provisional Licence)	1, 4, 9
GU Biyi	BRH075	Licensed Representative (Provisional Licence)	1, 4, 9
GU Yang	BUT552	Licensed Representative (Provisional Licence)	1, 4, 9
GU Yifan	BAE171	Licensed Representative (Provisional Licence)	1, 4, 6
GUI Jianyuan	BXN417	Licensed Representative (Provisional Licence)	6
GUO Yao	AZP163	Licensed Representative (Provisional Licence)	9
HAGAR-DENT Noah Anthony	BXW938	Licensed Representative (Provisional Licence)	1, 2
HAO Siyuan	BSZ992	Licensed Representative (Provisional Licence)	4, 9
HE Jia	AOJ239	Licensed Representative (Provisional Licence)	1, 4
HE Peixuan	BXU558	Licensed Representative (Provisional Licence)	1, 4, 6
HE Zhenxing	BXU765	Licensed Representative (Provisional Licence)	1, 4, 9
HINO Dai	AXX264	Licensed Representative (Provisional Licence)	1, 2
HO Jheng-Yuan	BXU216	Licensed Representative (Provisional Licence)	9
HO Kai Cheong	BXU601	Licensed Representative (Provisional Licence)	1, 4, 9
HO Pui Yi	BXX240	Licensed Representative (Provisional Licence)	1, 4, 9
HO Yuen Ting	BXX793	Licensed Representative (Provisional Licence)	1, 4, 6
HONG Dandan	BXU054	Licensed Representative (Provisional Licence)	1, 4
HONG Jintao	BXX018	Licensed Representative (Provisional Licence)	1, 2
HONG Xianyi	BXX161	Licensed Representative (Provisional Licence)	1, 4
HONG Yang	BXP979	Licensed Representative (Provisional Licence)	4
HU Boyu	BET781	Licensed Representative (Provisional Licence)	1, 6
HU Xiaotong	BXR664	Licensed Representative (Provisional Licence)	1, 4
HU Yingyue	BXW312	Licensed Representative (Provisional Licence)	4
HUA Linghao	BXN009	Licensed Representative (Provisional Licence)	1, 6
HUANG Cuijun	BXR583	Licensed Representative (Provisional Licence)	1, 4, 9
HUANG Diyu	BXT807	Licensed Representative (Provisional Licence)	1
HUANG Jiong	BXW796	Licensed Representative (Provisional Licence)	1, 4
HUI Hiu Yan	BKI714	Licensed Representative (Provisional Licence)	1, 4, 6
JACKWAY Charlie Daniel	BXV855	Licensed Representative (Provisional Licence)	1, 2
JANG Sang Bin	BSQ141	Licensed Representative (Provisional Licence)	1, 4
JANG Yujin	BXU747	Licensed Representative (Provisional Licence)	1, 4
JI Ke	BXR312	Licensed Representative (Provisional Licence)	4
JI Longtian	BXX843	Licensed Representative (Provisional Licence)	1, 2
JIA Peng	AOZ129	Licensed Representative (Provisional Licence)	1
JIANG BOLONG	BXV854	Licensed Representative (Provisional Licence)	4, 9
JIANG Xiuling	BXV850	Licensed Representative (Provisional Licence)	1, 4, 9
JIANG Zhuoheng	BXT847	Licensed Representative (Provisional Licence)	1
KABAEVA Alina Katya	BXX173	Licensed Representative (Provisional Licence)	4, 9
KANEKO Yuki	BTF086	Licensed Representative (Provisional Licence)	9
KANG SEOKWOOD	BXW114	Licensed Representative (Provisional Licence)	1, 4
KAPLAN Jacob Sanford	BXU135	Licensed Representative (Provisional Licence)	1, 2
KARPES Chad Brett	BXY601	Licensed Representative (Provisional Licence)	1, 4, 6
KAUNG Myat Thu	BXN988	Licensed Representative (Provisional Licence)	1, 4, 6
KOO Kim Chian, Alvin	BXV504	Licensed Representative (Provisional Licence)	9
KTORZA Patrice David Schlomo	BXV227	Licensed Representative (Provisional Licence)	9
KUMAR Ashish	BCH673	Licensed Representative (Provisional Licence)	9
KWAN Jonathan Tai Hin	BAZ526	Licensed Representative (Provisional Licence)	9
KWAN Wing Sze Eva Carmen	BXW024	Licensed Representative (Provisional Licence)	1, 6

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2026**

CE Name	CE No.	License Type	Types of Regulated Activity
KWOK Wang Hei	BGN049	Licensed Representative (Provisional Licence)	6
KWOK Yuk Wah	BXT995	Licensed Representative (Provisional Licence)	1
LABBE Stephane	BXW716	Licensed Representative (Provisional Licence)	1
LAI Hiu Tung	BEU887	Licensed Representative (Provisional Licence)	1, 2
LAI Sze Chun	BXX623	Licensed Representative (Provisional Licence)	3
LAI Yat Sang	BXU724	Licensed Representative (Provisional Licence)	1, 4
LAM Chun Kit, Carson	BXV481	Licensed Representative (Provisional Licence)	2, 5
LAM Man Ching	BXW204	Licensed Representative (Provisional Licence)	9
LANZONI Matthias Olivier	BXU428	Licensed Representative (Provisional Licence)	1, 4, 9
LAU On Ting	BXU457	Licensed Representative (Provisional Licence)	1
LEE Chi Kin	BXV680	Licensed Representative (Provisional Licence)	1, 6
LEE Chun Man	BXW157	Licensed Representative (Provisional Licence)	1
LEE Chun Ming	AME417	Licensed Representative (Provisional Licence)	1, 4, 6
LEE Chun Wai, Peter	ACL418	Licensed Representative (Provisional Licence)	4, 9
LEE Chun Wing	BXW594	Licensed Representative (Provisional Licence)	1, 4
LEE Clement Ka-lok	BRP893	Licensed Representative (Provisional Licence)	4, 9
LEE Hang April	BFA962	Licensed Representative (Provisional Licence)	1, 4
LEE Hyunchul	BXY139	Licensed Representative (Provisional Licence)	1
LEE Ka Leung	BXR667	Licensed Representative (Provisional Licence)	1, 4, 6
LEE Ka Nok Christopher	BXW528	Licensed Representative (Provisional Licence)	1, 2
LEE Natalie Michelle	BXT992	Licensed Representative (Provisional Licence)	9
LEE Nicholas	BXU540	Licensed Representative (Provisional Licence)	1, 2
LEE Yik Long	ARG942	Licensed Representative (Provisional Licence)	1, 2
LEONG Lillian	BOE490	Licensed Representative (Provisional Licence)	1, 2
LI Chengyu	BXU050	Licensed Representative (Provisional Licence)	9
LI Chi Ngai	BBP668	Licensed Representative (Provisional Licence)	9
LI Chun	BXW422	Licensed Representative (Provisional Licence)	6
LI Donglin	BXV930	Licensed Representative (Provisional Licence)	9
LI Haihu	BBJ048	Licensed Representative (Provisional Licence)	10
LI Hiu Chung	BEZ340	Licensed Representative (Provisional Licence)	1, 2, 4
LI Hiu Hin	BXO393	Licensed Representative (Provisional Licence)	1, 4, 6
LI Ho Yin	BIQ834	Licensed Representative (Provisional Licence)	6
LI Lawrence	BXT922	Licensed Representative (Provisional Licence)	9
LI Mingchu	BXM359	Licensed Representative (Provisional Licence)	1, 4, 6
LI Nelson Zhi	BXW631	Licensed Representative (Provisional Licence)	1, 2
LI Qin	BXU079	Licensed Representative (Provisional Licence)	1, 4, 9
LI Qinhang	BXW429	Licensed Representative (Provisional Licence)	6
LI Shida	BXQ799	Licensed Representative (Provisional Licence)	1, 2, 4
LI Xia Kayle	BXW483	Licensed Representative (Provisional Licence)	1, 2
LI Xiang	BXL495	Licensed Representative (Provisional Licence)	1, 6
LI Xiangyu	BXT775	Licensed Representative (Provisional Licence)	1, 4, 6
LI Xiaowei	BCC071	Licensed Representative (Provisional Licence)	4, 9
LI Yuepeng	BXY221	Licensed Representative (Provisional Licence)	1
LI Zeyun	BXV659	Licensed Representative (Provisional Licence)	1, 2, 4
LI Zihan	BXU706	Licensed Representative (Provisional Licence)	1
LI Zihao	BXU681	Licensed Representative (Provisional Licence)	4
LIANG Boyao	BXV932	Licensed Representative (Provisional Licence)	1, 2, 4
LIANG Guanliang	BXR653	Licensed Representative (Provisional Licence)	1
LIANG Jieying	BXU570	Licensed Representative (Provisional Licence)	1

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2026**

CE Name	CE No.	License Type	Types of Regulated Activity
LIANG Xinle	BXT636	Licensed Representative (Provisional Licence)	4
LIANG Xuanlong	BXW334	Licensed Representative (Provisional Licence)	1, 2, 4, 5
LIANG Yining	BWV058	Licensed Representative (Provisional Licence)	1
LIAO Lei	BXN007	Licensed Representative (Provisional Licence)	1, 6
LIN Min-Jung	BXX860	Licensed Representative (Provisional Licence)	1, 4, 9
LIN Regan Nan-hong	AXV016	Licensed Representative (Provisional Licence)	1, 4, 6
LIN Shixiao	BSH300	Licensed Representative (Provisional Licence)	1, 4
LIN Xingsong	BXR609	Licensed Representative (Provisional Licence)	1, 6
LIN Yunong	BXW420	Licensed Representative (Provisional Licence)	6
LIU Chong	BXU020	Licensed Representative (Provisional Licence)	1, 2
LIU Gaoyu	BXX234	Licensed Representative (Provisional Licence)	1, 4
LIU Guanya	BXW509	Licensed Representative (Provisional Licence)	1, 4
LIU Jiaming	BKV292	Licensed Representative (Provisional Licence)	1, 4
LIU ORTEGA OCHOA Fangzhou	BXW883	Licensed Representative (Provisional Licence)	1, 4
LIU Qingfang	BXU011	Licensed Representative (Provisional Licence)	4, 9
LIU Rongming	BXU164	Licensed Representative (Provisional Licence)	6
LIU Ruzhen	BXK221	Licensed Representative (Provisional Licence)	1, 6
LIU Shuchen	BXW746	Licensed Representative (Provisional Licence)	9
LIU WAI SIN	BXX246	Licensed Representative (Provisional Licence)	1, 4
LIU Xiaoli	BXW194	Licensed Representative (Provisional Licence)	1, 2
LIU Yinbing	BXI750	Licensed Representative (Provisional Licence)	6
LIU Yuechi	BXO397	Licensed Representative (Provisional Licence)	1, 4, 6
LIU Zihan	BXV491	Licensed Representative (Provisional Licence)	4
LIU Ziwen	BXW735	Licensed Representative (Provisional Licence)	1, 6
LIU Zixin	BXJ891	Licensed Representative (Provisional Licence)	1, 6
LO Hei Tung	BLM103	Licensed Representative (Provisional Licence)	1, 6
LO Yee Lam	BXW617	Licensed Representative (Provisional Licence)	4, 5
LO Yu-Chi	BXV216	Licensed Representative (Provisional Licence)	1, 2, 7
LOW Royston Kang Whye	BXV685	Licensed Representative (Provisional Licence)	1, 4
LU Anh Thi	ACA797	Licensed Representative (Provisional Licence)	4, 9
LU Helen	BXU737	Licensed Representative (Provisional Licence)	9
LU Ping	BXV328	Licensed Representative (Provisional Licence)	9
LU Qiuyuan	BXV666	Licensed Representative (Provisional Licence)	1, 4, 9
LU Shueh Pu	AYS340	Licensed Representative (Provisional Licence)	1, 2, 9
LU Siqi	BXY096	Licensed Representative (Provisional Licence)	1, 2
LUO Di	BXX112	Licensed Representative (Provisional Licence)	9
LUO Nan	BXQ804	Licensed Representative (Provisional Licence)	4
LUO Zhiying	BUK002	Licensed Representative (Provisional Licence)	1, 4
LYFAR Egor	BXV212	Licensed Representative (Provisional Licence)	1, 4, 9
MA Dong	BIJ547	Licensed Representative (Provisional Licence)	9
MA Kin Nok	BSR280	Licensed Representative (Provisional Licence)	1
MA Yilin	BXU414	Licensed Representative (Provisional Licence)	1
MA Yue	BXU533	Licensed Representative (Provisional Licence)	4, 9
MA Zhao	BXX399	Licensed Representative (Provisional Licence)	1, 4
MANI Praveen Ayyappa	AUV603	Licensed Representative (Provisional Licence)	9
MO Fanren	BXN042	Licensed Representative (Provisional Licence)	1, 6
MO Yinlan	BXT984	Licensed Representative (Provisional Licence)	1, 2, 4
MOLLOY Isabel	BVO685	Licensed Representative (Provisional Licence)	1
MOULIN Christophe Pierre Guillaume	BOF900	Licensed Representative (Provisional Licence)	9

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2026**

CE Name	CE No.	Licence Type	Types of Regulated Activity
MULHOLLAND Mark William	BXU514	Licensed Representative (Provisional Licence)	1, 2, 9
MUROS Guillaume	BXU426	Licensed Representative (Provisional Licence)	1, 4, 9
NAKAGAWA Keisuke	BXX853	Licensed Representative (Provisional Licence)	1, 4, 6
NG Hiu Wang	BXX283	Licensed Representative (Provisional Licence)	1
NG Wai Hung	BXX887	Licensed Representative (Provisional Licence)	1
NOBS Kaji Gerard	BXV929	Licensed Representative (Provisional Licence)	1, 4, 6
OH Jisung	BXS649	Licensed Representative (Provisional Licence)	1, 4
OSANAI Fumio	AOR345	Licensed Representative (Provisional Licence)	9
OUYANG Dengyan	BPN030	Licensed Representative (Provisional Licence)	1, 4
PAN Yingen	BXW093	Licensed Representative (Provisional Licence)	9
PANDYA Shamir	BXV510	Licensed Representative (Provisional Licence)	9
PAPANTONIOU William Peter John	BXV472	Licensed Representative (Provisional Licence)	1, 2
PENG Xiyao	BXS940	Licensed Representative (Provisional Licence)	1, 4
PENG Zeyu	BXX979	Licensed Representative (Provisional Licence)	1, 6
PLOTKIN Joseph Brenner	BFF023	Licensed Representative (Provisional Licence)	1, 2
QI Rundong	BXW541	Licensed Representative (Provisional Licence)	1, 4
QI Yunli	BXW757	Licensed Representative (Provisional Licence)	1
QI Zhen	BXM269	Licensed Representative (Provisional Licence)	6
QIAN Jun	BXW240	Licensed Representative (Provisional Licence)	1
QIAN Yuyan	BXV222	Licensed Representative (Provisional Licence)	1, 4, 6
QIAO Lu	BXS652	Licensed Representative (Provisional Licence)	1, 2, 4
REECE Schuyler Shearson	BVO584	Licensed Representative (Provisional Licence)	1, 4
REN Ruiyao	BXW231	Licensed Representative (Provisional Licence)	1, 4
RHEE Dah Yeon Peony	BSF918	Licensed Representative (Provisional Licence)	9
SACHANANDANI Bharat Kumar	AJD185	Licensed Representative (Provisional Licence)	1, 2
SELGAS LEITE VELHO Yannick Filipe	BXU783	Licensed Representative (Provisional Licence)	9
SHEK Wing Yin, Stanley	BCZ812	Licensed Representative (Provisional Licence)	1, 4, 9
SHEN Xiaozhou	BXI752	Licensed Representative (Provisional Licence)	6
SHEN Zhichao	BXT777	Licensed Representative (Provisional Licence)	1, 6
SHENG Hua	AYG180	Licensed Representative (Provisional Licence)	1, 4, 9
SHI Yuchen	BXV626	Licensed Representative (Provisional Licence)	1
SHI Zhenyuan	BXU094	Licensed Representative (Provisional Licence)	1
SHIN Hiranuma	BXX526	Licensed Representative (Provisional Licence)	1, 4, 6
SHU Chuying Kevin	BPD468	Licensed Representative (Provisional Licence)	1, 4, 9
SHU Xiao	BXV343	Licensed Representative (Provisional Licence)	9
SHU Xinchu	BXW110	Licensed Representative (Provisional Licence)	1, 4, 6
SIU Chi Shing	BNP475	Licensed Representative (Provisional Licence)	1, 2, 3
SLEZACK Emmanuel	ADL039	Licensed Representative (Provisional Licence)	9
SMITH Brenton Keith	BXU406	Licensed Representative (Provisional Licence)	1, 4, 6
SONG Zhe	BXU645	Licensed Representative (Provisional Licence)	1
SOUVANNAVONG Carine	BXW884	Licensed Representative (Provisional Licence)	1, 4
SUN Kuohsiang	BXR433	Licensed Representative (Provisional Licence)	4
SUN Leqi	BXU493	Licensed Representative (Provisional Licence)	1, 4, 6
SUN Tsai Hsuan	BGY960	Licensed Representative (Provisional Licence)	9
SUN Zhanbo	BXW804	Licensed Representative (Provisional Licence)	9
SUN Zhenning	BAT998	Licensed Representative (Provisional Licence)	4, 9
TAI Lok Yin	BXO109	Licensed Representative (Provisional Licence)	1, 2
TAKAHASHI Naohiro	BXV490	Licensed Representative (Provisional Licence)	4, 9
TAN Chang	BPY787	Licensed Representative (Provisional Licence)	9

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2026**

CE Name	CE No.	Licence Type	Types of Regulated Activity
TAN Rebecca Li Ping	BXU683	Licensed Representative (Provisional Licence)	10
TAN Rui Wen Benjamin	BXX256	Licensed Representative (Provisional Licence)	1, 2
TANG Sze Man Claulic	BXV266	Licensed Representative (Provisional Licence)	4, 9
TANG Weiting	BRR608	Licensed Representative (Provisional Licence)	1, 2
TANG Yun	BGM839	Licensed Representative (Provisional Licence)	1, 6
TEO Mei Tuan Adele	BXT781	Licensed Representative (Provisional Licence)	1, 2, 9
TERUYA Takashi	BWR285	Licensed Representative (Provisional Licence)	1
TIAN Qianli	BXW887	Licensed Representative (Provisional Licence)	9
TSANG Marco	BXW065	Licensed Representative (Provisional Licence)	4, 9
TSANG Tsz Ho	BXV851	Licensed Representative (Provisional Licence)	1, 2
TSAO Yam Man	BRW213	Licensed Representative (Provisional Licence)	1
TSE Yin Han Selina	BXX244	Licensed Representative (Provisional Licence)	13
TSENG Yung-Ming	BXW368	Licensed Representative (Provisional Licence)	4, 9
TSOI Hang San	BXU184	Licensed Representative (Provisional Licence)	1
TSOI Long Yin	BIH553	Licensed Representative (Provisional Licence)	4, 9
TSUI Kwong Chi	BMP300	Licensed Representative (Provisional Licence)	1, 4, 9
UEDA Sakura	BXY129	Licensed Representative (Provisional Licence)	1, 2
WAN Cheuk Hei	BMF465	Licensed Representative (Provisional Licence)	1, 4, 9
WAN Jiangcheng	BXP610	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Aodong	BXC121	Licensed Representative (Provisional Licence)	4, 9
WANG Hailun	BXT844	Licensed Representative (Provisional Licence)	4, 9
WANG Hanwen	BXX912	Licensed Representative (Provisional Licence)	4
WANG Haozhe	BXS864	Licensed Representative (Provisional Licence)	4, 9
WANG JIAYI	BXV761	Licensed Representative (Provisional Licence)	2
WANG Jiayuan	BXW280	Licensed Representative (Provisional Licence)	9
WANG Jun	AVA086	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Keyi	BXV671	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Lei	BXV697	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Ning	BXW421	Licensed Representative (Provisional Licence)	6
WANG Qianlei	BXT568	Licensed Representative (Provisional Licence)	1, 4
WANG Qihao	BUY785	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Qin	BXV579	Licensed Representative (Provisional Licence)	1
WANG Qinyang	BXV599	Licensed Representative (Provisional Licence)	4
WANG Shengyu	BDV081	Licensed Representative (Provisional Licence)	9
WANG Shiyuan	BXW209	Licensed Representative (Provisional Licence)	1, 2
WANG Shuo	BQO355	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 9
WANG Xiaojuan	BXX010	Licensed Representative (Provisional Licence)	1, 4
WANG Xiaolei	BXW224	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Xueqi	BXV911	Licensed Representative (Provisional Licence)	1, 2, 4
WANG Yimin	AYC366	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Yuren	BXX416	Licensed Representative (Provisional Licence)	1
WANG Zeyang	BXX606	Licensed Representative (Provisional Licence)	4
WANG Zhiqiang	BXM119	Licensed Representative (Provisional Licence)	1, 6
WANG Zhuoran	BAC306	Licensed Representative (Provisional Licence)	4
WANG Zilin	BXW906	Licensed Representative (Provisional Licence)	1, 2
WEI Yingtong	BXO183	Licensed Representative (Provisional Licence)	6
WISKIRSKI Michel, Andre	BXV503	Licensed Representative (Provisional Licence)	9
WONG Alexander	BDW741	Licensed Representative (Provisional Licence)	1, 6
WONG Chun Yee	AQO555	Licensed Representative (Provisional Licence)	1, 4, 6

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2026**

CE Name	CE No.	Licence Type	Types of Regulated Activity
WONG Kin Chun Marco	BXT840	Licensed Representative (Provisional Licence)	1, 6
WONG Man Lai	BXS428	Licensed Representative (Provisional Licence)	1, 4
WONG Tsz Fai	BXX253	Licensed Representative (Provisional Licence)	1
WONG Wan Shan	APS290	Licensed Representative (Provisional Licence)	1
WONG Wing Yin, Fiona	AXG330	Licensed Representative (Provisional Licence)	4, 9
WU Jialin	BXW662	Licensed Representative (Provisional Licence)	1, 4, 9
WU Wei	BUF816	Licensed Representative (Provisional Licence)	1, 4, 9
WU Yannan	BXX435	Licensed Representative (Provisional Licence)	1, 4, 6
WU Yiwen	BXW843	Licensed Representative (Provisional Licence)	1, 2
WU Zhaoyi	BXX131	Licensed Representative (Provisional Licence)	1, 9
XIA Yang	BIR052	Licensed Representative (Provisional Licence)	1, 4, 6
XIAN Zijun	BXY265	Licensed Representative (Provisional Licence)	1, 2
XIAO Junwen	BXY133	Licensed Representative (Provisional Licence)	1, 4
XIAO Xiao	BXY192	Licensed Representative (Provisional Licence)	1, 6
XIAO Xin	BXW430	Licensed Representative (Provisional Licence)	6
XIAO Xiyu	BXY249	Licensed Representative (Provisional Licence)	1, 6
XIONG Yan	BPA567	Licensed Representative (Provisional Licence)	4
XU Chengwei	BXO453	Licensed Representative (Provisional Licence)	4
XU Jing	BXV925	Licensed Representative (Provisional Licence)	4, 9
XU Jun	BXY299	Licensed Representative (Provisional Licence)	1, 6
XU Qiyao	BXN693	Licensed Representative (Provisional Licence)	1, 4, 6
XU Ruize	BXO398	Licensed Representative (Provisional Licence)	1, 4, 6
XU Suixin	BXV342	Licensed Representative (Provisional Licence)	2
XU Wenxin	BXP423	Licensed Representative (Provisional Licence)	1, 4, 6
XU Yujie	BXY217	Licensed Representative (Provisional Licence)	1, 6
XUAN Yuekai	BXW426	Licensed Representative (Provisional Licence)	6
XYSTUS Daniel Artemis	AZD493	Licensed Representative (Provisional Licence)	4, 9
YAN Jingjing	BXQ499	Licensed Representative (Provisional Licence)	1, 2
YAN Yue	BWF203	Licensed Representative (Provisional Licence)	1
YANG Nan	BXU524	Licensed Representative (Provisional Licence)	9
YANG Qinglin	BXV265	Licensed Representative (Provisional Licence)	1, 4
YANG Qingqing	BXU691	Licensed Representative (Provisional Licence)	1
YANG RICHARD CHEN XIAO	BXX726	Licensed Representative (Provisional Licence)	9
YANG Shixian	BXO500	Licensed Representative (Provisional Licence)	1, 6
YANG Xinming	BXV488	Licensed Representative (Provisional Licence)	1, 2, 4
YANG Xudong	BTG910	Licensed Representative (Provisional Licence)	1, 6
YANG Ying	BXT913	Licensed Representative (Provisional Licence)	1, 2, 4
YAO Wang	BPW377	Licensed Representative (Provisional Licence)	1, 2, 9
YE QIAOMU	BXV352	Licensed Representative (Provisional Licence)	10
YE Sheng	BXT776	Licensed Representative (Provisional Licence)	1, 6
YE Ziqi	BVM459	Licensed Representative (Provisional Licence)	1, 4
YEUNG Ho Yi	BXX900	Licensed Representative (Provisional Licence)	1, 4, 6
YEUNG Pui Shan, Shirley	ANL962	Licensed Representative (Provisional Licence)	1, 4, 6
YEUNG Sin	BRH678	Licensed Representative (Provisional Licence)	1
YEUNG Sum Ho	AOK594	Licensed Representative (Provisional Licence)	1, 4, 9
YING Zheng	BXU744	Licensed Representative (Provisional Licence)	9
YONG Kin Chim	BEW536	Licensed Representative (Provisional Licence)	1, 4
YOU Siqi	BWN895	Licensed Representative (Provisional Licence)	9
YOUNGER Geordie Charles Kenneth	BXW525	Licensed Representative (Provisional Licence)	4, 9

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2026**

CE Name	CE No.	Licence Type	Types of Regulated Activity
YUAN Jingting	BUS733	Licensed Representative (Provisional Licence)	1, 4, 9
YUAN Kang	BXW604	Licensed Representative (Provisional Licence)	4
YUAN Tianyun	BXW369	Licensed Representative (Provisional Licence)	1, 9
ZAN Beth	BXU098	Licensed Representative (Provisional Licence)	9
ZENG FANKE	BXX425	Licensed Representative (Provisional Licence)	1, 4
ZENG Jing	BXW283	Licensed Representative (Provisional Licence)	9
ZENG Xiaohao	BXW402	Licensed Representative (Provisional Licence)	6
ZENG Xinyue	BXW550	Licensed Representative (Provisional Licence)	1
ZHANG Ao	BXJ877	Licensed Representative (Provisional Licence)	1, 6
ZHANG Bochun	BXN934	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Bowen	BXO399	Licensed Representative (Provisional Licence)	6
ZHANG Guannan	BXV641	Licensed Representative (Provisional Licence)	1, 2
ZHANG Guoxin	BQC463	Licensed Representative (Provisional Licence)	1, 7
ZHANG Haibin	BGL755	Licensed Representative (Provisional Licence)	4, 9
ZHANG Haowei	BXX285	Licensed Representative (Provisional Licence)	1, 4
ZHANG He	AYJ687	Licensed Representative (Provisional Licence)	1, 2
ZHANG Ke	BXX886	Licensed Representative (Provisional Licence)	1, 2
ZHANG Kelly Wenting	BEX915	Licensed Representative (Provisional Licence)	9
ZHANG Li	BXX717	Licensed Representative (Provisional Licence)	1, 4
ZHANG Mengchen	BXX521	Licensed Representative (Provisional Licence)	9
ZHANG Nan	BFE323	Licensed Representative (Provisional Licence)	4
ZHANG Qifan	BXW019	Licensed Representative (Provisional Licence)	9
ZHANG Ruosi	BXW433	Licensed Representative (Provisional Licence)	6
ZHANG Shuai	BXJ945	Licensed Representative (Provisional Licence)	1, 6
ZHANG Xiaoyang	BXV924	Licensed Representative (Provisional Licence)	4, 9
ZHANG Xiaoyu	BXQ885	Licensed Representative (Provisional Licence)	1
ZHANG Xingchen	BXT809	Licensed Representative (Provisional Licence)	1, 2, 3, 4
ZHANG Xinyuan	BXP422	Licensed Representative (Provisional Licence)	1, 2, 3, 4
ZHANG Xukun	BOA129	Licensed Representative (Provisional Licence)	4, 9
ZHANG Yichuan	BXS364	Licensed Representative (Provisional Licence)	4, 9
ZHANG Yifan	BXV280	Licensed Representative (Provisional Licence)	9
ZHANG Yige	BXV359	Licensed Representative (Provisional Licence)	1, 4
ZHANG Yue	BXS824	Licensed Representative (Provisional Licence)	1, 4
ZHANG Yunhao	BXX616	Licensed Representative (Provisional Licence)	1, 2
ZHANG Yuxin	BXV886	Licensed Representative (Provisional Licence)	6
ZHANG Zhaoxiang	BXW171	Licensed Representative (Provisional Licence)	1
ZHAO Jue	BXN377	Licensed Representative (Provisional Licence)	1
ZHAO Menghan	BXT928	Licensed Representative (Provisional Licence)	1, 2, 4
ZHAO Pengfei	BXX397	Licensed Representative (Provisional Licence)	1, 4, 9
ZHAO Ziyi	BXV350	Licensed Representative (Provisional Licence)	1
ZHENG Peng	BXU766	Licensed Representative (Provisional Licence)	1, 4, 9
ZHENG Weijie	BXP512	Licensed Representative (Provisional Licence)	6
ZHENG Xiurong	BXS969	Licensed Representative (Provisional Licence)	1, 2, 4
ZHONG Ziyang	BXV442	Licensed Representative (Provisional Licence)	1, 2, 4
ZHOU Alexandre Yu-Qing	BOM635	Licensed Representative (Provisional Licence)	9
ZHOU Furui	BXF791	Licensed Representative (Provisional Licence)	1, 4, 6
ZHOU Hao	BXU015	Licensed Representative (Provisional Licence)	1, 2, 4
ZHOU Kehan	BXW932	Licensed Representative (Provisional Licence)	1, 2
ZHOU Xiaoyu	BXV739	Licensed Representative (Provisional Licence)	1, 2, 4

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2026**

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHOU Yunpeng	BXW943	Licensed Representative (Provisional Licence)	1
ZHOU Zuyu	BBR071	Licensed Representative (Provisional Licence)	6
ZHU Borui	BXU350	Licensed Representative (Provisional Licence)	1, 4
ZHU Rudi	BXT574	Licensed Representative (Provisional Licence)	1, 4
ZHU Rui	BGH407	Licensed Representative (Provisional Licence)	1, 4, 6
ZHU Xin	BXX372	Licensed Representative (Provisional Licence)	1, 4, 9
ZHU Yifei	BXW424	Licensed Representative (Provisional Licence)	6
ADAMS David Steven	BUI281	Licensed Representative (Temporary Licence)	4
AFFENDI Kavish Javid	ASP614	Licensed Representative (Temporary Licence)	1, 2
AGRAWAL Manas	BVH787	Licensed Representative (Temporary Licence)	4
APTED Bruce Raymond	BTO570	Licensed Representative (Temporary Licence)	1, 4
ARMITAGE Charles Jonathan	BVC714	Licensed Representative (Temporary Licence)	4
BAEK Doosan	BJX357	Licensed Representative (Temporary Licence)	4
BI Yan	BJS087	Licensed Representative (Temporary Licence)	2
CARRINGTON Leo James Buchanan	BXU717	Licensed Representative (Temporary Licence)	4
CHANDRASEKAR Aditya	BWE361	Licensed Representative (Temporary Licence)	4
CHEN Chia-Yi	AOB718	Licensed Representative (Temporary Licence)	4
CHEN Jung Hsuan	BTZ245	Licensed Representative (Temporary Licence)	4
CHEONG Yong Qiang	BTP237	Licensed Representative (Temporary Licence)	1
CHOUDHURY Avijit	AVT529	Licensed Representative (Temporary Licence)	1
CHU Charlene Marie	AMM435	Licensed Representative (Temporary Licence)	4
CLARINGBULL Daniel Charles	BUO821	Licensed Representative (Temporary Licence)	1
DENGO Diana	BXJ683	Licensed Representative (Temporary Licence)	1, 4
DHALOOMAL Ali	BUZ397	Licensed Representative (Temporary Licence)	4
DI MAGGIO Scott A	BIV434	Licensed Representative (Temporary Licence)	4
DORAI Chadwick Rufus	BXC344	Licensed Representative (Temporary Licence)	4
DORAI Chadwick Rufus	BXC344	Licensed Representative (Temporary Licence)	4
ENOMOTO Takashi	BAZ545	Licensed Representative (Temporary Licence)	4
FRITSCH Christophe, Jean-Philippe	BWB421	Licensed Representative (Temporary Licence)	1, 4
FUCHS Alexander Otto	BPR489	Licensed Representative (Temporary Licence)	2
FUKUDA Rui	BOE886	Licensed Representative (Temporary Licence)	2, 5
FUTSCHER Michael	BUD221	Licensed Representative (Temporary Licence)	1
GAO Lu	ATB718	Licensed Representative (Temporary Licence)	1, 4
GODFREY Bradford W	BWJ807	Licensed Representative (Temporary Licence)	1
GOYAL Megha	BKR107	Licensed Representative (Temporary Licence)	1
GUESNON Nicolas Ifan	BWW088	Licensed Representative (Temporary Licence)	4
GUNDLAPALLE Pranav Dheeraj	BOQ958	Licensed Representative (Temporary Licence)	4
GUPTA Rahul	BTO239	Licensed Representative (Temporary Licence)	4
HARRISON Lyanne Marie Leung	BNZ874	Licensed Representative (Temporary Licence)	4
HAYATA Shintaro	BXU021	Licensed Representative (Temporary Licence)	1, 4
HE Cheng	BNS842	Licensed Representative (Temporary Licence)	1, 4
HE Dan	AXZ647	Licensed Representative (Temporary Licence)	10
HIDAJAT MICHAEL ROSADI	BXX042	Licensed Representative (Temporary Licence)	1
HONG Jimin	BTR157	Licensed Representative (Temporary Licence)	1, 2
HU Xunyu	BRC220	Licensed Representative (Temporary Licence)	4
IJIMA Shiro	BWH110	Licensed Representative (Temporary Licence)	4
IP Yin Tong	BXU217	Licensed Representative (Temporary Licence)	1, 4
JAMALUDIN Nur Elliyana Binti	BTR489	Licensed Representative (Temporary Licence)	1
JAMES Christopher Jamal	BXW001	Licensed Representative (Temporary Licence)	1

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2026**

CE Name	CE No.	License Type	Types of Regulated Activity
KUO Ware	BWO444	Licensed Representative (Temporary Licence)	4
LAUGHLIN Justin John	AWV278	Licensed Representative (Temporary Licence)	2, 5
LEE Jaeheon	BXV364	Licensed Representative (Temporary Licence)	4
LEE Shiyang	BWE454	Licensed Representative (Temporary Licence)	4
LI Liang	BXV512	Licensed Representative (Temporary Licence)	4
LIM Yvette Shi Yun	BRR089	Licensed Representative (Temporary Licence)	1
LIN Zuodong	BNJ825	Licensed Representative (Temporary Licence)	2, 5
LIU Yun Ni	BTO719	Licensed Representative (Temporary Licence)	1
LOGAN Yogamoorthy	BDZ080	Licensed Representative (Temporary Licence)	1
LU Benjamin Jiaxuan	BXV954	Licensed Representative (Temporary Licence)	2, 5
LUNDEN Anna Elisabeth	BXV997	Licensed Representative (Temporary Licence)	1, 4
LYONS Daniel Scott	BVI020	Licensed Representative (Temporary Licence)	1, 4
MA Zongming	BWV463	Licensed Representative (Temporary Licence)	4
MACDIARMID William Geoffrey Melrose	BWC126	Licensed Representative (Temporary Licence)	1
MCCLURG Scott	BTO338	Licensed Representative (Temporary Licence)	1, 4
MOOSA Laiba	BWM902	Licensed Representative (Temporary Licence)	1, 2
MURAKAWA Yushi	BUS172	Licensed Representative (Temporary Licence)	1
MURPHY Yvette Louise	BWG846	Licensed Representative (Temporary Licence)	1, 4
NAQI Zain	BVK666	Licensed Representative (Temporary Licence)	1, 4
NELLIS Simon George	BNT163	Licensed Representative (Temporary Licence)	4
NG Ivan Jun Jie	BWV651	Licensed Representative (Temporary Licence)	4
NG Peirong	AXH379	Licensed Representative (Temporary Licence)	1, 4
NISHIYAMA Yuta	BTO872	Licensed Representative (Temporary Licence)	4
PERRY Samuel Christopher	BWE815	Licensed Representative (Temporary Licence)	4
PETERS Jean-Robin Alexandre	BXU592	Licensed Representative (Temporary Licence)	1, 2
PITTAWAY Nigel Clive	BEM323	Licensed Representative (Temporary Licence)	4
PRAKASH Pramod Kumar	BTL135	Licensed Representative (Temporary Licence)	4
QU Yawen	BGQ533	Licensed Representative (Temporary Licence)	1, 2
RUBIN Joshua Nathan	BXV546	Licensed Representative (Temporary Licence)	1
SAITO Erina	BXX143	Licensed Representative (Temporary Licence)	1, 2
SALDANHA Matthew Jeffrey	BXJ456	Licensed Representative (Temporary Licence)	1, 4
SALIBA Jean Michel	BVA526	Licensed Representative (Temporary Licence)	4
SALTZMAN Jeffrey Matthew	BBG550	Licensed Representative (Temporary Licence)	4
SAWATO Masami	AUV747	Licensed Representative (Temporary Licence)	1, 4
SCHROEDER Paul John Cheol	BWY391	Licensed Representative (Temporary Licence)	4
SHAFFER JR Roger Lee	BXX123	Licensed Representative (Temporary Licence)	1, 2
SHAH Amish Chandrakant	AUW978	Licensed Representative (Temporary Licence)	4
SHIEH Ming-Yung	AYI567	Licensed Representative (Temporary Licence)	1
SHIMAZU (MIYATA) Leigha	BTQ837	Licensed Representative (Temporary Licence)	4
SINGH Sriharsh	BTJ954	Licensed Representative (Temporary Licence)	4
SMITH Oliver Gareth	BXY211	Licensed Representative (Temporary Licence)	1, 4
SOLE Jay Daniel	BEK759	Licensed Representative (Temporary Licence)	4
SONG Yu	ALA699	Licensed Representative (Temporary Licence)	4
SRIVASTAVA Alok	BVR091	Licensed Representative (Temporary Licence)	4
STORY Michael Robert	BVE711	Licensed Representative (Temporary Licence)	1, 4
STRONG Thomas Joseph	BXU716	Licensed Representative (Temporary Licence)	4
STRUC Denis	BXX400	Licensed Representative (Temporary Licence)	1, 4
SUH Bo Goog	BHT539	Licensed Representative (Temporary Licence)	4
SUHNER Laura Carolina	BXX137	Licensed Representative (Temporary Licence)	1, 4

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2026**

<b>CE Name</b>	<b>CE No.</b>	<b>License Type</b>	<b>Types of Regulated Acitivity</b>
SWIBER Meghan Kelsey	BTU384	Licensed Representative (Temporary Licence)	4
TAMAI Toshiyuki	AZE887	Licensed Representative (Temporary Licence)	1, 2
TAN Yong Hong	BTX517	Licensed Representative (Temporary Licence)	4
TANIZAKI Reina	BXW513	Licensed Representative (Temporary Licence)	1, 2
TEE Jun Jie Louis	BXY212	Licensed Representative (Temporary Licence)	1, 2
THOMAS Richard	BDD358	Licensed Representative (Temporary Licence)	4
TOH Shikai	BF1045	Licensed Representative (Temporary Licence)	1, 2
TSANG Marie Ming Wai	BOP657	Licensed Representative (Temporary Licence)	1, 4
TSUJINO Natsumu	BWA715	Licensed Representative (Temporary Licence)	4
VARGAS MARTINEZ Sebastian	BXX921	Licensed Representative (Temporary Licence)	1
VERGHESE Tarun Chandy	BRV821	Licensed Representative (Temporary Licence)	1, 2
VICTOR Meaghan Elizabeth	BQG703	Licensed Representative (Temporary Licence)	1, 4
WANG Jing	BWZ522	Licensed Representative (Temporary Licence)	1
WANG Zhidong	BQW999	Licensed Representative (Temporary Licence)	1
WITHROW John Douglas	BXU695	Licensed Representative (Temporary Licence)	4
YAMAGUCHI Hidemaru	BGD668	Licensed Representative (Temporary Licence)	4
YOON Laurent Teki	BXU571	Licensed Representative (Temporary Licence)	4
YOSHIMOTO Yoshimi	BXX926	Licensed Representative (Temporary Licence)	1, 2
YU Kenneth Logan	BXX039	Licensed Representative (Temporary Licence)	1
ZHAN Lu	BWV461	Licensed Representative (Temporary Licence)	4
ZHANG Di	BVT795	Licensed Representative (Temporary Licence)	4
ABN AMRO Clearing Bank N.V.	BXP851	Registered Institution	1, 2