

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity
Adams Street Hong Kong Limited	BWZ002	Licensed Corporation	1, 4
Aetheris Strategic Capital Limited	BXD998	Licensed Corporation	4, 9
Block Asset Management Limited	BXB625	Licensed Corporation	4, 9
Boring Assets Management Limited	BWE812	Licensed Corporation	4, 9
Capital Investments Office Limited	BXR809	Licensed Corporation	4, 9
CHAMELEON SECURITIES INTERNATIONAL LIMITED	BXI580	Licensed Corporation	1, 4, 9
China Central Reliance Securities Company Limited	BWH076	Licensed Corporation	1, 4, 9
Citadel Securities Investment Solutions Limited	BWV302	Licensed Corporation	9
Dayond International Asset Management Co., Limited	BXC230	Licensed Corporation	4, 9
Elephant Securities International Company Limited	BXB383	Licensed Corporation	1, 4, 9
Escend Capital Management Limited	BWZ271	Licensed Corporation	9
Everbridge Asset Management Limited	BXH532	Licensed Corporation	4, 9
Evermark Asset Management Limited	BXS349	Licensed Corporation	4, 9
Ferguson Lane Capital Management Limited	BXF079	Licensed Corporation	4, 9
Glory Fund Management Limited	BWB899	Licensed Corporation	4, 9
GRAND INTEGRITY ASSET MANAGEMENT LIMITED	BWW802	Licensed Corporation	4, 9
Kunpeng Research Limited	BWF412	Licensed Corporation	9
Leaderfortune Asset Management Limited	BXE136	Licensed Corporation	4, 9
Look Capital Partners Limited	BXE013	Licensed Corporation	1, 4, 9
PEI Global Partners (Asia Pacific) Limited	BXB276	Licensed Corporation	4
Rectified Flow Capital Limited	BXQ608	Licensed Corporation	4, 9
RedBird Capital Partners (Asia) Limited	BXA128	Licensed Corporation	1
Richmond Valley Capital Limited	BWE727	Licensed Corporation	9
RV HK Limited	BXH385	Licensed Corporation	1
SIGNAL D SECURITIES LIMITED	BXP722	Licensed Corporation	1, 4, 9
STILLPOINT CAPITAL LIMITED	BXD888	Licensed Corporation	4, 9
Symmetrix Investments Limited	BXH166	Licensed Corporation	4, 9
UOB KAY HIAN INVESTMENT MANAGEMENT (HONG KONG) LIMITED	BVS154	Licensed Corporation	9
Zhong Dao Asset Management Limited	BXJ559	Licensed Corporation	4, 9
ACCURSO Joseph	BYR565	Licensed Representative	1, 4
AN Danni	BYO257	Licensed Representative	1, 4, 6
APABHAI Hamza Saifullah	BRQ546	Licensed Representative	1
ARIKI Nobutaka	ASU316	Licensed Representative	9
AU Yee Wing	BDK994	Licensed Representative	1, 4
BAEK Woonsung	BYN763	Licensed Representative	9
BAI Yuyang	BPF400	Licensed Representative	1, 4
BARLOW Benjamin John	BYE397	Licensed Representative	4, 9
BAZAROVA Ianzhima	BYO735	Licensed Representative	9
BAZINE Gaetan Guillaume	BYQ601	Licensed Representative	1, 2
BAZZONI Federico	ADP682	Licensed Representative	1, 4, 6
BEAUDOUX Gauthier Alexandre Louis	BYM728	Licensed Representative	1, 2
BERRY William Francis	BYK533	Licensed Representative	1, 4
BONGRAIN Julian	BYN987	Licensed Representative	1, 2, 7
BREL Maxime	BFZ187	Licensed Representative	9
BUNN Malcolm	AQM778	Licensed Representative	1
BUSSONNIERE Henri Jacques Thibault	BLO100	Licensed Representative	1, 2, 7
CAI Peiying	BYN773	Licensed Representative	1, 2

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity
CAI Xinyu	BYM546	Licensed Representative	4
CAI Yibo	BCN610	Licensed Representative	1
CAO shuishui	BYN740	Licensed Representative	1, 4, 9
CAO Wenxin	BYR260	Licensed Representative	1, 4
CAO Xiaowen	BYQ481	Licensed Representative	1, 2, 7
CAO YI	BYI465	Licensed Representative	9
CAO Zhenchao	BYN521	Licensed Representative	1, 2, 9
CHAHINE Steven Jim Victor	BYO815	Licensed Representative	1, 2
CHAN Andrew Gachun	BYO151	Licensed Representative	1, 4
CHAN Chi Hang	AVA036	Licensed Representative	4
CHAN Chun Kit	AFY913	Licensed Representative	1, 4
CHAN Chun Yip	AFZ392	Licensed Representative	1, 4
CHAN Gabriel	BYG139	Licensed Representative	1, 2
CHAN Ho Tung	BDH691	Licensed Representative	1, 4
CHAN PUI CHING	BYN299	Licensed Representative	1
CHAN SI MAN	BYP827	Licensed Representative	1, 6
CHAN Tung Hung, June	BQW139	Licensed Representative	1, 4
CHAN Yi	BKH673	Licensed Representative	1, 6
CHAN Yuen Ching Nigel	BYO200	Licensed Representative	1, 2
CHAN Yui Kiu	BYN819	Licensed Representative	1, 4
CHAN Yuk Ki	BAL576	Licensed Representative	1, 7
CHANG Ziqi	BYO358	Licensed Representative	1, 2, 4
CHARASPREEDALARP Warit	BYJ812	Licensed Representative	1, 2
CHAU Ching Yan	BYO711	Licensed Representative	1, 4
CHAU Yin Wai	BJN487	Licensed Representative	1, 4
CHEN Wen Shen	BVC699	Licensed Representative	1, 4, 9
CHEN Alvin M	BYP066	Licensed Representative	1, 2
CHEN An Te	BYP136	Licensed Representative	1, 2
CHEN Chiu-Chin	BIG430	Licensed Representative	1, 2
CHEN Dongjun	BYQ105	Licensed Representative	1, 4, 6
CHEN Jiafeng	BYG764	Licensed Representative	6
CHEN Junxian	BYO829	Licensed Representative	1, 2
CHEN Kailin	BYQ631	Licensed Representative	6
CHEN Manhua	BYL909	Licensed Representative	4, 9
CHEN Meihua	BYM714	Licensed Representative	1, 4
CHEN Pengjia	BKW919	Licensed Representative	9
CHEN Qingzhen	BYN881	Licensed Representative	4
CHEN RUI	BYJ586	Licensed Representative	1, 7
CHEN Shaoling	BYO043	Licensed Representative	1
CHEN Si	AXX171	Licensed Representative	1
CHEN Tianhong	BYO803	Licensed Representative	1, 6
CHEN Tuliang	BYQ759	Licensed Representative	6
CHEN Wenfei	BYO536	Licensed Representative	1
CHEN Xingjian	BYO185	Licensed Representative	1
CHEN Xuan	BYO855	Licensed Representative	1, 2
CHEN Yajing	BYI001	Licensed Representative	1, 4
CHEN Yifan	BYO304	Licensed Representative	4
CHEN Yixi	BMX056	Licensed Representative	1, 4
CHEN Yixuan	BYE463	Licensed Representative	1, 4, 9

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CE Name	CE No.	Licence Type	Types of Regulated Activity
CHEN Yuhan	BYQ079	Licensed Representative	1
CHEN Yusen	BYK676	Licensed Representative	4, 9
CHEN zhen	BYN998	Licensed Representative	1, 4, 9
CHEN ZHIRUO	BYO351	Licensed Representative	1, 4, 9
CHEN Zi	BYO474	Licensed Representative	9
CHEN Zihao	BYP028	Licensed Representative	1, 4
CHEN Ziqi	BYO509	Licensed Representative	1
CHENG Cheuk Ying Esabel	BYO942	Licensed Representative	1, 2
CHENG Meng	BYO042	Licensed Representative	10
CHENG Qianyang	BYM950	Licensed Representative	1, 4, 9
CHENG Qianzhi	BYO865	Licensed Representative	4
CHENG Qingbei	BYQ031	Licensed Representative	1, 4, 5, 9
CHEUNG Hoi Laam	BYJ216	Licensed Representative	1
CHEUNG Tze Man	AJK669	Licensed Representative	4, 9
CHEUNG Yu Kai	BYP956	Licensed Representative	9
CHEUNG Yung Yung	BIS105	Licensed Representative	4, 9
CHIBA Izumi	AWH496	Licensed Representative	1
CHING Chik Seng	BYC058	Licensed Representative	2
CHIU Kwan Yau	BYK698	Licensed Representative	1, 2
CHIU Tik Hang	BBQ886	Licensed Representative	1, 2
CHIU YIU TONG	BYE484	Licensed Representative	1, 6
CHO Yuk Lin Laurie	BYJ752	Licensed Representative	1
CHOI Hyoun Jeong	BYK844	Licensed Representative	1, 4, 7
CHOI HyunJoon	BWY370	Licensed Representative	1, 4, 6
CHOI Sheung Wah	BHF331	Licensed Representative	9
CHOI Yan Wing	BYQ703	Licensed Representative	1, 4
CHONG Hei Ting	BYN601	Licensed Representative	1, 4, 9
CHOU Chung Hei, Johnny	BYO481	Licensed Representative	2, 5
CHOW Long Yan James	BYP833	Licensed Representative	1, 4, 9
CHU Anton Ernesto Da Roza	BYM155	Licensed Representative	1
CHU Clement Chiu Wei	BYQ913	Licensed Representative	1
CHU Xiang	BYM071	Licensed Representative	2, 5
CHUA Clement Min Tze	BYN221	Licensed Representative	4
CHUNG Ho Yin	BYG114	Licensed Representative	4, 9
CHUNG Ji Han	BYJ943	Licensed Representative	4, 9
CHUNG Tsz Shan	BQP449	Licensed Representative	1, 4
COLIN-JONES Alexander Mark	AOE138	Licensed Representative	1
CUI Hao	BYJ995	Licensed Representative	6
CUI Shaoju	BYM650	Licensed Representative	2, 5
CUI Yicheng	BYO268	Licensed Representative	1
DAI Zhirui	BYQ280	Licensed Representative	1, 4
DEB Shounak	BYR831	Licensed Representative	1, 2
DEMPSEY Zachary Reuben	BYP897	Licensed Representative	1
DENG Feng	BRM456	Licensed Representative	4, 9
DENG Mengping	BYN800	Licensed Representative	1, 6
DENG Nuoyan	BYM998	Licensed Representative	4, 9
DENG Qinyu	BYM513	Licensed Representative	1, 4, 6
DENG Yawen	BYJ272	Licensed Representative	9
DENG Ziqi	BYJ087	Licensed Representative	4, 9

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CE Name	CE No.	Licence Type	Types of Regulated Activity
DESHORS Guillaume, Philippe, Thomas	BYO500	Licensed Representative	1, 2
DILLON Elaine Jacqueline	BBG986	Licensed Representative	1, 2, 9
DING Yuping	BYO295	Licensed Representative	1, 4
DONG Baihan	BYO352	Licensed Representative	1, 2, 4, 5
DONG Qingyi	BYM362	Licensed Representative	1, 6
DONG Xingfu	BYK421	Licensed Representative	1
DONG Yiqi	BYJ814	Licensed Representative	1, 4, 6
DONG Yunhao	BYN727	Licensed Representative	9
DOUGLAS Robert Barry	BYN967	Licensed Representative	1, 4
DU Nan Chiang	BGH452	Licensed Representative	9
ENOMOTO Kota	BYO872	Licensed Representative	1, 4, 6
FAN Siyao	BYF931	Licensed Representative	1, 4, 6
FAN Yingjie	BJE201	Licensed Representative	1, 4, 6
FAN Yingzhi	BWV750	Licensed Representative	1, 4, 9
FANG Jingyi	BYJ963	Licensed Representative	1, 4, 6
FANG Yanna	BYO555	Licensed Representative	1
FANG Yiqun	BYM952	Licensed Representative	1, 2, 4
FEI Xiaoya	BVS722	Licensed Representative	4, 9
FENG Chuchao	BYO614	Licensed Representative	1
FENG Shuo	BYN864	Licensed Representative	1, 4, 9
FENG Wenbing	BYO396	Licensed Representative	6
FONG Derek	BYJ217	Licensed Representative	1, 4, 9
FONG Yee Lam, Justice	BTZ010	Licensed Representative	1
FONG Yi Hin	BYK129	Licensed Representative	1, 4, 9
FOY Warwick James	BYO074	Licensed Representative	1, 2
FU Nicole Wai Lam	BSU152	Licensed Representative	4, 9
GAO Jialu	BYH985	Licensed Representative	1, 4, 9
GAO Junyu	BYM972	Licensed Representative	1, 2
GAO Ruiyang	BYN106	Licensed Representative	9
GAO Yujian	BYL002	Licensed Representative	1, 4, 9
GAO Yusen	BYK063	Licensed Representative	4
GARG Nikhil	AVH861	Licensed Representative	1
GARIBALDI Vanessa Aimee	BYO194	Licensed Representative	4
GARNACE Peter Louise Dela Cruz	BYO130	Licensed Representative	4
GEOFFROY Victor, Sebastien	BYO212	Licensed Representative	9
GONG Yanjun	BYO233	Licensed Representative	1, 4
GONZALEZ RUIZ Alejandro	BYR580	Licensed Representative	1, 4, 9
GOTO Daichi	BYM504	Licensed Representative	1
GU Huini	BYK629	Licensed Representative	1
GUAN Hanya	BYQ036	Licensed Representative	1, 2, 4, 5
GUO Xinyang	BYP202	Licensed Representative	1, 2, 4, 5
GUO Yuxin	BYP875	Licensed Representative	6
GUO Zhiqiang	BYK729	Licensed Representative	1, 4, 6
HAH Seunghyun	BYN072	Licensed Representative	1, 4, 6
HAN Chu	BYP055	Licensed Representative	6
HANAUE Takeshi	BYN233	Licensed Representative	1
HAO Sizhe	BYK685	Licensed Representative	1, 4
HAYAKAWA Aya	BYQ535	Licensed Representative	1, 2
HE George Xiaogang	ANE282	Licensed Representative	1, 2, 9

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CE Name	CE No.	Licence Type	Types of Regulated Activity
HE Huan	BYP178	Licensed Representative	6
HENG Megan Tsu Yi	BWR305	Licensed Representative	1, 2
HERBERT Archie Edwin	BYQ383	Licensed Representative	3
HIVAREKAR Abhijeet	AZD532	Licensed Representative	1, 4, 9
HO Chi Yuk	BYP985	Licensed Representative	1
HO Chun Pong	BYM921	Licensed Representative	1
HO Shu Mei	BYO600	Licensed Representative	1
HO Wing Sze	BSX321	Licensed Representative	1, 4, 6
HO Yi-Ling	BYJ830	Licensed Representative	1, 4, 9
HO YINN MAN	BYL060	Licensed Representative	1, 4, 9
HOANG Xuan Long	BYI372	Licensed Representative	1, 4, 6
HONG Yang	BYN816	Licensed Representative	1, 4, 9
HOU Xichun	BYL138	Licensed Representative	1, 4, 9
HOU Yugao	BRQ982	Licensed Representative	1, 9
HOU Yutao	BYM576	Licensed Representative	1, 2
HSIEH Ming Hung	AIR925	Licensed Representative	1
HU Jingting	BYR641	Licensed Representative	4, 5, 9
HU LIANKE	BYO992	Licensed Representative	1, 4, 9
HU Mingyang	BYP982	Licensed Representative	1, 2, 4, 5
HU Qian	BYJ796	Licensed Representative	6
HU Tengfeng	BRO696	Licensed Representative	1, 6
HU Xiao	BYP940	Licensed Representative	6
HU Xijuan	BYJ696	Licensed Representative	6
HU Yue	BYM559	Licensed Representative	1, 2
HU Zhe	BYP003	Licensed Representative	6
HUA Xia	BRR551	Licensed Representative	4
HUANG Guoliang Nicholas	BHP915	Licensed Representative	1, 2, 4, 5, 7
HUANG He	BYQ272	Licensed Representative	6
HUANG Luying	BYN790	Licensed Representative	1
HUANG Ruihao	BYO348	Licensed Representative	6
HUANG Suiming	BFB090	Licensed Representative	1, 2
HUANG Xiang	BUM088	Licensed Representative	1, 6
HUANG Xinyi	BYR100	Licensed Representative	1, 2, 4
HUANG Yifu	BYJ832	Licensed Representative	1, 4, 9
HUANG Yingqi	BYM660	Licensed Representative	1, 2, 4
HUANG Zhoushan	BYN791	Licensed Representative	1
HUI Ka Kit	BWE728	Licensed Representative	9
HUI Ka Yi Emily	BYO235	Licensed Representative	1, 4, 9
HUI Ying Kit	BYG781	Licensed Representative	9
HWANG Hyun Jeong	BYO075	Licensed Representative	1, 4, 6
INNES Mark Alexander	BYN802	Licensed Representative	1
IP Chak Fong	BYK933	Licensed Representative	1
IP Hung	AGA252	Licensed Representative	1, 4, 9
IP Tsz Pang	BUU152	Licensed Representative	9
JALEEL Mohammed Tarik	BHY123	Licensed Representative	9
JEON Hohyeon	BYO627	Licensed Representative	1, 6
JI Jiechao	BQB230	Licensed Representative	4
JI Yiran	BYN754	Licensed Representative	6
JIA Yuhong	BUL450	Licensed Representative	1, 2, 4

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CE Name	CE No.	Licence Type	Types of Regulated Activity
JIANG Bingham	BYH741	Licensed Representative	1, 4, 9
JIANG Pin	BYQ023	Licensed Representative	6
JIANG Ruju	BQX101	Licensed Representative	6
JIANG Tao	BYN479	Licensed Representative	6
JIE Yile	BME569	Licensed Representative	1
JILKA Raj	BYQ498	Licensed Representative	4
JIN Joseph	BID411	Licensed Representative	1, 2
JING Jiangwei	BYN410	Licensed Representative	1, 4
JING Jing	BYQ365	Licensed Representative	1
JONES Sebastian Max	BYO630	Licensed Representative	1, 4, 6
KAM Chun Wai	BYM800	Licensed Representative	1, 6
KAN Cheuk Hin	BYM649	Licensed Representative	2, 5
KAN Sum Yin	BUD185	Licensed Representative	4, 9
KANG Songyi	BYH795	Licensed Representative	4, 9
KANG TIANYI	BYQ575	Licensed Representative	1, 6
KANODIA Ankit	BYR105	Licensed Representative	1, 2
KAPASI Krunal Deepak	BYQ374	Licensed Representative	1
KAWAKATSU Masanori	BYQ822	Licensed Representative	1, 4, 6
KAWASHIMA Hibiki	BWT754	Licensed Representative	1
KIM Cherin	BDW437	Licensed Representative	1
KIM Hanseo	BYP011	Licensed Representative	1, 6
KIM Hyun Jong	BYN851	Licensed Representative	1, 6
KIM Jae Keun	AVP736	Licensed Representative	1, 7
KIM Jongmin	BYO503	Licensed Representative	1, 6
KIM Seung Hyun	BYO277	Licensed Representative	1, 4, 6
KIM Theresia Minjeong	BYJ267	Licensed Representative	1
KONG Jiajie	BYN229	Licensed Representative	1
KONG Shishi	BID357	Licensed Representative	1, 4, 9
KOO Ka Suin, Belinda	AFF226	Licensed Representative	1
KURAHASHI Tomoki	BYH954	Licensed Representative	1
KWOK Ying Ying	BYE942	Licensed Representative	1, 4, 9
KWONG Ka Yeung Winson	BYO239	Licensed Representative	1, 2
KWONG Suk Ying	AFU441	Licensed Representative	1
LAI Kwok Wah Jonathan	BYO202	Licensed Representative	1, 4
LAI Sheung Lam	BYL945	Licensed Representative	1, 2
LAK Ho Yee	BYR813	Licensed Representative	1, 4, 9
LAM Fung Tai, Barry Joe	AMU203	Licensed Representative	1, 4
LAM Giai Le	BYR833	Licensed Representative	1, 2
LAM Ka Ki	BYL928	Licensed Representative	1
LAM Kin Lung	AFO292	Licensed Representative	1, 4, 9
LAM Kin Sing	BYJ094	Licensed Representative	1
LAM Man Wai	BKM760	Licensed Representative	9
LAM Robin	BYO763	Licensed Representative	4
LAM Tsz Shing	BYO046	Licensed Representative	1, 6
LAM Wai Leung	BYJ066	Licensed Representative	9
LAM Wing Yan	BYQ470	Licensed Representative	1
LAU Chin Yan	BYM565	Licensed Representative	1, 2
LAU Ching Yeung	BSS174	Licensed Representative	4, 9
LAU Jason	BYQ001	Licensed Representative	1, 4, 9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity
LAU Kin Man Peter	BYQ319	Licensed Representative	1, 4
LAU Kwok Ting	BYK359	Licensed Representative	9
LAU Larry Jun Hung	BYQ850	Licensed Representative	4, 9
LAU Pak Ching	BYQ480	Licensed Representative	1
LAU Sin Ping Richard	BYF070	Licensed Representative	2
LAU Sui Hin Lauren	BYK395	Licensed Representative	1, 4
LAU Victor Henry	BYM099	Licensed Representative	1, 4
LAU Yat Tung	BVE547	Licensed Representative	4
LAU Yiu Fai	BYQ434	Licensed Representative	1
LAU Yuen Hoi	BYO991	Licensed Representative	9
LAW Choon Hwee	AZV649	Licensed Representative	1
LEE Gahyeon	BYO293	Licensed Representative	1, 6
LEE Ka Leong	BVK917	Licensed Representative	4, 9
LEE Lai Wah	BYP190	Licensed Representative	1, 2
LEE Lun Fung	BWE422	Licensed Representative	1
LEE Nga Ying	APQ801	Licensed Representative	4, 9
LEE Yip Wang Lewis	BYH725	Licensed Representative	1
LEE Yoo Jeong	BTF359	Licensed Representative	1, 2
LEI CHI TO	BYQ908	Licensed Representative	1, 2
LEI Chung Hon	BYP938	Licensed Representative	1, 2, 4
LEI Jiangyan	BYM245	Licensed Representative	1, 4, 9
LEI Lei	BYJ181	Licensed Representative	1, 6
LENG Wei	BYO403	Licensed Representative	4
LEUNG Cheuk Hin	BYQ286	Licensed Representative	9
LEUNG Hiu Yin	BYO571	Licensed Representative	1, 4, 9
LEUNG Hon Kuen	ATM775	Licensed Representative	1, 2
LEUNG KA KI	BYQ086	Licensed Representative	1, 4, 9
LEUNG Man Hong	BRA137	Licensed Representative	9
LEUNG Sin Tung	BME590	Licensed Representative	9
LEUNG Tsun Fung	BYO199	Licensed Representative	1, 4
LEUNG Tze Ying Gwen	AYQ010	Licensed Representative	6
LEUNG Wai Fong	BYO523	Licensed Representative	1, 4, 9
LI Baitong	BYN724	Licensed Representative	9
LI Bo	BPD375	Licensed Representative	1, 2
LI Chao	AXX604	Licensed Representative	6
LI Chen-Hung	BYQ288	Licensed Representative	1
LI Chun Kit, Joseph	ALU441	Licensed Representative	1, 4
LI Fengping	BYM445	Licensed Representative	4, 9
LI Gexing	BYQ464	Licensed Representative	1
LI Gongqi	BYJ045	Licensed Representative	4, 9
LI Huanyu	BYJ530	Licensed Representative	4
LI Jiahang	BYO852	Licensed Representative	6
LI Jiani	BYE346	Licensed Representative	1, 2
LI Jing	ARI941	Licensed Representative	4, 9
LI Ka Yi	BST693	Licensed Representative	1, 4, 9
LI KA YIU	BYO052	Licensed Representative	2
LI Mengda	BYN657	Licensed Representative	9
LI Mengyang	BYN139	Licensed Representative	1, 4, 9
LI Mengyao	BYP265	Licensed Representative	1, 2

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity
LI Muxin	BYQ975	Licensed Representative	1, 2
LI Muzi	BYN110	Licensed Representative	1, 2, 4
LI Rui	BYM540	Licensed Representative	4, 9
LI Shaobo	BNI705	Licensed Representative	1, 4, 9
LI Shun Yin Edith	BYO349	Licensed Representative	13
LI Sicong	BPT150	Licensed Representative	1
LI Sihao	BWS316	Licensed Representative	9
LI Siye	BYM544	Licensed Representative	1, 2
LI Sum Yuet	BYI016	Licensed Representative	1, 4, 9
LI Weitao	BYN866	Licensed Representative	1, 4, 9
LI Wing Chee	BFS581	Licensed Representative	1, 2
LI Xi	BYQ459	Licensed Representative	6
LI Xiang	BYL958	Licensed Representative	1, 6
LI Xin Sheng	BYN992	Licensed Representative	1, 6
LI Xiuguan	BYM629	Licensed Representative	4, 9
LI Yichen	BYM973	Licensed Representative	1, 4, 6
LI Yinan	BYP044	Licensed Representative	6
LI Yuan	BTZ897	Licensed Representative	1, 4
LI Yuemin	BRO945	Licensed Representative	4, 9
LI Yuxi	BYH759	Licensed Representative	1
LI Zhengzhuo	BYO055	Licensed Representative	1, 4, 6
LIANG Jianping	BCI698	Licensed Representative	1, 4
LIANG Justin Alvin	BYE479	Licensed Representative	4, 9
LIANG Lei	BYO584	Licensed Representative	1, 2, 4
LIANG Minyi	BYG332	Licensed Representative	1, 2, 4
LIAO YINYU	BYE428	Licensed Representative	1, 4, 6
LIEN Eugene	ANT917	Licensed Representative	1, 4, 9
LIM Chaebin	BYO294	Licensed Representative	1, 2, 4, 5
LIN Fengwei	BYO560	Licensed Representative	6
LIN Ho Hao	BUA114	Licensed Representative	1, 6
LIN Qiyuan	BYO482	Licensed Representative	1, 6
LIN Sirui	BYJ705	Licensed Representative	1, 9
LIN Tao	BRA862	Licensed Representative	6
LIN Tiantian	BIB398	Licensed Representative	1, 4, 6
LIN Wen-Lin	BYK848	Licensed Representative	1, 2, 9
LIN Xilong	BYN438	Licensed Representative	1, 2
LIN Yuming	BYO291	Licensed Representative	1, 6
LIN Yushan	BYR179	Licensed Representative	1, 4, 9
LIU Baoxiang	BYQ018	Licensed Representative	1, 6
LIU Chujie	BYO050	Licensed Representative	1
LIU Chun Ling	BFG510	Licensed Representative	1, 4
LIU Fangzhou	BRZ410	Licensed Representative	1, 4
LIU Gaoxiang	BYQ541	Licensed Representative	4, 9
LIU Jun	BYQ461	Licensed Representative	6
LIU Junkai	BYO631	Licensed Representative	2
LIU Junyu	BRS813	Licensed Representative	1, 6
LIU Kuang Wei	BYO856	Licensed Representative	1
LIU Linxuan	BYA457	Licensed Representative	6
LIU Noel Zhihe	BYM886	Licensed Representative	1, 4, 6

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CE Name	CE No.	Licence Type	Types of Regulated Activity
LIU Peizhuo	BYM949	Licensed Representative	1, 2, 9
LIU Qiaoli	BYQ455	Licensed Representative	1, 6
LIU Qishuo	BYO413	Licensed Representative	4
LIU Shizhou	BYO715	Licensed Representative	4, 9
LIU Songyang	BYF895	Licensed Representative	1, 4, 9
LIU Thomas	AFE998	Licensed Representative	1
LIU Tianshu	BYO190	Licensed Representative	6
LIU Wenkui	BYS139	Licensed Representative	1
LIU Xiadan	BYI770	Licensed Representative	4, 9
LIU Xiaoqian	BYN735	Licensed Representative	4, 9
LIU Xiaotian	BYK684	Licensed Representative	4
LIU Xinran	BYO111	Licensed Representative	9
LIU Yang	BYN958	Licensed Representative	4
LIU Yat Fai	AJB207	Licensed Representative	1
LIU YEH Li-chen, Holly	AHU954	Licensed Representative	1, 4, 9
LIU Yueming	BYO232	Licensed Representative	1, 4
LIU Zijun	BYO172	Licensed Representative	1, 4, 9
LIU Ziwei	BYN546	Licensed Representative	1, 4
LO Hiu Tung, Karen	AXH227	Licensed Representative	9
LO Kin Wah	AWT271	Licensed Representative	4, 9
LO Tsz Yeung	BYN124	Licensed Representative	1, 7
LU CHANG	BYJ489	Licensed Representative	4, 9
LU Lu	BYQ050	Licensed Representative	4, 9
LU Ruiying	BYJ871	Licensed Representative	1, 2
LU Wenchong	BYO281	Licensed Representative	1, 6
LU Yingshu	BYH800	Licensed Representative	1, 4
LU Zhicheng	BYQ496	Licensed Representative	1, 4, 6
LUK Ka Lam	BYQ037	Licensed Representative	1
LUNG Donald Andrew	AKU007	Licensed Representative	1, 6
LUO HAOHUI	BYK751	Licensed Representative	4, 9
LUO Hongjiang	BYM018	Licensed Representative	1
LUO Jun	BYN961	Licensed Representative	1
LUO Junchao	BYE344	Licensed Representative	1
LUO Lei	BYO853	Licensed Representative	6
LUO Ruidi	BYO816	Licensed Representative	4, 9
LUO Wenhao	BYO849	Licensed Representative	6
LUO Yuan	BYN422	Licensed Representative	6
LUO Zichen	BYK428	Licensed Representative	1
LYU Cong	BNN864	Licensed Representative	9
LYU Longqin	BYQ184	Licensed Representative	1
LYU Yun	BYO062	Licensed Representative	6
LYU Zuohang	BYN633	Licensed Representative	1, 4
MA Ho Wing Bertrand	BSG896	Licensed Representative	1, 4
MA Ka Chee Richard	BYS225	Licensed Representative	1, 2
MA Ka Man	BYL935	Licensed Representative	1
MA Yijia	BHF474	Licensed Representative	9
MAHAPATRA Amadika	BWV280	Licensed Representative	1
MAHIDHARA Radhika	BNN231	Licensed Representative	1
MAK Wing Fai	BYO639	Licensed Representative	3

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity
MAKI Kazunori	BYO602	Licensed Representative	1, 4, 6
MARTINE Lee Duane	ACR903	Licensed Representative	1
MASSON Romain, Pierre	BYM696	Licensed Representative	1, 2
MCDONAGH Simon Joseph	BLC034	Licensed Representative	4, 9
MCGREAL Nicola Joy	BJR426	Licensed Representative	1, 2
MEDD George Robert	BYM537	Licensed Representative	1, 2, 4, 5, 7
MEDIC Luka	BYJ605	Licensed Representative	1, 2
MEI Ziyuan	BYD787	Licensed Representative	1
MENG Xiangyou	BYH748	Licensed Representative	1
MENG Xiangyu	BYI184	Licensed Representative	1
MENG YANBING	BYJ906	Licensed Representative	1
MIN Hyukgi	BYN703	Licensed Representative	4
MITCHELL Micha Lee	BGM243	Licensed Representative	1, 4
MIU Cassie	BYO840	Licensed Representative	1, 4, 9
MO Junchao	BYM427	Licensed Representative	1
MOK Ho Tung, Clayton	APC642	Licensed Representative	1, 4, 9
MOK Ka Lok Peter	BYN642	Licensed Representative	1, 2
MU Jing Hua	BYO522	Licensed Representative	4, 9
NAKABAYASHI Keitaro	BYQ920	Licensed Representative	1, 4
NARANG Radhika	BRP909	Licensed Representative	1
NAVARRO Justin Patrick Montenegro	BYO765	Licensed Representative	1
NG Hoi Yau, Nigel	BNA758	Licensed Representative	4, 9
NG Hong Yin Nicholas	BYP828	Licensed Representative	4
NG Jonathan Putra Hartanto	BXM149	Licensed Representative	1, 4
NGAI Chun Tung	BYP277	Licensed Representative	1, 4, 9
NGAI Ping Leung	BAU222	Licensed Representative	1, 2, 4
NGAN Hau Yu	BYO228	Licensed Representative	1
OH Joon	BYP923	Licensed Representative	9
OU Jiahuan	BYO326	Licensed Representative	1, 2, 4
OUYANG Tangke	BNQ579	Licensed Representative	6
PAN Yanbing	BYN271	Licensed Representative	1, 4, 6
PAN Yu	BYK176	Licensed Representative	2, 5
PANG Kit Cheung	BRR993	Licensed Representative	1, 4, 9
PANIKKAR Kesava Rajat	AVQ218	Licensed Representative	1, 4
PARK Hyun Soo	BYI023	Licensed Representative	1
PARK Jin Hwan	BYO655	Licensed Representative	1, 2
PEI Jiayun	BYO410	Licensed Representative	1, 2
PENG Danni	BYQ377	Licensed Representative	1, 6
PENG Zishen	BYQ552	Licensed Representative	4
PERRIN Tanguy Antoine	BYQ540	Licensed Representative	9
POON Chun Yin	BYO417	Licensed Representative	1, 4
POON Kwok Wai	BVX531	Licensed Representative	4, 9
POON Lok Sun	BYI987	Licensed Representative	1, 4, 9
PRENDIVILLE Nora Aoife	BYK727	Licensed Representative	1
PU Yungqiao	BYK909	Licensed Representative	4, 9
PUN Harvey	BYP065	Licensed Representative	1, 4, 9
PUN Ho Luen	BYJ791	Licensed Representative	2
QI Tong	BQS630	Licensed Representative	1, 4
QIAN Ruijia	BYM084	Licensed Representative	1, 4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity
QIAN Xue	BYN789	Licensed Representative	1
QIN Hanzhang	BYM659	Licensed Representative	1
QIN Ziyang	BYN643	Licensed Representative	4, 9
QING Jiacheng	BYN726	Licensed Representative	9
QIU Hongfei	BYC725	Licensed Representative	4
QIU Jack	BYM311	Licensed Representative	1, 6
QIU Peicheng	BYJ779	Licensed Representative	2, 5
QU Na	BYR453	Licensed Representative	4
QU Xiaohui	BPI573	Licensed Representative	4, 9
RADILLAC Marc	BYQ760	Licensed Representative	1, 2
REN Lu	BYQ655	Licensed Representative	1, 4
REN Minxiang	BYO290	Licensed Representative	6
REN Wenli	BYN459	Licensed Representative	4, 9
REN Wenqi	BYK808	Licensed Representative	1, 4
REN Yujia	BYN372	Licensed Representative	4, 9
REYNOLDS Emily Grace	BYQ053	Licensed Representative	1, 4
RIZARDI SORRENTINO Daniel	BXX844	Licensed Representative	1
ROCHE Declan Thomas	BYQ502	Licensed Representative	1, 2
SAMUELL Darren John	AJB856	Licensed Representative	9
SATTAR IKRAM	BYQ426	Licensed Representative	1, 9
SEE Yat Nam Harry	BYP913	Licensed Representative	9
SHA Ning	BYM779	Licensed Representative	4
SHANG Connie Kangning	BYQ187	Licensed Representative	1, 6
SHAO Yang	BPG209	Licensed Representative	6
SHAO Zhuhai	BYK260	Licensed Representative	1, 2
SHEN Hao	BYL142	Licensed Representative	1, 6
SHEN Jieqi	BYN064	Licensed Representative	6
SHEN Xuhua	BNB839	Licensed Representative	9
SHEN Yang	BYM932	Licensed Representative	1, 2, 9
SHEN Ying	BYO798	Licensed Representative	9
SHENG Ruizhi	BYQ474	Licensed Representative	1, 4, 6
SHI Haochen	BYQ324	Licensed Representative	1, 2, 9
SHI Huijun, Michelle	AMW880	Licensed Representative	1, 4, 9
SHI Jliacheng	BYQ911	Licensed Representative	1
SHI Lingyu	BYN425	Licensed Representative	6
SHI Shuming	BYP239	Licensed Representative	1
SHI Yuchi	BYN160	Licensed Representative	6
SHIH Mei-chi	BYM516	Licensed Representative	4, 5, 9
SIN Pak Hei	BRX319	Licensed Representative	1, 4
SINGHAL Parth	BWK628	Licensed Representative	1, 2
SIU Austin	BYM574	Licensed Representative	4, 9
SIU Chun Pong	BQX158	Licensed Representative	1, 7
SIU Wai Tat	BYQ912	Licensed Representative	1
SO Ka Him	BYO267	Licensed Representative	1, 4
SO Kin Lam	BYK881	Licensed Representative	1, 4, 9
SO Yee Lam	BYN447	Licensed Representative	9
SONG Tingzhen	BYK215	Licensed Representative	4, 9
SONG Yue	BJX534	Licensed Representative	1, 4
SOOD SHIVANG AVNISH	BYN757	Licensed Representative	9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity
SRIVASTAVA Yash Sarvesh	BYK599	Licensed Representative	1
STEAINS Clancy William	BYQ188	Licensed Representative	1, 6
SU Anqi	BYQ424	Licensed Representative	6
SUEN Ting Sze	BSL559	Licensed Representative	1
SUEN Tsz Ying	BYQ394	Licensed Representative	1
SUGIURA Tomi	BYO741	Licensed Representative	1
SUHNER Laura Carolina	BXX137	Licensed Representative	1, 4
SUN Aijia	BYN686	Licensed Representative	1, 4
SUN Leran	BYM661	Licensed Representative	1, 2, 4
SUN Ming	AVC988	Licensed Representative	4
SUN Yidan	BYP953	Licensed Representative	1, 6
SUN Ying	BYO625	Licensed Representative	1, 7
SUN Zhaowei	BYA451	Licensed Representative	6
SUNG Yuk Ning Marian	BYM721	Licensed Representative	1, 2
SURESH Adarsh	ATH913	Licensed Representative	2
SUZUKI Kiyoshiro	BYN467	Licensed Representative	9
SZE Eleanor Jane	BYQ189	Licensed Representative	1, 2, 4, 5
SZE Kit Ying	BYO581	Licensed Representative	1
SZE Shun Yan	BYP062	Licensed Representative	4, 9
TAIBI Naomi	BYR484	Licensed Representative	1
TAM Hok Man Patricia	BYJ865	Licensed Representative	1
TAM Wai Kit	BRM228	Licensed Representative	1, 2
TAM Yuet Ting	BYO402	Licensed Representative	4, 5, 9
TAMURA Suzune	BTF184	Licensed Representative	4
TANG Ching Yu	BYP258	Licensed Representative	6
TANG Chun Yuen Matthew	BYO670	Licensed Representative	4, 9
TANG Xuezhong	BYH812	Licensed Representative	4
TANG Yinong	BYN065	Licensed Representative	1, 4
TANG Yun Kwong	ATV457	Licensed Representative	4, 9
TANIGUCHI Koji	BYM568	Licensed Representative	1, 4, 6
TANIYAMA Koichiro	BYN946	Licensed Representative	1, 4, 7
TAO Chenyang	BYQ129	Licensed Representative	6
TAO Ran	BYF894	Licensed Representative	1, 4, 9
TAO Xiansheng	BYI015	Licensed Representative	6
TAY Hui Xin	BMV799	Licensed Representative	1
TAYLOR Benjamin James	BYQ062	Licensed Representative	1
THALAKALA Arun Sashank	BYJ183	Licensed Representative	9
TIAN Mingyong	BRY546	Licensed Representative	9
TIAN Yihe	BYP043	Licensed Representative	1, 2
TIAN Zeyuan	BYN988	Licensed Representative	1, 4, 6
TIN Carmen	AFF901	Licensed Representative	1, 4, 9
TODA Tatsuya	BYO576	Licensed Representative	1, 4, 6
TOH TINRUI	BYO804	Licensed Representative	1, 6
TONG Chun Shing	BYG535	Licensed Representative	1
TONG Hau Yung	BYQ238	Licensed Representative	1, 4, 6
TONG XIN	BYO946	Licensed Representative	9
TSAI Chien-Ho	BYN997	Licensed Representative	1, 6
TSAI Lee-Ching	BYN158	Licensed Representative	1
TSANG Nam Chi	BYM529	Licensed Representative	1, 4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity
TSANG Wing Yan Ivy	BYM610	Licensed Representative	1, 2, 4, 5, 7
TSE Ho Hin	BYM825	Licensed Representative	9
TSE Jerome Wing Lam	BXZ983	Licensed Representative	4, 9
TSE Siu Yuen	AQA082	Licensed Representative	1
TSO Hiu Ling	BYN676	Licensed Representative	1, 4, 9
TSO Wai Pong, Philip	ASH453	Licensed Representative	1, 4, 9
TSOI Wing Sze	BET269	Licensed Representative	1, 4
TSUI Hoi Yan Jocelyn	BYM845	Licensed Representative	1
TSUI Man Man	BYO986	Licensed Representative	1, 6
UMASUTHAN Akash	BYP952	Licensed Representative	1
VANSTONE Lauren Taylor	BYQ185	Licensed Representative	1, 2
VASICA Eden Nikolas	BYI038	Licensed Representative	2, 5
VONG Man Sam	BYM655	Licensed Representative	4, 9
WAN Jiayi	BYO408	Licensed Representative	1
WANG Bairan	BYN466	Licensed Representative	1, 4, 9
WANG Bing	BYG142	Licensed Representative	1, 6
WANG BOJUN	BYO598	Licensed Representative	1, 6
WANG Chenyan	BNS566	Licensed Representative	1, 4
WANG Cheuk Yin	BYJ388	Licensed Representative	1, 4, 9
WANG Ching Yuan	BYK110	Licensed Representative	1, 4, 7
WANG Ciqiao	BYO161	Licensed Representative	4
WANG Daren	BTG751	Licensed Representative	1, 6
WANG Dishen	BXJ449	Licensed Representative	1, 2
WANG Enqi	BYR542	Licensed Representative	4
WANG Guanshu	BYP082	Licensed Representative	1, 4
WANG Huizheng	BYP002	Licensed Representative	6
WANG JIAJIA	BXT757	Licensed Representative	6
WANG Jiaojiao	BYQ943	Licensed Representative	1, 4
WANG Jiayi	BYH771	Licensed Representative	1, 4, 9
WANG Jinbing	BYM630	Licensed Representative	1, 4, 9
WANG Jingyuan	BPM730	Licensed Representative	1
WANG Lei	BYL929	Licensed Representative	1
WANG Miao	BNY284	Licensed Representative	9
WANG Ming Yuan	AGI951	Licensed Representative	1, 4
WANG Peng	BYP909	Licensed Representative	1, 4
WANG Ping	BYO218	Licensed Representative	1, 6
WANG Ruilin	BYP954	Licensed Representative	4
WANG Shiyu	BYR067	Licensed Representative	1, 6
WANG SHOUYUAN	BYP971	Licensed Representative	1, 6
WANG Sidan	BYE941	Licensed Representative	1, 4, 9
WANG Xinyue	BYR583	Licensed Representative	6
WANG Xuan	BYQ761	Licensed Representative	6
WANG Yao	BYM528	Licensed Representative	4
WANG Yi Jie	BYQ279	Licensed Representative	9
WANG Yilei	BYO944	Licensed Representative	6
WANG Yiteng	BYO392	Licensed Representative	1, 2
WANG Youchen	BYO653	Licensed Representative	1, 2, 4, 5
WANG Zeyu	BYQ553	Licensed Representative	4
WANG Zhihui	BYO317	Licensed Representative	1, 4, 9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity
WATANABE Virginia	BYO483	Licensed Representative	4
WAYMEL Sebastien Pierre Jean-Marie	BYK642	Licensed Representative	1
WEI Jackson Zhuo Cheng	BKL409	Licensed Representative	4
WEI Kaiyin	AVS026	Licensed Representative	1, 4, 9
WEI Tiantian	BYN231	Licensed Representative	1
WEI Yudi	BYL086	Licensed Representative	1
WEI Zhengle	BYC499	Licensed Representative	1
WEN Jingcheng	BVK755	Licensed Representative	1, 4, 9
WEN Lujia	BYQ959	Licensed Representative	1, 2, 4, 5
WEN Wei	BYA534	Licensed Representative	6
WEN Xiaoqi	BYQ918	Licensed Representative	1, 4, 9
WILLMANN Steven Andrew	BYN738	Licensed Representative	1, 4
WINKELMOLEN Remo	BVH047	Licensed Representative	1
WONG Claudia Yiyi	BXM024	Licensed Representative	1
WONG Hoi Yat Hong	BYO312	Licensed Representative	1
WONG Hok To	BYR128	Licensed Representative	9
WONG Jun	BMG252	Licensed Representative	1, 2
WONG Ka Man Janice	BYQ067	Licensed Representative	1, 4
WONG Nga Man Ivy	BYM953	Licensed Representative	1
WONG Ngan Fun Kelvin	BNB167	Licensed Representative	1, 9
WONG Tai Man Edwin	BYR053	Licensed Representative	1, 4
WONG Tin Long	BUT867	Licensed Representative	1, 4, 9
WONG Wai Wah	BYK188	Licensed Representative	1, 2, 9
WONG Yee Yan Rachel	BYO401	Licensed Representative	1, 4
WRIGHT James Alexander	BYI280	Licensed Representative	9
WU Alex	BYL916	Licensed Representative	1, 6
WU Conglu	BQJ931	Licensed Representative	4
WU Dongyao	BYR515	Licensed Representative	4
WU Jiajian	BYO229	Licensed Representative	1, 4
WU Leijian	BYK140	Licensed Representative	1, 4, 9
WU Sau Wa	BYO049	Licensed Representative	1
WU Shaoyong	BYK274	Licensed Representative	1, 4
WU Teng	BDF068	Licensed Representative	1
WU Weiye	BYN476	Licensed Representative	1
WU Wentao	BYM107	Licensed Representative	1, 2, 9
WU Xintong	BYN803	Licensed Representative	1, 9
WU Yang	BYQ871	Licensed Representative	1, 4, 9
WU Yaya	BYJ387	Licensed Representative	1
WU Yueyue	BYK903	Licensed Representative	1, 4
WU Yutong	BYF063	Licensed Representative	1, 4, 9
WU Zeqing	BYL922	Licensed Representative	4
WU Zhuoya	BYJ113	Licensed Representative	1, 2
WURTZ Gregory Aaron	BEB748	Licensed Representative	1, 2
XIA Wenjun	BTM028	Licensed Representative	9
XIA Zhifei	BYL927	Licensed Representative	4
XIAO Sixuan	BYO078	Licensed Representative	1, 2, 4
XIAO Wei	BYF133	Licensed Representative	4, 9
XIAO Weihao	BYK695	Licensed Representative	1, 2
XIAO Yunlong	BYK420	Licensed Representative	4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity
XIE Chen	BYG639	Licensed Representative	9
XIE Dan	BRV127	Licensed Representative	4, 9
XIE Fei	BPU123	Licensed Representative	1, 4, 9
XIE Hanmeng	BYG335	Licensed Representative	6
XIE Yuchen	BYM523	Licensed Representative	4
XIE Yunhui	BYO866	Licensed Representative	6
XIE Zhuoheng	BYO484	Licensed Representative	1, 6
XIE Zirun	BYO542	Licensed Representative	1, 4
XIONG Caiwei	BVP928	Licensed Representative	1, 4, 6
XIU Jian	BYQ844	Licensed Representative	1, 4, 9
XU Chi	BYA611	Licensed Representative	4
XU Hu	ANV103	Licensed Representative	1, 6
XU Lu	BXR149	Licensed Representative	6
XU Nandi	BYO350	Licensed Representative	6
XU Runze	BUI433	Licensed Representative	1, 6
XU SIYUAN	BYN387	Licensed Representative	1, 2
XU YIJUN	BYK493	Licensed Representative	1, 4, 9
XU Yinghui	BYM829	Licensed Representative	1
XU Zheng	BYQ984	Licensed Representative	1
XUAN Baoli	BYN122	Licensed Representative	1, 4
XUAN Shanshan	BYO605	Licensed Representative	1, 4
XUE Jiayun	BMF180	Licensed Representative	1, 2
XUE Yaqi	BYN872	Licensed Representative	4
XUE Yuan	BNF072	Licensed Representative	1, 4, 9
YADAV Mandeep	BYP284	Licensed Representative	1, 4, 6
YAM Man Leong	BRV775	Licensed Representative	1
YAN KIN FAI	BYM526	Licensed Representative	1, 4
YAN Yan	BYG846	Licensed Representative	1, 2, 4
YANG Dan	BYQ778	Licensed Representative	6
YANG Fan	BYO938	Licensed Representative	6
YANG Jingyun	BYM716	Licensed Representative	1
YANG JIRU	BYO314	Licensed Representative	9
YANG Jun	BYQ153	Licensed Representative	1, 2, 4, 5
YANG Liu	BYA456	Licensed Representative	6
YANG Meiyuan	BXN767	Licensed Representative	6
YANG Minyi	BYK716	Licensed Representative	9
YANG Shu	BYO416	Licensed Representative	1, 4, 9
YANG WENXIN	BYL913	Licensed Representative	9
YANG Xinling	BYN470	Licensed Representative	1, 6
YANG Xintong	BYJ144	Licensed Representative	6
YANG Yaohong	BYL906	Licensed Representative	4
YANG Yi	BBR075	Licensed Representative	9
YANG Yifan	BYG481	Licensed Representative	1, 2
YANG Yige	BYE354	Licensed Representative	1
YANG Zhao	BOC022	Licensed Representative	4
YANG Zhidao	BMF166	Licensed Representative	1, 4, 9
YAO DI	BYQ236	Licensed Representative	1
YAO Hanxiang	BYE252	Licensed Representative	1, 2, 4
YAO Jiajun	BYN818	Licensed Representative	1, 4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity
YAO Xing Yu Zenith	BYN869	Licensed Representative	1, 4
YAO Xuanyu	BYI916	Licensed Representative	1, 2
YAO Yuchen	BYN776	Licensed Representative	1
YAU Tak Sing	BYO583	Licensed Representative	1, 4, 9
YE Zhihan	BYN823	Licensed Representative	1, 7
YE Ziqi	BYQ690	Licensed Representative	1, 2
YEO Jun Wei	BYO768	Licensed Representative	1
YEUNG Ho Long	BYO195	Licensed Representative	1, 2, 9
YEUNG Pui Shan	AGH200	Licensed Representative	13
YEUNG Sai Ho Nelson	BYM581	Licensed Representative	1
YEUNG Wing Hang	BQH123	Licensed Representative	1, 2
YI Pengyu	BKJ155	Licensed Representative	6
YI Yongjian	BYM249	Licensed Representative	1, 4
YIN Jie	BYK694	Licensed Representative	4, 9
YIN Lei	BYQ457	Licensed Representative	6
YIN Yujie	BYR662	Licensed Representative	1, 4, 9
YIP Chi Fong	BYN142	Licensed Representative	1, 4, 6
YOSHIDA Tomonori	BWN548	Licensed Representative	1, 4, 6
YU Chengyuan	BYJ163	Licensed Representative	1, 4, 9
YU Chun Man	BND624	Licensed Representative	1, 6
YU Emily I Hsuan	BYL933	Licensed Representative	1, 4, 9
YU See Mun	BAI954	Licensed Representative	1, 4
YU Yongli	BYO732	Licensed Representative	2
YUAN Ruiqi	BYO499	Licensed Representative	1, 4, 9
YUAN Shengxiang	BYN407	Licensed Representative	1
YUAN Yunzi	BYO449	Licensed Representative	1, 6
YUAN Zijian	BYG143	Licensed Representative	6
YUEN Kwok Hung	BYK826	Licensed Representative	1
YUN Chong-Long	BQE849	Licensed Representative	9
YUN Gihyun	BYN458	Licensed Representative	10
ZENG Xiaohui	BYJ350	Licensed Representative	4, 9
ZHAI Qidong	BYK785	Licensed Representative	6
ZHALILO Maksym	BYN224	Licensed Representative	1, 2
ZHAN LINSHAN	BYM966	Licensed Representative	1
ZHANG Bowen	BYM277	Licensed Representative	1, 2, 4
ZHANG Daile	BYO100	Licensed Representative	1, 2, 4
ZHANG Daohui	BYP214	Licensed Representative	6
ZHANG Hairong	BYO507	Licensed Representative	4
ZHANG Hanyu	BYQ393	Licensed Representative	1, 2
ZHANG Haorui	BYM434	Licensed Representative	1
ZHANG Haozhong	BYF177	Licensed Representative	1, 4, 6
ZHANG Ken	BYM459	Licensed Representative	1, 6
ZHANG Nan	BYM522	Licensed Representative	4
ZHANG Qiang	BYL139	Licensed Representative	4
ZHANG Qin	BYQ732	Licensed Representative	1, 4, 9
ZHANG Ruichen	BYK363	Licensed Representative	2
ZHANG Shuo	BYM457	Licensed Representative	4, 9
ZHANG Su Juan	AZZ112	Licensed Representative	1, 4, 6
ZHANG Xian	BYM396	Licensed Representative	6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHANG Xiaoling	BYO330	Licensed Representative	1
ZHANG Yanda	BPW991	Licensed Representative	1, 4, 9
ZHANG Yiwen	BYJ327	Licensed Representative	4
ZHANG Yixue	BYO580	Licensed Representative	1, 4, 9
ZHANG Yiyang	BYO508	Licensed Representative	1, 2, 4
ZHANG Yongle	BYN403	Licensed Representative	1, 4, 9
ZHANG Yuanzhi	BYK624	Licensed Representative	1
ZHANG Yuwei	BOU266	Licensed Representative	1, 6
ZHANG Yuxuan	BYK111	Licensed Representative	9
ZHANG Zhenming	BYO651	Licensed Representative	6
ZHAO Bo	BYN513	Licensed Representative	2
ZHAO Bolin	BYO595	Licensed Representative	4
ZHAO Haiyan	BVA361	Licensed Representative	1, 4, 9
ZHAO He Ru	BYR059	Licensed Representative	1
ZHAO Hongjie	BYI998	Licensed Representative	1
ZHAO Hui	BYP263	Licensed Representative	1, 4
ZHAO Jiahui	BYN831	Licensed Representative	6
ZHAO Mengqi	BYS226	Licensed Representative	1, 4, 9
ZHAO Nachuan	BYC235	Licensed Representative	1, 4, 6
ZHAO Ruyu	BYM366	Licensed Representative	1, 2
ZHAO Xueqiong	BYK141	Licensed Representative	1, 4, 9
ZHAO Yanbin	BXP642	Licensed Representative	6
ZHAO Yuning	BYO284	Licensed Representative	1, 4, 6
ZHENG Xi	BYG837	Licensed Representative	1, 4, 9
ZHENG Xuerou	BYO928	Licensed Representative	1, 4, 6
ZHENG Yang	BYN101	Licensed Representative	1, 6
ZHONG Lijun	BYN483	Licensed Representative	1, 2
ZHOU Churui	BYP081	Licensed Representative	1, 4
ZHOU Haosheng	BYM621	Licensed Representative	1, 4, 9
ZHOU Jiayi	BYN050	Licensed Representative	1, 6
ZHOU Peiyan	BUA279	Licensed Representative	6
ZHOU Peng	BYQ579	Licensed Representative	1, 6
ZHOU Siyan	BYN244	Licensed Representative	1, 2, 4, 5
ZHOU Tong	BYK393	Licensed Representative	1, 4
ZHOU Xiaohan	BYJ853	Licensed Representative	1, 2, 4
ZHOU Yang	BYQ289	Licensed Representative	6
ZHOU Yang	BYN712	Licensed Representative	6
ZHOU Yanshan	BYJ065	Licensed Representative	4
ZHOU You	BYN234	Licensed Representative	9
ZHOU Yuelai	BYP167	Licensed Representative	1, 2
ZHOU Zhenhua	BYL920	Licensed Representative	9
ZHOU Zhimin	BYN630	Licensed Representative	4
ZHOU Zijun	BYN810	Licensed Representative	1, 6
ZHU Ping	BYN702	Licensed Representative	1, 4
ZHUANG Yishan	BYO227	Licensed Representative	1
ZONG Feng	BYO066	Licensed Representative	4
ZONG Hua	BYO582	Licensed Representative	9
ZOU Yiyao	BYN398	Licensed Representative	1, 4, 9
ZUO Juncheng	BYA186	Licensed Representative	4, 9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity
AW Adrian Matthew	AWY725	Licensed Representative - Responsible Officer	4
BAI Yuchen	BYF964	Licensed Representative - Responsible Officer	9
BRISCOE Andrew William Paul	AZD018	Licensed Representative - Responsible Officer	1
CHAN Chi Hang	AVA036	Licensed Representative - Responsible Officer	1
CHAN Kwan Kit	BGN371	Licensed Representative - Responsible Officer	9
CHAN Sai Yee, Vincent	ABM105	Licensed Representative - Responsible Officer	4, 9
CHAN Wang	BGO479	Licensed Representative - Responsible Officer	1, 4, 9
CHEUNG Ying Fai	ACQ024	Licensed Representative - Responsible Officer	4, 9
CHO Sung-Woo	BXT997	Licensed Representative - Responsible Officer	1, 4
CHUNG Yi Tung	AUP364	Licensed Representative - Responsible Officer	4, 9
CUI Xueying	BAR201	Licensed Representative - Responsible Officer	4, 9
DAI Gang	BXM834	Licensed Representative - Responsible Officer	4, 9
DENG wei	BYF635	Licensed Representative - Responsible Officer	4, 9
DING Zhongxin	BXQ609	Licensed Representative - Responsible Officer	4, 9
FAN Lujing	BVG794	Licensed Representative - Responsible Officer	1, 4, 9
FAN Wenjing	BXD889	Licensed Representative - Responsible Officer	4, 9
GAO Yuan	BWB552	Licensed Representative - Responsible Officer	4, 9
GOH Jonathan Yue Chien	BWZ003	Licensed Representative - Responsible Officer	1, 4
GU Qian	AYV781	Licensed Representative - Responsible Officer	9
GU Xiaofei	BXE001	Licensed Representative - Responsible Officer	4, 9
GUO Xingyou	BQR346	Licensed Representative - Responsible Officer	9
GUO Zhenxing	BXP612	Licensed Representative - Responsible Officer	1
HARDING Julian St John	BXY942	Licensed Representative - Responsible Officer	9
HO Edmund	ACR485	Licensed Representative - Responsible Officer	1
HO Hoe Kay	AZR704	Licensed Representative - Responsible Officer	4, 9
HO Kwun Wah Stephon	BCI813	Licensed Representative - Responsible Officer	1
JIANG Guihong	BOL346	Licensed Representative - Responsible Officer	1, 4, 9
KAROONYAVANICH Anuruk	AHX011	Licensed Representative - Responsible Officer	1, 4, 6
KONG Cheuk Bun	BQN516	Licensed Representative - Responsible Officer	4, 9
LAM Man Lun	ADL530	Licensed Representative - Responsible Officer	4, 9
LAU Chun Yu	ANK121	Licensed Representative - Responsible Officer	1
LAU Tak Man	BKB406	Licensed Representative - Responsible Officer	1, 4, 9
LAU Tat Keung	AGI188	Licensed Representative - Responsible Officer	1, 4, 9
LEE Derrick Meow Chan	BWS315	Licensed Representative - Responsible Officer	9
LEE Lap Fai	ARA683	Licensed Representative - Responsible Officer	4, 9
LEUNG Hiu Tan	BCE397	Licensed Representative - Responsible Officer	1, 4, 9
LI Litong	BQS932	Licensed Representative - Responsible Officer	1, 4, 9
LIU Kai Lap, Kenneth	APV621	Licensed Representative - Responsible Officer	9
LIU Yanting	BXQ611	Licensed Representative - Responsible Officer	4, 9
LYU YUANXING	BYF206	Licensed Representative - Responsible Officer	9
MA Botong	BYE453	Licensed Representative - Responsible Officer	9
NG Mei Kuen, Vivian	AEK109	Licensed Representative - Responsible Officer	1, 4, 9
ONG Keith Andrew Boon Kit	BXH390	Licensed Representative - Responsible Officer	1
RAMAN Murli	BAV912	Licensed Representative - Responsible Officer	4, 9
SENER Adil Caner	BXB282	Licensed Representative - Responsible Officer	4
SHAO Xin	BEW142	Licensed Representative - Responsible Officer	1, 4
SIKKA Karan	BHL708	Licensed Representative - Responsible Officer	4, 9
SMITH Stephen	BID322	Licensed Representative - Responsible Officer	9
SONG Xingchi	BWE731	Licensed Representative - Responsible Officer	9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity
SUN Xin	BOS462	Licensed Representative - Responsible Officer	1, 4, 9
SY Bun Ho	AKR461	Licensed Representative - Responsible Officer	4, 9
TAI Chia-wei	BXX816	Licensed Representative - Responsible Officer	1, 4, 9
WAN Yin Lam	AOJ253	Licensed Representative - Responsible Officer	4, 9
WANG Jerry Gerui	AVH935	Licensed Representative - Responsible Officer	4, 5, 9
WANG Lijun	BDZ089	Licensed Representative - Responsible Officer	1, 4, 9
WANG Sheng	BDJ026	Licensed Representative - Responsible Officer	1
WANG Yang	BXD891	Licensed Representative - Responsible Officer	4, 9
WANG Zhaokun	BYJ154	Licensed Representative - Responsible Officer	1, 7
WEI Tao	BWH080	Licensed Representative - Responsible Officer	1, 4
WINTER Stephen John	BMV404	Licensed Representative - Responsible Officer	4
WONG Chin Pang	AFU569	Licensed Representative - Responsible Officer	1, 4, 9
WONG Ching Ho, Howard	ADY955	Licensed Representative - Responsible Officer	4, 9
WONG Wai Tat Terence	BPJ055	Licensed Representative - Responsible Officer	4, 9
WU Yonggang	BXJ560	Licensed Representative - Responsible Officer	4, 9
XU Wenjing	BWE732	Licensed Representative - Responsible Officer	9
XU Xiaokai	BTM251	Licensed Representative - Responsible Officer	1, 4, 9
YANG Lei	BXX380	Licensed Representative - Responsible Officer	1, 4
YE Chaobing	BYF831	Licensed Representative - Responsible Officer	4, 9
ZHANG Meichai	BWR940	Licensed Representative - Responsible Officer	4, 9
ZHANG Xinyi	BPV932	Licensed Representative - Responsible Officer	1, 9
ZHENG Shu	BWS064	Licensed Representative - Responsible Officer	9
ZHOU Jingxin	BWF413	Licensed Representative - Responsible Officer	9
ZHOU Jun	BYK246	Licensed Representative - Responsible Officer	9
ZHOU Shangchen	BXE002	Licensed Representative - Responsible Officer	4, 9
ZHOU Ying	BVP979	Licensed Representative - Responsible Officer	4, 9
ACCURSO Joseph	BYR565	Licensed Representative (Provisional Licence)	1, 4
AJMERA HIMANK	BYN166	Licensed Representative (Provisional Licence)	1, 2
AN Danni	BYO257	Licensed Representative (Provisional Licence)	1, 4, 6
APABHAI Hamza Saifullah	BRQ546	Licensed Representative (Provisional Licence)	1
ARIKI Nobutaka	ASU316	Licensed Representative (Provisional Licence)	9
BAI Yuyang	BPF400	Licensed Representative (Provisional Licence)	1, 4
BAZAROVA Ianzhima	BYO735	Licensed Representative (Provisional Licence)	9
BAZINE Gaetan Guillaume	BYQ601	Licensed Representative (Provisional Licence)	1, 2
BERRY William Francis	BYK533	Licensed Representative (Provisional Licence)	1, 4
BREL Maxime	BFZ187	Licensed Representative (Provisional Licence)	9
BUNN Malcolm	AQM778	Licensed Representative (Provisional Licence)	1
CAI Junqiang	BYQ511	Licensed Representative (Provisional Licence)	1
CAI Peiying	BYN773	Licensed Representative (Provisional Licence)	1, 2
CAO Wenxin	BYR260	Licensed Representative (Provisional Licence)	1, 4
CAO Xiaowen	BYQ481	Licensed Representative (Provisional Licence)	1, 2, 7
CEN Lu	BYQ758	Licensed Representative (Provisional Licence)	1, 4
CHAHINE Steven Jim Victor	BYO815	Licensed Representative (Provisional Licence)	1, 2
CHAN Andrew Gachun	BYO151	Licensed Representative (Provisional Licence)	1, 4
CHAN Chun Kit	AFY913	Licensed Representative (Provisional Licence)	1, 4
CHAN Chun Yip	AFZ392	Licensed Representative (Provisional Licence)	1, 4
CHAN Gabriel	BYG139	Licensed Representative (Provisional Licence)	1, 2
CHAN Ka Man	ARG981	Licensed Representative (Provisional Licence)	1
CHAN PUI CHING	BYN299	Licensed Representative (Provisional Licence)	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHAN SI MAN	BYP827	Licensed Representative (Provisional Licence)	1, 6
CHAN Tung Hung, June	BQW139	Licensed Representative (Provisional Licence)	1, 4
CHAN Yuen Ching Nigel	BYO200	Licensed Representative (Provisional Licence)	1, 2
CHANG Ziqi	BYO358	Licensed Representative (Provisional Licence)	1, 2, 4
CHAU Ching Yan	BYO711	Licensed Representative (Provisional Licence)	1, 4
CHEN Alvin M	BYP066	Licensed Representative (Provisional Licence)	1, 2
CHEN An Te	BYP136	Licensed Representative (Provisional Licence)	1, 2
CHEN Ang	BYO634	Licensed Representative (Provisional Licence)	1
CHEN Chaoyi	BYQ560	Licensed Representative (Provisional Licence)	4
CHEN Dongjun	BYQ105	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Guangyao	BSR103	Licensed Representative (Provisional Licence)	1, 2
CHEN Junxian	BYO829	Licensed Representative (Provisional Licence)	1, 2
CHEN Kaidi	BVY537	Licensed Representative (Provisional Licence)	1, 4
CHEN Kailin	BYQ631	Licensed Representative (Provisional Licence)	6
CHEN Leo Po-Yu	BYE452	Licensed Representative (Provisional Licence)	1, 4
CHEN Manhwa	BYL909	Licensed Representative (Provisional Licence)	4, 9
CHEN Pengjia	BKW919	Licensed Representative (Provisional Licence)	9
CHEN Qingzhen	BYN881	Licensed Representative (Provisional Licence)	4
CHEN Shaoling	BYO043	Licensed Representative (Provisional Licence)	1
CHEN Si	AXX171	Licensed Representative (Provisional Licence)	1
CHEN Taixu	BYR740	Licensed Representative (Provisional Licence)	1
CHEN Tianhong	BYO803	Licensed Representative (Provisional Licence)	1, 6
CHEN Tuliang	BYQ759	Licensed Representative (Provisional Licence)	6
CHEN Xiao	BYO761	Licensed Representative (Provisional Licence)	1, 6
CHEN Xingjian	BYO185	Licensed Representative (Provisional Licence)	1
CHEN Xuan	BYO855	Licensed Representative (Provisional Licence)	1, 2
CHEN Yajing	BYI001	Licensed Representative (Provisional Licence)	1, 4
CHEN Yifan	BYO304	Licensed Representative (Provisional Licence)	4
CHEN Yuhan	BYQ079	Licensed Representative (Provisional Licence)	1
CHEN ZHIRUO	BYO351	Licensed Representative (Provisional Licence)	1, 4, 9
CHEN Zi	BYO474	Licensed Representative (Provisional Licence)	9
CHEN Zijie	BYQ953	Licensed Representative (Provisional Licence)	1, 6
CHENG Cheuk Ying Esabel	BYO942	Licensed Representative (Provisional Licence)	1, 2
CHENG Chi Shing	BQG036	Licensed Representative (Provisional Licence)	1, 2
CHENG Qianyang	BYM950	Licensed Representative (Provisional Licence)	1, 4, 9
CHENG Qianzhi	BYO865	Licensed Representative (Provisional Licence)	4
CHENG Qingbei	BYQ031	Licensed Representative (Provisional Licence)	1, 4, 5, 9
CHEUNG Ho Wen Owen	BDE137	Licensed Representative (Provisional Licence)	1, 2, 4, 5
CHEUNG Suet Yan	AOU865	Licensed Representative (Provisional Licence)	4, 9
CHEUNG Yu Kai	BYP956	Licensed Representative (Provisional Licence)	9
CHEUNG Yung Yung	BIS105	Licensed Representative (Provisional Licence)	4, 9
CHIBA Izumi	AWH496	Licensed Representative (Provisional Licence)	1
CHIU Chun Ting	BRV941	Licensed Representative (Provisional Licence)	1, 2
CHIU Tik Hang	BBQ886	Licensed Representative (Provisional Licence)	1, 2
CHOI HyunJoon	BWY370	Licensed Representative (Provisional Licence)	1, 4, 6
CHOI Shang Lun Cameron	BYO251	Licensed Representative (Provisional Licence)	1, 2
CHOI Sheung Wah	BHF331	Licensed Representative (Provisional Licence)	9
CHOI Yan Wing	BYQ703	Licensed Representative (Provisional Licence)	1, 4
CHONG Hei Ting	BYN601	Licensed Representative (Provisional Licence)	1, 4, 9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHOU Chung Hei, Johnny	BYO481	Licensed Representative (Provisional Licence)	2, 5
CHOW Hung Yan	AQS650	Licensed Representative (Provisional Licence)	1, 6
CHOW Long Yan James	BYP833	Licensed Representative (Provisional Licence)	1, 4, 9
CHU Clement Chiu Wei	BYQ913	Licensed Representative (Provisional Licence)	1
CHU Xiang	BYM071	Licensed Representative (Provisional Licence)	2, 5
CHUA Clement Min Tze	BYN221	Licensed Representative (Provisional Licence)	4
CHUK Wing Sum, Victoria	BHD859	Licensed Representative (Provisional Licence)	6
CUI Shaoju	BYM650	Licensed Representative (Provisional Licence)	2, 5
DAI Zhirui	BYQ280	Licensed Representative (Provisional Licence)	1, 4
DEB Shounak	BYR831	Licensed Representative (Provisional Licence)	1, 2
DEMPSEY Zachary Reuben	BYP897	Licensed Representative (Provisional Licence)	1
DENG Mengping	BYN800	Licensed Representative (Provisional Licence)	1, 6
DENG Qinyu	BYM513	Licensed Representative (Provisional Licence)	1, 4, 6
DENG Ziqi	BYJ087	Licensed Representative (Provisional Licence)	4, 9
DESHORS Guillaume, Philippe, Thomas	BYO500	Licensed Representative (Provisional Licence)	1, 2
DIAO YIQUN	BYP219	Licensed Representative (Provisional Licence)	1, 2
DILLON Elaine Jacqueline	BBG986	Licensed Representative (Provisional Licence)	1, 2, 9
DING Yuping	BYO295	Licensed Representative (Provisional Licence)	1, 4
DODEL Philip Dominikus	BYS222	Licensed Representative (Provisional Licence)	1, 2
DONG Baihan	BYO352	Licensed Representative (Provisional Licence)	1, 2, 4, 5
DONG Xingfu	BYK421	Licensed Representative (Provisional Licence)	1
DU Nan Chiang	BGH452	Licensed Representative (Provisional Licence)	9
DUAN Yan	BYM938	Licensed Representative (Provisional Licence)	1, 4, 9
DUAN Zhixian	BYL033	Licensed Representative (Provisional Licence)	1, 2
ENOMOTO Kota	BYO872	Licensed Representative (Provisional Licence)	1, 4, 6
FAN Hankun	BYQ826	Licensed Representative (Provisional Licence)	1, 4
FAN Siyao	BYF931	Licensed Representative (Provisional Licence)	1, 4, 6
FAN Xiaoming	BYO854	Licensed Representative (Provisional Licence)	6
FAN Yingjie	BJE201	Licensed Representative (Provisional Licence)	1, 4, 6
FANG Hanhao	BYO469	Licensed Representative (Provisional Licence)	2
FANG Yiqun	BYM952	Licensed Representative (Provisional Licence)	1, 2, 4
FENG Chuchao	BYO614	Licensed Representative (Provisional Licence)	1
FENG Jinglun	BYQ705	Licensed Representative (Provisional Licence)	1, 4
FENG SIYUAN	BYQ196	Licensed Representative (Provisional Licence)	4, 9
FENG Wenbing	BYO396	Licensed Representative (Provisional Licence)	6
FENG Yiran	BYO808	Licensed Representative (Provisional Licence)	1, 6
FONG Yee Lam, Justice	BTZ010	Licensed Representative (Provisional Licence)	1
FONG Yi Hin	BYK129	Licensed Representative (Provisional Licence)	1, 4, 9
FOY Warwick James	BYO074	Licensed Representative (Provisional Licence)	1, 2
FU Nicole Wai Lam	BSU152	Licensed Representative (Provisional Licence)	4, 9
GAO Junyu	BYM972	Licensed Representative (Provisional Licence)	1, 2
GAO Ruiyang	BYN106	Licensed Representative (Provisional Licence)	9
GARG Nikhil	AVH861	Licensed Representative (Provisional Licence)	1
GARIBALDI Vanessa Aimee	BYO194	Licensed Representative (Provisional Licence)	4
GARNACE Peter Louise Dela Cruz	BYO130	Licensed Representative (Provisional Licence)	4
GEOFFROY Victor, Sebastien	BYO212	Licensed Representative (Provisional Licence)	9
GHOSH Rahul Manindra Nath	BXZ959	Licensed Representative (Provisional Licence)	1, 4
GONG Jiaxu	BYN502	Licensed Representative (Provisional Licence)	1, 6
GONG Yanjun	BYO233	Licensed Representative (Provisional Licence)	1, 4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity
GONZALEZ RUIZ Alejandro	BYR580	Licensed Representative (Provisional Licence)	1, 4, 9
GOTO Daichi	BYM504	Licensed Representative (Provisional Licence)	1
GUAN Hanya	BYQ036	Licensed Representative (Provisional Licence)	1, 2, 4, 5
GUO Xinyang	BYP202	Licensed Representative (Provisional Licence)	1, 2, 4, 5
GUO Yuxin	BYP875	Licensed Representative (Provisional Licence)	6
GUO Zhiqiang	BYK729	Licensed Representative (Provisional Licence)	1, 4, 6
HAH Seunghyun	BYN072	Licensed Representative (Provisional Licence)	1, 4, 6
HAN Chu	BYP055	Licensed Representative (Provisional Licence)	6
HANAUE Takeshi	BYN233	Licensed Representative (Provisional Licence)	1
HAYAKAWA Aya	BYQ535	Licensed Representative (Provisional Licence)	1, 2
HE Huan	BYP178	Licensed Representative (Provisional Licence)	6
HE Jiahua	BYM999	Licensed Representative (Provisional Licence)	1, 6
HERBERT Archie Edwin	BYQ383	Licensed Representative (Provisional Licence)	3
HILLMAN Paul James	BEI234	Licensed Representative (Provisional Licence)	1
HO Chi Yuk	BYP985	Licensed Representative (Provisional Licence)	1
HO Chun Kam	BYN197	Licensed Representative (Provisional Licence)	1
HO Wing Miu	BYQ337	Licensed Representative (Provisional Licence)	2, 5
HO Wing Sze	BSX321	Licensed Representative (Provisional Licence)	1, 4, 6
HO YINN MAN	BYL060	Licensed Representative (Provisional Licence)	1, 4, 9
HONG Yang	BYN816	Licensed Representative (Provisional Licence)	1, 4, 9
HORIUCHI Shinichiro	BAX972	Licensed Representative (Provisional Licence)	9
HOU Yixiang	BYM852	Licensed Representative (Provisional Licence)	1
HOU Yugao	BRQ982	Licensed Representative (Provisional Licence)	1, 9
HU Jingting	BYR641	Licensed Representative (Provisional Licence)	4, 5, 9
HU Mingyang	BYP982	Licensed Representative (Provisional Licence)	1, 2, 4, 5
HU Tengfeng	BRO696	Licensed Representative (Provisional Licence)	1, 6
HU Xiao	BYP940	Licensed Representative (Provisional Licence)	6
HU Yibin	BYH757	Licensed Representative (Provisional Licence)	4, 9
HU Yutong	BQM470	Licensed Representative (Provisional Licence)	4, 9
HU Zhe	BYP003	Licensed Representative (Provisional Licence)	6
HUA Xia	BRR551	Licensed Representative (Provisional Licence)	4
HUANG Dandan	BXX359	Licensed Representative (Provisional Licence)	9
HUANG Guoliang Nicholas	BHP915	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 7
HUANG He	BYQ272	Licensed Representative (Provisional Licence)	6
HUANG Leer	BYR150	Licensed Representative (Provisional Licence)	1
HUANG Ruihao	BYO348	Licensed Representative (Provisional Licence)	6
HUANG Shiling	BYO244	Licensed Representative (Provisional Licence)	1, 4
HUANG Suiming	BFB090	Licensed Representative (Provisional Licence)	1, 2
HUANG Xiang	BUM088	Licensed Representative (Provisional Licence)	1, 6
HUANG Xintong	BYP276	Licensed Representative (Provisional Licence)	1, 4, 9
HUANG Xinyi	BYR100	Licensed Representative (Provisional Licence)	1, 2, 4
HUANG Zhoushan	BYN791	Licensed Representative (Provisional Licence)	1
HUI Ka Yi Emily	BYO235	Licensed Representative (Provisional Licence)	1, 4, 9
INNES Mark Alexander	BYN802	Licensed Representative (Provisional Licence)	1
IP Tsz Pang	BUU152	Licensed Representative (Provisional Licence)	9
ITO Chui Shan	AVM059	Licensed Representative (Provisional Licence)	9
JAIN Siddharth	BYO311	Licensed Representative (Provisional Licence)	1, 2
JALEEL Mohammed Tarik	BHY123	Licensed Representative (Provisional Licence)	9
JARVIS Thomas Hergest Moxham	BYO819	Licensed Representative (Provisional Licence)	1, 2, 7

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity
JASSAUD EP BESSOT Carine, Florence, Jeanne, Julie	BDE480	Licensed Representative (Provisional Licence)	1, 2
JEON Hohyeon	BYO627	Licensed Representative (Provisional Licence)	1, 6
JI Jiechao	BQB230	Licensed Representative (Provisional Licence)	4
JI Yiran	BYN754	Licensed Representative (Provisional Licence)	6
JIA Hongxuan	BVA464	Licensed Representative (Provisional Licence)	6
JIA Yuhong	BUL450	Licensed Representative (Provisional Licence)	1, 2, 4
JIANG Bingham	BYH741	Licensed Representative (Provisional Licence)	1, 4, 9
JIANG Pin	BYQ023	Licensed Representative (Provisional Licence)	6
JIANG Ruju	BQX101	Licensed Representative (Provisional Licence)	6
JIANG Shangkun	BYQ573	Licensed Representative (Provisional Licence)	1, 4, 9
JIANG Tao	BYN479	Licensed Representative (Provisional Licence)	6
JIE Yile	BME569	Licensed Representative (Provisional Licence)	1
JILKA Raj	BYQ498	Licensed Representative (Provisional Licence)	4
JIN Joseph	BID411	Licensed Representative (Provisional Licence)	1, 2
JING Jing	BYQ365	Licensed Representative (Provisional Licence)	1
JONES Sebastian Max	BYO630	Licensed Representative (Provisional Licence)	1, 4, 6
KAN Cheuk Hin	BYM649	Licensed Representative (Provisional Licence)	2, 5
KAN Sum Yin	BUD185	Licensed Representative (Provisional Licence)	4, 9
KANG Jiaxuan	BYN879	Licensed Representative (Provisional Licence)	1
KANG TIANYI	BYQ575	Licensed Representative (Provisional Licence)	1, 6
KANODIA Ankit	BYR105	Licensed Representative (Provisional Licence)	1, 2
KAPASI Krunal Deepak	BYQ374	Licensed Representative (Provisional Licence)	1
KAROONYAVANICH Anuruk	AHX011	Licensed Representative (Provisional Licence)	1, 4, 6
KAWAKATSU Masanori	BYQ822	Licensed Representative (Provisional Licence)	1, 4, 6
KAWASHIMA Hibiki	BWT754	Licensed Representative (Provisional Licence)	1
KIM Cherin	BDW437	Licensed Representative (Provisional Licence)	1
KIM Hanseo	BYP011	Licensed Representative (Provisional Licence)	1, 6
KIM Jae Keun	AVP736	Licensed Representative (Provisional Licence)	1, 7
KIM Jongmin	BYO503	Licensed Representative (Provisional Licence)	1, 6
KOO Ka Suin, Belinda	AFF226	Licensed Representative (Provisional Licence)	1
KWOK Yan Chak	BYM636	Licensed Representative (Provisional Licence)	1, 2
KWOK Ying Ying	BYE942	Licensed Representative (Provisional Licence)	1, 4, 9
KWON Hobin	BYQ071	Licensed Representative (Provisional Licence)	1, 2
KWONG Ka Yeung Winson	BYO239	Licensed Representative (Provisional Licence)	1, 2
LAI Sheung Lam	BYL945	Licensed Representative (Provisional Licence)	1, 2
LAI Yee Long	BYP257	Licensed Representative (Provisional Licence)	1
LAK Ho Yee	BYR813	Licensed Representative (Provisional Licence)	1, 4, 9
LAM Giai Le	BYR833	Licensed Representative (Provisional Licence)	1, 2
LAM Man	AFH206	Licensed Representative (Provisional Licence)	1, 6
LAM Man Wai	BKM760	Licensed Representative (Provisional Licence)	9
LAM Robin	BYO763	Licensed Representative (Provisional Licence)	4
LAM Tsz Shing	BYO046	Licensed Representative (Provisional Licence)	1, 6
LAM Wing Yan	BYQ470	Licensed Representative (Provisional Licence)	1
LAU Chin Yan	BYM565	Licensed Representative (Provisional Licence)	1, 2
LAU Hong Tik	BYO771	Licensed Representative (Provisional Licence)	1
LAU Jason	BYQ001	Licensed Representative (Provisional Licence)	1, 4, 9
LAU Kin Man Peter	BYQ319	Licensed Representative (Provisional Licence)	1, 4
LAU Larry Jun Hung	BYQ850	Licensed Representative (Provisional Licence)	4, 9
LAU Pak Ching	BYQ480	Licensed Representative (Provisional Licence)	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity
LAU Victor Henry	BYM099	Licensed Representative (Provisional Licence)	1, 4
LAU Yat Tung	BVE547	Licensed Representative (Provisional Licence)	4
LAU Yiu Fai	BYQ434	Licensed Representative (Provisional Licence)	1
LAW Choon Hwee	AZV649	Licensed Representative (Provisional Licence)	1
LAW Hao Yuan Brian	BYQ401	Licensed Representative (Provisional Licence)	1, 4, 6
LAW Raphael Benjamin Charles	AXS913	Licensed Representative (Provisional Licence)	1, 2
LEE Ada Man Wai	AGK783	Licensed Representative (Provisional Licence)	4, 9
LEE Gahyeon	BYO293	Licensed Representative (Provisional Licence)	1, 6
LEE Lai Wah	BYP190	Licensed Representative (Provisional Licence)	1, 2
LEE Lap Fai	ARA683	Licensed Representative (Provisional Licence)	4, 9
LEE Lun Fung	BWE422	Licensed Representative (Provisional Licence)	1
LEE Tsz Kit	BFX003	Licensed Representative (Provisional Licence)	1, 4, 6
LEI CHI TO	BYQ908	Licensed Representative (Provisional Licence)	1, 2
LEI Chung Hon	BYP938	Licensed Representative (Provisional Licence)	1, 2, 4
LENG Wei	BYO403	Licensed Representative (Provisional Licence)	4
LEUNG Cheuk Hin	BYQ286	Licensed Representative (Provisional Licence)	9
LEUNG Jiawei	BYO805	Licensed Representative (Provisional Licence)	1
LEUNG Lai Yi	BYQ987	Licensed Representative (Provisional Licence)	1, 4
LEUNG Man Hong	BRA137	Licensed Representative (Provisional Licence)	9
LEUNG Tsun Fung	BYO199	Licensed Representative (Provisional Licence)	1, 4
LEUNG Wai Fong	BYO523	Licensed Representative (Provisional Licence)	1, 4, 9
LI Baitong	BYN724	Licensed Representative (Provisional Licence)	9
LI Chao	AXX604	Licensed Representative (Provisional Licence)	6
LI CHENHUI	BYF505	Licensed Representative (Provisional Licence)	4
LI Chen-Hung	BYQ288	Licensed Representative (Provisional Licence)	1
LI Cheuk On	BIK016	Licensed Representative (Provisional Licence)	4, 5, 9
LI Chun Kit, Joseph	ALU441	Licensed Representative (Provisional Licence)	1, 4
LI Gexing	BYQ464	Licensed Representative (Provisional Licence)	1
LI Hanying	BYO021	Licensed Representative (Provisional Licence)	4
LI Ho Ling	BYQ825	Licensed Representative (Provisional Licence)	1, 4, 9
LI Jiahang	BYO852	Licensed Representative (Provisional Licence)	6
LI Jiaying	BYQ701	Licensed Representative (Provisional Licence)	1, 6
LI Jinglin	BYP837	Licensed Representative (Provisional Licence)	1, 2, 4, 5
LI Jingrui	BYP203	Licensed Representative (Provisional Licence)	1, 4
LI Ka Yi	BST693	Licensed Representative (Provisional Licence)	1, 4, 9
LI Mengda	BYN657	Licensed Representative (Provisional Licence)	9
LI Mengyang	BYN139	Licensed Representative (Provisional Licence)	1, 4, 9
LI Mengyao	BYP265	Licensed Representative (Provisional Licence)	1, 2
LI Muxin	BYQ975	Licensed Representative (Provisional Licence)	1, 2
LI Muzi	BYN110	Licensed Representative (Provisional Licence)	1, 2, 4
LI Rui	BYM540	Licensed Representative (Provisional Licence)	4, 9
LI Shun Yin Edith	BYO349	Licensed Representative (Provisional Licence)	13
LI Sicong	BPT150	Licensed Representative (Provisional Licence)	1
LI Wing Chee	BFS581	Licensed Representative (Provisional Licence)	1, 2
LI Xi	BYQ459	Licensed Representative (Provisional Licence)	6
LI Xiang	BYL958	Licensed Representative (Provisional Licence)	1, 6
LI Xiaoyuan	BYJ182	Licensed Representative (Provisional Licence)	4
LI Yaoting	BYO818	Licensed Representative (Provisional Licence)	1, 6
LI Yinan	BYP044	Licensed Representative (Provisional Licence)	6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity
LIAN Daniel Da	BCW815	Licensed Representative (Provisional Licence)	9
LIANG Cheng Chung	AVS465	Licensed Representative (Provisional Licence)	1, 2
LIANG Lei	BYO584	Licensed Representative (Provisional Licence)	1, 2, 4
LIAO Liangyu	BYO939	Licensed Representative (Provisional Licence)	1, 6
LIM Chaebin	BYO294	Licensed Representative (Provisional Licence)	1, 2, 4, 5
LIN Fengwei	BYO560	Licensed Representative (Provisional Licence)	6
LIN Ho Hao	BUA114	Licensed Representative (Provisional Licence)	1, 6
LIN Qiyuan	BYO482	Licensed Representative (Provisional Licence)	1, 6
LIN Tao	BRA862	Licensed Representative (Provisional Licence)	6
LIN Tiantian	BIB398	Licensed Representative (Provisional Licence)	1, 4, 6
LIN Wen-Lin	BYK848	Licensed Representative (Provisional Licence)	1, 2, 9
LIN Xinyu	BYQ829	Licensed Representative (Provisional Licence)	1
LIN Yuming	BYO291	Licensed Representative (Provisional Licence)	1, 6
LIN Yushan	BYR179	Licensed Representative (Provisional Licence)	1, 4, 9
LIU Baoxiang	BYQ018	Licensed Representative (Provisional Licence)	1, 6
LIU Chih-Chung	BYQ391	Licensed Representative (Provisional Licence)	1
LIU Chun Ling	BFG510	Licensed Representative (Provisional Licence)	1, 4
LIU Fangzhou	BRZ410	Licensed Representative (Provisional Licence)	1, 4
LIU Fujia	BFW805	Licensed Representative (Provisional Licence)	1, 4, 9
LIU Gaoxiang	BYQ541	Licensed Representative (Provisional Licence)	4, 9
LIU Jun	BYQ461	Licensed Representative (Provisional Licence)	6
LIU Junkai	BYO631	Licensed Representative (Provisional Licence)	2
LIU Junyu	BRS813	Licensed Representative (Provisional Licence)	1, 6
LIU Kuang Wei	BYO856	Licensed Representative (Provisional Licence)	1
LIU Noel Zhihe	BYM886	Licensed Representative (Provisional Licence)	1, 4, 6
LIU Peizhuo	BYM949	Licensed Representative (Provisional Licence)	1, 2, 9
LIU Qiaoli	BYQ455	Licensed Representative (Provisional Licence)	1, 6
LIU Qishuo	BYO413	Licensed Representative (Provisional Licence)	4
LIU Shicheng	BYI206	Licensed Representative (Provisional Licence)	1, 6
LIU Shizhou	BYO715	Licensed Representative (Provisional Licence)	4, 9
LIU Thomas	AFE998	Licensed Representative (Provisional Licence)	1
LIU Wenkui	BYS139	Licensed Representative (Provisional Licence)	1
LIU Xiaowen	BYP838	Licensed Representative (Provisional Licence)	1, 4
LIU Xinran	BYO111	Licensed Representative (Provisional Licence)	9
LIU Yang	BYN958	Licensed Representative (Provisional Licence)	4
LIU Zhiyong	BYO851	Licensed Representative (Provisional Licence)	6
LIU Zijun	BYO172	Licensed Representative (Provisional Licence)	1, 4, 9
LO Hei Man	BYJ318	Licensed Representative (Provisional Licence)	6
LO Hiu Tung, Karen	AXH227	Licensed Representative (Provisional Licence)	9
LO Kin Wah	AWT271	Licensed Representative (Provisional Licence)	4, 9
LU CHANG	BYJ489	Licensed Representative (Provisional Licence)	4, 9
LU Hanxiao	BYP176	Licensed Representative (Provisional Licence)	6
LU Lu	BYQ050	Licensed Representative (Provisional Licence)	4, 9
LU Wenchong	BYO281	Licensed Representative (Provisional Licence)	1, 6
LU Yingshu	BYH800	Licensed Representative (Provisional Licence)	1, 4
LU Zhicheng	BYQ496	Licensed Representative (Provisional Licence)	1, 4, 6
LUNG Donald Andrew	AKU007	Licensed Representative (Provisional Licence)	1, 6
LUO Dan	BYO850	Licensed Representative (Provisional Licence)	6
LUO JIEBIN	BYI454	Licensed Representative (Provisional Licence)	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity
LUO Jun	BYN961	Licensed Representative (Provisional Licence)	1
LUO Lei	BYO853	Licensed Representative (Provisional Licence)	6
LUO Ruidi	BYO816	Licensed Representative (Provisional Licence)	4, 9
LUO Wenhao	BYO849	Licensed Representative (Provisional Licence)	6
LUO Yuan	BYN422	Licensed Representative (Provisional Licence)	6
LYU Cong	BNN864	Licensed Representative (Provisional Licence)	9
LYU Longqin	BYQ184	Licensed Representative (Provisional Licence)	1
LYU Yun	BYO062	Licensed Representative (Provisional Licence)	6
LYU Zuohang	BYN633	Licensed Representative (Provisional Licence)	1, 4
MA Ho Wing Bertrand	BSG896	Licensed Representative (Provisional Licence)	1, 4
MA Ka Chee Richard	BYS225	Licensed Representative (Provisional Licence)	1, 2
MA Yijia	BHF474	Licensed Representative (Provisional Licence)	9
MAHAPATRA Amadika	BWV280	Licensed Representative (Provisional Licence)	1
MAHIDHARA Radhika	BNN231	Licensed Representative (Provisional Licence)	1
MAK Wing Fai	BYO639	Licensed Representative (Provisional Licence)	3
MAKI Kazunori	BYO602	Licensed Representative (Provisional Licence)	1, 4, 6
MAO Yitian	BYQ465	Licensed Representative (Provisional Licence)	1, 2
MASSON Romain, Pierre	BYM696	Licensed Representative (Provisional Licence)	1, 2
MCDONAGH Simon Joseph	BLC034	Licensed Representative (Provisional Licence)	4, 9
MCGREAL Nicola Joy	BJR426	Licensed Representative (Provisional Licence)	1, 2
MENG YANBING	BYJ906	Licensed Representative (Provisional Licence)	1
MIN Hyukgi	BYN703	Licensed Representative (Provisional Licence)	4
MIU Cassie	BYO840	Licensed Representative (Provisional Licence)	1, 4, 9
MU Jing Hua	BYO522	Licensed Representative (Provisional Licence)	4, 9
NADEN Jaime Isabel Koh	BYQ413	Licensed Representative (Provisional Licence)	1, 9
NAKABAYASHI Keitaro	BYQ920	Licensed Representative (Provisional Licence)	1, 4
NARANG Radhika	BRP909	Licensed Representative (Provisional Licence)	1
NAVARRO Justin Patrick Montenegro	BYO765	Licensed Representative (Provisional Licence)	1
NG Hong Yin Nicholas	BYP828	Licensed Representative (Provisional Licence)	4
NG Jonathan Putra Hartanto	BXM149	Licensed Representative (Provisional Licence)	1, 4
NG Peirong	AXH379	Licensed Representative (Provisional Licence)	1, 4
NG YUAN SHENG BERTRAND	BYN485	Licensed Representative (Provisional Licence)	1, 4
NGAI Chun Tung	BYP277	Licensed Representative (Provisional Licence)	1, 4, 9
NGAN Hau Yu	BYO228	Licensed Representative (Provisional Licence)	1
NIE Tongyu	BYO019	Licensed Representative (Provisional Licence)	1, 2
OH Joon	BYP923	Licensed Representative (Provisional Licence)	9
OOI Su Yann	BYO292	Licensed Representative (Provisional Licence)	4
OU Jiahuan	BYO326	Licensed Representative (Provisional Licence)	1, 2, 4
PAN Yu	BYK176	Licensed Representative (Provisional Licence)	2, 5
PANIKKAR Kesava Rajat	AVQ218	Licensed Representative (Provisional Licence)	1, 4
PARK Hyun Soo	BYI023	Licensed Representative (Provisional Licence)	1
PARK Jin Hwan	BYO655	Licensed Representative (Provisional Licence)	1, 2
PEI Jiayun	BYO410	Licensed Representative (Provisional Licence)	1, 2
PENG Danni	BYQ377	Licensed Representative (Provisional Licence)	1, 6
PENG Zishen	BYQ552	Licensed Representative (Provisional Licence)	4
PERRIN Tanguy Antoine	BYQ540	Licensed Representative (Provisional Licence)	9
PUN Harvey	BYP065	Licensed Representative (Provisional Licence)	1, 4, 9
QI Tong	BQS630	Licensed Representative (Provisional Licence)	1, 4
QIAN Ruijia	BYM084	Licensed Representative (Provisional Licence)	1, 4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity
QIAN Xue	BYN789	Licensed Representative (Provisional Licence)	1
QIU Hongfei	BYC725	Licensed Representative (Provisional Licence)	4
QIU Jiexi	BYM527	Licensed Representative (Provisional Licence)	1, 2, 4, 5
QIU Peicheng	BYJ779	Licensed Representative (Provisional Licence)	2, 5
QU Na	BYR453	Licensed Representative (Provisional Licence)	4
RADILLAC Marc	BYQ760	Licensed Representative (Provisional Licence)	1, 2
REN Lu	BYQ655	Licensed Representative (Provisional Licence)	1, 4
REN Minxiang	BYO290	Licensed Representative (Provisional Licence)	6
REYNOLDS Emily Grace	BYQ053	Licensed Representative (Provisional Licence)	1, 4
ROCHE Declan Thomas	BYQ502	Licensed Representative (Provisional Licence)	1, 2
RUSSO Glenn Mark	BVQ974	Licensed Representative (Provisional Licence)	1, 4
SAMUELL Darren John	AJB856	Licensed Representative (Provisional Licence)	9
SATTAR IKRAM	BYQ426	Licensed Representative (Provisional Licence)	1, 9
SEE Yat Nam Harry	BYP913	Licensed Representative (Provisional Licence)	9
SEKHAR Saurav	BYR414	Licensed Representative (Provisional Licence)	1
SHAN Shimin	BYK248	Licensed Representative (Provisional Licence)	1, 2, 3, 4
SHANG Connie Kangning	BYQ187	Licensed Representative (Provisional Licence)	1, 6
SHAO Yang	BPG209	Licensed Representative (Provisional Licence)	6
SHAO Yijie	BYO994	Licensed Representative (Provisional Licence)	1, 4
SHEN Hao	BYL142	Licensed Representative (Provisional Licence)	1, 6
SHEN Renyang	BYI205	Licensed Representative (Provisional Licence)	1, 6
SHEN Xuhua	BNB839	Licensed Representative (Provisional Licence)	9
SHEN Ying	BYO798	Licensed Representative (Provisional Licence)	9
SHENG Ruizhi	BYQ474	Licensed Representative (Provisional Licence)	1, 4, 6
SHI Dongzhen	BYQ636	Licensed Representative (Provisional Licence)	1, 4
SHI Haochen	BYQ324	Licensed Representative (Provisional Licence)	1, 2, 9
SHI Jliacheng	BYQ911	Licensed Representative (Provisional Licence)	1
SHI Lingyu	BYN425	Licensed Representative (Provisional Licence)	6
SHI Shuming	BYP239	Licensed Representative (Provisional Licence)	1
SHI Yu Kai	BYO704	Licensed Representative (Provisional Licence)	1, 6
SHI Yuchi	BYN160	Licensed Representative (Provisional Licence)	6
SHIH Mei-chi	BYM516	Licensed Representative (Provisional Licence)	4, 5, 9
SIN Pak Hei	BRX319	Licensed Representative (Provisional Licence)	1, 4
SINGHAL Parth	BWK628	Licensed Representative (Provisional Licence)	1, 2
SIU Wai Tat	BYQ912	Licensed Representative (Provisional Licence)	1
SMITH Stephen	BID322	Licensed Representative (Provisional Licence)	9
SO Yee Lam	BYN447	Licensed Representative (Provisional Licence)	9
SONG Muyuan	BYQ827	Licensed Representative (Provisional Licence)	1
SONG Yue	BJX534	Licensed Representative (Provisional Licence)	1, 4
SOOD SHIVANG AVNISH	BYN757	Licensed Representative (Provisional Licence)	9
STEAINS Clancy William	BYQ188	Licensed Representative (Provisional Licence)	1, 6
SU Anqi	BYQ424	Licensed Representative (Provisional Licence)	6
SUEN Tsz Ying	BYQ394	Licensed Representative (Provisional Licence)	1
SUGIURA Tomi	BYO741	Licensed Representative (Provisional Licence)	1
SUHNER Laura Carolina	BXX137	Licensed Representative (Provisional Licence)	1, 4
SUN Aijia	BYN686	Licensed Representative (Provisional Licence)	1, 4
SUN Leran	BYM661	Licensed Representative (Provisional Licence)	1, 2, 4
SUN Ming	AVC988	Licensed Representative (Provisional Licence)	4
SUN Yidan	BYP953	Licensed Representative (Provisional Licence)	1, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity
SURESH Adarsh	ATH913	Licensed Representative (Provisional Licence)	2
SUZUKI Kiyoshiro	BYN467	Licensed Representative (Provisional Licence)	9
SZE Eleanor Jane	BYQ189	Licensed Representative (Provisional Licence)	1, 2, 4, 5
SZE Shun Yan	BYP062	Licensed Representative (Provisional Licence)	4, 9
TAIBI Naomi	BYR484	Licensed Representative (Provisional Licence)	1
TAM Hok Man Patricia	BYJ865	Licensed Representative (Provisional Licence)	1
TAM Yuet Ting	BYO402	Licensed Representative (Provisional Licence)	4, 5, 9
TAMURA Suzune	BTF184	Licensed Representative (Provisional Licence)	4
TAN Yongxuan	BYQ183	Licensed Representative (Provisional Licence)	9
TANG Ching Yu	BYP258	Licensed Representative (Provisional Licence)	6
TANG Chun Yuen Matthew	BYO670	Licensed Representative (Provisional Licence)	4, 9
TANIYAMA Koichiro	BYN946	Licensed Representative (Provisional Licence)	1, 4, 7
TAO Chenyang	BYQ129	Licensed Representative (Provisional Licence)	6
TAYLOR Benjamin James	BYQ062	Licensed Representative (Provisional Licence)	1
TEWANI Aniksh Ashok	BYP041	Licensed Representative (Provisional Licence)	1, 2
TIAN Mingyong	BRY546	Licensed Representative (Provisional Licence)	9
TIAN Yihe	BYP043	Licensed Representative (Provisional Licence)	1, 2
TIAN Zeyuan	BYN988	Licensed Representative (Provisional Licence)	1, 4, 6
TIN Carmen	AFF901	Licensed Representative (Provisional Licence)	1, 4, 9
TODA Tatsuya	BYO576	Licensed Representative (Provisional Licence)	1, 4, 6
TOH TINRUI	BYO804	Licensed Representative (Provisional Licence)	1, 6
TONG Hau Yung	BYQ238	Licensed Representative (Provisional Licence)	1, 4, 6
TONG XIN	BYO946	Licensed Representative (Provisional Licence)	9
TSANG Wing Yan Ivy	BYM610	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 7
TSE Jerome Wing Lam	BXZ983	Licensed Representative (Provisional Licence)	4, 9
TSE Siu Yuen	AQA082	Licensed Representative (Provisional Licence)	1
TSO Wai Pong, Philip	ASH453	Licensed Representative (Provisional Licence)	1, 4, 9
TSUI Man Man	BYO986	Licensed Representative (Provisional Licence)	1, 6
TUROUND LOIC	BYQ696	Licensed Representative (Provisional Licence)	1, 2
UMASUTHAN Akash	BYP952	Licensed Representative (Provisional Licence)	1
VANSTONE Lauren Taylor	BYQ185	Licensed Representative (Provisional Licence)	1, 2
VASICA Eden Nikolas	BYI038	Licensed Representative (Provisional Licence)	2, 5
WAN Jiayi	BYO408	Licensed Representative (Provisional Licence)	1
WANG Bairan	BYN466	Licensed Representative (Provisional Licence)	1, 4, 9
WANG BOJUN	BYO598	Licensed Representative (Provisional Licence)	1, 6
WANG Chenyan	BNS566	Licensed Representative (Provisional Licence)	1, 4
WANG Chenyuan	BXU628	Licensed Representative (Provisional Licence)	1, 6
WANG Ching Yuan	BYK110	Licensed Representative (Provisional Licence)	1, 4, 7
WANG Chuanyi	BYI204	Licensed Representative (Provisional Licence)	1, 6
WANG Daren	BTG751	Licensed Representative (Provisional Licence)	1, 6
WANG Dishen	BXJ449	Licensed Representative (Provisional Licence)	1, 2
WANG Enqi	BYR542	Licensed Representative (Provisional Licence)	4
WANG Guanshu	BYP082	Licensed Representative (Provisional Licence)	1, 4
WANG Huizheng	BYP002	Licensed Representative (Provisional Licence)	6
WANG Jiaojiao	BYQ943	Licensed Representative (Provisional Licence)	1, 4
WANG Jingyuan	BPM730	Licensed Representative (Provisional Licence)	1
WANG Man	BYP996	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Miao	BNY284	Licensed Representative (Provisional Licence)	9
WANG Peng	BYP909	Licensed Representative (Provisional Licence)	1, 4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity
WANG Ping	BYO218	Licensed Representative (Provisional Licence)	1, 6
WANG Qinnan	BYP842	Licensed Representative (Provisional Licence)	1, 2, 4, 5
WANG Ruilin	BYP954	Licensed Representative (Provisional Licence)	4
WANG Shiyu	BYR067	Licensed Representative (Provisional Licence)	1, 6
WANG SHOUYUAN	BYP971	Licensed Representative (Provisional Licence)	1, 6
WANG Sidan	BYE941	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Ting	BYO676	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Xin	BYQ952	Licensed Representative (Provisional Licence)	1, 6
WANG Xuan	BYQ761	Licensed Representative (Provisional Licence)	6
WANG Yi Jie	BYQ279	Licensed Representative (Provisional Licence)	9
WANG Yilei	BYO944	Licensed Representative (Provisional Licence)	6
WANG Yiteng	BYO392	Licensed Representative (Provisional Licence)	1, 2
WANG Youchen	BYO653	Licensed Representative (Provisional Licence)	1, 2, 4, 5
WANG Yuheng	BYO802	Licensed Representative (Provisional Licence)	1, 6
WANG Yuqi	BJI812	Licensed Representative (Provisional Licence)	9
WANG Zeyu	BYQ553	Licensed Representative (Provisional Licence)	4
WATANABE Virginia	BYO483	Licensed Representative (Provisional Licence)	4
WAYMEL Sebastien Pierre Jean-Marie	BYK642	Licensed Representative (Provisional Licence)	1
WEI Jackson Zhuo Cheng	BKL409	Licensed Representative (Provisional Licence)	4
WEI Yichen	BYO731	Licensed Representative (Provisional Licence)	1, 6
WEN Jingcheng	BVK755	Licensed Representative (Provisional Licence)	1, 4, 9
WEN Lujia	BYQ959	Licensed Representative (Provisional Licence)	1, 2, 4, 5
WEN Xiaoyi	BYQ918	Licensed Representative (Provisional Licence)	1, 4, 9
WENG Mengxi	BYN822	Licensed Representative (Provisional Licence)	1, 4, 9
WILLMANN Steven Andrew	BYN738	Licensed Representative (Provisional Licence)	1, 4
WINKELMOLEN Remo	BVH047	Licensed Representative (Provisional Licence)	1
WONG Hoi Yat Hong	BYO312	Licensed Representative (Provisional Licence)	1
WONG Hok To	BYR128	Licensed Representative (Provisional Licence)	9
WONG Ka Man Janice	BYQ067	Licensed Representative (Provisional Licence)	1, 4
WONG King Sang Tommy	BYQ014	Licensed Representative (Provisional Licence)	6
WONG Man Yee Paula	AQE956	Licensed Representative (Provisional Licence)	1
WONG Nga Man Ivy	BYM953	Licensed Representative (Provisional Licence)	1
WONG Ngan Fun Kelvin	BNB167	Licensed Representative (Provisional Licence)	1, 9
WONG Tai Man Edwin	BYR053	Licensed Representative (Provisional Licence)	1, 4
WONG Tsz Long	BYQ075	Licensed Representative (Provisional Licence)	1, 4, 9
WONG Yee Yan Rachel	BYO401	Licensed Representative (Provisional Licence)	1, 4
WU Conglu	BQJ931	Licensed Representative (Provisional Licence)	4
WU Dongyao	BYR515	Licensed Representative (Provisional Licence)	4
WU Jiajian	BYO229	Licensed Representative (Provisional Licence)	1, 4
WU Xintong	BYN803	Licensed Representative (Provisional Licence)	1, 9
WU Yang	BYQ871	Licensed Representative (Provisional Licence)	1, 4, 9
WU Yixun	BYO797	Licensed Representative (Provisional Licence)	1, 6
WU Yueyue	BYK903	Licensed Representative (Provisional Licence)	1, 4
WU Zeqing	BYL922	Licensed Representative (Provisional Licence)	4
WURTZ Gregory Aaron	BEB748	Licensed Representative (Provisional Licence)	1, 2
XIA Wenjun	BTM028	Licensed Representative (Provisional Licence)	9
XIA Zhifei	BYL927	Licensed Representative (Provisional Licence)	4
XIAO Sixuan	BYO078	Licensed Representative (Provisional Licence)	1, 2, 4
XIAO Weihao	BYK695	Licensed Representative (Provisional Licence)	1, 2

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity
XIAO Ziyang	BYP860	Licensed Representative (Provisional Licence)	1, 6
XIE Dan	BRV127	Licensed Representative (Provisional Licence)	4, 9
XIE Hanmeng	BYG335	Licensed Representative (Provisional Licence)	6
XIE Shuang	BYN371	Licensed Representative (Provisional Licence)	2, 5
XIE Yunhui	BYO866	Licensed Representative (Provisional Licence)	6
XIE Zhuoheng	BYO484	Licensed Representative (Provisional Licence)	1, 6
XIE Zirun	BYO542	Licensed Representative (Provisional Licence)	1, 4
XING Zhuohang	BYM939	Licensed Representative (Provisional Licence)	1, 4, 9
XIONG Caiwei	BVP928	Licensed Representative (Provisional Licence)	1, 4, 6
XU Chi	BYA611	Licensed Representative (Provisional Licence)	4
XU Hu	ANV103	Licensed Representative (Provisional Licence)	1, 6
XU Jingxiao	BYO724	Licensed Representative (Provisional Licence)	1, 6
XU Nandi	BYO350	Licensed Representative (Provisional Licence)	6
XU Nuo	BYP943	Licensed Representative (Provisional Licence)	6
XU Runze	BUI433	Licensed Representative (Provisional Licence)	1, 6
XU SIYUAN	BYN387	Licensed Representative (Provisional Licence)	1, 2
XU Zheng	BYQ984	Licensed Representative (Provisional Licence)	1
XU Ziheng	BYK801	Licensed Representative (Provisional Licence)	4
XUAN Shanshan	BYO605	Licensed Representative (Provisional Licence)	1, 4
XUE Yuan	BNF072	Licensed Representative (Provisional Licence)	1, 4, 9
YADAV Mandeep	BYP284	Licensed Representative (Provisional Licence)	1, 4, 6
YAM Man Leong	BRV775	Licensed Representative (Provisional Licence)	1
YANG Dan	BYQ778	Licensed Representative (Provisional Licence)	6
YANG Fan	BYO938	Licensed Representative (Provisional Licence)	6
YANG Jun	BYQ153	Licensed Representative (Provisional Licence)	1, 2, 4, 5
YANG Meiyuan	BXN767	Licensed Representative (Provisional Licence)	6
YANG Mingxin	BYR307	Licensed Representative (Provisional Licence)	1
YANG Minyi	BYK716	Licensed Representative (Provisional Licence)	9
YANG Xinling	BYN470	Licensed Representative (Provisional Licence)	1, 6
YANG Yanjuan	BYP826	Licensed Representative (Provisional Licence)	1, 4, 9
YANG Yiwen	BYO383	Licensed Representative (Provisional Licence)	1
YANG Zhao	BOC022	Licensed Representative (Provisional Licence)	4
YANG Zhidao	BMF166	Licensed Representative (Provisional Licence)	1, 4, 9
YAO DI	BYQ236	Licensed Representative (Provisional Licence)	1
YAO Jiajun	BYN818	Licensed Representative (Provisional Licence)	1, 4
YAO Xing Yu Zenith	BYN869	Licensed Representative (Provisional Licence)	1, 4
YAO Zheng	BYN612	Licensed Representative (Provisional Licence)	4
YAU Tak Sing	BYO583	Licensed Representative (Provisional Licence)	1, 4, 9
YE Ziqi	BYQ690	Licensed Representative (Provisional Licence)	1, 2
YEO Jun Wei	BYO768	Licensed Representative (Provisional Licence)	1
YEUNG Ho Long	BYO195	Licensed Representative (Provisional Licence)	1, 2, 9
YEUNG Pui Shan	AGH200	Licensed Representative (Provisional Licence)	13
YI Pengyu	BKJ155	Licensed Representative (Provisional Licence)	6
YIN Lei	BYQ457	Licensed Representative (Provisional Licence)	6
YIN Li	BQU720	Licensed Representative (Provisional Licence)	1, 4
YOSHIDA Tomonori	BWN548	Licensed Representative (Provisional Licence)	1, 4, 6
YU Chun Man	BND624	Licensed Representative (Provisional Licence)	1, 6
YU Emily I Hsuan	BYL933	Licensed Representative (Provisional Licence)	1, 4, 9
YU Fuqianrong	BYO813	Licensed Representative (Provisional Licence)	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity
YU Gengchen	BYR267	Licensed Representative (Provisional Licence)	1
YU Shaobo	BYN809	Licensed Representative (Provisional Licence)	1, 4, 9
YU Siyong	BYQ990	Licensed Representative (Provisional Licence)	1, 6
YU Yongli	BYO732	Licensed Representative (Provisional Licence)	2
YUAN Ruiqi	BYO499	Licensed Representative (Provisional Licence)	1, 4, 9
YUAN Yunzi	BYO449	Licensed Representative (Provisional Licence)	1, 6
YUEN Yiu Wai	BYO065	Licensed Representative (Provisional Licence)	4, 9
YUN Chong-Long	BQE849	Licensed Representative (Provisional Licence)	9
ZHAI Qihang	BYN911	Licensed Representative (Provisional Licence)	1, 4, 9
ZHANG Ce	BYO795	Licensed Representative (Provisional Licence)	1, 2
ZHANG Daile	BYO100	Licensed Representative (Provisional Licence)	1, 2, 4
ZHANG Daohui	BYP214	Licensed Representative (Provisional Licence)	6
ZHANG Hairong	BYO507	Licensed Representative (Provisional Licence)	4
ZHANG Hanyu	BYQ393	Licensed Representative (Provisional Licence)	1, 2
ZHANG Ken	BYM459	Licensed Representative (Provisional Licence)	1, 6
ZHANG Qin	BYQ732	Licensed Representative (Provisional Licence)	1, 4, 9
ZHANG Tianwang	BYL113	Licensed Representative (Provisional Licence)	1, 2, 4
ZHANG Xiaofeng	AYT794	Licensed Representative (Provisional Licence)	4, 9
ZHANG Yingming	BEM909	Licensed Representative (Provisional Licence)	1, 6
ZHANG Yiyang	BYO508	Licensed Representative (Provisional Licence)	1, 2, 4
ZHANG Yongle	BYN403	Licensed Representative (Provisional Licence)	1, 4, 9
ZHANG Yu	BYQ392	Licensed Representative (Provisional Licence)	1, 4
ZHANG Yuwei	BOU266	Licensed Representative (Provisional Licence)	1, 6
ZHANG Zhenming	BYO651	Licensed Representative (Provisional Licence)	6
ZHAO He Ru	BYR059	Licensed Representative (Provisional Licence)	1
ZHAO Hui	BYP263	Licensed Representative (Provisional Licence)	1, 4
ZHAO Jinying	BYO188	Licensed Representative (Provisional Licence)	1, 4, 9
ZHAO Mengqi	BYS226	Licensed Representative (Provisional Licence)	1, 4, 9
ZHAO Nachuan	BYC235	Licensed Representative (Provisional Licence)	1, 4, 6
ZHAO Yanbin	BXP642	Licensed Representative (Provisional Licence)	6
ZHAO Yuning	BYO284	Licensed Representative (Provisional Licence)	1, 4, 6
ZHENG Guanjiang	BUH641	Licensed Representative (Provisional Licence)	1, 4, 9
ZHENG Ming Yuan	BQS627	Licensed Representative (Provisional Licence)	1, 6
ZHENG Sheng	BYM705	Licensed Representative (Provisional Licence)	1, 2, 4
ZHENG Xuerou	BYO928	Licensed Representative (Provisional Licence)	1, 4, 6
ZHENG Yang	BYN101	Licensed Representative (Provisional Licence)	1, 6
ZHENG Zhaoxiang	BYO757	Licensed Representative (Provisional Licence)	1, 6
ZHOU Churui	BYP081	Licensed Representative (Provisional Licence)	1, 4
ZHOU HUANYU	BYR194	Licensed Representative (Provisional Licence)	1, 2, 4, 5
ZHOU Jingle	BYQ483	Licensed Representative (Provisional Licence)	1, 2
ZHOU Peiyan	BUA279	Licensed Representative (Provisional Licence)	6
ZHOU Peng	BYQ579	Licensed Representative (Provisional Licence)	1, 6
ZHOU Ruilin	BYP835	Licensed Representative (Provisional Licence)	1, 4, 9
ZHOU ShengLong	BYP197	Licensed Representative (Provisional Licence)	1, 4, 9
ZHOU Wenqing	BYM805	Licensed Representative (Provisional Licence)	1
ZHOU Yang	BYQ289	Licensed Representative (Provisional Licence)	6
ZHOU Yang	BYN712	Licensed Representative (Provisional Licence)	6
ZHOU Zhenhua	BYL920	Licensed Representative (Provisional Licence)	9
ZHOU Zhimin	BYN630	Licensed Representative (Provisional Licence)	4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHU Libo	BYQ534	Licensed Representative (Provisional Licence)	1
ZHU Ping	BYN702	Licensed Representative (Provisional Licence)	1, 4
ZHU Ruixue	BYO867	Licensed Representative (Provisional Licence)	6
ZHU Senlin	BYI207	Licensed Representative (Provisional Licence)	1, 6
ZHU Zhuoan	BYP942	Licensed Representative (Provisional Licence)	1, 6
ZHUANG Yishan	BYO227	Licensed Representative (Provisional Licence)	1
ZONG Feng	BYO066	Licensed Representative (Provisional Licence)	4
ZONG Hua	BYO582	Licensed Representative (Provisional Licence)	9
AGARWAL Prakhar	BYQ473	Licensed Representative (Temporary Licence)	4
AGARWAL Rupal	BOJ101	Licensed Representative (Temporary Licence)	4
AGRAWAL Vivek	BUB301	Licensed Representative (Temporary Licence)	4
AHMAD Anas Fitri Bin	BYO072	Licensed Representative (Temporary Licence)	1, 4, 6
ALFINITO Giulio	BOW626	Licensed Representative (Temporary Licence)	1
ANDRE EP DUPONT Corinne	BXT724	Licensed Representative (Temporary Licence)	1, 4
ANG Beng Kiat Edwin	BOC606	Licensed Representative (Temporary Licence)	1, 2
ANG Kai Wei	BUV207	Licensed Representative (Temporary Licence)	4
AON Rwbhu	BWN047	Licensed Representative (Temporary Licence)	4
ARORA Harshit	BYR069	Licensed Representative (Temporary Licence)	1, 2
ARORA Rohit	ART681	Licensed Representative (Temporary Licence)	4
ATLAS Noah Corbett	BYR656	Licensed Representative (Temporary Licence)	1, 4
AVERY George Edward	BTR116	Licensed Representative (Temporary Licence)	4
BAHUGUNA Himanshu	BGT178	Licensed Representative (Temporary Licence)	1, 2
BAJORIA Rahul	BVK416	Licensed Representative (Temporary Licence)	4
BANSAL Sukriti	BOB594	Licensed Representative (Temporary Licence)	4
BARRON Jason	BF1936	Licensed Representative (Temporary Licence)	1
BEKER JORDAN David Alberto	BWS428	Licensed Representative (Temporary Licence)	4
BINTE KAMALUDEEN Dania	BYO693	Licensed Representative (Temporary Licence)	1, 4
BISHOP Alastair Timothy	BFE366	Licensed Representative (Temporary Licence)	1, 4
BONO Sacha Christina	BYJ569	Licensed Representative (Temporary Licence)	1, 2
BURBANO Esteban	BLD950	Licensed Representative (Temporary Licence)	1, 4
BURRELLO John Nicholas	BTR117	Licensed Representative (Temporary Licence)	4
CABANA Mark Oliver	BTY872	Licensed Representative (Temporary Licence)	4
CARTWRIGHT Edward Ian	BDC766	Licensed Representative (Temporary Licence)	1
CECCARELLI Aron	BYQ074	Licensed Representative (Temporary Licence)	4
CERQUENI Giulia	BYJ164	Licensed Representative (Temporary Licence)	1
CHADHA Dushyant	BIE137	Licensed Representative (Temporary Licence)	1
CHAUDHURI Gargi Pal	BYP143	Licensed Representative (Temporary Licence)	1, 4
CHEN Jung Hsuan	BTZ245	Licensed Representative (Temporary Licence)	4
CHEN Mingjie	BYO210	Licensed Representative (Temporary Licence)	1
CHIANG Cheng-Yu	BYK378	Licensed Representative (Temporary Licence)	1, 2
CHKHIKVADZE Ana	BYP908	Licensed Representative (Temporary Licence)	1, 4
CHO A-Hyung	BTG868	Licensed Representative (Temporary Licence)	4
CHOI Cheuk Lai	BVS771	Licensed Representative (Temporary Licence)	4
CHOW KOK FAI	BYO835	Licensed Representative (Temporary Licence)	1, 4
CHU Charlene Marie	AMM435	Licensed Representative (Temporary Licence)	4
CHUA Minguang Donald	BEF380	Licensed Representative (Temporary Licence)	4
CHUN Jinhan	BYO677	Licensed Representative (Temporary Licence)	4
CHUN Jung Ae	BWT836	Licensed Representative (Temporary Licence)	4
CRAFT Paul Lindsay	BML368	Licensed Representative (Temporary Licence)	1, 2

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity
DE CONINCK-LOPEZ Cathrine	BUF609	Licensed Representative (Temporary Licence)	1, 4
DEAN Jonathan Matthew Coslett	BUY675	Licensed Representative (Temporary Licence)	1, 4
DORAI Chadwick Rufus	BXC344	Licensed Representative (Temporary Licence)	4
DUA Manu Sawroop	BTU037	Licensed Representative (Temporary Licence)	1, 2
DUFOUR Agathe Qiuyun Benedicte	BYQ570	Licensed Representative (Temporary Licence)	1
EL GHORFI Ali	BYO970	Licensed Representative (Temporary Licence)	1, 4
FARR Duncan Jonathan Tankred	BYO443	Licensed Representative (Temporary Licence)	1, 4
FORD Robert Erick	BWT069	Licensed Representative (Temporary Licence)	4
FRIESTAD Sukrit	BTL133	Licensed Representative (Temporary Licence)	4
FUCHS Alexander Otto	BPR489	Licensed Representative (Temporary Licence)	2
FUMAGALLI Giacomo	BUA385	Licensed Representative (Temporary Licence)	1
GAO Lu	ATB718	Licensed Representative (Temporary Licence)	1, 4
GOUGH Stephen Robert	BVL862	Licensed Representative (Temporary Licence)	1, 4
GRANT Jack Reinaldo	BTM500	Licensed Representative (Temporary Licence)	1
GRONNIGER Phillip Brent	BHU837	Licensed Representative (Temporary Licence)	1
GUPTA Raj	BXS205	Licensed Representative (Temporary Licence)	1, 2
HA Taekyoung	BVO339	Licensed Representative (Temporary Licence)	4
HAN Xuyi	BNT117	Licensed Representative (Temporary Licence)	1, 2
HARAGUCHI Ukyo	BXU004	Licensed Representative (Temporary Licence)	4
HARBOUR Brian James	BYS102	Licensed Representative (Temporary Licence)	4
HARTSTEIN Isabel Sarah Cowan	BYO318	Licensed Representative (Temporary Licence)	4
HAYASHI (SUMOGE) Manabu	BWY603	Licensed Representative (Temporary Licence)	4
HE Cheng	BNS842	Licensed Representative (Temporary Licence)	1, 4
HEELAN Benjamin Michael	BIN836	Licensed Representative (Temporary Licence)	4
HESTON Blake Dunn	BYQ070	Licensed Representative (Temporary Licence)	1, 4
HO Chun To	AWK554	Licensed Representative (Temporary Licence)	4, 5
HONG Yuhang	BYO406	Licensed Representative (Temporary Licence)	1
HORN Stanford Everett H	BUD089	Licensed Representative (Temporary Licence)	1, 4
HOSODA Kentaro	BWW394	Licensed Representative (Temporary Licence)	4
HSU Chia-Ning	BYO764	Licensed Representative (Temporary Licence)	4
HUBBARD Cara	BNN588	Licensed Representative (Temporary Licence)	1, 4
HUSSAIN Hussain	BYS202	Licensed Representative (Temporary Licence)	1, 6
HWANG Kyung Jae	BAJ130	Licensed Representative (Temporary Licence)	4
IJIMA Shiro	BWH110	Licensed Representative (Temporary Licence)	4
IKEDA Yusuke	BHB831	Licensed Representative (Temporary Licence)	4
IMAZU Nanako	AVU642	Licensed Representative (Temporary Licence)	4
IP Yin Tong	BXU217	Licensed Representative (Temporary Licence)	1, 4
IVASCYN Daniel John	AZS735	Licensed Representative (Temporary Licence)	1, 4
IWAI Toru	BND774	Licensed Representative (Temporary Licence)	1, 4
JAIN Rachna	AYF491	Licensed Representative (Temporary Licence)	4
JANG Duksan	BYO962	Licensed Representative (Temporary Licence)	4
JANG Namhyun	BUT078	Licensed Representative (Temporary Licence)	4
JANTANANON Nophakit	BYO692	Licensed Representative (Temporary Licence)	1, 4
JIANG Congying	BUQ734	Licensed Representative (Temporary Licence)	1
JIANG Peifeng	BYO405	Licensed Representative (Temporary Licence)	1
JOSEPH Arvin Sabu	BLP059	Licensed Representative (Temporary Licence)	1
KAKO Tomoaki	BUY876	Licensed Representative (Temporary Licence)	1, 2
KALB Victoria Stacey	AHT205	Licensed Representative (Temporary Licence)	4
KANG Min Seok	BYR726	Licensed Representative (Temporary Licence)	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity
KAO Lin-Ya	BTC778	Licensed Representative (Temporary Licence)	4
KAPADIA Rishi Naresh	BYQ045	Licensed Representative (Temporary Licence)	4
KASAI Takumi	BWW396	Licensed Representative (Temporary Licence)	4
KEENE Michael Donald	BOZ245	Licensed Representative (Temporary Licence)	1
KHODA Neha	BYQ938	Licensed Representative (Temporary Licence)	4
KHURSHID Faisal Ali	BYP883	Licensed Representative (Temporary Licence)	4
KOJIMA Kenichi	BYR221	Licensed Representative (Temporary Licence)	1
KUO YU-TUNG	BYO532	Licensed Representative (Temporary Licence)	1, 4
KURTIS Scott Robert	BUT910	Licensed Representative (Temporary Licence)	1, 2
LANKA Naga Sashank Venkatrahana	BLX562	Licensed Representative (Temporary Licence)	4
LAUGHLIN Justin John	AWV278	Licensed Representative (Temporary Licence)	2, 5
LEE Raphael Wen	BYK436	Licensed Representative (Temporary Licence)	4
LEE Sun Jung	BVQ361	Licensed Representative (Temporary Licence)	4
LEMARDELEY Athanase, Jean, Emmanuel	BYQ354	Licensed Representative (Temporary Licence)	1, 2
LEMMENS Patrick Johannes Anna Franciscus	BLS913	Licensed Representative (Temporary Licence)	1
LI Chen	BYM430	Licensed Representative (Temporary Licence)	1, 4
LIN Rujie	BSA327	Licensed Representative (Temporary Licence)	1
LINDQUIST Justin Myles	BJA817	Licensed Representative (Temporary Licence)	1, 4
LIU Haibo	BFN769	Licensed Representative (Temporary Licence)	4
LIU Yu-Chiao	BUM748	Licensed Representative (Temporary Licence)	4
LOGAN Yogamoorthy	BDZ080	Licensed Representative (Temporary Licence)	1
LOO Cheng Gan	BWN363	Licensed Representative (Temporary Licence)	1, 4
MACGREGOR James Wallace	BVL114	Licensed Representative (Temporary Licence)	4
MACGREGOR James Wallace	BVL114	Licensed Representative (Temporary Licence)	4
MADIREDDY Anusha	BYO547	Licensed Representative (Temporary Licence)	4
MAJOR Daniel Edward	BMP227	Licensed Representative (Temporary Licence)	4
MAKITA Kengo	BYP967	Licensed Representative (Temporary Licence)	4
MALLET Sebastien Fernand	BYN040	Licensed Representative (Temporary Licence)	4
MAMEGANO Koichi	BVA382	Licensed Representative (Temporary Licence)	4
MANPURIA Neha	BTH916	Licensed Representative (Temporary Licence)	4
MARTEL Michael Omer	BYQ369	Licensed Representative (Temporary Licence)	1, 4
MATHUR Aditya	BEO279	Licensed Representative (Temporary Licence)	4
MCALEVEY James Kenneth	BVO346	Licensed Representative (Temporary Licence)	4
MCCLURG Scott	BTO338	Licensed Representative (Temporary Licence)	1, 4
MCCUTCHEON Kate Elizabeth	BTC188	Licensed Representative (Temporary Licence)	4
MCGARRIGLE Nicholas	BWT115	Licensed Representative (Temporary Licence)	4
MENA-BERLIN Hans Filip	BYO642	Licensed Representative (Temporary Licence)	1, 4
MENZLI Pierin	BWB309	Licensed Representative (Temporary Licence)	1, 4
MIKI Masashi	BOI949	Licensed Representative (Temporary Licence)	4
MILLS Thomas Redford	BYO102	Licensed Representative (Temporary Licence)	4
NAKAKURA Hironori	BVK935	Licensed Representative (Temporary Licence)	4
NDONDO Kudzai	BYO586	Licensed Representative (Temporary Licence)	1
NEEDHAM Cameron Mcilhagga	BXE866	Licensed Representative (Temporary Licence)	4
NEO Kendrick Jia Hao	BYR206	Licensed Representative (Temporary Licence)	1
NG Hock Leng	AHQ947	Licensed Representative (Temporary Licence)	4
NIGANIA Nikhil	BTZ941	Licensed Representative (Temporary Licence)	4
NISHIZAWA Arashi	BOR475	Licensed Representative (Temporary Licence)	4
NOGUCHI Yusuke	BWW392	Licensed Representative (Temporary Licence)	4
OGAWA Ryuzo	BMM183	Licensed Representative (Temporary Licence)	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity
O'SULLIVAN James Patrick	BYQ389	Licensed Representative (Temporary Licence)	4
PANDEY Mohit	BVG210	Licensed Representative (Temporary Licence)	4
PARANTHOIENE Andrew Leigh	BNR464	Licensed Representative (Temporary Licence)	10
PARK Sohyun	BWH626	Licensed Representative (Temporary Licence)	4
PETERS Jean-Robin Alexandre	BXU592	Licensed Representative (Temporary Licence)	1, 2
PETERSON Tycho Wyeth	BWQ801	Licensed Representative (Temporary Licence)	4
PIERRY Mario Lucio Succi	BWS288	Licensed Representative (Temporary Licence)	4
PINEO Philip John	BSY446	Licensed Representative (Temporary Licence)	1, 2
PIRON Claudio	BTW494	Licensed Representative (Temporary Licence)	4
POULLAOUET Thomas	APH938	Licensed Representative (Temporary Licence)	4
PRAKASH Pramod Kumar	BTL135	Licensed Representative (Temporary Licence)	4
PRITHYANI Gunjan	BTH771	Licensed Representative (Temporary Licence)	4
PU Xinyi	BYP049	Licensed Representative (Temporary Licence)	1
PURANIK Sharvaree Praveen	BVA894	Licensed Representative (Temporary Licence)	1, 2
QU Yawen	BGQ533	Licensed Representative (Temporary Licence)	1, 2
RAO Weinan	BDQ796	Licensed Representative (Temporary Licence)	1, 2
RATANAKHANOKCHAI Kritawit	BYP876	Licensed Representative (Temporary Licence)	4
RAVI Ephrem	AVL880	Licensed Representative (Temporary Licence)	4
RONCIL Vincent Paul	BWH633	Licensed Representative (Temporary Licence)	1
ROPER Johann	BYQ069	Licensed Representative (Temporary Licence)	1, 4
SAIGAL Navin	BVL780	Licensed Representative (Temporary Licence)	1, 4
SAKSENA Nitin	BYR015	Licensed Representative (Temporary Licence)	4
SALFORD Gianluca	BYQ353	Licensed Representative (Temporary Licence)	4, 5
SALGAONKAR Sachin	ANF161	Licensed Representative (Temporary Licence)	4
SAPUNOVA Alexandrina	BBK047	Licensed Representative (Temporary Licence)	1, 2
SCHNEIDER Horst Bernd	BUV206	Licensed Representative (Temporary Licence)	4
SCHWARTZ Zachary Irwin	AXD565	Licensed Representative (Temporary Licence)	1, 2
SCHWENDER Carmen	BWS865	Licensed Representative (Temporary Licence)	4
SELTMAN David	BYQ487	Licensed Representative (Temporary Licence)	1, 4
SHAH Amish Chandrakant	AUW978	Licensed Representative (Temporary Licence)	4
SHIMA Yugo	BYN711	Licensed Representative (Temporary Licence)	4
SHIMAZU (MIYATA) Leigha	BTQ837	Licensed Representative (Temporary Licence)	4
SHIN Dongho	BVQ437	Licensed Representative (Temporary Licence)	4
SHIN Hyun Sung	BYP287	Licensed Representative (Temporary Licence)	4
SHUKLA Bhavin Vipin	BYO530	Licensed Representative (Temporary Licence)	6
SIMPSON Lee John	BVC389	Licensed Representative (Temporary Licence)	4
SIXSMITH Patrick Martin	BUO756	Licensed Representative (Temporary Licence)	1, 4
SMITH Oliver Gareth	BXY211	Licensed Representative (Temporary Licence)	1, 4
SONG Jiale	BYK860	Licensed Representative (Temporary Licence)	4
SRIVASTAVA Alok	BVR091	Licensed Representative (Temporary Licence)	4
STENNER Nicholas Peter	BWE403	Licensed Representative (Temporary Licence)	4
STRONG Thomas Joseph	BXU716	Licensed Representative (Temporary Licence)	4
SU Yu	BYN605	Licensed Representative (Temporary Licence)	1
SUBRAMANIAN Bharat Sriraman	BUO818	Licensed Representative (Temporary Licence)	4
SUBRAMANIAN Savita	BGI268	Licensed Representative (Temporary Licence)	4
SUH Bo Goog	BHT539	Licensed Representative (Temporary Licence)	4
SUN Chao	BYO709	Licensed Representative (Temporary Licence)	1
SUSANTO Timothy Axel	BYO834	Licensed Representative (Temporary Licence)	1, 4
TAKAI Tokio	BYM607	Licensed Representative (Temporary Licence)	1, 4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity
TAKEUCHI Kei	BYE080	Licensed Representative (Temporary Licence)	4
TAN Min Hui Teresa	BVC007	Licensed Representative (Temporary Licence)	1
TAN Phoebe Bianca Dizon	BYP989	Licensed Representative (Temporary Licence)	4
TAN Wei Ying	BYQ909	Licensed Representative (Temporary Licence)	1
TAN Yong Hong	BTX517	Licensed Representative (Temporary Licence)	4
TANG Wanjing	BYK786	Licensed Representative (Temporary Licence)	4
TEH Sean	BYO529	Licensed Representative (Temporary Licence)	1, 4
TEO Erwin Hsien Wei	BOK259	Licensed Representative (Temporary Licence)	1
TEWARI Akash Kartik	BWT420	Licensed Representative (Temporary Licence)	4
THOMPSON James Tobias	BYQ550	Licensed Representative (Temporary Licence)	1, 4
TSAI Andrew Lin	BYO842	Licensed Representative (Temporary Licence)	4
TSUJINO Natsumu	BWA715	Licensed Representative (Temporary Licence)	4
TUPPER Nigel Robert	ACS592	Licensed Representative (Temporary Licence)	4
USUI Yasutoshi	BYQ886	Licensed Representative (Temporary Licence)	1, 2
VAN RIJN Arnout	AFU404	Licensed Representative (Temporary Licence)	1
VICTOR Meaghan Elizabeth	BQG703	Licensed Representative (Temporary Licence)	1, 4
WADAKI Tetsuya	ATE081	Licensed Representative (Temporary Licence)	1, 4
WANG WAN	BYP114	Licensed Representative (Temporary Licence)	1, 4
WANG Xiaozhou	BYQ137	Licensed Representative (Temporary Licence)	4
WANG Yue	BYO708	Licensed Representative (Temporary Licence)	1
WARR Alastair Charles	AGD632	Licensed Representative (Temporary Licence)	4
WATANABE Ritsuo	BAZ846	Licensed Representative (Temporary Licence)	4
WIDMER Michael	BLN919	Licensed Representative (Temporary Licence)	4
WOLAVER Alexander U	BNI123	Licensed Representative (Temporary Licence)	1, 2
WU Zixu	BYN874	Licensed Representative (Temporary Licence)	1
XIAO Yunyang	BYP101	Licensed Representative (Temporary Licence)	1
XIE Liangjie	BYN606	Licensed Representative (Temporary Licence)	1
XIE Shuangyi	BYO494	Licensed Representative (Temporary Licence)	1
XU Wanxin	BXA689	Licensed Representative (Temporary Licence)	4
YAMADA Shusuke	BJG468	Licensed Representative (Temporary Licence)	4
YAMAGAMI Shinichiro	AYG572	Licensed Representative (Temporary Licence)	1, 2
YAN Jinou	BYO649	Licensed Representative (Temporary Licence)	1
YAN Zhiyuan	BYN713	Licensed Representative (Temporary Licence)	1
YANG Che-Wei	BTG896	Licensed Representative (Temporary Licence)	4
YANG Yuqin	BYO252	Licensed Representative (Temporary Licence)	1
YATES Max Russell	BYS560	Licensed Representative (Temporary Licence)	4
YEONG Hui Qing Samantha	BUC046	Licensed Representative (Temporary Licence)	1, 2
YOSHIDA Arifumi	BEA842	Licensed Representative (Temporary Licence)	4
YOSHIHARA Tomoko	BMO654	Licensed Representative (Temporary Licence)	4
YOUNGWORTH Michael	BOX434	Licensed Representative (Temporary Licence)	4
ZHANG Qiuchen	BYO710	Licensed Representative (Temporary Licence)	1
ZHANG Shuo	BYP165	Licensed Representative (Temporary Licence)	1
ZHANG Yu	BYO995	Licensed Representative (Temporary Licence)	1
ZHAO Zheng	BYQ677	Licensed Representative (Temporary Licence)	1
ZHENG Xin	BKD975	Licensed Representative (Temporary Licence)	1
ZHONG Cheng	BTW235	Licensed Representative (Temporary Licence)	1
ZHONG Tiantian	AZB127	Licensed Representative (Temporary Licence)	1
ZHONG Yaxuan	BYO368	Licensed Representative (Temporary Licence)	1
ZHU Yayi	BYO216	Licensed Representative (Temporary Licence)	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZOU Xi	BVH617	Licensed Representative (Temporary Licence)	1, 2
ZUCCOLI Bryce Todd	BYO214	Licensed Representative (Temporary Licence)	1