

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2022**

CE Name	CE No.	Licence Type	Types of Regulated Activity
3Qi Investment Limited	BSQ068	Licensed Corporation	4, 9
Harmolands Capital Limited	BSR893	Licensed Corporation	9
Hash Blockchain Limited	BPL992	Licensed Corporation	1, 7
Lucion International Asset Management Limited	BSJ188	Licensed Corporation	4, 9
Maxwealth Global Asset Management Company Limited	BSQ374	Licensed Corporation	4, 9
Meta Futures Limited	BSM300	Licensed Corporation	2, 5
SHIXIANG INVESTMENT HK LIMITED	BSI143	Licensed Corporation	4, 9
Singularity Capital HK Limited	BSQ182	Licensed Corporation	9
TeraWise Capital Limited	BSE147	Licensed Corporation	4, 9
Wind Sabre Capital Limited	BSK085	Licensed Corporation	9
AGARWAL Akshat	AVS185	Licensed Representative	4
AI Hua	BTC341	Licensed Representative	1, 4, 6
ANKELMANN Maria	BTB565	Licensed Representative	1, 4
BACHRAOUI Yasmine	BTC342	Licensed Representative	1, 2, 4, 5
BAEK Jonghyeok	BTB936	Licensed Representative	1, 4
BAKER Jamie Ian	BTD377	Licensed Representative	1, 2, 7
BENFIELD Alexander Li	BTA086	Licensed Representative	1
BOSCHAT Mathieu Vincent	BTC039	Licensed Representative	9
CAI Linhai	BTC001	Licensed Representative	1, 4, 6
CAI Meiqi	BTB681	Licensed Representative	4
CAI Ming	BSZ560	Licensed Representative	1, 4, 9
CAMERON Mark William	BTB128	Licensed Representative	1, 4
CAO Ruoying	BSZ844	Licensed Representative	1, 6
CAO Zonglian	BTC143	Licensed Representative	1, 4, 6
CHA Seung Hoon	BNE720	Licensed Representative	1, 4
CHAI Wai Wai Carnation	BTC726	Licensed Representative	1
CHAKRABORTY Bhaskar	BTC330	Licensed Representative	4
CHAN Kwun Tat	BSR010	Licensed Representative	1, 4
CHAN Man Yeuk, Ringo	AEX323	Licensed Representative	4, 9
CHAN Man Yuen	APX122	Licensed Representative	9
CHAN Pui Yeung, Eric	BSQ993	Licensed Representative	1
CHAN Ying Sin	BGE633	Licensed Representative	1
CHAN Yuen Man, Parina	BAB913	Licensed Representative	1, 4, 9
CHANG Ruoxi	BSZ226	Licensed Representative	1, 6
CHANG TSUI CHING	BTC779	Licensed Representative	1, 4
CHAU CHUN KIT	BTB508	Licensed Representative	1, 4, 9
CHAU Po Hon	BTB957	Licensed Representative	4, 9
CHAU Yi Yan Betty	BTC180	Licensed Representative	1, 2, 9
CHEN Hanzhe	BTC635	Licensed Representative	1
CHEN Hua	BTB454	Licensed Representative	1, 2, 4
CHEN Jianyu	BSZ604	Licensed Representative	6
CHEN Jiayao	BOJ665	Licensed Representative	4, 9
CHEN Ka Fai	BTA056	Licensed Representative	1, 4
CHEN Linglin	BSY488	Licensed Representative	6
CHEN Mingze	BSZ265	Licensed Representative	1, 2, 4
CHEN Po-Chen	AVM131	Licensed Representative	9
CHEN Qi	BCG149	Licensed Representative	1, 4, 6
CHEN Tao	BSY258	Licensed Representative	6
CHEN Wenjing	BTD786	Licensed Representative	1, 2

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2022**

CE Name	CE No.	License Type	Types of Regulated Activity
CHEN Xianfan	BRO897	Licensed Representative	1, 4
CHEN Yipei	BTB616	Licensed Representative	1, 4, 6
CHEN Yixin	BTB790	Licensed Representative	1, 4, 9
CHEN Zisheng	BTB380	Licensed Representative	1, 2
CHENG Chung Ching	BSY338	Licensed Representative	4, 9
CHENG Lufeng	BTB217	Licensed Representative	1, 2
CHENG Shiang-Ling	BCL322	Licensed Representative	4
CHENG Xinyu	BQB301	Licensed Representative	1, 6
CHENG Yuxin	BTD678	Licensed Representative	1, 6
CHEUNG Lung Wah	BTC937	Licensed Representative	1
CHEUNG Nga I	BTC074	Licensed Representative	1, 2, 4, 5, 9
CHEUNG Sin	BGM919	Licensed Representative	1, 4, 9
CHEUNG Tik Lun	BOH808	Licensed Representative	2, 5
CHEUNG Wing Shan	BTB808	Licensed Representative	1, 4, 9
CHEUNG Yau Hei	BTB964	Licensed Representative	6
CHIANG Kwan Hou	BTD477	Licensed Representative	1, 4, 9
CHIK Ho Ying	BTC094	Licensed Representative	1
CHING Jackie Kai Sing	BTA205	Licensed Representative	1
CHONG Hon Pan	BSX440	Licensed Representative	9
CHOU Hsin Yi	AFY702	Licensed Representative	4, 9
CHOW Sik Hang	BTB463	Licensed Representative	1, 4
CHOWDHRY Rachel Greene	BSY148	Licensed Representative	9
CHU Fung Ting	AWV425	Licensed Representative	1, 9
CHU Hsu Wen	BHU818	Licensed Representative	1, 4, 9
CHU Vanessa Adagia Chi Kwai	BST385	Licensed Representative	1
CHU Yuqing	BTB067	Licensed Representative	4
CHUA Tsz Lan	AAI500	Licensed Representative	4, 9
CHUNG Kin Hei	BTB921	Licensed Representative	1
COWAN David Michael	BTC259	Licensed Representative	2, 5
CUI Guoqiang	BGT852	Licensed Representative	4, 9
CUI Jinhuan	BTD527	Licensed Representative	1, 4
CUI Yiye	BIM022	Licensed Representative	1
DAI Hao	BAX544	Licensed Representative	9
DAI Yaxi	BTC655	Licensed Representative	1, 4, 6
DAN Yunlei	BSZ497	Licensed Representative	1, 4, 9
DE ROCQUIGNY DU FAYEL Thibaut Marie L	BTB582	Licensed Representative	1
DING Pengyun	BTA063	Licensed Representative	1, 2
DONG Liqi	BTA199	Licensed Representative	1, 6
DOWLER Joseph Toby	BSZ395	Licensed Representative	1, 4, 9
DU Yanlin	BTC031	Licensed Representative	1, 6
DUREL Gregoire	BTB526	Licensed Representative	1, 4
FAN Liangjin	BTC765	Licensed Representative	10
FAN Ning	BNV011	Licensed Representative	4, 9
FU Tin Chi	BTD706	Licensed Representative	4, 9
FU Zhongxuan	BTA301	Licensed Representative	1, 4, 6
GAO Tian	BTB952	Licensed Representative	1, 2
GAO Xuan	BTB887	Licensed Representative	1, 4, 9
GAO Ya	BER522	Licensed Representative	1, 4, 9
GAO Yuqi	BTC090	Licensed Representative	1, 4, 9

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2022**

CE Name	CE No.	Licence Type	Types of Regulated Activity
GAO Zhan	BSY865	Licensed Representative	1, 6
GE Guanhong	BLA403	Licensed Representative	1, 4
GE Xiaonan	BTD470	Licensed Representative	1, 6
GENG Xiaolin	BTA146	Licensed Representative	4
GIANG Wilcy	BTC614	Licensed Representative	1
GILBERT Courtenay Taylor	BTC265	Licensed Representative	1, 2, 9
GOLLOP TATUM OLIVIA	BTB083	Licensed Representative	1, 4, 9
GONG Cheng	BTC709	Licensed Representative	1, 6
GONG Jiaqi	BTC182	Licensed Representative	9
GONG Qitong	BTB961	Licensed Representative	1, 4, 6
GONG Zitian	BTC298	Licensed Representative	1, 6
GU RUN	BTB756	Licensed Representative	4, 9
GU Yunhao	BTB576	Licensed Representative	1, 6
GU Zhenyang	BTC022	Licensed Representative	1, 4, 6
GUO Tianyu	BTC463	Licensed Representative	1, 6
HALE Peter John	APF683	Licensed Representative	1, 2
HAN Bei	BSY093	Licensed Representative	1, 6
HAN Lu	BTB994	Licensed Representative	1, 2
HAN Yu	BNJ594	Licensed Representative	1, 2
HASHIMOTO Junichi	BTB960	Licensed Representative	1, 4, 6
HAUSNER Christian Kevin	BRH864	Licensed Representative	4, 9
HAWA David	BEE584	Licensed Representative	1, 4
HE Hui	BTC662	Licensed Representative	4
HE Rui	BTC649	Licensed Representative	1
HO Ka Ying	BTC354	Licensed Representative	1
HO Kai Ming Gary	BSY976	Licensed Representative	2
HO Lok Fai	BTC780	Licensed Representative	1, 2
HO Man Kit	AWA582	Licensed Representative	1, 4
HO Wai Yin	BTD577	Licensed Representative	1
HO Wen Yi	BTB417	Licensed Representative	4, 9
HO YUEN MAN	BTB764	Licensed Representative	9
HONG In Eui	BSY394	Licensed Representative	1, 4, 9
HONG Kwang Pyo	BTB557	Licensed Representative	10
HONG Tianfeng	ANM666	Licensed Representative	4
HONG Xinxin	BSZ112	Licensed Representative	6
HOU JINING	BTC706	Licensed Representative	9
HOU Lok Hang Sharen	BTC490	Licensed Representative	1, 4
HOU Yilin	BSX541	Licensed Representative	1, 4
HSU Wei Tang	BTB905	Licensed Representative	1, 2
HU Bin	AOG214	Licensed Representative	4, 9
HU Hai	BSZ994	Licensed Representative	1, 6
HU Yanqin	BTC911	Licensed Representative	1, 6
HU Yifan	BTA140	Licensed Representative	1, 6
HU Zhengyuan	BTC084	Licensed Representative	1, 4, 6
HUANG Jia	BTC173	Licensed Representative	1, 4
HUANG Jianfeng	BSZ227	Licensed Representative	1, 6
HUANG Jiazhen	BTC417	Licensed Representative	1, 2
HUANG Junjie	BTC366	Licensed Representative	4, 9
HUANG Runan	BTB507	Licensed Representative	4

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CE Name	CE No.	Licence Type	Types of Regulated Acitivity
HUI Ka Po	BTB227	Licensed Representative	1, 4
IP Sin Nam Ingrid	BTB177	Licensed Representative	1
IP Wing Lam	AQU167	Licensed Representative	6
JAHJA Ariyanto	BTC205	Licensed Representative	4
JAIN Aditya	BNQ984	Licensed Representative	9
JAIN Nisha	BSZ971	Licensed Representative	1, 4, 9
JI Wenjie	BSX772	Licensed Representative	1, 4
JI Wenxiu	BPM773	Licensed Representative	1
JIANG Hongkun	BSZ507	Licensed Representative	1, 6
JIANG Shan	BSZ764	Licensed Representative	1, 4, 9
JIANG Wenyue	BTB815	Licensed Representative	1, 4, 9
JIANG Yong	BSA136	Licensed Representative	4
JIANG Zexin	BTC153	Licensed Representative	1
JIAO Siyu	BSZ976	Licensed Representative	1, 4, 6
JIN Yuanhang	BSZ451	Licensed Representative	4, 9
JIN Seong Hwan	BAP377	Licensed Representative	1, 4, 9
JING Tianze	BTC839	Licensed Representative	1, 4, 9
JONES Rebecca Dawn	BTC657	Licensed Representative	1, 4, 6
JU Ran	BSY131	Licensed Representative	6
KABILOVA Shakhnoza	BSZ596	Licensed Representative	1
KAN Chen	BTD897	Licensed Representative	1, 4, 5
KAN Siu Wing	BBT279	Licensed Representative	9
KATRAK Xerxis Behram	BTC036	Licensed Representative	1, 2
KHAN Mohammad Asif Atahar	AYH605	Licensed Representative	1
KIM Sung Hun	BTC004	Licensed Representative	1, 4, 6
KIM Youjin	BTB545	Licensed Representative	1, 4
KO Chun Kit	BTD614	Licensed Representative	1, 2
KONG Cheuk Yi Sharie	BTA141	Licensed Representative	1, 4, 6
KONG Pui Chi	BTC641	Licensed Representative	1
KOT Chung Sally	BTB826	Licensed Representative	1, 4, 9
KU Ming Tat	BTC306	Licensed Representative	1
KUMAR Noynika	BTB794	Licensed Representative	9
KUMAR Prateek	BTC332	Licensed Representative	4
KWAN Wing Lam	BSX548	Licensed Representative	1, 2
KWOK Tsan Tung	BTB558	Licensed Representative	4
KWOK Wa Yan, Irene	AYR945	Licensed Representative	1, 4, 9
KWONG Billy Chi Sam	BTC942	Licensed Representative	1
KWONG Tony Yu Chun	AKW723	Licensed Representative	9
LAI Jamian Wong	BTD619	Licensed Representative	1, 4, 9
LAM Chi Hin	BTB018	Licensed Representative	1, 4
LAM Ching Wang, Perseus	AML437	Licensed Representative	1, 4
LAM Chung Yin	AMF376	Licensed Representative	1, 2
LAM Hiu Wah Fiona	BTB813	Licensed Representative	4, 9
LAM Ho Ting	BTB838	Licensed Representative	1, 4
LAM Ka Yee	BSD242	Licensed Representative	1, 4
LAM Man Kin	BGO350	Licensed Representative	1, 4, 9
LAM Sing Kuen	AFT889	Licensed Representative	1, 2
LAM Tsam Yi	BTC083	Licensed Representative	6
LAM Wai Kin	ACU739	Licensed Representative	1, 2, 4

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CE Name	CE No.	License Type	Types of Regulated Acitivity
LAU Chi Pan	BSX471	Licensed Representative	1
LAU Danielle Eda	BTA244	Licensed Representative	1
LAU Ka Man	AWP715	Licensed Representative	1, 4
LAU Ka Wing Gladys	BTB927	Licensed Representative	1, 2
LAU Ka Yu	BSV210	Licensed Representative	1, 4
LEE Jayoung	BFN112	Licensed Representative	1
LEE Kin Chung Simon	AAF803	Licensed Representative	1, 2, 4, 5, 9
LEE Lai Fan	BTC939	Licensed Representative	4, 9
LEE Mei Ling	AFR791	Licensed Representative	1, 4, 9
LEE Ming-Hsun	BAO744	Licensed Representative	4
LEE Ngai Ming	BSZ182	Licensed Representative	1, 4, 9
LEI Yicong	BSY866	Licensed Representative	1, 6
LEUNG Wing Ngai	BRG449	Licensed Representative	1
LI Chang	BTA092	Licensed Representative	4
LI Chengwei	BTC640	Licensed Representative	1, 4
LI Hongjie	BSZ555	Licensed Representative	1, 4
LI Jiaying	BTA089	Licensed Representative	1, 4, 6
LI Jiming	BTA225	Licensed Representative	1
LI Lap Ying Joey	BSY314	Licensed Representative	1
LI Lok Yi	BTB254	Licensed Representative	1, 4
LI Shiyi	BTA207	Licensed Representative	1, 4
LI Xiaoxuan	BTB484	Licensed Representative	1, 6
LI Xiate	BEX942	Licensed Representative	1, 4
LI Xinyi	BTA202	Licensed Representative	4, 9
LI Xiulin	BTB145	Licensed Representative	1, 2, 4
LI Yalin	BTC420	Licensed Representative	4
LI Yiming	BSY346	Licensed Representative	1, 6
LI YIQUN	BTC725	Licensed Representative	6
LI Yixuan	BTB264	Licensed Representative	1, 4, 6
LI Yongjian	BOQ850	Licensed Representative	1, 4
LI Yujie	BTD590	Licensed Representative	1
LI Yuxi	BTC585	Licensed Representative	9
LI Zhekai	BTB384	Licensed Representative	9
LI Zhuoran	BTA009	Licensed Representative	9
LI Zihan	BSY294	Licensed Representative	1, 4
LI Ziqin	BPT251	Licensed Representative	1, 6
LIANG Jingyi	BSZ042	Licensed Representative	1, 6
LIANG Ruiwen	BTB939	Licensed Representative	4, 9
LIANG Siyi	BSZ225	Licensed Representative	1, 4, 6
LIANG Yaoyuan	BNF073	Licensed Representative	1, 2, 4
LIANG Zhiyuan	BSZ828	Licensed Representative	1, 4, 9
LIM Daehwi	BTC702	Licensed Representative	4, 6, 9
LIM Pei Shan Gayl	BTC626	Licensed Representative	1, 4, 9
LIN Chen	BTB635	Licensed Representative	6
LIN Jichuan	BSY374	Licensed Representative	1, 4
LIN Lin	BTD605	Licensed Representative	1, 4, 9
LIN Sheng-Yuan	BTC145	Licensed Representative	2, 5
LIN Shiwen	BTC730	Licensed Representative	1, 4
LIN Tsung-Han	AQC691	Licensed Representative	1, 4

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2022**

CE Name	CE No.	Licence Type	Types of Regulated Activity
LIN Yi-Chen	BTB962	Licensed Representative	1, 4, 9
LING Kai Leong	BTD945	Licensed Representative	1, 2, 7
LIU Nan	BTA200	Licensed Representative	1, 4
LIU Pit Hong	BTB408	Licensed Representative	1
LIU Ruolin	BTB459	Licensed Representative	1, 4, 6
LIU Shanshan	BTD565	Licensed Representative	4, 9
LIU Wentao	BSZ518	Licensed Representative	4
LIU Xiaojun	BSX155	Licensed Representative	9
LIU Xinxing	BJZ135	Licensed Representative	1, 4, 9
LIU Yang	BTB487	Licensed Representative	4
LIU Yi Kwan	BSZ864	Licensed Representative	1, 4
LIU Yi Mu	BSY350	Licensed Representative	2
LIU Yulin	BNP016	Licensed Representative	1, 4, 9
LO Hing Lam	BTC642	Licensed Representative	1, 4
LO Sui Ming	BSZ235	Licensed Representative	1, 2
LOK Tsz Yu	BTC192	Licensed Representative	9
LOU Lan	BTB403	Licensed Representative	1, 4
LU Cuiping	BCJ650	Licensed Representative	1, 4, 9
LU Mengyu	BTC056	Licensed Representative	1, 4, 6
LU Yihong	BTC938	Licensed Representative	4, 9
LU Yongliang	BTA458	Licensed Representative	1, 4, 6
LU ZHIYAN	BTC114	Licensed Representative	1
LUI Sze Ying	BTE005	Licensed Representative	10
LUK Ka Yu Codi	BTC059	Licensed Representative	1, 4, 9
LUK Kuk Lui	BTE419	Licensed Representative	1, 2
LUO Xuan	BTB637	Licensed Representative	1, 4
LUO Yin	BTB235	Licensed Representative	4
MA Chun Wang	BTA303	Licensed Representative	1, 4
MA Nathan Hin Che	BTC736	Licensed Representative	1, 4
MA Yueyi	BLH430	Licensed Representative	4
MA Yun	BTE280	Licensed Representative	1, 4
MAHESHWARI Vivek	AVU988	Licensed Representative	4
MAK Kam Shun	BTA129	Licensed Representative	1, 4
MAK Tsz Chun	BSZ620	Licensed Representative	1, 2
MAN Ka Ming	BBT494	Licensed Representative	1, 4
MANGAL Nitij	BLY756	Licensed Representative	4
MAO Jinhong	BTC630	Licensed Representative	1, 2, 4
MARTIRANI Massimiliano	BEV149	Licensed Representative	4, 6, 9
MASCOLO Fabiano Hugues Joseph	ANE267	Licensed Representative	4, 9
MATSUE Kanan	BTD332	Licensed Representative	1, 4
MCQUADE Ryan F	AVS364	Licensed Representative	9
MEI Jie	BTD608	Licensed Representative	1, 4, 9
MIKAMI Shuji	BTB677	Licensed Representative	1, 4
MO Xian	BTC138	Licensed Representative	1, 4, 9
MOU Yili	ARD767	Licensed Representative	4, 9
MUNGAMURU Lakshmi Nrisimha Bob	BTC740	Licensed Representative	1, 2
NANDURKAR Mahesh Yashwant	ARQ374	Licensed Representative	4
NG Chau Fai	BTC681	Licensed Representative	1
NG Chun Kwai	BTC148	Licensed Representative	1, 4, 9

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CE Name	CE No.	Licence Type	Types of Regulated Activity
NG Chung Ki	BTB497	Licensed Representative	1, 2, 4, 9
NG Ka Po	BTC171	Licensed Representative	1, 2
NG Ka Sing	BDX997	Licensed Representative	1
Ng Kin Yeung Kyler	BSV524	Licensed Representative	9
NG Shun Kong	BTC884	Licensed Representative	1
NG Yuk Naat, Alex	BRW411	Licensed Representative	1, 2, 4, 5, 9
NGAI TSZ LO	BTB091	Licensed Representative	9
NGAN Chor Fong	AEJ924	Licensed Representative	1, 4
NO Dong Hoon	BTC584	Licensed Representative	1
NONNENMACHER Sophie Sabine	APJ411	Licensed Representative	9
OUYANG Yilong	BTB853	Licensed Representative	4
PANG Hiu Fung	BSZ787	Licensed Representative	4
PENG Chenchen	BSY985	Licensed Representative	1, 4, 9
PENG Ching	BTB077	Licensed Representative	1, 4
PENG Yufang	BSZ144	Licensed Representative	1, 2, 4
PERRING Sara Louise	BGQ175	Licensed Representative	1, 2, 4, 5
PI Pang Ngai	BTB754	Licensed Representative	9
POON Gabriel Jonathan Jee-Leun	BTB689	Licensed Representative	1, 4
POON Kwok Kit	BTA185	Licensed Representative	1, 2
POON Philip Chung Hein	AIC797	Licensed Representative	1, 4, 9
QIAN Kai	BTB556	Licensed Representative	1
QIAN Zhe	BSZ485	Licensed Representative	1, 4, 9
QIAO Fei	ATJ228	Licensed Representative	1, 6
QIU Rui	BSW115	Licensed Representative	4
REN Hang	BTB713	Licensed Representative	1, 2, 4, 5, 7
RONG Yu	BTB281	Licensed Representative	4, 5, 9
RUAN Luyao	BSY504	Licensed Representative	1, 6
SANG Yu	BTB768	Licensed Representative	1, 2
SARKAR Parikshit	BSZ865	Licensed Representative	6
SHAH Mrunal Hareshkumar	AYQ435	Licensed Representative	1
SHANG Xuedong	BTB571	Licensed Representative	9
SHANG Zhiheng	BTC165	Licensed Representative	1, 4, 9
SHAO Qing	BPS870	Licensed Representative	1, 6
SHAO Yingyue	BTB097	Licensed Representative	1, 2
SHARMA Prakhar	BMO253	Licensed Representative	4
SHEKHAR Shivam	BSZ698	Licensed Representative	1, 6
SHEN Jiawen	BSZ443	Licensed Representative	4
SHI Huanyu	BTD636	Licensed Representative	1, 4
SHI Lintong	BTC863	Licensed Representative	1, 4, 9
SHI Qinyan	BSZ653	Licensed Representative	1, 6
SHI Shaocong	BTB543	Licensed Representative	1, 2, 4
SHI ye	BTC783	Licensed Representative	1, 4
SHIU Wing Sun	ADZ443	Licensed Representative	1
SHU Jiecheng	BTC183	Licensed Representative	1, 4, 6
SIM Yuan An	BTB126	Licensed Representative	1
SINHA Abhinav	BMM580	Licensed Representative	4
SIT Ka Ho	BEW213	Licensed Representative	9
SO Cheuk Yin	BTB415	Licensed Representative	6
SONG Yuchen	BPS233	Licensed Representative	1, 6

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2022**

CE Name	CE No.	Licence Type	Types of Regulated Activity
SONG Zhe	BSY345	Licensed Representative	1, 6
SU Wen-chi	BSY395	Licensed Representative	1, 9
SUN Jiageng	BSW114	Licensed Representative	4
SUN Jingbo	BPQ200	Licensed Representative	1, 6
SUN Jueping	BTA072	Licensed Representative	1, 4, 6
SUN Jun	BTC658	Licensed Representative	1, 4, 6
SUN Junyi	BRH603	Licensed Representative	4, 9
SUN Xinyu	BSZ704	Licensed Representative	1, 4, 9
SUN Yan	BIC310	Licensed Representative	9
SUN Yanming	BTC711	Licensed Representative	9
TAM Chung Sang	BAJ049	Licensed Representative	1, 4
TAM Ka Yiu	BHO166	Licensed Representative	4, 9
TAM Tsz Wa	ALQ184	Licensed Representative	4, 9
TANG Lok Sze	BTB076	Licensed Representative	1, 4
TANG Yuen Kwan	BTB201	Licensed Representative	1
TAO Yu	BTB563	Licensed Representative	1, 4, 6
THOMAS Alexandre Robert	BTA218	Licensed Representative	1
TIAN Lai	BTD788	Licensed Representative	1, 6
TIAN Shuyuan	BTC594	Licensed Representative	1, 2
TIAN Yuan	BSZ261	Licensed Representative	1
TONG Hok Hung Timothy	BSZ767	Licensed Representative	4, 9
TONG Sin Sze Jasmin	BTB501	Licensed Representative	6
TSANG Hin Lok	BLO974	Licensed Representative	9
TSANG Suk Han	BTB937	Licensed Representative	1
TSE Ka Hei	BTC141	Licensed Representative	4
TSE Wing Lam, Michelle	AVB832	Licensed Representative	1, 6
TSENG Wun Sing Clement	BTC142	Licensed Representative	4, 9
TSOI Cheuk Yin	ANJ210	Licensed Representative	1, 4, 9
TSUI Pui Yee	BTB546	Licensed Representative	1, 4, 9
TUNG Kenneth Hoi-yi	AEJ830	Licensed Representative	6
WAN Li	AHD514	Licensed Representative	1, 4
WAN Man Fung	BTB462	Licensed Representative	1, 4
WANG Hongzhi	BTB743	Licensed Representative	1, 4
WANG Jiexuan	BTC002	Licensed Representative	1, 4, 6
WANG Kun	BTA006	Licensed Representative	1
WANG LI I	BMV582	Licensed Representative	1
WANG Luxin	BTB504	Licensed Representative	1, 4
WANG Qian	BSY505	Licensed Representative	1, 4
WANG Qixian	BTA228	Licensed Representative	1, 4
WANG Rui	BSZ654	Licensed Representative	1, 4, 9
WANG Shichao	BSZ524	Licensed Representative	1, 4
WANG SIJIA	BTC140	Licensed Representative	1, 4, 9
WANG Tao	BTB477	Licensed Representative	1, 6
WANG Wei	BTB655	Licensed Representative	1, 2, 4
WANG Xinyi	BTB527	Licensed Representative	4
WANG Xinyu	BTB659	Licensed Representative	2
WANG Yifei	BSZ879	Licensed Representative	9
WANG Zhaoyang	BPQ206	Licensed Representative	1, 6
WANG Ziyao	BTC365	Licensed Representative	1, 4, 6



**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2022**

CE Name	CE No.	Licence Type	Types of Regulated Activity
WAT Sin Yan Kristy	BTA370	Licensed Representative	1, 4, 9
WATTS Andrew Radcliffe	APO012	Licensed Representative	1, 2
WEE Hui Yuan Eugene	BFS681	Licensed Representative	1, 2
WEI Guanfei	BSX734	Licensed Representative	1, 4
WEI Rong Wei	BSX766	Licensed Representative	2
WEI Yifan	BTC586	Licensed Representative	1, 6
WENG Yuqing	BTB265	Licensed Representative	1, 6
WONG Ching	AXN060	Licensed Representative	1, 4
WONG Fei John	BSZ727	Licensed Representative	1, 4
WONG Hoi Fay Phoebus	BTC150	Licensed Representative	1
WONG Ka Wo	BSZ882	Licensed Representative	1, 9
WONG Kam Yuen	BHC234	Licensed Representative	1, 4
WONG Kin Hang	BKB381	Licensed Representative	9
WONG Kin Pong	BSY261	Licensed Representative	1, 4, 9
WONG Kok Ho	BSX197	Licensed Representative	1, 2
WONG Ngai	BNU757	Licensed Representative	4, 9
WONG Shan Shan Claudia	BAV910	Licensed Representative	1, 4
WONG Sze Wai	BTA183	Licensed Representative	4
WONG Tin Lok	BFV207	Licensed Representative	6
WOO King Tin, Jacky	AFY196	Licensed Representative	1
WU Hongji	BTB499	Licensed Representative	1, 4
WU Mingxuan	BTB932	Licensed Representative	6
WU Shang	BSZ962	Licensed Representative	4
WU Wenjing	BQO867	Licensed Representative	1
WU Xiaowei	BSY360	Licensed Representative	1, 4
WU Yangdong	AWN210	Licensed Representative	1, 2, 4
WU Zhengzhe	BTB684	Licensed Representative	1, 4, 6
XIAN Zhiping	BNY285	Licensed Representative	4, 9
XIAO Jingying	BSZ662	Licensed Representative	1, 6
XU Kaijun	BTB464	Licensed Representative	1, 4, 6
XU Pei	BTB999	Licensed Representative	1, 4, 6
XU Wenli	BSZ371	Licensed Representative	1, 4, 6
XU Yucheng	BBP676	Licensed Representative	1, 4, 9
XUE Zijian	BTC288	Licensed Representative	1, 6
YAN Kuan	BTB735	Licensed Representative	1, 6
YAN Wo Ping	AJB105	Licensed Representative	1, 4, 9
YANG Jr-kai	BTA333	Licensed Representative	1, 4
YANG Sheng	BTD572	Licensed Representative	1, 4
YANG Shuo	BSG472	Licensed Representative	1, 2
YANG Wei	BSZ725	Licensed Representative	1, 6
YANG Xue	BTD716	Licensed Representative	1, 4
YANG Yijie	BTB942	Licensed Representative	9
YANG Yuxin	BTD677	Licensed Representative	1, 6
YANG Zhen	BSW113	Licensed Representative	4
YAO Kun	BEA915	Licensed Representative	1, 2
YAU Chin Hung	BSQ992	Licensed Representative	1
YE Qi	BSZ499	Licensed Representative	1
YEH Fan Hei	BTC455	Licensed Representative	1, 4
YEH Lincoln Peng Lim	BTC499	Licensed Representative	1, 4, 9

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2022**

CE Name	CE No.	Licence Type	Types of Regulated Activity
YIP Min Wa	AVR980	Licensed Representative	9
YIP Wing Sang	BSU877	Licensed Representative	1, 2
YIU Chun Ho	BTA243	Licensed Representative	4, 9
YOU Jiakun	BTA155	Licensed Representative	1, 2, 4, 5
YU Dahai	BTB590	Licensed Representative	1, 4
YU Deyi	BTB832	Licensed Representative	9
YU Han	BSZ993	Licensed Representative	1, 4
YU Hiu Man	BTA345	Licensed Representative	1, 4, 9
YU Jiapeng	AZW132	Licensed Representative	1, 6
YU Ka Ling	BTB161	Licensed Representative	1, 4
YU Tongchen	BSY988	Licensed Representative	1, 6
YU Xinyao	BSX518	Licensed Representative	1, 4
YUEN Lai Lai	BTD372	Licensed Representative	1, 4
YUEN Man Kiu Alvina	BSZ195	Licensed Representative	1, 4
ZANG Ying	BSL966	Licensed Representative	9
ZENG Tao	BRQ196	Licensed Representative	1, 4
ZHANG Chen	BTC759	Licensed Representative	1, 2
ZHANG Dingfan	BTB218	Licensed Representative	1, 4
ZHANG Jing	BSZ343	Licensed Representative	1, 4, 9
ZHANG Joe Zhou	BTC052	Licensed Representative	1, 4
ZHANG Kunyu	BSZ428	Licensed Representative	1, 4, 9
ZHANG Lanzhen	BTB392	Licensed Representative	1, 6
ZHANG Mengzhen	BTB907	Licensed Representative	1, 4
ZHANG MINKUN	BTC325	Licensed Representative	2
ZHANG Philippe Jianhong	BIS079	Licensed Representative	4, 9
ZHANG QI	BTC067	Licensed Representative	1, 2
ZHANG Senrui	BSZ724	Licensed Representative	1, 2, 4
ZHANG Shenqi	BTA220	Licensed Representative	1, 4, 9
ZHANG Siqi	BPS603	Licensed Representative	9
ZHANG Weite	BTC220	Licensed Representative	1, 6
ZHANG Xiaorui	BTB953	Licensed Representative	1, 2
ZHANG Xin	BTC260	Licensed Representative	1, 4, 6
ZHANG Xinyi	BTB712	Licensed Representative	1, 6
ZHANG Yi	BTB765	Licensed Representative	1, 4, 9
ZHANG Yiming	BTC189	Licensed Representative	1
ZHANG Yiming	BTB989	Licensed Representative	9
ZHANG Yu	APS294	Licensed Representative	4, 9
ZHANG Yuvia Xiao-Wen	BTC184	Licensed Representative	1, 9
ZHANG Yuxi	BSX771	Licensed Representative	1, 4
ZHANG Zhao	BSZ224	Licensed Representative	1, 4, 6
ZHANG Zhonghao	BSZ269	Licensed Representative	9
ZHANG Zhuyuan	BTA119	Licensed Representative	4
ZHAO Haoyang	BTC021	Licensed Representative	1, 2, 4
ZHAO Junhua	BSY108	Licensed Representative	1, 4
ZHAO Qining	BSZ508	Licensed Representative	1, 6
ZHAO Yunlong	BTB542	Licensed Representative	1, 4, 9
ZHENG Kaiqi	BTC202	Licensed Representative	1, 7
ZHENG Kecheng	BTB461	Licensed Representative	1, 4
ZHENG Lingkun	BTB874	Licensed Representative	1, 6

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2022**

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHENG Liwen	BTC003	Licensed Representative	1, 4, 6
ZHENG Yujia	BTB676	Licensed Representative	4
ZHONG Cheng	BSS903	Licensed Representative	1, 4, 9
ZHOU Hao	BBD871	Licensed Representative	1, 4, 9
ZHOU Jingxiao	BSZ110	Licensed Representative	4, 9
ZHOU Jingyu	BHO397	Licensed Representative	4, 9
ZHOU Litong	BTB544	Licensed Representative	1, 6
ZHOU WENRUI	BTB456	Licensed Representative	1, 6
ZHOU Xiangxin	BTB019	Licensed Representative	9
ZHOU Xiaoya	BTB954	Licensed Representative	1, 2
ZHOU Yanan	BQE762	Licensed Representative	9
ZHOU Yingxin	BTA163	Licensed Representative	1
ZHOU Zitao	BIJ004	Licensed Representative	1
ZHU Jiajia	BSS071	Licensed Representative	4, 9
ZHU Jianqing	BTB476	Licensed Representative	1, 4
ZHU Jiaqi	BSX860	Licensed Representative	1
ZHU Jingyi	BTB322	Licensed Representative	1, 4, 9
ZHU Pei	BTB458	Licensed Representative	1, 4
ZHU Ruize	BSZ689	Licensed Representative	4
ZHU Xiaoming	BTB503	Licensed Representative	6
ZHUANG Zhixie	BTA219	Licensed Representative	1, 4, 9
ZUO Zhe	BTC484	Licensed Representative	9
ABBOTT James Cooper	BST924	Licensed Representative - Responsible Officer	1, 4, 9
CHAN Wei-Jin Christopher	AMA925	Licensed Representative - Responsible Officer	4
CHEN Qinghua	BSR890	Licensed Representative - Responsible Officer	1, 4, 6
CHEN Shuyu	BSX577	Licensed Representative - Responsible Officer	4, 9
CHEN Zhipeng	BNL458	Licensed Representative - Responsible Officer	4, 9
CHEUNG Tan Feng	AWP683	Licensed Representative - Responsible Officer	1, 7
CHEUNG Teresa Yuk Wah	BGM554	Licensed Representative - Responsible Officer	1
DING Xiaojin	BSQ129	Licensed Representative - Responsible Officer	2
HE Chengzhang	BDW580	Licensed Representative - Responsible Officer	1, 4, 9
HO Sau Ming Silvia	BSX523	Licensed Representative - Responsible Officer	1, 4, 9
HO Wai Keung	AED136	Licensed Representative - Responsible Officer	9
HOWARTH James Alexander Douglas	BSY166	Licensed Representative - Responsible Officer	9
HUA Longxing	BCO561	Licensed Representative - Responsible Officer	4, 9
HUI Ming Chun, David	BSF571	Licensed Representative - Responsible Officer	1, 7
HUI Wai Man	AIU457	Licensed Representative - Responsible Officer	4, 9
IO San Keng	BIH714	Licensed Representative - Responsible Officer	4, 9
Jiang Wei	BSJ166	Licensed Representative - Responsible Officer	1, 4
KWAI Chun Chung	ANG552	Licensed Representative - Responsible Officer	1, 4, 9
KWONG King Chun	BJT521	Licensed Representative - Responsible Officer	9
LAM Tan Hung Karen	BSY393	Licensed Representative - Responsible Officer	1, 4, 9
LAU Ho Yin	AXV971	Licensed Representative - Responsible Officer	4, 9
LI Daye	BSQ488	Licensed Representative - Responsible Officer	4, 9
LI Xiaojing	BSW062	Licensed Representative - Responsible Officer	9
LI Yiu Fai	AYQ760	Licensed Representative - Responsible Officer	4, 9
LO Cho Yan	ACR637	Licensed Representative - Responsible Officer	3
LO Pao-Chi	APL954	Licensed Representative - Responsible Officer	4, 9
LO Siu Fai	AAV691	Licensed Representative - Responsible Officer	1

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2022**

CE Name	CE No.	Licence Type	Types of Regulated Activity
MA Xiaoli	BJZ738	Licensed Representative - Responsible Officer	9
MAN Po Kong	BAM862	Licensed Representative - Responsible Officer	4, 9
MERAZZI Reto	BLY251	Licensed Representative - Responsible Officer	1, 4, 9
PU Yuechuan	BPT472	Licensed Representative - Responsible Officer	1, 4
REN Zhishui	BSQ487	Licensed Representative - Responsible Officer	4, 9
SURI Varun	BSV522	Licensed Representative - Responsible Officer	9
TSANG Kim Po	AEZ492	Licensed Representative - Responsible Officer	1, 2
WARBANOFF Peter	BAT271	Licensed Representative - Responsible Officer	9
Xie Dan	BSJ162	Licensed Representative - Responsible Officer	1, 4
YEUNG Wing Cheong, Peter	BRR454	Licensed Representative - Responsible Officer	9
YIN Cong	BQO830	Licensed Representative - Responsible Officer	1, 4, 9
YIP Ka Lam	AJA184	Licensed Representative - Responsible Officer	4, 9
YUNG Lung-fan	AXG210	Licensed Representative - Responsible Officer	4, 9
ZHANG Jingruohao	BTB816	Licensed Representative - Responsible Officer	4, 9
ZHONG Zhi-ming	AXK115	Licensed Representative - Responsible Officer	1, 7
ZHOU Zhang	BPM241	Licensed Representative - Responsible Officer	1, 7
BAI Yang	BNZ129	Licensed Representative (Provisional Licence)	9
BAKER Jamie Ian	BTD377	Licensed Representative (Provisional Licence)	1, 2, 7
BENMASSAOUD Mehdi	BCH410	Licensed Representative (Provisional Licence)	1, 2, 4, 5
BONGIORNO Anthony Julian	APU943	Licensed Representative (Provisional Licence)	9
CAI Jiadian	BPB198	Licensed Representative (Provisional Licence)	1, 4, 9
CAO Tangning	BTD613	Licensed Representative (Provisional Licence)	6
CHAN Cheuk Hin Geoffrey	BTE521	Licensed Representative (Provisional Licence)	1, 4, 9
CHAN Ka Wai	BLR954	Licensed Representative (Provisional Licence)	1
CHAN Lam Wai	BEC590	Licensed Representative (Provisional Licence)	1, 4
CHAN Nga Yee, Lilian	AQP870	Licensed Representative (Provisional Licence)	1, 7
CHAN Pui Ching	BTD685	Licensed Representative (Provisional Licence)	1, 2
CHAN Shut Sang	BIH308	Licensed Representative (Provisional Licence)	1, 2
CHANG TSUI CHING	BTC779	Licensed Representative (Provisional Licence)	1, 4
CHAU Alexander Ho Ching	AQL854	Licensed Representative (Provisional Licence)	9
CHEN Chun-Ning	AYN039	Licensed Representative (Provisional Licence)	4
CHEN Kun	BQT597	Licensed Representative (Provisional Licence)	1, 2
CHEN Lijian	BTF311	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 9
CHEN Po-Chen	AVM131	Licensed Representative (Provisional Licence)	9
CHEN Ruoxi	BTC749	Licensed Representative (Provisional Licence)	1, 4
CHEN Wen	BNX571	Licensed Representative (Provisional Licence)	4, 9
CHEN Wenjing	BTD786	Licensed Representative (Provisional Licence)	1, 2
CHEN Yin-pei	BTE859	Licensed Representative (Provisional Licence)	1, 4
CHEN Zefeng	BTD792	Licensed Representative (Provisional Licence)	1, 6
CHENG Anqi	BTE665	Licensed Representative (Provisional Licence)	2
CHENG Hui	BTE722	Licensed Representative (Provisional Licence)	1, 6
CHENG Terence	BTE541	Licensed Representative (Provisional Licence)	1
CHENG Xinyu	BQB301	Licensed Representative (Provisional Licence)	1, 6
CHENG Yuxin	BTD678	Licensed Representative (Provisional Licence)	1, 6
CHONG Ching	APW809	Licensed Representative (Provisional Licence)	1, 2
CHONG Wen Bin Melvin	BFZ492	Licensed Representative (Provisional Licence)	1, 9
CHU Han Yee	AON777	Licensed Representative (Provisional Licence)	1
CHU Hsu Wen	BHU818	Licensed Representative (Provisional Licence)	1, 4, 9
CHUNG Ho Shing	BTD954	Licensed Representative (Provisional Licence)	9

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2022**

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHUNG Yuk Yin Barnabas	BTC415	Licensed Representative (Provisional Licence)	1
CUI Jinhuan	BTD527	Licensed Representative (Provisional Licence)	1, 4
CURRAN Ross Niall	AUC499	Licensed Representative (Provisional Licence)	4
CUSH James Kenneth	BTC249	Licensed Representative (Provisional Licence)	1, 2
DAVID Raphael	BTD912	Licensed Representative (Provisional Licence)	1, 4, 9
DENG Lifan	BKP402	Licensed Representative (Provisional Licence)	1
DIXIT Vaibhav	BTE723	Licensed Representative (Provisional Licence)	1, 7
DRAKE-BROCKMAN Robert David	BTE290	Licensed Representative (Provisional Licence)	1, 2
ENKHBAATAR Bolor	BTE530	Licensed Representative (Provisional Licence)	4
FAN Liangjin	BTC765	Licensed Representative (Provisional Licence)	10
FAN Nengkai	BTD908	Licensed Representative (Provisional Licence)	1, 4, 9
FANG Yongning	BTE899	Licensed Representative (Provisional Licence)	1, 4, 6
FAULKNER William Talbot	AXH731	Licensed Representative (Provisional Licence)	1, 7
FENG Jing	BGM253	Licensed Representative (Provisional Licence)	1, 2
FOK Kam Suet, Irene	AHL611	Licensed Representative (Provisional Licence)	4, 9
FUJI Naoya	BTE461	Licensed Representative (Provisional Licence)	4
FUNG Man Tak	AUJ066	Licensed Representative (Provisional Licence)	1
GAO Sen	BTE655	Licensed Representative (Provisional Licence)	1, 6
GE Xiaonan	BTD470	Licensed Representative (Provisional Licence)	1, 6
GONG Xiaodong	BTE292	Licensed Representative (Provisional Licence)	9
GUO Shiliang	BTD464	Licensed Representative (Provisional Licence)	1, 4, 6
GUO Siqin	BTD606	Licensed Representative (Provisional Licence)	1, 4, 9
GUO Tianyu	BTC463	Licensed Representative (Provisional Licence)	1, 6
HAGE Julien Gerald	AVV903	Licensed Representative (Provisional Licence)	4, 9
HAMADA Joyce Michiko	BHP540	Licensed Representative (Provisional Licence)	1, 4
HASHIMOTO Junichi	BTB960	Licensed Representative (Provisional Licence)	1, 4, 6
HAU Ging Wah, Terence	BBQ445	Licensed Representative (Provisional Licence)	1, 4, 9
HE JIAHAO	BTD913	Licensed Representative (Provisional Licence)	1, 6
HE Rui	BTC649	Licensed Representative (Provisional Licence)	1
HE Shuai	BCH499	Licensed Representative (Provisional Licence)	9
HO Brian Boon Yun	BAW986	Licensed Representative (Provisional Licence)	1
HO Ka Hang	ANH116	Licensed Representative (Provisional Licence)	1, 2, 4
HO Wai Yin	BTD577	Licensed Representative (Provisional Licence)	1
HONG Kwang Pyo	BTB557	Licensed Representative (Provisional Licence)	10
HU Zhongjun	BTE531	Licensed Representative (Provisional Licence)	1, 4, 6
HUANG Kaiwei	BTE640	Licensed Representative (Provisional Licence)	1, 4
HUANG Ke	BTE536	Licensed Representative (Provisional Licence)	1, 4, 6
HUANG Shiting	BTD703	Licensed Representative (Provisional Licence)	1, 6
HUANG Si Jia	BTC836	Licensed Representative (Provisional Licence)	1, 4
HUI Chi Ho	BTE998	Licensed Representative (Provisional Licence)	1
IKEDA Yunosuke	BTE132	Licensed Representative (Provisional Licence)	4
IP Wai Chung	BTE296	Licensed Representative (Provisional Licence)	1
JIA Xiaokan	BBP857	Licensed Representative (Provisional Licence)	1, 2, 4
JIA Xiaoyi	BTE315	Licensed Representative (Provisional Licence)	1, 4
JIANG Hao	AVM739	Licensed Representative (Provisional Licence)	1, 4
JIANG Nory Yu Yang	BSG269	Licensed Representative (Provisional Licence)	1, 2
JIANG Yilin Eveline	BLD329	Licensed Representative (Provisional Licence)	9
JIANG Zexin	BTC153	Licensed Representative (Provisional Licence)	1
JIAO Siyu	BSZ976	Licensed Representative (Provisional Licence)	1, 4, 6

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2022**

CE Name	CE No.	Licence Type	Types of Regulated Activity
JIN Zhouyang	BTE085	Licensed Representative (Provisional Licence)	1, 6
JING Kaiyan	BTE953	Licensed Representative (Provisional Licence)	4
JU Ran	BSY131	Licensed Representative (Provisional Licence)	6
KAMATA Ryohei	BTF321	Licensed Representative (Provisional Licence)	1, 2
KAN Chen	BTD897	Licensed Representative (Provisional Licence)	1, 4, 5
KE Yun	BTE538	Licensed Representative (Provisional Licence)	1, 4, 6
KHI Mun Loong, Terence	BTC488	Licensed Representative (Provisional Licence)	4
KOH Chee Sin	BLE454	Licensed Representative (Provisional Licence)	9
KOH Herbin Puay Teck	BSZ752	Licensed Representative (Provisional Licence)	4, 9
KONG Mingpeng	BTE180	Licensed Representative (Provisional Licence)	4
KONG YORK NGAR	BTE294	Licensed Representative (Provisional Licence)	4, 9
KOT Chung Sally	BTB826	Licensed Representative (Provisional Licence)	1, 4, 9
KUO Anthony Chuanjie	BFW602	Licensed Representative (Provisional Licence)	1, 4, 9
KUOK Pou San	BTD683	Licensed Representative (Provisional Licence)	1
KWOK Chun Hin	BTE550	Licensed Representative (Provisional Licence)	1
KWONG Billy Chi Sam	BTC942	Licensed Representative (Provisional Licence)	1
LAI Jamian Wong	BTD619	Licensed Representative (Provisional Licence)	1, 4, 9
LAL Shantanu	BTD376	Licensed Representative (Provisional Licence)	1, 4, 6
LAM Hiu Yan	BTC910	Licensed Representative (Provisional Licence)	10
LAM Ka Yee	BSD242	Licensed Representative (Provisional Licence)	1, 4
LAM Ka Yiu, Michael	BTE566	Licensed Representative (Provisional Licence)	1
LAM Wai Kin	ACU739	Licensed Representative (Provisional Licence)	1, 2, 4
LAU Chi Ying	BTE262	Licensed Representative (Provisional Licence)	1
LAU Pui Ching	BTE397	Licensed Representative (Provisional Licence)	1, 2, 3, 4
LAU Wun Ning	BTE232	Licensed Representative (Provisional Licence)	1, 4
LEE Cheuk Kin, Brian	BTC358	Licensed Representative (Provisional Licence)	1, 4, 9
LEE Juhung	BTE965	Licensed Representative (Provisional Licence)	1, 6
LEE Lai Fan	BTC939	Licensed Representative (Provisional Licence)	4, 9
LEE Lok Sze	BTE809	Licensed Representative (Provisional Licence)	1
LEE Nga Ying	APQ801	Licensed Representative (Provisional Licence)	1, 2, 4
LEE Sang Min	BPR457	Licensed Representative (Provisional Licence)	1
LEE Seungjae	BTE548	Licensed Representative (Provisional Licence)	1
LEE Sunwoo	BTD947	Licensed Representative (Provisional Licence)	4
LEE Yen Ting	BTD469	Licensed Representative (Provisional Licence)	1
LEONG Suen Lung Jeromy	BTE820	Licensed Representative (Provisional Licence)	1, 4, 6
LEUNG Ho Long	BTE769	Licensed Representative (Provisional Licence)	9
LEUNG Kwok Wai	BTC907	Licensed Representative (Provisional Licence)	1
LEUNG Theodore Jenkin Hong-yu	BTD548	Licensed Representative (Provisional Licence)	4, 9
LI Ka Tung	BTE277	Licensed Representative (Provisional Licence)	4, 9
LI Quentin Chun Yin	BOS053	Licensed Representative (Provisional Licence)	6
LI Xinwei	BHK128	Licensed Representative (Provisional Licence)	9
LI Yujie	BTD590	Licensed Representative (Provisional Licence)	1
LI Zhuoran	BTA009	Licensed Representative (Provisional Licence)	9
LIANG Chulei	BNE526	Licensed Representative (Provisional Licence)	1, 4, 6
LIANG Sijian	BTB996	Licensed Representative (Provisional Licence)	1, 4
LIANG Xuena	BJD178	Licensed Representative (Provisional Licence)	1, 4
LIN Hoi Ching	BQU126	Licensed Representative (Provisional Licence)	1, 2
LIN Mengxia	BMR359	Licensed Representative (Provisional Licence)	1, 4
LIN Tao	BRA893	Licensed Representative (Provisional Licence)	9

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2022**

CE Name	CE No.	Licence Type	Types of Regulated Activity
LING Kai Leong	BTD945	Licensed Representative (Provisional Licence)	1, 2, 7
LIU Bo	BTE266	Licensed Representative (Provisional Licence)	9
LIU Jinyang	AYC472	Licensed Representative (Provisional Licence)	1, 2
LIU Qiwen	BTC363	Licensed Representative (Provisional Licence)	4
LIU Shanshan	BTD565	Licensed Representative (Provisional Licence)	4, 9
LIU Shuai	BTE532	Licensed Representative (Provisional Licence)	1, 4, 6
LO Tsun Wing	BTE810	Licensed Representative (Provisional Licence)	1, 6
LU Cuiping	BCJ650	Licensed Representative (Provisional Licence)	1, 4, 9
LUI Sze Ying	BTE005	Licensed Representative (Provisional Licence)	10
LUK Kuk Lui	BTE419	Licensed Representative (Provisional Licence)	1, 2
LUK Tak Ching	BRY398	Licensed Representative (Provisional Licence)	1, 4, 9
LUO Rui	BTE030	Licensed Representative (Provisional Licence)	1
LUO Tantan	BTD366	Licensed Representative (Provisional Licence)	1
LYU Jiayi	BTD493	Licensed Representative (Provisional Licence)	1, 6
MA Li	BBG535	Licensed Representative (Provisional Licence)	1, 4
MA Nathan Hin Che	BTC736	Licensed Representative (Provisional Licence)	1, 4
MA Tin Martin	BIB630	Licensed Representative (Provisional Licence)	1, 4
MA Xinyuan	BTC359	Licensed Representative (Provisional Licence)	1, 6
MAK Wai Kit	BTD547	Licensed Representative (Provisional Licence)	4, 9
MALVIYA Naveen	BTE969	Licensed Representative (Provisional Licence)	4
MASSEY David James Antony	BTD957	Licensed Representative (Provisional Licence)	1, 2
MASTRANGELO Jean-Francois Michel Victor	BTC815	Licensed Representative (Provisional Licence)	1, 2
MASUDA Akiho	BTE215	Licensed Representative (Provisional Licence)	1
MATSUE Kanan	BTD332	Licensed Representative (Provisional Licence)	1, 4
MEHTA Avi	BTE038	Licensed Representative (Provisional Licence)	4
MIAO Wang	BTD612	Licensed Representative (Provisional Licence)	1
MIKAMI Shuji	BTB677	Licensed Representative (Provisional Licence)	1, 4
MING Di	BTD549	Licensed Representative (Provisional Licence)	9
MOK Chiu Ming	BTE760	Licensed Representative (Provisional Licence)	1, 4
MOLENAARS Sebastiaan Lennaert Herman Minne	BRR076	Licensed Representative (Provisional Licence)	1, 2
MOU Junjie	BTC857	Licensed Representative (Provisional Licence)	1, 4
MOU Yili	ARD767	Licensed Representative (Provisional Licence)	4, 9
NG Shun Cheung	BTB755	Licensed Representative (Provisional Licence)	4, 9
OGASAWARA Rei	BTF554	Licensed Representative (Provisional Licence)	4
OHTA Tomoko	BJR966	Licensed Representative (Provisional Licence)	1, 4
OUYANG Fangjia	BTE044	Licensed Representative (Provisional Licence)	4
PAN Leo	BTD486	Licensed Representative (Provisional Licence)	4
PAN Wai Fong, Lois	BTD574	Licensed Representative (Provisional Licence)	1, 4
PANAS George Alexander	BTC602	Licensed Representative (Provisional Licence)	1, 4, 6
PARK Sung Yul	BAM219	Licensed Representative (Provisional Licence)	1, 9
PARK Sunghyun	BQA764	Licensed Representative (Provisional Licence)	10
PERRING Sara Louise	BGQ175	Licensed Representative (Provisional Licence)	1, 2, 4, 5
QI Fan	BTD962	Licensed Representative (Provisional Licence)	1, 4, 9
QI Zheng	BTD704	Licensed Representative (Provisional Licence)	1, 6
QIAN Ike Qingyuan	BTE759	Licensed Representative (Provisional Licence)	1, 4, 6
RAVIKUMAR Chandru	BTE576	Licensed Representative (Provisional Licence)	1, 4
SAXENA Simran	BTE094	Licensed Representative (Provisional Licence)	1
SHI Huanyu	BTD636	Licensed Representative (Provisional Licence)	1, 4
SHI Lintong	BTC863	Licensed Representative (Provisional Licence)	1, 4, 9

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2022**

CE Name	CE No.	Licence Type	Types of Regulated Activity
SHI Yifan	BTB916	Licensed Representative (Provisional Licence)	1, 6
SHU Tong	BTE940	Licensed Representative (Provisional Licence)	1, 4, 9
SIM Zhe Wei	AOE808	Licensed Representative (Provisional Licence)	4
SIT Man Kei	BTD907	Licensed Representative (Provisional Licence)	1, 2, 9
SO Tik Hang	BTD785	Licensed Representative (Provisional Licence)	1
SONG Mervin Chien Yun	BTC487	Licensed Representative (Provisional Licence)	4
SONG Qi	BMC486	Licensed Representative (Provisional Licence)	4
SONG Yuchen	BPS233	Licensed Representative (Provisional Licence)	1, 6
SOUTHERGILL Bryan Taft	AFV166	Licensed Representative (Provisional Licence)	1, 4, 9
SU Patrick Yuhsiang	BRB312	Licensed Representative (Provisional Licence)	4, 9
SUN Guangyao	BTE086	Licensed Representative (Provisional Licence)	1, 6
SUN Huanqing	BTD599	Licensed Representative (Provisional Licence)	6
SUN Hui	BTD696	Licensed Representative (Provisional Licence)	1, 4, 9
SUN Runkai	BTD915	Licensed Representative (Provisional Licence)	1, 6
SUN Ruying	BTF044	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 9
SUN Shangtong	BTC700	Licensed Representative (Provisional Licence)	1, 9
SUNG Jui Lin	BTE019	Licensed Representative (Provisional Licence)	6
TAKEHANA Takatoshi	BNP701	Licensed Representative (Provisional Licence)	1
TAM King Yin, Kenneth	AQA664	Licensed Representative (Provisional Licence)	4, 9
TAM Tsz Wa	ALQ184	Licensed Representative (Provisional Licence)	4, 9
TAMURA Suzune	BTF184	Licensed Representative (Provisional Licence)	4
TAN Ye-Hui, James	AZY483	Licensed Representative (Provisional Licence)	1, 2, 7
TANG Lok Sze	BTB076	Licensed Representative (Provisional Licence)	1, 4
TANG Yau Pang	BTD616	Licensed Representative (Provisional Licence)	1, 2
TANG Yuwei	BTE546	Licensed Representative (Provisional Licence)	1, 6
TANG Zechen	BTE185	Licensed Representative (Provisional Licence)	1
TIAN Lai	BTD788	Licensed Representative (Provisional Licence)	1, 6
TIAN Yuan	BCV868	Licensed Representative (Provisional Licence)	1, 6
TING Patrick	ADI126	Licensed Representative (Provisional Licence)	1, 2
TSAI Yen-Cheng	BTE273	Licensed Representative (Provisional Licence)	9
TSANG Ho Yin	BTE162	Licensed Representative (Provisional Licence)	1
TSANG Ka Wai	BTC819	Licensed Representative (Provisional Licence)	1
TSOI Yiu Chun	BTC204	Licensed Representative (Provisional Licence)	1, 4, 9
TSUI Chun Man Benjamin	BJQ007	Licensed Representative (Provisional Licence)	1, 4, 9
VARMA Sandeep	BTD573	Licensed Representative (Provisional Licence)	4, 9
VICTORIA CHELSEA	BTD375	Licensed Representative (Provisional Licence)	1, 4
WAN Cheuk Pong	BIX392	Licensed Representative (Provisional Licence)	1, 2
WAN Derek Yiu Chung	BPE467	Licensed Representative (Provisional Licence)	1
WAN Li	AHD514	Licensed Representative (Provisional Licence)	1, 4
WAN Zhiyu	BJR274	Licensed Representative (Provisional Licence)	4, 9
WANG Duo	BTE134	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Haiman	BPK091	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Jing	BPN405	Licensed Representative (Provisional Licence)	1, 4
WANG Kun	BTA006	Licensed Representative (Provisional Licence)	1
WANG Le-sheng	ANT488	Licensed Representative (Provisional Licence)	1
WANG Lu	BTE121	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Yinglu	BTE124	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Yiqi	BTE507	Licensed Representative (Provisional Licence)	1, 2, 4
WEE Hui Yuan Eugene	BFS681	Licensed Representative (Provisional Licence)	1, 2



**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2022**

CE Name	CE No.	Licence Type	Types of Regulated Activity
WONG Hoi Fay Phoebus	BTC150	Licensed Representative (Provisional Licence)	1
WONG Kin Ming	AQE293	Licensed Representative (Provisional Licence)	1, 2
WONG Wing Leong	BTC619	Licensed Representative (Provisional Licence)	4, 9
WU Sum Yu Naree	BTE295	Licensed Representative (Provisional Licence)	1
WU Yangdong	AWN210	Licensed Representative (Provisional Licence)	1, 2, 4
WU Zherui	BTC789	Licensed Representative (Provisional Licence)	1, 6
XIAO Weili	BTC024	Licensed Representative (Provisional Licence)	4
XIE Musha	BNU359	Licensed Representative (Provisional Licence)	1, 2, 4
XING Yihan	BTD963	Licensed Representative (Provisional Licence)	4
XU Jingxin	BHZ082	Licensed Representative (Provisional Licence)	1, 4, 9
YAMAZAKI Shinichi	BTF005	Licensed Representative (Provisional Licence)	4
YAN Xiaofang	BST218	Licensed Representative (Provisional Licence)	4
YAN Yue	BTD607	Licensed Representative (Provisional Licence)	1, 4, 9
YANG Han	BTE498	Licensed Representative (Provisional Licence)	1, 4
YANG Ran	BTE491	Licensed Representative (Provisional Licence)	1, 4
YANG Sheng	BTD572	Licensed Representative (Provisional Licence)	1, 4
YANG Xue	BTD716	Licensed Representative (Provisional Licence)	1, 4
YANG Yuxin	BTD677	Licensed Representative (Provisional Licence)	1, 6
YANG Zi	BTE122	Licensed Representative (Provisional Licence)	1, 4, 6
YAP Zhen Yin	BTD994	Licensed Representative (Provisional Licence)	1
YE Zhihan	BTE282	Licensed Representative (Provisional Licence)	4
YEH Lincoln Peng Lim	BTC499	Licensed Representative (Provisional Licence)	1, 4, 9
YEO HUI MIN MICHELLE	BTE654	Licensed Representative (Provisional Licence)	1
YEUNG Tsz Hin	BTD559	Licensed Representative (Provisional Licence)	1
YIP Ching Lam	BRQ740	Licensed Representative (Provisional Licence)	1, 4
YIP Yuk Kei	BTD408	Licensed Representative (Provisional Licence)	4
YOU Ran	BTB814	Licensed Representative (Provisional Licence)	4, 5, 9
YU Chun Kiu Raphael	BTD633	Licensed Representative (Provisional Licence)	4
YU Jiapeng	AZW132	Licensed Representative (Provisional Licence)	1, 6
YU Siu Lung	BCI432	Licensed Representative (Provisional Licence)	4, 9
YUAN Yilin	BSX061	Licensed Representative (Provisional Licence)	4
YUEN Chi Kin	BTF197	Licensed Representative (Provisional Licence)	1, 2
YUEN Lai Lai	BTD372	Licensed Representative (Provisional Licence)	1, 4
YUEN Man Kiu Alvina	BSZ195	Licensed Representative (Provisional Licence)	1, 4
YUEN Pui Kwong, George	ACG743	Licensed Representative (Provisional Licence)	1, 4
YUEN Wing Yip William	BTD415	Licensed Representative (Provisional Licence)	1, 2
ZENG Yuexin	BIN294	Licensed Representative (Provisional Licence)	9
ZHANG Haoqiang	BTC795	Licensed Representative (Provisional Licence)	1, 6
ZHANG Jiajie	BTE274	Licensed Representative (Provisional Licence)	1, 4
ZHANG Joe Zhou	BTC052	Licensed Representative (Provisional Licence)	1, 4
ZHANG Junchen	BTC628	Licensed Representative (Provisional Licence)	1, 6
ZHANG Mengyuan	BJK877	Licensed Representative (Provisional Licence)	4, 6, 9
ZHANG Nini	BTE359	Licensed Representative (Provisional Licence)	1, 2, 4, 5
ZHANG Pengfei	BTE123	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Siqi	BPS603	Licensed Representative (Provisional Licence)	9
ZHANG Weite	BTC220	Licensed Representative (Provisional Licence)	1, 6
ZHANG Xiaying	BCL115	Licensed Representative (Provisional Licence)	1, 4
ZHANG Yangbin	BTE638	Licensed Representative (Provisional Licence)	1, 4, 9
ZHANG Yibo	AZX335	Licensed Representative (Provisional Licence)	1, 4

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2022**

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHAO Xiyang	BTE119	Licensed Representative (Provisional Licence)	4
ZHENG Hui	BKB004	Licensed Representative (Provisional Licence)	1, 2
ZHENG Shumeng	BQY944	Licensed Representative (Provisional Licence)	1, 2, 4
ZHENG Wan Ying	BTD935	Licensed Representative (Provisional Licence)	1, 2
ZHI Jingxuan	BFU748	Licensed Representative (Provisional Licence)	1, 6
ZHONG Xin	BTD378	Licensed Representative (Provisional Licence)	1, 2, 4
ZHONG Yu	BTD905	Licensed Representative (Provisional Licence)	1, 4
ZHOU Gang	AWP701	Licensed Representative (Provisional Licence)	1, 4
ZHOU Jingyu	BHO397	Licensed Representative (Provisional Licence)	4, 9
ZHU Jiajia	BSS071	Licensed Representative (Provisional Licence)	4, 9
ZHU Lida	BTD393	Licensed Representative (Provisional Licence)	4
ZHU Qinyi	BTF199	Licensed Representative (Provisional Licence)	4
ZHU Yexin	BTC407	Licensed Representative (Provisional Licence)	1, 4, 6
ZHU Zirui	BTE316	Licensed Representative (Provisional Licence)	1, 6
ZHUANG Huaichao	BTE209	Licensed Representative (Provisional Licence)	4
ZUO Zhe	BTC484	Licensed Representative (Provisional Licence)	9
AIKAWA Futoshi	AXF374	Licensed Representative (Temporary Licence)	1, 6
CAO Haifeng	BFU746	Licensed Representative (Temporary Licence)	4
CHOU LI-LING	BTD902	Licensed Representative (Temporary Licence)	1, 4
CORDEN-LLOYD Alistair John	BTE478	Licensed Representative (Temporary Licence)	1
DARMONO Permadawani	BJH031	Licensed Representative (Temporary Licence)	4
DI CORCIA Annalisa	BTE666	Licensed Representative (Temporary Licence)	1
DUNGER Matthew John	BFF148	Licensed Representative (Temporary Licence)	4
ERRINGTON David Justin	BAM261	Licensed Representative (Temporary Licence)	4
FOO Hui Fang	APF951	Licensed Representative (Temporary Licence)	1, 2
FORSYTHE William James Patrick	BAZ170	Licensed Representative (Temporary Licence)	1, 2
FUKUYAMA Kenji	BTF593	Licensed Representative (Temporary Licence)	4
GRUSCH ALFRED	BTE186	Licensed Representative (Temporary Licence)	1
HALLIWELL Thomas Alan	ANL835	Licensed Representative (Temporary Licence)	1, 2
HO Jun Jie Kenneth	BAF511	Licensed Representative (Temporary Licence)	1
HWANG Eoyeon	BTE076	Licensed Representative (Temporary Licence)	4
HWANG Kyung Jae	BAJ130	Licensed Representative (Temporary Licence)	4
JAMES Ryan Keith	BTE667	Licensed Representative (Temporary Licence)	1, 6
JUNG Ho Yoon	BOH718	Licensed Representative (Temporary Licence)	4
KANG Kyungtae	BTF035	Licensed Representative (Temporary Licence)	4
KAO Lin-Ya	BTC778	Licensed Representative (Temporary Licence)	4
KINOSHITA Yoshiyuki	BBL047	Licensed Representative (Temporary Licence)	4
KONDO Ken	AUY917	Licensed Representative (Temporary Licence)	1
KORBER Max Kolya Amerasinghe	BSY344	Licensed Representative (Temporary Licence)	1, 2
KORBER Max Kolya Amerasinghe	BSY344	Licensed Representative (Temporary Licence)	1, 2
KUPLANT Christopher	BEX637	Licensed Representative (Temporary Licence)	4
KUPLANT Christopher	BEX637	Licensed Representative (Temporary Licence)	4
LEE Brian Walter	BTE963	Licensed Representative (Temporary Licence)	4
LEE Joon Ho	ATX670	Licensed Representative (Temporary Licence)	4
LIN Li-Chun	BIG303	Licensed Representative (Temporary Licence)	4
LIN Ming-De	BEC282	Licensed Representative (Temporary Licence)	4
MAGGON Nitin	BTE113	Licensed Representative (Temporary Licence)	1, 4
MCCARTHY Kyle A	BTD501	Licensed Representative (Temporary Licence)	1, 4
MCCUTCHEON Kate Elizabeth	BTC188	Licensed Representative (Temporary Licence)	4

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2022**

CE Name	CE No.	License Type	Types of Regulated Activity
MCTAGGART Paul Joseph	AUW886	Licensed Representative (Temporary Licence)	4
MORIYA Tomo	BTE882	Licensed Representative (Temporary Licence)	1
MURATA Alfred Taketo	BLE987	Licensed Representative (Temporary Licence)	1, 4
NAIR Girish Ravindran	AVI811	Licensed Representative (Temporary Licence)	4
NAKATOMI Ryosuke	BKV309	Licensed Representative (Temporary Licence)	1
NISHIZAWA Arashi	BOR475	Licensed Representative (Temporary Licence)	4
PAPANDREA Benjamin Joseph	BOB580	Licensed Representative (Temporary Licence)	1
PARK Jee Hoon	ASL108	Licensed Representative (Temporary Licence)	4
PATANIA Nicholas Salvatore	BTD819	Licensed Representative (Temporary Licence)	1
RANASINGHE Dushan Hasitha	BTE017	Licensed Representative (Temporary Licence)	1
SASAKI Futoshi	BEZ608	Licensed Representative (Temporary Licence)	4
SHAH Amish Chandrakant	AUW978	Licensed Representative (Temporary Licence)	4
SHROFF Abhijit	BOM516	Licensed Representative (Temporary Licence)	1
SINGLA Anuj	BLW779	Licensed Representative (Temporary Licence)	4
SWAMINATHAN Anand	AUW975	Licensed Representative (Temporary Licence)	4
TAN Jia	BBZ469	Licensed Representative (Temporary Licence)	1, 4
TAN Li Kien	BSX357	Licensed Representative (Temporary Licence)	1, 4
TEASDEL Joel Hamish Gregory John	BOL757	Licensed Representative (Temporary Licence)	1
THOMPSON Michael Frazier	BJX191	Licensed Representative (Temporary Licence)	4
TSANG Siu Hin, Gary	BJG568	Licensed Representative (Temporary Licence)	4
TUPPER Nigel Robert	ACS592	Licensed Representative (Temporary Licence)	4
WANG Shuai	BHV648	Licensed Representative (Temporary Licence)	1
WIGLEY Mary-Therese	BTF440	Licensed Representative (Temporary Licence)	1
YAMAGUCHI Miho	BBD148	Licensed Representative (Temporary Licence)	1
YANG Dong	BBZ142	Licensed Representative (Temporary Licence)	1, 4
YANG Hung-Chi	AXI461	Licensed Representative (Temporary Licence)	1, 4
YANG Ta-Wei	BOT093	Licensed Representative (Temporary Licence)	1
YOSHIKAWA Ken	BMX497	Licensed Representative (Temporary Licence)	1
Fusion Bank Limited	BOJ553	Registered Institution	1, 4