

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2023

CE Name	CE No.	Licence Type	Types of Regulated Activity
Atlas Square Partners (HK) Limited	BTT170	Licensed Corporation	9
Barentsz Asset Management Limited	BTV134	Licensed Corporation	4, 9
Beyond Ventures Asset Management Limited	BTQ852	Licensed Corporation	4, 9
Black Tusk Partners Limited	BTV960	Licensed Corporation	1
BSQ Capital Management Limited	BTT030	Licensed Corporation	9
Bull Capital Limited	BTP010	Licensed Corporation	4, 9
Capstone Capital Management Limited	BTU079	Licensed Corporation	4, 9
Delftware Capital Management (HK) Limited	BTJ474	Licensed Corporation	4, 9
Gladwealth Investment Group Limited	BTW192	Licensed Corporation	9
Headwater Capital Company Limited	BTR003	Licensed Corporation	9
House of Champions (HK) Limited	BTQ916	Licensed Corporation	4, 9
J & Partners Capital Limited	BTT222	Licensed Corporation	6
Man Investments (Hong Kong) Limited - GLG Partners Hong Kong Limited (AVV378) and Man Investments (Hong Kong) Limited (ADI920) amalgamated on 3 October 2023, pursuant to the relevant provisions of the Companies Ordinance (Chapter 622). The amalgamated company is Man Investments (Hong Kong) Limited (BUD110).	BUD110	Licensed Corporation	1, 2, 3, 4, 9
Maoyuan Capital (HK) Limited	BTS864	Licensed Corporation	4, 9
Pinsen International (Hong Kong) Limited	BTJ465	Licensed Corporation	1, 4
S Harmony Asset Management Limited	BTU313	Licensed Corporation	4, 9
Smooth Noble (Globe) Limited	BTF129	Licensed Corporation	4, 9
Whole Grain Asset Management Company Limited	BTT287	Licensed Corporation	4, 9
Wisdom Cosmic Securities Limited	BTM530	Licensed Corporation	1
WSI Asset Management Limited	BTU173	Licensed Corporation	4, 9
ABDELGHANI Abdelmoneam Hesham Mosaad	BUC622	Licensed Representative	1, 4, 6
ABDUL MANAF Abdul Hadi	BUC021	Licensed Representative	4
ADDAGATLA Praveen Shreenivas	BSE114	Licensed Representative	4, 9
AGARWAL Radhika Ankush	BUD460	Licensed Representative	1
AI Jiao	BUB305	Licensed Representative	1, 6
ANICETO Myles Benedict Santos	BUC285	Licensed Representative	1
BAI Jiaying	BUA576	Licensed Representative	1, 2, 4
BAO Chencong	BUC430	Licensed Representative	4
BAO Li	BUD894	Licensed Representative	4
BENTLEY Charles Robert Stephen	BUD981	Licensed Representative	4
BHAGAT Srujan	BUE083	Licensed Representative	1
BRETON Felix Nicolas	BUE303	Licensed Representative	1, 2
BU Yuyao	BUC145	Licensed Representative	1
BUBNA Archit	BUD415	Licensed Representative	1, 2
BYUN Jeong Sub	BUC410	Licensed Representative	9
CAI Yuezhe	BUC817	Licensed Representative	4, 9
CHADHA Jashandeep Singh	BUC287	Licensed Representative	4
CHAINANI Suveer	BHV136	Licensed Representative	1
CHAN Chun Hin	BCO771	Licensed Representative	1, 9
CHAN Chung Yin	BTZ968	Licensed Representative	1, 2
CHAN Doris	BUC284	Licensed Representative	1
CHAN Hei Ting	BUC356	Licensed Representative	1, 4, 9
CHAN Ka Ling	BMH153	Licensed Representative	1, 4
CHAN Kam Wa	BUD263	Licensed Representative	1, 4, 9
CHAN Kwok Ching	BUB875	Licensed Representative	1, 4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2023

CE Name	CE No.	Licence Type	Types of Regulated Acitivity
CHAN Man Ho	BUB022	Licensed Representative	1, 2
CHAN PUI YIN	BUD058	Licensed Representative	4, 9
CHAN Sau Mei	BUD992	Licensed Representative	6
CHAN Wilson Kai Shun	BUC710	Licensed Representative	1, 4
CHAN Yuen Yee, Pinky	AFI268	Licensed Representative	1, 4
CHANG Wan, David	AFH129	Licensed Representative	1, 4
CHAU Ho Wan Owen	BUB828	Licensed Representative	6
CHEN Changli	BUE172	Licensed Representative	1, 4
CHEN Chin-Wun	BTZ840	Licensed Representative	4
CHEN Danlu	BUC194	Licensed Representative	1, 6
CHEN Guanyan	BUC186	Licensed Representative	4, 9
CHEN Liming	BID773	Licensed Representative	1, 2, 4
CHEN Lingxin	BUD714	Licensed Representative	1
CHEN Lixian	BUD416	Licensed Representative	9
CHEN Long	BOW916	Licensed Representative	4, 9
CHEN Molin	BTY297	Licensed Representative	4, 9
CHEN Qi	BUC193	Licensed Representative	6
CHEN SI	BUC168	Licensed Representative	4
CHEN Siyi	BUB175	Licensed Representative	6
CHEN Wenbin	BUC619	Licensed Representative	1, 4, 6
CHEN Yishao	BUB216	Licensed Representative	6
CHEN Zhengzhe	BUD940	Licensed Representative	1, 4, 9
CHEN Zhongwei	BUC137	Licensed Representative	4, 9
CHENG Yu Yuan, Matthew	AMC369	Licensed Representative	4
CHEUNG Yumou	BUC350	Licensed Representative	1, 4
CHEUNG Chi Leung	BUD497	Licensed Representative	1, 2, 9
CHEUNG Chun Lok	BUD831	Licensed Representative	1, 2
CHEUNG Kwan On	AFW481	Licensed Representative	1, 2
CHEUNG Man Shun	BPF015	Licensed Representative	1
CHEUNG Yi Man	BUC714	Licensed Representative	1, 2
CHI Jiahui	BUC760	Licensed Representative	1, 2, 4
CHIN Russell Edward	BUC275	Licensed Representative	1
CHIU Lok Hang	BUC796	Licensed Representative	6
CHIU Tsung Yin Justin	BUD513	Licensed Representative	1, 2, 4, 9
CHO Seok Sang	BUC774	Licensed Representative	10
CHOI YOUN WOO	BUD906	Licensed Representative	1
CHONG Chi Yan	AVH086	Licensed Representative	1, 2
CHOW Kwok Hong	BPX897	Licensed Representative	1
CHOW Sai Keung	AFD464	Licensed Representative	1, 2, 4, 5
CHU Kwok Ming	BTA142	Licensed Representative	4, 9
CHU Lai Ching	BCS756	Licensed Representative	1, 4
CHU Yuan Chen	BNT780	Licensed Representative	1, 4
CHUI Yat Ming	BUF701	Licensed Representative	1, 2
CLAYTON Bruce Carleton	BUC282	Licensed Representative	1
CUI Hailiang	BTY772	Licensed Representative	1, 4, 9
DE MIRANDA CARDOSO Jose Vinicius	BUE052	Licensed Representative	1, 2
DENG Yue	BUA993	Licensed Representative	4
DING Chaonuo	BUB256	Licensed Representative	6
DING Jiashu	BUC413	Licensed Representative	9

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CE Name	CE No.	Licence Type	Types of Regulated Activity
DING Mengqi	BUD994	Licensed Representative	6
DOERING Michael Roger	ANQ240	Licensed Representative	1, 7
DONG Mei	AUX150	Licensed Representative	1, 4, 9
DOWD Daniel Vincent	BNZ296	Licensed Representative	4
DU Jinfeng	BUC797	Licensed Representative	1, 4, 6
DU Pei	BUD048	Licensed Representative	1
DU Xiaoyu	BUC557	Licensed Representative	9
DU Yurong	BUB262	Licensed Representative	1, 2, 4
DWERRYHOUSE Alan Damian	ARP686	Licensed Representative	1
ENGINEER Piran Tehmton	BUC037	Licensed Representative	4
FAN Bonnie Wing-Yun	BUD307	Licensed Representative	6
FAN Hongsen	BUD985	Licensed Representative	1
FAN Xiyao	BTZ332	Licensed Representative	1, 4, 9
FAN Yuxin	BUB960	Licensed Representative	1, 2, 4
FANG Hsin Yu	BUA959	Licensed Representative	6
FANG Xuanning	BUA482	Licensed Representative	1, 6
FANG Yifeng	BUD031	Licensed Representative	1, 6
FEI Shiyi	BUB940	Licensed Representative	4, 9
FENG Jerry Dongdong	BAD301	Licensed Representative	9
FENG Jiaqi	BUB193	Licensed Representative	1, 4
FENG Jinge	BUE063	Licensed Representative	6
FENG YINGUO	BUD072	Licensed Representative	9
FLORENTIN Clement	BNQ440	Licensed Representative	1, 2
FLOYD Michael	APK722	Licensed Representative	1
FONG Bianca Marques Ferreira	BUC842	Licensed Representative	1
FU Changdao	BUB144	Licensed Representative	1, 2
FUNG Man Yee	BRV727	Licensed Representative	1, 4
GAO Feng	BUC584	Licensed Representative	4, 9
GAO Haojue	BUC276	Licensed Representative	1
GAO Yuan	BUD008	Licensed Representative	1, 6
GARRICK Jonathan	AGM460	Licensed Representative	9
GUO Fangdi	BUD114	Licensed Representative	1, 2, 4
GUO Xiao	BUA216	Licensed Representative	1
GUO XiaoHang	BUC263	Licensed Representative	1, 6
HAGIHIRA Rikiya	BUC461	Licensed Representative	1, 4
HAN Bosong	BUD042	Licensed Representative	1, 6
HAN Kuankuan	BUC700	Licensed Representative	1, 6
HAN Qiuchen	BUA419	Licensed Representative	1, 2
HAN Yinsu	BTZ865	Licensed Representative	1, 6
HE Fang	BUD451	Licensed Representative	1, 4
HE Wenqi	BUC440	Licensed Representative	1, 6
HE YATING	BUB018	Licensed Representative	4
HE Zhixuan	BUD315	Licensed Representative	1, 2
HOLLEY Gregory James	BUD050	Licensed Representative	1, 2
HON Lun Pin	BUD043	Licensed Representative	1
HONG Zuan	BUE160	Licensed Representative	6
HOU Wen Hsuan	BUC572	Licensed Representative	9
HSIEH Jiun-Hong	BUC832	Licensed Representative	1
HSU Leo Ho-wu	BUC869	Licensed Representative	1

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CE Name	CE No.	Licence Type	Types of Regulated Activity
HU Feizhou	BUD190	Licensed Representative	1
HU Tiange	BUB165	Licensed Representative	9
HU Yilei	BUD821	Licensed Representative	1, 2
HUANG Hao	BUD176	Licensed Representative	1, 4
HUANG Tianming	BUB906	Licensed Representative	1, 4, 9
HUANG Wenyan	BUB285	Licensed Representative	1, 4, 6
HUANG Xiao	BUD634	Licensed Representative	1, 6
HUANG Ziwei	BUD410	Licensed Representative	1, 4
HUEN Hoi Fai	AAG776	Licensed Representative	1
HUNG Tak Hon, David	ALF750	Licensed Representative	1
HUSSAIN Abrar	BUC640	Licensed Representative	1, 4, 6
IMAZU Nanako	AVU642	Licensed Representative	1
JAMES Ryan Keith	BTE667	Licensed Representative	1, 6
JI Ming	BCD281	Licensed Representative	1
JI Xiaojie	BUE515	Licensed Representative	1, 2, 4, 9
JI Yifan	BUB884	Licensed Representative	1, 6
JIANG Menghui	BUD818	Licensed Representative	1, 4, 6
JIANG Zixin	BUD227	Licensed Representative	1, 4, 9
JIN LING	BUD875	Licensed Representative	1, 4, 9
JUNG Eun	BLV431	Licensed Representative	9
JUNG Seyun	BUC444	Licensed Representative	1, 4, 9
KABRA Aryansh Puneet	BUC894	Licensed Representative	1, 2
KAMALARASA Achuthan	BUC707	Licensed Representative	1, 2
KANG Kai	BUD638	Licensed Representative	9
KAO Yi-Ting	BUC035	Licensed Representative	4
KEERATIBUNHARN Nippitch	BUC772	Licensed Representative	1, 4, 9
KEUNG Hoi Man	BUE165	Licensed Representative	1
KHANNA Nonica	BUC743	Licensed Representative	6
KHOO Pui Wun	AGO218	Licensed Representative	1, 2, 4, 9
KIM Dong Jun	BUC160	Licensed Representative	4
KO Chi Pang	BUC701	Licensed Representative	1, 4
KOMORI Yuta	BUD066	Licensed Representative	1, 4, 6
KONG Hoi Lam	BUC476	Licensed Representative	9
KONG Hoi Shuen	BFJ638	Licensed Representative	1, 4, 9
KUO Chia Hsuan	BUF764	Licensed Representative	1, 4
KURODA Ayumu	BAF303	Licensed Representative	9
KWAN Wang Chi, Beryl	BAD777	Licensed Representative	4, 9
KWOK Wing Lam, Michelle	BUC314	Licensed Representative	4, 9
KWOK Yu Sing	BUA794	Licensed Representative	1
LAI Chiu Chi	AFZ866	Licensed Representative	1, 4
LAI Tsz Kit, Danny	BEW346	Licensed Representative	4, 9
LAI Wing Suen	BUB068	Licensed Representative	1, 9
LAKHAN Kunal Bhikaji	BUB078	Licensed Representative	4
LAKHOTIA Tarun	BUC277	Licensed Representative	1
LAM Chi Yan	BUF969	Licensed Representative	1
LAM Wai Sing John	AUI363	Licensed Representative	1, 4, 9
LAU Hoi Ngai Jason	BUC450	Licensed Representative	10
LAU Nga Kam	BUD057	Licensed Representative	4, 9
LAU Po Yan	ACS124	Licensed Representative	1

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CE Name	CE No.	Licence Type	Types of Regulated Activity
LAU Yan Tone	BUF594	Licensed Representative	1
LE Jiming	BUC183	Licensed Representative	9
LEE Beom Jun	AVV713	Licensed Representative	1
LEE Chun Bong	ALR864	Licensed Representative	4, 9
LEE Ha Ram	BUC201	Licensed Representative	1, 4
LEE Jae Chang	BUB100	Licensed Representative	1
LEE Kyung Min	BUB297	Licensed Representative	1, 4
LEE Natasha Ching Wan	BUC624	Licensed Representative	1, 4, 9
LEE Nok Yin	BDJ597	Licensed Representative	4, 9
LEE Sherry Yi-Hsuan	BUC437	Licensed Representative	1
LEE Yan Kit	BUC500	Licensed Representative	4, 9
LEUNG Alexander	ANH673	Licensed Representative	1, 4, 9
LEUNG Hang Tung	BTT599	Licensed Representative	4, 9
LEUNG Hong Lam	BQQ723	Licensed Representative	1, 4
LEUNG Jeff Man-jit	BUB978	Licensed Representative	1, 2
LEUNG Ka Chung	AFE385	Licensed Representative	1
LEUNG Ka Hei	BUC418	Licensed Representative	1, 2
LEUNG Tsz Ning Janice	BUC679	Licensed Representative	6
LEVRAT Jeremie Charles Cyrille	BUC639	Licensed Representative	1, 4, 6
LI Chen	BHF473	Licensed Representative	1
LI Feilong	BUD558	Licensed Representative	4, 9
LI Hao	BUC327	Licensed Representative	4
LI Jingyun	BUA484	Licensed Representative	4
LI Li	BEI715	Licensed Representative	1
LI Lingwei	BUD222	Licensed Representative	4
LI Louiee	BUA830	Licensed Representative	4, 9
LI Qian	BUC447	Licensed Representative	1, 2, 4, 5
LI Runze	BUA595	Licensed Representative	4
LI Wai Lun	BFT930	Licensed Representative	1, 2
LI Xiao	BUC538	Licensed Representative	4
LI Xueyang	BUD019	Licensed Representative	1, 6
LI Yi	BUD195	Licensed Representative	9
LI Yifan	BUA539	Licensed Representative	1, 6
LI Yijing	BUE304	Licensed Representative	1
LI Ying	BUB838	Licensed Representative	1, 4, 6
LI Ying	BUC578	Licensed Representative	1, 4, 9
LI Yinxuan	BUB052	Licensed Representative	1, 6
LI Youdan	AUV418	Licensed Representative	6
LI Yuxiang	BQU403	Licensed Representative	1, 4, 9
LI Zhuguang	BUE154	Licensed Representative	1, 4, 6
LIANG Bing	BPT880	Licensed Representative	1, 6
LIANG Kar Ming , Terence	AEU222	Licensed Representative	1, 4
LIAO Yueqing	BTY485	Licensed Representative	1, 2, 4
LIN Ming-jung	AUG886	Licensed Representative	1
LIN Xiaorong	BNB435	Licensed Representative	1, 4, 6
LIN Xuanxuan	BQO616	Licensed Representative	1, 4, 9
LIN Zijing	BUA160	Licensed Representative	1, 9
LIU Jiayi	BUC116	Licensed Representative	1, 6
LIU Ka Hei	BUC728	Licensed Representative	1, 4, 9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2023

CE Name	CE No.	Licence Type	Types of Regulated Acitivity
LIU Rui	BUD301	Licensed Representative	9
LIU Shihuijun	BLH483	Licensed Representative	1
LIU Wan Yi Winnie	BNL118	Licensed Representative	1, 2, 4
LIU Wenqi	BUC419	Licensed Representative	1, 2
LIU Xuhan	BUB955	Licensed Representative	1, 6
LIU Yuanyuan	BUD524	Licensed Representative	1, 6
LIU Ziruo	BUC154	Licensed Representative	1, 6
LO Chak Sum	BUD069	Licensed Representative	1, 4, 9
LO Chi Fun	AUO049	Licensed Representative	4, 9
LO Wan Yin	BUD934	Licensed Representative	9
LOU Jiayi	BEV723	Licensed Representative	9
LU Jiawei	BUB248	Licensed Representative	1, 2, 4
LU Ying	BUE030	Licensed Representative	1
LUI Yiu Cho, Samson	ABE957	Licensed Representative	1, 4, 9
LUO Na	BTB739	Licensed Representative	1
LUO Yinzi	BUE075	Licensed Representative	6
MA CHUAN	BUB849	Licensed Representative	4
MA Pengyu	BTZ866	Licensed Representative	1, 6
MA Xinping	BUD326	Licensed Representative	1, 4
MAGERAND Arnaud Eric Marcel Georges	BMX690	Licensed Representative	2, 5
MAK King Ho	BUE223	Licensed Representative	1
MAK Ming Hin	BRH551	Licensed Representative	1, 4, 9
MANOUKIAN Shante Sassoon	AXQ228	Licensed Representative	1
MCINTOSH James David	BOF474	Licensed Representative	1
MEHROTRA Shubhali	BUE152	Licensed Representative	1, 2
MO Yujia	BUC195	Licensed Representative	6
MURTAZA Mahir	BUC321	Licensed Representative	1
NG Jody	BTN215	Licensed Representative	1, 4, 6
NG Kelvin	BUD746	Licensed Representative	1, 6
NG Wing Sze	BUC434	Licensed Representative	1
NGAI Ho Fung	BUC776	Licensed Representative	1, 2
NI Wei-i	BFC747	Licensed Representative	1
NOMOTO Masayuki	AXA146	Licensed Representative	1, 4, 6
PANG Yuwei	BTV854	Licensed Representative	4, 9
PARK Jun Hyun	BUF491	Licensed Representative	1, 4, 9
PHANG Pok	BTX755	Licensed Representative	1, 4, 9
POON Chok Kei	BIR704	Licensed Representative	9
POON Ka Yee Joanna	AGL868	Licensed Representative	1, 4
PRACEY-SMITH Robert Dowden	APU806	Licensed Representative	4, 5, 9
QI Longyu	BUB951	Licensed Representative	1, 4, 9
QIAN Hao	BHC779	Licensed Representative	4
QIU Yue	BUC122	Licensed Representative	1, 4, 9
RAGUNATHAN Jenagan	BUD193	Licensed Representative	9
RAJA ALI Raja Nur Aqilah	BUC638	Licensed Representative	4
REN Fei	BUA941	Licensed Representative	6
REN Qinglian	BUD904	Licensed Representative	1, 6
REN Yida	BUC143	Licensed Representative	6
REN Yutong	BUD474	Licensed Representative	1
RHEE Jason	BUC779	Licensed Representative	1, 4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2023

CE Name	CE No.	Licence Type	Types of Regulated Activity
RUAN Wenjun	ARE186	Licensed Representative	4, 9
RUAN Yuan	BUD744	Licensed Representative	1, 4, 6
RUAN Zhenjie	BUE261	Licensed Representative	9
SALIBA Marc Louis Rene	BUC281	Licensed Representative	1
SANO Takashi	BTY256	Licensed Representative	1, 2, 4
SAWICKI Anita Michelle	BUD189	Licensed Representative	4
SHAN Shining	BUD861	Licensed Representative	1, 4
SHEN Xin	BGH442	Licensed Representative	1, 6
SHIN Dong Cheol	BUC621	Licensed Representative	4
SHIVANI Shreya	BUC159	Licensed Representative	4
SHUEN Pik Ying	BHP835	Licensed Representative	1
SLEIPNES Erik Aake Oeivind	BUD495	Licensed Representative	9
SOHN Jung Ho	BUE016	Licensed Representative	1, 2
SPITTY Luke Robert Soltész	BUC567	Licensed Representative	1, 4, 6
SUEN Lai Lilian	BUD467	Licensed Representative	1
SUN Jiahao	BUC351	Licensed Representative	1, 4
SUN Lingyi	BUC272	Licensed Representative	1, 4
SUN Yimeng	BUA142	Licensed Representative	1, 6
SUN Yue	BUD979	Licensed Representative	1, 6
SWARBRECK Peter William	ANW415	Licensed Representative	1, 4
TAI Ka Chun	BPY617	Licensed Representative	1, 4, 9
TAI Ka Chun	BUB024	Licensed Representative	1, 4
TAKANO Hiroki	BUE088	Licensed Representative	1
TAN DICKSON DI SERN	BUA575	Licensed Representative	1, 4
TAN Junwei	BUC761	Licensed Representative	1
TANG Yan	BUB942	Licensed Representative	1, 4
TANG Youdong	BUC816	Licensed Representative	1, 4
TANG Yuehua	BUE644	Licensed Representative	1, 2
TANG Yuxin	BUD788	Licensed Representative	4
TEO Jie Ling	BUD045	Licensed Representative	1
THAIN Li Ean	BUC278	Licensed Representative	1
TIAN Chanjuan	BUE032	Licensed Representative	1
TO Nga Fong	AXX670	Licensed Representative	4, 9
TSANG To	BUC162	Licensed Representative	4
TSE Siu Ming	BUD535	Licensed Representative	1, 4
TSENG Shih-Ju	BSC874	Licensed Representative	1, 4, 9
TSUI Teresa	BKD475	Licensed Representative	1
TSUN Alexander Wing Gey	ANM361	Licensed Representative	1
TUNG Pei Chun	BUD494	Licensed Representative	4
U Pou Keong	AWY617	Licensed Representative	1
WAN Ninglu	BUC260	Licensed Representative	1, 2, 4, 5, 9
WAN Stafani Ai Tong	BUC825	Licensed Representative	1, 2
WANG Anyi	BTZ961	Licensed Representative	1, 6
WANG Bingyi	BUC459	Licensed Representative	9
WANG Changzhou	BUD006	Licensed Representative	1, 4
WANG Fu Cheng David Loick	BUC484	Licensed Representative	1, 4
WANG Haocheng	BGK896	Licensed Representative	1, 4
WANG Haoyi	BUC708	Licensed Representative	1, 6
WANG Hubo	BUA553	Licensed Representative	1, 4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2023

CE Name	CE No.	Licence Type	Types of Regulated Activity
WANG Lingzhi	BUE158	Licensed Representative	4
WANG Qichen	BUB304	Licensed Representative	1, 6
WANG Xiao Ting	BUE156	Licensed Representative	1, 4, 9
WANG Xing	BUC499	Licensed Representative	4
WANG Yanli	BUB993	Licensed Representative	1, 4
WANG Yanze	BRU906	Licensed Representative	1, 4, 6
WANG YOUYOU	BUC030	Licensed Representative	4, 9
WANG Yue	BUD422	Licensed Representative	1
WANG Yupeng	BSZ500	Licensed Representative	1, 2, 4
WANG Zhiyu	BUD993	Licensed Representative	6
WONG Hiu Ngan	BUD064	Licensed Representative	1, 4
WONG Ka Yin	BUB865	Licensed Representative	1
WONG Kin Shing	BUD414	Licensed Representative	1, 4, 9
WONG King Chun	BUB194	Licensed Representative	1, 4
WONG Leung Him	BUD105	Licensed Representative	4
WONG Suk Man	BUA761	Licensed Representative	1
WONG Tsz Chun	BUC460	Licensed Representative	1, 2
WONG Voon-Chung	APA193	Licensed Representative	1
WONG Wai Kwan	BUD327	Licensed Representative	1, 4
WONG YAT HEI	BUA070	Licensed Representative	1, 4
WONG Yuen Ching, Vanessa	BGD986	Licensed Representative	1, 4, 9
WONG Yuk Ching, Ivy	AOC937	Licensed Representative	1, 4
WU DA CHENG	BUB261	Licensed Representative	3
WU Jiazhen	BUB169	Licensed Representative	1, 4, 9
WU Pan	BUC603	Licensed Representative	1, 4
WU Pei	BUC758	Licensed Representative	4, 9
WU Qinlei	BUD005	Licensed Representative	1, 4, 6
WU Ting	BUC571	Licensed Representative	1, 4
WU Wenting	BUB209	Licensed Representative	1
WU Yijun	BUA985	Licensed Representative	1, 4
WU Zhangmeng	BUE483	Licensed Representative	1, 4, 9
WU Zijing	BUE173	Licensed Representative	4, 9
XIA Yameng	BSS651	Licensed Representative	4
XIAO YAO	BUD867	Licensed Representative	1, 6
XIAO Zhang	BUD329	Licensed Representative	1, 4
XIE Chengxi	BTZ962	Licensed Representative	1, 6
XIE KHELIFA-MAHADJOUBI Xiaoying	BUE363	Licensed Representative	1
XIE Linyi	BUD248	Licensed Representative	9
XING Kaifang	BUC158	Licensed Representative	1, 2
XIONG Shijie	BUC271	Licensed Representative	1, 6
XU Huihui	BIY213	Licensed Representative	1, 4, 9
XU Jinfei	BUD028	Licensed Representative	1
XU Luke Z	BUC274	Licensed Representative	1, 4, 9
XU Qianru	AXR267	Licensed Representative	1
XU Siyu	BUC157	Licensed Representative	1, 2
XU Xiaoyan	BUD026	Licensed Representative	4
XUE Mengqi	BUD191	Licensed Representative	1, 4, 6
YANG Andi	BUC123	Licensed Representative	1, 4
YANG Rongao	BUC863	Licensed Representative	1, 4, 9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2023

CE Name	CE No.	Licence Type	Types of Regulated Activity
YANG Seungju	BFX291	Licensed Representative	1, 4
YANG Shuo	BUD079	Licensed Representative	1, 6
YANG Wenwan	BUD859	Licensed Representative	1, 2, 9
YANG Ya-Wen	BUD692	Licensed Representative	4
YANG Yilin	BUA205	Licensed Representative	1, 6
YANG Zihui	BUB051	Licensed Representative	1, 2, 4
YAP Paul Ee Xing	BOW664	Licensed Representative	4
YE Yingmin	BUB067	Licensed Representative	1, 2
YEUNG Ka Tsun	BTO104	Licensed Representative	1, 4, 6
YEUNG Ying Ying	BUC623	Licensed Representative	1, 7
YI Yi	BUC773	Licensed Representative	10
YIP Ho Pak	BFU720	Licensed Representative	1
YONG Ming Tat	ATH550	Licensed Representative	4, 9
YOSHIDA Yu	BUC166	Licensed Representative	4
YOU Tong	BUC582	Licensed Representative	1, 4, 6
YU Hui	AYS989	Licensed Representative	1, 6
YU Liang	BQM630	Licensed Representative	1, 4, 6
YU Mingyuan	BUD116	Licensed Representative	1, 4, 6
YU Ngan Hing	BOP044	Licensed Representative	4, 9
YU Richard Anthony	ADS223	Licensed Representative	1
YU Shuoyi	BUB198	Licensed Representative	1, 4
YU Xiaobin	BUF928	Licensed Representative	4, 9
YU Yin	ARN735	Licensed Representative	4, 9
YU Yiting	BUA690	Licensed Representative	1, 2
YUEN Mau Sang	BLG348	Licensed Representative	1, 4, 9
YUNG Jennifer Wai Luen	BUB177	Licensed Representative	6
ZENG Hongbiao	BUC943	Licensed Representative	1, 2
ZENG Jiaqi	BUF341	Licensed Representative	4, 5, 9
ZHAI Ling	BUC685	Licensed Representative	1, 2, 4
ZHAI Yubo	BUC264	Licensed Representative	1, 2
ZHAN Chao	BUA602	Licensed Representative	1, 6
ZHANG AO	BUD908	Licensed Representative	2
ZHANG Chunmei	AKO867	Licensed Representative	1
ZHANG Jiao	BUC370	Licensed Representative	1, 4
ZHANG Jinwu	BUD203	Licensed Representative	1, 4, 9
ZHANG Liyun	BUD142	Licensed Representative	4
ZHANG Qun	BUF439	Licensed Representative	1
ZHANG Shaolin	BUC793	Licensed Representative	9
ZHANG Tianliang	BRW305	Licensed Representative	1, 4, 9
ZHANG Xiaohui	BQH398	Licensed Representative	1
ZHANG Xiaolu	BUD850	Licensed Representative	1, 2
ZHANG Xiduo	BUD016	Licensed Representative	1
ZHANG Xinmiao	BUC210	Licensed Representative	6
ZHANG Xinxin	BUD128	Licensed Representative	4, 9
ZHANG Yezhi	BUA588	Licensed Representative	1, 2
ZHANG Yichi	AQF007	Licensed Representative	1, 4
ZHANG Yidong	BOS630	Licensed Representative	1, 4
ZHANG Yue Yang	BII279	Licensed Representative	1, 4
ZHANG Yuling	BTP203	Licensed Representative	1, 4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2023

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHANG Yunwei	BUC677	Licensed Representative	1, 6
ZHAO Chenhao	BUA489	Licensed Representative	1, 2, 4
ZHAO Di	BRJ887	Licensed Representative	4, 9
ZHAO Hanqing	BUD761	Licensed Representative	4, 9
ZHAO Ji	BRT383	Licensed Representative	9
ZHAO Yingyi	BUB801	Licensed Representative	1, 6
ZHAO Zhiyuan	BUD943	Licensed Representative	1, 4
ZHOU Jialin	BUB273	Licensed Representative	6
ZHOU Ling	BUD070	Licensed Representative	4
ZHOU Ye	BUC577	Licensed Representative	4
ZHU Bowen	BRN923	Licensed Representative	1, 6
ZHU Haiyan	BJP213	Licensed Representative	1
ZHU Kai	BUA323	Licensed Representative	1
ZHU Yuanda	BUB796	Licensed Representative	9
ZHUANG Qianzhi	BUA362	Licensed Representative	1, 2, 4
BANFIELD Ashley Lauren Nicole	BTZ303	Licensed Representative - Responsible Officer	1, 2
BOLITHO Oliver Robert Morgan	AOS431	Licensed Representative - Responsible Officer	9
CHAN Ho Ping	AVI833	Licensed Representative - Responsible Officer	1, 4, 9
CHAN Wai Chow, Johnnie	AAD186	Licensed Representative - Responsible Officer	1
CHEN Frank	ARR529	Licensed Representative - Responsible Officer	4, 9
CHENG Ngai Chung	ALZ081	Licensed Representative - Responsible Officer	1, 4
CHIN Chun Hin	BBB627	Licensed Representative - Responsible Officer	1
CHUNG Ka Fai Franki	ACW769	Licensed Representative - Responsible Officer	4, 9
CHUNG Shiang Chang	BOU393	Licensed Representative - Responsible Officer	4, 9
GARCIA Michael Anthony	AFJ284	Licensed Representative - Responsible Officer	1
HO Siu Kee, David	ACH269	Licensed Representative - Responsible Officer	1, 4, 9
HUANG Huaxuan	AOA788	Licensed Representative - Responsible Officer	4, 9
ILLSLEY Nicholas John Leycester	BTT171	Licensed Representative - Responsible Officer	9
JANAKIRAMAN Raja	BTW370	Licensed Representative - Responsible Officer	9
KAM Shou Him Thomas	AYG344	Licensed Representative - Responsible Officer	4, 9
LAU Sum Yi	BNW971	Licensed Representative - Responsible Officer	1, 4
LIU Guanqun	BTU316	Licensed Representative - Responsible Officer	4, 9
LIU Xiangge	BBB465	Licensed Representative - Responsible Officer	4, 9
LIU Zhe	BOU144	Licensed Representative - Responsible Officer	4, 9
LUM Ka Wai	BTT033	Licensed Representative - Responsible Officer	9
MAN LAP	BKJ434	Licensed Representative - Responsible Officer	4, 9
MARCHOUDI Kamal	BTT172	Licensed Representative - Responsible Officer	9
NG Wai Choi Alfred	ARR508	Licensed Representative - Responsible Officer	4, 9
PENVERNE Bertrand, Paul, Andre	BUB943	Licensed Representative - Responsible Officer	1
PERIWAL Sudeep	BSP584	Licensed Representative - Responsible Officer	1, 4
SALTER Nathaniel Norr	BTZ329	Licensed Representative - Responsible Officer	4, 9
SHU Yixuan	BUC865	Licensed Representative - Responsible Officer	4, 9
SONG Pengcheng	BIO003	Licensed Representative - Responsible Officer	4, 9
SU Zhixiang	BTR090	Licensed Representative - Responsible Officer	9
SUN Chuen Wah, Anthony	AFP060	Licensed Representative - Responsible Officer	6
TIU Gary Ka Chun	BKB082	Licensed Representative - Responsible Officer	1, 7
TO Kwan Chun Stephen	BTT937	Licensed Representative - Responsible Officer	1
WANG Bingrong	BUB154	Licensed Representative - Responsible Officer	9
WANG Luyang	BTJ469	Licensed Representative - Responsible Officer	1, 4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2023

CE Name	CE No.	Licence Type	Types of Regulated Activity
WEI Xiao	BIX272	Licensed Representative - Responsible Officer	4, 9
WEN Jie	BTZ917	Licensed Representative - Responsible Officer	1, 6
WONG Chi Yuen	BNJ009	Licensed Representative - Responsible Officer	9
WONG Lai Yue	AFE038	Licensed Representative - Responsible Officer	6
WONG Tsz Pan	AMU162	Licensed Representative - Responsible Officer	1
XU Yixiao	BTS056	Licensed Representative - Responsible Officer	4, 9
XU Yong	BMT490	Licensed Representative - Responsible Officer	4, 9
YANG Clinton Victor	ALI750	Licensed Representative - Responsible Officer	1
YEUNG Tsz Kin	AOS242	Licensed Representative - Responsible Officer	4, 9
ZHANG Yuanming	BTW193	Licensed Representative - Responsible Officer	9
ZHOU Huanhuan	BUA360	Licensed Representative - Responsible Officer	4, 9
AMBROGI Julien Yves Colas	BUF390	Licensed Representative (Provisional Licence)	9
AO Yun	BUE157	Licensed Representative (Provisional Licence)	1, 6
BAI Yuchen	BUE397	Licensed Representative (Provisional Licence)	1, 6
BHIMSARIA Muskan	BUC489	Licensed Representative (Provisional Licence)	4, 9
BOND Phillip Matthew	AWZ057	Licensed Representative (Provisional Licence)	1
BOZORGMIR Brendan Arasche	BUE136	Licensed Representative (Provisional Licence)	1, 2
BRETON Felix Nicolas	BUE303	Licensed Representative (Provisional Licence)	1, 2
CAI Jinghong	BUF857	Licensed Representative (Provisional Licence)	4, 9
CAI Xinyu	BUF399	Licensed Representative (Provisional Licence)	4, 9
CHAN Chor Hon	BUG279	Licensed Representative (Provisional Licence)	1, 2, 4
CHAN Fai Yeung	BUA847	Licensed Representative (Provisional Licence)	4, 9
CHAN Hiu Wing	BTL380	Licensed Representative (Provisional Licence)	1
CHAN Ka Ling	AZZ291	Licensed Representative (Provisional Licence)	6
CHAN Kei Yin	BUG379	Licensed Representative (Provisional Licence)	1
CHAN Tsz Chung	BUD905	Licensed Representative (Provisional Licence)	1
CHAN Yuen Ying	BUE345	Licensed Representative (Provisional Licence)	3
CHANG Hao Hsiang	BUG434	Licensed Representative (Provisional Licence)	1, 4
CHEAH Matthew Yun Shuan	BUE203	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Changli	BUE172	Licensed Representative (Provisional Licence)	1, 4
CHEN Ching Wen	BRE488	Licensed Representative (Provisional Licence)	1
CHEN David Wai Chung	BGU035	Licensed Representative (Provisional Licence)	9
CHEN Guo	BUE195	Licensed Representative (Provisional Licence)	4
CHEN JINDI	BUE138	Licensed Representative (Provisional Licence)	9
CHEN Zhenglin	BUE462	Licensed Representative (Provisional Licence)	4, 5, 9
CHEN Zhengzhe	BUD940	Licensed Representative (Provisional Licence)	1, 4, 9
CHENG Chi Lun Brandon	BUF686	Licensed Representative (Provisional Licence)	1, 6
CHENG Chun Ching	AVH393	Licensed Representative (Provisional Licence)	1, 4, 9
CHENG Lee Lam	BUG682	Licensed Representative (Provisional Licence)	1, 4, 6
CHENG Po Yee	BNT207	Licensed Representative (Provisional Licence)	1, 4, 9
CHENG Yumou	BUC350	Licensed Representative (Provisional Licence)	1, 4
CHEUNG Chi Lok	AWC705	Licensed Representative (Provisional Licence)	1, 4, 5, 9
CHEUNG Chun Lok	BUD831	Licensed Representative (Provisional Licence)	1, 2
CHEUNG Ho Yan Vivian	BUG318	Licensed Representative (Provisional Licence)	1
CHEUNG Kwan Ho	BUG438	Licensed Representative (Provisional Licence)	1, 4, 9
CHING Teen Ho Nicholas	BUF499	Licensed Representative (Provisional Licence)	6
CHO Hyojin	BUF673	Licensed Representative (Provisional Licence)	1, 4
CHOI Ka Man	BUG507	Licensed Representative (Provisional Licence)	1
CHONG Sau Ching	BUF920	Licensed Representative (Provisional Licence)	1, 4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2023

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHOW Keng Wah, Kinson	AFJ226	Licensed Representative (Provisional Licence)	1
CHU Chaoyang	BDM532	Licensed Representative (Provisional Licence)	6
CHUI Yat Ming	BUF701	Licensed Representative (Provisional Licence)	1, 2
CONG Yue	BTW490	Licensed Representative (Provisional Licence)	4
DA SILVA Marlen Lincoln	BUG231	Licensed Representative (Provisional Licence)	1, 2, 7
DANG Yujie	BUG004	Licensed Representative (Provisional Licence)	1
DOERING Michael Roger	ANQ240	Licensed Representative (Provisional Licence)	1, 7
DONG Yixuan	BUF500	Licensed Representative (Provisional Licence)	1
DU Pei	BUD048	Licensed Representative (Provisional Licence)	1
DU Qinpei	BUG005	Licensed Representative (Provisional Licence)	4
FAN Hongsen	BUD985	Licensed Representative (Provisional Licence)	1
FAN Li	BUD164	Licensed Representative (Provisional Licence)	1, 4
FANG Ming	BQM591	Licensed Representative (Provisional Licence)	9
FANG Yuhao	BUF451	Licensed Representative (Provisional Licence)	1, 4, 9
FENG Fengye	BUF860	Licensed Representative (Provisional Licence)	1, 6
FENG Jingge	BUE063	Licensed Representative (Provisional Licence)	6
FLOYD Michael	APK722	Licensed Representative (Provisional Licence)	1
FONG Shu Wing	BEU868	Licensed Representative (Provisional Licence)	2
GAO Feng	BUC584	Licensed Representative (Provisional Licence)	4, 9
GENG Cong	AWT803	Licensed Representative (Provisional Licence)	1
GONG Qin	BUE481	Licensed Representative (Provisional Licence)	1, 4, 6
GUO Shuyi	BUE422	Licensed Representative (Provisional Licence)	1, 4, 6
HAMMERSLEY Sean Alan	BUF282	Licensed Representative (Provisional Licence)	1
HAO Jianan	BJK934	Licensed Representative (Provisional Licence)	1, 6
HAU Stuart	BBT270	Licensed Representative (Provisional Licence)	1, 2
HAYANO Hiroyuki	AXS622	Licensed Representative (Provisional Licence)	4, 9
HE Wenhao	BUF650	Licensed Representative (Provisional Licence)	1, 4, 9
HE Xinyang	BUF501	Licensed Representative (Provisional Licence)	6
HE YATING	BUB018	Licensed Representative (Provisional Licence)	4
HO Chun Man	AKW960	Licensed Representative (Provisional Licence)	1, 2, 4
HO Chun Sing Calvin	BUG447	Licensed Representative (Provisional Licence)	1, 4
HO Siu Hang	BUF875	Licensed Representative (Provisional Licence)	1, 4
HO Siu Kee, David	ACH269	Licensed Representative (Provisional Licence)	1, 4, 9
HO Yat Hon	BUG244	Licensed Representative (Provisional Licence)	6
HOLBROW-WILSHAW George Vincent Jack	BUD966	Licensed Representative (Provisional Licence)	1, 3
HONG Zuan	BUE160	Licensed Representative (Provisional Licence)	6
HU Feizhou	BUD190	Licensed Representative (Provisional Licence)	1
HU Jianwen	BUE478	Licensed Representative (Provisional Licence)	4
HU Yilei	BUD821	Licensed Representative (Provisional Licence)	1, 2
HUANG Cindy Hsin-hui	BBB348	Licensed Representative (Provisional Licence)	1
HUANG Hao	BUD176	Licensed Representative (Provisional Licence)	1, 4
HUANG Yanbing	BUE680	Licensed Representative (Provisional Licence)	1, 4
HUANG Ziyang	BUF756	Licensed Representative (Provisional Licence)	9
HUI Ching Yan	BUF409	Licensed Representative (Provisional Licence)	1, 4
HUNG (NISHIMURA) Rika	BHB795	Licensed Representative (Provisional Licence)	1, 4
HUNT Ross Anthony	ATD971	Licensed Representative (Provisional Licence)	1, 2
IRELAND Ellerey James	BUE362	Licensed Representative (Provisional Licence)	1, 4, 6
IVESON Thomas Norman	BUG689	Licensed Representative (Provisional Licence)	1
JI Xiaojie	BUE515	Licensed Representative (Provisional Licence)	1, 2, 4, 9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2023

CE Name	CE No.	Licence Type	Types of Regulated Activity
JIANG Huamei	BUD475	Licensed Representative (Provisional Licence)	1
JIN Chenghua	BDQ952	Licensed Representative (Provisional Licence)	4, 9
JIN LING	BUD875	Licensed Representative (Provisional Licence)	1, 4, 9
JIN Lujiang	BUE418	Licensed Representative (Provisional Licence)	1, 6
JINDAL Mahika	BUG465	Licensed Representative (Provisional Licence)	9
JUNG Eun	BLV431	Licensed Representative (Provisional Licence)	9
KAMALARASA Achuthan	BUC707	Licensed Representative (Provisional Licence)	1, 2
KANG Kai	BUD638	Licensed Representative (Provisional Licence)	9
KEDVES Elias Pascal	BUE187	Licensed Representative (Provisional Licence)	1, 4, 5, 9
KHOO Pui Wun	AGO218	Licensed Representative (Provisional Licence)	1, 2, 4, 9
KISHIMOTO Akitomo	BUG460	Licensed Representative (Provisional Licence)	1, 4
KOK Ka Wai	AFX192	Licensed Representative (Provisional Licence)	1, 4, 9
KONG Bing	BUD924	Licensed Representative (Provisional Licence)	1, 4
KU Pak Ho Bryan	BUE342	Licensed Representative (Provisional Licence)	1, 4
KUO Yueh-Chi	BUG362	Licensed Representative (Provisional Licence)	6
KWAN Wang Chi, Beryl	BAD777	Licensed Representative (Provisional Licence)	4, 9
KWOK Chai Yan	BFX844	Licensed Representative (Provisional Licence)	1, 4
KWOK Wing Lam, Michelle	BUC314	Licensed Representative (Provisional Licence)	4, 9
LAI Hsing-Lu	BUE085	Licensed Representative (Provisional Licence)	4, 9
LAM Chi Wai	BUF800	Licensed Representative (Provisional Licence)	1, 2, 4, 5
LAM Chi Yan	BUF969	Licensed Representative (Provisional Licence)	1
LAM Chun Yin Angus	BUF796	Licensed Representative (Provisional Licence)	1, 2
LAU So Hon	BUB118	Licensed Representative (Provisional Licence)	1
LAU Sum Yi	BNW971	Licensed Representative (Provisional Licence)	1, 4
LAU Yan Tone	BUF594	Licensed Representative (Provisional Licence)	1
LEE Chun Stanley	BUF413	Licensed Representative (Provisional Licence)	1, 2
LEE Jae Chang	BUB100	Licensed Representative (Provisional Licence)	1
LEE Kai Yee	BAD882	Licensed Representative (Provisional Licence)	1, 4
LEE Pak Ho, Jason Ryan	BUG152	Licensed Representative (Provisional Licence)	2
LEE Qi Ming	BUG245	Licensed Representative (Provisional Licence)	1, 4
LEE Wing Yan	AQA911	Licensed Representative (Provisional Licence)	1, 4, 9
LEE YUK CHUN	BUE324	Licensed Representative (Provisional Licence)	1
LEUNG Hang Tung	BTT599	Licensed Representative (Provisional Licence)	4, 9
LEUNG Hong Lam	BQQ723	Licensed Representative (Provisional Licence)	1, 4
LEUNG Shek Ho	AYW104	Licensed Representative (Provisional Licence)	6
LI Changgen	BUD613	Licensed Representative (Provisional Licence)	1, 6
LI Chen	BHF473	Licensed Representative (Provisional Licence)	1
LI Ji	BUG684	Licensed Representative (Provisional Licence)	1, 4, 6
LI Jiajie	BUF305	Licensed Representative (Provisional Licence)	1, 4, 6
LI Jiaqi	BUF692	Licensed Representative (Provisional Licence)	1, 4
LI Kunlun	BNU121	Licensed Representative (Provisional Licence)	4
LI Kwok Leung	BUC999	Licensed Representative (Provisional Licence)	1
LI Li	BEI715	Licensed Representative (Provisional Licence)	1
LI Longyun	BUE434	Licensed Representative (Provisional Licence)	1, 4
LI MINGQING	BUF757	Licensed Representative (Provisional Licence)	2, 5
LI Peishu	BUE183	Licensed Representative (Provisional Licence)	1, 4, 5, 9
LI QIANZHI	BUF770	Licensed Representative (Provisional Licence)	1, 6
LI Wai Lun	BFT930	Licensed Representative (Provisional Licence)	1
LI Xu	BUF344	Licensed Representative (Provisional Licence)	1, 2, 4, 9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2023

CE Name	CE No.	Licence Type	Types of Regulated Activity
LI Yanyu	BUD549	Licensed Representative (Provisional Licence)	4
LI Yaobin	BUE365	Licensed Representative (Provisional Licence)	1, 4
LI Yijing	BUE304	Licensed Representative (Provisional Licence)	1
LI Yuxiang	BQU403	Licensed Representative (Provisional Licence)	1, 4, 9
LIM Chuan Ji	BTQ114	Licensed Representative (Provisional Licence)	1, 2, 7
LIN Ming-jung	AUG886	Licensed Representative (Provisional Licence)	1
LIU Enyan	BUD930	Licensed Representative (Provisional Licence)	4
LIU Jiayi	BUC116	Licensed Representative (Provisional Licence)	1, 6
LIU Qinghe	BTM697	Licensed Representative (Provisional Licence)	4, 9
LIU Xiaojun	BSM217	Licensed Representative (Provisional Licence)	1, 4, 9
LO Chak Sum	BUD069	Licensed Representative (Provisional Licence)	1, 4, 9
LO Si Hon	BJV851	Licensed Representative (Provisional Licence)	1, 4, 6
LO Wan Yin	BUD934	Licensed Representative (Provisional Licence)	9
LU Huiwei	BUG013	Licensed Representative (Provisional Licence)	1, 4
LU Yang	BUG500	Licensed Representative (Provisional Licence)	4
LUO Yi	BPQ501	Licensed Representative (Provisional Licence)	9
LUO Yinzi	BUE075	Licensed Representative (Provisional Licence)	6
LUO Yunting	APO882	Licensed Representative (Provisional Licence)	1, 4
MA CHUAN	BUB849	Licensed Representative (Provisional Licence)	4
MACDONALD Geoffrey Peck	ASN338	Licensed Representative (Provisional Licence)	9
MAK King Ho	BUE223	Licensed Representative (Provisional Licence)	1
MAK Ming Hin	BRH551	Licensed Representative (Provisional Licence)	1, 4, 9
MAK Wai Hung Anthony	AWZ649	Licensed Representative (Provisional Licence)	1, 2, 7
MANABE Ichitaro	BUF797	Licensed Representative (Provisional Licence)	1, 7
MEHROTRA Shubhali	BUE152	Licensed Representative (Provisional Licence)	1, 2
MEI Liangliang	BUE651	Licensed Representative (Provisional Licence)	1, 4
MENG Lingyan	BUD730	Licensed Representative (Provisional Licence)	1, 4
MI Yuxuan	BTQ224	Licensed Representative (Provisional Licence)	4
MIYAMOTO Go	BIN850	Licensed Representative (Provisional Licence)	4
MOK Ka Lam, Kevin	BUG068	Licensed Representative (Provisional Licence)	6
NEAL Noreen Pakvina	BUG268	Licensed Representative (Provisional Licence)	9
NI Wei-i	BFC747	Licensed Representative (Provisional Licence)	1
NOMOTO Masayuki	AXA146	Licensed Representative (Provisional Licence)	1, 4, 6
ODA Hirofumi	BUF309	Licensed Representative (Provisional Licence)	4
OH You Kyoung	BUE018	Licensed Representative (Provisional Licence)	1, 4, 6
PARADISE Calum Richard	BUG352	Licensed Representative (Provisional Licence)	1, 2
PARK Hyung Keun	BUE087	Licensed Representative (Provisional Licence)	1, 2, 7
PARK Jun Hyun	BUF491	Licensed Representative (Provisional Licence)	1, 4, 9
PAUL Abhijeet Gejo	BUF311	Licensed Representative (Provisional Licence)	1
PEI Yingbo	BUF376	Licensed Representative (Provisional Licence)	1, 6
PENG Di	BUE069	Licensed Representative (Provisional Licence)	1, 4, 6
PERIWAL Sudeep	BSP584	Licensed Representative (Provisional Licence)	1, 4
PI Kristina Xiaomeng	BUG382	Licensed Representative (Provisional Licence)	1
POPLY Kapil	BUH304	Licensed Representative (Provisional Licence)	1
QIN Bo	BOQ258	Licensed Representative (Provisional Licence)	1, 2, 4
QIN Zhu	BUF950	Licensed Representative (Provisional Licence)	1, 2, 4
RAYKOVSKI Entcho Vesselinov	BOW778	Licensed Representative (Provisional Licence)	4
REN Qinglian	BUD904	Licensed Representative (Provisional Licence)	1, 6
RUAN Zhenjie	BUE261	Licensed Representative (Provisional Licence)	9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2023

CE Name	CE No.	Licence Type	Types of Regulated Activity
SAN Chung Tat, Nelson	BGB704	Licensed Representative (Provisional Licence)	1, 2, 4
SANO Takashi	BTY256	Licensed Representative (Provisional Licence)	1, 2, 4
SATO Masahiko	AVM642	Licensed Representative (Provisional Licence)	4
SHAIKH Tausif Khurshid	BUE325	Licensed Representative (Provisional Licence)	4
SHAN Shining	BUD861	Licensed Representative (Provisional Licence)	1, 4
SHAO Yifei	BUD641	Licensed Representative (Provisional Licence)	1, 4, 6
SHEN Jingting	BUH382	Licensed Representative (Provisional Licence)	1
SIEW Yew Pheng	BUC766	Licensed Representative (Provisional Licence)	1, 4, 9
SINGH Jason Jagpal	BUF783	Licensed Representative (Provisional Licence)	9
SIU Yan Kei, Catherine	ARA299	Licensed Representative (Provisional Licence)	1, 4
SO Ka Man	BUF276	Licensed Representative (Provisional Licence)	1, 4, 9
SONG Long	BRP554	Licensed Representative (Provisional Licence)	1, 4
SU Ziheng	BJK655	Licensed Representative (Provisional Licence)	1, 4, 9
SUN Jiahao	BUC351	Licensed Representative (Provisional Licence)	1, 4
SUN Jifan	BUF793	Licensed Representative (Provisional Licence)	1
SUN Linhui	BUD869	Licensed Representative (Provisional Licence)	1
SUN Xiaowen	BUG164	Licensed Representative (Provisional Licence)	4
TANG Man Kei	BUE379	Licensed Representative (Provisional Licence)	1, 4
TANG Yuehua	BUE644	Licensed Representative (Provisional Licence)	1, 2
TANG Yuxin	BUF493	Licensed Representative (Provisional Licence)	1, 9
TANG Ziyuan	BUE399	Licensed Representative (Provisional Licence)	1, 4, 9
TAO Anqi	BUG162	Licensed Representative (Provisional Licence)	1, 4
TONG Shengyao Benjamin	BUE683	Licensed Representative (Provisional Licence)	4, 9
TORII Hiroshi	BUG049	Licensed Representative (Provisional Licence)	4
TSE Pui Kei	BCX238	Licensed Representative (Provisional Licence)	1, 4, 6
TSE Siu Ming	BUD535	Licensed Representative (Provisional Licence)	1, 4
TSENG Shih-Ju	BSC874	Licensed Representative (Provisional Licence)	1, 4, 9
TSUI Pui Ling	BUF687	Licensed Representative (Provisional Licence)	1, 4, 6
TUNG Pei Chun	BUD494	Licensed Representative (Provisional Licence)	4
WAH Chun	BBN718	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Chen	BUF567	Licensed Representative (Provisional Licence)	4, 9
WANG Haocheng	BGK896	Licensed Representative (Provisional Licence)	1, 4
WANG Lingzhi	BUE158	Licensed Representative (Provisional Licence)	4
WANG Manqi	BUF826	Licensed Representative (Provisional Licence)	4
WANG Sibao	BUD591	Licensed Representative (Provisional Licence)	1, 6
WANG Yilun	BUG292	Licensed Representative (Provisional Licence)	9
WONG Hoi Shun	BUE246	Licensed Representative (Provisional Licence)	1, 4
WONG Lap Yu	BUD489	Licensed Representative (Provisional Licence)	1, 4, 9
WONG Ling Chi	BIP062	Licensed Representative (Provisional Licence)	1, 4, 9
WONG Mei Ha	BUF810	Licensed Representative (Provisional Licence)	1, 2, 4
WONG Sum Wai	BUG165	Licensed Representative (Provisional Licence)	4
WONG Wai Chuen	BUD503	Licensed Representative (Provisional Licence)	1, 4, 9
WU Di	BUG552	Licensed Representative (Provisional Licence)	1, 4, 9
WU Junhao	BUE401	Licensed Representative (Provisional Licence)	1, 2, 4
WU Leilei	BUA839	Licensed Representative (Provisional Licence)	1, 6
WU Linman	AXP650	Licensed Representative (Provisional Licence)	1, 4, 9
WU Tong	BUF438	Licensed Representative (Provisional Licence)	1, 4, 9
WU Wei	BUF816	Licensed Representative (Provisional Licence)	1, 4
WU You	BUE402	Licensed Representative (Provisional Licence)	1, 4, 9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2023

CE Name	CE No.	Licence Type	Types of Regulated Activity
WU Zijing	BUE173	Licensed Representative (Provisional Licence)	4, 9
XIA Fan	BUD925	Licensed Representative (Provisional Licence)	4
XIAO YAO	BUD867	Licensed Representative (Provisional Licence)	1, 6
XIE Hanzhang	BUF468	Licensed Representative (Provisional Licence)	1
XIE Kaixi	BFO612	Licensed Representative (Provisional Licence)	1, 2, 4
XIE KHELIFA-MAHADJOUBI Xiaoying	BUE363	Licensed Representative (Provisional Licence)	1
XIE Ruixue	BUF449	Licensed Representative (Provisional Licence)	4, 9
XUE Mengqi	BUD191	Licensed Representative (Provisional Licence)	1, 4, 6
XUE Runmei	BUF363	Licensed Representative (Provisional Licence)	1, 2
YAN Denise	BQC637	Licensed Representative (Provisional Licence)	4
YAN Sen	BUC725	Licensed Representative (Provisional Licence)	1, 2, 4
YANG HONGJIE	BUF947	Licensed Representative (Provisional Licence)	1, 6
YANG Peiyu	BUE619	Licensed Representative (Provisional Licence)	1, 4
YANG Shuo	AQU542	Licensed Representative (Provisional Licence)	1, 4, 6
YANG Xuefeng	BUD520	Licensed Representative (Provisional Licence)	1, 4
YANG Yifan	BUF745	Licensed Representative (Provisional Licence)	9
YAO Yi	BUD092	Licensed Representative (Provisional Licence)	4, 9
YAO Zhiyu	BUC843	Licensed Representative (Provisional Licence)	1, 4
YAU Adam Ching Yiu	BUE117	Licensed Representative (Provisional Licence)	4, 9
YE Zizhang	BUF479	Licensed Representative (Provisional Licence)	6
YEUNG Chi Ho Ivan	BUD868	Licensed Representative (Provisional Licence)	1, 2
YEUNG Ka Tsun	BTO104	Licensed Representative (Provisional Licence)	1, 4, 6
YEUNG PAK MING	BUF329	Licensed Representative (Provisional Licence)	1, 2, 4
YEUNG Yat Lun, Ronald	AWT373	Licensed Representative (Provisional Licence)	4, 9
YIN Lu Hsiang	BUD471	Licensed Representative (Provisional Licence)	1
YING Xiaotong	BID518	Licensed Representative (Provisional Licence)	1, 4, 6
YIP Chun Wa	BUG610	Licensed Representative (Provisional Licence)	6
YIP Shu Wing	BUF691	Licensed Representative (Provisional Licence)	1, 4
YIU King Man	BDX230	Licensed Representative (Provisional Licence)	4, 9
YU Hin Yin	ARD759	Licensed Representative (Provisional Licence)	9
YU Jing-lu	BUD565	Licensed Representative (Provisional Licence)	1, 4
YU Xiaobin	BUF928	Licensed Representative (Provisional Licence)	4, 9
YUAN Qing	BUC399	Licensed Representative (Provisional Licence)	4, 9
YUEN Joanna See Ting	BTO662	Licensed Representative (Provisional Licence)	1, 4, 6
ZENG Jiaqi	BUF341	Licensed Representative (Provisional Licence)	4, 5, 9
ZHANG AO	BUD908	Licensed Representative (Provisional Licence)	2
ZHANG Haoqi	BUE358	Licensed Representative (Provisional Licence)	1, 6
ZHANG Jiaer	BUF450	Licensed Representative (Provisional Licence)	1, 6
ZHANG Liyun	BUD142	Licensed Representative (Provisional Licence)	4
ZHANG Qun	BUF439	Licensed Representative (Provisional Licence)	1
ZHANG Shiruo	BPQ198	Licensed Representative (Provisional Licence)	1, 6
ZHANG Yi	BUF481	Licensed Representative (Provisional Licence)	6
ZHANG Yue Yang	BII279	Licensed Representative (Provisional Licence)	1, 4
ZHANG Yunning	BUF688	Licensed Representative (Provisional Licence)	1, 4, 9
ZHANG Yunwei	BUC677	Licensed Representative (Provisional Licence)	1, 6
ZHAO Hanqing	BUD761	Licensed Representative (Provisional Licence)	4, 9
ZHAO Jie	BUB905	Licensed Representative (Provisional Licence)	1, 4, 9
ZHAO Muyang	BUF330	Licensed Representative (Provisional Licence)	4
ZHAO Zhiyuan	BUD943	Licensed Representative (Provisional Licence)	1, 4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2023

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHENG Suxi	BUE460	Licensed Representative (Provisional Licence)	4
ZHOU Gang	AWP701	Licensed Representative (Provisional Licence)	1, 4
ZHOU Yuanyuan	BJV535	Licensed Representative (Provisional Licence)	9
ZHOU Yunhao	BUF785	Licensed Representative (Provisional Licence)	1, 4, 6
ZHOU Yuxin	BUD595	Licensed Representative (Provisional Licence)	1, 6
ZHU Chao	BUG079	Licensed Representative (Provisional Licence)	1, 4
ZHU Haiyan	BJP213	Licensed Representative (Provisional Licence)	1
Zhuang Qing Qing	BSO666	Licensed Representative (Provisional Licence)	9
AFZAL Zieshan	BTJ036	Licensed Representative (Temporary Licence)	1, 4
AGARWAL Virat	BGU565	Licensed Representative (Temporary Licence)	1
AGARWAL Vismaya	BTV418	Licensed Representative (Temporary Licence)	4
AKINTEWE Kenneth Adeyemi	BUD346	Licensed Representative (Temporary Licence)	1
ANTROBUS Oliver John	AWT520	Licensed Representative (Temporary Licence)	4
BARBERA Antonio	BUD830	Licensed Representative (Temporary Licence)	1, 4
BARON Anna Kathleen	BPK090	Licensed Representative (Temporary Licence)	1
BATES Peter John	AXI123	Licensed Representative (Temporary Licence)	4
BATHORE Jade Carla Turquia	BUE407	Licensed Representative (Temporary Licence)	1
BELL Robert John Gordon	BUD976	Licensed Representative (Temporary Licence)	1
BERKIEN Ralph	BUF395	Licensed Representative (Temporary Licence)	1
BOURRETTE Remi William Jacques	BTT242	Licensed Representative (Temporary Licence)	1, 4
BYUN Kara Kyung Wha	APJ708	Licensed Representative (Temporary Licence)	1, 4
CHADHA Dushyant	BIE137	Licensed Representative (Temporary Licence)	1
CHENG Yun	BUD978	Licensed Representative (Temporary Licence)	1
CHNG Ernest Yong Cheng	BUE252	Licensed Representative (Temporary Licence)	1
COLEMAN Lisa Maria	ANN077	Licensed Representative (Temporary Licence)	4, 5
CONTE Francesco	BUG212	Licensed Representative (Temporary Licence)	1, 4
DE GUZMAN Anthony Romeo	BKK013	Licensed Representative (Temporary Licence)	1
DE SANTO JR WILLIAM ALLAN	BUF942	Licensed Representative (Temporary Licence)	1, 4
DUITZ Joshua Eric	BUD585	Licensed Representative (Temporary Licence)	1
DUNGER Matthew John	BFF148	Licensed Representative (Temporary Licence)	4
ENOMOTO Takashi	BAZ545	Licensed Representative (Temporary Licence)	4
FLETCHER David Alan Fraser	BOI772	Licensed Representative (Temporary Licence)	1, 4
FURUKAWA Makoto	APA389	Licensed Representative (Temporary Licence)	1
GUILLIARD Joshua Thomas	BUF760	Licensed Representative (Temporary Licence)	1, 2
HAN Xuyi	BNT117	Licensed Representative (Temporary Licence)	2, 5
HENDRIKSE Harald Christiaan	BEV944	Licensed Representative (Temporary Licence)	4
HORNE Martin Scott	BNR304	Licensed Representative (Temporary Licence)	1, 4
HSU Hui-Chao	BUF312	Licensed Representative (Temporary Licence)	4
HULSE Eli Brooks	BTF773	Licensed Representative (Temporary Licence)	1
HYMAN Michael David	BUE532	Licensed Representative (Temporary Licence)	4
JAMES Alun Richard	BBU307	Licensed Representative (Temporary Licence)	1, 2
JUNG Ho Yoon	BOH718	Licensed Representative (Temporary Licence)	4
KANG Yoojin	BUF502	Licensed Representative (Temporary Licence)	1
KANODIA Ashish	BTV404	Licensed Representative (Temporary Licence)	4
KEENE Michael Donald	BOZ245	Licensed Representative (Temporary Licence)	1
KILLORAN Christopher Edward	BUG057	Licensed Representative (Temporary Licence)	1
KIM Jinwoo	BGY980	Licensed Representative (Temporary Licence)	4
KISHIDA Naoaki	BBG395	Licensed Representative (Temporary Licence)	2, 5
KLEIN Andreas	BUF670	Licensed Representative (Temporary Licence)	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2023

CE Name	CE No.	Licence Type	Types of Regulated Activity
LANGE Julien	BGC442	Licensed Representative (Temporary Licence)	1, 2
LEVERETT Ivan John	BJL767	Licensed Representative (Temporary Licence)	2, 5
LIANG Nan	BUE463	Licensed Representative (Temporary Licence)	4
LIU Wei Pam	BUD586	Licensed Representative (Temporary Licence)	1
LOCK Timothy James	AXQ297	Licensed Representative (Temporary Licence)	1, 2
LOCK William David	BUG415	Licensed Representative (Temporary Licence)	1
LORD James Kenji	BAX712	Licensed Representative (Temporary Licence)	4, 5
LOVE James Joseph	BUE070	Licensed Representative (Temporary Licence)	1
MCCLURG Scott	BTO338	Licensed Representative (Temporary Licence)	1, 4
MCCUTCHEON Kate Elizabeth	BTC188	Licensed Representative (Temporary Licence)	4
MCTAGGART Paul Joseph	AUW886	Licensed Representative (Temporary Licence)	4
MEYI Edward Louis	BUC096	Licensed Representative (Temporary Licence)	1, 4
MOHEDAS Agustin Humberto	BUD912	Licensed Representative (Temporary Licence)	1, 4
MULLER Eric David	BUF412	Licensed Representative (Temporary Licence)	1
MURAKAMI Masayuki	BEJ779	Licensed Representative (Temporary Licence)	1
MURATA Alfred Taketo	BLE987	Licensed Representative (Temporary Licence)	1, 4
NAITO Takayuki	BMJ733	Licensed Representative (Temporary Licence)	4
NAKAMURA Shinichiro	BTB828	Licensed Representative (Temporary Licence)	4
NAKAZAWA Sho	BUE067	Licensed Representative (Temporary Licence)	1
NISHIMURA Seiji	BLJ206	Licensed Representative (Temporary Licence)	1
NIWA Koichi	AUW702	Licensed Representative (Temporary Licence)	4
PACCIONE JR Joseph	BUE316	Licensed Representative (Temporary Licence)	2, 5
PRINCE Alexander Gilbert	AXH803	Licensed Representative (Temporary Licence)	1, 2
REYL-ANTONIE Caroline Sophie	BUE658	Licensed Representative (Temporary Licence)	1
RIS Hauke Jan	BUC686	Licensed Representative (Temporary Licence)	1
RIZZO Dominic Gerado	BUB283	Licensed Representative (Temporary Licence)	4
RUSSELL Scott Gerald	APU452	Licensed Representative (Temporary Licence)	4
SACCO Alexander David	BMH784	Licensed Representative (Temporary Licence)	1
SAIAGH Gilles Joseph Emile	AVJ419	Licensed Representative (Temporary Licence)	1
SALGAONKAR Sachin	ANF161	Licensed Representative (Temporary Licence)	4
SHARMA Arvind	BOA566	Licensed Representative (Temporary Licence)	4
SINGHAL Nikita Sunil	BUE137	Licensed Representative (Temporary Licence)	1, 4
SMITH Ethan Donald Collings	BUG211	Licensed Representative (Temporary Licence)	1
SOLOMON Scott David	BUB227	Licensed Representative (Temporary Licence)	4
STREET Lee Alexander	AUE090	Licensed Representative (Temporary Licence)	4
SYMES Michael Anthony	BDO449	Licensed Representative (Temporary Licence)	1
TAKATSUKI Yo	BUG205	Licensed Representative (Temporary Licence)	1, 4
TAN Ren Feng Lorraine	BTK927	Licensed Representative (Temporary Licence)	2, 5
TAN Yong Hong	BTX517	Licensed Representative (Temporary Licence)	4
TIWARI Atul Kumar	BDT253	Licensed Representative (Temporary Licence)	4
TODD David Robert	BJA909	Licensed Representative (Temporary Licence)	4
VAN RIEMSDIJK Adrianus Gijsbertus	BUF742	Licensed Representative (Temporary Licence)	1, 4
VAULKHARD Alexander Charles	BUC343	Licensed Representative (Temporary Licence)	1, 4
VERSTAPPEN Theodorus Mechtildis Franciscus	BEW818	Licensed Representative (Temporary Licence)	1
WANG Ziyuan	BUF441	Licensed Representative (Temporary Licence)	4
WATANABE Ritsuo	BAZ846	Licensed Representative (Temporary Licence)	4
WATANABE Shino	BDR566	Licensed Representative (Temporary Licence)	1
WEHNER Holger	BTO573	Licensed Representative (Temporary Licence)	1
XU Lirong	BAZ251	Licensed Representative (Temporary Licence)	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2023

CE Name	CE No.	Licence Type	Types of Regulated Acitivity
YEOM Dong Chan	BUG183	Licensed Representative (Temporary Licence)	4