

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2023

CE Name	CE No.	Licence Type	Types of Regulated Acitivity
ANKAA CAPITAL LIMITED	BTT515	Licensed Corporation	4, 9
Atlascap Invest Limited	BTT175	Licensed Corporation	1, 4, 9
Blue Box Asset Management Limited	BTY375	Licensed Corporation	4, 9
C Capital Investment Management Limited	BTQ256	Licensed Corporation	4, 9
China Arab Capital Limited	BTQ708	Licensed Corporation	4, 9
CMC Capital Advisors (Hong Kong) Limited	BTT972	Licensed Corporation	4, 9
Dapu Asset Management (HK) Limited	BTU267	Licensed Corporation	4, 9
First Fortune Investment Fund Limited	BUA421	Licensed Corporation	4, 9
GenBridge Capital Management Limited	BTR944	Licensed Corporation	4, 9
GURRYSHARK Fund Management Company Limited	BTU969	Licensed Corporation	9
Jingan Asset Management (Hong Kong) Company Limited	BTR975	Licensed Corporation	4, 9
Mulana Investment Management Limited	BTL126	Licensed Corporation	1, 4, 9
QED Asset Management Limited	BTT365	Licensed Corporation	9
SEBA (Hong Kong) Limited	BTE883	Licensed Corporation	1, 4, 9
Sino Linear Investment Management Limited	BTV670	Licensed Corporation	9
Topology Capital Limited	BUA919	Licensed Corporation	4, 5, 9
Waterwood Asset Management Limited	BTX926	Licensed Corporation	4, 9
AFIFI MOHAMED	BUE459	Licensed Representative	10
AMBROGI Julien Yves Colas	BUF390	Licensed Representative	9
ANDREW Tiffany Chiu Yu Man	AXW922	Licensed Representative	1
ANGARA Aarti	AOG182	Licensed Representative	4
AO Yun	BUE157	Licensed Representative	1, 6
BAI Haojiang	BUC819	Licensed Representative	1, 6
BAI Yuchen	BUE397	Licensed Representative	1, 6
BAO Shiyang	BUD238	Licensed Representative	1, 4, 6
BHIMSARIA Muskan	BUC489	Licensed Representative	4, 9
BIAN Hongbin	BUH483	Licensed Representative	6
BOND Phillip Matthew	AWZ057	Licensed Representative	1
BOZORG MIR Brendan Arasche	BUE136	Licensed Representative	1, 2
CAI Mengchen	BUF410	Licensed Representative	9
CAO Jin	ATO442	Licensed Representative	1, 4, 9
CHAN Chor Hon	BUG279	Licensed Representative	1, 2, 4
CHAN Chung	AFV231	Licensed Representative	1
CHAN Fai Yeung	BUA847	Licensed Representative	4, 9
CHAN Hiu Wing	BTL380	Licensed Representative	1
CHAN Ka Ling	AZZ291	Licensed Representative	6
CHAN Kei Yin	BUG379	Licensed Representative	1
CHAN Kwun Lam	BUF547	Licensed Representative	1, 2, 4, 5, 9
CHAN Lai Shan, Camily	BUE645	Licensed Representative	1, 4
CHAN Lok Yiu	BUC995	Licensed Representative	1, 4
CHAN Pui Yuen	BUF722	Licensed Representative	9
CHAN Tin Wa Caesar	BJR579	Licensed Representative	1, 4, 9
CHAN Tsz Chung	BUD905	Licensed Representative	1
CHAN Tsz Hin	BRI622	Licensed Representative	1
CHAN Wilson Wai Kwok	BUB980	Licensed Representative	1
CHAN Yuen Ying	BUE345	Licensed Representative	3
CHANG Hao Hsiang	BUG434	Licensed Representative	1, 4
CHEAH Matthew Yun Shuan	BUE203	Licensed Representative	1, 4, 6
CHEN Ching Wen	BRE488	Licensed Representative	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2023

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHEN Guo	BUE195	Licensed Representative	4
CHEN Hongyu	BUD229	Licensed Representative	1, 4, 6
CHEN Jiabin	BUF455	Licensed Representative	10
CHEN JIAYI	BUH512	Licensed Representative	1, 4
CHEN JINDI	BUE138	Licensed Representative	9
CHEN Rui	BPF492	Licensed Representative	4, 9
CHEN Ruojun	BUD233	Licensed Representative	1, 4, 6
CHEN Shu	BUG216	Licensed Representative	1, 4, 6
CHEN Wo Ping	BUC061	Licensed Representative	4, 9
CHEN Yong	BUD727	Licensed Representative	4, 9
CHEN Zeen	AWR548	Licensed Representative	1, 4, 9
CHEN Zhenglin	BUE462	Licensed Representative	4, 5, 9
CHENG Bisheng	BUD103	Licensed Representative	4
CHENG Chi Lun Brandon	BUF686	Licensed Representative	1, 6
CHENG Chun Ching	AVH393	Licensed Representative	1, 4, 9
CHENG Hoi Yan	BUE378	Licensed Representative	1, 4, 9
CHENG Lee Lam	BUG682	Licensed Representative	1, 4, 6
CHENG LOK HEI	BUG015	Licensed Representative	1
CHENG Po Yee	BNT207	Licensed Representative	1, 4, 9
CHENG YINQI	BUE404	Licensed Representative	6
CHEUK Pui Hang	BTY432	Licensed Representative	1
CHEUNG Chi Lok	AWC705	Licensed Representative	1, 4, 5, 9
CHEUNG Chi Yin, Edwin	BUC307	Licensed Representative	4, 9
CHEUNG Chung Man	BTZ011	Licensed Representative	9
CHEUNG Ho Yan Vivian	BUG318	Licensed Representative	1
CHEUNG Ho Yin	BLZ801	Licensed Representative	1
CHEUNG Kwan Ho	BUG438	Licensed Representative	1, 4, 9
CHEUNG Kwok	BUC374	Licensed Representative	4, 9
CHEUNG Tsz Kin	BJQ669	Licensed Representative	9
CHEUNG Yee Hing	BUE641	Licensed Representative	1
CHI Yu Tsen	BUC998	Licensed Representative	4
CHING Teen Ho Nicholas	BUF499	Licensed Representative	6
CHO Hyojin	BUF673	Licensed Representative	1, 4
CHO Wai Him William	BUE488	Licensed Representative	9
CHOI Ka Man	BUG507	Licensed Representative	1
CHONG Sau Ching	BUF920	Licensed Representative	1, 4
CHOW Keng Wah, Kinson	AFJ226	Licensed Representative	1
CHOW Shu Kay	AAD203	Licensed Representative	1, 4
CHU Chaoyang	BDM532	Licensed Representative	6
CONG Yue	BTW490	Licensed Representative	4
CUI Bingrui	BUD052	Licensed Representative	4
DAI Ning	BNU970	Licensed Representative	6
DAI Yuhang	BUG778	Licensed Representative	1, 2
DANG Yujie	BUG004	Licensed Representative	1
DENG Zhilin	BUE033	Licensed Representative	6
DING Peng	BUG637	Licensed Representative	4, 9
DING Shuo	BUG506	Licensed Representative	1, 4, 6
DING SHUQI	BUI021	Licensed Representative	1, 6
DONG Yixuan	BUF500	Licensed Representative	1

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CE Name	CE No.	Licence Type	Types of Regulated Acitivity
DOU Liangxi	BUG717	Licensed Representative	1, 4, 9
DU Mingchong	BOC872	Licensed Representative	6
DU Qinpei	BUG005	Licensed Representative	4
FAN Li	BUD164	Licensed Representative	1, 4
FAN Linying	BUG477	Licensed Representative	1, 4
FANG Yuhao	BUF451	Licensed Representative	1, 4, 9
FENG Lizheng	BUC406	Licensed Representative	4
FONG Shu Wing	BEU868	Licensed Representative	2
FU Yifan	BUC703	Licensed Representative	4
GAO Xiang	BUE626	Licensed Representative	1, 4, 6
GENG Cong	AWT803	Licensed Representative	1
GONG Jian	BUC537	Licensed Representative	4
GONG Junan	BUI335	Licensed Representative	4, 9
GONG Qin	BUE481	Licensed Representative	1, 4, 6
GUO Buchao	BUA170	Licensed Representative	1
GUO Shuyi	BUE422	Licensed Representative	1, 4, 6
GUO Yi	BUG471	Licensed Representative	4, 9
HAMMERSLEY Sean Alan	BUF282	Licensed Representative	1
HAN Tinghui Christopher	BUG414	Licensed Representative	1, 4, 9
HAN Yuhang	BUC709	Licensed Representative	1, 4
HAO Jianan	BJK934	Licensed Representative	1, 6
HAO Yuanyuan	AQH382	Licensed Representative	1
HARA Takayuki	BUD273	Licensed Representative	4
HAU Stuart	BBT270	Licensed Representative	1, 2
HAYANO Hiroyuki	AXS622	Licensed Representative	4, 9
HE Chen	BUB236	Licensed Representative	1, 6
HE Chuan	BUH346	Licensed Representative	1, 4
HE Wenhao	BUF650	Licensed Representative	1, 4, 9
HE Xinyang	BUF501	Licensed Representative	6
HO Chok Kam	BUB023	Licensed Representative	1, 4, 9
HO Chun Man	AKW960	Licensed Representative	1, 2, 4
HO Chun Sing Calvin	BUG447	Licensed Representative	1, 4
HO Siu Hang	BUF875	Licensed Representative	1, 4
HO Wai Chun	BUG017	Licensed Representative	9
HO Yat Hon	BUG244	Licensed Representative	6
HOBBS Connor Paul	BUG518	Licensed Representative	1, 2
HOLBROW-WILSHAW George Vincent Jack	BUD966	Licensed Representative	1, 3
HU Jianwen	BUE478	Licensed Representative	4
HU Pujuan	BUG246	Licensed Representative	1, 4, 6
HU Stephanie Sey-Wey	BTU385	Licensed Representative	1
HUANG Cindy Hsin-hui	BBB348	Licensed Representative	1
HUANG Jiliang	BUH658	Licensed Representative	4, 9
HUANG Shasha	BEM192	Licensed Representative	6
HUANG Yanbing	BUE680	Licensed Representative	1, 4
HUANG Yao Yao	BLO855	Licensed Representative	4, 6, 9
HUANG Yinjun	BAI389	Licensed Representative	1, 4
HUANG Zhaokai	BUC785	Licensed Representative	6
HUANG Ziyang	BUF756	Licensed Representative	9
HUI Ching Yan	BUF409	Licensed Representative	1, 4

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CE Name	CE No.	Licence Type	Types of Regulated Activity
HUI Shuk Hing	BBT514	Licensed Representative	1, 4, 9
HUI Tsz Sung	AGK775	Licensed Representative	1, 9
HUNG (NISHIMURA) Rika	BHB795	Licensed Representative	1, 4
HUNT Ross Anthony	ATD971	Licensed Representative	1, 2
IDA Emiko	BUH407	Licensed Representative	1, 4
IP Kobe Siu Lam	BUG783	Licensed Representative	1, 4, 7
IP Wai Man, Eddie	AAK709	Licensed Representative	1
IRELAND Ellerey James	BUE362	Licensed Representative	1, 4, 6
IVESON Thomas Norman	BUG689	Licensed Representative	1
JANG Hyuk	ASO439	Licensed Representative	1
JI Tuo	BAH832	Licensed Representative	1, 4
JIANG Huamei	BUD475	Licensed Representative	1
JIANG Jun	BUF893	Licensed Representative	4, 9
JIANG Yuanfei	BUD235	Licensed Representative	1, 4, 6
JIN Chenghua	BDQ952	Licensed Representative	4, 9
JIN Feiyang	BUC837	Licensed Representative	4
JIN Hao	BUG503	Licensed Representative	1, 4, 6
JIN Lujiang	BUE418	Licensed Representative	1, 6
JINDAL Mahika	BUG465	Licensed Representative	9
KEDVES Elias Pascal	BUE187	Licensed Representative	1, 4, 5, 9
KIM Chae Eun	BUD526	Licensed Representative	1, 4, 9
KIPPING Bryan Edward	ACR175	Licensed Representative	1
KISHIMOTO Akitomo	BUG460	Licensed Representative	1, 4
KO Ka Man	BUG526	Licensed Representative	1
KOK Ka Wai	AFX192	Licensed Representative	1, 4, 9
KONG Bing	BUD924	Licensed Representative	1, 4
KONG Shishi	BID357	Licensed Representative	9
KONG Yuhao	BUC345	Licensed Representative	1, 6
KU Pak Ho Bryan	BUE342	Licensed Representative	1, 4
KUO Yueh-Chi	BUG362	Licensed Representative	6
KWOK Chai Yan	BFX844	Licensed Representative	1, 4
LAI Hsing-Lu	BUE085	Licensed Representative	4, 9
LAM Chi Wai	BUF800	Licensed Representative	1, 2, 4, 5
LAM Chun Yin Angus	BUF796	Licensed Representative	1, 2
LAM Hiu Ling	BPK435	Licensed Representative	1, 4, 9
LAM King Sau	BAI839	Licensed Representative	1, 4, 6
LAU Arthur Nicholas Tsz-him	BFZ391	Licensed Representative	1, 9
LAU Chi Kit	BUB151	Licensed Representative	1, 4, 9
LAU King Yan	BOI954	Licensed Representative	1, 4, 9
LAU So Hon	BUB118	Licensed Representative	1
LAU Tat Ching	BUD860	Licensed Representative	1, 2, 4, 5
LEE Chun Stanley	BUF413	Licensed Representative	1, 2
LEE Ho Luen, Derek	AES116	Licensed Representative	4, 9
LEE Hon Ying	BUF984	Licensed Representative	1, 4, 9
LEE Man Lok	BUF968	Licensed Representative	1
LEE Miu Na	BUE398	Licensed Representative	1
LEE Pak Ho, Jason Ryan	BUG152	Licensed Representative	2
LEE Qi Ming	BUG245	Licensed Representative	1, 4
LEE Wing Yan	AQA911	Licensed Representative	1, 4, 9

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CE Name	CE No.	Licence Type	Types of Regulated Activity
LEE YUK CHUN	BUE324	Licensed Representative	1
LEUNG Pui Lai	BQA857	Licensed Representative	1, 2, 4, 5, 9
LEUNG Shek Ho	AYW104	Licensed Representative	6
LEUNG Theodore Jenkin Hong-yu	BTD548	Licensed Representative	9
LI Changgen	BUD613	Licensed Representative	1, 6
LI Ji	BUG684	Licensed Representative	1, 4, 6
LI Jiajie	BUF305	Licensed Representative	1, 4, 6
LI Jiaqi	BUF692	Licensed Representative	1, 4
LI Jichen	BLU396	Licensed Representative	9
LI Jinglan	BUH962	Licensed Representative	6
LI Kunlun	BNU121	Licensed Representative	4
LI Kwok Leung	BUC999	Licensed Representative	1
LI LOK WA	BUG621	Licensed Representative	1, 6
LI Longyun	BUE434	Licensed Representative	1, 4
LI Meng Lu	BUF463	Licensed Representative	1, 4, 9
LI Mengxuan	BUD619	Licensed Representative	1, 4, 6
LI MINGQING	BUF757	Licensed Representative	2, 5
LI Peishu	BUE183	Licensed Representative	1, 4, 5, 9
LI WEIZHENG	BUE037	Licensed Representative	1, 6
LI Wenhao	BUH956	Licensed Representative	1, 2, 9
LI Xiaodong	BUD896	Licensed Representative	1, 4
LI Xu	BUF344	Licensed Representative	1, 2, 4, 9
LI Xuhua	BPL807	Licensed Representative	9
LI Yang	BUE384	Licensed Representative	1, 4, 6
LI Yanyu	BUD549	Licensed Representative	4
LI Yaobin	BUE365	Licensed Representative	1, 4
LIAN Xinran	BUF989	Licensed Representative	6
LIM Chuan Ji	BTQ114	Licensed Representative	1, 2, 7
LIN Xiaoyuan	BUE435	Licensed Representative	1, 4, 9
LIN Yue	BNU010	Licensed Representative	1, 6
LIN YUWEI	BUB231	Licensed Representative	1, 6
LIU Bin	BSD498	Licensed Representative	9
LIU Cheuk Fung Julian	BUF744	Licensed Representative	4, 9
LIU Enyan	BUD930	Licensed Representative	4
LIU Jing	ART641	Licensed Representative	1
LIU KIN CHUNG	BUH578	Licensed Representative	1, 2
LIU Lu	BUC778	Licensed Representative	4
LIU Mingpei	BUG457	Licensed Representative	4
LIU Qinghe	BTM697	Licensed Representative	4, 9
LIU Shaochen	BUG285	Licensed Representative	1, 2, 4
LIU Xiaojun	BSM217	Licensed Representative	1, 4, 9
LIU Yuan	BGL501	Licensed Representative	1, 4
LO Si Hon	BJV851	Licensed Representative	1, 4, 6
Lou Yanxiang	BUD566	Licensed Representative	9
LU Huiwei	BUG013	Licensed Representative	1, 4
LU Yang	BUG500	Licensed Representative	4
LUK Kar Man, Carmen	AVD308	Licensed Representative	1, 2, 4
LUO Tianbao	BQB242	Licensed Representative	1
LUO Yi	BPQ501	Licensed Representative	9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2023

CE Name	CE No.	Licence Type	Types of Regulated Activity
LUO Yunting	APO882	Licensed Representative	1, 4
LYU Hui	BNZ181	Licensed Representative	6
MA Junhua	BTQ269	Licensed Representative	1
MA Xilun	BUE201	Licensed Representative	1, 4, 6
MACDONALD Geoffrey Peck	ASN338	Licensed Representative	9
MAK Wai Hung Anthony	AWZ649	Licensed Representative	1, 2, 7
MAN Chun Yat	BUD345	Licensed Representative	1, 4
MEI Liangliang	BUE651	Licensed Representative	1, 4
MENG Lingyan	BUD730	Licensed Representative	1, 4
MI Yuxuan	BTQ224	Licensed Representative	4
MIAO Chuanye	BUC132	Licensed Representative	4
MIYAMOTO Go	BIN850	Licensed Representative	4
MOK Ka Ho	AVY704	Licensed Representative	4, 9
MOK Ka Lam, Kevin	BUG068	Licensed Representative	6
NEAL Noreen Pakvina	BUG268	Licensed Representative	9
NESTOR-SHERMAN Hugo James	BUH525	Licensed Representative	1, 2
NG Chui Wah	ASS963	Licensed Representative	1, 9
NG Lai Ling, Winnie	BAK518	Licensed Representative	4, 9
NG Siu Fung, Samson	AXL878	Licensed Representative	1
NI Yunting	BUC839	Licensed Representative	4
NIU Yuanye	BUH637	Licensed Representative	4, 9
ODA Hirofumi	BUF309	Licensed Representative	4
OH Boon Sim	BHB941	Licensed Representative	9
OH You Kyoung	BUE018	Licensed Representative	1, 4, 6
OON Jin Heng	AMO566	Licensed Representative	1
PAN Chaoyong	BUF811	Licensed Representative	1, 4, 6
PARADISE Calum Richard	BUG352	Licensed Representative	1, 2
PARK Hyung Keun	BUE087	Licensed Representative	1, 2, 7
PAUL Abhijeet Gejo	BUF311	Licensed Representative	1
PEI Yingbo	BUF376	Licensed Representative	1, 6
PENG Di	BUE069	Licensed Representative	1, 4, 6
PENG Siyuan	BUC346	Licensed Representative	1, 6
PI Kristina Xiaomeng	BUG382	Licensed Representative	1
POON Lok Ming, Louis	AFO678	Licensed Representative	9
POPLY Kapil	BUH304	Licensed Representative	1
QI TUNAN	BUH290	Licensed Representative	1, 6
QIAO Ao	BUE302	Licensed Representative	1, 4, 6
QIN Bo	BOO258	Licensed Representative	1, 2, 4
QIN Zhu	BUF950	Licensed Representative	1, 2, 4
QIU Yuran	BUD182	Licensed Representative	1, 4
RAYKOVSKI Entcho Vesselinov	BOW778	Licensed Representative	4
REN Zeyu	BUF827	Licensed Representative	1, 4
SACUTO Pierre	BRC076	Licensed Representative	1, 2
SAN Chung Tat, Nelson	BGB704	Licensed Representative	1, 2, 4
SHAIKH Tausif Khurshid	BUE325	Licensed Representative	4
SHAO Caijie	BUE170	Licensed Representative	1, 4, 6
SHAO Yifei	BUD641	Licensed Representative	1, 4, 6
SHEN Jingting	BUH382	Licensed Representative	1
SHEN Minjian	BUG339	Licensed Representative	1, 4, 6

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CE Name	CE No.	Licence Type	Types of Regulated Acitivity
SHEN Yu Ting	BUG425	Licensed Representative	1
SHENG Wei-Ting	AJL418	Licensed Representative	1, 4, 9
SHENG Zhemin	BUI169	Licensed Representative	1, 2
SHI YANG	BUE146	Licensed Representative	1, 4
SIAH Zhen Jia	BUG208	Licensed Representative	9
SIEW Yew Pheng	BUC766	Licensed Representative	1, 4, 9
SIN Wan Fong	BUG287	Licensed Representative	1, 4, 9
SINGH Jason Jagpal	BUF783	Licensed Representative	9
SIU Yan Kei, Catherine	ARA299	Licensed Representative	1, 4
SO Ka Man	BUF276	Licensed Representative	1, 4, 9
SONG Jiewen	BUF299	Licensed Representative	1, 4, 6
SONG LEI	BUG344	Licensed Representative	1, 4, 9
SONG Long	BRP554	Licensed Representative	1, 4
SONG Ruijia	BUC777	Licensed Representative	1, 4, 6
SU Hang	BUF317	Licensed Representative	1, 4
SU Ziheng	BJK655	Licensed Representative	1, 4, 9
SUN Haoyuan	BUG437	Licensed Representative	4, 9
SUN Jifan	BUF793	Licensed Representative	1
SUN Linhui	BUD869	Licensed Representative	1
SUN Xiaohan	BUC864	Licensed Representative	4
SUN Xiaowen	BUG164	Licensed Representative	4
TANG Yingkai	BUF876	Licensed Representative	9
TANG Liang	BUG299	Licensed Representative	1, 4, 6
TANG Lik Man	BFY110	Licensed Representative	1, 4, 9
TANG Man Kei	BUE379	Licensed Representative	1, 4
TANG Mei Chee Agnes	BUI127	Licensed Representative	1
TANG Meng	BUH636	Licensed Representative	1
TANG Shing Pan	BIW840	Licensed Representative	1, 4
TANG Yawei	BUD650	Licensed Representative	1, 4
TANG Ying	BUG505	Licensed Representative	1, 4, 6
TANG Yuxin	BUF493	Licensed Representative	1, 9
TANG Ziyuan	BUE399	Licensed Representative	1, 4, 9
TAO Anqi	BUG162	Licensed Representative	1, 4
TON Ken-edouard	BUD433	Licensed Representative	1, 4
TONG Hoi Man	BUI018	Licensed Representative	1, 4
TONG Shengyao Benjamin	BUE683	Licensed Representative	4, 9
TSAI Yi-chen	BUG545	Licensed Representative	1, 4, 9
TSANG WAI YUEN ERIC	BUG209	Licensed Representative	1, 4
TSE Pui Kei	BCX238	Licensed Representative	1, 4, 6
TSEUNG Wing Choi	BOP793	Licensed Representative	1
TSUI Pui Ling	BUF687	Licensed Representative	1, 4, 6
TSUI Yuen Yau	BUD935	Licensed Representative	4, 9
VENES Imogen Clare	BUH405	Licensed Representative	1, 4
WAGE Matthew Michael	BEZ045	Licensed Representative	1, 2
WAH Chun	BBN718	Licensed Representative	1, 4, 6
WAN Yiu Tak	BUG092	Licensed Representative	1, 4, 9
WANG ALEX SHI KE	BUG248	Licensed Representative	1
WANG Chen	BUF567	Licensed Representative	4, 9
WANG Guanyi	BBU539	Licensed Representative	4

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CE Name	CE No.	Licence Type	Types of Regulated Activity
WANG Hongqin	BUH790	Licensed Representative	6
WANG Junyu	BUG048	Licensed Representative	1
WANG Lihua	BTR512	Licensed Representative	9
WANG Manqi	BUF826	Licensed Representative	4
WANG Ruihao	BUD054	Licensed Representative	1, 4
WANG Sibao	BUD591	Licensed Representative	1, 6
WANG Simeng	BUI209	Licensed Representative	1, 4, 6
WANG Xuzhou	BBT850	Licensed Representative	6
WANG Yilun	BUG292	Licensed Representative	9
WEI Kaiyin	BUH425	Licensed Representative	1, 2
WEI Xin	BUD854	Licensed Representative	1, 4
WEN Shihui	BUG059	Licensed Representative	1, 4, 9
WEN Zining	BUF782	Licensed Representative	9
WHITEHEAD Hamish Roy	BUF974	Licensed Representative	1, 4
WONG Benny	BUH433	Licensed Representative	1
WONG Chun Hong	BEE970	Licensed Representative	1, 4
WONG Hoi Shun	BUE246	Licensed Representative	1, 4
WONG Lai Kei, Denise	APG514	Licensed Representative	1, 6
WONG Lap Yu	BUD489	Licensed Representative	1, 4, 9
WONG Ling Chi	BIP062	Licensed Representative	1, 4, 9
WONG Mei Ha	BUF810	Licensed Representative	1, 2, 4
WONG Pak Kiu Ken	BTX708	Licensed Representative	4, 9
WONG Pui Hang	BUI415	Licensed Representative	4, 9
WONG Pui San, Stephanie	ACX711	Licensed Representative	9
WONG Shing Chun	AGK068	Licensed Representative	1
WONG Sum Wai	BUG165	Licensed Representative	4
WONG Wai Chuen	BUD503	Licensed Representative	1, 4, 9
WONG Wing Lam	BUI471	Licensed Representative	1
WU Chaoming	BUD232	Licensed Representative	1, 4, 6
WU Di	BUG552	Licensed Representative	1, 4, 9
WU Junhao	BUE401	Licensed Representative	1, 2, 4
WU Leilei	BUA839	Licensed Representative	1, 6
WU Linman	AXP650	Licensed Representative	1, 4, 9
WU Rick Da	BUE382	Licensed Representative	1, 4, 6
WU Tong	BUF438	Licensed Representative	1, 4, 9
WU Wangke	BUF551	Licensed Representative	1, 4, 6
WU Wei	BUF816	Licensed Representative	1, 4
WU You	BUE402	Licensed Representative	1, 4, 9
WU Youzhen	BUC355	Licensed Representative	1, 4, 9
WU Zeren	BUE393	Licensed Representative	1, 4, 6
XIA Fan	BUD925	Licensed Representative	4
XIAO Kai	BUF316	Licensed Representative	1, 4
XIAO Xuan	BSE821	Licensed Representative	6
XIE Kaixi	BFO612	Licensed Representative	1, 2, 4
XIE Ruixue	BUF449	Licensed Representative	4, 9
XIE YUFAN	BUG473	Licensed Representative	1, 6
XIE Yumeng	BUA795	Licensed Representative	1, 6
XIONG Jun	BNB605	Licensed Representative	1, 4
XIONG Menghan	BQC706	Licensed Representative	9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2023

CE Name	CE No.	Licence Type	Types of Regulated Activity
XU Junjie	BNL583	Licensed Representative	9
XU Xinyang	BUB006	Licensed Representative	1, 6
XUE Runmei	BUF363	Licensed Representative	1, 2
XUE Yuling	BUI154	Licensed Representative	1
YAN Denise	BQC637	Licensed Representative	4
YAN Sen	BUC725	Licensed Representative	1, 2, 4
YANG Mengxinyi	BUD487	Licensed Representative	9
YANG MING	BUF988	Licensed Representative	1, 4
YANG Peiyu	BUE619	Licensed Representative	1, 4
YANG Shuo	AQU542	Licensed Representative	1, 4
YANG Xuefeng	BUD520	Licensed Representative	1, 4
YANG Yifan	BUF745	Licensed Representative	9
YAO Chengxuan	BUG337	Licensed Representative	1, 2
YAO XI	BUB868	Licensed Representative	4, 9
YAO Yi	BUD092	Licensed Representative	4, 9
YAO Zhiyu	BUC843	Licensed Representative	1, 4
YAU Adam Ching Yiu	BUE117	Licensed Representative	4, 9
YE CHENG	BUG086	Licensed Representative	1, 2, 4
YE CUILING	BUG087	Licensed Representative	1, 4
YE Jiawen	BUH638	Licensed Representative	1, 2
YE Zizhang	BUF479	Licensed Representative	6
YEUNG Chi Ho Ivan	BUD868	Licensed Representative	1, 2
YEUNG Gabriel Ronald	AWT373	Licensed Representative	4, 9
YEUNG PAK MING	BUF329	Licensed Representative	1, 2, 4
YIN Lu Hsiang	BUD471	Licensed Representative	1
YING Xiaotong	BID518	Licensed Representative	1, 4, 6
YIP Chun Man	ACS017	Licensed Representative	1
YIP Chun Wa	BUG610	Licensed Representative	6
YIP Shu Wing	BUF691	Licensed Representative	1, 4
YIU King Man	BDX230	Licensed Representative	4, 9
YU Hin Yin	ARD759	Licensed Representative	9
YU Jing-lu	BUD565	Licensed Representative	1, 4
YU Tzu Ling	BUG214	Licensed Representative	1, 2
YUAN Qing	BUC399	Licensed Representative	4, 9
YUE Sen	BUD231	Licensed Representative	1, 4, 6
YUEN Joanna See Ting	BTO662	Licensed Representative	1, 4, 6
YUEN Sau Man	BUG207	Licensed Representative	1
ZHANG Chun Ying	BUB785	Licensed Representative	1
ZHANG Haoqi	BUE358	Licensed Representative	1, 6
ZHANG Jiaer	BUF450	Licensed Representative	1, 6
ZHANG Jianing	BUC608	Licensed Representative	1, 6
ZHANG Jiawei	BPT385	Licensed Representative	4, 9
ZHANG Junchao	BUC369	Licensed Representative	1, 6
ZHANG Shiruo	BPQ198	Licensed Representative	1, 6
ZHANG Weijian	BUG543	Licensed Representative	1, 7
ZHANG Yi	BUF481	Licensed Representative	6
ZHANG Yiyao	BUG053	Licensed Representative	1, 4, 9
ZHANG Yunning	BUF688	Licensed Representative	1, 4, 9
ZHANG Yuxuan	BTZ861	Licensed Representative	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2023

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHAO Fangzhou	BUC831	Licensed Representative	4
ZHAO Jie	BUB905	Licensed Representative	1, 4, 9
ZHAO Muyang	BUF330	Licensed Representative	4
ZHAO Rui	BUI069	Licensed Representative	6
ZHAO Xinzhuo	BUD228	Licensed Representative	1, 4, 6
ZHENG Suxi	BUE460	Licensed Representative	4
ZHOU Gang	AWP701	Licensed Representative	1, 4
ZHOU shanshan	BUA742	Licensed Representative	1, 2, 4
ZHOU Weixi	AXV577	Licensed Representative	1, 4, 9
ZHOU Yuanyuan	BJV535	Licensed Representative	9
ZHOU Yunhao	BUF785	Licensed Representative	1, 4, 6
ZHOU Yuxin	BUD595	Licensed Representative	1, 6
ZHU Chao	BUG079	Licensed Representative	1, 4
ZHU Lika	BUH800	Licensed Representative	1, 2
ZHU Zhehong	BUG052	Licensed Representative	1, 4, 9
Zhuang Qing Qing	BSO666	Licensed Representative	9
BURKE Sean Patrick	BUD073	Licensed Representative - Responsible Officer	9
CHANG Bin	BTR947	Licensed Representative - Responsible Officer	4, 9
CHEN Chien-Hua	ARJ090	Licensed Representative - Responsible Officer	4, 9
CHUNG Josephine	AFL982	Licensed Representative - Responsible Officer	4, 9
CUI Yuan	BUA710	Licensed Representative - Responsible Officer	9
FORSTER Philipp	BUF398	Licensed Representative - Responsible Officer	1, 2, 4, 6, 9
FUNG Kin Tung	AKI222	Licensed Representative - Responsible Officer	4, 9
HUANG zhijun	BUF781	Licensed Representative - Responsible Officer	4, 9
KHOO Terence Boo Kheng	ACZ723	Licensed Representative - Responsible Officer	9
KOO Wing Tat, Vincent	ACW766	Licensed Representative - Responsible Officer	4, 9
KWOK Ping Sang	BQI375	Licensed Representative - Responsible Officer	1, 7
LAU Wai Kit	ACW799	Licensed Representative - Responsible Officer	4, 9
LEE David Hyung-tek	AOV740	Licensed Representative - Responsible Officer	9
LEE Ho Yin	BDI687	Licensed Representative - Responsible Officer	6
LEE Lay Mun Angela	BRU721	Licensed Representative - Responsible Officer	4, 9
LEE Wei Choy	BTH381	Licensed Representative - Responsible Officer	4, 9
LI Jiahui	BTT053	Licensed Representative - Responsible Officer	9
LI Jingwei	BUF817	Licensed Representative - Responsible Officer	9
LI Ju	BUA422	Licensed Representative - Responsible Officer	4, 9
LI Xiao	BTU971	Licensed Representative - Responsible Officer	9
LIU Hua	BTU268	Licensed Representative - Responsible Officer	4, 9
LIU Ling	BDV017	Licensed Representative - Responsible Officer	4, 9
LIU Yecheng	AWA518	Licensed Representative - Responsible Officer	1, 4, 9
LUO Yi	AQE404	Licensed Representative - Responsible Officer	6
MALL Gregory Max	BTE886	Licensed Representative - Responsible Officer	9
MAO Beichen	ATS704	Licensed Representative - Responsible Officer	4, 9
NG Kin Hong, Corey	ADW707	Licensed Representative - Responsible Officer	9
NG Pik Ying	BIG966	Licensed Representative - Responsible Officer	4
NG Wang To	ADH937	Licensed Representative - Responsible Officer	4, 9
NG Wing Hing	BHB451	Licensed Representative - Responsible Officer	6
REN Qingwen	BME227	Licensed Representative - Responsible Officer	1, 4, 9
SHUM Kin Chi Ludovic	BTE884	Licensed Representative - Responsible Officer	1, 4, 9
TANG Hui	BJW834	Licensed Representative - Responsible Officer	1, 4, 9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2023

CE Name	CE No.	Licence Type	Types of Regulated Activity
TANG Shangen	BUC795	Licensed Representative - Responsible Officer	9
WANG Grace	APP106	Licensed Representative - Responsible Officer	4, 9
WANG Jiahao	BTR980	Licensed Representative - Responsible Officer	4, 9
WANG Yumei	BBY647	Licensed Representative - Responsible Officer	1, 4, 9
WU Xuejing	BJH007	Licensed Representative - Responsible Officer	1, 4, 9
XIA Junjie	BTK887	Licensed Representative - Responsible Officer	9
XIE Menghui	BTT179	Licensed Representative - Responsible Officer	1, 4, 9
XU Lei	BUA920	Licensed Representative - Responsible Officer	4, 5, 9
YAN Keyi	BTU272	Licensed Representative - Responsible Officer	4, 9
YANG Shuo	AQU542	Licensed Representative - Responsible Officer	6
YEUNG Wai Kit, Eddy	AXJ838	Licensed Representative - Responsible Officer	1, 4, 9
YU Amy Hangshin	AUJ850	Licensed Representative - Responsible Officer	1, 4, 9
ZHANG Jun	AOM570	Licensed Representative - Responsible Officer	4
ZHANG Xinzhaohao	BTR945	Licensed Representative - Responsible Officer	4, 9
ZHANG Yinan	BBT733	Licensed Representative - Responsible Officer	9
ZHOU Wei	BTX367	Licensed Representative - Responsible Officer	9
ZHU Yuan	BPG310	Licensed Representative - Responsible Officer	1, 2, 4
AGRAWAL Parthey	BUI834	Licensed Representative (Provisional Licence)	1, 2
AN LIN	BUI226	Licensed Representative (Provisional Licence)	1, 4
BAI Xiangliang	BDO575	Licensed Representative (Provisional Licence)	4, 9
BANERJEE Nilesh	BHL908	Licensed Representative (Provisional Licence)	9
BANSAL Rajat	BUI005	Licensed Representative (Provisional Licence)	4, 9
BI Ke	BUH360	Licensed Representative (Provisional Licence)	1, 6
CAI Keying	BUG548	Licensed Representative (Provisional Licence)	1
CAI Mengchen	BUF410	Licensed Representative (Provisional Licence)	9
CAI Shukun	BUI525	Licensed Representative (Provisional Licence)	1, 6
CAO SHENGHUA	BUI582	Licensed Representative (Provisional Licence)	1, 2
CHAN Po Yi	AVV835	Licensed Representative (Provisional Licence)	1, 2
CHAO Zi Huang, Adrian	BJT861	Licensed Representative (Provisional Licence)	9
CHEN Junxiang	BUK107	Licensed Representative (Provisional Licence)	1, 2
CHEN Li Tzu	BUG271	Licensed Representative (Provisional Licence)	1
CHEN Qianzi	BUH994	Licensed Representative (Provisional Licence)	1, 6
CHEN Shuhan	BUI603	Licensed Representative (Provisional Licence)	1, 4, 9
CHEN Sijie	BUI602	Licensed Representative (Provisional Licence)	1, 4, 9
CHENG Chi Ming	ABV545	Licensed Representative (Provisional Licence)	1, 4, 9
CHENG Hoi Yan	BUE378	Licensed Representative (Provisional Licence)	1, 4, 9
CHEUK Tsz Fung	BUI155	Licensed Representative (Provisional Licence)	4, 9
CHEUNG Kin Tai	BUH659	Licensed Representative (Provisional Licence)	1, 4
CHEUNG William Chun Biu	BPV800	Licensed Representative (Provisional Licence)	2
CHONG Man Ting	BUK048	Licensed Representative (Provisional Licence)	1
CHU Jing Man	AZJ717	Licensed Representative (Provisional Licence)	4, 9
COHEN-RUHLE Liam Kabu	BF1059	Licensed Representative (Provisional Licence)	1, 7
CROSS Michael	BUI019	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 9
CUI Shifeng	BUI663	Licensed Representative (Provisional Licence)	4
CUI Yifan	BUI490	Licensed Representative (Provisional Licence)	9
DAI Mingsheng	BUH707	Licensed Representative (Provisional Licence)	1, 6
DAI Yuhang	BUG778	Licensed Representative (Provisional Licence)	1, 2
DAVIS Elaine Elizabeth	BKB862	Licensed Representative (Provisional Licence)	9
DENG Naitian	BMB571	Licensed Representative (Provisional Licence)	4, 9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2023

CE Name	CE No.	Licence Type	Types of Regulated Activity
DING Jin	BUI963	Licensed Representative (Provisional Licence)	1, 4
DING Mao	BGI315	Licensed Representative (Provisional Licence)	1, 4
DING SHUQI	BUI021	Licensed Representative (Provisional Licence)	1, 6
DONG Niandong	BUG360	Licensed Representative (Provisional Licence)	1, 6
DOU Liangxi	BUG717	Licensed Representative (Provisional Licence)	1, 4, 9
DRUCE James Anthony Stephen	BGE144	Licensed Representative (Provisional Licence)	4
ELZER Monica Chang	ARN814	Licensed Representative (Provisional Licence)	9
FESER Alexander Frederick	BUI612	Licensed Representative (Provisional Licence)	9
FUNG Man Hin	BUF953	Licensed Representative (Provisional Licence)	1, 4, 6
GLOVER Phineas Max	BUI695	Licensed Representative (Provisional Licence)	4
GU Ziqiao	BMW241	Licensed Representative (Provisional Licence)	4, 9
GULABANI Tarun Chhato	BUI760	Licensed Representative (Provisional Licence)	1, 2
GUO Yi	BUG471	Licensed Representative (Provisional Licence)	4, 9
HAN Minxi	BUI165	Licensed Representative (Provisional Licence)	1, 4
HE Chuan	BUH346	Licensed Representative (Provisional Licence)	1, 4
HE Jing	BUI501	Licensed Representative (Provisional Licence)	2, 5
HO Chok Kam	BUB023	Licensed Representative (Provisional Licence)	1, 4, 9
HO Chun Yee	BUG016	Licensed Representative (Provisional Licence)	4
HO Ka Man	BUI234	Licensed Representative (Provisional Licence)	1
HO Wai Chun	BUG017	Licensed Representative (Provisional Licence)	9
HOBBS Connor Paul	BUG518	Licensed Representative (Provisional Licence)	1, 2
HU Stephanie Sey-Wey	BTU385	Licensed Representative (Provisional Licence)	1
HU Yangzhou	BUH843	Licensed Representative (Provisional Licence)	1, 4, 9
HU Zhiyun	BUI795	Licensed Representative (Provisional Licence)	1, 6
HUANG Chung En	AWW100	Licensed Representative (Provisional Licence)	9
HUANG Jiliang	BUH658	Licensed Representative (Provisional Licence)	4, 9
HUANG Jingying	BAI752	Licensed Representative (Provisional Licence)	1, 6
HUANG Yao Yao	BLO855	Licensed Representative (Provisional Licence)	4, 6, 9
HUANG Zihao	BUI074	Licensed Representative (Provisional Licence)	1, 4, 9
HUI Tsz Sung	AGK775	Licensed Representative (Provisional Licence)	1, 9
IDA Emiko	BUH407	Licensed Representative (Provisional Licence)	1, 4
IP Kobe Siu Lam	BUG783	Licensed Representative (Provisional Licence)	1, 4, 7
JANG Hyuk	ASO439	Licensed Representative (Provisional Licence)	1
JI Senshan	BUI759	Licensed Representative (Provisional Licence)	9
JIANG Tao	APC005	Licensed Representative (Provisional Licence)	1, 4, 6
JIN Hongjian	BUI914	Licensed Representative (Provisional Licence)	1, 2, 4
KAN Dingyue	BSS199	Licensed Representative (Provisional Licence)	1, 2
KANG Ho Sang	BHF431	Licensed Representative (Provisional Licence)	1, 4, 6
KANG Yue	BUG644	Licensed Representative (Provisional Licence)	1, 2, 4
KIM Haejin	BUK005	Licensed Representative (Provisional Licence)	1, 2
KIPPING Bryan Edward	ACR175	Licensed Representative (Provisional Licence)	1
KONG Shishi	BID357	Licensed Representative (Provisional Licence)	9
KONG Teck Chien	AFE503	Licensed Representative (Provisional Licence)	4, 9
KOSUGA Osamu	AWA259	Licensed Representative (Provisional Licence)	1
KWOK WAI FONG	AJX122	Licensed Representative (Provisional Licence)	1, 4, 9
LAI Matthew	BBQ037	Licensed Representative (Provisional Licence)	1
LAI Zeyu	BUI798	Licensed Representative (Provisional Licence)	6
LAM King Sau	BAI839	Licensed Representative (Provisional Licence)	1, 4, 6
LAM Wan	BUI864	Licensed Representative (Provisional Licence)	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2023

CE Name	CE No.	Licence Type	Types of Regulated Activity
LAM Yee Ting	BBT549	Licensed Representative (Provisional Licence)	9
LAU Bik Sum Betty	BRP310	Licensed Representative (Provisional Licence)	4, 9
LAU Wen Kai	BUF612	Licensed Representative (Provisional Licence)	1, 4, 5, 9
LEE Chun Ho	AGK756	Licensed Representative (Provisional Licence)	4
LEE Ho Yin	BDI687	Licensed Representative (Provisional Licence)	6
LEE Hon Ying	BUF984	Licensed Representative (Provisional Licence)	1, 4, 9
LEE Man Lok	BUF968	Licensed Representative (Provisional Licence)	1
LEE Seok Jun	BUH972	Licensed Representative (Provisional Licence)	1
LEE Soohee	AIZ796	Licensed Representative (Provisional Licence)	1, 4
LEE Yat Fai, Sam	AFJ510	Licensed Representative (Provisional Licence)	4
LEE YUN-RU	BUI448	Licensed Representative (Provisional Licence)	1, 2, 9
LEUNG Cheuk Lai, Justin Jonathan	BKM204	Licensed Representative (Provisional Licence)	1, 4, 6
LEUNG Hoi Ming	BUH627	Licensed Representative (Provisional Licence)	1, 4
LEUNG Theodore Jenkin Hong-yu	BTD548	Licensed Representative (Provisional Licence)	9
LEUNG Wai Tak	BUI257	Licensed Representative (Provisional Licence)	1
LI Chengheng	BUF613	Licensed Representative (Provisional Licence)	1, 4, 9
LI Hon Nga	BUH471	Licensed Representative (Provisional Licence)	9
LI Jiafan	BUI498	Licensed Representative (Provisional Licence)	6
LI Jichen	BLU396	Licensed Representative (Provisional Licence)	9
LI Jinglan	BUH962	Licensed Representative (Provisional Licence)	6
LI LOK WA	BUG621	Licensed Representative (Provisional Licence)	1, 6
LI Mingjing	BUG433	Licensed Representative (Provisional Licence)	4, 9
LI Rui	BUI519	Licensed Representative (Provisional Licence)	1
LI Runbo	BRR375	Licensed Representative (Provisional Licence)	9
LI Ruxu	BUI201	Licensed Representative (Provisional Licence)	1, 6
LI Wanqi	BUJ111	Licensed Representative (Provisional Licence)	1, 6
LI Wenhao	BUH956	Licensed Representative (Provisional Licence)	1, 2, 9
LI Youjia	BUH486	Licensed Representative (Provisional Licence)	1, 6
LI Ziyu	BUG635	Licensed Representative (Provisional Licence)	1, 2, 4
LIAO Hon Wai	BUG622	Licensed Representative (Provisional Licence)	4, 9
LIAO Jingchi	BUF369	Licensed Representative (Provisional Licence)	4
LIAO Lin	BUI749	Licensed Representative (Provisional Licence)	4, 9
LIN SHIPIN	BUH935	Licensed Representative (Provisional Licence)	1
LIPTON Matthew Thomas	BNI289	Licensed Representative (Provisional Licence)	1, 4
LIU Baoshan	BRH237	Licensed Representative (Provisional Licence)	1, 4
LIU Cheuk Fung Julian	BUF744	Licensed Representative (Provisional Licence)	4, 9
LIU Jing	ART641	Licensed Representative (Provisional Licence)	1
LIU KIN CHUNG	BUH578	Licensed Representative (Provisional Licence)	1, 2
LIU Kwan Wai	AFT869	Licensed Representative (Provisional Licence)	1
LIU Mingpei	BUG457	Licensed Representative (Provisional Licence)	4
LIU Shaochen	BUG285	Licensed Representative (Provisional Licence)	1, 2, 4
LIU Wujun	BNL008	Licensed Representative (Provisional Licence)	1, 6
LIU Yuanyuan	BUG797	Licensed Representative (Provisional Licence)	1, 4
LIU Zaihao	BUG265	Licensed Representative (Provisional Licence)	1, 4
LIU ZIJIAN	BUH383	Licensed Representative (Provisional Licence)	2
LO Yin Wai Ada	BUI134	Licensed Representative (Provisional Licence)	1
Lou Yanxiang	BUD566	Licensed Representative (Provisional Licence)	9
LU Jiaying	BUG293	Licensed Representative (Provisional Licence)	1, 4
LU Xifeng	BUH704	Licensed Representative (Provisional Licence)	1, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2023

CE Name	CE No.	Licence Type	Types of Regulated Activity
LUK Kar Man, Carmen	AVD308	Licensed Representative (Provisional Licence)	1, 2, 4
LUO Bina	BUI340	Licensed Representative (Provisional Licence)	1
LUO Tianbao	BQB242	Licensed Representative (Provisional Licence)	1
LUO Yiyang	BUI323	Licensed Representative (Provisional Licence)	1, 6
LYU Guanyu	BUG047	Licensed Representative (Provisional Licence)	1, 2, 9
LYU Jiaming	BUI908	Licensed Representative (Provisional Licence)	1, 2
LYU Siyu	BUH806	Licensed Representative (Provisional Licence)	1, 6
MA Bo	BTA053	Licensed Representative (Provisional Licence)	1, 4, 9
MA Chun	BUK088	Licensed Representative (Provisional Licence)	4, 9
MA wangxinyi	BUI777	Licensed Representative (Provisional Licence)	2
MA Wei	BRM304	Licensed Representative (Provisional Licence)	1, 6
MAEDA Koichi	AFJ229	Licensed Representative (Provisional Licence)	9
MAK Chun Kit	BRP078	Licensed Representative (Provisional Licence)	1, 4, 9
MAK Wing Lap	BUJ253	Licensed Representative (Provisional Licence)	1, 4, 6
MARK Daniel Nicholas	BRI895	Licensed Representative (Provisional Licence)	1
MARWAT Umair Khan	BNH989	Licensed Representative (Provisional Licence)	9
MENG Yao	BUG335	Licensed Representative (Provisional Licence)	1, 4
MIAO Chuanye	BUC132	Licensed Representative (Provisional Licence)	4
NESTOR-SHERMAN Hugo James	BUH525	Licensed Representative (Provisional Licence)	1, 2
NG Chui Wah	ASS963	Licensed Representative (Provisional Licence)	1, 9
NG Siu Fung, Samson	AXL878	Licensed Representative (Provisional Licence)	1
NG Wai Kong, Philip	AFF837	Licensed Representative (Provisional Licence)	1, 4
NG Wang Lap	BUF970	Licensed Representative (Provisional Licence)	9
NG Wing Sea	BUG671	Licensed Representative (Provisional Licence)	1, 4, 9
NISHIHARA Ayumi	BQC967	Licensed Representative (Provisional Licence)	1, 4, 6
NIU Yuanye	BUH637	Licensed Representative (Provisional Licence)	4, 9
OH Boon Sim	BHB941	Licensed Representative (Provisional Licence)	9
OON Jin Heng	AMO566	Licensed Representative (Provisional Licence)	1
Pak Derek	BST894	Licensed Representative (Provisional Licence)	9
PAN Dengke	BIC438	Licensed Representative (Provisional Licence)	1, 4, 9
PANIGRAHI Sitikantha	BUI075	Licensed Representative (Provisional Licence)	4
PENG Chenchen	BSY985	Licensed Representative (Provisional Licence)	4, 9
PENG Huiping	BUH308	Licensed Representative (Provisional Licence)	1, 4, 9
PERRET Christopher Scott	BPF315	Licensed Representative (Provisional Licence)	1, 4
QIAN Wanru	BUI592	Licensed Representative (Provisional Licence)	1, 4
REBIBO David Julien	BUI521	Licensed Representative (Provisional Licence)	1, 4
RUAN Shuhua	BUI231	Licensed Representative (Provisional Licence)	1
SACUTO Pierre	BRC076	Licensed Representative (Provisional Licence)	1, 2
SANAPUREDDY Revanth Reddy	BPA390	Licensed Representative (Provisional Licence)	9
SARKAR Abhijit	AOV447	Licensed Representative (Provisional Licence)	1, 4, 9
SHAH Bhavin Kirit	BUJ301	Licensed Representative (Provisional Licence)	1
SHARMA Abhishek	BUI692	Licensed Representative (Provisional Licence)	1, 4
SHENG Zhemin	BUI169	Licensed Representative (Provisional Licence)	1, 2
SHI MENG	BNS125	Licensed Representative (Provisional Licence)	1
SHI Ruichao	ATH910	Licensed Representative (Provisional Licence)	4, 5, 9
SI AH Zhen Jia	BUG208	Licensed Representative (Provisional Licence)	9
SINGER Samuel Joseph	BUI662	Licensed Representative (Provisional Licence)	1, 2
SINGH Gurmehar	BUF881	Licensed Representative (Provisional Licence)	1, 2
SIVANATHAN Saththyan	BRG330	Licensed Representative (Provisional Licence)	1, 2

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2023

CE Name	CE No.	Licence Type	Types of Regulated Activity
SONG Yifeng	BUJ115	Licensed Representative (Provisional Licence)	1, 6
SU Zhen	BUG499	Licensed Representative (Provisional Licence)	1, 4, 6
SUN Bijun	BNG808	Licensed Representative (Provisional Licence)	9
SUN Haoqi	BUI080	Licensed Representative (Provisional Licence)	1, 2, 4
SUN Haoyuan	BUG437	Licensed Representative (Provisional Licence)	4, 9
SUN Ruixiang	BUI475	Licensed Representative (Provisional Licence)	1, 2
SUN Tsai Hsuan	BGY960	Licensed Representative (Provisional Licence)	9
SUN XIU	BTL099	Licensed Representative (Provisional Licence)	1
TAI Sung Hei	BUK025	Licensed Representative (Provisional Licence)	1, 4, 6
TAMURA HO Mari	BTX805	Licensed Representative (Provisional Licence)	1, 2
TANG Yingkai	BUF876	Licensed Representative (Provisional Licence)	9
TANG Liang	BUG299	Licensed Representative (Provisional Licence)	1, 4, 6
TANG Mei Chee Agnes	BUI127	Licensed Representative (Provisional Licence)	1
THAI Sophia Pei	BPF207	Licensed Representative (Provisional Licence)	4, 9
TIRUMALAI SUDERSHAN Sunil	AWZ814	Licensed Representative (Provisional Licence)	4
TON Ken-edouard	BUD433	Licensed Representative (Provisional Licence)	1, 4
TRAN Katherine	BUG708	Licensed Representative (Provisional Licence)	1
TSAI Yi-chen	BUG545	Licensed Representative (Provisional Licence)	1, 4, 9
TSANG Hiu Hung	AOJ325	Licensed Representative (Provisional Licence)	4, 9
TSE Ka Chun Alan	BUK004	Licensed Representative (Provisional Licence)	1, 4
TSENG Chun Chieh	BUI381	Licensed Representative (Provisional Licence)	4, 9
VENES Imogen Clare	BUH405	Licensed Representative (Provisional Licence)	1, 4
WAGE Matthew Michael	BEZ045	Licensed Representative (Provisional Licence)	1, 2
WANG Dawei	BPN456	Licensed Representative (Provisional Licence)	4
WANG Guanyi	BBU539	Licensed Representative (Provisional Licence)	4
WANG Hengjie	BMX147	Licensed Representative (Provisional Licence)	4, 9
WANG Hongqin	BUH790	Licensed Representative (Provisional Licence)	6
WANG Ling Yu	BAV759	Licensed Representative (Provisional Licence)	9
WANG Shihua	BNH504	Licensed Representative (Provisional Licence)	1, 4
WANG Shiyu	BUI473	Licensed Representative (Provisional Licence)	1, 4
WANG Yuxi	BQC524	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Zhikai	BUI494	Licensed Representative (Provisional Licence)	6
WANG Zixuan	BUG189	Licensed Representative (Provisional Licence)	1, 6
WEI Kaiyin	BUH425	Licensed Representative (Provisional Licence)	1, 2
WHITEHEAD Hamish Roy	BUF974	Licensed Representative (Provisional Licence)	1, 4
WHITTAKER Ross Andrew	BUI559	Licensed Representative (Provisional Licence)	1, 2, 7
WONG Benny	BUH433	Licensed Representative (Provisional Licence)	1
WONG Chau Yee	AQT257	Licensed Representative (Provisional Licence)	1, 4
WONG Chun Lung	BUE385	Licensed Representative (Provisional Licence)	9
WONG Chung Wai	BFU185	Licensed Representative (Provisional Licence)	1
WONG Lai Kei, Denise	APG514	Licensed Representative (Provisional Licence)	1, 6
WONG Pui Hang	BUI415	Licensed Representative (Provisional Licence)	4, 9
WONG Wing Lam	BUI471	Licensed Representative (Provisional Licence)	1
WONG Wing Siu	BUK058	Licensed Representative (Provisional Licence)	1
WONG Yiu Chi	BUH714	Licensed Representative (Provisional Licence)	9
WU Hsuan Hsuan	BUH522	Licensed Representative (Provisional Licence)	1, 2, 7
WU Ruiyuan	BUI368	Licensed Representative (Provisional Licence)	9
WU Si Lei Billy	BUI342	Licensed Representative (Provisional Licence)	9
WU Wing Keung	BUI767	Licensed Representative (Provisional Licence)	4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2023

CE Name	CE No.	Licence Type	Types of Regulated Activity
WU Xiangyan	BUH439	Licensed Representative (Provisional Licence)	1, 6
XIANG Yi	BUI632	Licensed Representative (Provisional Licence)	4
XIE YUFAN	BUG473	Licensed Representative (Provisional Licence)	1, 6
XIN YI	BUK197	Licensed Representative (Provisional Licence)	1
XU Heyi	BUI157	Licensed Representative (Provisional Licence)	1, 4
XU Runze	BUI433	Licensed Representative (Provisional Licence)	1, 6
XU Yi	BUH528	Licensed Representative (Provisional Licence)	1, 4
XUE Jianbo	BUG550	Licensed Representative (Provisional Licence)	1
YANG FAN	BJG671	Licensed Representative (Provisional Licence)	1, 2
YANG Haoyi	BUH746	Licensed Representative (Provisional Licence)	4
YANG JIARUI	BUH961	Licensed Representative (Provisional Licence)	1, 6
YANG MING	BUF988	Licensed Representative (Provisional Licence)	1, 4
YANG Peiwen	BUD077	Licensed Representative (Provisional Licence)	1
YAO Chengxuan	BUG337	Licensed Representative (Provisional Licence)	1, 2
YAO Dongjie	BUH749	Licensed Representative (Provisional Licence)	1, 4, 6
YASUDA Hikaru	BUH923	Licensed Representative (Provisional Licence)	4
YE Guangzhong	BQE467	Licensed Representative (Provisional Licence)	9
YELIPAER Tuergan	BUE656	Licensed Representative (Provisional Licence)	4
YEUNG Oi Hin	BUI532	Licensed Representative (Provisional Licence)	1, 4, 9
YEUNG Tung	AFL872	Licensed Representative (Provisional Licence)	1, 4
YI Zhen	BUH558	Licensed Representative (Provisional Licence)	1, 4, 9
YIN CHUANLANG	BUI520	Licensed Representative (Provisional Licence)	1, 6
YIP Sze Wan, Angela	AOS423	Licensed Representative (Provisional Licence)	9
YOSHIDA Hayato	BUI349	Licensed Representative (Provisional Licence)	4
YOSHIDA Sho	BUI714	Licensed Representative (Provisional Licence)	9
YU Pei Ying	BUH639	Licensed Representative (Provisional Licence)	1, 4
YU Ye	BUI502	Licensed Representative (Provisional Licence)	9
YU Yilu	BIM687	Licensed Representative (Provisional Licence)	1, 4, 9
YUEN Ka Ki Ernest	BUI497	Licensed Representative (Provisional Licence)	1, 2
YUEN Tsz Sin	BUI012	Licensed Representative (Provisional Licence)	1, 2
ZENG Ziqi	BUD728	Licensed Representative (Provisional Licence)	1, 6
ZHANG Chenrui	BUH701	Licensed Representative (Provisional Licence)	1, 6
ZHANG Jiayu	BUF975	Licensed Representative (Provisional Licence)	1, 4, 9
ZHANG JIEHAO	BUC824	Licensed Representative (Provisional Licence)	1, 2
ZHANG Man Ho	BSE152	Licensed Representative (Provisional Licence)	9
ZHANG Xiaoyi	BUI067	Licensed Representative (Provisional Licence)	1, 6
ZHANG Xinyue	BUG349	Licensed Representative (Provisional Licence)	1, 9
ZHANG Yicong	BUI163	Licensed Representative (Provisional Licence)	1, 4
ZHAO Jiuxin	BUE015	Licensed Representative (Provisional Licence)	4, 9
ZHAO Rui	BUI069	Licensed Representative (Provisional Licence)	6
ZHENG Ying	BUI361	Licensed Representative (Provisional Licence)	1
ZHONG Yuchen	BUG354	Licensed Representative (Provisional Licence)	1, 2, 4
ZHOU Ang	BUH856	Licensed Representative (Provisional Licence)	1
ZHU Lika	BUH800	Licensed Representative (Provisional Licence)	1, 2
ZHU Lixuan	BUG549	Licensed Representative (Provisional Licence)	1, 4, 6
ZHU Ming	BUG160	Licensed Representative (Provisional Licence)	1, 6
ZHU Xiaolei	BUH585	Licensed Representative (Provisional Licence)	9
ZHU Yi	AWE965	Licensed Representative (Provisional Licence)	1, 2, 4
ZHU Zhefan	BUI523	Licensed Representative (Provisional Licence)	1, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2023

CE Name	CE No.	Licence Type	Types of Regulated Activity
ABOU-SEIF Michael James	BTC213	Licensed Representative (Temporary Licence)	1, 2
ADAMS David Steven	BUI281	Licensed Representative (Temporary Licence)	4
AHKONG Bernard Shinmen	BUH648	Licensed Representative (Temporary Licence)	1, 4
ALENTORN FARRE Amadeo	BUA645	Licensed Representative (Temporary Licence)	1
ATKINS Edward Anthony James	BGH004	Licensed Representative (Temporary Licence)	1, 2
BAIJAL Hemant	BUI365	Licensed Representative (Temporary Licence)	4
BARRON Jason	BF1936	Licensed Representative (Temporary Licence)	1
BEHURA Ankit	BUI263	Licensed Representative (Temporary Licence)	1, 2
BERLIA Rajiv	BTN214	Licensed Representative (Temporary Licence)	4
BRIGGS James Thomas Edward	BCC466	Licensed Representative (Temporary Licence)	1, 2
BUNGART Marco	BUH526	Licensed Representative (Temporary Licence)	1, 4
BURBANO Esteban	BLD950	Licensed Representative (Temporary Licence)	1, 4
BURGER Jeffrey Bielous	BTB493	Licensed Representative (Temporary Licence)	1, 4
CANFIELD Michael Peter	BUI282	Licensed Representative (Temporary Licence)	4
CARUSO Claudio	BUG430	Licensed Representative (Temporary Licence)	1, 4
CHAE Minsook	BTJ527	Licensed Representative (Temporary Licence)	4
CHO Chulhee	BEX932	Licensed Representative (Temporary Licence)	4
CHOO Shou Pin	BMS278	Licensed Representative (Temporary Licence)	1, 4
DE CONINCK-LOPEZ Cathrine	BUF609	Licensed Representative (Temporary Licence)	1, 4
DEFERT Christophe, Pierce	BUI703	Licensed Representative (Temporary Licence)	1, 4
DISTENFELD Gershon M	BAX714	Licensed Representative (Temporary Licence)	4
FRENCH Bruce Ian	AQM685	Licensed Representative (Temporary Licence)	1
FUNG Chun	BUH309	Licensed Representative (Temporary Licence)	1
FURCOLO Charles Conant	BUI438	Licensed Representative (Temporary Licence)	1
GOYAL Surendra Kumar	BEO301	Licensed Representative (Temporary Licence)	4
GREYSON Matthew Ross	BOL870	Licensed Representative (Temporary Licence)	1, 2
HAMILTON Christopher William	BTH127	Licensed Representative (Temporary Licence)	4
HAN Kylie Jiamin	BUI694	Licensed Representative (Temporary Licence)	1, 2
HANDA Saurabh	BFA991	Licensed Representative (Temporary Licence)	4
HESSE Oliver Marc	BGK627	Licensed Representative (Temporary Licence)	1
HIRAKAWA Mikio	BBT553	Licensed Representative (Temporary Licence)	4
HOSAKA Yuya	BBD362	Licensed Representative (Temporary Licence)	1, 4, 6
HOTTA Kenjin Tom	AZV542	Licensed Representative (Temporary Licence)	4
HUANG Shiao Wern Charlene	BGA583	Licensed Representative (Temporary Licence)	1, 4
HWANG Kyung Jae	BAJ130	Licensed Representative (Temporary Licence)	4
JANSEN Michael	BEI461	Licensed Representative (Temporary Licence)	1, 2
JIA Kaifang	BUI847	Licensed Representative (Temporary Licence)	4
KAEGI Thomas	BHG813	Licensed Representative (Temporary Licence)	1, 4
KALB Victoria Stacey	AHT205	Licensed Representative (Temporary Licence)	4
KHARMANDARIAN Karen	BUE663	Licensed Representative (Temporary Licence)	1
KIM Dong Hyun	AMY253	Licensed Representative (Temporary Licence)	1
KUBOTA Masashi	BBA891	Licensed Representative (Temporary Licence)	4
LEE Joon Ho	ATX670	Licensed Representative (Temporary Licence)	4
LUFTIG Laurence	BUG670	Licensed Representative (Temporary Licence)	1
MARSH Christopher Ryan	BNX187	Licensed Representative (Temporary Licence)	1
MCCARTHY Kyle A	BTD501	Licensed Representative (Temporary Licence)	1, 4
MCCLURG Scott	BTO338	Licensed Representative (Temporary Licence)	1, 4
MESOMERIS Spyros	BJP467	Licensed Representative (Temporary Licence)	1
MOIR Duncan Macmillan	BUH469	Licensed Representative (Temporary Licence)	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2023

CE Name	CE No.	License Type	Types of Regulated Activity
NAGAO Yoshitaka	AVM354	Licensed Representative (Temporary Licence)	4
NAYAR Viswanath	BDP262	Licensed Representative (Temporary Licence)	1, 4
NEBHANI Suraj	BTO423	Licensed Representative (Temporary Licence)	4
NIHONYANAGI Kei	BBP472	Licensed Representative (Temporary Licence)	4
NISHIZAWA Arashi	BOR475	Licensed Representative (Temporary Licence)	4
ONG Wei	BTR047	Licensed Representative (Temporary Licence)	1, 4
PAN Ruchen	BUI845	Licensed Representative (Temporary Licence)	4
QU Yawen	BGQ533	Licensed Representative (Temporary Licence)	1, 2
SEGAL Jonathan	BUI360	Licensed Representative (Temporary Licence)	1, 6
SERGEANT Amy Georgina	BUI284	Licensed Representative (Temporary Licence)	4, 5
SESHADRINATH Navaneeth	BUH431	Licensed Representative (Temporary Licence)	2, 5
SHI Yi	BUI831	Licensed Representative (Temporary Licence)	1
SINCLAIR Andrew James Alexander Grant	BUI400	Licensed Representative (Temporary Licence)	4
SNYMAN Eugene Francois	BUD890	Licensed Representative (Temporary Licence)	4
STOUTJESDIJK Robertus Cornelis	BUG345	Licensed Representative (Temporary Licence)	1
STRACKE Thibault Christian	BGT906	Licensed Representative (Temporary Licence)	1, 4
TAJIMA Masahiro	BUI524	Licensed Representative (Temporary Licence)	1
TAKAHASHI Miyuki	BOM464	Licensed Representative (Temporary Licence)	1, 2
TAN Jian Kai Darren	BTV057	Licensed Representative (Temporary Licence)	1
TANIGUCHI Yuri	BUH796	Licensed Representative (Temporary Licence)	1
TEASDEL Joel Hamish Gregory John	BOL757	Licensed Representative (Temporary Licence)	1
TEEGER Sam Thomas	BHK845	Licensed Representative (Temporary Licence)	4
TOH Shikai	BF1045	Licensed Representative (Temporary Licence)	1, 2
TSOI Nicole Wei Wah	BUK230	Licensed Representative (Temporary Licence)	2, 5
VERBAAS Cornelis Adrianus	AMM438	Licensed Representative (Temporary Licence)	1
WILKIE Robert Malcolm	BHB487	Licensed Representative (Temporary Licence)	1
WILSON Ralph	BCJ291	Licensed Representative (Temporary Licence)	1, 2
WITHAAR Jon Justin	BTL489	Licensed Representative (Temporary Licence)	1
WOLAVER Alexander U	BNI123	Licensed Representative (Temporary Licence)	1, 2
WONG Sze Lam	BUI479	Licensed Representative (Temporary Licence)	10
WOODRING Erik William Richard	BUI285	Licensed Representative (Temporary Licence)	4
WREFORD Steven Mark	BTZ167	Licensed Representative (Temporary Licence)	1, 4
YAMADA Shusuke	BJG468	Licensed Representative (Temporary Licence)	4
YIP Wan Yi	BFY998	Licensed Representative (Temporary Licence)	1
ZHANG Zequn	BUH482	Licensed Representative (Temporary Licence)	1, 4