

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2024**

CE Name	CE No.	Licence Type	Types of Regulated Activity
AlphaChi Limited	BUC716	Licensed Corporation	4, 9
Central International Securities Limited	BUG656	Licensed Corporation	1, 4
Certitudo Management Limited	BUE318	Licensed Corporation	9
China Harbour International Securities Limited	BTW258	Licensed Corporation	1
FCAM Limited	BUC012	Licensed Corporation	4, 9
Fourth Dimension Capital Limited	BUC147	Licensed Corporation	4, 9
Frontier Asset Management (Hong Kong) Limited	BUC254	Licensed Corporation	9
Hiep Phu Global Family Office Limited	BUF295	Licensed Corporation	4, 9
Pinecone Capital Limited	BUI013	Licensed Corporation	9
YuFeng Financial Limited	BUE505	Licensed Corporation	1, 4, 9
Yunqiao Technology Asset Management Co., Limited	BUH472	Licensed Corporation	4, 9
ABE Yusuke	BUQ493	Licensed Representative	1, 4, 6
ABU-SSAYDEH Rami	BUQ153	Licensed Representative	1, 2
AGARWAL Indrajit	BTN093	Licensed Representative	4
AO Chuanlong	BUO429	Licensed Representative	1, 6
AUSSANDON Sebastien Nicolas	BUQ447	Licensed Representative	1
AUTIER Martin Pierre Olivier	BUS691	Licensed Representative	1, 2
BAI Wenxuan	BUM456	Licensed Representative	1, 6
BANZ Sascha Peter	AYV889	Licensed Representative	1, 4
BAO Liang	BUQ341	Licensed Representative	4, 9
BENZ Michael Bruno	ALW843	Licensed Representative	4, 9
BORDES STANISLAS FREDERIC PASCAL	BUQ663	Licensed Representative	1, 2
BOSSE Hugo Jean Miroslav	BUQ394	Licensed Representative	1, 2
BU Fangke	BUP740	Licensed Representative	1, 4, 9
CAI KUNFENG	BUL960	Licensed Representative	4
CAI Zhongyu	BUP101	Licensed Representative	1, 6
CAYE Arthur Stephane Christopher Cornwall	BUO067	Licensed Representative	9
CHAK Chung Hang	BUS190	Licensed Representative	6
CHAN Chau Yu	BRS934	Licensed Representative	1
CHAN Chun Kin	AZC678	Licensed Representative	1
CHAN Ngok Yan, Elton	AGA656	Licensed Representative	4, 9
CHAN Sai Wing	AMU845	Licensed Representative	4, 9
CHANG CHIH-HSUAN	BTY184	Licensed Representative	9
CHAO Suk Man	BUP769	Licensed Representative	1
CHAU Pak Hin	BUR430	Licensed Representative	1, 2
CHEN Chia-ching	BNJ667	Licensed Representative	4, 9
CHEN Hong Chu, Craig	AFS560	Licensed Representative	9
CHEN Hongwei	BUO243	Licensed Representative	1, 4, 6
CHEN Shenqi	BUQ916	Licensed Representative	1, 4, 6
CHEN Shiyu	BUO679	Licensed Representative	1, 4, 6
CHEN Wai Lam	BUD858	Licensed Representative	1, 4
CHEN Xiaozhuang	BUM387	Licensed Representative	6
CHEN XU	BUQ239	Licensed Representative	1
CHEN Yijia	BUQ798	Licensed Representative	1, 4, 9
CHEN Yiwen	BPQ338	Licensed Representative	1
CHEN Zengtao	BUQ438	Licensed Representative	1, 2
CHENG Chong Fung	BRI762	Licensed Representative	1, 4
CHENG Kui Cheong	BUS328	Licensed Representative	10

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2024**

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHENG Yaman	BLO117	Licensed Representative	4
CHENG Zhuo	BUP098	Licensed Representative	1, 4, 6
CHEUNG Ching	BUT010	Licensed Representative	1, 2
CHEUNG Siu Fung	AZC794	Licensed Representative	9
CHING Kim Fung	AQQ191	Licensed Representative	1, 2, 4
CHIU Yin Shan	BOP046	Licensed Representative	4, 9
CHOW Lok Yi	BUS952	Licensed Representative	9
CHOY Chun Kit	BUR340	Licensed Representative	9
CHUA Donovan Ho Teng	BUQ192	Licensed Representative	9
CHUA Wei Jian, Timothy	BUQ827	Licensed Representative	1
CHUNG Jaehong	BMV379	Licensed Representative	1
CRICHTON Charles Patrick Vesey	BUT379	Licensed Representative	1, 4
DAI Meng	BUS261	Licensed Representative	9
DE CHABOT Florian Sebran Francois Jacques Marie	BBO920	Licensed Representative	1, 2, 7
DE LAVAISSIERE DE LAVERGNE Cyril J.	BUQ384	Licensed Representative	4, 9
DING Hao	BPG698	Licensed Representative	9
DING Yizhou	BUQ904	Licensed Representative	9
DONG Fanghu	BNH846	Licensed Representative	1, 4
DONG Yue	BUR056	Licensed Representative	1, 2
DU Kunyao	BUQ749	Licensed Representative	4
FAN Edward Z	BUQ815	Licensed Representative	1, 2
FAN Wenlin	BUP723	Licensed Representative	1, 4
FAN Xinliang	BUM435	Licensed Representative	1, 4, 6
FANG Qiaohua	BUQ545	Licensed Representative	4
FENG Jinglong	BUQ720	Licensed Representative	1, 4, 6
FENG Tiancheng	BUO842	Licensed Representative	1, 6
FONG Hoi Ching	BUS503	Licensed Representative	1, 4
FU Tao	BUQ772	Licensed Representative	1, 6
FUNG Shing Wai	AWJ859	Licensed Representative	2
GAO Mingyao	BUP971	Licensed Representative	4
GAO Qingyang	BUQ175	Licensed Representative	1, 2
GAO Wenjie	BUO502	Licensed Representative	6
GAO Zifeng	BUS467	Licensed Representative	1, 2, 4, 5, 7
GASCHE Joel Simon	BCS957	Licensed Representative	1, 4
GOEL Kalpa	BUS913	Licensed Representative	1, 2, 4
GONG Siyun	BUN821	Licensed Representative	1, 4
GU Hongsheng	BUQ170	Licensed Representative	1, 2, 4, 6
GU Xiaoyuan	BUQ102	Licensed Representative	1, 4, 6
GUO Jingyi	BUM605	Licensed Representative	1, 6
HAN Bing	BUQ896	Licensed Representative	4, 9
HE Linxuan	BUQ009	Licensed Representative	1
HERMINJARD Philippe Alexandre	BUR293	Licensed Representative	1, 4, 9
HO Cho Ming, Jonathan	BJR596	Licensed Representative	1, 4, 6
HON Sze Wan, Noelle	All350	Licensed Representative	4, 9
HONG Chengcheng	BUS361	Licensed Representative	1, 4, 6
HONG Xueyue	BUO761	Licensed Representative	1, 4, 9
HOU Junbin	BUQ549	Licensed Representative	4, 9
HU Ping	BUS175	Licensed Representative	1
HU Richard	BTP383	Licensed Representative	1, 2

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CE Name	CE No.	Licence Type	Types of Regulated Acitivity
HU Shunjie	BUQ711	Licensed Representative	4
HU Zeyang	BUQ792	Licensed Representative	1, 4, 6
HUANG Bingjie	AQO380	Licensed Representative	1
HUANG Chu An	BIT808	Licensed Representative	1, 4, 9
HUANG Jianjun	BUQ204	Licensed Representative	1, 6
HUANG Shaodan	BUQ932	Licensed Representative	4
HUANG Tingyu	BUO958	Licensed Representative	1, 4
HUANG Wenjun	AUT504	Licensed Representative	1, 4
HUANG Yang	BUP831	Licensed Representative	1, 6
HUI Ka Yan	AOL522	Licensed Representative	1
IHARA Rei	BUK091	Licensed Representative	4
IP Chun Ho	BUS238	Licensed Representative	1, 2
JANU Gretel Cecelie	BUQ456	Licensed Representative	4
JIA Guangyu	BUO660	Licensed Representative	1, 6
JIANG Yuelai	BUQ536	Licensed Representative	1, 4, 6
JIN Ange	BUO575	Licensed Representative	1, 6
JIN Liyun	BUS636	Licensed Representative	9
JIN Xian	BUO921	Licensed Representative	1, 4, 6
KAM Pui Chung Ivan	BNO361	Licensed Representative	4, 9
KAN King Man	BUQ594	Licensed Representative	1, 2, 4, 5
KAN Wing Yiu	BUQ729	Licensed Representative	1, 4
KANEKO Masayuki	BUP711	Licensed Representative	1, 4, 9
KANNO Takayuki	BTX875	Licensed Representative	1, 2
KEARNS John Nicholas	BUO661	Licensed Representative	1, 4, 9
KIANG Tien Sik, David	AAE628	Licensed Representative	1
KONG Xiang	BUQ639	Licensed Representative	4
KOO Inhoe	BUQ919	Licensed Representative	1, 4, 6
KUNG Mei Yan	BUO985	Licensed Representative	1, 4
KUO Li-jai Jeffery	BUS144	Licensed Representative	4, 9
KWAN Tsun Man	AWA326	Licensed Representative	1, 4
KWOK Man Hin	BUT308	Licensed Representative	1, 7
LA Wonho	BUS318	Licensed Representative	9
LAI Suet Ching, Carol	ACE727	Licensed Representative	1
LAM Chi Nok	BUO453	Licensed Representative	1, 4
LAN QI	BUP045	Licensed Representative	1, 4
LATHER Manik	ATQ944	Licensed Representative	1, 9
LAU Cho Ki	BUS721	Licensed Representative	1, 2
LAU Mei Shan	BUQ588	Licensed Representative	1
LAU Nim Kei	AFP825	Licensed Representative	1, 6
LAUGHLIN Morgan Aird	AKT392	Licensed Representative	4
LEE Cheuk Him	BUP894	Licensed Representative	1, 2
LEE Ching Hung	AXX537	Licensed Representative	4, 9
LEE Michele Soo Lin	BUQ211	Licensed Representative	1, 4, 6
LEE Shih Chieh	BMR597	Licensed Representative	4, 9
LEE Tan Mei	BIJ842	Licensed Representative	1, 2
LEE Tsz Tak	BUR363	Licensed Representative	1
LEE Tsz Wai	AYT809	Licensed Representative	1, 4
LEI Ming	BUO917	Licensed Representative	1, 4, 6
LEUNG Kit San	BCS161	Licensed Representative	9

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2024**

CE Name	CE No.	Licence Type	Types of Regulated Activity
LEUNG Man Kit	AGJ166	Licensed Representative	1, 2
LEUNG Yiu Wai	BUQ584	Licensed Representative	1, 2, 4, 5
LI Gen	BUO963	Licensed Representative	1, 4
LI Kam Pui, Tony	BUQ821	Licensed Representative	1
LI Liudingji	BUQ043	Licensed Representative	4
LI Mengze	BUO464	Licensed Representative	1, 4, 9
LI Mo	BUS507	Licensed Representative	1, 4, 9
LI Shihao	BUQ793	Licensed Representative	4
LI Timothy Lok Tim	BUQ534	Licensed Representative	1, 4
LI Xinyu	BSE935	Licensed Representative	1, 4, 6
LI Yang	BUQ847	Licensed Representative	4
LI Yanyan	BUP125	Licensed Representative	1, 4, 6
LI Yongshen	BUQ215	Licensed Representative	1, 4, 6
LI Zehuan	BUQ774	Licensed Representative	2
LIANG Angela Yung-ching	BUS647	Licensed Representative	1, 4
LIANG Boyu	BUQ250	Licensed Representative	1
LIANG Ri	BUP673	Licensed Representative	1, 4, 6
LIM Her Yun	BUP910	Licensed Representative	1, 4, 9
LIN Liang	BUS213	Licensed Representative	1
LIN Si Yang	BUQ432	Licensed Representative	1
LIN Yuan	BUS478	Licensed Representative	1, 4, 9
LIU Chris	BUQ465	Licensed Representative	1, 2, 4
LIU Jihu	BUS185	Licensed Representative	1, 4
LIU Xianyi	BUS460	Licensed Representative	1, 4
LIU Xiaohan	BUO462	Licensed Representative	1, 4
LIU Yufeng	BUP872	Licensed Representative	1
LIU Zhao	BUQ090	Licensed Representative	1, 4, 6
LO An Tong	BUS365	Licensed Representative	4, 9
LO Ching To	BUQ396	Licensed Representative	1, 2
LO King Hei	BUQ995	Licensed Representative	1, 4
LO Siu Kwan	BUR367	Licensed Representative	1, 4, 6
LO Wai Kin, Kent	AOA404	Licensed Representative	1, 4, 9
LO Yu Hin, Alvin	BHD848	Licensed Representative	1, 4
LO Yung Yung	BHG229	Licensed Representative	1, 2
LOO Cheng Guan	BPL607	Licensed Representative	9
LU Jie	BUO777	Licensed Representative	1, 4, 6
LU Mingjie	BUP987	Licensed Representative	1, 4, 6
LU Quanzeng	BUR162	Licensed Representative	4
LUK Kuo Sheng, James	AWA383	Licensed Representative	1, 2
LUK Wai Hung, David	ADW259	Licensed Representative	4, 9
LUO Jingyi	BUQ603	Licensed Representative	9
LYU Congyi	BUQ824	Licensed Representative	1, 4
MA Chun Ki	BPT169	Licensed Representative	1, 2, 4
MA Wen Yi	BUT099	Licensed Representative	1, 2, 4, 5
MA Xigaowa	BUQ042	Licensed Representative	4
MA Yunzhe	BUS253	Licensed Representative	1, 2, 9
MAEDA Takuya	BUQ509	Licensed Representative	4
MAK Hiu Ying	BPU519	Licensed Representative	1, 4
MAK Ka Hei	BSK245	Licensed Representative	1, 2, 4, 9

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CE Name	CE No.	Licence Type	Types of Regulated Activity
MAKINO Junichi	BUQ508	Licensed Representative	4
MAZUR Tomasz Krzysztof	BUK003	Licensed Representative	9
MEI Yuwen	BUS225	Licensed Representative	1, 2, 4, 5
MENG Kuo	BUQ829	Licensed Representative	1
MING Yuexin	BUQ846	Licensed Representative	4
MOK Hiu Fai	BFA432	Licensed Representative	1, 4, 9
NEO Ting Ming	BUR441	Licensed Representative	1, 4
NG Ching Fung	BUR455	Licensed Representative	1, 4, 9
NG Hoi Fai	BUS999	Licensed Representative	4, 9
NG Man Keung	BUQ863	Licensed Representative	9
NG Manhoe	AUT769	Licensed Representative	9
NG Tsz Ching	BUS670	Licensed Representative	1, 4, 9
NGAN Joshua Ming Yin	BUS416	Licensed Representative	1
NING Zeyu	BUO779	Licensed Representative	1, 4, 6
PAN Siyu	BUQ848	Licensed Representative	1, 4
PANG Kin Cheung	AVH539	Licensed Representative	1, 4, 9
PANG Wai Sun	AEA530	Licensed Representative	9
PANG Wai Yee	BBT534	Licensed Representative	1
PARK Seyun	BQB947	Licensed Representative	1, 7
PARK Steve Y	BUO782	Licensed Representative	1, 7
PENG Jiale	BQD525	Licensed Representative	4
POPPE Bernardus Joannes Theodorus	BUP705	Licensed Representative	1, 4, 9
PU MINGQI	BUP066	Licensed Representative	4
PUN Chi Kit	BUS305	Licensed Representative	1
QI Xin	AWL701	Licensed Representative	4
QI Zhaoning	BUO257	Licensed Representative	1, 2
QIAO Tingting	BUS322	Licensed Representative	10
QIAO XI	BUQ351	Licensed Representative	4, 9
QIN Jili	BUP781	Licensed Representative	4
QIU Jianfeng	BUQ576	Licensed Representative	1, 4
RAO Yuan	BUP768	Licensed Representative	1, 4, 6
SETO Spencer W	ANU367	Licensed Representative	1, 4
SHANNON Garret Fergal	BUJ150	Licensed Representative	1, 4, 9
SHEN Shanshan	BUM532	Licensed Representative	1, 4
SHEN Yuting	BUT098	Licensed Representative	1, 4
SHEN Zaoshi	BUE029	Licensed Representative	1, 6
SHI Xuewei	BUR285	Licensed Representative	1, 4, 9
SHI Yu	BUP104	Licensed Representative	1, 4, 6
SHUI Jiachen	BUN390	Licensed Representative	1, 4
SIE Steffi Hwie Yen	BUS936	Licensed Representative	1
SO Ji Eun	BUS633	Licensed Representative	1
SO Ka Yee	BQV884	Licensed Representative	1, 4
SO Kam Fai	BUR294	Licensed Representative	1, 9
SONG Haichao	BUQ773	Licensed Representative	1, 4, 9
SONG Jiabei	BUN438	Licensed Representative	1, 6
SONG Nan	BUQ941	Licensed Representative	1, 6
SONG Simeng	BUR439	Licensed Representative	9
SONG Zilin	BUS982	Licensed Representative	1, 4, 9
SU Zhouting	BUR203	Licensed Representative	4, 5, 9

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CE Name	CE No.	Licence Type	Types of Regulated Activity
SUDA Yoshitaka	BUT035	Licensed Representative	4
SUN Dingqian	BUN391	Licensed Representative	1, 4
SUN Hanqi	BUP868	Licensed Representative	1, 6
SUN Jianning	BUQ177	Licensed Representative	1, 2, 4
SUN Jingfei	BUO470	Licensed Representative	1, 6
SUN Mingze	BUQ622	Licensed Representative	1, 4, 6
SUN Ruijie	BUQ092	Licensed Representative	1, 4, 6
SUN Xuan	BUP829	Licensed Representative	1, 6
SUN Yining	BUQ541	Licensed Representative	1, 4, 9
TAI Hoi Ching Yanmie	BPX275	Licensed Representative	1, 4
TAM Ambre Shui Ching	BUP001	Licensed Representative	1, 2
TAM Ka Heng, Carmen	ARL253	Licensed Representative	4, 9
TAM Kei Yeung	BUS143	Licensed Representative	1, 4
TAM Tsz Yui	BUS437	Licensed Representative	1, 4
TAN Shawn Wen Bin	BUR395	Licensed Representative	1, 2
TAN Zhiyi	BQS570	Licensed Representative	1, 6
TANG Bo Wei	BUQ210	Licensed Representative	1, 4, 6
TANG Lai Ping	BMV550	Licensed Representative	1, 2
TANG Shihe	BUQ838	Licensed Representative	4
TAO Xuechun	BUP089	Licensed Representative	1, 4, 9
TIAN Shixuan	BUQ230	Licensed Representative	1
TOPPING Hannah Katie	BUR013	Licensed Representative	9
TRIPATHI Kriti	BRI262	Licensed Representative	1, 4, 9
TSAI Tsung Wei	BUS215	Licensed Representative	1, 2
TSANG Man Shan, Isabella	ABQ288	Licensed Representative	4, 9
TSANG Po Yee	BUR369	Licensed Representative	1
TSE Ming Yin	BUQ975	Licensed Representative	1, 4
TSE Yip Kan	BUS399	Licensed Representative	1
TSOI Po Yi	BSD825	Licensed Representative	9
VASSEUR Gael Robin Carl	BUC749	Licensed Representative	1, 2
WAN Man Yi	BOT070	Licensed Representative	1
WAN Po Wing, Paul	APC277	Licensed Representative	9
WAN Tsing Yiu, Royce	BCW439	Licensed Representative	1
WANG Chengjie	BPI459	Licensed Representative	4, 9
WANG Cong	BUQ107	Licensed Representative	4
WANG Jinjiao	BUO775	Licensed Representative	1, 4, 6
WANG Jinshi	BUP121	Licensed Representative	1, 4, 6
WANG Junyi	BUM384	Licensed Representative	4, 9
WANG Lijuan	BTB948	Licensed Representative	1, 2
WANG Lijuan	BUM599	Licensed Representative	1, 2, 4, 9
WANG Ruilin	BUP119	Licensed Representative	1, 4
WANG Shiyao	BUS369	Licensed Representative	9
WANG Sijia	BUP666	Licensed Representative	1, 6
WANG Weiyue	BUP938	Licensed Representative	1, 4
WANG Yili	BUO277	Licensed Representative	9
WANG Yiran	BUL405	Licensed Representative	1, 9
WANG YUXIANG	BUQ634	Licensed Representative	9
WANG Zi	BQM253	Licensed Representative	4, 9
WEI Xiaoxue	BUP095	Licensed Representative	1, 4, 6

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CE Name	CE No.	Licence Type	Types of Regulated Acitivity
WONG Chun Ho	BUP113	Licensed Representative	1, 4, 9
WONG Ki Cheong	BIO248	Licensed Representative	4
WONG Man Yi	BMB192	Licensed Representative	1, 4, 9
WONG Wai Chak	BUS217	Licensed Representative	1
WONG Wing Shan	BUR052	Licensed Representative	1, 4
WONG Yin Chi	BUM735	Licensed Representative	4, 9
WONG Yu Yin	AGI949	Licensed Representative	1, 2
WU Hanzhao	BUP986	Licensed Representative	1, 4
WU Hiu Fung	AOV629	Licensed Representative	9
WU Jingshun	BSQ368	Licensed Representative	1
WU Siu Kwan	BQP333	Licensed Representative	1
XIAO Xuanyao	BUS237	Licensed Representative	1, 2, 4, 5
XU Baiqiao	BUQ713	Licensed Representative	4
XU Bangjie	BUP665	Licensed Representative	1, 6
XU Jingyang	BUQ953	Licensed Representative	1, 6
XU Qinyue	BUO962	Licensed Representative	1, 4
XUAN Bianfei	BUQ206	Licensed Representative	4
XUE Haoyue	BUN826	Licensed Representative	1, 4
YAMAGUCHI Atsushi	AOR340	Licensed Representative	4
YAN Meilin	BUS580	Licensed Representative	1
YAN Ming Jiao	BUQ225	Licensed Representative	4
YAN Zhenji	BUQ587	Licensed Representative	1, 4, 6
YANG Ao	BUQ268	Licensed Representative	1, 4
YANG Huaibin	BNR146	Licensed Representative	1, 4
YANG Mingyang	BUQ011	Licensed Representative	1
YANG Sensen	BUR141	Licensed Representative	1, 4, 9
YANG Xiao	BUP070	Licensed Representative	1, 4, 6
YANG Yunzhi	BUR160	Licensed Representative	1, 4
YAU Chun Hei	BUQ535	Licensed Representative	1
YAU Tsz Kin	BUO393	Licensed Representative	2
YAU Yuet Hang	BUM341	Licensed Representative	4, 9
YE Feifan	BSU841	Licensed Representative	1, 4
YE Siyang	BUO442	Licensed Representative	1
YE Wenkai	BUQ926	Licensed Representative	1
YIN Jiakang	BUQ103	Licensed Representative	1, 4, 6
YIN Qingyun	BUP635	Licensed Representative	1, 4, 6
YIP Kit Yee	BUQ311	Licensed Representative	1, 4, 9
YU Chen	BUP671	Licensed Representative	1, 4, 6
YU Runze	BUP862	Licensed Representative	1, 6
YU Yaorong	BUO959	Licensed Representative	1, 4, 6
YUAN Jingting	BUS733	Licensed Representative	1, 4
YUAN Suxin	BUO785	Licensed Representative	1, 4, 6
YUAN Weijun	BUS586	Licensed Representative	1
YUAN Yueer	BUO589	Licensed Representative	1, 4, 9
ZENG Deyue	BUS519	Licensed Representative	1, 4, 9
ZHAN Ruolin	BRR199	Licensed Representative	1, 4, 9
ZHANG Anni	BUR368	Licensed Representative	9
ZHANG Dake	BUP738	Licensed Representative	1, 2, 4
ZHANG Hanyu	BUR045	Licensed Representative	1

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2024**

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHANG Jiayong	BUQ445	Licensed Representative	1
ZHANG Nuoya	BUP830	Licensed Representative	1, 6
ZHANG Qun	BOB833	Licensed Representative	1, 4
ZHANG Wenting	BUR140	Licensed Representative	1, 2, 4
ZHANG Wenyu	BUS491	Licensed Representative	1, 4
ZHANG Xinlan	BUQ937	Licensed Representative	1, 4, 6
ZHANG Xinyu	BUQ200	Licensed Representative	1, 4
ZHANG Xinyu	BUQ343	Licensed Representative	1, 2, 4
ZHANG Yan	BUA986	Licensed Representative	9
ZHANG Yi	BUP061	Licensed Representative	1, 4, 6
ZHANG Yihui	BUQ181	Licensed Representative	1, 4, 6
ZHANG Zhengyi	BUP885	Licensed Representative	1, 6
ZHAO Yan	BRG358	Licensed Representative	1, 4, 9
ZHAO You	BUP774	Licensed Representative	1, 4, 6
ZHAO Zhiwei	BGE025	Licensed Representative	1
ZHAO Zhiyi	BUQ179	Licensed Representative	1, 4, 6
ZHENG Jiashou	BOL158	Licensed Representative	1
ZHONG Yi	BUP861	Licensed Representative	1, 4, 6
ZHONG Yichen	BUQ005	Licensed Representative	1
ZHOU Cong	BUQ178	Licensed Representative	1, 6
ZHOU Ji	BUP828	Licensed Representative	1, 6
ZHOU Jiongdao	BUK880	Licensed Representative	4, 9
ZHOU Yimu	BUS992	Licensed Representative	1, 4, 9
ZHOU Zhuorui	BUS252	Licensed Representative	1, 2
ZHOU Zhuoying	BUS640	Licensed Representative	1, 4, 9
ZHU Ge	AUZ021	Licensed Representative	1, 2
ZHU Jing	BUS443	Licensed Representative	1, 4, 9
ZHU Yifan	BUQ733	Licensed Representative	4
ZHU Yingying	BUQ091	Licensed Representative	1, 4, 6
ZUO Yiyuan	BKG344	Licensed Representative	1
AU Bo Shan	ARE480	Licensed Representative - Responsible Officer	4, 5, 9
BRINDLE Adrian John	ADB809	Licensed Representative - Responsible Officer	9
CAI KUNFENG	BUL960	Licensed Representative - Responsible Officer	9
CHAN Ho Kin	ANT708	Licensed Representative - Responsible Officer	1, 2, 4, 5, 9
CHEN Ka Hing	ATY963	Licensed Representative - Responsible Officer	9
CHENG Hiu Kwan	BSM176	Licensed Representative - Responsible Officer	9
CHU Cheuk Hung	BDL291	Licensed Representative - Responsible Officer	4, 9
FOK Siu Pong	AME216	Licensed Representative - Responsible Officer	2, 5
GUO Jian	BUC721	Licensed Representative - Responsible Officer	4, 9
HAI Bing	BUI014	Licensed Representative - Responsible Officer	9
HIA Yik Yang	BTM561	Licensed Representative - Responsible Officer	9
JAN Eric Cheng-Feng	BTY956	Licensed Representative - Responsible Officer	1, 2, 4, 5, 9
LAM Fu Ming	ADW923	Licensed Representative - Responsible Officer	1, 4, 9
LAMBERT REMI, JEAN, MARCEL	BUI269	Licensed Representative - Responsible Officer	4, 9
LEE Boyoung	BUK969	Licensed Representative - Responsible Officer	1, 4, 9
LEE Jungbin	BUL352	Licensed Representative - Responsible Officer	1, 4, 6
LEE Teng Hau	BTM560	Licensed Representative - Responsible Officer	9
LI Qi	BUH475	Licensed Representative - Responsible Officer	4, 9
LIANG Wei	BUI017	Licensed Representative - Responsible Officer	9



**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2024**

CE Name	CE No.	Licence Type	Types of Regulated Activity
LIM Meng Tat	BHQ326	Licensed Representative - Responsible Officer	9
LOU YUBAO	BUR260	Licensed Representative - Responsible Officer	9
MUI Ho Cheung, Harry	AOV495	Licensed Representative - Responsible Officer	9
PAN Qing	BST157	Licensed Representative - Responsible Officer	1, 4, 9
PANG Wai Sun	AEA530	Licensed Representative - Responsible Officer	4
Raiskin Julia	BUL829	Licensed Representative - Responsible Officer	1, 2, 9
SUN Lin	BUC720	Licensed Representative - Responsible Officer	9
TAM Tsz Fung	BBT567	Licensed Representative - Responsible Officer	4, 9
TONG Ngar Shun	AQP756	Licensed Representative - Responsible Officer	1, 4
WAN Bin	BUH473	Licensed Representative - Responsible Officer	4, 9
WANG Ruochen	BRR793	Licensed Representative - Responsible Officer	4, 9
WANG XIN	BUP653	Licensed Representative - Responsible Officer	4, 9
WONG Hoi Ki	AJO046	Licensed Representative - Responsible Officer	1, 2, 4, 9
WU QIN	BUO033	Licensed Representative - Responsible Officer	10
XU Renmin	AXI479	Licensed Representative - Responsible Officer	4, 9
XU Yang	BUC165	Licensed Representative - Responsible Officer	9
XU Yuliang	AWY509	Licensed Representative - Responsible Officer	6
YANG Yunfan	BUC717	Licensed Representative - Responsible Officer	4, 9
ZHANG Jianhui	BGG201	Licensed Representative - Responsible Officer	1, 9
ZHANG Zhaoxi	BCI871	Licensed Representative - Responsible Officer	4, 9
ZHAO Jiayin	BJZ280	Licensed Representative - Responsible Officer	1, 4, 9
ZHOU Jiaxing	BGL112	Licensed Representative - Responsible Officer	1, 4, 6, 9
ZHOU Zhihao	BUC258	Licensed Representative - Responsible Officer	9
ZOU Wei	BUC148	Licensed Representative - Responsible Officer	4, 9
ADAMCZYK Alexis Thomas David	ARN635	Licensed Representative (Provisional Licence)	1, 4, 6
APPLE JR Audie Gene	BUT648	Licensed Representative (Provisional Licence)	1
AU YEUNG Chung	AQU618	Licensed Representative (Provisional Licence)	1
AUTIER Martin Pierre Olivier	BUS691	Licensed Representative (Provisional Licence)	1, 2
BATRA Inderdeep Singh	BUU178	Licensed Representative (Provisional Licence)	1, 2
BOURRETTE Remi William Jacques	BTT242	Licensed Representative (Provisional Licence)	1, 4, 9
BYUN Kara Kyung Wha	APJ708	Licensed Representative (Provisional Licence)	1, 4, 9
CAI Anglin	BUS775	Licensed Representative (Provisional Licence)	6
CATALDI Pasquale	BUR298	Licensed Representative (Provisional Licence)	9
CHAI Jibang	BOI890	Licensed Representative (Provisional Licence)	4
CHAN Lai Ha	ARO575	Licensed Representative (Provisional Licence)	1, 4
CHAN Tin Chung	BUS939	Licensed Representative (Provisional Licence)	1, 4, 9
CHAN Wan Mau	BUT122	Licensed Representative (Provisional Licence)	1, 2
CHAO Luen Kit Ernest	BUT890	Licensed Representative (Provisional Licence)	1, 2, 4, 5
CHEN CHONG	BUS259	Licensed Representative (Provisional Licence)	1, 4
CHEN Fengbo	BUT553	Licensed Representative (Provisional Licence)	1, 4, 9
CHEN Hong Chu, Craig	AFS560	Licensed Representative (Provisional Licence)	9
CHEN Jiahui	BUQ743	Licensed Representative (Provisional Licence)	1
CHEN Ruitian	BUQ491	Licensed Representative (Provisional Licence)	4
CHEN Yi	BUT121	Licensed Representative (Provisional Licence)	1
CHENG Kwok Lai	AEE211	Licensed Representative (Provisional Licence)	1, 4
CHENG Wanting	BOF396	Licensed Representative (Provisional Licence)	1, 2
CHENG Ziyang	BUS927	Licensed Representative (Provisional Licence)	4
CHEUNG Siu Fung	AZC794	Licensed Representative (Provisional Licence)	9
CHEUNG Yuk Kit	BJL920	Licensed Representative (Provisional Licence)	9

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2024**

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHING Kim Fung	AQQ191	Licensed Representative (Provisional Licence)	1, 2, 4
CHOI Angela	BUT385	Licensed Representative (Provisional Licence)	1, 4, 9
CHOY Hoi Yan	APX164	Licensed Representative (Provisional Licence)	1, 4
CHU Ka Kiu	BUT117	Licensed Representative (Provisional Licence)	1
CHU Yuen Kwan	BUT975	Licensed Representative (Provisional Licence)	4, 9
CHUNG Jaehong	BMV379	Licensed Representative (Provisional Licence)	1
CUI Yan	BUT507	Licensed Representative (Provisional Licence)	9
DA Qi	BRM015	Licensed Representative (Provisional Licence)	1, 4
DAI Meng	BUS261	Licensed Representative (Provisional Licence)	9
D'AVILA FERREIRA DI DONATO Lais	BUT587	Licensed Representative (Provisional Licence)	1, 3
DING Yizhou	BUQ904	Licensed Representative (Provisional Licence)	9
DONG Fanghu	BNH846	Licensed Representative (Provisional Licence)	1, 4
DU Yan	BUT317	Licensed Representative (Provisional Licence)	9
EDWARDS Antony James	AAW726	Licensed Representative (Provisional Licence)	1, 4, 9
FAN Xiaoyang	BUS863	Licensed Representative (Provisional Licence)	9
FANG Xian	BUS981	Licensed Representative (Provisional Licence)	1
FANG Yuzhong	BUT193	Licensed Representative (Provisional Licence)	1, 4, 6
FONG Hoi Ching	BUS503	Licensed Representative (Provisional Licence)	1, 4
FONG Wai Ying, Vivian Catherine	BBT305	Licensed Representative (Provisional Licence)	1, 4, 9
FUNG Ka Him Max	BUT790	Licensed Representative (Provisional Licence)	1, 2, 7
GAI Hsin-Shan	AVV799	Licensed Representative (Provisional Licence)	4
GAITO JR David	BUT649	Licensed Representative (Provisional Licence)	1
GAO Mingyao	BUP971	Licensed Representative (Provisional Licence)	4
GAO Zifeng	BUS467	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 7
GARG Shashwat	BUU142	Licensed Representative (Provisional Licence)	1, 2
GOEL Kalpa	BUS913	Licensed Representative (Provisional Licence)	1, 2, 4
GOH Meng Kiat	BUR288	Licensed Representative (Provisional Licence)	9
GONG Tingting	BUT926	Licensed Representative (Provisional Licence)	1
GU Hongsheng	BUQ170	Licensed Representative (Provisional Licence)	1, 2, 4, 6
GUAHK Jin Woo	BUS376	Licensed Representative (Provisional Licence)	1, 2, 4
GUO Xuanhong	BOQ796	Licensed Representative (Provisional Licence)	9
GUO Ziteng	BUQ393	Licensed Representative (Provisional Licence)	1, 6
HAN Ziyi	BUS513	Licensed Representative (Provisional Licence)	4
HE Huiying	BUS249	Licensed Representative (Provisional Licence)	4
HO Cai Zhen	BUT332	Licensed Representative (Provisional Licence)	1
HOLLOWAY Mitchell Leslie	BGV233	Licensed Representative (Provisional Licence)	1, 4
HU Yuhan	BUT635	Licensed Representative (Provisional Licence)	1, 4, 9
HU Zhi	BUT564	Licensed Representative (Provisional Licence)	1, 4
HUANG Haoya	BNL472	Licensed Representative (Provisional Licence)	1, 4
HUFTON Michael John	BCA269	Licensed Representative (Provisional Licence)	6
HUNG Wai Chung	BUU100	Licensed Representative (Provisional Licence)	1, 2
HUNG Wing Lan	BUT677	Licensed Representative (Provisional Licence)	1, 4, 9
JI Shuting	BUS660	Licensed Representative (Provisional Licence)	1
JIANG Yonah Jiang	AUW635	Licensed Representative (Provisional Licence)	9
JIN Liyun	BUS636	Licensed Representative (Provisional Licence)	9
JIN YiLin	BUS653	Licensed Representative (Provisional Licence)	2
KIANG Tien Sik, David	AAE628	Licensed Representative (Provisional Licence)	1
KONG Xiang	BUQ639	Licensed Representative (Provisional Licence)	4
KUO Wai Wing, Doris	BHB802	Licensed Representative (Provisional Licence)	4, 9

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2024**

CE Name	CE No.	Licence Type	Types of Regulated Activity
KWAK Harim	BTM779	Licensed Representative (Provisional Licence)	1, 2
LA Wonho	BUS318	Licensed Representative (Provisional Licence)	9
LAI Ching Ying, Stella	AEY253	Licensed Representative (Provisional Licence)	4, 9
LAM Wai Ho	BMJ752	Licensed Representative (Provisional Licence)	1, 4
LAU Chi Yan Jane	AZL053	Licensed Representative (Provisional Licence)	1
LEE Pak Fai	BUQ342	Licensed Representative (Provisional Licence)	1
LEE Shih Chieh	BMR597	Licensed Representative (Provisional Licence)	4, 9
LEE Yanessa Ho Yan	BUR422	Licensed Representative (Provisional Licence)	1
LEE Ying-ju	BCU420	Licensed Representative (Provisional Licence)	1, 4
LESMANA Yulius	BHG072	Licensed Representative (Provisional Licence)	1, 7
LEUNG Kit Yin	BNP141	Licensed Representative (Provisional Licence)	6
LEUNG Sin Hang	BUR446	Licensed Representative (Provisional Licence)	9
LEUNG Yat Kan	BQF254	Licensed Representative (Provisional Licence)	1, 9
LI Cedric Hei Tak	BRI246	Licensed Representative (Provisional Licence)	9
LI Feifei	BSS113	Licensed Representative (Provisional Licence)	9
LI Ho Pan	BCH687	Licensed Representative (Provisional Licence)	1, 2, 4
LI Hongda	BUT196	Licensed Representative (Provisional Licence)	4
LI Jiaming	BUS928	Licensed Representative (Provisional Licence)	4
LI Jing	BUS385	Licensed Representative (Provisional Licence)	1, 4, 9
LI Keke	BQE408	Licensed Representative (Provisional Licence)	4, 9
LI Na	BUT652	Licensed Representative (Provisional Licence)	1, 4, 9
LI Shihao	BUQ793	Licensed Representative (Provisional Licence)	4
LI Wing Lam	BNC987	Licensed Representative (Provisional Licence)	1
LI Yueyao	BUT077	Licensed Representative (Provisional Licence)	4, 9
LI Yuting	BUT057	Licensed Representative (Provisional Licence)	4
LI ZIXUAN	BUT318	Licensed Representative (Provisional Licence)	4, 9
LIAO Huanhuan	BUS260	Licensed Representative (Provisional Licence)	1
LIM Jessica Anne Jia Fong	BUU099	Licensed Representative (Provisional Licence)	1, 2
LIN Fei	AZJ851	Licensed Representative (Provisional Licence)	1, 4, 9
LIN Liang	BUS213	Licensed Representative (Provisional Licence)	1
LIN Sitong	BUT110	Licensed Representative (Provisional Licence)	1, 4, 9
LIN Xiangyu	BUQ891	Licensed Representative (Provisional Licence)	1, 4
LIU Chengxuan	BNL367	Licensed Representative (Provisional Licence)	1, 4
LIU Chenyan	BUS255	Licensed Representative (Provisional Licence)	1, 4
LIU chenyang	BUS814	Licensed Representative (Provisional Licence)	1
LIU Chris	BUQ465	Licensed Representative (Provisional Licence)	1, 2, 4
LIU Chun Ho	BUT940	Licensed Representative (Provisional Licence)	1, 4
LIU Chunnan	BUS204	Licensed Representative (Provisional Licence)	1, 6
LIU Hongpeng	BPN150	Licensed Representative (Provisional Licence)	6
LIU Jihu	BUS185	Licensed Representative (Provisional Licence)	1, 4
LIU Tong	BUS644	Licensed Representative (Provisional Licence)	1, 4, 6
LIU Zhengye	BNN048	Licensed Representative (Provisional Licence)	1, 2, 4
LO Man Tsun Edmond	BUQ757	Licensed Representative (Provisional Licence)	1, 9
LO Yan Ki	BUT402	Licensed Representative (Provisional Licence)	1
LO Yung Yung	BHG229	Licensed Representative (Provisional Licence)	1, 2
LU Ying Ting	BUS307	Licensed Representative (Provisional Licence)	1
LUI Ming Wei	BUT855	Licensed Representative (Provisional Licence)	1, 2
LUK Lou Louise	BUS912	Licensed Representative (Provisional Licence)	1, 2
LUO Zenglong	AWZ523	Licensed Representative (Provisional Licence)	9

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2024**

CE Name	CE No.	Licence Type	Types of Regulated Activity
LYALL Georgiana Bergin	BUU132	Licensed Representative (Provisional Licence)	1, 2
LYU Congyi	BUQ824	Licensed Representative (Provisional Licence)	1, 4
MA Wing Yee	BUS884	Licensed Representative (Provisional Licence)	4
MA Zijian	BUT060	Licensed Representative (Provisional Licence)	4
MAEDA Takuya	BUQ509	Licensed Representative (Provisional Licence)	4
MAN Hoi Yin	BUS141	Licensed Representative (Provisional Licence)	4, 9
MATMOURA Yassine	BUT759	Licensed Representative (Provisional Licence)	9
MAZUR Tomasz Krzysztof	BUK003	Licensed Representative (Provisional Licence)	9
MENG Kuo	BUQ829	Licensed Representative (Provisional Licence)	1
MIN Rui	BUS440	Licensed Representative (Provisional Licence)	1, 6
MIYAHARA KEN	BUT351	Licensed Representative (Provisional Licence)	9
MOK Wing Yan, Anita	BNV037	Licensed Representative (Provisional Licence)	1, 2
MONTET Lucas	BIQ389	Licensed Representative (Provisional Licence)	2
MURAKI Masao	BUS421	Licensed Representative (Provisional Licence)	4
NAGARATNAM Thivakaran	BUS663	Licensed Representative (Provisional Licence)	4
NG Man Keung	BUQ863	Licensed Representative (Provisional Licence)	9
NG Tsz Ching	BUS670	Licensed Representative (Provisional Licence)	1, 4, 9
NG Yan Chun	BUS878	Licensed Representative (Provisional Licence)	2
NIU Wanling	BUS700	Licensed Representative (Provisional Licence)	1, 4, 6
PAN Qing	BST157	Licensed Representative (Provisional Licence)	1, 4, 9
PANG Wai Yee	BBT534	Licensed Representative (Provisional Licence)	1
PARK Seyun	BQB947	Licensed Representative (Provisional Licence)	1, 7
PARK Steve Y	BUO782	Licensed Representative (Provisional Licence)	1, 7
PENG Xin	BUS222	Licensed Representative (Provisional Licence)	1, 6
PERRIN Mark Richard	BLF186	Licensed Representative (Provisional Licence)	1, 4, 9
PETERS Valerie Ursula	BUT556	Licensed Representative (Provisional Licence)	1, 4
POON Chi Wai	AJC313	Licensed Representative (Provisional Licence)	1, 2, 4, 9
PU MINGQI	BUP066	Licensed Representative (Provisional Licence)	4
QI Xin	AWL701	Licensed Representative (Provisional Licence)	4
QIAN Yiru	BUS372	Licensed Representative (Provisional Licence)	4
QIANG Dong	BUS582	Licensed Representative (Provisional Licence)	1, 2
QIAO Tingting	BUS322	Licensed Representative (Provisional Licence)	10
QIU Qian	BUS452	Licensed Representative (Provisional Licence)	1, 6
REN Liurong	BUS925	Licensed Representative (Provisional Licence)	4
SAVIO Franck Roger Antoine	BUT416	Licensed Representative (Provisional Licence)	1, 2
SHAN Yun Liang	BUT143	Licensed Representative (Provisional Licence)	1
SHEN Siyue	BUS458	Licensed Representative (Provisional Licence)	4
SHI Xuewei	BUR285	Licensed Representative (Provisional Licence)	1, 4, 9
SHUPLETSOV Alexander	BUT554	Licensed Representative (Provisional Licence)	1, 6
SIA Bing Xi	BUS283	Licensed Representative (Provisional Licence)	4
SIE Steffi Hwie Yen	BUS936	Licensed Representative (Provisional Licence)	1
SO Wai Ching	BRB314	Licensed Representative (Provisional Licence)	1
SUDA Yoshitaka	BUT035	Licensed Representative (Provisional Licence)	4
SUN Yuchen	BUS409	Licensed Representative (Provisional Licence)	1, 6
TAI Hoi Ching Yanmie	BPX275	Licensed Representative (Provisional Licence)	1, 4
TAKAYAMA Chonsu	BUS962	Licensed Representative (Provisional Licence)	9
TAM Yuet Fung	BAY295	Licensed Representative (Provisional Licence)	1
TAN Zhiyi	BQS570	Licensed Representative (Provisional Licence)	1, 6
TANG Shihe	BUQ838	Licensed Representative (Provisional Licence)	4

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2024**

CE Name	CE No.	Licence Type	Types of Regulated Activity
TANG Yuen-po	BUS468	Licensed Representative (Provisional Licence)	1, 4, 9
TANG Zihan	BUS396	Licensed Representative (Provisional Licence)	1, 2, 4
TEO MCCOSKER Melissa Peck Lin	ANU831	Licensed Representative (Provisional Licence)	2
TSANG Po Yee	BUR369	Licensed Representative (Provisional Licence)	1
TSANG Wai Yu Wanda	BUR409	Licensed Representative (Provisional Licence)	1, 4
TSUI Lok Man	BQP109	Licensed Representative (Provisional Licence)	1, 4, 9
WAI Pak Hei, Alex	BUT253	Licensed Representative (Provisional Licence)	1
WANG Andi	BOT295	Licensed Representative (Provisional Licence)	1
WANG Fan	BUT369	Licensed Representative (Provisional Licence)	1
WANG Hanshen	BUR309	Licensed Representative (Provisional Licence)	1
WANG Shiyao	BUS369	Licensed Representative (Provisional Licence)	9
WANG Xiaotian	BUS873	Licensed Representative (Provisional Licence)	1, 6
WANG Zhen	AZC796	Licensed Representative (Provisional Licence)	1, 2, 4, 7
WANG Zixian	BUT957	Licensed Representative (Provisional Licence)	6
WEI Zhengyi	BPF457	Licensed Representative (Provisional Licence)	9
WEN Keyin	BUR408	Licensed Representative (Provisional Licence)	1, 4, 6
WONG Chung Wai	BUQ564	Licensed Representative (Provisional Licence)	1, 4, 9
WONG Lik	AMS535	Licensed Representative (Provisional Licence)	4, 9
WONG Tsun Kit	BUT742	Licensed Representative (Provisional Licence)	1, 4, 6
WONG Wai Chak	BUS217	Licensed Representative (Provisional Licence)	1
WONG Wai Chung Julian	BUS317	Licensed Representative (Provisional Licence)	4
WONG Ying Ting	BPV039	Licensed Representative (Provisional Licence)	1, 4, 9
WU Fei	ASZ586	Licensed Representative (Provisional Licence)	4, 9
WU Lei	BUS300	Licensed Representative (Provisional Licence)	1
WU Peng	BUU005	Licensed Representative (Provisional Licence)	1, 7
WU Showai	BUS364	Licensed Representative (Provisional Licence)	1, 4
WU Xinkun	BUT118	Licensed Representative (Provisional Licence)	4
XIAO Xu	BUU232	Licensed Representative (Provisional Licence)	1, 4, 9
XIE Yi	ANL073	Licensed Representative (Provisional Licence)	1
XIE Zhicai	BUS645	Licensed Representative (Provisional Licence)	1, 6
XU Jingyang	BUQ953	Licensed Representative (Provisional Licence)	1, 6
XU Kejing	BUS626	Licensed Representative (Provisional Licence)	1, 4, 6
XU Qi	BOZ408	Licensed Representative (Provisional Licence)	1, 4
XU Stanford Shaozheng	BQD598	Licensed Representative (Provisional Licence)	1, 2
XU Yilei	BUT397	Licensed Representative (Provisional Licence)	9
YAMAGUCHI Atsushi	AOR340	Licensed Representative (Provisional Licence)	4
YAN Ming Jiao	BUQ225	Licensed Representative (Provisional Licence)	4
YAN Shuling	BUS477	Licensed Representative (Provisional Licence)	1, 4
YANG Rongyu	BUT305	Licensed Representative (Provisional Licence)	1
YANG Runbang	BUT668	Licensed Representative (Provisional Licence)	1, 2
YANG Yi	BUR210	Licensed Representative (Provisional Licence)	4
YAU Kin Hoe	BUT285	Licensed Representative (Provisional Licence)	1, 2
YE Peixin	BUT454	Licensed Representative (Provisional Licence)	1, 6
YE Siyang	BUO442	Licensed Representative (Provisional Licence)	1
YE Tian	BUS869	Licensed Representative (Provisional Licence)	1, 4, 6
YEUNG Hoi Keung	APM140	Licensed Representative (Provisional Licence)	1, 2
YIN Haichen	BUS649	Licensed Representative (Provisional Licence)	6
YIP Cheuk Kwan	BNG570	Licensed Representative (Provisional Licence)	4, 9
YIP Ka Kam	BTY921	Licensed Representative (Provisional Licence)	1, 9

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2024**

CE Name	CE No.	Licence Type	Types of Regulated Activity
YU Pui Ki	BUS919	Licensed Representative (Provisional Licence)	1
YU Yiming	BUT120	Licensed Representative (Provisional Licence)	4
YUAN Zechen	BUS883	Licensed Representative (Provisional Licence)	1
ZENG Deyue	BUS519	Licensed Representative (Provisional Licence)	1, 4, 9
ZENG Jiaqi	BUT320	Licensed Representative (Provisional Licence)	1
ZHAN Bo	BUS698	Licensed Representative (Provisional Licence)	4
ZHANG Hanyu	BUR045	Licensed Representative (Provisional Licence)	1
ZHANG Jianing	BUR205	Licensed Representative (Provisional Licence)	1, 6
ZHANG Jingyu	BUT005	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Peiyang	BUS627	Licensed Representative (Provisional Licence)	1, 6
ZHANG Qiulin	BUS264	Licensed Representative (Provisional Licence)	9
ZHANG Ran	BHP610	Licensed Representative (Provisional Licence)	1, 4, 9
ZHANG Shiqi	BUT398	Licensed Representative (Provisional Licence)	9
ZHANG Wenyao	BUT178	Licensed Representative (Provisional Licence)	1, 2, 4, 5
ZHANG Wenyu	BUS491	Licensed Representative (Provisional Licence)	1, 4
ZHANG Xinmiao	BUS792	Licensed Representative (Provisional Licence)	1, 2
ZHANG Xinyu	BUQ343	Licensed Representative (Provisional Licence)	1, 2, 4
ZHANG Xinyue	BUS938	Licensed Representative (Provisional Licence)	4
ZHANG Yifan	BUT470	Licensed Representative (Provisional Licence)	1, 2
ZHANG Yining	BUS293	Licensed Representative (Provisional Licence)	1, 6
ZHAO Jialin	BUU027	Licensed Representative (Provisional Licence)	1, 2
ZHAO Yingrui	BUS444	Licensed Representative (Provisional Licence)	1, 4
ZHOU Cong	BUQ178	Licensed Representative (Provisional Licence)	1, 6
ZHOU Yifan	BUQ490	Licensed Representative (Provisional Licence)	1, 6
ZHOU Yimu	BUS992	Licensed Representative (Provisional Licence)	1, 4, 9
ZHU Chenyue	BUS914	Licensed Representative (Provisional Licence)	1, 6
ZHU Ge	AUZ021	Licensed Representative (Provisional Licence)	1, 2
ZHU Jing	BUS443	Licensed Representative (Provisional Licence)	1, 4, 9
ZHU Junyu	BUS879	Licensed Representative (Provisional Licence)	1, 4, 6
ZHU Yichen	BUT944	Licensed Representative (Provisional Licence)	1, 2, 9
ACKER ANDREW ROSS	BTM539	Licensed Representative (Temporary Licence)	1, 4
AFZAL Zieshan	BTJ036	Licensed Representative (Temporary Licence)	1, 4
ALAOUI Othman	BTL369	Licensed Representative (Temporary Licence)	1
ALFINITO Giulio	BOW626	Licensed Representative (Temporary Licence)	1
ANEGAWA Toshiyuki	BAI276	Licensed Representative (Temporary Licence)	4
ARAI Katsumi	BMO263	Licensed Representative (Temporary Licence)	4
ARAKI Masato	BMY103	Licensed Representative (Temporary Licence)	4
BAEK Doosan	BJX357	Licensed Representative (Temporary Licence)	4
BAIG Mirza Faham Ali	BUT642	Licensed Representative (Temporary Licence)	4
BELL Robert John Gordon	BUD976	Licensed Representative (Temporary Licence)	1
BHARADWAJ Goutham	BDP709	Licensed Representative (Temporary Licence)	1
BILLEREY Alban, Baudouin, Claude	BUQ565	Licensed Representative (Temporary Licence)	1, 2
BOULTON Mark	BUS866	Licensed Representative (Temporary Licence)	1
BRIGGS James Thomas Edward	BCC466	Licensed Representative (Temporary Licence)	1, 2
BURBANO Esteban	BLD950	Licensed Representative (Temporary Licence)	1, 4
CAPPETTA John William	BRD833	Licensed Representative (Temporary Licence)	1, 4
CHALMERS Matthew Downes	BUT082	Licensed Representative (Temporary Licence)	4
CHANG David Alexandre	BUT304	Licensed Representative (Temporary Licence)	1, 4
CHANG Jian Hua Derek	BTT301	Licensed Representative (Temporary Licence)	4



**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2024**

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHANG Jian Hua Derek	BTT301	Licensed Representative (Temporary Licence)	4
CHAUVET Thomas Vincent	BJE499	Licensed Representative (Temporary Licence)	4
CHEN Ken An	ANX752	Licensed Representative (Temporary Licence)	1
CHOI Celine	BRN861	Licensed Representative (Temporary Licence)	1, 2
CHUANG Zhi Wei Farhan	BPE144	Licensed Representative (Temporary Licence)	1
CRYER Benjamin Bradley	BUT113	Licensed Representative (Temporary Licence)	1
DENG Jingting	BIC489	Licensed Representative (Temporary Licence)	4
DHILLON Inquilab Singh	AQM704	Licensed Representative (Temporary Licence)	1, 2
DOKANIA Gunjan	BJB452	Licensed Representative (Temporary Licence)	1, 2
DONALD James Maitland	BFS964	Licensed Representative (Temporary Licence)	1, 4
DRISSNER Thomas	BUS188	Licensed Representative (Temporary Licence)	1
DUTTA Sabyasachi	BTM283	Licensed Representative (Temporary Licence)	1, 4
EDELMAN Stephen Jay	BUT773	Licensed Representative (Temporary Licence)	1, 6
EZAWA Kota	BDY402	Licensed Representative (Temporary Licence)	4
FANG Bin Yin	AJD977	Licensed Representative (Temporary Licence)	1
FARLEY Daniel Patrick	BJR810	Licensed Representative (Temporary Licence)	1, 4, 5
FARLEY Robin Margaret	BUS882	Licensed Representative (Temporary Licence)	4
FINEMAN Daniel Mark	AEP441	Licensed Representative (Temporary Licence)	4
FUKUDA Rui	BOE886	Licensed Representative (Temporary Licence)	2, 5
FUTSCHER Michael	BUD221	Licensed Representative (Temporary Licence)	1
GEHRING Berit	BUR002	Licensed Representative (Temporary Licence)	1
GOCER Alper	BUS931	Licensed Representative (Temporary Licence)	1
GORO Harunobu	ALV033	Licensed Representative (Temporary Licence)	4
GREEN Nicholas James	BHT971	Licensed Representative (Temporary Licence)	4
GUPTA Sachin	BDD526	Licensed Representative (Temporary Licence)	1
GUTMAN Simeon Ari	BFO614	Licensed Representative (Temporary Licence)	4
HASEGAWA Yoshihito	BUT051	Licensed Representative (Temporary Licence)	4
HEYES Richard James	BIJ198	Licensed Representative (Temporary Licence)	1
HU Xunyu	BRC220	Licensed Representative (Temporary Licence)	4
HUANG Jinzheng Corwin	BJC167	Licensed Representative (Temporary Licence)	1, 4
HWANG Kyung Jae	BAJ130	Licensed Representative (Temporary Licence)	4
HWANG Seung Hyun	AVA346	Licensed Representative (Temporary Licence)	1
IIDA Taku	BAU017	Licensed Representative (Temporary Licence)	1
INOUE Daisuke	BUS423	Licensed Representative (Temporary Licence)	1, 2
INUI MASAYUKI	BUT546	Licensed Representative (Temporary Licence)	4
ISCH Roberto Jose	BUS793	Licensed Representative (Temporary Licence)	1, 4
IVANOVIC Aleksandar	BLE528	Licensed Representative (Temporary Licence)	1, 4
IWAI Toru	BND774	Licensed Representative (Temporary Licence)	4
JANG Namhyun	BUT078	Licensed Representative (Temporary Licence)	4
JARDINE Simon	BUR472	Licensed Representative (Temporary Licence)	1, 4
KAEGI Thomas	BHG813	Licensed Representative (Temporary Licence)	1, 4
KAN Shau Yee	BTT383	Licensed Representative (Temporary Licence)	1, 4
KANG Kyungtae	BTF035	Licensed Representative (Temporary Licence)	4
KAR Siddhartha	BUS506	Licensed Representative (Temporary Licence)	1, 2
KEATING Edward Peter	BHQ307	Licensed Representative (Temporary Licence)	1, 4
KEENE Michael Donald	BOZ245	Licensed Representative (Temporary Licence)	1
KEIRSTEAD Karl Emil	BUT188	Licensed Representative (Temporary Licence)	4
KIM Hyeong Kyun	BFF354	Licensed Representative (Temporary Licence)	1
KOBA Hiroshi	BLX816	Licensed Representative (Temporary Licence)	4

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2024**

CE Name	CE No.	Licence Type	Types of Regulated Acitivity
KONRAD Samuel	BUQ166	Licensed Representative (Temporary Licence)	1
KUECH Andrew Robert	ASZ093	Licensed Representative (Temporary Licence)	1
LAU Johnny Ka Ki	AOS269	Licensed Representative (Temporary Licence)	1
LAUGHLIN Justin John	AWV278	Licensed Representative (Temporary Licence)	2, 5
LEE CHIWOONG	BUT203	Licensed Representative (Temporary Licence)	4
LEGGATE Douglas George Blyth	BCZ763	Licensed Representative (Temporary Licence)	4
LIEW Guan Min	BUS408	Licensed Representative (Temporary Licence)	1
LIM Kor Lei Grace	BTJ832	Licensed Representative (Temporary Licence)	4
MA Qingliu	BUT417	Licensed Representative (Temporary Licence)	4
MAEDA Koichiro	AMB300	Licensed Representative (Temporary Licence)	1, 4
MALEK-AHMADI Andrew Mehra	BDE484	Licensed Representative (Temporary Licence)	1
MANKUDE BHASKER RAO Ravi Kiran	ANK084	Licensed Representative (Temporary Licence)	1
MC CLYMONT Emma	BUS790	Licensed Representative (Temporary Licence)	1
MCCARTHY Kyle A	BTD501	Licensed Representative (Temporary Licence)	1, 4
MCCUTCHEON Kate Elizabeth	BTC188	Licensed Representative (Temporary Licence)	4
MCTAGGART Paul Joseph	AUW886	Licensed Representative (Temporary Licence)	4
METCALF Martha Bryn	BUT276	Licensed Representative (Temporary Licence)	1
MIKI Masashi	BOI949	Licensed Representative (Temporary Licence)	4
MOHEDAS Agustin Humberto	BUD912	Licensed Representative (Temporary Licence)	1, 4
MURAKAWA Yushi	BUS172	Licensed Representative (Temporary Licence)	1
NABER Natalia	BUT116	Licensed Representative (Temporary Licence)	1, 2
NADKARNI Prajakta Shrikant	BUS788	Licensed Representative (Temporary Licence)	1
NAGAO Yoshitaka	AVM354	Licensed Representative (Temporary Licence)	4
NAITO Takayuki	BMJ733	Licensed Representative (Temporary Licence)	4
NAKAMURA Shinichiro	BTB828	Licensed Representative (Temporary Licence)	4
NAKAZAWA Sho	BUE067	Licensed Representative (Temporary Licence)	4
NG Kheng Siang	AWH494	Licensed Representative (Temporary Licence)	1, 4
NG Kwok Fong Andrew	BTS928	Licensed Representative (Temporary Licence)	1, 2
NIGANIA Nikhil	BTZ941	Licensed Representative (Temporary Licence)	4
NISHIYAMA Yuta	BTO872	Licensed Representative (Temporary Licence)	4
NISHIZAWA Arashi	BOR475	Licensed Representative (Temporary Licence)	4
NIWA Koichi	AUW702	Licensed Representative (Temporary Licence)	4
OGAWA KIRIKO	BUT667	Licensed Representative (Temporary Licence)	1
OGINO REIJI	BOC299	Licensed Representative (Temporary Licence)	4
PARK Jee Hoon	ASL108	Licensed Representative (Temporary Licence)	4
PAUL Gordon Bruce	BHD845	Licensed Representative (Temporary Licence)	2, 5
PERKS Edward Douglas	AXP594	Licensed Representative (Temporary Licence)	1
RADKE Tyler Maverick	BUS411	Licensed Representative (Temporary Licence)	4
REDFERN William James	BGX417	Licensed Representative (Temporary Licence)	4
REINBERG John Robert	BGI312	Licensed Representative (Temporary Licence)	1, 4
ROY Edward David	BUS512	Licensed Representative (Temporary Licence)	1
SANO Fumihiko	BPW837	Licensed Representative (Temporary Licence)	1, 2
SASAKI Ryo	BUT548	Licensed Representative (Temporary Licence)	4
SASAKI Tsubasa	BFA015	Licensed Representative (Temporary Licence)	4
SATO Wakako	BBI874	Licensed Representative (Temporary Licence)	4
SBROCCA Daniel Nicholas	BUS388	Licensed Representative (Temporary Licence)	1, 2
SCEMAMA Didier Charles	BTN078	Licensed Representative (Temporary Licence)	4
SHERIDAN Peter Frederich	BNU195	Licensed Representative (Temporary Licence)	1
SHIEH Ming-Yung	AYI567	Licensed Representative (Temporary Licence)	1



**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2024**

CE Name	CE No.	License Type	Types of Regulated Activity
SHIOHARA Kunihiro	BLX817	Licensed Representative (Temporary Licence)	4
SIDDIQI Nida Iqbal	BTX409	Licensed Representative (Temporary Licence)	4
SINGHAL PANT Shilpa	BUT111	Licensed Representative (Temporary Licence)	1
SMITH Alexander Albert Gordon	BUS187	Licensed Representative (Temporary Licence)	1
SPROULES Brendan Allan	BGO985	Licensed Representative (Temporary Licence)	4
STREET Lee Alexander	AUE090	Licensed Representative (Temporary Licence)	4
SYMES Michael Anthony	BDO449	Licensed Representative (Temporary Licence)	1
TAI Yu	BTM522	Licensed Representative (Temporary Licence)	1
TAN Kuan Ern	AGO288	Licensed Representative (Temporary Licence)	6
TAN Ren Feng Lorraine	BTK927	Licensed Representative (Temporary Licence)	2, 5
TANAKA Hideaki	BLX818	Licensed Representative (Temporary Licence)	4
TAYLOR John	BUS610	Licensed Representative (Temporary Licence)	4
TIWARI Ashish	BUS475	Licensed Representative (Temporary Licence)	1, 4
TSUCHIYA Yasuhito	BBB706	Licensed Representative (Temporary Licence)	4
TSUNOYAMA Tomonobu	BMH728	Licensed Representative (Temporary Licence)	4
TSURUO Mitsunobu	BHD023	Licensed Representative (Temporary Licence)	4
VIRGO Alexander Stewart	BLI749	Licensed Representative (Temporary Licence)	4
VISWANATHA IYER Rishi	BNC587	Licensed Representative (Temporary Licence)	1
WADAKI Tetsuya	ATE081	Licensed Representative (Temporary Licence)	4
WANG Hao	BUT002	Licensed Representative (Temporary Licence)	4
WANG Jie	BPY134	Licensed Representative (Temporary Licence)	1
WANG Yue	BJD659	Licensed Representative (Temporary Licence)	10
WATANABE Ryoichi	BUT119	Licensed Representative (Temporary Licence)	4
WILENSKY Greg James	BUS149	Licensed Representative (Temporary Licence)	1, 4
WILLIAMS Joseph Graham	BCH509	Licensed Representative (Temporary Licence)	1, 4
WONG Norbert Hoyan	BUT101	Licensed Representative (Temporary Licence)	1
WU Pingyue	BUT062	Licensed Representative (Temporary Licence)	4
XIAO Hao	BUT004	Licensed Representative (Temporary Licence)	4
YAGI Ryo	BOJ669	Licensed Representative (Temporary Licence)	4
YAN Yishu	BGT857	Licensed Representative (Temporary Licence)	4
YANG Steven	BTO106	Licensed Representative (Temporary Licence)	1
YOSHIHARA Tomoko	BMO654	Licensed Representative (Temporary Licence)	4
ZHONG Cheng	BTW235	Licensed Representative (Temporary Licence)	1