

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2024

CE Name	CE No.	Licence Type	Types of Regulated Activity
Arcus Investment Asia Limited	BDD869	Licensed Corporation	4, 9
Cedar Asset Management (HK) Limited	BOI786	Licensed Corporation	9
CLC Securities Limited	AQF520	Licensed Corporation	1, 2, 4, 5
CMBC International Securities Limited	BIH516	Licensed Corporation	1, 4
D&R International Capital Management (Hong Kong) Limited	BEN083	Licensed Corporation	1, 4, 9
FinTrek Capital (Hong Kong) Co., Limited	BMP341	Licensed Corporation	1, 4
Global Ample Asset Management Company Limited	BPE657	Licensed Corporation	4, 9
Maple Leaf Capital (HK) Limited	AQH173	Licensed Corporation	9
Marketsense Asset Management Limited	BIZ106	Licensed Corporation	9
Tongjin Securities Limited	BOU553	Licensed Corporation	1, 2, 4, 5
AL-AZZANI Mohamed Alawi Kassim	BSE127	Licensed Representative	1, 2
ALGHATAM Mohamed Thabet Rashed Jaber Rashed	BLM022	Licensed Representative	2, 5
ALLAN Stephen Raymond	BFM966	Licensed Representative	1, 4
ARORA Anupam	BHF779	Licensed Representative	1, 4, 6
ASIJA Sahil Kumar	AYR644	Licensed Representative	1, 2, 4, 5
BAISIWALA Sameer Kumar	ALI051	Licensed Representative	1
BAO Chengchao	BTV797	Licensed Representative	4
BI Xinyu	BOW748	Licensed Representative	1
BOSELLI John A	BLJ662	Licensed Representative	1, 4
BUT Chi Kwan	BFP249	Licensed Representative	1, 2, 4
CAI Lingfeng	BUA726	Licensed Representative	1, 6
CEREDA PARINI Flavio Romano Achille	BDD072	Licensed Representative	4
CHA Munyoung	BCK193	Licensed Representative	1, 4
CHAN Chi Pang	AWW253	Licensed Representative	1
CHAN Chi Wai	BIC618	Licensed Representative	1, 2
CHAN Chun Lin, Johnny	BFO992	Licensed Representative	4, 9
CHAN Hei Yan Hazel	BRP242	Licensed Representative	6
CHAN Justin Chuen Kei	BLC458	Licensed Representative	1
CHAN Ka Cheong	AWL330	Licensed Representative	1
CHAN Ka Wai	BLF061	Licensed Representative	6
CHAN Keng Fai	AMF902	Licensed Representative	1, 2
CHAN Kit Yee, Jody	APJ514	Licensed Representative	1
CHAN Kwong Kuen, Joey	ABG879	Licensed Representative	1
CHAN Kwun Yin	BID386	Licensed Representative	1, 2, 4, 5
CHAN Man Kit Derek	BHM348	Licensed Representative	1, 6
CHAN Man Ling	BTM246	Licensed Representative	4
CHAN Ming Yan	BOL140	Licensed Representative	1, 4
CHAN Nim Yan Calvin	BFE612	Licensed Representative	1, 4, 9
CHAN Tik Wai	BEB582	Licensed Representative	1, 2
CHAN Wai Hung	AGS190	Licensed Representative	1
CHAN Wilfrid Shou Yi	BTK035	Licensed Representative	1, 4
CHAN Yu Sum Patrick	ARJ471	Licensed Representative	1, 2
CHANG Yun-Chia	AQG804	Licensed Representative	1, 4
CHAU Wai Man, Josephine	AXD293	Licensed Representative	1
CHAUDHURI Kaustav	BOE528	Licensed Representative	1, 2
CHEN Dingding	BRM287	Licensed Representative	4, 9
CHEN Lufeier	BLM083	Licensed Representative	1, 4, 9
CHEN Qiqi	BQV497	Licensed Representative	1, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2024

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHEN Wei-Han	BSR802	Licensed Representative	9
CHENG Chi Keung	BIC344	Licensed Representative	4, 9
CHENG Chun Hing	AFV873	Licensed Representative	1, 2
CHENG Leo Kin Hei	BJM384	Licensed Representative	1
CHENG Martin Wing Hung	BNF294	Licensed Representative	2, 5
CHEUNG Clive Ho Fung	BIW856	Licensed Representative	4
CHEUNG Kam Shing, Terry	AAK049	Licensed Representative	4, 9
CHEUNG Kwun Sing	BPM675	Licensed Representative	4, 9
CHEUNG Siu Shan Emily Vanessa	BSJ616	Licensed Representative	1, 4
CHI Songheng	BSO747	Licensed Representative	1, 4
CHIANG Chee Hung	AAW085	Licensed Representative	1
CHIU Sai Kit	BNV340	Licensed Representative	1, 6
CHOI Pui Yim	BPM199	Licensed Representative	1
CHOI Sanghyun	BNV870	Licensed Representative	1, 2
CHONG Chyi Yieing Alyce	AFY704	Licensed Representative	1
CHOW Kit Fung	BIX025	Licensed Representative	9
CHOW Wang Chi	BTS881	Licensed Representative	3
CHOY Ivan	BQI814	Licensed Representative	1, 2
CHU Chi Kwun Gary	BBD372	Licensed Representative	1
CHUA Mei Tak, Michelle	ADW214	Licensed Representative	1, 4, 9
CHUNG Ka Chun	BQR871	Licensed Representative	1
COLAS Romain Georges Pierre	AON781	Licensed Representative	9
CONG Zhaonan	BRB504	Licensed Representative	9
CRAWFORD Andrew Gilbert	AOY334	Licensed Representative	1
DAI Yunfei	BSY421	Licensed Representative	1, 4, 6
DE ST JOHN-PRYCE Winton Charles Nicholas	ASK492	Licensed Representative	1, 6
DEBOO Martin John	BPA006	Licensed Representative	4
DEEPROSE Matthew James	BPD436	Licensed Representative	1, 4, 9
DENG Qikun	BRO322	Licensed Representative	1, 4, 6
DOERIG Lukas Andreas	BRS570	Licensed Representative	1, 4, 6
DOU FENG MING	BSW935	Licensed Representative	9
EME Philippe Jean	AEX901	Licensed Representative	1, 2
FAN Wai Hong Felix	BSQ623	Licensed Representative	1
FANG Yiren	BTB963	Licensed Representative	1, 2
FOOT Martin Kevin	AMB401	Licensed Representative	4, 9
FOX Marc Solomon	BGT204	Licensed Representative	1, 9
FU Xiamin	BPM070	Licensed Representative	1, 4, 6
FUNG Shu Sum	AED604	Licensed Representative	1
FUNG Wong Yu	BRX273	Licensed Representative	1, 9
GAN Jian Bo	BGI749	Licensed Representative	4
GAO Deng	BEA889	Licensed Representative	4
GAO Hui	BSF483	Licensed Representative	1, 4
GAO Li	AZO325	Licensed Representative	1, 4, 6
GAO Yuan	BFT182	Licensed Representative	1, 6
GAO Zhongyuan	BNU678	Licensed Representative	1, 6
GE Xiaonan	BTD470	Licensed Representative	1, 6
GE Xiaorui	BRE560	Licensed Representative	4
GILBERT Andrew Craig	AQM291	Licensed Representative	1, 2
GU Wei	BRQ635	Licensed Representative	1, 4, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2024

CE Name	CE No.	Licence Type	Types of Regulated Acitivity
GUAN Chenyang	BUB251	Licensed Representative	6
GUAN Quansen	BQK477	Licensed Representative	4
GUDE Parag Laxmikant	AEM005	Licensed Representative	1
GUO Yao	AZP163	Licensed Representative	1, 2
GWEE Chien Suen	ADV611	Licensed Representative	9
GWISAI Tafadzwa	BGE586	Licensed Representative	1, 6
HAN Chia Lin	AGG699	Licensed Representative	1, 4
HAN Zhen	BQS919	Licensed Representative	1, 4, 6
HANG Tiantian	BSG569	Licensed Representative	9
HART Daniel Harry Jack	BRS734	Licensed Representative	1, 2
HAUSNER Christian Kevin	BRH864	Licensed Representative	4, 9
HE Jun	AUR969	Licensed Representative	1
HE Manli	BQR542	Licensed Representative	1
HE Ruixiang	BTG263	Licensed Representative	1
HEUNG Ching Ting Vivian	ASO625	Licensed Representative	1
HO Kai Cheung Alex	BNB236	Licensed Representative	1, 4, 9
HO Kwok Wai	BFP930	Licensed Representative	1, 4, 9
HO Kwun Wah Stephon	BCI813	Licensed Representative	1, 4
HO Mei Lok	AMY548	Licensed Representative	1, 2
HO Ngai Fung	AAD797	Licensed Representative	1, 2
HO Sau Ping	BJH020	Licensed Representative	1, 4, 6
HO Shek Ming	BMD905	Licensed Representative	1, 4
HO Sze Wai	BSH344	Licensed Representative	1, 4, 6
HO Sze Yin	BMR922	Licensed Representative	4
HO Wai Leong, Herrick	BRW648	Licensed Representative	9
HO Yu Yeung	BPQ489	Licensed Representative	1, 2
HONG Wenxi	BHH491	Licensed Representative	1, 6
HSIAO Chih-yu	BOJ194	Licensed Representative	1, 4
HSU Yung Haow	BSS188	Licensed Representative	2, 5
HU Wenqian	BAK772	Licensed Representative	9
HUANG Chongjun	BGH447	Licensed Representative	4
HUANG Chutian	BOC568	Licensed Representative	6
HUI Kwai Fan	BHB420	Licensed Representative	1
HUNG Po Fong	AAY123	Licensed Representative	1
HUNG Tak Wai	BLC110	Licensed Representative	1, 4
IMAIZUMI Reimi	BUK491	Licensed Representative	1, 4
IP Ka Yee	BQX141	Licensed Representative	1, 4
IP Wai Chung	BTE296	Licensed Representative	1
JI Peng	BQC259	Licensed Representative	1, 4, 6
JIANG Jiang	BSP649	Licensed Representative	4
JIANG Qinglin	BKK308	Licensed Representative	1, 4
JIAO Ying	AQS661	Licensed Representative	1
JOHN Tonisha	AXI532	Licensed Representative	9
KAM Man Wai	BBK676	Licensed Representative	1, 2
KAMATA Marina	BQZ851	Licensed Representative	1, 4, 6
KANADE Pradeep Eknath	BGZ264	Licensed Representative	1, 2
KAWAMOTO Yushi	BOF144	Licensed Representative	4
KETTERER Edward	ADE566	Licensed Representative	1, 4
KO Chi Hung	AHP764	Licensed Representative	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2024

CE Name	CE No.	Licence Type	Types of Regulated Acitivity
KO Ka Shuen Veronica	BTE258	Licensed Representative	1
KO Kwok Kuen	BBI896	Licensed Representative	1
KOHLI Nikhil	AVI597	Licensed Representative	1, 2
KOIKE Anri	BBW344	Licensed Representative	1, 2, 7
KOO Hung Jun, Lawrence	ADL513	Licensed Representative	4, 9
KOO Yi Lai	BTM837	Licensed Representative	4
KU Suet Ting	BDA733	Licensed Representative	1, 4
KUI Hon See	BPN239	Licensed Representative	1, 4, 9
KWAN Ho Yan	BFW321	Licensed Representative	2
KWOK Chun	BNC956	Licensed Representative	1, 6
KWOK Wing Lam, Michelle	BUC314	Licensed Representative	4, 9
LAI Qimei	BNV338	Licensed Representative	1, 4, 6
LAI Wing Yee	ARF548	Licensed Representative	1, 2
LAI Zeyu	BUI798	Licensed Representative	6
LAM Fong Tat	AJM293	Licensed Representative	9
LAM Ho Ting	BTQ507	Licensed Representative	4
LAM Ka Yee	ACL981	Licensed Representative	1
LAM Kin Yan Lincoln	BTM047	Licensed Representative	1, 4, 6
LAM Man Kin	BGO350	Licensed Representative	1, 4, 9
LAM Ping Kwan Alfred	BRE596	Licensed Representative	1
LAM Sai Ho	BBP886	Licensed Representative	1, 4, 9
LAM Wai Shun	BLM861	Licensed Representative	1
LAN Tianhao	BSY328	Licensed Representative	1, 6
LAO Yan Yuen Brenda	BNX189	Licensed Representative	10
LAU Chi Wah, Andy	AEJ687	Licensed Representative	1, 2, 4
LAU Hoi Yee, Vivian	AEV751	Licensed Representative	1, 2, 4
LAU Ka Shun	BMV683	Licensed Representative	1
LAU Tai Che	AGJ107	Licensed Representative	1
LAU Wai Ho	BIZ038	Licensed Representative	1
LAUBIE William-Henry Louis	BSL761	Licensed Representative	1, 2
LAW Tung Keung	BPO593	Licensed Representative	9
LEE Chi Wing	ALB349	Licensed Representative	1
LEE Ho Kwan	BGV078	Licensed Representative	1, 2
LEE Homin	BBZ026	Licensed Representative	4
LEE Jaeyeon	BTK482	Licensed Representative	1, 7
LEE Ka Lok Ricky	BRF148	Licensed Representative	1
LEE SIK YEE Christian Pascal Kim Sang	BTP302	Licensed Representative	9
LEEM Jaehung	BFN523	Licensed Representative	1, 7
LELAND Todd Wilson	BLR545	Licensed Representative	1, 4, 5, 6, 9
LENG Yuchen	BRQ116	Licensed Representative	1, 6
LEUNG Choi Wah	BRJ323	Licensed Representative	1, 4
LEUNG Kin Wah Kelvin	AOQ573	Licensed Representative	1, 2, 4
LEUNG Wai Nga	AFX924	Licensed Representative	1, 4
LI CHEUK YIU	BTM729	Licensed Representative	1
LI Chi Lung	AZL751	Licensed Representative	1
LI Ho Lam	BSU834	Licensed Representative	1, 2, 4
LI Hoi Ying Stefanie	BLF088	Licensed Representative	1, 6
LI Jiaqi	BPI185	Licensed Representative	1, 6
LI Jiaqi	BTV536	Licensed Representative	1, 2, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2024

CE Name	CE No.	Licence Type	Types of Regulated Activity
LI Lingfang	BQE751	Licensed Representative	4
LI Qinghong	BTR968	Licensed Representative	1, 6
LI Shaobo	BNI705	Licensed Representative	1, 6
LI Shing Hei	BRZ465	Licensed Representative	1
LI Taoran	BMG152	Licensed Representative	1, 6
LI Yau Tak	BPM126	Licensed Representative	1, 4
LI Yixuan	BTB264	Licensed Representative	1, 4, 6
LI Yue	BFU987	Licensed Representative	1, 4, 6
LI Yuemin	BRO945	Licensed Representative	6
LI Zhaoang	BTK493	Licensed Representative	1
LI Zhigang	BLO844	Licensed Representative	4, 9
LI Zixi	BHD546	Licensed Representative	6
LIANG Fei	BLK911	Licensed Representative	1, 2
LIANG Minfeng	BCE457	Licensed Representative	1, 4, 6
LIANG Wanli	BQN730	Licensed Representative	1
LIANG Xiao	BHX801	Licensed Representative	1, 4, 6
LIANG Yinyu	BCG282	Licensed Representative	6
LIANG Yishan	BRR867	Licensed Representative	1, 6
LIAO Ningjun	AGD668	Licensed Representative	9
LIAO Yuan	BOO625	Licensed Representative	1, 4, 6
LIM Hau Juck	AGF499	Licensed Representative	1
LIM Yi Jun	BPV935	Licensed Representative	1, 2
LIN Man Man	BRO817	Licensed Representative	1
LIN Xiaowei	BOX534	Licensed Representative	4
LIN Yin	BEE972	Licensed Representative	9
LIU Chenyuan	BTU831	Licensed Representative	6
LIU Jerry Yuan	BAE419	Licensed Representative	4
LIU Jing	ART641	Licensed Representative	1
LIU Lu	BQX073	Licensed Representative	1, 6
LIU Meixu	BSY426	Licensed Representative	6
LIU Qian	BBU130	Licensed Representative	1
LIU Wei	ALG199	Licensed Representative	9
LIU Yarong	BRJ167	Licensed Representative	1, 2, 4
LIU Yifan	AXV269	Licensed Representative	1, 4, 6
LIU Yixi	BML636	Licensed Representative	1, 2
LO Wan Tung	ARW745	Licensed Representative	1
LONG Qing	ARO794	Licensed Representative	10
LOW Henry Donald Greenall Ka-Sing	BRM657	Licensed Representative	1, 4, 6
LU Haolin	BBP493	Licensed Representative	4, 9
LU Huaiyu	BSY486	Licensed Representative	6
LU Jiawei	BUB248	Licensed Representative	1, 2, 4
LU Junyi	BJA751	Licensed Representative	1, 4, 6
LU Limei	BMH783	Licensed Representative	1
LU Shimin	BUA113	Licensed Representative	1, 6
LU YE	BLN748	Licensed Representative	1, 4, 9
LU Yudan	BSW683	Licensed Representative	1, 4
LUI King Wah	AAB374	Licensed Representative	1
LUNG Him Yick Matthew	BOD645	Licensed Representative	1, 4
MADDURI Pooja Grover	BDH854	Licensed Representative	1, 2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2024

CE Name	CE No.	Licence Type	Types of Regulated Acitivity
MAHIDHARA Radhika	BNN231	Licensed Representative	1
MATHIANNAL Prasanna	AZV076	Licensed Representative	1, 2, 4, 5
MOK Harvey Po Lun	AGG960	Licensed Representative	1, 2
MOK Kam Leung	AAJ840	Licensed Representative	1, 4
MOK Lai Yee	ABT475	Licensed Representative	1
NAM Jeong An	AGG538	Licensed Representative	1
NG Kok Ming	AMN905	Licensed Representative	1, 4, 5, 9
NG Man Sum	ABN450	Licensed Representative	1
NG Sai Yip, Justin	APL249	Licensed Representative	1, 4, 9
NG Sze Wang Antonio	BQV762	Licensed Representative	1, 6
NG Tsun Ho	BQL613	Licensed Representative	1, 2
NG Wai Ping	AWC089	Licensed Representative	1
NG Wai Yin Hailey	BNH346	Licensed Representative	1
NG Ying Hin	BQV424	Licensed Representative	1
NG Yu Ning, Elaine	AXG313	Licensed Representative	10
NGOH Yi Sin	BNO147	Licensed Representative	4
NGUYEN Cecile Thanh	BNB502	Licensed Representative	1, 2
NIU Duorui	BRP602	Licensed Representative	1, 6
OMOLEKULO Rolake Banke	BPX308	Licensed Representative	1
ON Chin Bong	BKR852	Licensed Representative	6
PARK Jun Hyun	BUF491	Licensed Representative	1, 4, 9
PARK Seong Hwan	BTK689	Licensed Representative	1, 4, 6
PENG Xiaoting	BGN039	Licensed Representative	1, 4
PENG Yu-Chia	BOF450	Licensed Representative	1
PHANG Zi Xin	BRR690	Licensed Representative	1, 4, 6
PHEN Chun Shing, Vincent	AOR251	Licensed Representative	1, 4, 9
PING Zhiyuan	BPS471	Licensed Representative	6
PINSET Victor Balthazar	ARQ494	Licensed Representative	1, 2
PONG CHI MAN MANDY	BUA740	Licensed Representative	1, 4
POON Kit Ngar	BMQ748	Licensed Representative	9
POON Lok Hang	BTV491	Licensed Representative	1
POON Ming Hei	BTN230	Licensed Representative	1, 2, 4
POSYAVIN Roman	BPC685	Licensed Representative	1, 4
PRUETT William Rodney	BTU184	Licensed Representative	4, 9
QI Boyuan	BDE297	Licensed Representative	1, 2
QIAO Yijie	BNX896	Licensed Representative	6
RAJAMANI Prabhu	BRE657	Licensed Representative	1, 2
RHO Kyeongwhan	BSW646	Licensed Representative	1, 6
ROGERS Oliver James	BJC053	Licensed Representative	1, 2
SAKURAI Aya	BNY395	Licensed Representative	4
SETO Ka Leung	AXB922	Licensed Representative	1
SHANG Di	BQT573	Licensed Representative	1, 4
SHAW Philip	BRK607	Licensed Representative	1, 2
SHE Kelly	BIY493	Licensed Representative	1
SHEN Sicong	BNF348	Licensed Representative	1, 4
SHEN YI	BRK307	Licensed Representative	2
SHEOPURI Amit Vishal	AJC116	Licensed Representative	1, 6
SHI Fengyuan	BQO779	Licensed Representative	4
SHI Jiangnan	BNC307	Licensed Representative	1, 2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2024

CE Name	CE No.	Licence Type	Types of Regulated Activity
SHI Jingshan	BRQ052	Licensed Representative	1, 2, 9
SHI Sheng	BLO840	Licensed Representative	4, 9
SHISHKOV Svetoslav Dimitrov	BQH327	Licensed Representative	1, 2
SHUM Cheuk Wai	BTO345	Licensed Representative	1, 2
SIN Yin Ting	BMO314	Licensed Representative	1, 4, 9
SIU Lok Wang	BSW563	Licensed Representative	1, 6
SIU Ming	BOE955	Licensed Representative	9
SIU Wo Ping	BRJ693	Licensed Representative	4, 9
SO Wai Yee, Betty	AQC501	Licensed Representative	1, 4, 6, 9
SONG Judy Yelim	BDQ941	Licensed Representative	9
SONG Ting	BIV964	Licensed Representative	1, 2, 4, 5
SU Linxi	BUA411	Licensed Representative	1, 4
SUGIYAMA Yoshinobu	BPH536	Licensed Representative	1, 4, 6
SUN Congshi	BPQ381	Licensed Representative	4, 9
SUN Fanding	BQV360	Licensed Representative	1, 4
SUN He	BSW987	Licensed Representative	1, 4
SUN Yang	BME215	Licensed Representative	1, 4
SUN Yuchen	BQM668	Licensed Representative	6
TAI Kwok Wan	BEC152	Licensed Representative	2, 5
TAM King Pui, Juliana	AZY934	Licensed Representative	1, 4, 6
TAM Yu Fung	BRJ621	Licensed Representative	1, 6
TAN Hong	BRL095	Licensed Representative	1, 4, 9
TAN Wei Lynn	BPW628	Licensed Representative	1, 6
TAN Yun Xin	BSM523	Licensed Representative	1
TANABE Jun	BTJ982	Licensed Representative	4
TANG Jing	BSD813	Licensed Representative	1, 4
TANG Tat Fu	AEM914	Licensed Representative	1
TAO Shengyu	BQK280	Licensed Representative	4
TAO Zhaobo	BRY939	Licensed Representative	1, 6
TEO Soo Meng, Kelvin	AMN714	Licensed Representative	1, 6
THAIN Li Ean	BUC278	Licensed Representative	1
THONG Chung Mao	AVB803	Licensed Representative	1
TIAN Hao	BBU456	Licensed Representative	4, 9
TIAN Ke	BLV468	Licensed Representative	6
TIAN Silu	BFP218	Licensed Representative	1, 4, 6
TING Ka Man	BEV354	Licensed Representative	6
TO Susan	BBU909	Licensed Representative	1
TO Yat Man	AZV159	Licensed Representative	1
TONG Leo	BBU281	Licensed Representative	6
TOO Lai Shan	BEU843	Licensed Representative	6
TSAI Kuan-Chi	AOK522	Licensed Representative	1, 6
TSANG Oi Kwan	AAC661	Licensed Representative	1
TSE Chi Ling, Agnes	ASF705	Licensed Representative	2, 5
TSE Cho Yan Jessica	BPP167	Licensed Representative	1
TU Hsiu-Ying	ANH318	Licensed Representative	1, 4, 9
TUNG Tsit Shan	AFJ430	Licensed Representative	1, 4
TURNER Alexandra Louise	BGM618	Licensed Representative	1
VAZQUEZ NG Chau Po Teresa	AMI195	Licensed Representative	4
VYAS Manish Manu	BHE104	Licensed Representative	1, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2024

CE Name	CE No.	Licence Type	Types of Regulated Activity
WALKER Angus Campbell	BHO970	Licensed Representative	9
WAN JIAN	BSZ605	Licensed Representative	1, 4
WANG Aillen	BUP648	Licensed Representative	1, 4
WANG Anyuan	AQY699	Licensed Representative	1, 2
WANG Chien-An	BTZ097	Licensed Representative	1, 2, 4, 9
WANG Cong	BTR099	Licensed Representative	1, 6
WANG Danning	BNT182	Licensed Representative	1, 4, 6
WANG Duan	BOM051	Licensed Representative	4, 9
WANG Jiahui	BSY270	Licensed Representative	6
WANG Jun	AYO040	Licensed Representative	1, 4
WANG Lei	BPW175	Licensed Representative	1, 4, 6
WANG Ning	BRA880	Licensed Representative	1, 6
WANG Songying	BPA547	Licensed Representative	1, 4, 6
WANG Wanting	BTF552	Licensed Representative	4
WANG Xiaoshan	BTT627	Licensed Representative	1, 6
WANG Xilu	BSX444	Licensed Representative	1, 4, 6
WANG Yachao	BTO308	Licensed Representative	1, 6
WANG Yixuan	BTL027	Licensed Representative	1, 4, 9
WANG Yueren	BOJ329	Licensed Representative	9
WANG Zichao	BNV387	Licensed Representative	1, 4, 9
WEN Baoxin	BOR737	Licensed Representative	6
WERNO Alexandre Maurice Louis	APP898	Licensed Representative	1, 4
WONG Chui Lan	BMX826	Licensed Representative	1, 4, 9
WONG Chun Kit	BHO974	Licensed Representative	1, 6
WONG Chung	ADQ116	Licensed Representative	1, 2
WONG Elaine	BQD394	Licensed Representative	1, 4
WONG Hiu Tung	BQW355	Licensed Representative	1
WONG Hoi Shun	BTT672	Licensed Representative	1, 4, 9
WONG Kam Fai	BFM576	Licensed Representative	1, 4
WONG Kit Ying, Cecilia	AFH044	Licensed Representative	1, 2, 4, 5
WONG Lan Fa	AUV854	Licensed Representative	1
WONG Man Yee Paula	AQE956	Licensed Representative	4, 9
WONG Sai Kit	BQB528	Licensed Representative	1, 4, 9
WONG Shun Yin	BRO919	Licensed Representative	1
WONG Suet Yi	ALH739	Licensed Representative	1
WONG Tsz Hung	BCS712	Licensed Representative	9
WONG Wai Ying	BTO680	Licensed Representative	1, 4
WOOD Paul Anthony	ARW848	Licensed Representative	1
WOODS Jason Liam Thomas	AUL716	Licensed Representative	1, 2, 7
WU Conglu	BQJ931	Licensed Representative	4
WU Di	BHH765	Licensed Representative	4, 9
WU Hin Cheong	ANY311	Licensed Representative	1, 2, 4
WU Qiyue	BRP244	Licensed Representative	6
WU Shaoying	BTW216	Licensed Representative	4
WU Sheng	ADX634	Licensed Representative	1, 4, 6
WU Shuzhen	BRV860	Licensed Representative	1, 6
WU Tianhao	BOF052	Licensed Representative	1, 6
WU Yanjun	BTF586	Licensed Representative	1, 4, 6
XIAO Di	BNH359	Licensed Representative	1, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2024

CE Name	CE No.	Licence Type	Types of Regulated Activity
XIE Elise Mingyan	AZT347	Licensed Representative	1, 2
XIE Hao	BPX534	Licensed Representative	1, 4
XU Ruimin	ALZ591	Licensed Representative	1
XU Sixing	BTH004	Licensed Representative	6
XU Xulin	AYW563	Licensed Representative	6
XU Ziyi	BPJ858	Licensed Representative	1, 4
XUE Zhao	BSB792	Licensed Representative	6
YAGI Minoru	BAD511	Licensed Representative	1, 2, 4
YAM Wai Gwan	AOK736	Licensed Representative	1
YANG Chaozhong	BOB378	Licensed Representative	1, 4, 6
YANG Feng Sou	BNC240	Licensed Representative	1, 2
YANG Sensen	BUR141	Licensed Representative	1, 4, 9
YANG SI	BQQ856	Licensed Representative	1, 4
YANG Xue	BTD716	Licensed Representative	1, 4
YAO Yuan	BSZ075	Licensed Representative	4
YAU Hiu Yan	BSE603	Licensed Representative	1, 6
YAU Vivian Wing-yun	BTF580	Licensed Representative	1
YAU Yuen Han Louisa	BQQ979	Licensed Representative	9
YE Liya	BNB180	Licensed Representative	4, 9
YEUNG Wai Leung	BUL968	Licensed Representative	1, 4
YIN Jiewen	BSZ420	Licensed Representative	1, 6
YIP Chu Hong	BEA830	Licensed Representative	1
YIP Ki	AUF153	Licensed Representative	1, 2, 4, 5
YIP Tang Pang	BBJ914	Licensed Representative	1, 2
YIU Lily	AEI929	Licensed Representative	1, 2, 3
YOU Xiaoying	BTH334	Licensed Representative	1, 4, 9
YU Kaijing	BRK372	Licensed Representative	4, 9
YU Kam Chiu William	BDV879	Licensed Representative	1, 4
YU Siwei	AOI988	Licensed Representative	1
YU Wai Chiu	AXI616	Licensed Representative	1, 4
YU Wai Hung	AWN747	Licensed Representative	1, 2
YU Yinghua	AUQ932	Licensed Representative	1, 6
YU Yiting	BUA690	Licensed Representative	1, 2
YUAN Wenxi	BSS999	Licensed Representative	1, 2, 4
YUEN Ching Yiu	BHQ072	Licensed Representative	4, 9
YUEN Ming Wah	AAV284	Licensed Representative	1
ZHANG Jinhong	BHR791	Licensed Representative	1, 4, 9
ZHANG Jinwu	BUD203	Licensed Representative	1, 4, 9
ZHANG Luyi	BRK781	Licensed Representative	1, 6
ZHANG Ruobai	BTC014	Licensed Representative	4
ZHANG Rutian	BQB043	Licensed Representative	1, 2, 4
ZHANG Shengmin	BOW850	Licensed Representative	1, 6
ZHANG Tiantian	BTQ829	Licensed Representative	4, 9
ZHANG Yann	BPA133	Licensed Representative	1, 4, 6
ZHANG Yichi	BPI591	Licensed Representative	4
ZHANG Yiyi	BAT594	Licensed Representative	9
ZHANG Yunfeng	BTT068	Licensed Representative	4
ZHANG Zhe	BTO386	Licensed Representative	6
ZHANG Zong Yuan	AXZ965	Licensed Representative	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2024

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHAO Qingli	BDZ931	Licensed Representative	6
ZHAO Xiaozheng	BGL502	Licensed Representative	1, 4
ZHEN Xin	BSD915	Licensed Representative	1, 6
ZHOU Qiao Ping	BRR643	Licensed Representative	1
ZHOU Yun Yun	BQI479	Licensed Representative	1, 6
ZHOU Zijie	BRO041	Licensed Representative	1, 4, 6
ZHU Ming	BMX629	Licensed Representative	1, 4, 9
AHMED Alauddin	ACY793	Licensed Representative - Responsible Officer	9
ALAVI Arash	BIS583	Licensed Representative - Responsible Officer	9
ARGUELLO Jaime Eduardo	BOW908	Licensed Representative - Responsible Officer	4, 9
BIN Guoqiang	BNG046	Licensed Representative - Responsible Officer	4, 9
CAI Junyi	BNR402	Licensed Representative - Responsible Officer	9
CHAK Chi Man	ANG125	Licensed Representative - Responsible Officer	4, 9
CHAN Chein Kwong William	AVK496	Licensed Representative - Responsible Officer	4, 9
CHAN Chun Yin	BAF372	Licensed Representative - Responsible Officer	4, 9
CHAN Hing Tuen	AAA604	Licensed Representative - Responsible Officer	1
CHAN Hung Ling	AAG115	Licensed Representative - Responsible Officer	1
CHAN Kwan Wah	AZJ981	Licensed Representative - Responsible Officer	1, 2
CHAN Pui Yi	AUM181	Licensed Representative - Responsible Officer	4, 9
CHAN Wing Hang	ALB794	Licensed Representative - Responsible Officer	1
CHAN Wing Wang	ABE592	Licensed Representative - Responsible Officer	1
CHAU Ting Fai	BGC458	Licensed Representative - Responsible Officer	1
CHENG Kan Lik	ANA182	Licensed Representative - Responsible Officer	1, 4
CHENG Ngai Fai	AFP620	Licensed Representative - Responsible Officer	4, 9
CHEUNG Ming Lin	AYO736	Licensed Representative - Responsible Officer	1, 4, 6
CHEUNG So Wa	AYC949	Licensed Representative - Responsible Officer	1, 4, 9
CHEUNG Yue Kiu Fabian	AZL121	Licensed Representative - Responsible Officer	1, 4
CHING Kerry Kim Wai	AFF128	Licensed Representative - Responsible Officer	1, 4, 9
DAVIES Anthony Miles	AAV788	Licensed Representative - Responsible Officer	1, 4
DELETRAZ CHRISTIN Anne Tamara Pivoine	AZK145	Licensed Representative - Responsible Officer	9
DELETRAZ Christophe Steven	AXS807	Licensed Representative - Responsible Officer	9
DESCHAMPS Nicolas Raymond Martial	BOW838	Licensed Representative - Responsible Officer	1
EDDS Teall Nathaniel	AKV336	Licensed Representative - Responsible Officer	9
ENGLAND-BRAMMER Simon James	AXZ247	Licensed Representative - Responsible Officer	1, 4, 9
FEI Xiang	AOV610	Licensed Representative - Responsible Officer	1, 2, 4, 5, 9
FEURER Simon Dominic	BGV756	Licensed Representative - Responsible Officer	9
GEORGE Manu	AZJ281	Licensed Representative - Responsible Officer	1, 9
HE Hao	APG277	Licensed Representative - Responsible Officer	6
HO Shek Ming	BMD905	Licensed Representative - Responsible Officer	6
HOGAN Richard Joseph	BQG429	Licensed Representative - Responsible Officer	9
HSUEH Yan-Wen	ATN371	Licensed Representative - Responsible Officer	1, 4, 6
HUANG He	BOS012	Licensed Representative - Responsible Officer	2
HUI Kin Wai	AOX998	Licensed Representative - Responsible Officer	4, 9
IP Hung	AGA252	Licensed Representative - Responsible Officer	1, 4, 9
JIANG Longlong	AYI259	Licensed Representative - Responsible Officer	9
KANG In Muk	ANG413	Licensed Representative - Responsible Officer	1
KEUNG Kelvin	AEI320	Licensed Representative - Responsible Officer	9
KU Lai Hung	AVR238	Licensed Representative - Responsible Officer	1, 4, 6
KWAN Ho Yan	BFW321	Licensed Representative - Responsible Officer	1, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2024

CE Name	CE No.	Licence Type	Types of Regulated Activity
KWOK Hon Kuen	AER962	Licensed Representative - Responsible Officer	1, 4, 9
LACEY JR Donald Edward	AUQ978	Licensed Representative - Responsible Officer	4, 9
LAM Chick Leung	ACR785	Licensed Representative - Responsible Officer	4, 9
LAU Yu Hin	ALV105	Licensed Representative - Responsible Officer	6
LEE Yam Hang	AKO237	Licensed Representative - Responsible Officer	1, 2, 4
LEUNG Chi Kong, Banny	AQR575	Licensed Representative - Responsible Officer	9
LEUNG Chi Shing	AET485	Licensed Representative - Responsible Officer	1
LEUNG Wing Yee, Fiona	AJB647	Licensed Representative - Responsible Officer	1, 4
LI On Ki	ANA057	Licensed Representative - Responsible Officer	1
LI Xiaojing	BSW062	Licensed Representative - Responsible Officer	1, 4, 9
LI Ying Kit	BFG544	Licensed Representative - Responsible Officer	4, 9
LIANG Guozhong	AJG523	Licensed Representative - Responsible Officer	1, 4
LIU Fung Shan	ABI312	Licensed Representative - Responsible Officer	1, 4
LIU Xiaohong	AGC636	Licensed Representative - Responsible Officer	1, 6
LIU Yang	BKF767	Licensed Representative - Responsible Officer	1, 4, 9
LO Cho Yan	ACR637	Licensed Representative - Responsible Officer	3
LO King Fai	APJ118	Licensed Representative - Responsible Officer	1, 4
MA Siu Kay	BDH471	Licensed Representative - Responsible Officer	1, 4
MA Wai Shun	BID786	Licensed Representative - Responsible Officer	1
MARSH-JR Alan Reid	BEL251	Licensed Representative - Responsible Officer	1, 6
MERAZZI Reto	BLY251	Licensed Representative - Responsible Officer	9
MOK Kin Wai	ABS374	Licensed Representative - Responsible Officer	4, 9
MURATA Takashi	BOA247	Licensed Representative - Responsible Officer	1, 2, 4
NG Laurence Hou Jun	BEL947	Licensed Representative - Responsible Officer	4, 9
ONG Pei Ling, Angela	BSI598	Licensed Representative - Responsible Officer	1, 4, 9
PONG David Juyoung	APW579	Licensed Representative - Responsible Officer	1, 4, 6
RIKU Tokunari	BGM932	Licensed Representative - Responsible Officer	1, 3
SARKIS David	AJN346	Licensed Representative - Responsible Officer	9
SEABOLT Christopher John	ALP933	Licensed Representative - Responsible Officer	1, 2
SETO Ka Leung	AXB922	Licensed Representative - Responsible Officer	6
SONG Jiawei	BNU014	Licensed Representative - Responsible Officer	4, 9
SONG Xinli	BFW858	Licensed Representative - Responsible Officer	1, 4, 9
TONG Leo	BBU281	Licensed Representative - Responsible Officer	1, 4
TSANG Yin Chun	AOE451	Licensed Representative - Responsible Officer	1, 4
TSUNG Ching Fung	BSH092	Licensed Representative - Responsible Officer	4, 9
WEBB Robert	ALQ617	Licensed Representative - Responsible Officer	1, 2, 4, 5
WILSON Stuart Michael	AKV334	Licensed Representative - Responsible Officer	9
WONG Cheung Ki, Parish	ABY243	Licensed Representative - Responsible Officer	1, 4, 9
WONG Mankuan	BRV045	Licensed Representative - Responsible Officer	1, 2
WONG Teck Chit, Alfred	AWF438	Licensed Representative - Responsible Officer	1, 4, 9
WYSOCKI Michal Franciszek	BFZ217	Licensed Representative - Responsible Officer	1, 2
XIE Hongjun	AQZ553	Licensed Representative - Responsible Officer	1, 2, 4, 5
YUAN Feng	BOR729	Licensed Representative - Responsible Officer	3
ZHANG Yiyi	BAT594	Licensed Representative - Responsible Officer	1, 4
ZHAO Song	BFR106	Licensed Representative - Responsible Officer	4, 9
ZHOU Yunfu	AWD552	Licensed Representative - Responsible Officer	9
ZHU Jiang	BNB630	Licensed Representative - Responsible Officer	1, 4, 9
ADAMCZYK Alexis Thomas David	ARN635	Licensed Representative (Provisional Licence)	1, 4, 6
APPLE JR Audie Gene	BUT648	Licensed Representative (Provisional Licence)	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2024

CE Name	CE No.	Licence Type	Types of Regulated Activity
AU YEUNG Chung	AQU618	Licensed Representative (Provisional Licence)	1
AVI Luis Felipe	BUS387	Licensed Representative (Provisional Licence)	1, 2
BATRA Inderdeep Singh	BUU178	Licensed Representative (Provisional Licence)	1, 2
BOURRETTE Remi William Jacques	BTT242	Licensed Representative (Provisional Licence)	1, 4, 9
BYUN Kara Kyung Wha	APJ708	Licensed Representative (Provisional Licence)	1, 4, 9
CAI Anglin	BUS775	Licensed Representative (Provisional Licence)	6
CAI Baozhu	BUV652	Licensed Representative (Provisional Licence)	1
CAI Yulin	BUS655	Licensed Representative (Provisional Licence)	1, 2, 4
CATALDI Pasquale	BUR298	Licensed Representative (Provisional Licence)	9
CHAI Jibang	BOI890	Licensed Representative (Provisional Licence)	4
CHAN Kit Lam	BUQ972	Licensed Representative (Provisional Licence)	1
CHAN Lai Ha	ARO575	Licensed Representative (Provisional Licence)	1, 4
CHAN Nathaniel Clifton	BUR249	Licensed Representative (Provisional Licence)	1
CHAN Tin Chung	BUS939	Licensed Representative (Provisional Licence)	1, 4, 9
CHAN Wan Mau	BUT122	Licensed Representative (Provisional Licence)	1, 2
CHAN Yue Yan	BUT974	Licensed Representative (Provisional Licence)	1
CHAO Luen Kit Ernest	BUT890	Licensed Representative (Provisional Licence)	1, 2, 4, 5
CHAO Nicholas Ronald Youde	BUU718	Licensed Representative (Provisional Licence)	1, 4, 9
CHEN CHONG	BUS259	Licensed Representative (Provisional Licence)	1, 4
CHEN Fengbo	BUT553	Licensed Representative (Provisional Licence)	1, 4, 9
CHEN Jiahui	BUQ743	Licensed Representative (Provisional Licence)	1
CHEN Jiesheng	BUT958	Licensed Representative (Provisional Licence)	1, 4, 9
CHEN Ruitian	BUQ491	Licensed Representative (Provisional Licence)	4
CHEN Xing Quan Hansen	BUV158	Licensed Representative (Provisional Licence)	9
CHEN Yi	BUT121	Licensed Representative (Provisional Licence)	1
CHEN Yini	BAB811	Licensed Representative (Provisional Licence)	1, 4, 6
CHENG Kwok Lai	AEE211	Licensed Representative (Provisional Licence)	1, 4
CHENG Wanting	BOF396	Licensed Representative (Provisional Licence)	1, 2
CHENG Ziyang	BUS927	Licensed Representative (Provisional Licence)	4
CHEUNG Yuk Kit	BJL920	Licensed Representative (Provisional Licence)	9
CHIU Pok Man, Alvin	AHP775	Licensed Representative (Provisional Licence)	1, 4
CHOI Angela	BUT385	Licensed Representative (Provisional Licence)	1, 4, 9
CHOW Sai Wing	BUT655	Licensed Representative (Provisional Licence)	1, 4
CHOY Hoi Yan	APX164	Licensed Representative (Provisional Licence)	1, 4
CHU Ka Kiu	BUT117	Licensed Representative (Provisional Licence)	1
CHU Yuen Kwan	BUT975	Licensed Representative (Provisional Licence)	4, 9
CHUNG Ian	BOR246	Licensed Representative (Provisional Licence)	9
CUI Yan	BUT507	Licensed Representative (Provisional Licence)	9
DA Qi	BRM015	Licensed Representative (Provisional Licence)	1, 4
DARBY Sean	AFD144	Licensed Representative (Provisional Licence)	4
D'AVILA FERREIRA DI DONATO Lais	BUT587	Licensed Representative (Provisional Licence)	1, 3
DECHY Gregoire Jacques Charles	AMP200	Licensed Representative (Provisional Licence)	9
DU Yan	BUT317	Licensed Representative (Provisional Licence)	9
EDWARDS Antony James	AAW726	Licensed Representative (Provisional Licence)	1, 4, 9
FAN Linna	BUU803	Licensed Representative (Provisional Licence)	6
FAN Xiaoyang	BUS863	Licensed Representative (Provisional Licence)	9
FANG Xian	BUS981	Licensed Representative (Provisional Licence)	1
FANG Yuzhong	BUT193	Licensed Representative (Provisional Licence)	1, 4, 6
FONG Wai Ying, Vivian Catherine	BBT305	Licensed Representative (Provisional Licence)	1, 4, 9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2024

CE Name	CE No.	Licence Type	Types of Regulated Activity
FUNG Ka Him Max	BUT790	Licensed Representative (Provisional Licence)	1, 2, 7
GAI Hsin-Shan	AVV799	Licensed Representative (Provisional Licence)	4
GAITO JR David	BUT649	Licensed Representative (Provisional Licence)	1
GARG Shashwat	BUU142	Licensed Representative (Provisional Licence)	1, 2
GOH Meng Kiat	BUR288	Licensed Representative (Provisional Licence)	9
GONG Tingting	BUT926	Licensed Representative (Provisional Licence)	1
GUAHK Jin Woo	BUS376	Licensed Representative (Provisional Licence)	1, 2, 4
GUO Lejia	BUQ288	Licensed Representative (Provisional Licence)	1, 6
GUO Xuanhong	BOQ796	Licensed Representative (Provisional Licence)	9
GUO Yan	BUS417	Licensed Representative (Provisional Licence)	1, 2, 7
GUO Yiyang	BUT639	Licensed Representative (Provisional Licence)	9
GUO Ziteng	BUQ393	Licensed Representative (Provisional Licence)	1, 6
HAN Ziyi	BUS513	Licensed Representative (Provisional Licence)	4
HASHIMOTO Kenichi	AFA748	Licensed Representative (Provisional Licence)	1
HE Huiying	BUS249	Licensed Representative (Provisional Licence)	4
HE Ping	BUS329	Licensed Representative (Provisional Licence)	9
HE Yi	BSU305	Licensed Representative (Provisional Licence)	4
HO Cai Zhen	BUT332	Licensed Representative (Provisional Licence)	1
HOLLOWAY Mitchell Leslie	BGV233	Licensed Representative (Provisional Licence)	1, 4
HONG Jiajie	BUT676	Licensed Representative (Provisional Licence)	1, 4, 9
HU Yuhan	BUT635	Licensed Representative (Provisional Licence)	1, 4, 9
HU Zhi	BUT564	Licensed Representative (Provisional Licence)	1, 4
HUANG Haoya	BNL472	Licensed Representative (Provisional Licence)	1, 4
HUANG Xiang	ANI921	Licensed Representative (Provisional Licence)	9
HUANG Yuyan	BUQ748	Licensed Representative (Provisional Licence)	1, 6
HUNG Wai Chung	BUU100	Licensed Representative (Provisional Licence)	1, 2
HUNG Wing Lan	BUT677	Licensed Representative (Provisional Licence)	1, 4, 9
JI Shuting	BUS660	Licensed Representative (Provisional Licence)	1
JIANG Yonah Jiang	AUW635	Licensed Representative (Provisional Licence)	9
JIN YiLin	BUS653	Licensed Representative (Provisional Licence)	2
KAING William	BUT164	Licensed Representative (Provisional Licence)	1, 6
KANG Hanwen	BUT909	Licensed Representative (Provisional Licence)	1, 6
KAWANO Ayumi	BUU880	Licensed Representative (Provisional Licence)	1
KILMER David	BBP051	Licensed Representative (Provisional Licence)	1, 4
KINOSHITA Toshihide	BUR161	Licensed Representative (Provisional Licence)	4
KUO Wai Wing, Doris	BHB802	Licensed Representative (Provisional Licence)	4, 9
KWANG Kam Shing	ABP548	Licensed Representative (Provisional Licence)	1, 4, 6
LAI Ching Ying, Stella	AEY253	Licensed Representative (Provisional Licence)	4, 9
LAM Wai Ho	BMJ752	Licensed Representative (Provisional Licence)	1, 4
LAM Yu Ching	BUC916	Licensed Representative (Provisional Licence)	1, 2, 4, 5
LAU Chi Yan Jane	AZL053	Licensed Representative (Provisional Licence)	1
LAU Tsz Ching Julia	BFW808	Licensed Representative (Provisional Licence)	9
LAU Yu Wai	BUQ079	Licensed Representative (Provisional Licence)	1, 2, 4
LEE Pak Fai	BUQ342	Licensed Representative (Provisional Licence)	1
LEE Seung Ju	BUK787	Licensed Representative (Provisional Licence)	1, 4
LEE Yanessa Ho Yan	BUR422	Licensed Representative (Provisional Licence)	1
LEE Ying-ju	BCU420	Licensed Representative (Provisional Licence)	1, 4
LEUNG Brian Dik-Lun	BOG779	Licensed Representative (Provisional Licence)	1
LEUNG Kit Yin	BNP141	Licensed Representative (Provisional Licence)	6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2024

CE Name	CE No.	Licence Type	Types of Regulated Activity
LEUNG Sin Hang	BUR446	Licensed Representative (Provisional Licence)	9
LEUNG Yat Kan	BQF254	Licensed Representative (Provisional Licence)	1, 9
LI Cedric Hei Tak	BRI246	Licensed Representative (Provisional Licence)	9
LI Chenge	BUQ319	Licensed Representative (Provisional Licence)	1, 6
LI Chenrui	BUV096	Licensed Representative (Provisional Licence)	1, 2
LI Feifei	BSS113	Licensed Representative (Provisional Licence)	9
LI Ho Pan	BCH687	Licensed Representative (Provisional Licence)	1, 2, 4
LI Hongda	BUT196	Licensed Representative (Provisional Licence)	4
LI Jiaming	BUS928	Licensed Representative (Provisional Licence)	4
LI Jing	BUS385	Licensed Representative (Provisional Licence)	1, 4, 9
LI Keke	BQE408	Licensed Representative (Provisional Licence)	4, 9
LI Na	BUT652	Licensed Representative (Provisional Licence)	1, 4, 9
LI Na	BJB579	Licensed Representative (Provisional Licence)	1, 4, 9
LI Wing Lam	BNC987	Licensed Representative (Provisional Licence)	1
LI Xiang	BFE986	Licensed Representative (Provisional Licence)	1, 4
LI Xiangyu	BUV132	Licensed Representative (Provisional Licence)	1, 2
LI Yangwei	BUN463	Licensed Representative (Provisional Licence)	1
LI YING	BUT980	Licensed Representative (Provisional Licence)	4, 9
LI Yueyao	BUT077	Licensed Representative (Provisional Licence)	4, 9
LI Yuting	BUT057	Licensed Representative (Provisional Licence)	4
LI ZIXUAN	BUT318	Licensed Representative (Provisional Licence)	4, 9
LIAO Huanhuan	BUS260	Licensed Representative (Provisional Licence)	1
LIM Jessica Anne Jia Fong	BUU099	Licensed Representative (Provisional Licence)	1, 2
LIM Wayne Sze Meng	BUU832	Licensed Representative (Provisional Licence)	1, 6
LIN Chi Hing	BIY468	Licensed Representative (Provisional Licence)	9
LIN Fei	AZJ851	Licensed Representative (Provisional Licence)	1, 4, 9
LIN Junzhe	BUP783	Licensed Representative (Provisional Licence)	1, 6
LIN Shu Lin	AMM099	Licensed Representative (Provisional Licence)	1, 4, 9
LIN Sitong	BUT110	Licensed Representative (Provisional Licence)	1, 4, 9
LIN Xiangyu	BUQ891	Licensed Representative (Provisional Licence)	1, 4
LIU Chengxuan	BNL367	Licensed Representative (Provisional Licence)	1, 4
LIU Chenyan	BUS255	Licensed Representative (Provisional Licence)	1, 4
LIU chenyang	BUS814	Licensed Representative (Provisional Licence)	1
LIU Chun Ho	BUT940	Licensed Representative (Provisional Licence)	1, 4
LIU Chunnan	BUS204	Licensed Representative (Provisional Licence)	1, 6
LIU Hongpeng	BPN150	Licensed Representative (Provisional Licence)	6
LIU Tong	BUS644	Licensed Representative (Provisional Licence)	1, 4, 6
LIU Tongxu	BUU874	Licensed Representative (Provisional Licence)	9
LIU Yucheng	BUU843	Licensed Representative (Provisional Licence)	1, 2
LIU Zhengye	BNN048	Licensed Representative (Provisional Licence)	1, 2, 4
LO Man Tsun Edmond	BUQ757	Licensed Representative (Provisional Licence)	1, 9
LO Yan Ki	BUT402	Licensed Representative (Provisional Licence)	1, 2
LU Ying Ting	BUS307	Licensed Representative (Provisional Licence)	1
LUI Ming Wei	BUT855	Licensed Representative (Provisional Licence)	1, 2
LUI WING KIN	BUP873	Licensed Representative (Provisional Licence)	1, 4
LYALL Georgiana Bergin	BUU132	Licensed Representative (Provisional Licence)	1, 2
MA Wing Yee	BUS884	Licensed Representative (Provisional Licence)	4
MA Zijian	BUT060	Licensed Representative (Provisional Licence)	4
MAEDA Eiji	BUR295	Licensed Representative (Provisional Licence)	4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2024

CE Name	CE No.	Licence Type	Types of Regulated Activity
MAN Hoi Yin	BUS141	Licensed Representative (Provisional Licence)	4, 9
MANABE Ichitaro	BUF797	Licensed Representative (Provisional Licence)	1, 7
MATMOURA Yassine	BUT759	Licensed Representative (Provisional Licence)	9
MIN Rui	BUS440	Licensed Representative (Provisional Licence)	1, 6
MIYAHARA Ken	BUT351	Licensed Representative (Provisional Licence)	9
MOK Wing Yan, Anita	BNV037	Licensed Representative (Provisional Licence)	1, 2
MONTET Lucas	BIQ389	Licensed Representative (Provisional Licence)	2
MORGAN Rupert Talmi	BUS951	Licensed Representative (Provisional Licence)	1
MORIMOTO Akira	BBG425	Licensed Representative (Provisional Licence)	4
MURAKI Masao	BUS421	Licensed Representative (Provisional Licence)	4
NAGARATNAM Thivakaran	BUS663	Licensed Representative (Provisional Licence)	4
NG Ean Kiam	AVS580	Licensed Representative (Provisional Licence)	1, 4, 9
NG Ho Ting	BRU910	Licensed Representative (Provisional Licence)	9
NG Yan Chun	BUS878	Licensed Representative (Provisional Licence)	2
NING Jing	APB398	Licensed Representative (Provisional Licence)	9
NIU Wanling	BUS700	Licensed Representative (Provisional Licence)	1, 4, 6
PAK Cho Ho	BUO250	Licensed Representative (Provisional Licence)	1, 4, 9
PAUL Jonathan Matthew Wing-en	AQS184	Licensed Representative (Provisional Licence)	1
PENG Xin	BUS222	Licensed Representative (Provisional Licence)	1, 6
PETERS Valerie Ursula	BUT556	Licensed Representative (Provisional Licence)	1, 4
QIAN Yiru	BUS372	Licensed Representative (Provisional Licence)	4
QIANG Dong	BUS582	Licensed Representative (Provisional Licence)	1, 2
QIU Qian	BUS452	Licensed Representative (Provisional Licence)	1, 6
REN Liurong	BUS925	Licensed Representative (Provisional Licence)	4
REN MAY	BUS948	Licensed Representative (Provisional Licence)	1
SAVIO Franck Roger Antoine	BUT416	Licensed Representative (Provisional Licence)	1, 2
SAWADA Takahiro	BUV060	Licensed Representative (Provisional Licence)	1, 4
SEW HOY Marcus Duncan	BUT194	Licensed Representative (Provisional Licence)	9
SHAN Yun Liang	BUT143	Licensed Representative (Provisional Licence)	1
SHEN Siyue	BUS458	Licensed Representative (Provisional Licence)	4
SHUPLETSOV Alexander	BUT554	Licensed Representative (Provisional Licence)	1, 6
SIA Bing Xi	BUS283	Licensed Representative (Provisional Licence)	4
SO Kin Wan	BRX215	Licensed Representative (Provisional Licence)	1, 2
SO Wai Ching	BRB314	Licensed Representative (Provisional Licence)	1
SONG Mengmeng	BUQ199	Licensed Representative (Provisional Licence)	1, 6
STOLZ Sven Christian	BUV501	Licensed Representative (Provisional Licence)	1, 7
SU Jingyi	BUU154	Licensed Representative (Provisional Licence)	4, 9
SUN Yuchen	BUS409	Licensed Representative (Provisional Licence)	1, 6
TAJIMA Masahiro	BUI524	Licensed Representative (Provisional Licence)	1, 2
TAKAYAMA Chonsu	BUS962	Licensed Representative (Provisional Licence)	9
TAKEUCHI Yusuke	BTB759	Licensed Representative (Provisional Licence)	1, 6
TAM Yuet Fung	BAY295	Licensed Representative (Provisional Licence)	1
TAN Jing	BUT291	Licensed Representative (Provisional Licence)	4, 9
TANG Chung Wo	BAZ254	Licensed Representative (Provisional Licence)	1, 2
TANG Qinglei	BUS625	Licensed Representative (Provisional Licence)	4
TANG Yuen-Po	BUS468	Licensed Representative (Provisional Licence)	1, 4, 9
TANG Zihan	BUS396	Licensed Representative (Provisional Licence)	1, 2, 4
TEO MCCOSKER Melissa Peck Lin	ANU831	Licensed Representative (Provisional Licence)	2
TSAI Tsung Nan	BBO883	Licensed Representative (Provisional Licence)	1, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2024

CE Name	CE No.	Licence Type	Types of Regulated Activity
TSANG Wai Yu Wanda	BUR409	Licensed Representative (Provisional Licence)	1, 4
TSUI Lok Man	BQP109	Licensed Representative (Provisional Licence)	1, 4, 9
WAI Pak Hei, Alex	BUT253	Licensed Representative (Provisional Licence)	1
WAN Bowen	BUU806	Licensed Representative (Provisional Licence)	6
WAN Kexin	BUU999	Licensed Representative (Provisional Licence)	6
WAN Tin Yam	BUV379	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Andi	BOT295	Licensed Representative (Provisional Licence)	1
WANG Bingwen	BUV003	Licensed Representative (Provisional Licence)	4
WANG Fan	BUT369	Licensed Representative (Provisional Licence)	1
WANG Hanshen	BUR309	Licensed Representative (Provisional Licence)	1
WANG Qianqian	BUK524	Licensed Representative (Provisional Licence)	4, 9
WANG Shuwei	BUU176	Licensed Representative (Provisional Licence)	1
WANG Xiaotian	BUS873	Licensed Representative (Provisional Licence)	1, 6
WANG Yang	BUS666	Licensed Representative (Provisional Licence)	1, 2
WANG Zhen	AZC796	Licensed Representative (Provisional Licence)	1, 2, 4, 7
WANG Zixian	BUT957	Licensed Representative (Provisional Licence)	6
WEI Zhengyi	BPF457	Licensed Representative (Provisional Licence)	9
WEN Keyin	BUR408	Licensed Representative (Provisional Licence)	1, 4, 6
WONG Chung Mun	AAA153	Licensed Representative (Provisional Licence)	1, 2, 4
WONG Chung Wai	BUQ564	Licensed Representative (Provisional Licence)	1, 4, 9
WONG Lik	AMS535	Licensed Representative (Provisional Licence)	4, 9
WONG Tsun Kit	BUT742	Licensed Representative (Provisional Licence)	1, 4, 6
WONG Wai Chung Julian	BUS317	Licensed Representative (Provisional Licence)	4
WONG Ying Ting	BPV039	Licensed Representative (Provisional Licence)	1, 4, 9
WRIGHT Winston Zhou	BSQ960	Licensed Representative (Provisional Licence)	1, 2
WU Fei	ASZ586	Licensed Representative (Provisional Licence)	4, 9
WU Lei	BUS300	Licensed Representative (Provisional Licence)	1
WU Peng	BUU005	Licensed Representative (Provisional Licence)	1, 7
WU Shangjin	BKY371	Licensed Representative (Provisional Licence)	1, 4
WU Showai	BUS364	Licensed Representative (Provisional Licence)	1, 4
WU Xinkun	BUT118	Licensed Representative (Provisional Licence)	4
XIE Yi	ANL073	Licensed Representative (Provisional Licence)	1
XIE Zhicai	BUS645	Licensed Representative (Provisional Licence)	1, 6
XU Kejing	BUS626	Licensed Representative (Provisional Licence)	1, 4, 6
XU Stanford Shaozheng	BQD598	Licensed Representative (Provisional Licence)	1, 2
XU Yilei	BUT397	Licensed Representative (Provisional Licence)	9
YAN Shuling	BUS477	Licensed Representative (Provisional Licence)	1, 4
YANG Rongyu	BUT305	Licensed Representative (Provisional Licence)	1
YANG Runbang	BUT668	Licensed Representative (Provisional Licence)	1, 2
YANG Yi	BUR210	Licensed Representative (Provisional Licence)	4
YANG Yuhao	BUU805	Licensed Representative (Provisional Licence)	6
YAO Shuai	BUU916	Licensed Representative (Provisional Licence)	1
YAU Kin Hoe	BUT285	Licensed Representative (Provisional Licence)	1, 2
YE Peixin	BUT454	Licensed Representative (Provisional Licence)	1, 6
YE Tian	BUS869	Licensed Representative (Provisional Licence)	1, 4, 6
YEUNG Hoi Keung	APM140	Licensed Representative (Provisional Licence)	1, 2
YEUNG Man Chun	BUP970	Licensed Representative (Provisional Licence)	4, 9
YIN Haichen	BUS649	Licensed Representative (Provisional Licence)	6
YIN Yue	BUL482	Licensed Representative (Provisional Licence)	9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2024

CE Name	CE No.	Licence Type	Types of Regulated Activity
YIP Cheuk Kwan	BNG570	Licensed Representative (Provisional Licence)	4, 9
YIP Ka Kam	BTY921	Licensed Representative (Provisional Licence)	1, 9
YOSHIOKA Yasuki	BUR287	Licensed Representative (Provisional Licence)	4
YU Pui Ki	BUS919	Licensed Representative (Provisional Licence)	1
YU Yiming	BUT120	Licensed Representative (Provisional Licence)	4
YUAN Zechen	BUS883	Licensed Representative (Provisional Licence)	1
ZENG Jiaqi	BUT320	Licensed Representative (Provisional Licence)	1
ZHAN Bo	BUS698	Licensed Representative (Provisional Licence)	4
ZHANG Jianing	BUR205	Licensed Representative (Provisional Licence)	1, 6
ZHANG Jingyu	BUT005	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Peiyang	BUS627	Licensed Representative (Provisional Licence)	1, 6
ZHANG Qing	BUA775	Licensed Representative (Provisional Licence)	4
ZHANG Qiulin	BUS264	Licensed Representative (Provisional Licence)	9
ZHANG Shiqi	BUT398	Licensed Representative (Provisional Licence)	9
ZHANG Wenyao	BUT178	Licensed Representative (Provisional Licence)	1, 2, 4, 5
ZHANG Xinmiao	BUS792	Licensed Representative (Provisional Licence)	1, 2
ZHANG Xinyue	BUS938	Licensed Representative (Provisional Licence)	4
ZHANG Xiyan	BUT407	Licensed Representative (Provisional Licence)	1, 2
ZHANG Yifan	BUT470	Licensed Representative (Provisional Licence)	1, 2
ZHANG Yining	BUS293	Licensed Representative (Provisional Licence)	1, 6
ZHAO Jialin	BUU027	Licensed Representative (Provisional Licence)	1, 2
ZHAO Xiaochen	BUU073	Licensed Representative (Provisional Licence)	9
ZHAO Yingrui	BUS444	Licensed Representative (Provisional Licence)	1, 4
ZHENG Zelin	BNC343	Licensed Representative (Provisional Licence)	1, 2
ZHOU Chao	BUU047	Licensed Representative (Provisional Licence)	1, 2
ZHOU Yifan	BUQ490	Licensed Representative (Provisional Licence)	1, 6
ZHU Chenyue	BUS914	Licensed Representative (Provisional Licence)	1, 6
ZHU Junyu	BUS879	Licensed Representative (Provisional Licence)	1, 4, 6
ZHU Yichen	BUT944	Licensed Representative (Provisional Licence)	1, 2, 9
ZU Zongjun	BUV133	Licensed Representative (Provisional Licence)	1, 4, 9
ADAMS David Steven	BUI281	Licensed Representative (Temporary Licence)	4
AGRAWAL Vivek	BUB301	Licensed Representative (Temporary Licence)	4
ANG Kai Wei	BUV207	Licensed Representative (Temporary Licence)	4
ATKINS Edward Anthony James	BGH004	Licensed Representative (Temporary Licence)	1, 2
BATES Aaron Michael	BUV606	Licensed Representative (Temporary Licence)	4
BELL Craig William	BTL310	Licensed Representative (Temporary Licence)	4
BERLIA Rajiv	BTN214	Licensed Representative (Temporary Licence)	4
BETTINI Damir	BUV198	Licensed Representative (Temporary Licence)	1
BILLOT Philippe Henri Pierre	BUU926	Licensed Representative (Temporary Licence)	1
BIRMINGHAM John McElroy	BUV273	Licensed Representative (Temporary Licence)	1
CARRELL Dorian William	BUV374	Licensed Representative (Temporary Licence)	1
CARTWRIGHT Edward Ian	BDC766	Licensed Representative (Temporary Licence)	1
CARUSO Claudio	BUG430	Licensed Representative (Temporary Licence)	1, 4
CHOI Celine	BRN861	Licensed Representative (Temporary Licence)	1, 2
CHOI Gowoon	BJY957	Licensed Representative (Temporary Licence)	4
CHUANG Zhi Wei Farhan	BPE144	Licensed Representative (Temporary Licence)	1
CLARINGBULL Daniel Charles	BUO821	Licensed Representative (Temporary Licence)	1
CORNELL Emily Lawless	BOJ674	Licensed Representative (Temporary Licence)	1
DAI Wei	BOL570	Licensed Representative (Temporary Licence)	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2024

CE Name	CE No.	Licence Type	Types of Regulated Activity
DE CONINCK-LOPEZ Cathrine	BUF609	Licensed Representative (Temporary Licence)	1, 4
DE JAMES Yrieix Bertrand Marie	BUU968	Licensed Representative (Temporary Licence)	4
DENG Jingting	BIC489	Licensed Representative (Temporary Licence)	4
DILKES Ross Peter	ASH948	Licensed Representative (Temporary Licence)	1, 4
DISTENFELD Gershon M	BAX714	Licensed Representative (Temporary Licence)	4
DUTTA Sabyasachi	BTM283	Licensed Representative (Temporary Licence)	1, 4
DUTTA Sabyasachi	BTM283	Licensed Representative (Temporary Licence)	1, 4
EKBLOM Cedar Bronwen	BUV677	Licensed Representative (Temporary Licence)	4
ENDERLEIN Carl Dirk	BIN855	Licensed Representative (Temporary Licence)	1, 4
ENOMOTO Takashi	BAZ545	Licensed Representative (Temporary Licence)	4
FIN Derek Dragon	BTQ575	Licensed Representative (Temporary Licence)	4
FU Weimeng	BTR972	Licensed Representative (Temporary Licence)	2, 5
GAO Lu	ATB718	Licensed Representative (Temporary Licence)	1, 4
GERSHUNI Zalman Shneur	BHC233	Licensed Representative (Temporary Licence)	4
GOYAL Surendra Kumar	BEO301	Licensed Representative (Temporary Licence)	4
GUBNER Adam Lance	BOZ764	Licensed Representative (Temporary Licence)	1, 4
GUPTA Abhay	BUC126	Licensed Representative (Temporary Licence)	4
GUPTA Sachin	BUW175	Licensed Representative (Temporary Licence)	1, 4
HANDA Saurabh	BFA991	Licensed Representative (Temporary Licence)	4
HENDRIKSE Harald Christiaan	BEV944	Licensed Representative (Temporary Licence)	4
HILTABRAND David Blake	BLV357	Licensed Representative (Temporary Licence)	1, 4
HINDOCHA Raj Kumar	BOL529	Licensed Representative (Temporary Licence)	4
HOTTA Kenjin Tom	AZV542	Licensed Representative (Temporary Licence)	4
HSU Huai-Tse	BEJ492	Licensed Representative (Temporary Licence)	2, 5
HULSE Eli Brooks	BTF773	Licensed Representative (Temporary Licence)	1
HUMPHRIES J Brent	BTF636	Licensed Representative (Temporary Licence)	4
HUNG Jen-Yi	BTT743	Licensed Representative (Temporary Licence)	1
JAIN Vivek Pawankumar	AYE976	Licensed Representative (Temporary Licence)	1, 2
JI Jiechao	BQB230	Licensed Representative (Temporary Licence)	4
KANDAVEL Vishnu Vardhanan	BUV213	Licensed Representative (Temporary Licence)	1, 2
KANG Sion	BUV647	Licensed Representative (Temporary Licence)	4
KERGALL Boris Xavier Marie	BUV381	Licensed Representative (Temporary Licence)	1, 4
KIM Myoungjoo	BTO393	Licensed Representative (Temporary Licence)	4
KIM Sang Uk	BUM187	Licensed Representative (Temporary Licence)	4
KIM Tae-Woon	BCO962	Licensed Representative (Temporary Licence)	1
KOCH Bradley Stuart	APY539	Licensed Representative (Temporary Licence)	1, 2
KOH Ying Wei	BUU731	Licensed Representative (Temporary Licence)	1, 2
KONRAD Samuel	BUQ166	Licensed Representative (Temporary Licence)	1
LESTER Sarah Elizabeth	BUU063	Licensed Representative (Temporary Licence)	4
LIN Tung-lung	ANY126	Licensed Representative (Temporary Licence)	1
MA Qingliu	BUT417	Licensed Representative (Temporary Licence)	4
MAEKAWA Misaki	BUV744	Licensed Representative (Temporary Licence)	1, 2
MANKUDE BHASKER RAO Ravi Kiran	ANK084	Licensed Representative (Temporary Licence)	1
MC CANN Damien	BUU929	Licensed Representative (Temporary Licence)	1
MCBAIN Anita Daisy	BUV565	Licensed Representative (Temporary Licence)	4
MEANY William Anthony	BUV236	Licensed Representative (Temporary Licence)	1
MISH Matthew Joseph	AYT895	Licensed Representative (Temporary Licence)	4
MITCHELL Paul Stuart	BTK787	Licensed Representative (Temporary Licence)	1, 4
NOVERDANIUS Handy	BTZ220	Licensed Representative (Temporary Licence)	4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2024

CE Name	CE No.	License Type	Types of Regulated Activity
PERRY James Duncan	BTR837	Licensed Representative (Temporary Licence)	1
PINEDA Arthur San Diego	AGL866	Licensed Representative (Temporary Licence)	4
RANASINGHE Dushan Hasitha	BTE017	Licensed Representative (Temporary Licence)	1, 2
REUTER Clemens	BGL208	Licensed Representative (Temporary Licence)	1, 4
RYAN Alastair William	BAJ168	Licensed Representative (Temporary Licence)	4
SAFFERY Frank Trcek	BTQ103	Licensed Representative (Temporary Licence)	1
SAKAGAMI Ryota	AWO794	Licensed Representative (Temporary Licence)	4
SATO Wakako	BB1874	Licensed Representative (Temporary Licence)	4
SCHNEIDER Horst Bernd	BUV206	Licensed Representative (Temporary Licence)	4
SCOTT Andrew Geoffrey	BBE397	Licensed Representative (Temporary Licence)	4
SEOW Samuel Liang Jun	BTY713	Licensed Representative (Temporary Licence)	4
SINHA Shannon Jessye	BUV391	Licensed Representative (Temporary Licence)	4
SOGI Miki	BUT643	Licensed Representative (Temporary Licence)	4
SOLCA Luca Giuseppe	AUT772	Licensed Representative (Temporary Licence)	4
SOMAN Aditya Ramchandra	BUV313	Licensed Representative (Temporary Licence)	4
SONI Archit	BUW331	Licensed Representative (Temporary Licence)	1
SOO Shusei Rick	BTU840	Licensed Representative (Temporary Licence)	1
SPEETJENS Richard Petrus Elisabeth Gerardus	BFD237	Licensed Representative (Temporary Licence)	1
TAN Yong Hong	BTX517	Licensed Representative (Temporary Licence)	4
TAY Ling Yin	BUU925	Licensed Representative (Temporary Licence)	1, 2
TEASDEL Joel Hamish Gregory John	BOL757	Licensed Representative (Temporary Licence)	1
TIWARI Atul Kumar	BDT253	Licensed Representative (Temporary Licence)	4
TNG Louis Yixu	BUA540	Licensed Representative (Temporary Licence)	1
TOH Shikai	BF1045	Licensed Representative (Temporary Licence)	1, 2
TSE Terry Michael	ANQ381	Licensed Representative (Temporary Licence)	1
TSU-JONES Beatrice Josephine	BUQ458	Licensed Representative (Temporary Licence)	1
VAN RIEMSDIJK Adrianus Gijsbertus	BUF742	Licensed Representative (Temporary Licence)	1, 4
WANG Hao	BUT002	Licensed Representative (Temporary Licence)	4
WANG Yue	BJD659	Licensed Representative (Temporary Licence)	10
WHITE Joshua Campbell	BUV466	Licensed Representative (Temporary Licence)	1
WILMINK Jannis	BUV085	Licensed Representative (Temporary Licence)	1, 6
WU Pingyue	BUT062	Licensed Representative (Temporary Licence)	4
XIAO Hao	BUT004	Licensed Representative (Temporary Licence)	4
YAMADA Shusuke	BJG468	Licensed Representative (Temporary Licence)	4
YAMAMOTO Naoki	BKM294	Licensed Representative (Temporary Licence)	1
YAMAMURA Junko	BES915	Licensed Representative (Temporary Licence)	4
YU In Cheol	BLU691	Licensed Representative (Temporary Licence)	4
ZHONG Tiantian	AZB127	Licensed Representative (Temporary Licence)	1
ZHOU Yunfeng	BUV611	Licensed Representative (Temporary Licence)	4
National Australia Bank Limited	AAO169	Registered Institution	1, 4