

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity
Beyond Hong Kong Limited	AYD086	Licensed Corporation	9
China Guard Investments Limited	ADZ395	Licensed Corporation	1
Fair Eagle Futures Company Limited	AAX409	Licensed Corporation	2, 5
MIB Futures (Hong Kong) Limited	ABH686	Licensed Corporation	2, 5
Ontario Teachers' Pension Plan (Asia) Limited	BAV655	Licensed Corporation	1, 4, 9
SMBC Capital Markets (Asia) Limited - SMBC Capital Markets (Asia) Limited (AVA667) and SMBC Nikko Securities (Hong Kong) Limited (ACO507) amalgamated on 19 March 2026, pursuant to the relevant provisions of the Companies Ordinance (Chapter 622). The amalgamated company is SMBC Nikko Securities (Hong Kong) Limited (BWU838).	AVA667	Licensed Corporation	1, 2
SMBC Nikko Securities (Hong Kong) Limited - SMBC Nikko Securities (Hong Kong) Limited (ACO507) and SMBC Capital Markets (Asia) Limited (AVA667) amalgamated on 19 March 2026, pursuant to the relevant provisions of the Companies Ordinance (Chapter 622). The amalgamated company is SMBC Nikko Securities (Hong Kong) Limited (BWU838).	ACO507	Licensed Corporation	1, 2, 4, 5, 6
Tai Ning Stock Company Limited	AEK991	Licensed Corporation	1
Taiping Capital Limited	BAR595	Licensed Corporation	6
Techno Horizon Limited	BRA525	Licensed Corporation	4, 9
AU Chiu Fai	BOQ097	Licensed Representative	1
BADALIA Rikhit	BNF533	Licensed Representative	1, 6
BAGAN Joanne Fredell	BNU356	Licensed Representative	1
BAI Qing	BTR070	Licensed Representative	1, 6
CAI Yibo	BCN610	Licensed Representative	1, 4
CAO Chao	BVH841	Licensed Representative	4, 5
CAO Chaoyu	BHQ071	Licensed Representative	1, 4
CAO Leina	BVG095	Licensed Representative	4
CHADHA Prashant	BGQ083	Licensed Representative	9
CHAN Fu Kei	BWN831	Licensed Representative	1, 2
CHAN Kar Kit, Eric	AQY521	Licensed Representative	1, 4
CHAN Kin Wai Philip	AGU694	Licensed Representative	1, 4
CHAN King Wo Daniel	BPU210	Licensed Representative	1, 4
CHAN Lok Kwan	BQC028	Licensed Representative	1, 4
CHAN Man Chung	BUV989	Licensed Representative	1
CHAN Man Yee	APV535	Licensed Representative	1, 2, 9
CHAN SAU YING	BVH208	Licensed Representative	13
CHAN Shuet Sum, Isabella	AMU671	Licensed Representative	1, 4
CHAN Siu Ching	AEF334	Licensed Representative	1
CHAN Vai Ha, Janet	AAA111	Licensed Representative	1
CHAN WAI KUEN	BUL502	Licensed Representative	1
CHAN Wai Lin	AGM412	Licensed Representative	1
CHAN Yik Lam	BFE179	Licensed Representative	1, 4
CHANG Ho Wo	BRN806	Licensed Representative	6
CHANG Kai-Tong	BKY236	Licensed Representative	1, 6
CHANG Li Man, Kimmy	ADR026	Licensed Representative	1, 4, 9
CHANG Mingyu	BER412	Licensed Representative	1, 4, 9
CHANG Xinyi	BTM777	Licensed Representative	4
CHAPMAN Peter Alexander	BQQ365	Licensed Representative	1, 6
CHEN Bing-Hai Christopher	BGG639	Licensed Representative	1, 2, 9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHEN Changli	BUE172	Licensed Representative	1, 4
CHEN Fengyuan	BRO768	Licensed Representative	4, 9
CHEN Jieyi	BPC480	Licensed Representative	1, 2, 4
CHEN Lei	BWB140	Licensed Representative	1, 6
CHEN Li Jing	BVH574	Licensed Representative	13
CHEN Shiyou	BUO679	Licensed Representative	1, 4, 6
CHEN Xi	BFZ506	Licensed Representative	1, 4
CHEN Xiang	BRR785	Licensed Representative	1, 6
CHEN Yanhong	BVN909	Licensed Representative	1, 4, 9
CHEN Yuan	ARA235	Licensed Representative	1, 2
CHENG Jiakang	BVO025	Licensed Representative	1, 4, 9
CHENG Yingying	BUV020	Licensed Representative	1, 2, 4
CHEUNG Ah Lai Peggy	ASD085	Licensed Representative	1
CHEUNG Wai Kay	AEK987	Licensed Representative	1
CHEUNG Yim Fan	BTW497	Licensed Representative	1, 4, 9
CHIENG Yu Kean	AJO390	Licensed Representative	1, 4, 9
CHINEN Yuki	BTN209	Licensed Representative	1
CHOE Aram	BPX930	Licensed Representative	1, 4
CHOI HyunJoon	BWY370	Licensed Representative	1, 4, 6
CHOI Ka Yin Jimmy	BMV788	Licensed Representative	4, 9
CHOI Wai Yi	BVU887	Licensed Representative	1, 2, 4
CHOI Young Ah	BTZ856	Licensed Representative	1, 4
CHOW Hiu Ying	BTX601	Licensed Representative	1, 4
CHU Wai Luen	AHJ402	Licensed Representative	1
CHUM Po San	AQE973	Licensed Representative	1
CHUN Ming Hang Alex	BEC934	Licensed Representative	1, 6
CHUNG Jaewon	AXE001	Licensed Representative	1, 6
CHUNG Wai Pan	BBQ344	Licensed Representative	1, 7
CUI Hong	BUB081	Licensed Representative	4
CUI Xiwen	BVI249	Licensed Representative	1, 2
DENG Qiao	BKC621	Licensed Representative	1, 4
DING Michael B	BEC499	Licensed Representative	4, 9
DING Xiaoxi	BGV491	Licensed Representative	1
DONG Fanghu	BNH846	Licensed Representative	1, 4
DONG Fengqiao	BJS141	Licensed Representative	4, 9
DONG Yunjia	BTX837	Licensed Representative	4
DU Qifei	BSL767	Licensed Representative	1, 4
DU XIAOAN	BRF103	Licensed Representative	4, 9
DU Xinnan	BVD797	Licensed Representative	1, 2
DUAN Junyu	BVF904	Licensed Representative	1, 4, 6
FAN Yu	BWH881	Licensed Representative	1
FAN Yunfan	BQZ843	Licensed Representative	1, 4
FANG Hao	BVE981	Licensed Representative	4, 9
FANG Yuan	BPF453	Licensed Representative	9
FENG Li	BFN011	Licensed Representative	1, 4, 9
FENG Yang	BRH670	Licensed Representative	1, 6
FOK Chi Cheung, Allen	AXZ088	Licensed Representative	1
FONG Ho Yeung Edward	AFC239	Licensed Representative	1, 2
FONG Tsz Sin	BBU625	Licensed Representative	1, 4, 9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity
FOO Justin Jie Hao	BUK579	Licensed Representative	9
FU Yifan	BUC703	Licensed Representative	4
FUKUO Yoshihiro	BTS960	Licensed Representative	1, 4
FUNG Yuk Wa	AAE288	Licensed Representative	1
GAO Guangwei	BVL218	Licensed Representative	1, 6
GAO Guoqiang	BVW729	Licensed Representative	1
GAO Wen	BHR562	Licensed Representative	4
GE Tianyuan	BUA521	Licensed Representative	1, 4
GILL Andrew Owen	BVW702	Licensed Representative	1
GONG Mingzhu	BXF469	Licensed Representative	4, 9
GROB Patrick	BVO393	Licensed Representative	1, 2, 7
GUO Yifei	BTZ055	Licensed Representative	1, 2
GUO Zhiqi	BVW983	Licensed Representative	1, 4, 6
GUPTA Puneet	AXF254	Licensed Representative	1, 2
HE JIAJIE	BWK426	Licensed Representative	1, 4
HEARNE Kevin	BDI769	Licensed Representative	1
HERGESHEIMER Niklaus Charles	BFN303	Licensed Representative	1, 4
HO Choon Leng, Patrick	AMY851	Licensed Representative	1, 4, 9
HO Huen Pui	BPQ372	Licensed Representative	1
HO Kenneth Kar-hin	BOG656	Licensed Representative	6
HO Kwun Tat	ASD281	Licensed Representative	1
HO Yin	AOG767	Licensed Representative	1
HO Yuen Wah	BVL047	Licensed Representative	1, 2
HOU JINING	BTC706	Licensed Representative	9
HSIEH Siou-Ping	AUR646	Licensed Representative	1
HSU Tung Yuan	ADY557	Licensed Representative	1, 4
HU JIXIANG	BVC482	Licensed Representative	4, 9
HU Shazhou	BAO735	Licensed Representative	1, 2, 4, 9
HU Tengfeng	BR0696	Licensed Representative	1, 6
HU Yinghui	BPJ376	Licensed Representative	4, 9
HUANG He	BTX834	Licensed Representative	4
HUANG Shuchen	BXF653	Licensed Representative	1, 4, 6
HUANG Weiran	BSU583	Licensed Representative	1, 6
HUANG Xingyue	BWQ964	Licensed Representative	9
HUANG Yan-cheng	BQQ642	Licensed Representative	1
HUANG Yu Lin	BNB814	Licensed Representative	1
HUNG Ka Him, Johannes	BRB331	Licensed Representative	1
HWANG Youn Sang	BRF548	Licensed Representative	9
IP Andrea Victoria	AUZ168	Licensed Representative	1
JACOBS Michael Lorren	BRF677	Licensed Representative	1, 2, 4
JANG Jae Hee	BQG804	Licensed Representative	1, 4, 9
JATURANPINYO Jate	BVI212	Licensed Representative	4
JEONG Yun Gi	BWD165	Licensed Representative	1, 4
JI Jiayi	BUK373	Licensed Representative	1
JIANG Lai	BTF775	Licensed Representative	6
JIANG Xiaobin	BVS939	Licensed Representative	1, 6
JIANG Yongzhi	AUR305	Licensed Representative	9
JIN Yumou	BTU962	Licensed Representative	1, 2
JOHNSON Glynis Mary	BWH107	Licensed Representative	4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2026

CE Name	CE No.	Licence Type	Types of Regulated Acitivity
KANESHIGE Hirou	ARD681	Licensed Representative	1, 4
KANG Kai	BTO670	Licensed Representative	4
KIM Calvin	BEG037	Licensed Representative	1, 4, 9
KIM Chi Hyun	AXF445	Licensed Representative	9
KIM Minjeong	BTU934	Licensed Representative	1, 4, 9
KIM Nam Seok	BRH458	Licensed Representative	1, 4
KOBAYASHI Daiiki	BSJ684	Licensed Representative	4
KONG Hoi Ming	BPM578	Licensed Representative	1, 2, 4
KONG Wen	BTX836	Licensed Representative	4
KUBOTA Keitaro	BTG950	Licensed Representative	1
KWAN Kit Yan, Anderson	AUX006	Licensed Representative	1, 4
KWAN Wai Fong	AEW833	Licensed Representative	1
KWOK Tsz Wan	BNL412	Licensed Representative	1, 2
KWOK Wang Hei	BGN049	Licensed Representative	1, 4, 9
LACAN Maxime Anthony Gregory	BIW478	Licensed Representative	2
LAI CHING HEI, HAMISH	BWB007	Licensed Representative	1, 4, 9
LAI Kam Hung	BGE016	Licensed Representative	10
LAI Man Ling	AWX953	Licensed Representative	10
LAI On Kee	BUW302	Licensed Representative	1
LAM Hiu Lun	BCT512	Licensed Representative	1, 4
LAM Jason Chee-hin	BIR280	Licensed Representative	4
LAM Kwun On, Carolina	AYM431	Licensed Representative	1
LAM Sung Wai	BGO451	Licensed Representative	1, 4
LAM Yik Hei	BVG904	Licensed Representative	1, 6
LAM Yuet Ling	AEZ725	Licensed Representative	1
LATHER Manik	ATQ944	Licensed Representative	1, 9
LAU Chi Yee, Loretta	BEN870	Licensed Representative	1
LAU Chin Wah Teresa	BSH120	Licensed Representative	1, 2
LAU Wai Yip	ANQ368	Licensed Representative	1
LAU Yiu Kee	AVT519	Licensed Representative	1
LAW Tiffany Li Tong	BWF024	Licensed Representative	1, 4, 9
LAW Wai Sen	AGP603	Licensed Representative	1
LEE Chi Hang, Henry	BKG199	Licensed Representative	1
LEE Chin Choi	AMB639	Licensed Representative	1, 4
LEE Jae Young	BNU375	Licensed Representative	2, 5
LEE Kun Ho	AWQ423	Licensed Representative	9
LEE Mei Kwan	AJH409	Licensed Representative	1
LEE Si Nae	BBJ360	Licensed Representative	10
LEE Wing Shan	BRP945	Licensed Representative	1, 4
LEE Yukong	BWS286	Licensed Representative	1, 6
LEUNG Hoi Kit	BNH059	Licensed Representative	1, 4, 9
LEUNG Jeff Man-jit	BUB978	Licensed Representative	1, 2
LEUNG Tsz Mei	BVC511	Licensed Representative	9
LEUNG Wai Chung	APD897	Licensed Representative	1
LI Chuan	BVI130	Licensed Representative	1, 4
LI Chun Man	BHP937	Licensed Representative	6
LI Dongdong	BRO857	Licensed Representative	1, 6
LI Haijiao	BWR538	Licensed Representative	1, 4, 6
LI Haoyuan	BVF834	Licensed Representative	1, 4, 9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity
LI Ka Kit	APJ691	Licensed Representative	1, 2
LI Ke	BTM325	Licensed Representative	1, 6
LI Keyang	BMD878	Licensed Representative	1, 4
LI Lei	AUG807	Licensed Representative	4, 9
LI LOK WA	BUG621	Licensed Representative	1, 6
LI Luming	BRK531	Licensed Representative	1, 6
LI Meichen	BUI814	Licensed Representative	1, 4, 6
LI Mengyu	BSW196	Licensed Representative	4, 6
LI Peter Yunhe	BRV794	Licensed Representative	9
LI Sihui	BTM574	Licensed Representative	1, 4, 9
LI Tingting	ARI573	Licensed Representative	4
LI Wei Hsun	BOP468	Licensed Representative	1, 4, 9
LI WEIZHENG	BUE037	Licensed Representative	1, 6
LI Xinyuan	BST479	Licensed Representative	1, 4, 6
LI Xueji	BVS529	Licensed Representative	10
LI Yanyu	BUD549	Licensed Representative	4
LI Yingying	BUK364	Licensed Representative	1, 4
LIANG Chulei	BNE526	Licensed Representative	1, 4, 6
LIM Kit Yng	APA092	Licensed Representative	1
LIN Jing	AZQ419	Licensed Representative	1, 4, 9
LIN Pin-Syuan	BVL117	Licensed Representative	1, 2, 4, 9
LIN Shizhan	BRZ799	Licensed Representative	1, 2, 4
LIN Zhongxin	BQW103	Licensed Representative	9
LIU Andrew Jian	BGD853	Licensed Representative	1, 4
LIU Congying	BWR083	Licensed Representative	4
LIU Dingding	BVK664	Licensed Representative	9
LIU Li	AVR897	Licensed Representative	1
LIU Lilun	BWL880	Licensed Representative	1
LIU Shangyu	BQU687	Licensed Representative	1, 6
LIU Siqi	BJC916	Licensed Representative	1, 6
LIU Yuqi	BTM952	Licensed Representative	1, 6
LO Chi Keung	AFT940	Licensed Representative	4, 9
LO Yee Kei	BVH751	Licensed Representative	13
LO Yuen Fong	AOS067	Licensed Representative	1
LOH Soo Peng	BSH973	Licensed Representative	4
LOKE Choo Li	BMT255	Licensed Representative	1, 4, 9
LU Chen	AZS333	Licensed Representative	9
LU Jiayi	BEZ119	Licensed Representative	1
LU Kun	BRH387	Licensed Representative	1, 6
LUI Kin Ming	BVG848	Licensed Representative	13
LUI Lui	BAI190	Licensed Representative	9
MA Andrea	AYQ422	Licensed Representative	1
MA Chun Hang Henry	BNL143	Licensed Representative	1, 4
MA Dong	BIJ547	Licensed Representative	4, 9
MA Ken Hoe	BPL746	Licensed Representative	1, 2, 4, 5, 9
MA Ruosi	BKM256	Licensed Representative	1
MA Yu Xiang	AVL434	Licensed Representative	4
MAHAPATRA Amadika	BWV280	Licensed Representative	9
MAK Chi Shan, Flora	AIR523	Licensed Representative	1, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity
MAK Kit Ying Winnie	BOM066	Licensed Representative	1
MAK Lai Mun	ACI901	Licensed Representative	1
MAK Wing Lung	BRA883	Licensed Representative	1, 4
MANI Praveen Ayyappa	AUV603	Licensed Representative	9
MAO Dizhou	BLE223	Licensed Representative	1
MATSUSHITA Go	BUY208	Licensed Representative	1, 4, 6
MCKIRDY Euan Andrew	BTO740	Licensed Representative	4, 9
MIKALONYTE Laura	BQL126	Licensed Representative	1
MIZUKAMI Kaito	BVZ646	Licensed Representative	1, 4, 6
MO Wanpin	BSI925	Licensed Representative	1, 4
MOK Kwan Yu	AWO387	Licensed Representative	1, 2
MOK Man Ling	BTX607	Licensed Representative	4, 9
MOK Siu Yin	BBS891	Licensed Representative	1, 2, 3
MORIKUNI Yu	BVH513	Licensed Representative	1, 4, 9
MUNG Siu Cho	ADA379	Licensed Representative	1
NG Ho Yi	BUB797	Licensed Representative	1, 2, 4, 9
NG Tsz Wing	BOI100	Licensed Representative	1, 6
NIP Wai Man	BUN527	Licensed Representative	9
OH Sun-Oh	BWY897	Licensed Representative	1, 6
OHNUKI Duknam	BPA338	Licensed Representative	1, 4
OR Pui Shan	BST383	Licensed Representative	1, 2, 4
PAN Lincoln Lin Feng	AVM573	Licensed Representative	9
PAN Yeliang	BSX601	Licensed Representative	9
PAN Ying	BEQ552	Licensed Representative	1
PANG Ling	BUW299	Licensed Representative	1
PANG WAI MING VICKY	BTR298	Licensed Representative	1
PANSARI Vaibhav	BVX719	Licensed Representative	1, 4
PANTONE Nicola	ANT913	Licensed Representative	1, 2, 7
PARK Hye Jin	BDD041	Licensed Representative	1
QI Wenjing	BQT185	Licensed Representative	1
QIAO Chu	BWI542	Licensed Representative	4
QIAO Xiaowei	BGQ506	Licensed Representative	1, 6
QIN Shihan	BXN313	Licensed Representative	1, 4
QIU Zhengxu	BVS882	Licensed Representative	1
RAJA ALI Raja Nur Aqilah	BUC638	Licensed Representative	4
REN Zhen	BVA999	Licensed Representative	1
ROBERTS Jordan	BNP529	Licensed Representative	1, 2
ROGERS James William	AQZ910	Licensed Representative	1, 2
SCOTT Jeffrey David	BWK237	Licensed Representative	1
SEKAR Ashok Narsimhan	AVA502	Licensed Representative	1, 4, 9
SHAH Nishant Krishnakumar	BOS833	Licensed Representative	9
SHAN Junbao	ALG506	Licensed Representative	1
SHANG Erkang	BVL079	Licensed Representative	1, 4
SHANG Qian	BGC151	Licensed Representative	1, 4, 9
SHE Pat Hong	BRW769	Licensed Representative	1, 4
SHEK Ting Man	BJC694	Licensed Representative	1, 4
SHEK Wing Sum	BVG924	Licensed Representative	13
SIN Yiu Lun	BTM279	Licensed Representative	4, 9
SO Ka	AXK067	Licensed Representative	4

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CE Name	CE No.	Licence Type	Types of Regulated Acitivity
SO Pak Hei, Ciaran	BRI467	Licensed Representative	1, 2, 4, 5, 7
SO Yuen Yin	AQF067	Licensed Representative	1, 4
SONG Wenhui	BRH663	Licensed Representative	1, 4
SPARROW Ji Hyun	AFI800	Licensed Representative	9
SUDHINDRAN Pavitra	BGM452	Licensed Representative	1, 4
SUEN Ting Sze	BSL559	Licensed Representative	1
SUN Xuran	BTX841	Licensed Representative	4
SUN Zexia	BTY224	Licensed Representative	1, 6
TAKAGI Naomi	AWU656	Licensed Representative	4
TAKAHASHI Hiroki	BOI205	Licensed Representative	1, 4, 6
TAN Mei Yan Joanna	BSO568	Licensed Representative	6
TANG Chi Kwan, Veronica	BOG443	Licensed Representative	9
TANG Irene Ailin	BVZ930	Licensed Representative	9
TANG Kai Wing	BAB779	Licensed Representative	4
TANG Minzu	BFY657	Licensed Representative	6
TANG Mo Wai, Raymond	BJG784	Licensed Representative	1
TANG Siu Yee Connie	AWJ624	Licensed Representative	1
TANG Stanley Chak Wai	BIV794	Licensed Representative	2
TANG Yin hao	BVY638	Licensed Representative	4
TANG Yu Hin	BWB197	Licensed Representative	1
TENG Ming	BWN304	Licensed Representative	1, 7
THARM Seet Yeow	AZC895	Licensed Representative	1, 4
THONG Wai Meng Damian	ANC081	Licensed Representative	4
TO Mei	BAD902	Licensed Representative	1, 6
TONG Siu Kwan	BSL561	Licensed Representative	1
TOPPING Matthew George Peter	BAO907	Licensed Representative	2
TSANG To	BUC162	Licensed Representative	4
TSANG Wai Ho	BVH014	Licensed Representative	1, 4
TSANG Wing Keung	ABS577	Licensed Representative	1
TSE Pui Kei	BCX238	Licensed Representative	1, 4, 6
TSE Tai Shun, Sandy	ADN355	Licensed Representative	1
UBILLUS Andrea Cecilia	BTK510	Licensed Representative	1
VADUKUL Nishad	APA700	Licensed Representative	1, 4
WAN Po Wing, Paul	APC277	Licensed Representative	9
WAN Rachel	BVW123	Licensed Representative	1
WANG Chao	BRH978	Licensed Representative	1, 6
WANG Cheng	APD923	Licensed Representative	1, 6
WANG Chenyan	BNS566	Licensed Representative	1, 4, 9
WANG Chuqiao	BRV810	Licensed Representative	1, 6
WANG Guofan	BHU040	Licensed Representative	1, 4, 9
WANG Haoyi	BUC708	Licensed Representative	1, 6
WANG Jiahuan	BLK367	Licensed Representative	4, 9
WANG Junyi	BUM384	Licensed Representative	4, 9
WANG Lei	BVZ191	Licensed Representative	1, 4
WANG Mengkai	BIR351	Licensed Representative	4
WANG Pei	AWW233	Licensed Representative	9
WANG Sui	BFT362	Licensed Representative	1, 4, 9
WANG Yifei	BPV766	Licensed Representative	1, 4
WANG Yue	BUD422	Licensed Representative	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2026

CE Name	CE No.	Licence Type	Types of Regulated Acitivity
WANG Zhao	APP207	Licensed Representative	1, 6
WANG Zihan	BWY514	Licensed Representative	1, 6
WEN Dafu	BMC503	Licensed Representative	1, 6
WONG Cheuk Hin	BRA887	Licensed Representative	4, 9
WONG Chi Ming	ANN089	Licensed Representative	4
WONG Chi Shing	BKP658	Licensed Representative	1, 4, 9
WONG Chi Yui Geoffrey	BSL562	Licensed Representative	1
WONG Chun Tat	BOL059	Licensed Representative	1, 6
WONG Hang Sze	BOO309	Licensed Representative	1
WONG Hee Man	AAC743	Licensed Representative	1, 2
WONG KA WAI CLARIE	BUU857	Licensed Representative	1
WONG Lai Wan	BJP486	Licensed Representative	1
WONG Man Ting, Dixon	BVH145	Licensed Representative	13
WONG Ming Po	BRF089	Licensed Representative	1, 4
WONG Mung Ni	BSY871	Licensed Representative	1, 4, 6
WONG Raymond	AND433	Licensed Representative	1
WONG Wan Shan	APS290	Licensed Representative	1, 2
WONG Wing Shan	BUR052	Licensed Representative	1, 4
WONG Yue Tak	BSZ506	Licensed Representative	4, 9
WONG Yuk Man	BUT531	Licensed Representative	1, 4
WU Kai Chiu	BLM743	Licensed Representative	9
WU Man Him	BGQ019	Licensed Representative	1, 6
WU Pan	BUC603	Licensed Representative	1, 4
WU Qixuan	BRO255	Licensed Representative	1, 4, 6
WU Tianchi	BSR660	Licensed Representative	1, 6
WU Warren Charles	AUZ061	Licensed Representative	6
WU Yuxuan	BSE352	Licensed Representative	1, 6
XIA Peter Bin	BVF209	Licensed Representative	9
XIANG Mengmeng	BRY588	Licensed Representative	1, 4
XIAO Yang	AOR177	Licensed Representative	1, 2
XIE Meiqi	BHE018	Licensed Representative	1, 4
XING Maosheng	AKJ354	Licensed Representative	1, 2
XU Jiahong	BWE184	Licensed Representative	4, 9
XU Junjie	BNL583	Licensed Representative	9
XU Lei Te	BQN315	Licensed Representative	9
XU Qing	BCS290	Licensed Representative	9
XU Shikun	BVU302	Licensed Representative	1, 6
XU Wenyun	BKE808	Licensed Representative	4
XU Xiaowei	BGQ081	Licensed Representative	1, 4
XU Xinwen	BVL350	Licensed Representative	1, 4, 6
XUE Mengqi	BUD191	Licensed Representative	1, 4, 6
YAMAMOTO Takeshi	BRW038	Licensed Representative	1
YANG Jiaqian	BRT142	Licensed Representative	1, 6
YANG SI	BQQ856	Licensed Representative	1
YANG Taiho	BGQ843	Licensed Representative	4, 9
YANG Timothy Tian	BNT024	Licensed Representative	1
YANG Xin	BVK441	Licensed Representative	1, 6
YE Ping	AUV359	Licensed Representative	1, 4, 6
YEONG Zhiyong, Brian	BIM901	Licensed Representative	1, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity
YEUNG Kwok Chung	BRP938	Licensed Representative	1
YI LIQI	BWE534	Licensed Representative	1, 4
YI Shuhan	BUU997	Licensed Representative	1
YING Chengxun	BTI835	Licensed Representative	1, 2, 4
YIP Tin Yan	BSF291	Licensed Representative	9
YIU Chun Ho	BTA243	Licensed Representative	4, 9
YOU Siqi	BWN895	Licensed Representative	9
YU Haonan	BRI798	Licensed Representative	1, 6
YU Ta-Wei	BPS592	Licensed Representative	1, 4, 9
YU Wai Chi, Josephine	AOJ052	Licensed Representative	1
YU Zezi	BUA022	Licensed Representative	1, 6
YUAN Canbiao	BVR882	Licensed Representative	1, 4, 9
YUAN Yaqin	BPK285	Licensed Representative	1
YUEN Choi Wan	ANI752	Licensed Representative	1
YUEN Wan Chun, Ryan	BCK676	Licensed Representative	1
YUNG Wai Chung	BPQ625	Licensed Representative	1
ZHANG Chao	BUT763	Licensed Representative	4
ZHANG Jianing	BUC608	Licensed Representative	1, 6
ZHANG Ke Jia	BSF573	Licensed Representative	1
ZHANG Qianyun	BMU863	Licensed Representative	1, 4
ZHANG Ruizhi	BIY029	Licensed Representative	10
ZHANG Weijian	BUG543	Licensed Representative	1, 7
ZHANG Xiangbing	BGH429	Licensed Representative	9
ZHANG Xiaojun	BCC256	Licensed Representative	1, 4
ZHANG Xingyu	BWK457	Licensed Representative	1
ZHANG Xingyun	AYT719	Licensed Representative	10
ZHANG Yu	BTV282	Licensed Representative	9
ZHANG Yunfeng	BUB218	Licensed Representative	4
ZHANG Ze	BRB322	Licensed Representative	4
ZHANG Zongping	BUI579	Licensed Representative	1, 4, 6
ZHAO Jianan	BHT616	Licensed Representative	1, 4
ZHAO Shuman	BEN427	Licensed Representative	4
ZHAO Xu	BUT383	Licensed Representative	9
ZHAO Xuanhao	BSW331	Licensed Representative	1, 4, 9
ZHAO Yue	BFK717	Licensed Representative	1, 4, 9
ZHENG Ming Yuan	BQS627	Licensed Representative	1, 4, 9
ZHENG Yuguo	BRQ630	Licensed Representative	1, 4
ZHI Jiayin	BRY579	Licensed Representative	1, 4, 6
ZHOU Hang	BRE804	Licensed Representative	4
ZHOU Miaojia	BNU920	Licensed Representative	1, 2, 4
ZHU Gaoming	BSH919	Licensed Representative	1, 2, 4
ZHU Huasheng	BTY740	Licensed Representative	4, 9
ZHU Xuhua	AUK284	Licensed Representative	1, 2, 4
ZHUO Liyu	BVI406	Licensed Representative	1, 4, 6
ARMSTRONG Richard Philip Matthew	AAJ068	Licensed Representative - Responsible Officer	1
BANFIELD Ashley Lauren Nicole	BTZ303	Licensed Representative - Responsible Officer	1, 2
CHAMBOLLE Renaud Dominique Marie	BTY266	Licensed Representative - Responsible Officer	1, 4
CHANG Helen	APC655	Licensed Representative - Responsible Officer	1, 4
CHEN Yufei	AUM509	Licensed Representative - Responsible Officer	4, 9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHEUNG Chung Yuen, Jason	AAY609	Licensed Representative - Responsible Officer	1
CHEUNG Ka Wong, Henry	AEX566	Licensed Representative - Responsible Officer	3
CHEUNG Lai Yee	ATY571	Licensed Representative - Responsible Officer	1, 4, 9
CHEUNG Wai Kay	AEK987	Licensed Representative - Responsible Officer	6
CHIANG Pei Yun	ARF128	Licensed Representative - Responsible Officer	1, 4
CHIU Patrick Kwok Kong	AJG074	Licensed Representative - Responsible Officer	1, 4, 9
CHIU Siu Po, Steve	AAJ831	Licensed Representative - Responsible Officer	1, 4, 9
CHONG Freddy Goman Yu Ting	BGV068	Licensed Representative - Responsible Officer	1, 4, 9
CHUA Siong Kheng	AHH618	Licensed Representative - Responsible Officer	9
CHUN Man Kit, Vic	AWE774	Licensed Representative - Responsible Officer	1, 4, 9
CHUN Richard Kilwan	AGP539	Licensed Representative - Responsible Officer	9
CHUNG Kai Chiu	AAV230	Licensed Representative - Responsible Officer	4, 9
DANCANET Denis Razvan	BVM570	Licensed Representative - Responsible Officer	9
FAN Yingzhi	BWV750	Licensed Representative - Responsible Officer	1, 4, 9
FINKENZELLER Konrad Otto	BNN206	Licensed Representative - Responsible Officer	1, 4
FOURNIER-BARRAND Francois-Alexis Fabien	BLR943	Licensed Representative - Responsible Officer	1, 4
FU Qiang	AZK544	Licensed Representative - Responsible Officer	1, 4, 9
GARECHE Ahcene Saadi	BJK534	Licensed Representative - Responsible Officer	1, 9
GUO Yanming	ARN079	Licensed Representative - Responsible Officer	4, 9
HAGIHIRA Rikiya	BUC461	Licensed Representative - Responsible Officer	1, 4
HARRISON David Martin	AKO500	Licensed Representative - Responsible Officer	1, 2
HASE Takeaki	BII442	Licensed Representative - Responsible Officer	1, 2
HO Sin Hung	BHP299	Licensed Representative - Responsible Officer	1, 4
JIANG Songcheng	BKD806	Licensed Representative - Responsible Officer	4, 9
KIM Jeongnam	ANP211	Licensed Representative - Responsible Officer	1, 4, 9
KRKALO Hrvoje	AJO212	Licensed Representative - Responsible Officer	1, 4, 9
KWAN Angelina Agnes	AEH541	Licensed Representative - Responsible Officer	1, 2
KWOK Chee Kit	ABF210	Licensed Representative - Responsible Officer	1
KWOK Chui Tong, Peggy	AGC360	Licensed Representative - Responsible Officer	1, 4, 9
LAI Albert Wang Kit	BJH312	Licensed Representative - Responsible Officer	1
LAI Chun Kong	ABZ482	Licensed Representative - Responsible Officer	1, 4, 9
LANE Graeme John	AOG216	Licensed Representative - Responsible Officer	1, 4
LAU Siu Lan, Diana	ANJ731	Licensed Representative - Responsible Officer	1, 2, 4, 5, 9
LEE Fanny Yuk Lai	AFX602	Licensed Representative - Responsible Officer	1, 4
LEUNG Hiu Tan	BCE397	Licensed Representative - Responsible Officer	1, 4
LEUNG Hung Piu	AJF234	Licensed Representative - Responsible Officer	9
LI Bin	BPQ645	Licensed Representative - Responsible Officer	4, 9
LI Fung Wang	BRM284	Licensed Representative - Responsible Officer	4, 9
LI Zhuo	BFX716	Licensed Representative - Responsible Officer	4, 9
LIM Kong Yeong	BVE079	Licensed Representative - Responsible Officer	2
LIU Guangming	BDD671	Licensed Representative - Responsible Officer	4, 9
LIU Liyuan	BUY554	Licensed Representative - Responsible Officer	4, 9
LOU Junlong	AZS992	Licensed Representative - Responsible Officer	4, 9
MA David Y	AWF910	Licensed Representative - Responsible Officer	9
MA Hsiao-hung, Alisha	ALZ814	Licensed Representative - Responsible Officer	1, 4, 9
MA Tak Wah	AUD467	Licensed Representative - Responsible Officer	4, 9
MA Wai Shun, Patrick	ABF913	Licensed Representative - Responsible Officer	1, 4, 9
MAO Xuelian	BUX158	Licensed Representative - Responsible Officer	4, 9
MIN Yunan	BWI584	Licensed Representative - Responsible Officer	1, 2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity
NG Yuk Ip, Ada	AEG677	Licensed Representative - Responsible Officer	1, 2, 3, 4
NIZAMI Zubair	AMZ895	Licensed Representative - Responsible Officer	1
PANG Ka Kon, Stephen	ACW359	Licensed Representative - Responsible Officer	1, 4
PARK Yoo Kyung	BUO876	Licensed Representative - Responsible Officer	4, 9
POON Kai Yee	BPB392	Licensed Representative - Responsible Officer	9
RHEE Jung Ho	ARN043	Licensed Representative - Responsible Officer	2, 9
RUDEBECK William Kerr	BOK078	Licensed Representative - Responsible Officer	1
SERFATY Paul Steven	ABD468	Licensed Representative - Responsible Officer	4, 6
SETHI Ishan	BIZ380	Licensed Representative - Responsible Officer	4, 9
SIU Po Ling	BHM512	Licensed Representative - Responsible Officer	6
SONG Qing	BGP258	Licensed Representative - Responsible Officer	1, 4, 9
SPARROW Ji Hyun	AFI800	Licensed Representative - Responsible Officer	1, 4
SUN Haifeng	AJL015	Licensed Representative - Responsible Officer	1, 4
SUN Tong	AVR717	Licensed Representative - Responsible Officer	1, 4, 5, 9
SUNG Siu Hang, Sennen	AOR113	Licensed Representative - Responsible Officer	1, 4, 9
TAI Yiu Kuen, Kevin	AFY190	Licensed Representative - Responsible Officer	1, 4, 9
TAN Gek Hui	AEH583	Licensed Representative - Responsible Officer	10
TAN Yueheng	ACV208	Licensed Representative - Responsible Officer	1, 2, 4, 5, 6, 9
VIMONT Eric Michel Joseph	BPX690	Licensed Representative - Responsible Officer	1, 4, 9
WANG Shuimiao	BUE179	Licensed Representative - Responsible Officer	4, 9
WANG Yu	BTJ413	Licensed Representative - Responsible Officer	7
WENG Alexander I-Chi	AMV312	Licensed Representative - Responsible Officer	1, 6
WONG Chun Ho, Sammy	AKT152	Licensed Representative - Responsible Officer	1, 2, 4, 5
WONG Siu Yung	AAE033	Licensed Representative - Responsible Officer	1, 2
WU Fei	BUN421	Licensed Representative - Responsible Officer	9
WU Wei	BUF816	Licensed Representative - Responsible Officer	1, 4
WU Yihao	BJS962	Licensed Representative - Responsible Officer	1, 4, 9
YANG Gu	AXQ600	Licensed Representative - Responsible Officer	1, 4, 9
YANG Xiang	BUN642	Licensed Representative - Responsible Officer	7
YAO Zhenlei	BDN263	Licensed Representative - Responsible Officer	2, 5
YEUNG Shu Kin, Victor	AOX045	Licensed Representative - Responsible Officer	1, 4, 9
YICK Wai Sze, Vicky	AKX961	Licensed Representative - Responsible Officer	1
YU King Yin	AGP154	Licensed Representative - Responsible Officer	1
ZHANG Cuiyun	BHP116	Licensed Representative - Responsible Officer	4, 9
ZHANG Xiangbing	BGH429	Licensed Representative - Responsible Officer	1, 4
ABE Naoki	BXN769	Licensed Representative (Provisional Licence)	1, 4, 6
AGARWAL Vinayak	BXN717	Licensed Representative (Provisional Licence)	4
ANDRIOT Alexandre Jerome	BXP330	Licensed Representative (Provisional Licence)	1, 9
ARNAVUT Alev Mete	BEJ792	Licensed Representative (Provisional Licence)	1, 9
BAILLIE STRONG Alastair William	BXR655	Licensed Representative (Provisional Licence)	1
BHASIN Harshil	BXT813	Licensed Representative (Provisional Licence)	1
CAI Shixin	BMH732	Licensed Representative (Provisional Licence)	1, 4
CAI Xin	BXT720	Licensed Representative (Provisional Licence)	1, 2
CAO Dan	BKZ053	Licensed Representative (Provisional Licence)	1
CAO Junhao	BXM271	Licensed Representative (Provisional Licence)	4
CHAM Yin Hung	BUX811	Licensed Representative (Provisional Licence)	4, 9
CHAN Hiu Ying Cissy	BXT732	Licensed Representative (Provisional Licence)	1, 4
CHAN Ka Wai	BXP850	Licensed Representative (Provisional Licence)	1, 4
CHAN Shun Hei	BXR789	Licensed Representative (Provisional Licence)	1, 4, 9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHAN Tsz Yeung	BXS365	Licensed Representative (Provisional Licence)	4
CHAN Yan Yu	BXP493	Licensed Representative (Provisional Licence)	1, 4, 6
CHANG CHIEN Ming-Che	BXQ487	Licensed Representative (Provisional Licence)	1
CHANG Jui	BXS087	Licensed Representative (Provisional Licence)	1, 4
CHANG Xinyuan	BXR853	Licensed Representative (Provisional Licence)	6
CHEN Liangyuan	BXR551	Licensed Representative (Provisional Licence)	1
CHEN Lishun	BWR871	Licensed Representative (Provisional Licence)	1, 4, 9
CHEN Ping	BXG906	Licensed Representative (Provisional Licence)	4, 9
CHEN Shuai	BXG136	Licensed Representative (Provisional Licence)	1, 4, 9
CHEN Shurui	BXT950	Licensed Representative (Provisional Licence)	1
CHEN Siwei	BRI243	Licensed Representative (Provisional Licence)	9
CHEN Wen	BRV888	Licensed Representative (Provisional Licence)	1, 4, 9
CHEN Xiao	BXK217	Licensed Representative (Provisional Licence)	4, 9
CHEN Xiaodong	BXO488	Licensed Representative (Provisional Licence)	9
CHEN Xin	BPH529	Licensed Representative (Provisional Licence)	1, 4
CHEN Yaohua	BXS357	Licensed Representative (Provisional Licence)	1, 4
CHEN Yilin	BXN773	Licensed Representative (Provisional Licence)	1, 4
CHEN Zhen	BXR496	Licensed Representative (Provisional Licence)	1, 4
CHEN Zhenxing	BXT857	Licensed Representative (Provisional Licence)	1, 4, 9
CHEN ZIYE	BXS899	Licensed Representative (Provisional Licence)	1, 2
CHEN Ziyi	BXR284	Licensed Representative (Provisional Licence)	1, 4
CHENG NGO LUNG	BXP406	Licensed Representative (Provisional Licence)	1
CHENG Ya Yi Amie	BSQ858	Licensed Representative (Provisional Licence)	1
CHERNIAK Harlan Brett	BXS833	Licensed Representative (Provisional Licence)	1
CHEUNG Brandon	ARV903	Licensed Representative (Provisional Licence)	1
CHEUNG Chin Wa	BXS547	Licensed Representative (Provisional Licence)	4, 9
CHEUNG Hok Yuen	BXS797	Licensed Representative (Provisional Licence)	1, 2, 4
CHEW Mei Tze, Marie	AXC575	Licensed Representative (Provisional Licence)	1, 4
CHIANG Yi	BXP444	Licensed Representative (Provisional Licence)	1
CHIEN chi ting	BXO491	Licensed Representative (Provisional Licence)	1, 4, 9
CHING Yan	BXS373	Licensed Representative (Provisional Licence)	4, 9
CHONG WAI KI	BXN653	Licensed Representative (Provisional Licence)	1, 4
CHOW Chun Man	BXN600	Licensed Representative (Provisional Licence)	1, 2
CISANA Florian	BWS870	Licensed Representative (Provisional Licence)	1, 4
CO Hau Yee Elkey	BXQ392	Licensed Representative (Provisional Licence)	1
COFFEY Alexander Valchar	BXS011	Licensed Representative (Provisional Licence)	4
DAI Yamin	BXL995	Licensed Representative (Provisional Licence)	4
DENG Chengqi	BXR408	Licensed Representative (Provisional Licence)	4
DENG Ke	BXL925	Licensed Representative (Provisional Licence)	1, 4, 9
DENG Xuan	BXP579	Licensed Representative (Provisional Licence)	1, 4
DILKES Ross Peter	ASH948	Licensed Representative (Provisional Licence)	1, 4
DING Yichun	BXH090	Licensed Representative (Provisional Licence)	1, 4, 6, 9
DONG Lili	BXS929	Licensed Representative (Provisional Licence)	1, 4
DONG Ruiqi	BXV803	Licensed Representative (Provisional Licence)	1, 2
DUTT Aaryaman	BXU143	Licensed Representative (Provisional Licence)	1, 2
FANG Siyuan	BXS329	Licensed Representative (Provisional Licence)	1, 2
FENG Xuedan	BVJ778	Licensed Representative (Provisional Licence)	1
FENG SHENG	BXM442	Licensed Representative (Provisional Licence)	4, 9
FONG Yuen Tung	BXU180	Licensed Representative (Provisional Licence)	1, 2, 7

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity
FREEMAN Tracy Taray	BCZ930	Licensed Representative (Provisional Licence)	1
FU Mingyue	BXS724	Licensed Representative (Provisional Licence)	4, 9
FUNG In Nei	BWS761	Licensed Representative (Provisional Licence)	1, 4
GAO Feiyu	BXR590	Licensed Representative (Provisional Licence)	1, 4
GAO Ningyu	BXM331	Licensed Representative (Provisional Licence)	1
GAO Rebecca Jingting	BXQ936	Licensed Representative (Provisional Licence)	6
GOH Teik Lye	BXS012	Licensed Representative (Provisional Licence)	4
GOURSAT Stephane Frederic	BXR080	Licensed Representative (Provisional Licence)	1, 2
GOVILA Sulabh	BWC209	Licensed Representative (Provisional Licence)	4
GU Nigel En-Hua	BXN887	Licensed Representative (Provisional Licence)	9
GU Shaobo	BXM823	Licensed Representative (Provisional Licence)	1
GUJARATHI Yash Sandesh	BXQ150	Licensed Representative (Provisional Licence)	9
GUNDALA Anjana	BXQ882	Licensed Representative (Provisional Licence)	9
GUO Boyang	BXS331	Licensed Representative (Provisional Licence)	1, 2
GUO Xiaolin	BXP635	Licensed Representative (Provisional Licence)	1, 4, 9
GUO Yuanning	BXQ756	Licensed Representative (Provisional Licence)	4
HAN Yang	BXP942	Licensed Representative (Provisional Licence)	1, 4
Hao Tong	BTY682	Licensed Representative (Provisional Licence)	4, 9
HASAN Amber	BXT960	Licensed Representative (Provisional Licence)	1
HASHIDA Hidemaru	BXU802	Licensed Representative (Provisional Licence)	1
HE Chen	BXN903	Licensed Representative (Provisional Licence)	1, 4
HE Yaowei	BXN012	Licensed Representative (Provisional Licence)	1, 2, 4, 5
HE Yuming	BXL832	Licensed Representative (Provisional Licence)	1, 4, 6
HE-TSEN Ying	BXS566	Licensed Representative (Provisional Licence)	1, 4
HEUNG Chun Wang	BXQ482	Licensed Representative (Provisional Licence)	1, 4, 9
HONG Chaemin	BXS517	Licensed Representative (Provisional Licence)	1, 4, 9
HU Weili	BXM883	Licensed Representative (Provisional Licence)	1, 2, 4, 5
HU Yihan	BXN784	Licensed Representative (Provisional Licence)	1
HU Yitong	BXI007	Licensed Representative (Provisional Licence)	1
HUANG Feng	BXR925	Licensed Representative (Provisional Licence)	1, 2, 4
HUANG Jinchuan	BXS007	Licensed Representative (Provisional Licence)	1
HUANG Sizhe	BXQ871	Licensed Representative (Provisional Licence)	2
HUANG Xiaolan	BXP323	Licensed Representative (Provisional Licence)	1
HUANG Yu	ATI173	Licensed Representative (Provisional Licence)	3
HUI Junhuan Marvin	BXS228	Licensed Representative (Provisional Licence)	4, 9
HUI Ka Yan Audrey	BXV219	Licensed Representative (Provisional Licence)	1, 2
HUI Tung Hei	BXP327	Licensed Representative (Provisional Licence)	13
HUNG Zen-Wai	BXU160	Licensed Representative (Provisional Licence)	1
IUNUSOV Grigorii	BXU144	Licensed Representative (Provisional Licence)	1, 4
JAIN Shikhar	BXJ986	Licensed Representative (Provisional Licence)	1, 4, 6
JI MING	BXQ179	Licensed Representative (Provisional Licence)	1
JIANG Chenyu	BXS952	Licensed Representative (Provisional Licence)	4
JIANG Jihao	BJG860	Licensed Representative (Provisional Licence)	4, 9
JIN Muyang	BXO329	Licensed Representative (Provisional Licence)	4
JIN Xiaojin	BXP592	Licensed Representative (Provisional Licence)	9
JING Lei	BXI879	Licensed Representative (Provisional Licence)	1, 4, 9
JO Namseok	BXS256	Licensed Representative (Provisional Licence)	1, 4
JOLL Barnaby Arthur Cecil	APL251	Licensed Representative (Provisional Licence)	9
KAMRA Saryu	BTK682	Licensed Representative (Provisional Licence)	10

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity
KAN Ho Yin	BXS158	Licensed Representative (Provisional Licence)	1, 4
KARAHASANOGLU Ediz	BXU227	Licensed Representative (Provisional Licence)	9
KE Yixin	BXQ910	Licensed Representative (Provisional Licence)	1, 4
KHAN Saad Iftikhar	BXQ217	Licensed Representative (Provisional Licence)	1, 2
KHANDELWAL Manu	BXU616	Licensed Representative (Provisional Licence)	1
KIM Dongseok	BXQ817	Licensed Representative (Provisional Licence)	4
KIM Yeji	BXU554	Licensed Representative (Provisional Licence)	1
KIM Yong Jun	BXP558	Licensed Representative (Provisional Licence)	1
KO Chin Leuk Ivan	BSX556	Licensed Representative (Provisional Licence)	4, 9
KOBAYASHI Yusuke	BXS586	Licensed Representative (Provisional Licence)	1, 4, 6
KONG Hui Xuan	BXN788	Licensed Representative (Provisional Licence)	1, 2
KUNG Lap Quin Kevin	BEH962	Licensed Representative (Provisional Licence)	4, 9
KWAN Chi Yan Lawrence	AQC409	Licensed Representative (Provisional Licence)	1, 2
KWOK Bo Yan	BXS487	Licensed Representative (Provisional Licence)	1, 7
KWOK Kei	BXS174	Licensed Representative (Provisional Licence)	1, 2
KWOK TING CHUN JASON	BXO525	Licensed Representative (Provisional Licence)	1, 4
LAI Hei Wilfred	BGS924	Licensed Representative (Provisional Licence)	1, 6
LAI Ying Tung Queenie	BXJ764	Licensed Representative (Provisional Licence)	1, 4, 5, 9
LAM Chi Kit	BXQ256	Licensed Representative (Provisional Licence)	1, 6
LAM Ka Man	BXS684	Licensed Representative (Provisional Licence)	4, 9
LAN Junlong	BXT994	Licensed Representative (Provisional Licence)	1, 6
LAO Ka Chon	BXQ282	Licensed Representative (Provisional Licence)	2
LAU Ka Ki	BXN328	Licensed Representative (Provisional Licence)	1, 2
LAU Pok Yat, Watson	BCZ918	Licensed Representative (Provisional Licence)	9
LAU Yat Lun	BXR646	Licensed Representative (Provisional Licence)	1
LAW Tsz Kit	BOJ325	Licensed Representative (Provisional Licence)	9
LEE Jae Woo	AIH182	Licensed Representative (Provisional Licence)	1, 4
LEE Mei Wan	BOU800	Licensed Representative (Provisional Licence)	4, 9
LEE Sang Wook	BXS088	Licensed Representative (Provisional Licence)	1, 4
LEE Shiu Wo Victor	BGQ657	Licensed Representative (Provisional Licence)	1, 2, 4
LEE Yi Jie	BXS780	Licensed Representative (Provisional Licence)	1, 2
LEUNG Chun Yan Gareth	BXT853	Licensed Representative (Provisional Licence)	1, 4
LI Changhao	BXR659	Licensed Representative (Provisional Licence)	1
LI Chi Ling	BXS808	Licensed Representative (Provisional Licence)	4, 9
LI Keyi	BXP328	Licensed Representative (Provisional Licence)	4
LI Minghui	BXR826	Licensed Representative (Provisional Licence)	1, 4
LI Qinan	BXS804	Licensed Representative (Provisional Licence)	1
LI Shijuan	BXM243	Licensed Representative (Provisional Licence)	1
LI Weiyi	BXQ139	Licensed Representative (Provisional Licence)	1, 4
LI Xiulin	BTB145	Licensed Representative (Provisional Licence)	1
LI Yuhan	BXS786	Licensed Representative (Provisional Licence)	1, 2
LI Yunjia	BSY094	Licensed Representative (Provisional Licence)	1, 4
LI Ziang	BXP397	Licensed Representative (Provisional Licence)	1, 2
LIAO Bangjie	BXV258	Licensed Representative (Provisional Licence)	1, 2, 9
LIM PEI WEN DENISE	BXM340	Licensed Representative (Provisional Licence)	1, 2
LIN Hui	BXM819	Licensed Representative (Provisional Licence)	1, 2, 4, 5
LIN I-Shiuan	BXR607	Licensed Representative (Provisional Licence)	4
LIN Qinghua	BXO425	Licensed Representative (Provisional Licence)	4
LIN XIANG	BXM938	Licensed Representative (Provisional Licence)	1, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity
LIN Xiao	BXN330	Licensed Representative (Provisional Licence)	4, 9
LIN Yuanyuan	BQE588	Licensed Representative (Provisional Licence)	4, 9
LIN Zixuan	BXQ383	Licensed Representative (Provisional Licence)	6
LIU Haotian	BXS862	Licensed Representative (Provisional Licence)	1, 2
LIU Qiang	BXU109	Licensed Representative (Provisional Licence)	1
LIU Weipei	BXU108	Licensed Representative (Provisional Licence)	1
LIU Weiping	BXL824	Licensed Representative (Provisional Licence)	1, 4, 9
LIU Xiuhui	BXM845	Licensed Representative (Provisional Licence)	1, 4, 9
LIU Yichao	BXV541	Licensed Representative (Provisional Licence)	1, 4, 9
LO Ming Lun	BXS474	Licensed Representative (Provisional Licence)	1, 4
LO Tsz On, Chris	ABM814	Licensed Representative (Provisional Licence)	4, 9
LO Tzu Yang	BVZ334	Licensed Representative (Provisional Licence)	9
LONG Hongxin	BXS505	Licensed Representative (Provisional Licence)	1, 4
LU Da-hsuan	BXR078	Licensed Representative (Provisional Licence)	1, 4, 9
LU Kai	BXT762	Licensed Representative (Provisional Licence)	1, 4, 9
LYU Chenlei	BXQ203	Licensed Representative (Provisional Licence)	1, 4, 9
LYU Zheng	BXN364	Licensed Representative (Provisional Licence)	1, 4, 9
LYU Zhihao	BXO294	Licensed Representative (Provisional Licence)	1, 2
MA Lung	BXS220	Licensed Representative (Provisional Licence)	1, 2, 9
MA Mengze	BXN325	Licensed Representative (Provisional Licence)	4
MA Wing Yan	BWT880	Licensed Representative (Provisional Licence)	1, 2
MA Xiangnan	BXR232	Licensed Representative (Provisional Licence)	1, 4
MANDIC Vjeko	BXS431	Licensed Representative (Provisional Licence)	1, 2
MD TAIB Syaza Fatin Binti	BXR157	Licensed Representative (Provisional Licence)	1, 4, 9
MEDLYCOTT James Raymond	BXS848	Licensed Representative (Provisional Licence)	9
MOK Yoon Su	BXR283	Licensed Representative (Provisional Licence)	1
MOTTARD Alexandre Flavien	ARR798	Licensed Representative (Provisional Licence)	9
MUNDORF Philipp William	BOE966	Licensed Representative (Provisional Licence)	9
MUT Ka Lok Carlo	BXV705	Licensed Representative (Provisional Licence)	1
NARIMAN Ahren Adil	BXR393	Licensed Representative (Provisional Licence)	1, 4, 5
NG Alice Pui Shan	AUV477	Licensed Representative (Provisional Licence)	1, 4
NG Chui Yi	BRF199	Licensed Representative (Provisional Licence)	1, 2
NG Siu Hong	BCK507	Licensed Representative (Provisional Licence)	1, 4, 6
NG Wing Yan	BUK407	Licensed Representative (Provisional Licence)	1, 4, 9
NI Gaofeng	BUF906	Licensed Representative (Provisional Licence)	9
NIE Shuai	BXP368	Licensed Representative (Provisional Licence)	1
NIU Yuxin	BXN011	Licensed Representative (Provisional Licence)	1, 2, 4, 5
NORRIS Mark Alan	BXS865	Licensed Representative (Provisional Licence)	1
NUKUI Rein	BXQ888	Licensed Representative (Provisional Licence)	9
OH Yookyung	BUU833	Licensed Representative (Provisional Licence)	1, 4, 9
OU Chupeng	BXL331	Licensed Representative (Provisional Licence)	9
OUYANG Weinuo	BXG877	Licensed Representative (Provisional Licence)	1, 2
PALMER Harry Charles Alexander	BXS111	Licensed Representative (Provisional Licence)	1, 4
PANG Yuqing	BXT747	Licensed Representative (Provisional Licence)	1, 4
PARK Heeyoung	BMU802	Licensed Representative (Provisional Licence)	1
PAVLENKO Mariia	BXO117	Licensed Representative (Provisional Licence)	1, 2
PELLERIN Gautier Stanislas Bernard	BXU557	Licensed Representative (Provisional Licence)	1, 2
PENG Shirui	BXQ185	Licensed Representative (Provisional Licence)	1, 6
PENG Weiwei	BXS009	Licensed Representative (Provisional Licence)	4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity
PLEBANI Gian Arard	BNB394	Licensed Representative (Provisional Licence)	1, 4, 5, 9
POK Ming Kai	BGB603	Licensed Representative (Provisional Licence)	1, 6
POON Bosco Ho Kong	BTJ299	Licensed Representative (Provisional Licence)	9
POON Ping Ping	BXF709	Licensed Representative (Provisional Licence)	1, 4
QIAO Li	BXF665	Licensed Representative (Provisional Licence)	1, 4
QIU Yiqiang	BXS962	Licensed Representative (Provisional Licence)	4
QIU Yixuan	BXS708	Licensed Representative (Provisional Licence)	1, 4, 6
QU CHEN	BXH052	Licensed Representative (Provisional Licence)	4, 9
RAGHU Harish	BXL780	Licensed Representative (Provisional Licence)	1, 2
RAY Kaustav	BXN618	Licensed Representative (Provisional Licence)	1
REN Wen Fang	BXU182	Licensed Representative (Provisional Licence)	4
SAVANI Hiten Arvin	BXP643	Licensed Representative (Provisional Licence)	1
SEKI Atsushi	BIB389	Licensed Representative (Provisional Licence)	4
SHAO Ping	BXR479	Licensed Representative (Provisional Licence)	4, 9
SHEN Hanwei	BXT774	Licensed Representative (Provisional Licence)	1, 4, 6
SHEN Jie	BXM380	Licensed Representative (Provisional Licence)	4
SHI Hongmei	BXO424	Licensed Representative (Provisional Licence)	4
SHI Lin	BXR395	Licensed Representative (Provisional Licence)	1, 4, 9
SHI Qi	BXO489	Licensed Representative (Provisional Licence)	4
SHIU Yin Ting	BXR766	Licensed Representative (Provisional Licence)	1, 4, 9
SHU Tingfei	BKR355	Licensed Representative (Provisional Licence)	1, 4, 9
SHU Tong	BXP929	Licensed Representative (Provisional Licence)	1, 4, 6
SO HIU MAN	BXS412	Licensed Representative (Provisional Licence)	4, 9
SONG Biye	BXS005	Licensed Representative (Provisional Licence)	6
SONG Yang	BXS196	Licensed Representative (Provisional Licence)	1
SONG Yang	BXS491	Licensed Representative (Provisional Licence)	1, 2, 4
STAFFORD Melvyn	BIE092	Licensed Representative (Provisional Licence)	1
SU Chang	BXN942	Licensed Representative (Provisional Licence)	2
SU Minshen	BXV495	Licensed Representative (Provisional Licence)	1
SUEN KA YAM	BXP589	Licensed Representative (Provisional Licence)	4, 9
SUN Austen	BRU866	Licensed Representative (Provisional Licence)	9
SUN Congshi	BPQ381	Licensed Representative (Provisional Licence)	1, 4
SUN Guotong	BRP931	Licensed Representative (Provisional Licence)	1, 4, 6
SUN Hao Wei	BXM315	Licensed Representative (Provisional Licence)	1
SUN Jinxia	BXO238	Licensed Representative (Provisional Licence)	4
SUN Kaiqi	BXP848	Licensed Representative (Provisional Licence)	4
TAM Alfred On Yu	AXK336	Licensed Representative (Provisional Licence)	1
TAM Ka Chun	BRD974	Licensed Representative (Provisional Licence)	1, 4
TAN Kai Siang	BXL914	Licensed Representative (Provisional Licence)	1, 6
TANG Ho Ming	BNU842	Licensed Representative (Provisional Licence)	4, 5, 9
TANG Siyu	BXM728	Licensed Representative (Provisional Licence)	4
TANG Wai Yin	BXI773	Licensed Representative (Provisional Licence)	1
TANG Yuk Ying	BRK128	Licensed Representative (Provisional Licence)	1
TANG Ziwen	BXQ568	Licensed Representative (Provisional Licence)	1
TAO Mingjing	BXO331	Licensed Representative (Provisional Licence)	4
THAKUR Anand Bajendrasing	BXU264	Licensed Representative (Provisional Licence)	1
THITHI Rearabetswe Gladstone	BXU491	Licensed Representative (Provisional Licence)	1
TIAN Hongyu	BXQ967	Licensed Representative (Provisional Licence)	4
TJAHJADI Ezekiel Jovan	BXS109	Licensed Representative (Provisional Licence)	1, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2026

CE Name	CE No.	License Type	Types of Regulated Activity
TONG Shung Y	BXT727	Licensed Representative (Provisional Licence)	1, 2, 7
TSANG Chun Sing	BRR768	Licensed Representative (Provisional Licence)	1
TSE Yue Hong	ANQ294	Licensed Representative (Provisional Licence)	1, 4, 9
TSUI Chi Hin	AGH786	Licensed Representative (Provisional Licence)	1, 2, 3, 4, 5, 7, 8, 9
VALEO Thomas	BXN326	Licensed Representative (Provisional Licence)	1
VAN AMSTEL Valentijn	BXN365	Licensed Representative (Provisional Licence)	1, 2
WANG Bowen	BXN690	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Cui	BXQ789	Licensed Representative (Provisional Licence)	1, 2, 3, 4
WANG Dan	BXS434	Licensed Representative (Provisional Licence)	4
WANG Dong	BXM242	Licensed Representative (Provisional Licence)	4, 9
WANG Fengzhi	BVO780	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Ke-lin	BXT846	Licensed Representative (Provisional Licence)	9
WANG Lingling	AGJ951	Licensed Representative (Provisional Licence)	4, 9
WANG Meng	BXO260	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Mengfei	BXJ797	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Mengyuan	BXP922	Licensed Representative (Provisional Licence)	1, 2, 9
WANG Taige	BXM820	Licensed Representative (Provisional Licence)	1
WANG Ti-Hung	BXQ606	Licensed Representative (Provisional Licence)	1
WANG Ting-Yun	BHC626	Licensed Representative (Provisional Licence)	4, 9
WANG Weikang	BXN904	Licensed Representative (Provisional Licence)	1, 4
WANG Xuejiao	BXU272	Licensed Representative (Provisional Licence)	1, 4
WANG Yichen	BXO384	Licensed Representative (Provisional Licence)	1, 6
WANG Yiqi	BXQ340	Licensed Representative (Provisional Licence)	1, 6
WANG Yuxiang	BXP717	Licensed Representative (Provisional Licence)	4
WANG Zibo	BXS321	Licensed Representative (Provisional Licence)	1
WEBB Robert	ALQ617	Licensed Representative (Provisional Licence)	9
WEI Jianing	BXO349	Licensed Representative (Provisional Licence)	6
WEI Yi	BXU300	Licensed Representative (Provisional Licence)	4
WEN Dou	BXL083	Licensed Representative (Provisional Licence)	1
WONG Chon Hou	BQE252	Licensed Representative (Provisional Licence)	1, 4
WONG Fung Yin	BXN282	Licensed Representative (Provisional Licence)	1, 4
WONG Wai Kiu	BXT821	Licensed Representative (Provisional Licence)	2, 5
WONG Zhi-Yuan	BXS147	Licensed Representative (Provisional Licence)	9
WU Baihua	BXS678	Licensed Representative (Provisional Licence)	1
WU CONG	BXS252	Licensed Representative (Provisional Licence)	1, 2, 4
WU Peng	BXS319	Licensed Representative (Provisional Licence)	1
WU Qinxuan	BOS816	Licensed Representative (Provisional Licence)	9
WU Yue	BDI411	Licensed Representative (Provisional Licence)	9
XIANG Beibei	BXR786	Licensed Representative (Provisional Licence)	1
XIANG Jie	BQU747	Licensed Representative (Provisional Licence)	1
XIANG Zheng	BXN703	Licensed Representative (Provisional Licence)	1, 4, 9
XIE Cailiang	BXS193	Licensed Representative (Provisional Licence)	1
XIE Ming	BXI947	Licensed Representative (Provisional Licence)	9
XIE Muqing	BXN066	Licensed Representative (Provisional Licence)	4
XIE Shaowei	BNP481	Licensed Representative (Provisional Licence)	1, 6
XIE Xubin	BWT840	Licensed Representative (Provisional Licence)	6
XIE Zhangling	BXR539	Licensed Representative (Provisional Licence)	9
XIN Xin	BXR506	Licensed Representative (Provisional Licence)	1, 4, 6
XING Lei	BXT923	Licensed Representative (Provisional Licence)	1, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity
XU Haoxi	BXS878	Licensed Representative (Provisional Licence)	1, 2, 9
XU Lei	BXL316	Licensed Representative (Provisional Licence)	1, 2, 4, 5
XU Ningyu	BXN786	Licensed Representative (Provisional Licence)	1
XU Oko	BGL182	Licensed Representative (Provisional Licence)	3
XU Yina	BNO566	Licensed Representative (Provisional Licence)	1, 4, 9
XUE Chenqi	BXQ081	Licensed Representative (Provisional Licence)	1
XUE Feifan	BXI966	Licensed Representative (Provisional Licence)	9
XUE Kaibin	BXQ488	Licensed Representative (Provisional Licence)	1
YAN Zhuorui	BXN583	Licensed Representative (Provisional Licence)	1
YANG Bo	BPM132	Licensed Representative (Provisional Licence)	1, 4, 6
YANG Maoda	BTE486	Licensed Representative (Provisional Licence)	1, 4, 9
YANG Shuhan	BXR016	Licensed Representative (Provisional Licence)	6
YANG Xi	BXQ124	Licensed Representative (Provisional Licence)	9
YANG Xuanting	BXS665	Licensed Representative (Provisional Licence)	1, 4
YANG Yixuan	BXL126	Licensed Representative (Provisional Licence)	1
YANG Yuan	BXS917	Licensed Representative (Provisional Licence)	1, 2
YANG Zhibin	BXM839	Licensed Representative (Provisional Licence)	9
YAO Ziwei	BXU265	Licensed Representative (Provisional Licence)	4
YEOW Wei Liang Alvin	BXS926	Licensed Representative (Provisional Licence)	1, 2
YEUNG Edwin Chun Yue	BIB909	Licensed Representative (Provisional Licence)	1
YIP Ting Cheung, Joseph	AKI964	Licensed Representative (Provisional Licence)	1, 4, 6
YIP Tsun Yin Arthur	BXN889	Licensed Representative (Provisional Licence)	1, 3, 4
YIP Wan Yi	BFY998	Licensed Representative (Provisional Licence)	1, 2, 4
YU Bing	BXM266	Licensed Representative (Provisional Licence)	1, 4, 5, 9
YU Boren	BXS417	Licensed Representative (Provisional Licence)	4
YU Shun	BXS677	Licensed Representative (Provisional Licence)	1, 2, 7
YU Xiaohui	BXM726	Licensed Representative (Provisional Licence)	4
YU Yang	BXU485	Licensed Representative (Provisional Licence)	1, 4
YU Yiting	BXU165	Licensed Representative (Provisional Licence)	1, 6
YUAN Junxuan	BXO330	Licensed Representative (Provisional Licence)	4
YUE Lei	BXN564	Licensed Representative (Provisional Licence)	4, 9
YUEN Wing Hei, Marcus	BXP633	Licensed Representative (Provisional Licence)	1, 2, 4, 5
ZENG Yu	BXU220	Licensed Representative (Provisional Licence)	9
ZHA Daxin	BXL769	Licensed Representative (Provisional Licence)	1, 4, 6
ZHAI Donghui	BXO178	Licensed Representative (Provisional Licence)	1
ZHAI Xiaohui	BXM737	Licensed Representative (Provisional Licence)	1, 2, 4, 5
ZHANG Chi	BXU731	Licensed Representative (Provisional Licence)	1, 2
ZHANG Junshuo	BXN970	Licensed Representative (Provisional Licence)	1
ZHANG Lidan	BXR690	Licensed Representative (Provisional Licence)	4
ZHANG Lingrui	BXR503	Licensed Representative (Provisional Licence)	1, 4
ZHANG Lisheng	BXN006	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Peilin	BXQ631	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Sheng	BXP546	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Weiyi	BXM730	Licensed Representative (Provisional Licence)	4
ZHANG Xiao Wei	AUK169	Licensed Representative (Provisional Licence)	1
ZHANG Xiduo	BUD016	Licensed Representative (Provisional Licence)	1
ZHANG Xinyang	BXS875	Licensed Representative (Provisional Licence)	1, 4, 9
ZHANG Yong	ANT437	Licensed Representative (Provisional Licence)	1
ZHANG Yuan	BTG698	Licensed Representative (Provisional Licence)	1, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHANG Yumin	BNO521	Licensed Representative (Provisional Licence)	4, 9
ZHANG Yuxuan	BXS494	Licensed Representative (Provisional Licence)	4, 9
ZHANG Zirui	BXK236	Licensed Representative (Provisional Licence)	1, 4, 6
ZHAO Di	BRZ219	Licensed Representative (Provisional Licence)	1, 4, 5, 9
ZHAO Haichun	BXQ424	Licensed Representative (Provisional Licence)	4
ZHAO Haoxue	BXO078	Licensed Representative (Provisional Licence)	2
ZHAO Jingyi	BXP508	Licensed Representative (Provisional Licence)	1
ZHAO Sihao	BXQ378	Licensed Representative (Provisional Licence)	4
ZHAO Wanbo	BXT570	Licensed Representative (Provisional Licence)	1, 2, 4
ZHAO Xinyi	BXS192	Licensed Representative (Provisional Licence)	6
ZHAO Yunlong	BTB542	Licensed Representative (Provisional Licence)	1, 2
ZHAO Zefen	BOS728	Licensed Representative (Provisional Licence)	4
ZHENG Song	BXS096	Licensed Representative (Provisional Licence)	1, 4
ZHONG Dongting	BXO346	Licensed Representative (Provisional Licence)	2
ZHOU Jiehua	BXR485	Licensed Representative (Provisional Licence)	1
ZHOU Jing	BTM060	Licensed Representative (Provisional Licence)	1, 4, 9
ZHOU Junzhi	BNV557	Licensed Representative (Provisional Licence)	4
ZHOU Shiyong	BXP810	Licensed Representative (Provisional Licence)	4
ZHOU Yinong	BXN566	Licensed Representative (Provisional Licence)	1, 2, 4
ZHOU Zhichao	BXO172	Licensed Representative (Provisional Licence)	4
ZOPE Ankit Popat	BXT921	Licensed Representative (Provisional Licence)	9
AGARWAL Ashish	BWK372	Licensed Representative (Temporary Licence)	1
AGARWAL Vismaya	BTV418	Licensed Representative (Temporary Licence)	4
AGRAWAL Vivek	BUB301	Licensed Representative (Temporary Licence)	4
AMARI Madoka	BTL091	Licensed Representative (Temporary Licence)	1, 2
ANDRE EP DUPONT Corinne	BXT724	Licensed Representative (Temporary Licence)	1, 4
BAPAT Rahul Rajendra	BUX916	Licensed Representative (Temporary Licence)	1
BARKER Kevin Raymond	BXR884	Licensed Representative (Temporary Licence)	1, 4
BERTRAND Jean-Charles	AQU629	Licensed Representative (Temporary Licence)	1, 4
BLUE Peter Denison Bolton	BXS938	Licensed Representative (Temporary Licence)	1
BOULIND Oliver Amadeus	BWV089	Licensed Representative (Temporary Licence)	1, 4
BRADFORD Charles John	BXM225	Licensed Representative (Temporary Licence)	1
BRAZIER Alexander Edward	BWZ090	Licensed Representative (Temporary Licence)	1, 4
BRIGGS James Thomas Edward	BCC466	Licensed Representative (Temporary Licence)	1, 2
BROUSTRA Jerome,David	BVZ271	Licensed Representative (Temporary Licence)	1, 4
BUCKLEY Kieran Francis	BXS712	Licensed Representative (Temporary Licence)	1
BURBANO Esteban	BLD950	Licensed Representative (Temporary Licence)	1, 4
CATTEE Matthew	BXT804	Licensed Representative (Temporary Licence)	1
CHEVELEY Richard George Castelfranc	AQF001	Licensed Representative (Temporary Licence)	1, 4
CHIDGEY Kieren Joseph	BJX129	Licensed Representative (Temporary Licence)	4
CHOI Jae Hyung	BDG877	Licensed Representative (Temporary Licence)	4
CHUGH Saurabh	AQN361	Licensed Representative (Temporary Licence)	1
CHULANI Subash	ABW256	Licensed Representative (Temporary Licence)	1, 2
CLARK III Schuyler Harold	BKJ145	Licensed Representative (Temporary Licence)	4
CURLEY Marcus Christopher	BFR251	Licensed Representative (Temporary Licence)	4
DAI Wei	BOL570	Licensed Representative (Temporary Licence)	1, 4
DANGRA Abhishek Krishna Avtar	BOD244	Licensed Representative (Temporary Licence)	10
DANIEL Lee Kevin John	BXS371	Licensed Representative (Temporary Licence)	1, 4
DAUL Stephane Mathieu Alexandre	BXS704	Licensed Representative (Temporary Licence)	1, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity
DE BRUIJN Jan Cornelis	BTZ290	Licensed Representative (Temporary Licence)	1
DEWAR Mark William	BUA729	Licensed Representative (Temporary Licence)	2, 5
DORAI Chadwick Rufus	BXC344	Licensed Representative (Temporary Licence)	4
DSOUZA Hazel Joseph	BDD166	Licensed Representative (Temporary Licence)	1
DUNGER Matthew John	BFF148	Licensed Representative (Temporary Licence)	4
ESKIN GROSS Sophie Jemima	BXU044	Licensed Representative (Temporary Licence)	1
EVANS Joshua Edward Simon	BXS456	Licensed Representative (Temporary Licence)	1, 2
FOSTER Samuel James	BXS363	Licensed Representative (Temporary Licence)	1, 4
GAO Lu	ATB718	Licensed Representative (Temporary Licence)	1, 4
GARFIN Mitchell Seth	BJE835	Licensed Representative (Temporary Licence)	1, 4
GARRE Venugopal	AML716	Licensed Representative (Temporary Licence)	4
GILLESPIE Samuel Frederick	BOR389	Licensed Representative (Temporary Licence)	1, 4
GOYAL Megha	BKR107	Licensed Representative (Temporary Licence)	1
GOYAL Surendra Kumar	BEO301	Licensed Representative (Temporary Licence)	4
GREEN Thomas Alistair	BUM189	Licensed Representative (Temporary Licence)	1, 4
GUPTA Raj	BXS205	Licensed Representative (Temporary Licence)	1, 2
HARAGUCHI Ukyo	BXU004	Licensed Representative (Temporary Licence)	4
HARGIS Kent W	BAG066	Licensed Representative (Temporary Licence)	4
HAVELIVALA Akief Anwar	BXS841	Licensed Representative (Temporary Licence)	1
HAYASHI (SUMOGE) Manabu	BWY603	Licensed Representative (Temporary Licence)	4
HENG Brandon Liang Chew	BXT814	Licensed Representative (Temporary Licence)	6
HENG Ryan Matthew CHENGDE	BWW830	Licensed Representative (Temporary Licence)	4
HENGSTEBECK Nicolas Wolfgang	BXP407	Licensed Representative (Temporary Licence)	1
HEYES Richard James	BIJ198	Licensed Representative (Temporary Licence)	1
HIRAKAWA Mikio	BBT553	Licensed Representative (Temporary Licence)	4
HIRT Gregor Manfred Arthur	BXO367	Licensed Representative (Temporary Licence)	1
HO Xin Zi	BXU186	Licensed Representative (Temporary Licence)	1
HUANG Chung-Li	BVJ892	Licensed Representative (Temporary Licence)	4
HUEFNER Felix Paul	BMY915	Licensed Representative (Temporary Licence)	4
HUGUENIN-VIRCHAUX Steve Pierre	ATN574	Licensed Representative (Temporary Licence)	1, 4
HUH Yoonsoo	BJQ672	Licensed Representative (Temporary Licence)	1
INOUE Takahiro	BTN229	Licensed Representative (Temporary Licence)	4
JI Jiechao	BQB230	Licensed Representative (Temporary Licence)	4
KANODIA Ashish	BTV404	Licensed Representative (Temporary Licence)	4
KAPLAN Joshua Daniel	BXL763	Licensed Representative (Temporary Licence)	1, 2
KEIRSTEAD Karl Emil	BUT188	Licensed Representative (Temporary Licence)	4
KELLY Karen	BXS765	Licensed Representative (Temporary Licence)	1, 4
KIM Dong Hyun	AMY253	Licensed Representative (Temporary Licence)	1
KOENIG Erik Dietmar	BWV666	Licensed Representative (Temporary Licence)	1, 2
KOHALMI Zsolt	BWH499	Licensed Representative (Temporary Licence)	1, 4
KONG Freya	BVQ146	Licensed Representative (Temporary Licence)	4
KUBOTA Masashi	BBA891	Licensed Representative (Temporary Licence)	4
KUECH Andrew Robert	ASZ093	Licensed Representative (Temporary Licence)	1
KUMAR Shaleen	BJQ605	Licensed Representative (Temporary Licence)	4
LEE Sei Cheol	BLY339	Licensed Representative (Temporary Licence)	4
LEVY Stephane	BUS373	Licensed Representative (Temporary Licence)	1, 4
LI Jiayun	BXS046	Licensed Representative (Temporary Licence)	1, 2
LI Sulei	BSD480	Licensed Representative (Temporary Licence)	1
LIAW Eric	BWV871	Licensed Representative (Temporary Licence)	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity
LIM Kor Lei Grace	BTJ832	Licensed Representative (Temporary Licence)	4
LIU Annie	BXT782	Licensed Representative (Temporary Licence)	1, 2
LOW Jing Yee Zoey	BXU259	Licensed Representative (Temporary Licence)	1
MAGHENZANI Nicholas Joseph	BTL132	Licensed Representative (Temporary Licence)	1
MANPURIA Neha	BTH916	Licensed Representative (Temporary Licence)	4
MARTIN Victor Dennis	BTN244	Licensed Representative (Temporary Licence)	1
MATHUR Aditya	BEO279	Licensed Representative (Temporary Licence)	4
MAWER-DAVIES Nathan John	BWZ966	Licensed Representative (Temporary Licence)	1, 2
MCCABE Adam John	BXT956	Licensed Representative (Temporary Licence)	1
MELLET Clare Cecilia	BXS316	Licensed Representative (Temporary Licence)	1
MENZLI Pierin	BWB309	Licensed Representative (Temporary Licence)	1, 4
MICHAEL Joseph Andrew	BKT333	Licensed Representative (Temporary Licence)	4
MILIS Maria	BXS053	Licensed Representative (Temporary Licence)	1, 4
MITILINEOS Ioannis Andrea	BXS006	Licensed Representative (Temporary Licence)	1, 4
NAGAO Yoshitaka	AVM354	Licensed Representative (Temporary Licence)	4
NAITO Takayuki	BMJ733	Licensed Representative (Temporary Licence)	4
NAKAKURA Hironori	BVK935	Licensed Representative (Temporary Licence)	4
NAYLOR-LEYLAND Edward Frederick	BLG378	Licensed Representative (Temporary Licence)	1
NG Peirong	AXH379	Licensed Representative (Temporary Licence)	1, 4
NG Yijing	BXS623	Licensed Representative (Temporary Licence)	10
NISHIZAWA Arashi	BOR475	Licensed Representative (Temporary Licence)	4
NOWAK Brian Thomas	BAW836	Licensed Representative (Temporary Licence)	4
O'BRIEN Declan	BXS074	Licensed Representative (Temporary Licence)	1, 4
OGAWA KIRIKO	BUT667	Licensed Representative (Temporary Licence)	1
OKIHIRA Yoshiyasu	AOE022	Licensed Representative (Temporary Licence)	1, 4, 6
OU YONG Xuan Sheng	BOC428	Licensed Representative (Temporary Licence)	1
PARK Sanghyeon	BWW255	Licensed Representative (Temporary Licence)	4
PARKER Matthew William	BVK096	Licensed Representative (Temporary Licence)	1, 2
PAUKER Andrew Brown	BMM541	Licensed Representative (Temporary Licence)	4
PEH Aik Seng	BXJ845	Licensed Representative (Temporary Licence)	1, 4
PHOON Zhen Bang	BXS829	Licensed Representative (Temporary Licence)	1, 2
PINEO Philip John	BSY446	Licensed Representative (Temporary Licence)	1, 2
PRINCE Alexander Gilbert	AXH803	Licensed Representative (Temporary Licence)	1, 2
PRITHYANI Gunjan	BTH771	Licensed Representative (Temporary Licence)	4
PUSZ Zuzanna Barbara	BPC493	Licensed Representative (Temporary Licence)	4
RHENALS PORRAS Elida,Margarita	BVI254	Licensed Representative (Temporary Licence)	1, 4
ROCAFORT VARELA Maria Del Pilar	BMY198	Licensed Representative (Temporary Licence)	1
ROTH Johannes Minho	BWB946	Licensed Representative (Temporary Licence)	1, 4
RULLI Edoardo	BWW247	Licensed Representative (Temporary Licence)	1, 4
SALVATORE Ariana Congiu	BXU518	Licensed Representative (Temporary Licence)	4
SCEMAMA Didier Charles	BTN078	Licensed Representative (Temporary Licence)	4
SEOW Yim Peng, Dora	BPR816	Licensed Representative (Temporary Licence)	1
SHARMA Pooja	BXR675	Licensed Representative (Temporary Licence)	1, 2
SINGH Binay	AVL367	Licensed Representative (Temporary Licence)	4
SMALLEY Grace Lydia	BTR102	Licensed Representative (Temporary Licence)	4
SMITH Alexander Albert Gordon	BUS187	Licensed Representative (Temporary Licence)	1
SPRY Levi Benjamin	BWZ311	Licensed Representative (Temporary Licence)	4
STERN Raphael Alexander	BTN233	Licensed Representative (Temporary Licence)	4
SU Hou-ho	AUB386	Licensed Representative (Temporary Licence)	4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2026

CE Name	CE No.	Licence Type	Types of Regulated Acitivity
SUBRAMANIAN Bharat Sriraman	BUO818	Licensed Representative (Temporary Licence)	4
SUGIHARA Shohei	BVC042	Licensed Representative (Temporary Licence)	1, 2
SYMES Michael Anthony	BDO449	Licensed Representative (Temporary Licence)	1
TAKEUCHI Kazufumi	AYA032	Licensed Representative (Temporary Licence)	4
TAMAI Toshiyuki	AZE887	Licensed Representative (Temporary Licence)	1, 2
TANG-U-THAISUK Ploy	BXS622	Licensed Representative (Temporary Licence)	10
TANOUE Yuki	BAX514	Licensed Representative (Temporary Licence)	1
TAYAL Kunal	BBL045	Licensed Representative (Temporary Licence)	4
TOMAN Michael Gregory	BXS206	Licensed Representative (Temporary Licence)	1, 4
TRINH Henry	BXC541	Licensed Representative (Temporary Licence)	1
TUPPER Nigel Robert	ACS592	Licensed Representative (Temporary Licence)	4
UJIHARA Yoshihiro	BBP909	Licensed Representative (Temporary Licence)	1, 4
VAN RIEMSDIJK Adrianus Gijsbertus	BUF742	Licensed Representative (Temporary Licence)	1, 4
VERBAAS Cornelis Adrianus	AMM438	Licensed Representative (Temporary Licence)	1
VISEN Shaurya Pratap Singh	BND225	Licensed Representative (Temporary Licence)	4
WANG Jie	BPY134	Licensed Representative (Temporary Licence)	1
WARR Alastair Charles	AGD632	Licensed Representative (Temporary Licence)	4
WILKINSON Nicholas Stephen	BVK146	Licensed Representative (Temporary Licence)	1, 2
WILLIAMS Jake	BXU057	Licensed Representative (Temporary Licence)	1
WONG Norbert Hoyan	BUT101	Licensed Representative (Temporary Licence)	1
WONG Sze Lam	BUI479	Licensed Representative (Temporary Licence)	10
XUE Qiaodan	BXS001	Licensed Representative (Temporary Licence)	1, 4
YONESHIMA Keiichi	BXS556	Licensed Representative (Temporary Licence)	4
YOSHIHARA Tomoko	BMO654	Licensed Representative (Temporary Licence)	4
YU Nelson N T	BWV474	Licensed Representative (Temporary Licence)	4
ZHONG Cheng	BTW235	Licensed Representative (Temporary Licence)	1