

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity
China Reserve Securities Limited	AFA646	Licensed Corporation	1, 4, 9
Credit Suisse (Hong Kong) Limited	AAO017	Licensed Corporation	1
Credit Suisse Securities (Hong Kong) Limited	ACB048	Licensed Corporation	1
MFEX Hong Kong Limited	BPE958	Licensed Corporation	1
Mighty Fountain Capital Limited	BRF519	Licensed Corporation	1, 4, 9
Prior Asset Management Limited	BDR227	Licensed Corporation	4, 9
Runlin Asset Management Limited	BHP636	Licensed Corporation	9
Zhong Zheng International Futures Limited	AAG007	Licensed Corporation	2, 5
AGUSTIN Scott-Jarryd	BNS030	Licensed Representative	1, 4, 6
AMAR Monicca	BRM449	Licensed Representative	9
ARAI Hayato	BWC499	Licensed Representative	1
ASKHAM Craig Gerrard	BNY632	Licensed Representative	1, 4, 6
BAHLANI Punit Ramdas	BVW989	Licensed Representative	4
BAI Shuyang	ARJ095	Licensed Representative	9
BERKANE LAVAUD Chiraz	AXG036	Licensed Representative	1, 2, 7
CAO Wanyue	BUJ999	Licensed Representative	1, 4, 6
CATALDI Pasquale	BUR298	Licensed Representative	9
CHAN Chun Hin	AZX879	Licensed Representative	1, 4, 9
CHAN Ip Hing	BSP259	Licensed Representative	4, 9
CHAN Ka Wai Sherie	BGS748	Licensed Representative	1
CHAN Kit Yee	BBA365	Licensed Representative	1
CHAN Kwan Yin	BNY274	Licensed Representative	1, 2, 4
CHAN Kwok Lung	AJC920	Licensed Representative	1
CHAN Man Yung Denise	BNE755	Licensed Representative	1, 2, 7
CHAN Pui Kwan	BBG437	Licensed Representative	6, 9
CHAN Pui Ling, Isabella	AHU956	Licensed Representative	1
CHAN Siu Yuen	AEC203	Licensed Representative	1, 2
CHAN Wai Hin	BRX443	Licensed Representative	1
CHAN Yan Yan	AFR461	Licensed Representative	1
CHAN Yeuk Man	AZB520	Licensed Representative	1, 4, 5, 9
CHARTRES Pierre	BLI962	Licensed Representative	1
CHAU Chi Yan, Amanda	ALD220	Licensed Representative	4
CHAU Chung Ming, Connie	ABL677	Licensed Representative	1, 2
CHAU Man Kit	AJC464	Licensed Representative	4, 9
CHEN Adrien Panpan	BRR580	Licensed Representative	1, 4, 6
CHEN Chengchieh	BNC712	Licensed Representative	1
CHEN Haoran	BWC417	Licensed Representative	1, 4, 9
CHEN HUA	BVW029	Licensed Representative	1
CHEN Jiawei	BWG885	Licensed Representative	1
CHEN Jingyao	BNK372	Licensed Representative	1, 2
CHEN Kam Lan, Peg	AEE933	Licensed Representative	1
CHEN Kenny	AYA774	Licensed Representative	1, 4, 9
CHEN Tianshi	BAB067	Licensed Representative	9
CHEN Yali	BNE463	Licensed Representative	4
CHEN Zhihong	BPM283	Licensed Representative	1, 2
CHENG Jen-Chieh	BIY917	Licensed Representative	1, 6
CHENG Shuk Ping	BVE780	Licensed Representative	1, 9
CHENG Wanting	BOF396	Licensed Representative	1, 2
CHENG Yun Chi	BRW367	Licensed Representative	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHEUNG Chi Chung, Nicholas	BDP720	Licensed Representative	1, 2, 4, 5, 9
CHEUNG Chi Ling	BRW900	Licensed Representative	1, 2
CHEUNG Chun Him	BKA569	Licensed Representative	4, 5
CHEUNG Ka Po, Sammy	AOY581	Licensed Representative	4, 9
CHEUNG Kwok Yau	BVX780	Licensed Representative	1
CHEUNG Wai Ho	BLI482	Licensed Representative	9
CHEUNG Wing Sum	BPZ911	Licensed Representative	1, 4
CHIANG Hok Yuen	AAB457	Licensed Representative	2
CHIANG Ka Chun	BEY957	Licensed Representative	1, 4, 6
CHIBA Lisa Herrell	AWI663	Licensed Representative	9
CHOI Ka Wing	BBC960	Licensed Representative	1, 4, 9
CHOI Yuk Mei	BWU791	Licensed Representative	1
CHONG Kam Lung	AWA413	Licensed Representative	1
CHONG Min Huey	BWC355	Licensed Representative	1, 4, 9
CHU Jun	BSO710	Licensed Representative	1, 4
CHUANG Wun Ngai	BXT576	Licensed Representative	1, 2, 4
CISANA Florian	BWS870	Licensed Representative	1, 4
DAI Chuan	BOS186	Licensed Representative	4
DAI Yilin	BUV988	Licensed Representative	1, 2, 4
DAS Aniruddha	BSI893	Licensed Representative	1, 4
DENG Cheng	BTG300	Licensed Representative	1, 4, 6
DONG Youyuan	BNS437	Licensed Representative	1, 2, 4
DONG Yuanyuan	BWB522	Licensed Representative	1, 2
DU Keying	BSK014	Licensed Representative	10
DUAN Nan	BSS005	Licensed Representative	1, 6
EE Daryl Hock Heng	ARR388	Licensed Representative	1, 4
EL BAHARI Youssef	BAL997	Licensed Representative	1, 2
ELLISON David Charles George	BQJ929	Licensed Representative	1, 4, 9
ENGEL Josephine Elise Silvia	BOL195	Licensed Representative	1, 4, 9
FAN Suiyi	BWT109	Licensed Representative	1, 4, 6
FAN Zijun	BTK788	Licensed Representative	6
FANG Yuanhao	BPW058	Licensed Representative	2, 5
FOK Yiu Tung	AHG563	Licensed Representative	1, 2, 4, 9
FONG Sin Shing	BQC929	Licensed Representative	1, 2, 4, 5
FONG Ting Kwan	BLP545	Licensed Representative	1, 4
FU Dongyang	BSB858	Licensed Representative	1, 4, 6
FU Kai On	BVO021	Licensed Representative	1, 9
FU Shuman	BNS715	Licensed Representative	4
FU Wing Yan, Rebecca	AFE899	Licensed Representative	2
FURUYAMA Kazuki	BWS304	Licensed Representative	4
GAO Fei	BJZ288	Licensed Representative	1, 4, 9
GAO Wenli	BRP474	Licensed Representative	1
GAO Xin	AUC236	Licensed Representative	4, 9
GAO Xin	BKB159	Licensed Representative	2
GAO Xing	BUJ299	Licensed Representative	1, 4
GAO Yetan	BSJ016	Licensed Representative	1, 2, 9
GHOSH Arpan	BXJ593	Licensed Representative	1, 2
GONG Shuai	BOB672	Licensed Representative	4
GOTO Masahiro	BOG007	Licensed Representative	1, 4, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity
GRISSELL Edward Henry	BPY390	Licensed Representative	1, 9
GUO Jin	BJR821	Licensed Representative	1, 4
HAN Feng	BSU539	Licensed Representative	1, 7
HAO Ying	BYF358	Licensed Representative	1
HASAN Anetta	BVY663	Licensed Representative	1
HATRICK Kerr	ARH858	Licensed Representative	1, 2, 7
HE Xuan	AVU788	Licensed Representative	1, 4, 6
HIRAO Kenji	BWR193	Licensed Representative	1, 4, 6
HIROSE Harunori	BWG183	Licensed Representative	1, 4, 6
HO Cai Zhen	BUT332	Licensed Representative	1
HO David Ding Wai	BUT783	Licensed Representative	9
HO Ka Wing	BNU990	Licensed Representative	1, 2
HO Ka Yee	APH424	Licensed Representative	1, 4, 9
HO Yin Shan, Cookie	ANG573	Licensed Representative	9
HOK DAVID	BTR835	Licensed Representative	1, 2
HORROBIN Fergus Peter	AYR065	Licensed Representative	6
HOU Wen Hsuan	BUC572	Licensed Representative	9
HSU Chung Hang	BMP732	Licensed Representative	1
HU Yu	BVK428	Licensed Representative	1, 4, 6
HU Zeyu	BKI101	Licensed Representative	4
HUA Xinyue	BRJ192	Licensed Representative	1, 4, 6
HUA Yushi	BQW594	Licensed Representative	1, 4, 6
HUANG Hongjie	BVE414	Licensed Representative	9
HUANG Ling Kwan	AUQ372	Licensed Representative	1, 2, 4, 5
HUANG Songbo	BUL675	Licensed Representative	1, 2
HUANG Xingsheng	BWY630	Licensed Representative	1, 4, 9
HUANG Zixiao	BPX104	Licensed Representative	1, 2, 4, 9
HUNG (NISHIMURA) Rika	BHB795	Licensed Representative	1, 4
IP Pui Ling	ANA537	Licensed Representative	1, 2
IU Yam San	AIN691	Licensed Representative	4, 9
IWATANI Masanobu	BWV413	Licensed Representative	1, 4
JIN Seong Hwan	BAP377	Licensed Representative	1, 4, 9
JIN Yining	BRF860	Licensed Representative	1, 6
JING Yi	BRQ314	Licensed Representative	1, 4
KANNO Kunihiro	AUW980	Licensed Representative	9
KAWAGUCHI Eishiro	BTH955	Licensed Representative	1, 4, 6
KAWAI Makoto	BXJ388	Licensed Representative	1, 4
KAWANO Ayumi	BUU880	Licensed Representative	1
KE Dehua	BPU128	Licensed Representative	4, 9
KIM Joon Young	BNJ268	Licensed Representative	1, 4
KIM Lee Seul	BAZ737	Licensed Representative	1, 4
KIM Sora Hahn	AVR237	Licensed Representative	1, 2
KO Yeuk Fei	BYB764	Licensed Representative	1, 7
KOH Hui Lynn	BVZ388	Licensed Representative	1, 4
KUANG Shijie	BYJ507	Licensed Representative	1, 4, 9
KUNG Chun Wah	BRY438	Licensed Representative	4
KWAN Pak Leung, Horace	AAZ235	Licensed Representative	9
KWONG Kit Ying, Kitty	BDQ940	Licensed Representative	1, 4, 9
LAI Chi Hang	BPY345	Licensed Representative	1, 2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity
LAI Wing Cheong, Nick	AAI980	Licensed Representative	1
LAIDLER Ruth Elizabeth Joe	BVC275	Licensed Representative	2, 5
LAM Lee Hiu	BXG087	Licensed Representative	1
LAM Mun Chong	ALL307	Licensed Representative	1
LAM Ting Hei Jeffrey	BRR569	Licensed Representative	1, 2
LAM Zan Kin	BVH933	Licensed Representative	1, 4
LASME MEL Claude Victor Dy Emmanuel	BWE230	Licensed Representative	1, 2, 7
LAU Chi Wing Cynthia	BME269	Licensed Representative	1, 4, 9
LAU Cho Ming, Lawrence	AKX744	Licensed Representative	1, 4, 6
LAU Ka Fei	ASL713	Licensed Representative	1
LAU Ka Man	APN841	Licensed Representative	4, 9
LAU Tan Joyce	BVM701	Licensed Representative	4
LAU Tsz Yee	BVU062	Licensed Representative	1, 4
LAU Wai Ting	BRL069	Licensed Representative	1, 4
LAU Yan Ling	BAI014	Licensed Representative	1, 2
LAW Wing Ki	BVE118	Licensed Representative	13
LAW Wing Tat	BRG482	Licensed Representative	1, 4, 9
LEE Hui-chu	BBG429	Licensed Representative	2, 9
LEE Jackson	BUC127	Licensed Representative	1, 2
LEE Natalie Michelle	BXT992	Licensed Representative	9
LEE Yin Kiu	BOU278	Licensed Representative	1, 4
LEUNG Agnes Wing Lam	BWS788	Licensed Representative	1, 2
LEUNG Chi Ho	ABH320	Licensed Representative	1
LEUNG Karen Ka Ying	AUX242	Licensed Representative	1, 4
LEUNG LOK SUM SAM	BLL826	Licensed Representative	1, 4, 9
LEUNG Yu Hin	BRJ403	Licensed Representative	9
LI Chao	BWX124	Licensed Representative	6
LI Feiyong	ASI301	Licensed Representative	1
LI Jingshu	BDX191	Licensed Representative	1, 2
LI Lingfang	BQE751	Licensed Representative	1
LI Man Wai, Jasmine	AMF470	Licensed Representative	1, 4
LI Muk Hang Oscar	BUL743	Licensed Representative	4, 9
LI Nailin	BHB964	Licensed Representative	1, 7, 9
LI Qin	BXU079	Licensed Representative	1, 4, 9
LI Shuo	BOS628	Licensed Representative	1, 4
LI Sihui	BBM227	Licensed Representative	1, 4, 9
LI Siming	BWV859	Licensed Representative	1, 4, 6
LI Siyuan	BRK553	Licensed Representative	1, 6
LI Sun Yuen Elric	AAB989	Licensed Representative	9
LI Yani	BPQ889	Licensed Representative	9
LI Ying	BUC578	Licensed Representative	1, 4, 9
LIANG Tazhang	BWA863	Licensed Representative	1, 4
LIANG YINGYU	BUX876	Licensed Representative	9
LIN Edith	ANH570	Licensed Representative	1, 4, 9
LIN Qinyao	BOM087	Licensed Representative	1, 2
LIN Ye	BWC464	Licensed Representative	1, 6
LIU Bin	AWD208	Licensed Representative	1, 4
LIU Chengchen	BYG842	Licensed Representative	9
LIU Hanyu	BWN891	Licensed Representative	6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity
LIU Mingliang	BVU595	Licensed Representative	1, 6
LIU Weihua	BWV548	Licensed Representative	1, 6
LIU Yan Ying	BOD906	Licensed Representative	1
LIU Yang	BTB487	Licensed Representative	4
LIU Yang	BVI745	Licensed Representative	1, 4
LIU Yaokun	BJI665	Licensed Representative	4
LIU Yi	BUT399	Licensed Representative	9
LIU Yuan	BTL983	Licensed Representative	4
LIU Zhifeng	BWH879	Licensed Representative	1, 4, 6
LIU Ziyang	BUA111	Licensed Representative	1, 6
LO Chak Kin Steven	BTV469	Licensed Representative	1, 2
LO Chun Tung	BPQ641	Licensed Representative	9
LOK Kung Yao, Perry	AEF531	Licensed Representative	1, 2
LONNQUIST Tobias	BUV490	Licensed Representative	1, 4, 6
LU Hongyi	BWX596	Licensed Representative	1, 4
LU Yifei	BTZ047	Licensed Representative	4
LUK Mei Yuet, Phemie	ADI287	Licensed Representative	1
LUNG Tsan Yu	BPO804	Licensed Representative	4, 9
LUO Jie	BXF703	Licensed Representative	1, 6
LYU Xiaotong	BWP090	Licensed Representative	4
MA MARSELLE	BXA378	Licensed Representative	1, 4, 9
MA Yanfang	BWY560	Licensed Representative	1
MAC ARTHUR Matthew	ASS304	Licensed Representative	1
MAGOSHI Kazuyuki	BSJ265	Licensed Representative	1, 4, 6
MASCOLO Fabiano Hugues Joseph	ANE267	Licensed Representative	1
MATSUNAGA Martin Hideyuki	BNT940	Licensed Representative	1, 4, 6
MCCOMBE David John	BWW341	Licensed Representative	1, 4, 6
MCKECHNIE David Jonathan Daniel	AQR013	Licensed Representative	1
MIZUNO Ryota	BTJ788	Licensed Representative	9
MOHYAL Omair Ahmed	BWJ717	Licensed Representative	1, 4, 6
MOORE Rodney Charles	BTT410	Licensed Representative	1, 4, 6
MUELLER Michael	BRY209	Licensed Representative	1, 6
NAKAGAWA Shota	BXE970	Licensed Representative	1, 4, 6
NG Sze Yan, Johnny	AEV845	Licensed Representative	1, 2
NG Ting Cheong	BEQ321	Licensed Representative	1, 4, 9
NG Wing Sea	BUG671	Licensed Representative	1, 2, 4, 5, 9
NG Yuet Tung	BRA156	Licensed Representative	1
NIE Shengshen	AVL824	Licensed Representative	9
OKADA Yuma	BVB791	Licensed Representative	9
OKAMOTO Yuki	BVB207	Licensed Representative	1, 4
O'KEEFE Gregory Andrew	BWJ788	Licensed Representative	1, 4, 6
OKETA Masayuki	BVY562	Licensed Representative	1, 4, 6
OU Guangsen	BXC218	Licensed Representative	1, 6
OUYANG Yilong	BTB853	Licensed Representative	4
OYAMA Kazuki	BWY498	Licensed Representative	9
PARK Hyekyung	BFT687	Licensed Representative	1, 2
PARSONS Stephen James	BNS038	Licensed Representative	1, 4, 6
PAU Hui Yan	BPA073	Licensed Representative	4, 9
PAUL Christoph Jan	BWV223	Licensed Representative	1, 4, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity
PENG Ping	BTV721	Licensed Representative	4
PERVEZ Ahsan	AJO361	Licensed Representative	1, 4
PHOON Wing Kit	BME179	Licensed Representative	2
PI Yangqiu	BXG215	Licensed Representative	6
POON Ching Kit	BSS349	Licensed Representative	1, 2
POON Yu Ho	BTZ958	Licensed Representative	1, 4, 9
QI BIN	BUV004	Licensed Representative	9
QIAN Hao	BHC779	Licensed Representative	4
QIN Shuhan	BUK233	Licensed Representative	4, 5, 9
QIU Jingzhe	BVT810	Licensed Representative	1, 2
REN Xiaozhu	BXJ279	Licensed Representative	1, 4, 9
REYNOLDS Grant Louis	BCY151	Licensed Representative	9
RUAN Zhongjing	AYA132	Licensed Representative	1, 4, 6
RUSSELL Henry Alden Verplanck	BHT391	Licensed Representative	1
RYBAK Gregory	BNR279	Licensed Representative	1, 4, 6
SAMARA Nicholas	BVF083	Licensed Representative	1, 4, 6
SARI Lianita	BWN021	Licensed Representative	1, 4, 6
SATO Isshin	BXE749	Licensed Representative	1, 4, 6
SCLATER Angus Nicholai	BXN774	Licensed Representative	1
SHAH Junaid Hussain	AUR999	Licensed Representative	1, 2, 7
SHAH PRITISH HITESH	BUX936	Licensed Representative	1
SHEN Kang	BVZ724	Licensed Representative	1, 6
SIETTE Juliet	BBK303	Licensed Representative	9
SILSBY Myles Montgomery	BRR781	Licensed Representative	1, 4
SINGHI Alexander Nitin	BWW395	Licensed Representative	1, 4, 6
SIU Mei Wan	AJC718	Licensed Representative	1, 2
SIU Yan Ling	BVG925	Licensed Representative	13
SO Chi Ching	BWD338	Licensed Representative	1, 2
SO Siu Tung	BUG393	Licensed Representative	1
SO Winton Wayne Tung	BII265	Licensed Representative	9
SO Yeuk Lan	BVX137	Licensed Representative	1
SONG Mengjie	AVM348	Licensed Representative	1, 4, 9
SONG Mingzhen	BRX997	Licensed Representative	1, 4, 9
SONG Sijia	BIY897	Licensed Representative	6
SU Alyce	AGN848	Licensed Representative	4
SU Chang	BXN942	Licensed Representative	2
SU Zongpei	BOQ844	Licensed Representative	1, 4, 6
SUN Jiawei	BWN393	Licensed Representative	6
SUN Jinping	BHP242	Licensed Representative	1, 4, 9
SUN Lian	BOF968	Licensed Representative	9
SUN Lida	BBS690	Licensed Representative	1, 4, 6
SUN Tongcheng	BUD125	Licensed Representative	4, 9
SUN Yuru	BVG887	Licensed Representative	1, 6
SVENSSON Anders Olof	BFB216	Licensed Representative	1, 6
TAI Siu Chung	BAV570	Licensed Representative	4, 9
TAKIZAWA Maki	BXA285	Licensed Representative	1, 4, 6
TAM Siu Kei	BLP032	Licensed Representative	1
TAN Chun Hing	BPA126	Licensed Representative	1
TAN Ka Choi, Jonathan	ATH815	Licensed Representative	1, 2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity
TAN RAN	BWW837	Licensed Representative	2
TAN Xiaoru	BVA289	Licensed Representative	1, 4, 9
TANG Hong Kwan, Edmond	BMH152	Licensed Representative	4, 9
TANG Tat Hung Darren	BKA592	Licensed Representative	1, 2
TANG Yan	BUB942	Licensed Representative	1, 4
TAO Pu	BVG183	Licensed Representative	6
TAO Xiaozhuo	BES969	Licensed Representative	1, 4, 6
TAY Alvan Wei De	BRW507	Licensed Representative	1, 4
TEO Jie Ling	BUD045	Licensed Representative	1
THANASEKARAN Indran	BCV166	Licensed Representative	6
TIAN Jingjing	BVS186	Licensed Representative	1, 4, 9
TIN Chun Ho	BWT933	Licensed Representative	1, 2
TOH Zhen Zhou	BVQ488	Licensed Representative	9
TONG Hoi Man	BUI018	Licensed Representative	1, 4
TONG Wenzhao	BRR054	Licensed Representative	1, 4, 6
TSE Sze Man, Bonny	APX167	Licensed Representative	9
TU Zhiwen	BVE103	Licensed Representative	6
UNGSHANG Bertrand Carpio	BFX032	Licensed Representative	1
WADAKI Tetsuya	ATE081	Licensed Representative	4
WALKER Daniel James	BRE411	Licensed Representative	1, 4, 6
WALSH Simon Hastings Patrick	BHO182	Licensed Representative	9
WANE Mohamed Sada	BFD994	Licensed Representative	1, 2
WANG Anqi	BWL085	Licensed Representative	6
WANG Chengjie	BPI459	Licensed Representative	4, 9
WANG Dean	BQV509	Licensed Representative	4
WANG Fang	BIY226	Licensed Representative	1, 4, 9
WANG Ge	AXK427	Licensed Representative	1
WANG Genhai	BVG944	Licensed Representative	4
WANG Haiman	BPK091	Licensed Representative	1, 4, 9
WANG Jinjing	BSJ392	Licensed Representative	1, 6
WANG Liwei	BWO955	Licensed Representative	6
WANG SHANSHAN	BVQ623	Licensed Representative	6
WANG Siyu	BQJ936	Licensed Representative	2, 5
WANG Weiguang	BVW345	Licensed Representative	1, 6
WANG Xiaoxue	BOW774	Licensed Representative	1, 2
WANG Xingyun	BVG933	Licensed Representative	4
WANG Yuekun	BBL206	Licensed Representative	1, 6
WANG Yunying	BJG612	Licensed Representative	1, 2
WEI Binjie	BQI436	Licensed Representative	9
WEI Zhengyi	BPF457	Licensed Representative	9
WENG Jue	BTX610	Licensed Representative	1, 2
WERNER Joel David	BGD861	Licensed Representative	9
WIND Harry	BHA623	Licensed Representative	1, 4, 9
WIRATAMA Albertus Edward	BUL104	Licensed Representative	1, 4, 9
WONG Cathy	AOX829	Licensed Representative	1
WONG Chiu Yin, Tim	AGK573	Licensed Representative	1, 2, 9
WONG Ernest	BTL524	Licensed Representative	1, 2
WONG Hau Ting Shirley	BNN912	Licensed Representative	1, 2
WONG Hoi, Michael	AHU910	Licensed Representative	9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity
WONG Hon Kit	BXL435	Licensed Representative	1, 2, 4
WONG Ka Chi Colin	BVG846	Licensed Representative	13
WONG Kwan Ting	BWW494	Licensed Representative	9
WONG Shu Xian Melanie	BJM260	Licensed Representative	1, 4
WONG Sin Yan Ishtar	BJN165	Licensed Representative	1, 4
WONG Sing Piu, Bill	AAD086	Licensed Representative	1
WONG Tim Hi	ACN818	Licensed Representative	1, 2
WONG Tin Kit	AVD828	Licensed Representative	4, 9
WONG Ting Fung, Timothy	BGS895	Licensed Representative	1, 2
WOO Koon Fai	AQD905	Licensed Representative	1, 2, 4
WU Baihua	BXS678	Licensed Representative	1
WU Beiyi	BUV914	Licensed Representative	1, 2, 4
WU GU	BWX340	Licensed Representative	4, 9
WU Shuning	BQT148	Licensed Representative	9
WU Yu-Huan	BOC024	Licensed Representative	1, 6
WU Zhijun	AVF146	Licensed Representative	1, 6
XIE Qiu hao	BXL387	Licensed Representative	1, 2
XIE Shaowei	BNP481	Licensed Representative	1, 6
XIE Xinyan	BUU946	Licensed Representative	1, 2
XU Hanshu	BPX438	Licensed Representative	1, 6
XU Jie	BVP529	Licensed Representative	1, 4
XU Leon Cai Yang	BPT885	Licensed Representative	1, 2
XU Qehong Jonson	BWE358	Licensed Representative	1, 6
XU Yajing	BTZ070	Licensed Representative	1
XU Yuqing	BWK026	Licensed Representative	6
XU Ziyang	BTF082	Licensed Representative	1
YAMANAKA Yoshikazu	BVZ092	Licensed Representative	1, 4, 6
YAN Denise	BQC637	Licensed Representative	4
YANG Ethan	BYM746	Licensed Representative	1, 2
YANG Jingjing	BPO570	Licensed Representative	1, 4
YANG Qiuyao	BRI102	Licensed Representative	10
YANG Ruoyi	BGH897	Licensed Representative	1, 6
YANG Wenjing	BPT282	Licensed Representative	1, 4, 9
YANG Zhaocheng	BSZ471	Licensed Representative	1, 2
YANG Ziqi	BQE577	Licensed Representative	1, 2
YASUOKA Nippei	BAH382	Licensed Representative	1, 2
YAU King Him, Leon	ARG179	Licensed Representative	1
YE Liqi	BNX742	Licensed Representative	1, 2
YE Yuecao	BWC240	Licensed Representative	1
YEOLAWALA Mohammed Firoz	AWC343	Licensed Representative	1, 6
YEOW Zhenye	BTN034	Licensed Representative	1, 2, 3
YEUNG Ming Yue Victor	BKV247	Licensed Representative	1, 4
YEUNG Sai Ho Nelson	BYM581	Licensed Representative	1
YI Weibin	BKD231	Licensed Representative	1, 4, 9
YIM Man Yiu	BWZ014	Licensed Representative	1, 4
YIP Ka Tao	BNN336	Licensed Representative	1
YIP Man Ching	APJ595	Licensed Representative	1
YIP Shu Wing	BUF691	Licensed Representative	1, 4
YIU Fai	AYK069	Licensed Representative	1, 2, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity
YU Bingyang	BTU349	Licensed Representative	9
YU Ho Yin	ANA549	Licensed Representative	1
YU Lok Yi	BWX010	Licensed Representative	1, 4
YU Nga Ting	BVV834	Licensed Representative	1, 4
YU Wai San, Angelina	ACN957	Licensed Representative	1, 2, 3, 4
YU Zixuan	BWB417	Licensed Representative	1, 4, 6
YUAN Jing	BWS971	Licensed Representative	4, 9
YUAN YU	BVW851	Licensed Representative	1, 6
YUE Chee Sum, Clarence	ADT882	Licensed Representative	1
YUEN Chak Long Alex	BJK870	Licensed Representative	6
YUEN Wai Chak, Wilfred	AYF330	Licensed Representative	4
YUK Michael Ming Tak	AQN893	Licensed Representative	1, 2, 4
ZENG Jing	BUA460	Licensed Representative	9
ZHANG Bo	BSF470	Licensed Representative	4, 9
ZHANG Jian	BRN954	Licensed Representative	4, 9
ZHANG Jing An	BSQ728	Licensed Representative	1, 6
ZHANG Jinlai	BSW722	Licensed Representative	1, 4, 9
ZHANG Meng	BSU734	Licensed Representative	1, 4
ZHANG Meng	BVN490	Licensed Representative	1, 4, 9
ZHANG Minyan	BRY646	Licensed Representative	1, 4, 6
ZHANG Ning	BBM233	Licensed Representative	4
ZHANG Ran	BVH610	Licensed Representative	1, 2
ZHANG RUI	BTR899	Licensed Representative	1, 4
ZHANG Su	BPB757	Licensed Representative	4, 9
ZHANG Xinyi	BKK367	Licensed Representative	4, 9
ZHANG Yong	ANT437	Licensed Representative	1
ZHANG Yue	BWP106	Licensed Representative	6
ZHANG Zuoning	BVN544	Licensed Representative	1, 2
ZHAO Fangzhou	BUC831	Licensed Representative	4
ZHAO Peidong	BRS048	Licensed Representative	9
ZHAO Qian	BWI611	Licensed Representative	1, 4, 6
ZHENG Wei	BUW120	Licensed Representative	1, 2, 4
ZHOU Feiran	BMV756	Licensed Representative	1, 4, 6
ZHU Hongda	BMS538	Licensed Representative	1, 4, 6
ZHU Shihao	BNY733	Licensed Representative	1, 4, 6
ZHU Tianqi	BPV454	Licensed Representative	1, 6
ZHU Yanyi	BVF301	Licensed Representative	1, 4, 9
ZHUANG Jiejing	BKF285	Licensed Representative	9
Zhuang Qing Qing	BSO666	Licensed Representative	9
ZOU Haimeng	BTR722	Licensed Representative	1, 4
BOLDUC Anne-Julie	BMP069	Licensed Representative - Responsible Officer	9
BRADSHAW John	AHC328	Licensed Representative - Responsible Officer	1, 9
CHALLANDE Philippe Olivier	ASO429	Licensed Representative - Responsible Officer	1, 2
CHAN Christopher Wing-Kai	BIZ872	Licensed Representative - Responsible Officer	1, 4, 9
CHAU Chi Yan, Amanda	ALD220	Licensed Representative - Responsible Officer	9
CHEN Chi Hung	AOX772	Licensed Representative - Responsible Officer	1, 4, 9
CHENG Man Pan	AHC605	Licensed Representative - Responsible Officer	4, 9
CHIANG Hok Yuen	AAB457	Licensed Representative - Responsible Officer	1
CHONG Kam Lung	AWA413	Licensed Representative - Responsible Officer	2, 5

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHOU Pei Shen	BJB366	Licensed Representative - Responsible Officer	1, 4, 6
DU Jinsong	AMF177	Licensed Representative - Responsible Officer	9
FU Wing Yan, Rebecca	AFE899	Licensed Representative - Responsible Officer	1, 4
FUNG Chun Yu	AYD269	Licensed Representative - Responsible Officer	1, 2, 4, 5, 9
GAO Xin	BKB159	Licensed Representative - Responsible Officer	1, 4
GONG Ranxia	BWB365	Licensed Representative - Responsible Officer	4, 9
GRISSELL Edward Henry	BPY390	Licensed Representative - Responsible Officer	2
HAN Hui	AXQ372	Licensed Representative - Responsible Officer	1, 4
HANZL David	BEE583	Licensed Representative - Responsible Officer	1, 4, 9
HAUSLER Greg William	ASS697	Licensed Representative - Responsible Officer	1, 4
HETSCH Frederik	BOG462	Licensed Representative - Responsible Officer	9
HO Chun Kit	AEP599	Licensed Representative - Responsible Officer	1, 4, 9
HO Yui Kwong, Kenny	AAA321	Licensed Representative - Responsible Officer	1, 2, 4
HUNG Tin Wah	AGC692	Licensed Representative - Responsible Officer	4, 9
JIN YUKUN	BVP935	Licensed Representative - Responsible Officer	1
KIM Na Jung	BRY197	Licensed Representative - Responsible Officer	1, 2
KWAN Chi Wai	AOB259	Licensed Representative - Responsible Officer	6
KWAN Pak Leung, Horace	AAZ235	Licensed Representative - Responsible Officer	1, 2, 4, 5
LAI King Yan, Anthony	AGA420	Licensed Representative - Responsible Officer	6
LAU Tat Wai, David	ADF528	Licensed Representative - Responsible Officer	1, 6
LAW Chi Cheong, Franco	AAZ238	Licensed Representative - Responsible Officer	1, 4
LAW Richard	BOK164	Licensed Representative - Responsible Officer	1
LEE Hui-chu	BBG429	Licensed Representative - Responsible Officer	1
LEUNG Kit Yee, Katherine	ADJ629	Licensed Representative - Responsible Officer	9
LI Shuo	BOS628	Licensed Representative - Responsible Officer	2
LIANG Tazhang	BWA863	Licensed Representative - Responsible Officer	9
LIU Geoffrey Yu Hin	BDG922	Licensed Representative - Responsible Officer	1, 4, 9
LIU Jia Kung	BKM275	Licensed Representative - Responsible Officer	1, 2, 4, 9
LO Y Yu, Thomas	AFR473	Licensed Representative - Responsible Officer	4, 9
LU Shuai	BUK959	Licensed Representative - Responsible Officer	9
LUI Chun Wai Jervis	AHY083	Licensed Representative - Responsible Officer	1, 2
MC ELWAIN Steven James	BUE654	Licensed Representative - Responsible Officer	1, 4, 9
MIZUNO Kosuke	ADP243	Licensed Representative - Responsible Officer	1, 2, 4, 6
ONG Ling Wei	ANN463	Licensed Representative - Responsible Officer	1, 4, 9
PAN Jupeng	BFU374	Licensed Representative - Responsible Officer	1
PANCHAPAGESAN Arjun	BNY630	Licensed Representative - Responsible Officer	1, 4, 9
PANG Kai Chun	AOJ337	Licensed Representative - Responsible Officer	1, 4, 9
PHUA Joo Han Adam	ANW541	Licensed Representative - Responsible Officer	1
QUINLIVAN Robert James	BNW164	Licensed Representative - Responsible Officer	1, 7
SETO Spencer W	ANU367	Licensed Representative - Responsible Officer	1, 4
SHYU Li-han	ARE465	Licensed Representative - Responsible Officer	1
SONG Yang, Ike	AQJ814	Licensed Representative - Responsible Officer	1, 4, 6
STOCKFORD Michael	AEU267	Licensed Representative - Responsible Officer	4, 9
SZE Hoi Yan, Selina	AQD955	Licensed Representative - Responsible Officer	4, 9
SZE Yeung Kuen	AQZ649	Licensed Representative - Responsible Officer	4, 9
TAM Thomas Kwok Leung	BTS051	Licensed Representative - Responsible Officer	1, 2, 3, 4, 9
TAN Leng Cheng, Aaron	APF168	Licensed Representative - Responsible Officer	1, 4, 6
TAN Weimin	AXH034	Licensed Representative - Responsible Officer	4
TANG Chun Pong	BFN646	Licensed Representative - Responsible Officer	6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity
TSE Sze Man, Bonny	APX167	Licensed Representative - Responsible Officer	1, 4
WANG Wenwen	AYM691	Licensed Representative - Responsible Officer	1, 2
WANG Xingxing	AWS124	Licensed Representative - Responsible Officer	4, 9
WONG On Ying	AWY526	Licensed Representative - Responsible Officer	4, 9
WONG Shui Lun, William	AHR091	Licensed Representative - Responsible Officer	1
WU Jennifer Wai-ming	BIY153	Licensed Representative - Responsible Officer	10
XIE Faquan	BXC426	Licensed Representative - Responsible Officer	4, 9
XU Jiahui	BTO624	Licensed Representative - Responsible Officer	9
XU Zhenhua	BVZ493	Licensed Representative - Responsible Officer	4, 9
YAN Suping	AUR633	Licensed Representative - Responsible Officer	4, 9
YAN Yun	BKI023	Licensed Representative - Responsible Officer	1, 4, 9
YEUNG Pui Yam	AXK444	Licensed Representative - Responsible Officer	4, 9
YIP Ka Ho	ALQ922	Licensed Representative - Responsible Officer	4, 9
YIP Mow Lum	ABN643	Licensed Representative - Responsible Officer	2
YU Ronald Patrick Lup Man	APC229	Licensed Representative - Responsible Officer	4, 9
ZHANG Bing	BWH967	Licensed Representative - Responsible Officer	9
ZHANG Tian	BNO780	Licensed Representative - Responsible Officer	1, 4, 9
ZHAO Long	AXY574	Licensed Representative - Responsible Officer	1, 4, 6
ZHENG Jianping	AGD110	Licensed Representative - Responsible Officer	6
ACCURSO Joseph	BYR565	Licensed Representative (Provisional Licence)	1, 4
AN Danni	BYO257	Licensed Representative (Provisional Licence)	1, 4, 6
APABHAI Hamza Saifullah	BRQ546	Licensed Representative (Provisional Licence)	1
ARIKI Nobutaka	ASU316	Licensed Representative (Provisional Licence)	9
BAEK Woonsung	BYN763	Licensed Representative (Provisional Licence)	9
BAI Yuyang	BPF400	Licensed Representative (Provisional Licence)	1, 4
BAZAROVA Ianzhima	BYO735	Licensed Representative (Provisional Licence)	9
BAZINE Gaetan Guillaume	BYQ601	Licensed Representative (Provisional Licence)	1, 2
BEAUDOUX Gauthier Alexandre Louis	BYM728	Licensed Representative (Provisional Licence)	1, 2
BERRY William Francis	BYK533	Licensed Representative (Provisional Licence)	1, 4
BONGRAIN Julian	BYN987	Licensed Representative (Provisional Licence)	1, 2, 7
BREL Maxime	BFZ187	Licensed Representative (Provisional Licence)	9
BUNN Malcolm	AQM778	Licensed Representative (Provisional Licence)	1
BUSSONNIERE Henri Jacques Thibault	BLO100	Licensed Representative (Provisional Licence)	1, 2, 7
CAI Peiyang	BYN773	Licensed Representative (Provisional Licence)	1, 2
CAI Xinyu	BYM546	Licensed Representative (Provisional Licence)	4
CAI Yibo	BCN610	Licensed Representative (Provisional Licence)	1
CAO Wenxin	BYR260	Licensed Representative (Provisional Licence)	1, 4
CAO Xiaowen	BYQ481	Licensed Representative (Provisional Licence)	1, 2, 7
CAO Zhenchao	BYN521	Licensed Representative (Provisional Licence)	1, 2, 9
CHAHINE Steven Jim Victor	BYO815	Licensed Representative (Provisional Licence)	1, 2
CHAN Andrew Gachun	BYO151	Licensed Representative (Provisional Licence)	1, 4
CHAN Chi Hang	AVA036	Licensed Representative (Provisional Licence)	1, 4
CHAN Chun Kit	AFY913	Licensed Representative (Provisional Licence)	1, 4
CHAN Chun Yip	AFZ392	Licensed Representative (Provisional Licence)	1, 4
CHAN Gabriel	BYG139	Licensed Representative (Provisional Licence)	1, 2
CHAN PUI CHING	BYN299	Licensed Representative (Provisional Licence)	1
CHAN SI MAN	BYP827	Licensed Representative (Provisional Licence)	1, 6
CHAN Tung Hung, June	BQW139	Licensed Representative (Provisional Licence)	1, 4
CHAN Yi	BKH673	Licensed Representative (Provisional Licence)	1, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHAN Yuen Ching Nigel	BYO200	Licensed Representative (Provisional Licence)	1, 2
CHAN Yui Kiu	BYN819	Licensed Representative (Provisional Licence)	1, 4
CHANG Ziqi	BYO358	Licensed Representative (Provisional Licence)	1, 2, 4
CHARASPREEDALARP Warit	BYJ812	Licensed Representative (Provisional Licence)	1, 2
CHAU Ching Yan	BYO711	Licensed Representative (Provisional Licence)	1, 4
CHAU Yin Wai	BJN487	Licensed Representative (Provisional Licence)	1, 4
CHEN Alvin M	BYP066	Licensed Representative (Provisional Licence)	1, 2
CHEN An Te	BYP136	Licensed Representative (Provisional Licence)	1, 2
CHEN Chiu-Chin	BIG430	Licensed Representative (Provisional Licence)	1, 2
CHEN Dongjun	BYQ105	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Junxian	BYO829	Licensed Representative (Provisional Licence)	1, 2
CHEN Kailin	BYQ631	Licensed Representative (Provisional Licence)	6
CHEN Manhua	BYL909	Licensed Representative (Provisional Licence)	4, 9
CHEN Pengjia	BKW919	Licensed Representative (Provisional Licence)	9
CHEN Qingzhen	BYN881	Licensed Representative (Provisional Licence)	4
CHEN Shaoling	BYO043	Licensed Representative (Provisional Licence)	1
CHEN Si	AXX171	Licensed Representative (Provisional Licence)	1
CHEN Tianhong	BYO803	Licensed Representative (Provisional Licence)	1, 6
CHEN Tuliang	BYQ759	Licensed Representative (Provisional Licence)	6
CHEN Xingjian	BYO185	Licensed Representative (Provisional Licence)	1
CHEN Xuan	BYO855	Licensed Representative (Provisional Licence)	1, 2
CHEN Yajing	BYI001	Licensed Representative (Provisional Licence)	1, 4
CHEN Yifan	BYO304	Licensed Representative (Provisional Licence)	4
CHEN Yixi	BMX056	Licensed Representative (Provisional Licence)	1, 4
CHEN Yixuan	BYE463	Licensed Representative (Provisional Licence)	1, 4, 9
CHEN Yuhan	BYQ079	Licensed Representative (Provisional Licence)	1
CHEN Yusen	BYK676	Licensed Representative (Provisional Licence)	4, 9
CHEN ZHIRUO	BYO351	Licensed Representative (Provisional Licence)	1, 4, 9
CHEN Zi	BYO474	Licensed Representative (Provisional Licence)	9
CHEN Ziqi	BYO509	Licensed Representative (Provisional Licence)	1
CHENG Cheuk Ying Esabel	BYO942	Licensed Representative (Provisional Licence)	1, 2
CHENG Qianyang	BYM950	Licensed Representative (Provisional Licence)	1, 4, 9
CHENG Qianzhi	BYO865	Licensed Representative (Provisional Licence)	4
CHENG Qingbei	BYQ031	Licensed Representative (Provisional Licence)	1, 4, 5, 9
CHEUNG Hoi Laam	BYJ216	Licensed Representative (Provisional Licence)	1
CHEUNG Yu Kai	BYP956	Licensed Representative (Provisional Licence)	9
CHEUNG Yung Yung	BIS105	Licensed Representative (Provisional Licence)	4, 9
CHIBA Izumi	AWH496	Licensed Representative (Provisional Licence)	1
CHIU Tik Hang	BBQ886	Licensed Representative (Provisional Licence)	1, 2
CHOI Hyoun Jeong	BYK844	Licensed Representative (Provisional Licence)	1, 4, 7
CHOI HyunJoon	BWY370	Licensed Representative (Provisional Licence)	1, 4, 6
CHOI Sheung Wah	BHF331	Licensed Representative (Provisional Licence)	9
CHOI Yan Wing	BYQ703	Licensed Representative (Provisional Licence)	1, 4
CHONG Hei Ting	BYN601	Licensed Representative (Provisional Licence)	1, 4, 9
CHOU Chung Hei, Johnny	BYO481	Licensed Representative (Provisional Licence)	2, 5
CHOW Long Yan James	BYP833	Licensed Representative (Provisional Licence)	1, 4, 9
CHU Clement Chiu Wei	BYQ913	Licensed Representative (Provisional Licence)	1
CHU Xiang	BYM071	Licensed Representative (Provisional Licence)	2, 5
CHUA Clement Min Tze	BYN221	Licensed Representative (Provisional Licence)	4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHUNG Ji Han	BYJ943	Licensed Representative (Provisional Licence)	4, 9
CUI Hao	BYJ995	Licensed Representative (Provisional Licence)	6
CUI Shaoju	BYM650	Licensed Representative (Provisional Licence)	2, 5
CUI Yicheng	BYO268	Licensed Representative (Provisional Licence)	1
DAI Zhirui	BYQ280	Licensed Representative (Provisional Licence)	1, 4
DEB Shounak	BYR831	Licensed Representative (Provisional Licence)	1, 2
DEMPSEY Zachary Reuben	BYP897	Licensed Representative (Provisional Licence)	1
DENG Mengping	BYN800	Licensed Representative (Provisional Licence)	1, 6
DENG Qinyu	BYM513	Licensed Representative (Provisional Licence)	1, 4, 6
DENG Yawen	BYJ272	Licensed Representative (Provisional Licence)	9
DENG Ziqi	BYJ087	Licensed Representative (Provisional Licence)	4, 9
DESHORS Guillaume, Philippe, Thomas	BYO500	Licensed Representative (Provisional Licence)	1, 2
DILLON Elaine Jacqueline	BBG986	Licensed Representative (Provisional Licence)	1, 2, 9
DING Yuping	BYO295	Licensed Representative (Provisional Licence)	1, 4
DONG Baihan	BYO352	Licensed Representative (Provisional Licence)	1, 2, 4, 5
DONG Qingyi	BYM362	Licensed Representative (Provisional Licence)	1, 6
DONG Xingfu	BYK421	Licensed Representative (Provisional Licence)	1
DONG Yiqi	BYJ814	Licensed Representative (Provisional Licence)	1, 4, 6
DU Nan Chiang	BGH452	Licensed Representative (Provisional Licence)	9
ENOMOTO Kota	BYO872	Licensed Representative (Provisional Licence)	1, 4, 6
FAN Siyao	BYF931	Licensed Representative (Provisional Licence)	1, 4, 6
FAN Yingjie	BJE201	Licensed Representative (Provisional Licence)	1, 4, 6
FANG Jingyi	BYJ963	Licensed Representative (Provisional Licence)	1, 4, 6
FANG Yiqun	BYM952	Licensed Representative (Provisional Licence)	1, 2, 4
FENG Chuchao	BYO614	Licensed Representative (Provisional Licence)	1
FENG Wenbing	BYO396	Licensed Representative (Provisional Licence)	6
FONG Yee Lam, Justice	BTZ010	Licensed Representative (Provisional Licence)	1
FONG Yi Hin	BYK129	Licensed Representative (Provisional Licence)	1, 4, 9
FOY Warwick James	BYO074	Licensed Representative (Provisional Licence)	1, 2
FU Nicole Wai Lam	BSU152	Licensed Representative (Provisional Licence)	4, 9
GAO Junyu	BYM972	Licensed Representative (Provisional Licence)	1, 2
GAO Ruiyang	BYN106	Licensed Representative (Provisional Licence)	9
GAO Yujian	BYL002	Licensed Representative (Provisional Licence)	1, 4, 9
GARG Nikhil	AVH861	Licensed Representative (Provisional Licence)	1
GARIBALDI Vanessa Aimee	BYO194	Licensed Representative (Provisional Licence)	4
GARNACE Peter Louise Dela Cruz	BYO130	Licensed Representative (Provisional Licence)	4
GEOFFROY Victor, Sebastien	BYO212	Licensed Representative (Provisional Licence)	9
GONG Yanjun	BYO233	Licensed Representative (Provisional Licence)	1, 4
GONZALEZ RUIZ Alejandro	BYR580	Licensed Representative (Provisional Licence)	1, 4, 9
GOTO Daichi	BYM504	Licensed Representative (Provisional Licence)	1
GUAN Hanya	BYQ036	Licensed Representative (Provisional Licence)	1, 2, 4, 5
GUO Xinyang	BYP202	Licensed Representative (Provisional Licence)	1, 2, 4, 5
GUO Yuxin	BYP875	Licensed Representative (Provisional Licence)	6
GUO Zhiqiang	BYK729	Licensed Representative (Provisional Licence)	1, 4, 6
HAH Seunghyun	BYN072	Licensed Representative (Provisional Licence)	1, 4, 6
HAN Chu	BYP055	Licensed Representative (Provisional Licence)	6
HANAUE Takeshi	BYN233	Licensed Representative (Provisional Licence)	1
HAO Sizhe	BYK685	Licensed Representative (Provisional Licence)	1, 4
HAYAKAWA Aya	BYQ535	Licensed Representative (Provisional Licence)	1, 2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity
HE George Xiaogang	ANE282	Licensed Representative (Provisional Licence)	1, 2, 9
HE Huan	BYP178	Licensed Representative (Provisional Licence)	6
HENG Megan Tsu Yi	BWR305	Licensed Representative (Provisional Licence)	1, 2
HERBERT Archie Edwin	BYQ383	Licensed Representative (Provisional Licence)	3
HIVAREKAR Abhijeet	AZD532	Licensed Representative (Provisional Licence)	1, 4, 9
HO Chi Yuk	BYP985	Licensed Representative (Provisional Licence)	1
HO Chun Pong	BYM921	Licensed Representative (Provisional Licence)	1
HO Wing Sze	BSX321	Licensed Representative (Provisional Licence)	1, 4, 6
HO Yi-Ling	BYJ830	Licensed Representative (Provisional Licence)	1, 4, 9
HO YINN MAN	BYL060	Licensed Representative (Provisional Licence)	1, 4, 9
HOANG Xuan Long	BYI372	Licensed Representative (Provisional Licence)	1, 4, 6
HONG Yang	BYN816	Licensed Representative (Provisional Licence)	1, 4, 9
HOU Yugao	BRQ982	Licensed Representative (Provisional Licence)	1, 9
HOU Yutao	BYM576	Licensed Representative (Provisional Licence)	1, 2
HU Jingting	BYR641	Licensed Representative (Provisional Licence)	4, 5, 9
HU Mingyang	BYP982	Licensed Representative (Provisional Licence)	1, 2, 4, 5
HU Qian	BYJ796	Licensed Representative (Provisional Licence)	6
HU Tengfeng	BRO696	Licensed Representative (Provisional Licence)	1, 6
HU Xiao	BYP940	Licensed Representative (Provisional Licence)	6
HU Yue	BYM559	Licensed Representative (Provisional Licence)	1, 2
HU Zhe	BYP003	Licensed Representative (Provisional Licence)	6
HUA Xia	BRR551	Licensed Representative (Provisional Licence)	4
HUANG Guoliang Nicholas	BHP915	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 7
HUANG He	BYQ272	Licensed Representative (Provisional Licence)	6
HUANG Luying	BYN790	Licensed Representative (Provisional Licence)	1
HUANG Ruihao	BYO348	Licensed Representative (Provisional Licence)	6
HUANG Suiming	BFB090	Licensed Representative (Provisional Licence)	1, 2
HUANG Xiang	BUM088	Licensed Representative (Provisional Licence)	1, 6
HUANG Xinyi	BYR100	Licensed Representative (Provisional Licence)	1, 2, 4
HUANG Yifu	BYJ832	Licensed Representative (Provisional Licence)	1, 4, 9
HUANG Yingqi	BYM660	Licensed Representative (Provisional Licence)	1, 2, 4
HUANG Zhoushan	BYN791	Licensed Representative (Provisional Licence)	1
HUI Ka Yi Emily	BYO235	Licensed Representative (Provisional Licence)	1, 4, 9
HWANG Hyun Jeong	BYO075	Licensed Representative (Provisional Licence)	1, 4, 6
INNES Mark Alexander	BYN802	Licensed Representative (Provisional Licence)	1
IP Hung	AGA252	Licensed Representative (Provisional Licence)	1, 4, 9
IP Tsz Pang	BUU152	Licensed Representative (Provisional Licence)	9
JALEEL Mohammed Tarik	BHY123	Licensed Representative (Provisional Licence)	9
JEON Hohyeon	BYO627	Licensed Representative (Provisional Licence)	1, 6
JI Jiechao	BQB230	Licensed Representative (Provisional Licence)	4
JI Yiran	BYN754	Licensed Representative (Provisional Licence)	6
JIA Yuhong	BUL450	Licensed Representative (Provisional Licence)	1, 2, 4
JIANG Bingham	BYH741	Licensed Representative (Provisional Licence)	1, 4, 9
JIANG Pin	BYQ023	Licensed Representative (Provisional Licence)	6
JIANG Ruju	BQX101	Licensed Representative (Provisional Licence)	6
JIANG Tao	BYN479	Licensed Representative (Provisional Licence)	6
JIE Yile	BME569	Licensed Representative (Provisional Licence)	1
JILKA Raj	BYQ498	Licensed Representative (Provisional Licence)	4
JIN Joseph	BID411	Licensed Representative (Provisional Licence)	1, 2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity
JIN Yan	BXP319	Licensed Representative (Provisional Licence)	1, 6
JING Jing	BYQ365	Licensed Representative (Provisional Licence)	1
JONES Sebastian Max	BYO630	Licensed Representative (Provisional Licence)	1, 4, 6
KAM Chun Wai	BYM800	Licensed Representative (Provisional Licence)	1, 6
KAN Cheuk Hin	BYM649	Licensed Representative (Provisional Licence)	2, 5
KAN Sum Yin	BUD185	Licensed Representative (Provisional Licence)	4, 9
KANG Songyi	BYH795	Licensed Representative (Provisional Licence)	4, 9
KANG TIANYI	BYQ575	Licensed Representative (Provisional Licence)	1, 6
KANODIA Ankit	BYR105	Licensed Representative (Provisional Licence)	1, 2
KAPASI Krunal Deepak	BYQ374	Licensed Representative (Provisional Licence)	1
KAROONYAVANICH Anuruk	AHX011	Licensed Representative (Provisional Licence)	1, 4, 6
KAWAKATSU Masanori	BYQ822	Licensed Representative (Provisional Licence)	1, 4, 6
KAWASHIMA Hibiki	BWT754	Licensed Representative (Provisional Licence)	1
KIM Cherin	BDW437	Licensed Representative (Provisional Licence)	1
KIM Hanseo	BYP011	Licensed Representative (Provisional Licence)	1, 6
KIM Hyun Jong	BYN851	Licensed Representative (Provisional Licence)	1, 6
KIM Jae Keun	AVP736	Licensed Representative (Provisional Licence)	1, 7
KIM Jongmin	BYO503	Licensed Representative (Provisional Licence)	1, 6
KIM Seung Hyun	BYO277	Licensed Representative (Provisional Licence)	1, 4, 6
KIM Theresia Minjeong	BYJ267	Licensed Representative (Provisional Licence)	1
KODTHIVADA Varun	BXS801	Licensed Representative (Provisional Licence)	9
KONG Jiajie	BYN229	Licensed Representative (Provisional Licence)	1
KOO Ka Suin, Belinda	AFF226	Licensed Representative (Provisional Licence)	1
KURAHASHI Tomoki	BYH954	Licensed Representative (Provisional Licence)	1
KWOK Ying Ying	BYE942	Licensed Representative (Provisional Licence)	1, 4, 9
KWONG Ka Yeung Winson	BYO239	Licensed Representative (Provisional Licence)	1, 2
LAI Sheung Lam	BYL945	Licensed Representative (Provisional Licence)	1, 2
LAK Ho Yee	BYR813	Licensed Representative (Provisional Licence)	1, 4, 9
LAM Giai Le	BYR833	Licensed Representative (Provisional Licence)	1, 2
LAM Kin Sing	BYJ094	Licensed Representative (Provisional Licence)	1
LAM Man Wai	BKM760	Licensed Representative (Provisional Licence)	9
LAM Robin	BYO763	Licensed Representative (Provisional Licence)	4
LAM Tsz Shing	BYO046	Licensed Representative (Provisional Licence)	1, 6
LAM Wing Yan	BYQ470	Licensed Representative (Provisional Licence)	1
LAU Chin Yan	BYM565	Licensed Representative (Provisional Licence)	1, 2
LAU Jason	BYQ001	Licensed Representative (Provisional Licence)	1, 4, 9
LAU Kin Man Peter	BYQ319	Licensed Representative (Provisional Licence)	1, 4
LAU Larry Jun Hung	BYQ850	Licensed Representative (Provisional Licence)	4, 9
LAU Pak Ching	BYQ480	Licensed Representative (Provisional Licence)	1
LAU Victor Henry	BYM099	Licensed Representative (Provisional Licence)	1, 4
LAU Yat Tung	BVE547	Licensed Representative (Provisional Licence)	4
LAU Yiu Fai	BYQ434	Licensed Representative (Provisional Licence)	1
LAW Choon Hwee	AZV649	Licensed Representative (Provisional Licence)	1
LEE Gahyeon	BYO293	Licensed Representative (Provisional Licence)	1, 6
LEE Lai Wah	BYP190	Licensed Representative (Provisional Licence)	1, 2
LEE Lap Fai	ARA683	Licensed Representative (Provisional Licence)	4, 9
LEE Lun Fung	BWE422	Licensed Representative (Provisional Licence)	1
LEE Yip Wang Lewis	BYH725	Licensed Representative (Provisional Licence)	1
LEI CHI TO	BYQ908	Licensed Representative (Provisional Licence)	1, 2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity
LEI Chung Hon	BYP938	Licensed Representative (Provisional Licence)	1, 2, 4
LEI Lei	BYJ181	Licensed Representative (Provisional Licence)	1, 6
LENG Wei	BYO403	Licensed Representative (Provisional Licence)	4
LEUNG Cheuk Hin	BYQ286	Licensed Representative (Provisional Licence)	9
LEUNG Hon Kuen	ATM775	Licensed Representative (Provisional Licence)	1, 2
LEUNG Man Hong	BRA137	Licensed Representative (Provisional Licence)	9
LEUNG Tsun Fung	BYO199	Licensed Representative (Provisional Licence)	1, 4
LEUNG Tze Ying Gwen	AYQ010	Licensed Representative (Provisional Licence)	6
LEUNG Wai Fong	BYO523	Licensed Representative (Provisional Licence)	1, 4, 9
LI Baitong	BYN724	Licensed Representative (Provisional Licence)	9
LI Bo	BPD375	Licensed Representative (Provisional Licence)	1, 2
LI Chao	AXX604	Licensed Representative (Provisional Licence)	6
LI Chen-Hung	BYQ288	Licensed Representative (Provisional Licence)	1
LI Chun Kit, Joseph	ALU441	Licensed Representative (Provisional Licence)	1, 4
LI Fengping	BYM445	Licensed Representative (Provisional Licence)	4, 9
LI Gexing	BYQ464	Licensed Representative (Provisional Licence)	1
LI Huanyu	BYJ530	Licensed Representative (Provisional Licence)	4
LI Jiahang	BYO852	Licensed Representative (Provisional Licence)	6
LI Jiani	BYE346	Licensed Representative (Provisional Licence)	1, 2
LI Jing	ARI941	Licensed Representative (Provisional Licence)	4, 9
LI Ka Yi	BST693	Licensed Representative (Provisional Licence)	1, 4, 9
LI Mengda	BYN657	Licensed Representative (Provisional Licence)	9
LI Mengyang	BYN139	Licensed Representative (Provisional Licence)	1, 4, 9
LI Mengyao	BYP265	Licensed Representative (Provisional Licence)	1, 2
LI Muxin	BYQ975	Licensed Representative (Provisional Licence)	1, 2
LI Muzi	BYN110	Licensed Representative (Provisional Licence)	1, 2, 4
LI Rui	BYM540	Licensed Representative (Provisional Licence)	4, 9
LI Shun Yin Edith	BYO349	Licensed Representative (Provisional Licence)	13
LI Sicong	BPT150	Licensed Representative (Provisional Licence)	1
LI Siye	BYM544	Licensed Representative (Provisional Licence)	1, 2
LI Wing Chee	BFS581	Licensed Representative (Provisional Licence)	1, 2
LI Xi	BYQ459	Licensed Representative (Provisional Licence)	6
LI Xiang	BYL958	Licensed Representative (Provisional Licence)	1, 6
LI Xin Sheng	BYN992	Licensed Representative (Provisional Licence)	1, 6
LI Yichen	BYM973	Licensed Representative (Provisional Licence)	1, 4, 6
LI Yinan	BYP044	Licensed Representative (Provisional Licence)	6
LI Yuxi	BYH759	Licensed Representative (Provisional Licence)	1
LI Zhengzhuo	BYO055	Licensed Representative (Provisional Licence)	1, 4, 6
LIANG Lei	BYO584	Licensed Representative (Provisional Licence)	1, 2, 4
LIANG Minyi	BYG332	Licensed Representative (Provisional Licence)	1, 2, 4
LIEN Eugene	ANT917	Licensed Representative (Provisional Licence)	1, 4, 9
LIM Chaebin	BYO294	Licensed Representative (Provisional Licence)	1, 2, 4, 5
LIN Fengwei	BYO560	Licensed Representative (Provisional Licence)	6
LIN Ho Hao	BUA114	Licensed Representative (Provisional Licence)	1, 6
LIN Qiyuan	BYO482	Licensed Representative (Provisional Licence)	1, 6
LIN Sirui	BYJ705	Licensed Representative (Provisional Licence)	1, 9
LIN Tao	BRA862	Licensed Representative (Provisional Licence)	6
LIN Tiantian	BIB398	Licensed Representative (Provisional Licence)	1, 4, 6
LIN Wen-Lin	BYK848	Licensed Representative (Provisional Licence)	1, 2, 9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity
LIN Xilong	BYN438	Licensed Representative (Provisional Licence)	1, 2
LIN Yuming	BYO291	Licensed Representative (Provisional Licence)	1, 6
LIN Yushan	BYR179	Licensed Representative (Provisional Licence)	1, 4, 9
LIU Baoxiang	BYQ018	Licensed Representative (Provisional Licence)	1, 6
LIU Chujie	BYO050	Licensed Representative (Provisional Licence)	1
LIU Chun Ling	BFG510	Licensed Representative (Provisional Licence)	1, 4
LIU Fangzhou	BRZ410	Licensed Representative (Provisional Licence)	1, 4
LIU Gaoxiang	BYQ541	Licensed Representative (Provisional Licence)	4, 9
LIU Jun	BYQ461	Licensed Representative (Provisional Licence)	6
LIU Junkai	BYO631	Licensed Representative (Provisional Licence)	2
LIU Junyu	BRS813	Licensed Representative (Provisional Licence)	1, 6
LIU Kuang Wei	BYO856	Licensed Representative (Provisional Licence)	1
LIU Noel Zhihe	BYM886	Licensed Representative (Provisional Licence)	1, 4, 6
LIU Peizhuo	BYM949	Licensed Representative (Provisional Licence)	1, 2, 9
LIU Qiaoli	BYQ455	Licensed Representative (Provisional Licence)	1, 6
LIU Qishuo	BYO413	Licensed Representative (Provisional Licence)	4
LIU Shizhou	BYO715	Licensed Representative (Provisional Licence)	4, 9
LIU Thomas	AFE998	Licensed Representative (Provisional Licence)	1
LIU Wenkui	BYS139	Licensed Representative (Provisional Licence)	1
LIU Xinran	BYO111	Licensed Representative (Provisional Licence)	9
LIU Yang	BYN958	Licensed Representative (Provisional Licence)	4
LIU YEH Li-chen, Holly	AHU954	Licensed Representative (Provisional Licence)	1, 4, 9
LIU Zijun	BYO172	Licensed Representative (Provisional Licence)	1, 4, 9
LIU Ziwei	BYN546	Licensed Representative (Provisional Licence)	1, 4
LO Hiu Tung, Karen	AXH227	Licensed Representative (Provisional Licence)	9
LO Kin Wah	AWT271	Licensed Representative (Provisional Licence)	4, 9
LU CHANG	BYJ489	Licensed Representative (Provisional Licence)	4, 9
LU Lu	BYQ050	Licensed Representative (Provisional Licence)	4, 9
LU Wenchong	BYO281	Licensed Representative (Provisional Licence)	1, 6
LU Yingshu	BYH800	Licensed Representative (Provisional Licence)	1, 4
LU Zhicheng	BYQ496	Licensed Representative (Provisional Licence)	1, 4, 6
LUNG Donald Andrew	AKU007	Licensed Representative (Provisional Licence)	1, 6
LUO Jun	BYN961	Licensed Representative (Provisional Licence)	1
LUO Lei	BYO853	Licensed Representative (Provisional Licence)	6
LUO Ruidi	BYO816	Licensed Representative (Provisional Licence)	4, 9
LUO Wenhao	BYO849	Licensed Representative (Provisional Licence)	6
LUO Yuan	BYN422	Licensed Representative (Provisional Licence)	6
LUO Zichen	BYK428	Licensed Representative (Provisional Licence)	1
LYU Cong	BNN864	Licensed Representative (Provisional Licence)	9
LYU Longqin	BYQ184	Licensed Representative (Provisional Licence)	1
LYU Yun	BYO062	Licensed Representative (Provisional Licence)	6
LYU Zuohang	BYN633	Licensed Representative (Provisional Licence)	1, 4
MA Ho Wing Bertrand	BSG896	Licensed Representative (Provisional Licence)	1, 4
MA Ka Chee Richard	BYS225	Licensed Representative (Provisional Licence)	1, 2
MA Yijia	BHF474	Licensed Representative (Provisional Licence)	9
MAHAPATRA Amadika	BWV280	Licensed Representative (Provisional Licence)	1
MAHIDHARA Radhika	BNN231	Licensed Representative (Provisional Licence)	1
MAK Wing Fai	BYO639	Licensed Representative (Provisional Licence)	3
MAKI Kazunori	BYO602	Licensed Representative (Provisional Licence)	1, 4, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity
MARTINE Lee Duane	ACR903	Licensed Representative (Provisional Licence)	1
MASSON Romain, Pierre	BYM696	Licensed Representative (Provisional Licence)	1, 2
MCDONAGH Simon Joseph	BLC034	Licensed Representative (Provisional Licence)	4, 9
MCGREAL Nicola Joy	BJR426	Licensed Representative (Provisional Licence)	1, 2
MEDD George Robert	BYM537	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 7
MEDIC Luka	BYJ605	Licensed Representative (Provisional Licence)	1, 2
MENG Xiangyou	BYH748	Licensed Representative (Provisional Licence)	1
MENG YANBING	BYJ906	Licensed Representative (Provisional Licence)	1
MIN Hyukgi	BYN703	Licensed Representative (Provisional Licence)	4
MITCHELL Micha Lee	BGM243	Licensed Representative (Provisional Licence)	1, 4
MIU Cassie	BYO840	Licensed Representative (Provisional Licence)	1, 4, 9
MO Junchao	BYM427	Licensed Representative (Provisional Licence)	1
MOK Ka Lok Peter	BYN642	Licensed Representative (Provisional Licence)	1, 2
MU Jing Hua	BYO522	Licensed Representative (Provisional Licence)	4, 9
NAKABAYASHI Keitaro	BYQ920	Licensed Representative (Provisional Licence)	1, 4
NARANG Radhika	BRP909	Licensed Representative (Provisional Licence)	1
NAVARRO Justin Patrick Montenegro	BYO765	Licensed Representative (Provisional Licence)	1
NG Hong Yin Nicholas	BYP828	Licensed Representative (Provisional Licence)	4
NG Jonathan Putra Hartanto	BXM149	Licensed Representative (Provisional Licence)	1, 4
NGAI Chun Tung	BYP277	Licensed Representative (Provisional Licence)	1, 4, 9
NGAI Ping Leung	BAU222	Licensed Representative (Provisional Licence)	1, 2, 4
NGAN Hau Yu	BYO228	Licensed Representative (Provisional Licence)	1
OH Joon	BYP923	Licensed Representative (Provisional Licence)	9
OU Jiahuan	BYO326	Licensed Representative (Provisional Licence)	1, 2, 4
PAN Yanbing	BYN271	Licensed Representative (Provisional Licence)	1, 4, 6
PAN Yu	BYK176	Licensed Representative (Provisional Licence)	2, 5
PANIKKAR Kesava Rajat	AVQ218	Licensed Representative (Provisional Licence)	1, 4
PARK Hyun Soo	BYI023	Licensed Representative (Provisional Licence)	1
PARK Jin Hwan	BYO655	Licensed Representative (Provisional Licence)	1, 2
PEI Jiayun	BYO410	Licensed Representative (Provisional Licence)	1, 2
PENG Danni	BYQ377	Licensed Representative (Provisional Licence)	1, 6
PENG Zishen	BYQ552	Licensed Representative (Provisional Licence)	4
PERRIN Tanguy Antoine	BYQ540	Licensed Representative (Provisional Licence)	9
POON Chun Yin	BYO417	Licensed Representative (Provisional Licence)	1, 4
PRENDIVILLE Nora Aoife	BYK727	Licensed Representative (Provisional Licence)	1
PUN Harvey	BYP065	Licensed Representative (Provisional Licence)	1, 4, 9
PUN Ho Luen	BYJ791	Licensed Representative (Provisional Licence)	2
QI Tong	BQS630	Licensed Representative (Provisional Licence)	1, 4
QIAN Ruijia	BYM084	Licensed Representative (Provisional Licence)	1, 4
QIAN Xue	BYN789	Licensed Representative (Provisional Licence)	1
QIN Hanzhang	BYM659	Licensed Representative (Provisional Licence)	1
QIU Hongfei	BYC725	Licensed Representative (Provisional Licence)	4
QIU Jack	BYM311	Licensed Representative (Provisional Licence)	1, 6
QIU Peicheng	BYJ779	Licensed Representative (Provisional Licence)	2, 5
QU Na	BYR453	Licensed Representative (Provisional Licence)	4
RADILLAC Marc	BYQ760	Licensed Representative (Provisional Licence)	1, 2
REN Lu	BYQ655	Licensed Representative (Provisional Licence)	1, 4
REN Minxiang	BYO290	Licensed Representative (Provisional Licence)	6
REN Wenqi	BYK808	Licensed Representative (Provisional Licence)	1, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity
REYNOLDS Emily Grace	BYQ053	Licensed Representative (Provisional Licence)	1, 4
ROCHE Declan Thomas	BYQ502	Licensed Representative (Provisional Licence)	1, 2
SAMUELL Darren John	AJB856	Licensed Representative (Provisional Licence)	9
SATTAR IKRAM	BYQ426	Licensed Representative (Provisional Licence)	1, 9
SEE Yat Nam Harry	BYP913	Licensed Representative (Provisional Licence)	9
SHA Ning	BYM779	Licensed Representative (Provisional Licence)	4
SHANG Connie Kangning	BYQ187	Licensed Representative (Provisional Licence)	1, 6
SHAO Yang	BPG209	Licensed Representative (Provisional Licence)	6
SHAO Zhuhai	BYK260	Licensed Representative (Provisional Licence)	1, 2
SHEN Hao	BYL142	Licensed Representative (Provisional Licence)	1, 6
SHEN Xuhua	BNB839	Licensed Representative (Provisional Licence)	9
SHEN Yang	BYM932	Licensed Representative (Provisional Licence)	1, 2, 9
SHEN Ying	BYO798	Licensed Representative (Provisional Licence)	9
SHENG Ruizhi	BYQ474	Licensed Representative (Provisional Licence)	1, 4, 6
SHI Haochen	BYQ324	Licensed Representative (Provisional Licence)	1, 2, 9
SHI Huijun, Michelle	AMW880	Licensed Representative (Provisional Licence)	1, 4, 9
SHI Jliacheng	BYQ911	Licensed Representative (Provisional Licence)	1
SHI Lingyu	BYN425	Licensed Representative (Provisional Licence)	6
SHI Shuming	BYP239	Licensed Representative (Provisional Licence)	1
SHI Yuchi	BYN160	Licensed Representative (Provisional Licence)	6
SHIH Mei-chi	BYM516	Licensed Representative (Provisional Licence)	4, 5, 9
SIN Pak Hei	BRX319	Licensed Representative (Provisional Licence)	1, 4
SINGHAL Parth	BWK628	Licensed Representative (Provisional Licence)	1, 2
SIU Wai Tat	BYQ912	Licensed Representative (Provisional Licence)	1
SMITH Stephen	BID322	Licensed Representative (Provisional Licence)	9
SO Ka Him	BYO267	Licensed Representative (Provisional Licence)	1, 4
SO Yee Lam	BYN447	Licensed Representative (Provisional Licence)	9
SONG Yue	BJX534	Licensed Representative (Provisional Licence)	1, 4
SOOD SHIVANG AVNISH	BYN757	Licensed Representative (Provisional Licence)	9
SRIVASTAVA Yash Sarvesh	BYK599	Licensed Representative (Provisional Licence)	1
STEAINS Clancy William	BYQ188	Licensed Representative (Provisional Licence)	1, 6
SU Anqi	BYQ424	Licensed Representative (Provisional Licence)	6
SUEN Tsz Ying	BYQ394	Licensed Representative (Provisional Licence)	1
SUGIURA Tomi	BYO741	Licensed Representative (Provisional Licence)	1
SUHNER Laura Carolina	BXX137	Licensed Representative (Provisional Licence)	1, 4
SUN Aijia	BYN686	Licensed Representative (Provisional Licence)	1, 4
SUN Leran	BYM661	Licensed Representative (Provisional Licence)	1, 2, 4
SUN Ming	AVC988	Licensed Representative (Provisional Licence)	4
SUN Yidan	BYP953	Licensed Representative (Provisional Licence)	1, 6
SURESH Adarsh	ATH913	Licensed Representative (Provisional Licence)	2
SUZUKI Kiyoshiro	BYN467	Licensed Representative (Provisional Licence)	9
SZE Eleanor Jane	BYQ189	Licensed Representative (Provisional Licence)	1, 2, 4, 5
SZE Shun Yan	BYP062	Licensed Representative (Provisional Licence)	4, 9
TAIBI Naomi	BYR484	Licensed Representative (Provisional Licence)	1
TAM Hok Man Patricia	BYJ865	Licensed Representative (Provisional Licence)	1
TAM Yuet Ting	BYO402	Licensed Representative (Provisional Licence)	4, 5, 9
TAMURA Suzune	BTF184	Licensed Representative (Provisional Licence)	4
TANG Ching Yu	BYP258	Licensed Representative (Provisional Licence)	6
TANG Chun Yuen Matthew	BYO670	Licensed Representative (Provisional Licence)	4, 9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity
TANG Yinong	BYN065	Licensed Representative (Provisional Licence)	1, 4
TANIGUCHI Koji	BYM568	Licensed Representative (Provisional Licence)	1, 4, 6
TANIYAMA Koichiro	BYN946	Licensed Representative (Provisional Licence)	1, 4, 7
TAO Chenyang	BYQ129	Licensed Representative (Provisional Licence)	6
TAYLOR Benjamin James	BYQ062	Licensed Representative (Provisional Licence)	1
THALAKALA Arun Sashank	BYJ183	Licensed Representative (Provisional Licence)	9
TIAN Mingyong	BRY546	Licensed Representative (Provisional Licence)	9
TIAN Yihe	BYP043	Licensed Representative (Provisional Licence)	1, 2
TIAN Zeyuan	BYN988	Licensed Representative (Provisional Licence)	1, 4, 6
TIN Carmen	AFF901	Licensed Representative (Provisional Licence)	1, 4, 9
TODA Tatsuya	BYO576	Licensed Representative (Provisional Licence)	1, 4, 6
TOH TINRUI	BYO804	Licensed Representative (Provisional Licence)	1, 6
TONG Hau Yung	BYQ238	Licensed Representative (Provisional Licence)	1, 4, 6
TONG XIN	BYO946	Licensed Representative (Provisional Licence)	9
TSAI Chien-Ho	BYN997	Licensed Representative (Provisional Licence)	1, 6
TSAI Lee-Ching	BYN158	Licensed Representative (Provisional Licence)	1
TSANG Nam Chi	BYM529	Licensed Representative (Provisional Licence)	1, 4
TSANG Wing Yan Ivy	BYM610	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 7
TSE Jerome Wing Lam	BXZ983	Licensed Representative (Provisional Licence)	4, 9
TSE Siu Yuen	AQA082	Licensed Representative (Provisional Licence)	1
TSO Wai Pong, Philip	ASH453	Licensed Representative (Provisional Licence)	1, 4, 9
TSUI Hoi Yan Jocelyn	BYM845	Licensed Representative (Provisional Licence)	1
TSUI Man Man	BYO986	Licensed Representative (Provisional Licence)	1, 6
UMASUTHAN Akash	BYP952	Licensed Representative (Provisional Licence)	1
VANSTONE Lauren Taylor	BYQ185	Licensed Representative (Provisional Licence)	1, 2
VASICA Eden Nikolas	BYI038	Licensed Representative (Provisional Licence)	2, 5
VONG Man Sam	BYM655	Licensed Representative (Provisional Licence)	4, 9
WAN Jiayi	BYO408	Licensed Representative (Provisional Licence)	1
WANG Bairan	BYN466	Licensed Representative (Provisional Licence)	1, 4, 9
WANG BOJUN	BYO598	Licensed Representative (Provisional Licence)	1, 6
WANG Chenyan	BNS566	Licensed Representative (Provisional Licence)	1, 4
WANG Cheuk Yin	BYJ388	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Ching Yuan	BYK110	Licensed Representative (Provisional Licence)	1, 4, 7
WANG Ciqiao	BYO161	Licensed Representative (Provisional Licence)	4
WANG Daren	BTG751	Licensed Representative (Provisional Licence)	1, 6
WANG Dishen	BXJ449	Licensed Representative (Provisional Licence)	1, 2
WANG Enqi	BYR542	Licensed Representative (Provisional Licence)	4
WANG Guanshu	BYP082	Licensed Representative (Provisional Licence)	1, 4
WANG Huizheng	BYP002	Licensed Representative (Provisional Licence)	6
WANG Jiaojiao	BYQ943	Licensed Representative (Provisional Licence)	1, 4
WANG Jiayi	BYH771	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Jingyuan	BPM730	Licensed Representative (Provisional Licence)	1
WANG Miao	BNY284	Licensed Representative (Provisional Licence)	9
WANG Ming Yuan	AGI951	Licensed Representative (Provisional Licence)	1, 4
WANG Peng	BYP909	Licensed Representative (Provisional Licence)	1, 4
WANG Ping	BYO218	Licensed Representative (Provisional Licence)	1, 6
WANG Ruilin	BYP954	Licensed Representative (Provisional Licence)	4
WANG Shiyu	BYR067	Licensed Representative (Provisional Licence)	1, 6
WANG SHOUYUAN	BYP971	Licensed Representative (Provisional Licence)	1, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity
WANG Sidan	BYE941	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Xuan	BYQ761	Licensed Representative (Provisional Licence)	6
WANG Yi Jie	BYQ279	Licensed Representative (Provisional Licence)	9
WANG Yilei	BYO944	Licensed Representative (Provisional Licence)	6
WANG Yiteng	BYO392	Licensed Representative (Provisional Licence)	1, 2
WANG Youchen	BYO653	Licensed Representative (Provisional Licence)	1, 2, 4, 5
WANG Zeyu	BYQ553	Licensed Representative (Provisional Licence)	4
WATANABE Virginia	BYO483	Licensed Representative (Provisional Licence)	4
WAYMEL Sebastien Pierre Jean-Marie	BYK642	Licensed Representative (Provisional Licence)	1
WEI Jackson Zhuo Cheng	BKL409	Licensed Representative (Provisional Licence)	4
WEI Tiantian	BYN231	Licensed Representative (Provisional Licence)	1
WEN Jingcheng	BVK755	Licensed Representative (Provisional Licence)	1, 4, 9
WEN Lujia	BYQ959	Licensed Representative (Provisional Licence)	1, 2, 4, 5
WEN Xiaoyi	BYQ918	Licensed Representative (Provisional Licence)	1, 4, 9
WILLMANN Steven Andrew	BYN738	Licensed Representative (Provisional Licence)	1, 4
WINKELMOLEN Remo	BVH047	Licensed Representative (Provisional Licence)	1
WONG Hoi Yat Hong	BYO312	Licensed Representative (Provisional Licence)	1
WONG Hok To	BYR128	Licensed Representative (Provisional Licence)	9
WONG Jun	BMG252	Licensed Representative (Provisional Licence)	1, 2
WONG Ka Man Janice	BYQ067	Licensed Representative (Provisional Licence)	1, 4
WONG Nga Man Ivy	BYM953	Licensed Representative (Provisional Licence)	1
WONG Ngan Fun Kelvin	BNB167	Licensed Representative (Provisional Licence)	1, 9
WONG Tai Man Edwin	BYR053	Licensed Representative (Provisional Licence)	1, 4
WONG Wai Wah	BYK188	Licensed Representative (Provisional Licence)	1, 2, 9
WONG Yee Yan Rachel	BYO401	Licensed Representative (Provisional Licence)	1, 4
WRIGHT James Alexander	BYI280	Licensed Representative (Provisional Licence)	9
WU Alex	BYL916	Licensed Representative (Provisional Licence)	1, 6
WU Conglu	BQJ931	Licensed Representative (Provisional Licence)	4
WU Dongyao	BYR515	Licensed Representative (Provisional Licence)	4
WU Jiajian	BYO229	Licensed Representative (Provisional Licence)	1, 4
WU Sau Wa	BYO049	Licensed Representative (Provisional Licence)	1
WU Weiye	BYN476	Licensed Representative (Provisional Licence)	1
WU Wentao	BYM107	Licensed Representative (Provisional Licence)	1, 2, 9
WU Xintong	BYN803	Licensed Representative (Provisional Licence)	1, 9
WU Yang	BYQ871	Licensed Representative (Provisional Licence)	1, 4, 9
WU Yueyue	BYK903	Licensed Representative (Provisional Licence)	1, 4
WU Yutong	BYF063	Licensed Representative (Provisional Licence)	1, 4, 9
WU Zeqing	BYL922	Licensed Representative (Provisional Licence)	4
WU Zhuoya	BYJ113	Licensed Representative (Provisional Licence)	1, 2
WURTZ Gregory Aaron	BEB748	Licensed Representative (Provisional Licence)	1, 2
XIA Wenjun	BTM028	Licensed Representative (Provisional Licence)	9
XIA Zhifei	BYL927	Licensed Representative (Provisional Licence)	4
XIAO Sixuan	BYO078	Licensed Representative (Provisional Licence)	1, 2, 4
XIAO Weihao	BYK695	Licensed Representative (Provisional Licence)	1, 2
XIAO Yunlong	BYK420	Licensed Representative (Provisional Licence)	4
XIE Dan	BRV127	Licensed Representative (Provisional Licence)	4, 9
XIE Hanmeng	BYG335	Licensed Representative (Provisional Licence)	6
XIE Yunhui	BYO866	Licensed Representative (Provisional Licence)	6
XIE Zhuoheng	BYO484	Licensed Representative (Provisional Licence)	1, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity
XIE Zirun	BYO542	Licensed Representative (Provisional Licence)	1, 4
XIONG Caiwei	BVP928	Licensed Representative (Provisional Licence)	1, 4, 6
XU Chi	BYA611	Licensed Representative (Provisional Licence)	4
XU Hu	ANV103	Licensed Representative (Provisional Licence)	1, 6
XU Lu	BXR149	Licensed Representative (Provisional Licence)	6
XU Nandi	BYO350	Licensed Representative (Provisional Licence)	6
XU Runze	BUI433	Licensed Representative (Provisional Licence)	1, 6
XU SIYUAN	BYN387	Licensed Representative (Provisional Licence)	1, 2
XU Yinghui	BYM829	Licensed Representative (Provisional Licence)	1
XU Zheng	BYQ984	Licensed Representative (Provisional Licence)	1
XUAN Shanshan	BYO605	Licensed Representative (Provisional Licence)	1, 4
XUE Jiayun	BMF180	Licensed Representative (Provisional Licence)	1, 2
XUE Yaqi	BYN872	Licensed Representative (Provisional Licence)	4
XUE Yuan	BNF072	Licensed Representative (Provisional Licence)	1, 4, 9
YADAV Mandeep	BYP284	Licensed Representative (Provisional Licence)	1, 4, 6
YAM Man Leong	BRV775	Licensed Representative (Provisional Licence)	1
YAN KIN FAI	BYM526	Licensed Representative (Provisional Licence)	1, 4
YAN Yan	BYG846	Licensed Representative (Provisional Licence)	1, 2, 4
YANG Changlin	BYI031	Licensed Representative (Provisional Licence)	1, 4, 9
YANG Dan	BYQ778	Licensed Representative (Provisional Licence)	6
YANG Fan	BYO938	Licensed Representative (Provisional Licence)	6
YANG Jingyun	BYM716	Licensed Representative (Provisional Licence)	1
YANG Jun	BYQ153	Licensed Representative (Provisional Licence)	1, 2, 4, 5
YANG Meiyuan	BXN767	Licensed Representative (Provisional Licence)	6
YANG Minyi	BYK716	Licensed Representative (Provisional Licence)	9
YANG Xinling	BYN470	Licensed Representative (Provisional Licence)	1, 6
YANG Xintong	BYJ144	Licensed Representative (Provisional Licence)	6
YANG Zhao	BOC022	Licensed Representative (Provisional Licence)	4
YANG Zhidao	BMF166	Licensed Representative (Provisional Licence)	1, 4, 9
YAO DI	BYQ236	Licensed Representative (Provisional Licence)	1
YAO Hanxiang	BYE252	Licensed Representative (Provisional Licence)	1, 2, 4
YAO Jiajun	BYN818	Licensed Representative (Provisional Licence)	1, 4
YAO Xing Yu Zenith	BYN869	Licensed Representative (Provisional Licence)	1, 4
YAU Tak Sing	BYO583	Licensed Representative (Provisional Licence)	1, 4, 9
YE Ziqi	BYQ690	Licensed Representative (Provisional Licence)	1, 2
YEO Jun Wei	BYO768	Licensed Representative (Provisional Licence)	1
YEUNG Ho Long	BYO195	Licensed Representative (Provisional Licence)	1, 2, 9
YEUNG Pui Shan	AGH200	Licensed Representative (Provisional Licence)	13
YEUNG Sai Ho Nelson	BYM581	Licensed Representative (Provisional Licence)	1
YEUNG Wing Hang	BQH123	Licensed Representative (Provisional Licence)	1, 2
YI Pengyu	BKJ155	Licensed Representative (Provisional Licence)	6
YIN Lei	BYQ457	Licensed Representative (Provisional Licence)	6
YIP Chi Fong	BYN142	Licensed Representative (Provisional Licence)	1, 4, 6
YOSHIDA Tomonori	BWN548	Licensed Representative (Provisional Licence)	1, 4, 6
YU Chun Man	BND624	Licensed Representative (Provisional Licence)	1, 6
YU Emily I Hsuan	BYL933	Licensed Representative (Provisional Licence)	1, 4, 9
YU See Mun	BAI954	Licensed Representative (Provisional Licence)	1, 4
YU Yongli	BYO732	Licensed Representative (Provisional Licence)	2
YUAN Ruiqi	BYO499	Licensed Representative (Provisional Licence)	1, 4, 9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity
YUAN Shengxiang	BYN407	Licensed Representative (Provisional Licence)	1
YUAN Yunzi	BYO449	Licensed Representative (Provisional Licence)	1, 6
YUN Chong-Long	BQE849	Licensed Representative (Provisional Licence)	9
YUN Gihyun	BYN458	Licensed Representative (Provisional Licence)	10
ZHALILO Maksym	BYN224	Licensed Representative (Provisional Licence)	1, 2
ZHANG Bowen	BYM277	Licensed Representative (Provisional Licence)	1, 2, 4
ZHANG Daile	BYO100	Licensed Representative (Provisional Licence)	1, 2, 4
ZHANG Daohui	BYP214	Licensed Representative (Provisional Licence)	6
ZHANG Hairong	BYO507	Licensed Representative (Provisional Licence)	4
ZHANG Hanyu	BYQ393	Licensed Representative (Provisional Licence)	1, 2
ZHANG Ken	BYM459	Licensed Representative (Provisional Licence)	1, 6
ZHANG Qin	BYQ732	Licensed Representative (Provisional Licence)	1, 4, 9
ZHANG Ruichen	BYK363	Licensed Representative (Provisional Licence)	2
ZHANG Xian	BYM396	Licensed Representative (Provisional Licence)	6
ZHANG Yiwen	BYJ327	Licensed Representative (Provisional Licence)	4
ZHANG Yiyang	BYO508	Licensed Representative (Provisional Licence)	1, 2, 4
ZHANG Yongle	BYN403	Licensed Representative (Provisional Licence)	1, 4, 9
ZHANG Yuwei	BOU266	Licensed Representative (Provisional Licence)	1, 6
ZHANG Yuxuan	BYK111	Licensed Representative (Provisional Licence)	9
ZHANG Zhenming	BYO651	Licensed Representative (Provisional Licence)	6
ZHAO Bo	BYN513	Licensed Representative (Provisional Licence)	2
ZHAO He Ru	BYR059	Licensed Representative (Provisional Licence)	1
ZHAO Hui	BYP263	Licensed Representative (Provisional Licence)	1, 4
ZHAO Jiahui	BYN831	Licensed Representative (Provisional Licence)	6
ZHAO Mengqi	BYS226	Licensed Representative (Provisional Licence)	1, 4, 9
ZHAO Nachuan	BYC235	Licensed Representative (Provisional Licence)	1, 4, 6
ZHAO Ruyu	BYM366	Licensed Representative (Provisional Licence)	1, 2
ZHAO Yanbin	BXP642	Licensed Representative (Provisional Licence)	6
ZHAO Yuning	BYO284	Licensed Representative (Provisional Licence)	1, 4, 6
ZHENG Xi	BYG837	Licensed Representative (Provisional Licence)	1, 4, 9
ZHENG Xuerou	BYO928	Licensed Representative (Provisional Licence)	1, 4, 6
ZHENG Yang	BYN101	Licensed Representative (Provisional Licence)	1, 6
ZHOU Churui	BYP081	Licensed Representative (Provisional Licence)	1, 4
ZHOU Jiayi	BYN050	Licensed Representative (Provisional Licence)	1, 6
ZHOU Peiyan	BUA279	Licensed Representative (Provisional Licence)	6
ZHOU Peng	BYQ579	Licensed Representative (Provisional Licence)	1, 6
ZHOU Xiaohan	BYJ853	Licensed Representative (Provisional Licence)	1, 2, 4
ZHOU Yang	BYN712	Licensed Representative (Provisional Licence)	6
ZHOU Yang	BYQ289	Licensed Representative (Provisional Licence)	6
ZHOU Yanshan	BYJ065	Licensed Representative (Provisional Licence)	4
ZHOU Zhenhua	BYL920	Licensed Representative (Provisional Licence)	9
ZHOU Zhimin	BYN630	Licensed Representative (Provisional Licence)	4
ZHOU Zijun	BYN810	Licensed Representative (Provisional Licence)	1, 6
ZHU Ping	BYN702	Licensed Representative (Provisional Licence)	1, 4
ZHUANG Yishan	BYO227	Licensed Representative (Provisional Licence)	1
ZONG Feng	BYO066	Licensed Representative (Provisional Licence)	4
ZONG Hua	BYO582	Licensed Representative (Provisional Licence)	9
AGARWAL Prakhar	BYQ473	Licensed Representative (Temporary Licence)	4
AGARWAL Rupal	BOJ101	Licensed Representative (Temporary Licence)	4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity
AGRAWAL Vivek	BUB301	Licensed Representative (Temporary Licence)	4
AHMAD Anas Fitri Bin	BYO072	Licensed Representative (Temporary Licence)	1, 4, 6
ANDRE EP DUPONT Corinne	BXT724	Licensed Representative (Temporary Licence)	1, 4
ANG Beng Kiat Edwin	BOC606	Licensed Representative (Temporary Licence)	1, 2
ANG Kai Wei	BUV207	Licensed Representative (Temporary Licence)	4
AON Rwibhu	BWN047	Licensed Representative (Temporary Licence)	4
ARORA Rohit	ART681	Licensed Representative (Temporary Licence)	4
ATLAS Noah Corbett	BYR656	Licensed Representative (Temporary Licence)	1, 4
AVERY George Edward	BTR116	Licensed Representative (Temporary Licence)	4
BAHUGUNA Himanshu	BGT178	Licensed Representative (Temporary Licence)	1, 2
BAJORIA Rahul	BVK416	Licensed Representative (Temporary Licence)	4
BANSAL Sukriti	BOB594	Licensed Representative (Temporary Licence)	4
BARRON Jason	BF1936	Licensed Representative (Temporary Licence)	1
BEKER JORDAN David Alberto	BWS428	Licensed Representative (Temporary Licence)	4
BINTE KAMALUDEEN Dania	BYO693	Licensed Representative (Temporary Licence)	1, 4
BISHOP Alastair Timothy	BFE366	Licensed Representative (Temporary Licence)	1, 4
BURBANO Esteban	BLD950	Licensed Representative (Temporary Licence)	1, 4
BURRELLO John Nicholas	BTR117	Licensed Representative (Temporary Licence)	4
BYUN Kara Kyung Wha	APJ708	Licensed Representative (Temporary Licence)	1, 4
CABANA Mark Oliver	BTY872	Licensed Representative (Temporary Licence)	4
CARTWRIGHT Edward Ian	BDC766	Licensed Representative (Temporary Licence)	1
CECCARELLI Aron	BYQ074	Licensed Representative (Temporary Licence)	4
CERQUENI Giulia	BYJ164	Licensed Representative (Temporary Licence)	1
CHADHA Dushyant	BIE137	Licensed Representative (Temporary Licence)	1
CHAUDHURI Gargi Pal	BYP143	Licensed Representative (Temporary Licence)	1, 4
CHEE Jiun Wen	BYN218	Licensed Representative (Temporary Licence)	1
CHEN Jung Hsuan	BTZ245	Licensed Representative (Temporary Licence)	4
CHEN Li-Li	BYA180	Licensed Representative (Temporary Licence)	1, 2
CHEN Mingjie	BYO210	Licensed Representative (Temporary Licence)	1
CHKHIKVADZE Ana	BYP908	Licensed Representative (Temporary Licence)	1, 4
CHO A-Hyung	BTG868	Licensed Representative (Temporary Licence)	4
CHOI Cheuk Lai	BVS771	Licensed Representative (Temporary Licence)	4
CHOW KOK FAI	BYO835	Licensed Representative (Temporary Licence)	1, 4
CHU Charlene Marie	AMM435	Licensed Representative (Temporary Licence)	4
CHUA Minguang Donald	BEF380	Licensed Representative (Temporary Licence)	4
CHUN Jinhan	BYO677	Licensed Representative (Temporary Licence)	4
CHUN Jung Ae	BWT836	Licensed Representative (Temporary Licence)	4
DE CONINCK-LOPEZ Cathrine	BUF609	Licensed Representative (Temporary Licence)	1, 4
DEAN Jonathan Matthew Coslett	BUY675	Licensed Representative (Temporary Licence)	1, 4
DORAI Chadwick Rufus	BXC344	Licensed Representative (Temporary Licence)	4
DU Haozhe	BWV269	Licensed Representative (Temporary Licence)	1
DUA Manu Sawroop	BTU037	Licensed Representative (Temporary Licence)	1, 2
EL GHORFI Ali	BYO970	Licensed Representative (Temporary Licence)	1, 4
FARR Duncan Jonathan Tankred	BYO443	Licensed Representative (Temporary Licence)	1, 4
FORD Robert Erick	BWT069	Licensed Representative (Temporary Licence)	4
FRIESTAD Sukrit	BTL133	Licensed Representative (Temporary Licence)	4
FUCHS Alexander Otto	BPR489	Licensed Representative (Temporary Licence)	2
GAO Lu	ATB718	Licensed Representative (Temporary Licence)	1, 4
GOTTLIEB Joshua Cass	BYN518	Licensed Representative (Temporary Licence)	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity
GOUGH Stephen Robert	BVL862	Licensed Representative (Temporary Licence)	1, 4
GRANT Jack Reinaldo	BTM500	Licensed Representative (Temporary Licence)	1
GRONNIGER Phillip Brent	BHU837	Licensed Representative (Temporary Licence)	1
GUPTA Raj	BXS205	Licensed Representative (Temporary Licence)	1, 2
GWEE Cher Kiat Glendon	BYF420	Licensed Representative (Temporary Licence)	1
HA Taekyoung	BVO339	Licensed Representative (Temporary Licence)	4
HAN Xuyi	BNT117	Licensed Representative (Temporary Licence)	1, 2
HARAGUCHI Ukyo	BXU004	Licensed Representative (Temporary Licence)	4
HARBOUR Brian James	BYS102	Licensed Representative (Temporary Licence)	4
HARTSTEIN Isabel Sarah Cowan	BYO318	Licensed Representative (Temporary Licence)	4
HAYASHI (SUMOGE) Manabu	BWY603	Licensed Representative (Temporary Licence)	4
HE Cheng	BNS842	Licensed Representative (Temporary Licence)	1, 4
HEELAN Benjamin Michael	BIN836	Licensed Representative (Temporary Licence)	4
HESTON Blake Dunn	BYQ070	Licensed Representative (Temporary Licence)	1, 4
HO Chun To	AWK554	Licensed Representative (Temporary Licence)	4, 5
HO Zheng Ting	BYO230	Licensed Representative (Temporary Licence)	1, 4
HOH Wandy Yeap	BYN264	Licensed Representative (Temporary Licence)	1
HONG Yuhang	BYO406	Licensed Representative (Temporary Licence)	1
HORN Stanford Everett H	BUD089	Licensed Representative (Temporary Licence)	1, 4
HOSODA Kentaro	BWW394	Licensed Representative (Temporary Licence)	4
HSU Chia-Ning	BYO764	Licensed Representative (Temporary Licence)	4
HUBBARD Cara	BNN588	Licensed Representative (Temporary Licence)	1, 4
HUSSAIN Hussain	BYS202	Licensed Representative (Temporary Licence)	1, 6
HWANG Kyung Jae	BAJ130	Licensed Representative (Temporary Licence)	4
IJIMA Shiro	BWH110	Licensed Representative (Temporary Licence)	4
IKEDA Yusuke	BHB831	Licensed Representative (Temporary Licence)	4
IMAZU Nanako	AVU642	Licensed Representative (Temporary Licence)	4
IP Yin Tong	BXU217	Licensed Representative (Temporary Licence)	1, 4
IVASCYN Daniel John	AZS735	Licensed Representative (Temporary Licence)	1, 4
IWAI Toru	BND774	Licensed Representative (Temporary Licence)	1, 4
JAIN Rachna	AYF491	Licensed Representative (Temporary Licence)	4
JANG Duksan	BYO962	Licensed Representative (Temporary Licence)	4
JANG Namhyun	BUT078	Licensed Representative (Temporary Licence)	4
JANTANANON Nophakit	BYO692	Licensed Representative (Temporary Licence)	1, 4
JIANG Congying	BUQ734	Licensed Representative (Temporary Licence)	1
JIANG Peifeng	BYO405	Licensed Representative (Temporary Licence)	1
JOSEPH Arvin Sabu	BLP059	Licensed Representative (Temporary Licence)	1
KAKO Tomoaki	BUY876	Licensed Representative (Temporary Licence)	1, 2
KALB Victoria Stacey	AHT205	Licensed Representative (Temporary Licence)	4
KAO Lin-Ya	BTC778	Licensed Representative (Temporary Licence)	4
KAPADIA Rishi Naresh	BYQ045	Licensed Representative (Temporary Licence)	4
KASAI Takumi	BWW396	Licensed Representative (Temporary Licence)	4
KEENE Michael Donald	BOZ245	Licensed Representative (Temporary Licence)	1
KHODA Neha	BYQ938	Licensed Representative (Temporary Licence)	4
KHURSHID Faisal Ali	BYP883	Licensed Representative (Temporary Licence)	4
KUMAR Shaleen	BJQ605	Licensed Representative (Temporary Licence)	4
KUO YU-TUNG	BYO532	Licensed Representative (Temporary Licence)	1, 4
KURTIS Scott Robert	BUT910	Licensed Representative (Temporary Licence)	1, 2
LANKA Naga Sashank Venkatrahana	BLX562	Licensed Representative (Temporary Licence)	4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity
LAUGHLIN Justin John	AWV278	Licensed Representative (Temporary Licence)	2, 5
LEE Raphael Wen	BYK436	Licensed Representative (Temporary Licence)	4
LEE Sun Jung	BVQ361	Licensed Representative (Temporary Licence)	4
LEE Wei Qi	BXF590	Licensed Representative (Temporary Licence)	1
LEMARDELEY Athanase, Jean, Emmanuel	BYQ354	Licensed Representative (Temporary Licence)	1, 2
LEMMENS Patrick Johannes Anna Franciscus	BLS913	Licensed Representative (Temporary Licence)	1
LI Chen	BYM430	Licensed Representative (Temporary Licence)	1, 4
LIN Rujie	BSA327	Licensed Representative (Temporary Licence)	1
LINDQUIST Justin Myles	BJA817	Licensed Representative (Temporary Licence)	1, 4
LIU Haibo	BFN769	Licensed Representative (Temporary Licence)	4
LIU Yu-Chiao	BUM748	Licensed Representative (Temporary Licence)	4
LOGAN Yogamoorthy	BDZ080	Licensed Representative (Temporary Licence)	1
LOO Cheng Gan	BWN363	Licensed Representative (Temporary Licence)	1, 4
MACGREGOR James Wallace	BVL114	Licensed Representative (Temporary Licence)	4
MACGREGOR James Wallace	BVL114	Licensed Representative (Temporary Licence)	4
MADIREDDY Anusha	BYO547	Licensed Representative (Temporary Licence)	4
MAJOR Daniel Edward	BMP227	Licensed Representative (Temporary Licence)	4
MAKITA Kengo	BYP967	Licensed Representative (Temporary Licence)	4
MALLET Sebastien Fernand	BYN040	Licensed Representative (Temporary Licence)	4
MAMEGANO Koichi	BVA382	Licensed Representative (Temporary Licence)	4
MANPURIA Neha	BTH916	Licensed Representative (Temporary Licence)	4
MARTEL Michael Omer	BYQ369	Licensed Representative (Temporary Licence)	1, 4
MATHUR Aditya	BEO279	Licensed Representative (Temporary Licence)	4
MCALLEVEY James Kenneth	BVO346	Licensed Representative (Temporary Licence)	4
MCCLURG Scott	BTO338	Licensed Representative (Temporary Licence)	1, 4
MCCUTCHEON Kate Elizabeth	BTC188	Licensed Representative (Temporary Licence)	4
MCGARRIGLE Nicholas	BWT115	Licensed Representative (Temporary Licence)	4
MENA-BERLIN Hans Filip	BYO642	Licensed Representative (Temporary Licence)	1, 4
MENZLI Pierin	BWB309	Licensed Representative (Temporary Licence)	1, 4
MIKI Masashi	BOI949	Licensed Representative (Temporary Licence)	4
MILLS Thomas Redford	BYO102	Licensed Representative (Temporary Licence)	4
NDONDO Kudzai	BYO586	Licensed Representative (Temporary Licence)	1
NEEDHAM Cameron McIlhagga	BXE866	Licensed Representative (Temporary Licence)	4
NEWMAN Mark Christopher	AVK470	Licensed Representative (Temporary Licence)	4
NG Hock Leng	AHQ947	Licensed Representative (Temporary Licence)	4
NG Kheng Siang	AWH494	Licensed Representative (Temporary Licence)	1, 4
NIGANIA Nikhil	BTZ941	Licensed Representative (Temporary Licence)	4
NISHIZAWA Arashi	BOR475	Licensed Representative (Temporary Licence)	4
NOGUCHI Yusuke	BWW392	Licensed Representative (Temporary Licence)	4
OGAWA Ryuzo	BMM183	Licensed Representative (Temporary Licence)	1
O'SULLIVAN James Patrick	BYQ389	Licensed Representative (Temporary Licence)	4
PANDEY Mohit	BVG210	Licensed Representative (Temporary Licence)	4
PARK Sohyun	BWH626	Licensed Representative (Temporary Licence)	4
PETERS Jean-Robin Alexandre	BXU592	Licensed Representative (Temporary Licence)	1, 2
PETERSON Tycho Wyeth	BWQ801	Licensed Representative (Temporary Licence)	4
PIERRY Mario Lucio Succi	BWS288	Licensed Representative (Temporary Licence)	4
PINEO Philip John	BSY446	Licensed Representative (Temporary Licence)	1, 2
PIRON Claudio	BTW494	Licensed Representative (Temporary Licence)	4
POULLAOUET Thomas	APH938	Licensed Representative (Temporary Licence)	4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity
PRITHYANI Gunjan	BTH771	Licensed Representative (Temporary Licence)	4
PU Xinyi	BYP049	Licensed Representative (Temporary Licence)	1
PURANIK Sharvaree Praveen	BVA894	Licensed Representative (Temporary Licence)	1, 2
QU Yawen	BGQ533	Licensed Representative (Temporary Licence)	1, 2
RAO Weinan	BDQ796	Licensed Representative (Temporary Licence)	1, 2
RATANAKHANOKCHAI Kritawit	BYP876	Licensed Representative (Temporary Licence)	4
RAVI Ephrem	AVL880	Licensed Representative (Temporary Licence)	4
RONCIL Vincent Paul	BWH633	Licensed Representative (Temporary Licence)	1
ROPERS Johann	BYQ069	Licensed Representative (Temporary Licence)	1, 4
RULLI Edoardo	BWW247	Licensed Representative (Temporary Licence)	1, 4
SAIGAL Navin	BVL780	Licensed Representative (Temporary Licence)	1, 4
SAKSENA Nitin	BYR015	Licensed Representative (Temporary Licence)	4
SALFORD Gianluca	BYQ353	Licensed Representative (Temporary Licence)	4, 5
SALGAONKAR Sachin	ANF161	Licensed Representative (Temporary Licence)	4
SCHNEIDER Horst Bernd	BUV206	Licensed Representative (Temporary Licence)	4
SCHWARTZ Zachary Irwin	AXD565	Licensed Representative (Temporary Licence)	1, 2
SCHWENDER Carmen	BWS865	Licensed Representative (Temporary Licence)	4
SELTMAN David	BYQ487	Licensed Representative (Temporary Licence)	1, 4
SHAH Amish Chandrakant	AUW978	Licensed Representative (Temporary Licence)	4
SHAREF MORENO Emmanuel Sebastian	BVI439	Licensed Representative (Temporary Licence)	1, 4
SHIMA Yugo	BYN711	Licensed Representative (Temporary Licence)	4
SHIMAZU (MIYATA) Leigha	BTQ837	Licensed Representative (Temporary Licence)	4
SHIN Dongho	BVQ437	Licensed Representative (Temporary Licence)	4
SHIN Hyun Sung	BYP287	Licensed Representative (Temporary Licence)	4
SHUKLA Bhavin Vipin	BYO530	Licensed Representative (Temporary Licence)	6
SIMPSON Lee John	BVC389	Licensed Representative (Temporary Licence)	4
SIXSMITH Patrick Martin	BUO756	Licensed Representative (Temporary Licence)	1, 4
SMITH Oliver Gareth	BXY211	Licensed Representative (Temporary Licence)	1, 4
SONG Jiale	BYK860	Licensed Representative (Temporary Licence)	4
STENNER Nicholas Peter	BWE403	Licensed Representative (Temporary Licence)	4
STRONG Thomas Joseph	BXU716	Licensed Representative (Temporary Licence)	4
SU Yu	BYN605	Licensed Representative (Temporary Licence)	1
SUBRAMANIAN Bharat Sriraman	BUO818	Licensed Representative (Temporary Licence)	4
SUBRAMANIAN Savita	BGI268	Licensed Representative (Temporary Licence)	4
SUH Bo Goog	BHT539	Licensed Representative (Temporary Licence)	4
SUHNER Laura Carolina	BXX137	Licensed Representative (Temporary Licence)	1, 4
SUN Chao	BYO709	Licensed Representative (Temporary Licence)	1
SUSANTO Timothy Axel	BYO834	Licensed Representative (Temporary Licence)	1, 4
TAKAI Tokio	BYM607	Licensed Representative (Temporary Licence)	1, 4
TAKEUCHI Kei	BYE080	Licensed Representative (Temporary Licence)	4
TAN Min Hui Teresa	BVC007	Licensed Representative (Temporary Licence)	1
TAN Phoebe Bianca Dizon	BYP989	Licensed Representative (Temporary Licence)	4
TAN Wei Ying	BYQ909	Licensed Representative (Temporary Licence)	1
TAN Yong Hong	BTX517	Licensed Representative (Temporary Licence)	4
TANG Wanjing	BYK786	Licensed Representative (Temporary Licence)	4
TAY Fiona Yizhen	BYO160	Licensed Representative (Temporary Licence)	1
TEH Sean	BYO529	Licensed Representative (Temporary Licence)	1, 4
TEO Erwin Hsien Wei	BOK259	Licensed Representative (Temporary Licence)	1
TEWARI Akash Kartik	BWT420	Licensed Representative (Temporary Licence)	4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity
THOMPSON James Tobias	BYQ550	Licensed Representative (Temporary Licence)	1, 4
TSAI Andrew Lin	BYO842	Licensed Representative (Temporary Licence)	4
TSANG Daegal	BUX517	Licensed Representative (Temporary Licence)	1, 4
TSUJINO Natsumu	BWA715	Licensed Representative (Temporary Licence)	4
TUPPER Nigel Robert	ACS592	Licensed Representative (Temporary Licence)	4
USUI Yasutoshi	BYQ886	Licensed Representative (Temporary Licence)	1, 2
VAN RIJN Arnout	AFU404	Licensed Representative (Temporary Licence)	1
VICTOR Meaghan Elizabeth	BQG703	Licensed Representative (Temporary Licence)	1, 4
WANG Xiaozhou	BYQ137	Licensed Representative (Temporary Licence)	4
WANG Yue	BYO708	Licensed Representative (Temporary Licence)	1
WARR Alastair Charles	AGD632	Licensed Representative (Temporary Licence)	4
WATANABE Ritsuo	BAZ846	Licensed Representative (Temporary Licence)	4
WIDMER Michael	BLN919	Licensed Representative (Temporary Licence)	4
WOLAVER Alexander U	BNI123	Licensed Representative (Temporary Licence)	1, 2
WU Zixu	BYN874	Licensed Representative (Temporary Licence)	1
XIAO Yunyang	BYP101	Licensed Representative (Temporary Licence)	1
XIE Liangjie	BYN606	Licensed Representative (Temporary Licence)	1
XIE Shuangyi	BYO494	Licensed Representative (Temporary Licence)	1
XU Wanxin	BXA689	Licensed Representative (Temporary Licence)	4
YAMADA Shusuke	BJG468	Licensed Representative (Temporary Licence)	4
YAMAGAMI Shinichiro	AYG572	Licensed Representative (Temporary Licence)	1, 2
YAN Jinou	BYO649	Licensed Representative (Temporary Licence)	1
YAN Zhiyuan	BYN713	Licensed Representative (Temporary Licence)	1
YANG Che-Wei	BTG896	Licensed Representative (Temporary Licence)	4
YANG Yuqin	BYO252	Licensed Representative (Temporary Licence)	1
YEONG Hui Qing Samantha	BUC046	Licensed Representative (Temporary Licence)	1, 2
YOSHIDA Arifumi	BEA842	Licensed Representative (Temporary Licence)	4
YOSHIHARA Tomoko	BMO654	Licensed Representative (Temporary Licence)	4
YOUNG WORTH Michael	BOX434	Licensed Representative (Temporary Licence)	4
ZHANG Qiuchen	BYO710	Licensed Representative (Temporary Licence)	1
ZHANG Shuo	BYP165	Licensed Representative (Temporary Licence)	1
ZHANG Yu	BYO995	Licensed Representative (Temporary Licence)	1
ZHAO Zheng	BYQ677	Licensed Representative (Temporary Licence)	1
ZHENG Xin	BKD975	Licensed Representative (Temporary Licence)	1
ZHONG Cheng	BTW235	Licensed Representative (Temporary Licence)	1
ZHONG Tiantian	AZB127	Licensed Representative (Temporary Licence)	1
ZHONG Yaxuan	BYO368	Licensed Representative (Temporary Licence)	1
ZHU Yayi	BYO216	Licensed Representative (Temporary Licence)	1
ZOU Xi	BVH617	Licensed Representative (Temporary Licence)	1, 2
Commonwealth Bank of Australia	AAO746	Registered Institution	1, 4