

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2022

| CE Name | CE No. | Licence Type | Types of Regulated Activity |
|--|--------|-------------------------|-----------------------------|
| Aletheia Capital (Hong Kong) Limited | AVD212 | Licensed Corporation | 4 |
| Alpine Corporate Finance Limited | BNE664 | Licensed Corporation | 6 |
| Ayers Alliance Securities (HK) Limited | BBE915 | Licensed Corporation | 1, 4 |
| BOCOM Schroder Asset Management (Hong Kong) Company Limited | BBU502 | Licensed Corporation | 1, 4, 9 |
| Delong Asset Management (Hong Kong) Limited | BMG874 | Licensed Corporation | 4, 9 |
| Franklin Templeton Investments (Asia) Limited - Franklin Templeton Investments (Asia) Limited (ABY530) and Legg Mason Asset Management Hong Kong Limited (ACT053) amalgamated on 1 July 2022, pursuant to the relevant provisions of the Companies Ordinance (Chapter 622). The amalgamated company is Franklin Templeton Investments (Asia) Limited (BSQ553). | ABY530 | Licensed Corporation | 1, 4, 9 |
| Harmony Asset Management Limited | AEN461 | Licensed Corporation | 4, 6, 9 |
| Legg Mason Asset Management Hong Kong Limited - Legg Mason Asset Management Hong Kong Limited (ACT053) and Franklin Templeton Investments (Asia) Limited (ABY530) amalgamated on 1 July 2022, pursuant to the relevant provisions of the Companies Ordinance (Chapter 622). The amalgamated company is Franklin Templeton Investments (Asia) Limited (BSQ553). | ACT053 | Licensed Corporation | 1, 4 |
| RRJ Management (HK) Limited | BBB360 | Licensed Corporation | 1, 4 |
| Stockwell Commodities Limited - Stockwell Commodities Limited (AAJ019) and Stockwell Securities Limited (AAD361) amalgamated on 1 July 2022, pursuant to the relevant provisions of the Companies Ordinance (Chapter 622). The amalgamated company is Stockwell Securities Limited (BSM120). | AAJ019 | Licensed Corporation | 2 |
| Stockwell Securities Limited - Stockwell Securities Limited (AAD361) and Stockwell Commodities Limited (AAJ019) amalgamated on 1 July 2022, pursuant to the relevant provisions of the Companies Ordinance (Chapter 622). The amalgamated company is Stockwell Securities Limited (BSM120). | AAD361 | Licensed Corporation | 1 |
| Worl-D Asset Management (Hong Kong) Limited | AIR097 | Licensed Corporation | 4, 9 |
| ADAMS Michael Geoffrey William | AVO883 | Licensed Representative | 1, 6 |
| ADEL Rebecca Sophia | BNC851 | Licensed Representative | 9 |
| AU Nim Bing | ARM908 | Licensed Representative | 1, 2, 4, 5 |
| AU Wing Yi | BPA070 | Licensed Representative | 1, 2, 9 |
| AUSTIN James Derek | BEB935 | Licensed Representative | 1, 4, 9 |
| BAI Yang | BIG080 | Licensed Representative | 1, 4 |
| BATBOLD Ariunzaya | BRT242 | Licensed Representative | 1, 2 |
| BEIDZINA Tatsiana | BMX878 | Licensed Representative | 4, 9 |
| CHAN Chi Ngong | AAB966 | Licensed Representative | 1 |
| CHAN Chin Hong | BOZ412 | Licensed Representative | 6 |
| CHAN Chun Wai | ARA842 | Licensed Representative | 1 |
| CHAN Ka Ho | BKT194 | Licensed Representative | 1, 2 |
| CHAN Ka Wai | AGF175 | Licensed Representative | 1 |
| CHAN Mei Han | AXV221 | Licensed Representative | 1 |
| CHAN Nelson | AMG999 | Licensed Representative | 1, 2, 4 |
| CHAN Oi Yan | BGJ118 | Licensed Representative | 1, 4 |
| CHAN Oi Yan, Loretta | ANU671 | Licensed Representative | 1 |
| CHAN Pak Yin | ACE011 | Licensed Representative | 1 |
| CHAN Robin Shun Hey | BRJ107 | Licensed Representative | 1 |

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| CE Name | CE No. | Licence Type | Types of Regulated Activity |
|---------------------------|--------|-------------------------|-----------------------------|
| CHAN Suet Lin, Christina | AAC263 | Licensed Representative | 1 |
| CHAN Tak Hong | BHX406 | Licensed Representative | 4, 9 |
| CHAN Wai Kwan | AQQ789 | Licensed Representative | 1, 6 |
| CHAN Wai Yin, Jammy | AFT002 | Licensed Representative | 1, 2, 4 |
| CHAN Wang Lak | AUV575 | Licensed Representative | 1, 4 |
| CHAN Wing Leung | ACE437 | Licensed Representative | 1 |
| CHAN Yuen Man | BOQ884 | Licensed Representative | 1 |
| CHAN Yuk Fu | AAG651 | Licensed Representative | 1 |
| CHANG Ho Wo | BRN806 | Licensed Representative | 6 |
| CHAPMAN Sophie Shufei | BMT331 | Licensed Representative | 1, 2 |
| CHAU Chung Yan | BPT380 | Licensed Representative | 1, 2 |
| CHAU Wing Ho | BLH244 | Licensed Representative | 6 |
| CHEN Haoyun | ALQ829 | Licensed Representative | 1 |
| CHEN Xiaoqi | BMV327 | Licensed Representative | 4 |
| CHEN Xin | BEO543 | Licensed Representative | 1, 9 |
| CHEN Yi Ning | BRV479 | Licensed Representative | 1, 2, 4 |
| CHEN Yilin | BPX120 | Licensed Representative | 1, 4, 6 |
| CHENG Bradley Eric | BGA951 | Licensed Representative | 1, 2 |
| CHENG Ka Chai | BQO627 | Licensed Representative | 1, 2, 3, 4 |
| CHENG Manli | ANH106 | Licensed Representative | 1, 4, 6 |
| CHENG Tit Man | ALB284 | Licensed Representative | 1 |
| CHEUK Sui Yee | BJX144 | Licensed Representative | 1 |
| CHEUNG Chi Hang | BQH324 | Licensed Representative | 1, 4 |
| CHEUNG Ching Man | AXI346 | Licensed Representative | 9 |
| CHEUNG Chun Chung, Philip | AAF629 | Licensed Representative | 1 |
| CHEUNG Lai Ping | ACJ211 | Licensed Representative | 1, 4, 9 |
| CHEUNG Sze Fan | ADI822 | Licensed Representative | 1, 4, 9 |
| CHEUNG Tsz Wah, Christine | BQG302 | Licensed Representative | 6 |
| CHEUNG Yin Hing | BPG264 | Licensed Representative | 1, 4 |
| CHI King Tsz | BRZ123 | Licensed Representative | 1, 4 |
| CHICK Po Pui | BQN534 | Licensed Representative | 1, 4 |
| CHIN Man Yi | AMR267 | Licensed Representative | 1 |
| CHOI Janice Su Yun | AMN768 | Licensed Representative | 1, 4, 9 |
| CHOI Yik Hin, Thomson | AQE831 | Licensed Representative | 1, 2 |
| CHOI Yuk Lan | AJH490 | Licensed Representative | 1 |
| CHOW Chun Kit Jeffrey | BRP949 | Licensed Representative | 1, 4, 6 |
| CHOW Hoi Fai | BQW253 | Licensed Representative | 1, 4 |
| CHOW Kin Hang | BRJ369 | Licensed Representative | 1, 4, 9 |
| CHOY Yin Nga | ANU415 | Licensed Representative | 1, 2 |
| CHU Yin Lam, Fannie | BOR159 | Licensed Representative | 1, 4, 9 |
| CHUI Sze Chung | BRP027 | Licensed Representative | 1 |
| CUI Lu | BRO292 | Licensed Representative | 4, 9 |
| DAI Yilin | BRS779 | Licensed Representative | 4, 9 |
| DENG Leonidas Zhiheng | BGB441 | Licensed Representative | 1 |
| DENG Xue | BJV008 | Licensed Representative | 1, 4 |
| DILKES Ross Peter | ASH948 | Licensed Representative | 1, 4, 9 |
| DONG Xiaoxuan | BMY834 | Licensed Representative | 1, 4 |
| DU Mingchong | BOC872 | Licensed Representative | 1, 6 |
| DUAN Bohao | BNV457 | Licensed Representative | 9 |

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| CE Name | CE No. | Licence Type | Types of Regulated Activity |
|-----------------------------------|--------|-------------------------|-----------------------------|
| EGLISKIS David Walter | AJC319 | Licensed Representative | 1 |
| FAIDI Ghazi | APR550 | Licensed Representative | 1, 2 |
| FAN Cheuk Yin | BOF139 | Licensed Representative | 1, 4 |
| FAN Xinyi | BAX677 | Licensed Representative | 1 |
| FIRTH Charles Richard Bartholomew | AIR808 | Licensed Representative | 1, 2, 4 |
| FRICK Thomas Rhoads | BFJ278 | Licensed Representative | 4, 9 |
| FU Yingying | BRT226 | Licensed Representative | 1, 4, 6 |
| FUNG Yuen Fun | AEX954 | Licensed Representative | 1, 4 |
| GARUDE Alankar | BIY256 | Licensed Representative | 4 |
| GONG Li | BMM197 | Licensed Representative | 4 |
| GUPTA Ashish | AWJ087 | Licensed Representative | 4 |
| HAMMOND Michael Edmund | AFQ010 | Licensed Representative | 1, 4 |
| HAU Kin yan | BSC756 | Licensed Representative | 1, 4 |
| HAYASHI Masakatsu | AZB491 | Licensed Representative | 9 |
| HAZARI Hamzah Aziz | BPJ387 | Licensed Representative | 1, 4, 6 |
| HE Tang | BRS659 | Licensed Representative | 6 |
| HE Xiao | BGM197 | Licensed Representative | 1 |
| HE Xinyi | BAC179 | Licensed Representative | 1, 4, 9 |
| HE Yuan Zhi | BRV807 | Licensed Representative | 1, 4 |
| HO Kin Chung, Kelvin | AFR360 | Licensed Representative | 9 |
| HO Kit Yu Cheryl | AGF452 | Licensed Representative | 4 |
| HO Man | ABF812 | Licensed Representative | 1 |
| HO Pik Kwan Kenny | BMI597 | Licensed Representative | 1 |
| HO Wei-hao | APO553 | Licensed Representative | 4, 9 |
| HO Yuet Wah | AFZ714 | Licensed Representative | 1, 2 |
| HONG Xueliang | BRQ929 | Licensed Representative | 4 |
| HSIAO Li-Pei | AXV667 | Licensed Representative | 1, 4 |
| HSU Ka Mei Vivian | BMK405 | Licensed Representative | 1 |
| HUA Xia | BRR551 | Licensed Representative | 4 |
| HUANG Bohan | BQW117 | Licensed Representative | 4 |
| ILDERTON Howard Graydon Rodney | AVZ288 | Licensed Representative | 1, 2 |
| JAIN Divakar | BOJ873 | Licensed Representative | 1, 2 |
| JAMOT Vincent Francois Bernard | APL011 | Licensed Representative | 1, 2, 7 |
| JHANG Sin-Lun | ATH710 | Licensed Representative | 1, 4 |
| JIANG Nory Yu Yang | BSG269 | Licensed Representative | 1, 2, 7 |
| JIANG Yi | BDH056 | Licensed Representative | 1, 4, 6 |
| KALE Aditya Ajit | BPX071 | Licensed Representative | 1, 2 |
| KAM Ka On | BCB658 | Licensed Representative | 1, 4, 9 |
| KAN Pui Lai | AQQ471 | Licensed Representative | 1, 4 |
| KELLY Violeta | BNU075 | Licensed Representative | 1, 6 |
| KIM Bohyun | BPL910 | Licensed Representative | 1, 4, 9 |
| KOO Doheon | BKD640 | Licensed Representative | 1, 2 |
| KULKARNI Vishal Gundopant | BOV381 | Licensed Representative | 4 |
| KUO Shao Yu | AYI211 | Licensed Representative | 1, 4, 9 |
| KWOK Emmanuel Yat Sing | BJK935 | Licensed Representative | 6 |
| LAI Ka On | BQJ915 | Licensed Representative | 1, 2 |
| LAI Wai Lun | BLI131 | Licensed Representative | 1 |
| LAM Cheuk Fung | BSQ882 | Licensed Representative | 1 |
| LAM Hok Wai, Wilson | ABL811 | Licensed Representative | 1, 2 |

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| CE Name | CE No. | Licence Type | Types of Regulated Acitivity |
|-----------------------|--------|-------------------------|------------------------------|
| LAM Kai San | ABA807 | Licensed Representative | 1, 2 |
| LAM WANG FUNG | BRU776 | Licensed Representative | 1 |
| LAU Chi Yin | AAZ893 | Licensed Representative | 1, 2 |
| LAU Chun Sing | BOW446 | Licensed Representative | 4, 9 |
| LAU Ho Man Jacky | BQS959 | Licensed Representative | 4, 9 |
| LAU Patrick Park To | AFU392 | Licensed Representative | 1 |
| LAU Shing Yan | BHH248 | Licensed Representative | 4 |
| LAU Wang Yip | AVY122 | Licensed Representative | 4 |
| LEE Ching Hung | AXX537 | Licensed Representative | 1, 2, 3, 4 |
| LEE Chun Hong | BGQ365 | Licensed Representative | 1, 4, 9 |
| LEE Hok Yat | BGI451 | Licensed Representative | 1, 2 |
| LEE Ji Yan Christen | AVH059 | Licensed Representative | 1, 4, 9 |
| LEE Ka Kit | BEW197 | Licensed Representative | 1, 6 |
| LEE Lo Tsu Cyble | BPX979 | Licensed Representative | 1, 4 |
| LEE Shuk Lan, Nanette | APL719 | Licensed Representative | 1 |
| LEE Suh Yung | AOG294 | Licensed Representative | 1, 2 |
| LEES Cinry Alano | BAC150 | Licensed Representative | 1 |
| LEUNG Ka Ho | BOD757 | Licensed Representative | 1, 9 |
| LEUNG Kit Lo | BRQ471 | Licensed Representative | 1, 4 |
| LEUNG Siu Fung | BJA647 | Licensed Representative | 1 |
| LI Fong Luen | AAA699 | Licensed Representative | 1 |
| LI Hoi To | BQW982 | Licensed Representative | 1, 2, 4 |
| LI Kam Fong, Vivian | ACJ965 | Licensed Representative | 3 |
| LI Pui Yin | BQO839 | Licensed Representative | 4, 9 |
| LI Shuk Ying | BRO748 | Licensed Representative | 1 |
| LI Siyu | BRB775 | Licensed Representative | 1, 4, 9 |
| LI Wanqing | BPY097 | Licensed Representative | 1, 4 |
| LI Xinran | BOF623 | Licensed Representative | 4, 9 |
| LI Xuelai | BRH417 | Licensed Representative | 1, 4 |
| LIANG Fu Po | AUQ145 | Licensed Representative | 9 |
| LIANG Wenqian | BNH880 | Licensed Representative | 1, 4 |
| LIM James Tai Keun | ANY115 | Licensed Representative | 1, 4, 9 |
| LIM Xixiang David | BRW252 | Licensed Representative | 1 |
| LIN Chaofan | BEF198 | Licensed Representative | 1, 4, 9 |
| LIN Ching Yin | ANX688 | Licensed Representative | 1, 4 |
| LIN Ke | BOL043 | Licensed Representative | 1, 6 |
| LIU Bohua | BQU721 | Licensed Representative | 1, 6 |
| LIU Feiyang | BNJ990 | Licensed Representative | 1, 6 |
| LIU Kan Ming | ADS754 | Licensed Representative | 1, 2 |
| LIU Koon Ping, Anka | AGR088 | Licensed Representative | 1, 2 |
| LIU Ligang | BHG001 | Licensed Representative | 4 |
| LIU Shang | BPQ440 | Licensed Representative | 1 |
| LIU YING | BQK609 | Licensed Representative | 1, 4, 9 |
| LIU Zhaoming | BOS533 | Licensed Representative | 1 |
| LOO Brandon Dominic | BQE538 | Licensed Representative | 1, 4 |
| LOU Peimeng | BRW420 | Licensed Representative | 1, 4, 6 |
| LU Wenting | BSH041 | Licensed Representative | 1, 6 |
| LUO Jue Cong | BFD609 | Licensed Representative | 1, 2 |
| LUO Qianxuan | BQF289 | Licensed Representative | 1, 4, 9 |

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| CE Name | CE No. | Licence Type | Types of Regulated Activity |
|------------------------------|--------|-------------------------|-----------------------------|
| MA Hoi Ling | BRR167 | Licensed Representative | 1 |
| MA Rico Sze Man | AED753 | Licensed Representative | 1, 4, 9 |
| MA Shuk Ling | BKE186 | Licensed Representative | 1, 4, 9 |
| MA Zhong | BMW894 | Licensed Representative | 4, 5, 9 |
| MAGNE Sylvain Pierre Aymeric | BEX060 | Licensed Representative | 1, 6 |
| MARIA Gaurav | AYU709 | Licensed Representative | 1, 4, 6 |
| MARK Daniel Nicholas | BRI895 | Licensed Representative | 1, 2 |
| MC GREGOR Scot Edward | BQO592 | Licensed Representative | 9 |
| MENG Ke | BQW003 | Licensed Representative | 9 |
| MIAO Ying | BQP931 | Licensed Representative | 1, 4 |
| MILLER Jason Evans Billings | BOB307 | Licensed Representative | 1, 4, 9 |
| MOK Kwan Ho | BBB910 | Licensed Representative | 1, 2 |
| NAKAMURA Ken | BPB370 | Licensed Representative | 1, 2 |
| NANDWANI Rajiv Timothy Dayal | BHM021 | Licensed Representative | 1, 2, 4 |
| NG Kai Yan | AAE153 | Licensed Representative | 1 |
| NG Tin Yau | AAB239 | Licensed Representative | 1 |
| O Andrew John | AGM798 | Licensed Representative | 4, 5, 9 |
| OU YONG Xuan Sheng | BOC428 | Licensed Representative | 1, 4 |
| PAN Jingjing | AVN220 | Licensed Representative | 1, 4, 9 |
| PANG Kwok Tung, Alan | ATT779 | Licensed Representative | 1 |
| PANG On Ki | AFN684 | Licensed Representative | 1, 4 |
| PARK Han Jun | BPN178 | Licensed Representative | 1, 4 |
| POON Kwok Hoi | ACK817 | Licensed Representative | 2 |
| POON Wai Lim William | BQO865 | Licensed Representative | 4, 9 |
| QIAN Congyi | BNU186 | Licensed Representative | 1, 4 |
| QIN Hao | BIC905 | Licensed Representative | 9 |
| QIU Xu | BPH455 | Licensed Representative | 1, 4, 6 |
| REISER Jasper | BPF562 | Licensed Representative | 6 |
| RODRIGUEZ Gerardo Emanuel | AVC259 | Licensed Representative | 1, 2, 4, 5, 7 |
| SEKARIA Gauri | BOR921 | Licensed Representative | 1, 9 |
| SHAH Nishant Krishnakumar | BOS833 | Licensed Representative | 9 |
| SHAM Chi Ho | AXY804 | Licensed Representative | 1 |
| SHAN Jialin | BGG144 | Licensed Representative | 1, 4, 9 |
| SHEN Jie | BMR376 | Licensed Representative | 2 |
| SHIU Leong Hong | BHX582 | Licensed Representative | 1, 4, 9 |
| SIEW Jia Jien | BSF267 | Licensed Representative | 9 |
| SIOUTI Charles | BOP323 | Licensed Representative | 1, 2 |
| SIU Katie Yee Kay | BHE053 | Licensed Representative | 1 |
| SMITH Robert Adam | BCB694 | Licensed Representative | 1, 4 |
| SNOEK Dirk Willem | BPJ308 | Licensed Representative | 1, 2 |
| SO Pui Cui | BPU325 | Licensed Representative | 4, 9 |
| SOHN Ji Woo | BPM446 | Licensed Representative | 1, 6 |
| SONG Haoze | BOR367 | Licensed Representative | 1, 2, 4, 5 |
| SONG Zhiyuan | BRA089 | Licensed Representative | 1, 6 |
| SOU Fatima Maria | BPF703 | Licensed Representative | 1, 4 |
| SUN Deman | BRP611 | Licensed Representative | 1, 6 |
| TAKAHASHI Kei | AOQ614 | Licensed Representative | 4 |
| TAM Kenney | ANH001 | Licensed Representative | 2 |
| TAM Sin Yue | AGL381 | Licensed Representative | 1, 9 |

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|--------------------------|--------|-------------------------|-----------------------------|
| TAN Gavin Yang Bi | BQT605 | Licensed Representative | 9 |
| TAN Jiehua | BQA893 | Licensed Representative | 1 |
| TAN Li Wei | BNB804 | Licensed Representative | 9 |
| TANG Chi Cheung | AAA018 | Licensed Representative | 1 |
| TANG Joyce | BQN556 | Licensed Representative | 1 |
| TANG Yat Sing | BNS762 | Licensed Representative | 1, 4, 6 |
| TAO Ye | BRF584 | Licensed Representative | 1, 4 |
| TIAN Yukun | BRS655 | Licensed Representative | 1, 6 |
| TIN Hiu Ping | BRX064 | Licensed Representative | 1, 4, 9 |
| TO Hoi Kwok | BDN137 | Licensed Representative | 1 |
| TSAI Ka Man | BOM503 | Licensed Representative | 9 |
| TSANG Maggie Man-Ying | AOD595 | Licensed Representative | 9 |
| TSANG Pui Pui | APW085 | Licensed Representative | 1, 4 |
| TSE Chau Ming | AVR835 | Licensed Representative | 1, 2, 4, 9 |
| TSE Kit Ying | BPG697 | Licensed Representative | 1 |
| TSE Yiu Ki | BRP575 | Licensed Representative | 1, 4 |
| TSUI Hok Man, Simon | AEZ898 | Licensed Representative | 1, 2, 4, 5 |
| TSUI Ka Wai | AIX296 | Licensed Representative | 1 |
| TSUI Shuk Ching | BRE199 | Licensed Representative | 1 |
| VERSFELD Martin Metcalfe | BLD610 | Licensed Representative | 1, 2 |
| WAH Ho Man | ARN782 | Licensed Representative | 4, 9 |
| WANG Baojun | BQL609 | Licensed Representative | 4, 9 |
| WANG Bo | BJO650 | Licensed Representative | 4, 9 |
| WANG Chuan | BPT611 | Licensed Representative | 1 |
| WANG Di | BGP653 | Licensed Representative | 6 |
| WANG Gaoqin | BSQ637 | Licensed Representative | 4 |
| WANG Jia | AWC467 | Licensed Representative | 1 |
| WANG Lu | AXX186 | Licensed Representative | 1, 4 |
| WANG Yao | BFW346 | Licensed Representative | 4, 9 |
| WANG Yiding | AOD298 | Licensed Representative | 4, 9 |
| WANG Yilin | AVO480 | Licensed Representative | 4, 9 |
| WANG Zijun | BDN341 | Licensed Representative | 6 |
| WEI Yihui | BPJ027 | Licensed Representative | 1, 2, 4 |
| WENG Jianqun | BQQ101 | Licensed Representative | 1 |
| WONG Chun Wing | AFS357 | Licensed Representative | 1, 2 |
| WONG Jin Hua Ezra | BFT029 | Licensed Representative | 1 |
| WONG Kenneth Tsz Loong | BBR216 | Licensed Representative | 1, 2 |
| WONG Kin Man | AUW416 | Licensed Representative | 1, 4 |
| WONG Man | ACA538 | Licensed Representative | 1, 2 |
| WONG Ngai Ching | BAP213 | Licensed Representative | 1 |
| WONG Po Kiu Kelly | BRT460 | Licensed Representative | 9 |
| WONG Shi Yuen | AEQ570 | Licensed Representative | 1, 2 |
| WU Chi Hung, Jackie | AGI982 | Licensed Representative | 1 |
| WU Minhui | BOZ970 | Licensed Representative | 1, 4, 9 |
| WU Yan Ching | BBB759 | Licensed Representative | 1, 4 |
| XIONG Haixiang | BRI265 | Licensed Representative | 2 |
| XU Chenyang | BQU852 | Licensed Representative | 9 |
| XU Zhao | BQA512 | Licensed Representative | 1, 2, 4 |
| YAN Min | BQQ558 | Licensed Representative | 4 |

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|----------------------------|--------|---|-----------------------------|
| YAN Yan | BJ5110 | Licensed Representative | 1, 2, 7 |
| YE Ming | BRW008 | Licensed Representative | 1, 4 |
| YEUNG Wai Yin Vivian | BRI320 | Licensed Representative | 1 |
| YIM Ming Kuen | AAW371 | Licensed Representative | 1, 2 |
| YIN Xinch | BOT875 | Licensed Representative | 4 |
| YIP Hoi Chung | BKX383 | Licensed Representative | 10 |
| YU Shuyi | BQM230 | Licensed Representative | 1 |
| YU Yiqi | BND259 | Licensed Representative | 4 |
| YUEN Ho Yin Desmond | BPY438 | Licensed Representative | 1, 4, 6 |
| YUNG Shek Man | BJD644 | Licensed Representative | 1, 2, 9 |
| ZENG Jingke | BRE713 | Licensed Representative | 1, 4 |
| ZHAN Xinyang | BOM762 | Licensed Representative | 1 |
| ZHANG Dan | BJZ281 | Licensed Representative | 1, 4, 9 |
| ZHANG Shuo | BNL423 | Licensed Representative | 1 |
| ZHANG YAN | BNO557 | Licensed Representative | 1, 6 |
| ZHANG Yuehua | BOG559 | Licensed Representative | 1, 2 |
| ZHANG Yuemeng | BRO994 | Licensed Representative | 1, 4, 9 |
| ZHAO Chenchen | BPW861 | Licensed Representative | 1, 4, 6 |
| ZHAO Luyao | BIH170 | Licensed Representative | 1 |
| ZHAO Zilong | BDA089 | Licensed Representative | 9 |
| ZHOU Huan | BLL165 | Licensed Representative | 9 |
| ZHOU Minghui | BOS488 | Licensed Representative | 1, 9 |
| ZIELINSKI Jeffrey Hartman | AGB130 | Licensed Representative | 9 |
| BROWN Andrew Ollie | ACZ477 | Licensed Representative - Responsible Officer | 9 |
| CHAN Hiu Yeung | BJZ299 | Licensed Representative - Responsible Officer | 9 |
| CHAN Ho Kin | ANT708 | Licensed Representative - Responsible Officer | 1, 2 |
| CHAN Kwong Chung, Bernard | AFA650 | Licensed Representative - Responsible Officer | 6 |
| CHAN Siu Fei | ANN259 | Licensed Representative - Responsible Officer | 1, 4, 9 |
| CHAN Wei-Jin Christopher | AMA925 | Licensed Representative - Responsible Officer | 4, 9 |
| CHEN Senlin | BCJ309 | Licensed Representative - Responsible Officer | 1, 4, 9 |
| CHEUNG Min Tat, Jimmy | ACV741 | Licensed Representative - Responsible Officer | 1, 4, 9 |
| CHEUNG Bruce Heung Wing | ANU065 | Licensed Representative - Responsible Officer | 1, 4, 9 |
| CHEUNG Ching Man | AXI346 | Licensed Representative - Responsible Officer | 1, 4 |
| DU Jinsong | AQU252 | Licensed Representative - Responsible Officer | 1, 2, 4, 5 |
| EDWARDS David Wyndham | AOX190 | Licensed Representative - Responsible Officer | 9 |
| GAGLIO Raphael Francesco | ANQ377 | Licensed Representative - Responsible Officer | 9 |
| GAN Jia C | AQN362 | Licensed Representative - Responsible Officer | 1, 4 |
| HO Kin Chung, Kelvin | AFR360 | Licensed Representative - Responsible Officer | 4 |
| HO Wei-hao | APO553 | Licensed Representative - Responsible Officer | 1 |
| KAM Shou Him Thomas | AYG344 | Licensed Representative - Responsible Officer | 4, 9 |
| KWAN Sai Hin | BLX076 | Licensed Representative - Responsible Officer | 4, 9 |
| KWAN Tsun Man | AWA326 | Licensed Representative - Responsible Officer | 2, 5 |
| LAM Ka Keung | ADE238 | Licensed Representative - Responsible Officer | 4, 9 |
| LAM Ting, Don | ABN490 | Licensed Representative - Responsible Officer | 1, 2, 4, 5 |
| LAM Yuen Yee, Angela | AAD954 | Licensed Representative - Responsible Officer | 1 |
| LAW David William Stopford | ABW268 | Licensed Representative - Responsible Officer | 6 |
| LEE Lau Shek | AAG062 | Licensed Representative - Responsible Officer | 1 |
| LEUNG Chai On, Michael | AEE545 | Licensed Representative - Responsible Officer | 6 |
| LEUNG Chin Hung | ANF148 | Licensed Representative - Responsible Officer | 4, 9 |

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| LEUNG Siu Fung | BJA647 | Licensed Representative - Responsible Officer | 6 |
| LIU Mingqing | BHO330 | Licensed Representative - Responsible Officer | 4, 9 |
| LIU Yuanmo | ARJ807 | Licensed Representative - Responsible Officer | 1 |
| LO Albert Ka Lung | BON521 | Licensed Representative - Responsible Officer | 10 |
| LU Yi Chun | AZX329 | Licensed Representative - Responsible Officer | 4, 9 |
| NAGAKURA Hiroaki | BGH206 | Licensed Representative - Responsible Officer | 3 |
| NG Tan Hung Charlotte | AGH833 | Licensed Representative - Responsible Officer | 1, 4, 6 |
| PARK Myung Joo | BAD701 | Licensed Representative - Responsible Officer | 4, 9 |
| POON Kwok Hoi | ACK817 | Licensed Representative - Responsible Officer | 1 |
| REID Robert Stephen | AIC473 | Licensed Representative - Responsible Officer | 1, 4, 6 |
| REZA Mehdee | ADH039 | Licensed Representative - Responsible Officer | 1, 2, 4, 5, 7 |
| SABAS Steffen Erich August | BIB411 | Licensed Representative - Responsible Officer | 9 |
| SCHWARTZ Stephen Benjamin | ARJ142 | Licensed Representative - Responsible Officer | 10 |
| SHEN Jie | BMR376 | Licensed Representative - Responsible Officer | 1, 4, 9 |
| SIN Pun To | ACI993 | Licensed Representative - Responsible Officer | 1, 2 |
| SIU Wai Kit | AFM549 | Licensed Representative - Responsible Officer | 1, 6 |
| TAI Timon | AWG055 | Licensed Representative - Responsible Officer | 9 |
| TAKAHASHI Atsutoshi | BNB284 | Licensed Representative - Responsible Officer | 1, 4, 6 |
| TANG Davina Tak-wai | ACZ857 | Licensed Representative - Responsible Officer | 4, 9 |
| TSE Tak Li, Terry | AGW560 | Licensed Representative - Responsible Officer | 1, 4 |
| UDING Gerardus Henricus Paulus | AUZ982 | Licensed Representative - Responsible Officer | 4, 9 |
| WAGNER Sascha Benjamin | BIA118 | Licensed Representative - Responsible Officer | 9 |
| WANG Yiding | AOD298 | Licensed Representative - Responsible Officer | 1 |
| WEI Yumou | BLJ441 | Licensed Representative - Responsible Officer | 4, 9 |
| WORTHINGTON Robert Charles | BEN373 | Licensed Representative - Responsible Officer | 1, 4, 9 |
| YAO Xue | BRG021 | Licensed Representative - Responsible Officer | 1, 4, 9 |
| YEUNG Siu Kuen | ACP013 | Licensed Representative - Responsible Officer | 1, 4 |
| YEUNG Wai Fung | APG358 | Licensed Representative - Responsible Officer | 1, 4, 9 |
| ZHANG Yi Jie | BNF430 | Licensed Representative - Responsible Officer | 4, 9 |
| ALEXANDER Jack | BSL547 | Licensed Representative (Provisional Licence) | 1, 4 |
| APPLEBY Richard Mark | BSQ956 | Licensed Representative (Provisional Licence) | 9 |
| AU Kin Hang | BSQ940 | Licensed Representative (Provisional Licence) | 1, 2 |
| BACK Jonghyun | BSL495 | Licensed Representative (Provisional Licence) | 4 |
| BU Fan | BSQ534 | Licensed Representative (Provisional Licence) | 4, 9 |
| CHAN Bianca Annette | BSO581 | Licensed Representative (Provisional Licence) | 1, 2 |
| CHAN Chi Hing | BSM377 | Licensed Representative (Provisional Licence) | 1 |
| CHAN Heiti | BSP508 | Licensed Representative (Provisional Licence) | 1 |
| CHAN Hiu Chee, Hilary | BEL958 | Licensed Representative (Provisional Licence) | 1, 4 |
| CHAN Hiu Tung Ivan | BSM403 | Licensed Representative (Provisional Licence) | 1, 4 |
| CHAN Mei Sim | BSR345 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| CHAN Siew Mee | BSO565 | Licensed Representative (Provisional Licence) | 1, 4, 6 |
| CHAN Sui Yin | BSQ763 | Licensed Representative (Provisional Licence) | 1, 2 |
| CHAN Yik Cheung | BSQ850 | Licensed Representative (Provisional Licence) | 4 |
| CHAN Yip Man | BSQ140 | Licensed Representative (Provisional Licence) | 1, 2 |
| CHEN Bo | BMF029 | Licensed Representative (Provisional Licence) | 1, 6 |
| CHEN Jing | BSQ787 | Licensed Representative (Provisional Licence) | 1, 4 |
| CHEN Siming | BSL508 | Licensed Representative (Provisional Licence) | 1, 4 |
| CHEN Tian | BSQ072 | Licensed Representative (Provisional Licence) | 1, 4 |
| CHEN Wei-Han | BSR802 | Licensed Representative (Provisional Licence) | 9 |

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2022

| CE Name | CE No. | Licence Type | Types of Regulated Activity |
|--------------------------------|--------|---|-----------------------------|
| CHEN Xi | BSQ856 | Licensed Representative (Provisional Licence) | 1 |
| CHEN Yijia | BSL527 | Licensed Representative (Provisional Licence) | 1, 6 |
| CHEN Ying Dan | AVS845 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| CHEN Yingjie | BSR644 | Licensed Representative (Provisional Licence) | 4, 5, 9 |
| CHEN Yiyi | BBQ885 | Licensed Representative (Provisional Licence) | 4 |
| CHENG HO CHEUNG | BSQ700 | Licensed Representative (Provisional Licence) | 1, 2 |
| CHENG Jie | BSQ486 | Licensed Representative (Provisional Licence) | 1, 4, 6 |
| CHENG Kwok Ching | BSQ648 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| CHENG Kwok Sum | AXR842 | Licensed Representative (Provisional Licence) | 1, 2, 4, 5, 9 |
| CHENG Nok Chi | BSS401 | Licensed Representative (Provisional Licence) | 1, 4 |
| CHENG Ya Yi Amie | BSQ858 | Licensed Representative (Provisional Licence) | 1, 4 |
| CHENG Yijie | BSL537 | Licensed Representative (Provisional Licence) | 4 |
| CHEUNG Hiu Chun | BSQ855 | Licensed Representative (Provisional Licence) | 1, 2 |
| CHEUNG Man Yau | BSQ181 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| CHEUNG Yan Ngai | BSQ138 | Licensed Representative (Provisional Licence) | 1, 2 |
| CHOI Chuen Yee | BSR537 | Licensed Representative (Provisional Licence) | 1 |
| CHOI Pak Lam | BSI406 | Licensed Representative (Provisional Licence) | 1, 4 |
| CHOI Yuk Kwan | BSR595 | Licensed Representative (Provisional Licence) | 1, 2 |
| CHOW Man Fung | BSS336 | Licensed Representative (Provisional Licence) | 1 |
| CHOW Suk Yan | BOO197 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| CHU Chun Man | BSS626 | Licensed Representative (Provisional Licence) | 4, 9 |
| CHU Jun | BSO710 | Licensed Representative (Provisional Licence) | 1, 4 |
| CHU Yui | BSJ843 | Licensed Representative (Provisional Licence) | 6 |
| CHUI Hang Yin | BST060 | Licensed Representative (Provisional Licence) | 1 |
| CHUK Sai Cheong, Simon | AWW236 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| CHUN Tak Ken Kent | BSR257 | Licensed Representative (Provisional Licence) | 4, 9 |
| DAMODARAN Aditya | BSR429 | Licensed Representative (Provisional Licence) | 1, 2, 4, 5, 7 |
| DAVID Antoine Marcel Pierre | BST436 | Licensed Representative (Provisional Licence) | 1 |
| DESMOTS Thomas Thierry Mickael | BSQ124 | Licensed Representative (Provisional Licence) | 1 |
| DHANUKA Ayush Kumar | BRO433 | Licensed Representative (Provisional Licence) | 9 |
| DING Jian Yang | BSQ388 | Licensed Representative (Provisional Licence) | 1, 4 |
| DING Yan | BOP667 | Licensed Representative (Provisional Licence) | 4 |
| DONLEVY Michael | BSQ391 | Licensed Representative (Provisional Licence) | 1, 2 |
| DU Nan Chiang | BGH452 | Licensed Representative (Provisional Licence) | 9 |
| ESHRAGI Shameem | BFC869 | Licensed Representative (Provisional Licence) | 9 |
| FAN Lok Chun | BSR901 | Licensed Representative (Provisional Licence) | 1 |
| FAN Sze Yin | BSP566 | Licensed Representative (Provisional Licence) | 9 |
| FAN Yumeng | BST168 | Licensed Representative (Provisional Licence) | 10 |
| FRANCO DEMICHELIS Luca | ARU568 | Licensed Representative (Provisional Licence) | 9 |
| FU Mao | BSQ064 | Licensed Representative (Provisional Licence) | 4 |
| FU Minhao | BSS057 | Licensed Representative (Provisional Licence) | 1, 4, 6 |
| FU Siu Chung, Andrew | BSQ371 | Licensed Representative (Provisional Licence) | 1 |
| FU Xi | BSR661 | Licensed Representative (Provisional Licence) | 1, 4 |
| FUNG Ka Yin | BSR448 | Licensed Representative (Provisional Licence) | 1, 4 |
| FUNG Sing Chun Tommy | BRA682 | Licensed Representative (Provisional Licence) | 1, 4 |
| GAN Ker Lih | AZO050 | Licensed Representative (Provisional Licence) | 2, 5 |
| GAO Fei | BSF103 | Licensed Representative (Provisional Licence) | 6 |
| GAO Nan | BSS178 | Licensed Representative (Provisional Licence) | 4 |
| GOLDEN Niamh Ellen | BSQ846 | Licensed Representative (Provisional Licence) | 1, 2, 4, 5, 7 |

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2022

| CE Name | CE No. | Licence Type | Types of Regulated Activity |
|----------------------------|--------|---|-----------------------------|
| GU Haotian | BSQ098 | Licensed Representative (Provisional Licence) | 6 |
| GUO Chunlei | BSQ897 | Licensed Representative (Provisional Licence) | 1, 6 |
| GUO Yun | BSQ190 | Licensed Representative (Provisional Licence) | 4 |
| HAN Wenjie | BSQ987 | Licensed Representative (Provisional Licence) | 1, 6 |
| HAN Wenyu | BSM553 | Licensed Representative (Provisional Licence) | 1, 6 |
| HE Xiyang | BSQ598 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| HE Zhiyuan | BSL760 | Licensed Representative (Provisional Licence) | 1, 6 |
| HEUNG Hop Sang | AXZ779 | Licensed Representative (Provisional Licence) | 6 |
| HO Cheuk Ming | BID232 | Licensed Representative (Provisional Licence) | 1 |
| HO On Yi | BSP651 | Licensed Representative (Provisional Licence) | 1 |
| HO Wing Yan | BSS189 | Licensed Representative (Provisional Licence) | 9 |
| HODGES Simon Paul | BSL751 | Licensed Representative (Provisional Licence) | 1, 2, 9 |
| HONG Yue | BST009 | Licensed Representative (Provisional Licence) | 4 |
| HSU Jennifer | BF1910 | Licensed Representative (Provisional Licence) | 1, 4 |
| HSU Yung Haow | BSS188 | Licensed Representative (Provisional Licence) | 2, 5 |
| HU Hanyue | BSM502 | Licensed Representative (Provisional Licence) | 1, 2 |
| HU Hiiga | BET277 | Licensed Representative (Provisional Licence) | 1 |
| HU Zhichao | BMD543 | Licensed Representative (Provisional Licence) | 1, 4 |
| HUA Jinshu | BSJ533 | Licensed Representative (Provisional Licence) | 4 |
| HUANG Wenyun | BSR637 | Licensed Representative (Provisional Licence) | 1, 4 |
| HUANG Yutong | BSR718 | Licensed Representative (Provisional Licence) | 1 |
| HUI Ting Fan | BSS081 | Licensed Representative (Provisional Licence) | 1 |
| HUI WAI HO | BSO573 | Licensed Representative (Provisional Licence) | 1, 7 |
| HUNG Ka Yan Anny | BSR083 | Licensed Representative (Provisional Licence) | 1, 4, 6 |
| HUNG Tsz Yat | BSQ962 | Licensed Representative (Provisional Licence) | 4, 9 |
| JANG Sang Bin | BSQ141 | Licensed Representative (Provisional Licence) | 1, 4 |
| JANN Maelwa | BSQ102 | Licensed Representative (Provisional Licence) | 1, 2, 4 |
| JI Yining | BSS059 | Licensed Representative (Provisional Licence) | 1 |
| JIANG Jiang | BSP649 | Licensed Representative (Provisional Licence) | 4 |
| JIANG Yue | BGP809 | Licensed Representative (Provisional Licence) | 1, 4 |
| JIN Gaozheng | BSP526 | Licensed Representative (Provisional Licence) | 9 |
| KANG Haotian | BSS173 | Licensed Representative (Provisional Licence) | 1, 4, 6 |
| KAYENON Pimpun | BSM352 | Licensed Representative (Provisional Licence) | 2, 5 |
| KHAN Mohammed Waheed | BSQ599 | Licensed Representative (Provisional Licence) | 9 |
| KIM Jin Sung | BSR261 | Licensed Representative (Provisional Licence) | 1, 4 |
| KINJO Tomonori | BSR247 | Licensed Representative (Provisional Licence) | 4 |
| KUNG Shun Him | BSO682 | Licensed Representative (Provisional Licence) | 9 |
| KWONG Man Lung Andy | BSR329 | Licensed Representative (Provisional Licence) | 1 |
| LA ROCCA JR Daniel Anthony | AQG793 | Licensed Representative (Provisional Licence) | 9 |
| LAM Chak Pong | BSQ180 | Licensed Representative (Provisional Licence) | 1, 4 |
| LAM Chak Shun, Jason | BAM915 | Licensed Representative (Provisional Licence) | 1, 4 |
| LAM Cheuk Fung | BSQ882 | Licensed Representative (Provisional Licence) | 1 |
| LAM Chun Wai Agnes | BJO527 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| LAM Hoi Kin, Jacky | AWO901 | Licensed Representative (Provisional Licence) | 1, 6 |
| LAM Hoi Lam Karen | BSQ969 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| LAM Tze Chung, Felix | AKW952 | Licensed Representative (Provisional Licence) | 9 |
| LAM Yuk Ting | BKK325 | Licensed Representative (Provisional Licence) | 4, 9 |
| LAU Ching Yeung | BSS174 | Licensed Representative (Provisional Licence) | 1, 4, 6 |
| LAU Hei Man | AUK451 | Licensed Representative (Provisional Licence) | 1, 4 |

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2022

| CE Name | CE No. | Licence Type | Types of Regulated Activity |
|------------------------|--------|---|-----------------------------|
| LAU Ngan Chi | AZT356 | Licensed Representative (Provisional Licence) | 1, 4 |
| LAW Wai Hang | AOX111 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| LAW YI MAN | BSR064 | Licensed Representative (Provisional Licence) | 4, 9 |
| LEE Chi Ho | BSQ759 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| LEE Chun Yu | BSR489 | Licensed Representative (Provisional Licence) | 4 |
| LEE Hong Chuen | BSQ699 | Licensed Representative (Provisional Licence) | 4 |
| LEE Sukjoon | BCP208 | Licensed Representative (Provisional Licence) | 4 |
| LEUNG Chun Yi, Gladys | BLV456 | Licensed Representative (Provisional Licence) | 1, 4 |
| LEUNG Shing Cheong | BSQ185 | Licensed Representative (Provisional Licence) | 1 |
| LEUNG Wai Lung | BSQ226 | Licensed Representative (Provisional Licence) | 1 |
| LEUNG Wing Lung | BKC631 | Licensed Representative (Provisional Licence) | 4, 9 |
| LEUNG Wing Yan | BSQ393 | Licensed Representative (Provisional Licence) | 1, 4 |
| LEUNG Yuen Yi Kim | BSQ712 | Licensed Representative (Provisional Licence) | 1, 4 |
| LEVEN Axel Hans Gustav | BSL498 | Licensed Representative (Provisional Licence) | 4 |
| LI Annie | BRX787 | Licensed Representative (Provisional Licence) | 1, 2, 9 |
| LI Anqi | BGM433 | Licensed Representative (Provisional Licence) | 1, 4, 6 |
| LI Bowen | BSP519 | Licensed Representative (Provisional Licence) | 1, 4, 6 |
| LI Chengning | BSM544 | Licensed Representative (Provisional Licence) | 1, 4 |
| LI Chun Mo | BSQ403 | Licensed Representative (Provisional Licence) | 1, 4 |
| LI Huasong | BSI932 | Licensed Representative (Provisional Licence) | 1, 2, 4, 5, 9 |
| LI Jack Zheng | AQM738 | Licensed Representative (Provisional Licence) | 9 |
| LI Kaizhou | BSP594 | Licensed Representative (Provisional Licence) | 1, 6 |
| LI Koon Lok | BFK460 | Licensed Representative (Provisional Licence) | 9 |
| LI Lei | BLL868 | Licensed Representative (Provisional Licence) | 1, 2 |
| LI Suet Ying | BSQ752 | Licensed Representative (Provisional Licence) | 1, 4 |
| LI Xia | BSQ377 | Licensed Representative (Provisional Licence) | 1, 2 |
| LI Xuan | BSM274 | Licensed Representative (Provisional Licence) | 4 |
| LI Yao | AVM943 | Licensed Representative (Provisional Licence) | 6 |
| LI Yiteng | BSJ090 | Licensed Representative (Provisional Licence) | 4 |
| LI Yueer | BSQ816 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| LI Zi Ang | BSM449 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| LIANG Jiayi | BSQ396 | Licensed Representative (Provisional Licence) | 1, 2 |
| LIANG Qijian | BOT293 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| LIANG Shuang | BSJ588 | Licensed Representative (Provisional Licence) | 4 |
| LIAO Shuli | BSM580 | Licensed Representative (Provisional Licence) | 4, 9 |
| LIAO Xihao | BSS156 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| LING Yu Hin | BJC896 | Licensed Representative (Provisional Licence) | 1, 6 |
| LIU Congcong | BSM519 | Licensed Representative (Provisional Licence) | 4, 9 |
| LIU Jing | BLP418 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| LIU Jing | BQT560 | Licensed Representative (Provisional Licence) | 1, 4, 5, 9 |
| LIU Ling Er | BSQ747 | Licensed Representative (Provisional Licence) | 1, 4 |
| LIU Taiwan | BSL881 | Licensed Representative (Provisional Licence) | 1, 2, 4 |
| LIU Weizhi | BSI139 | Licensed Representative (Provisional Licence) | 9 |
| LIU Yanming | BSR135 | Licensed Representative (Provisional Licence) | 1, 6 |
| LIU Zihan | BSR234 | Licensed Representative (Provisional Licence) | 1 |
| LIU ZIJING | BSP583 | Licensed Representative (Provisional Licence) | 4, 9 |
| LIU Ziqing | BSR501 | Licensed Representative (Provisional Licence) | 1, 2 |
| LO YI FAN | BSH231 | Licensed Representative (Provisional Licence) | 4, 9 |
| LU Dongsheng | BSQ114 | Licensed Representative (Provisional Licence) | 1, 4 |

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2022

| CE Name | CE No. | Licence Type | Types of Regulated Activity |
|-------------------------|--------|---|-----------------------------|
| LUN Jiaqi | BSR763 | Licensed Representative (Provisional Licence) | 1 |
| MA Kin Nok | BSR280 | Licensed Representative (Provisional Licence) | 1 |
| MA Shuk Yee Ayishah | BSR351 | Licensed Representative (Provisional Licence) | 1, 2, 4, 9 |
| MA Tsz Ching | BSQ996 | Licensed Representative (Provisional Licence) | 1, 4 |
| MAHESHWARI Prateek | BSR268 | Licensed Representative (Provisional Licence) | 9 |
| MAK Ho Kan | BSS230 | Licensed Representative (Provisional Licence) | 10 |
| MAK Kit Yee | BSQ687 | Licensed Representative (Provisional Licence) | 1 |
| MAN Ning | BKN135 | Licensed Representative (Provisional Licence) | 1, 2, 4 |
| MOK Chui Mei, Maria | BSH170 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| MYONG Chun Hyong | AEN827 | Licensed Representative (Provisional Licence) | 1, 4 |
| NG Ka Wing | BSK228 | Licensed Representative (Provisional Licence) | 9 |
| NG King To, Timothy | BAS698 | Licensed Representative (Provisional Licence) | 1, 4, 6 |
| NI Jingwen | BSQ656 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| NISHIURA Mitsuru | BSQ657 | Licensed Representative (Provisional Licence) | 4 |
| NOMURA Kae | BSR969 | Licensed Representative (Provisional Licence) | 1 |
| PAN Ting Ting | BSR017 | Licensed Representative (Provisional Licence) | 1 |
| PAN Wenqi | BRX360 | Licensed Representative (Provisional Licence) | 1 |
| PAO LIK HANG NICHOLAS | BSP600 | Licensed Representative (Provisional Licence) | 1, 7 |
| PARK Suyeon | BPU789 | Licensed Representative (Provisional Licence) | 1, 4 |
| PARRY Michael James | BFG845 | Licensed Representative (Provisional Licence) | 1 |
| PENG Qiusha | BSR775 | Licensed Representative (Provisional Licence) | 1, 4, 5 |
| PENG Yuze | BSQ322 | Licensed Representative (Provisional Licence) | 1, 4 |
| PERIWAL Sudeep | BSP584 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| PILLAI Anurag | BSR120 | Licensed Representative (Provisional Licence) | 1, 2 |
| PILLAI Pravin | BSQ606 | Licensed Representative (Provisional Licence) | 1, 4 |
| POON See Yue, Christy | AZT191 | Licensed Representative (Provisional Licence) | 1, 4 |
| PUN Ki Yuk York | BSM204 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| QIAO Zhulin | BSR217 | Licensed Representative (Provisional Licence) | 9 |
| QIN Shuang | BSM467 | Licensed Representative (Provisional Licence) | 1, 4, 5, 9 |
| QIU Yuqi | BSR929 | Licensed Representative (Provisional Licence) | 1, 2 |
| REEVE Alexander | APK395 | Licensed Representative (Provisional Licence) | 1 |
| REN Tian | BDX763 | Licensed Representative (Provisional Licence) | 9 |
| RITTER Caroline Josette | BSR024 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| SANCHEZ Marlon Mikhail | ADJ822 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| SEKINUMA Joji | BMC988 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| SEWELL Anthony John | AIY534 | Licensed Representative (Provisional Licence) | 1 |
| SHEN Min | BSS531 | Licensed Representative (Provisional Licence) | 1, 2, 7 |
| SHI Jiacheng | BQS650 | Licensed Representative (Provisional Licence) | 1, 6 |
| SHIGETOMI Daisuke | BSP531 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| SHIM Hyunju | BSH905 | Licensed Representative (Provisional Licence) | 1, 2 |
| SIDDIQUE Farhad Bin | BST006 | Licensed Representative (Provisional Licence) | 1, 4 |
| SINGH Taranvir | BSP592 | Licensed Representative (Provisional Licence) | 4 |
| SIU Ka Yee | BSR768 | Licensed Representative (Provisional Licence) | 1, 2, 7 |
| SIU Kai Wan | BSR607 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| SO Oi Bo Mable | BSQ354 | Licensed Representative (Provisional Licence) | 1, 4 |
| SO Ying Wai | BIN327 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| SONG Guodong | BSS423 | Licensed Representative (Provisional Licence) | 10 |
| SOO Shuen Felicity | BSQ592 | Licensed Representative (Provisional Licence) | 1, 4, 6 |
| SPENSLEY Oliver Giles | ASW652 | Licensed Representative (Provisional Licence) | 1, 2 |

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2022

| CE Name | CE No. | Licence Type | Types of Regulated Activity |
|---------------------------|--------|---|-----------------------------|
| SRINIVASAN Badrinath | BSR354 | Licensed Representative (Provisional Licence) | 9 |
| SUN Anqi | BSS577 | Licensed Representative (Provisional Licence) | 4 |
| SUN BIN | BSQ697 | Licensed Representative (Provisional Licence) | 4, 9 |
| SUN Hanbing | BSQ791 | Licensed Representative (Provisional Licence) | 1, 4 |
| SUZUKI Kiyooki | BSQ641 | Licensed Representative (Provisional Licence) | 4 |
| TAM Siu Wai | BMV755 | Licensed Representative (Provisional Licence) | 1 |
| TAM Kam Lun | ASO991 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| TAN Celine | BSQ966 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| TAN Yaoming | BSQ961 | Licensed Representative (Provisional Licence) | 1, 4, 6 |
| TAN Yue | BOR500 | Licensed Representative (Provisional Licence) | 4 |
| TANG Ellie | BSQ883 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| TANG Hao | BSR857 | Licensed Representative (Provisional Licence) | 1, 9 |
| TANG MING TAI | BSQ984 | Licensed Representative (Provisional Licence) | 1 |
| TANG Mo | BSQ983 | Licensed Representative (Provisional Licence) | 9 |
| TANIOKA Shinji | BST340 | Licensed Representative (Provisional Licence) | 4 |
| TING Kit Yin | BSQ841 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| TONG Tsz Chun | BSL497 | Licensed Representative (Provisional Licence) | 4 |
| TSAI Kuan-Chi | AOK522 | Licensed Representative (Provisional Licence) | 1, 6 |
| TSAI Tsung-An | BSQ376 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| TSANG Siu Yu | BJG901 | Licensed Representative (Provisional Licence) | 4, 9 |
| TSE Man Yin, Jason | BOR763 | Licensed Representative (Provisional Licence) | 4, 9 |
| TSE Wing Yan | BSQ210 | Licensed Representative (Provisional Licence) | 1 |
| TSUI Lok Tung Zita | BSM541 | Licensed Representative (Provisional Licence) | 1, 2, 4, 5 |
| UZUNOVA Manuela Slavchova | BSS525 | Licensed Representative (Provisional Licence) | 1, 2 |
| VIHANG-SUBRAMANIAN | BPB583 | Licensed Representative (Provisional Licence) | 9 |
| VOLTA Gilbert | BSO676 | Licensed Representative (Provisional Licence) | 1, 2, 4 |
| WALTER David Ronald James | BMV463 | Licensed Representative (Provisional Licence) | 9 |
| WANG Audrey Zhiji | BOT024 | Licensed Representative (Provisional Licence) | 1 |
| WANG Jiayi | BST280 | Licensed Representative (Provisional Licence) | 1 |
| WANG Jingyun | BSS159 | Licensed Representative (Provisional Licence) | 1, 4, 6 |
| WANG Jinjing | BSJ392 | Licensed Representative (Provisional Licence) | 1, 6 |
| WANG Joy | BSQ654 | Licensed Representative (Provisional Licence) | 1, 2, 4 |
| WANG Jue | BSR933 | Licensed Representative (Provisional Licence) | 1, 4 |
| WANG Qian | BSJ512 | Licensed Representative (Provisional Licence) | 1, 6 |
| WANG Shurui | BSR033 | Licensed Representative (Provisional Licence) | 4, 9 |
| WANG Tianyu | BSM501 | Licensed Representative (Provisional Licence) | 1, 2 |
| WANG Xin | BSR016 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| WANG Xin | BSQ369 | Licensed Representative (Provisional Licence) | 1, 4 |
| WANG Xinyan | BSO564 | Licensed Representative (Provisional Licence) | 1, 4, 6 |
| WANG Yunwei | BSS473 | Licensed Representative (Provisional Licence) | 1 |
| WANG Yunyi | BSR875 | Licensed Representative (Provisional Licence) | 4 |
| WANG Zhixuan | BIA047 | Licensed Representative (Provisional Licence) | 1, 2, 4 |
| WEE Junwei | BSR026 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| WEN Yi | BSQ986 | Licensed Representative (Provisional Licence) | 1 |
| WENG Jing | BSN611 | Licensed Representative (Provisional Licence) | 4 |
| WHITE Luke Aron Richard | BSL750 | Licensed Representative (Provisional Licence) | 1, 4 |
| WONG Chi Hang | BJK881 | Licensed Representative (Provisional Licence) | 2 |
| WONG Ching | BSI858 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| WONG Chung Kay Joyce | BSR646 | Licensed Representative (Provisional Licence) | 1, 4, 9 |

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2022

| CE Name | CE No. | Licence Type | Types of Regulated Activity |
|------------------------|--------|---|-----------------------------|
| WONG Ka Wai | BLV750 | Licensed Representative (Provisional Licence) | 1, 4, 6 |
| WONG Miu Wan | BNR830 | Licensed Representative (Provisional Licence) | 1, 4 |
| WONG Pui Ling, Emenry | AEX286 | Licensed Representative (Provisional Licence) | 1, 2, 4, 9 |
| WONG Sheung Long | BNH878 | Licensed Representative (Provisional Licence) | 1 |
| WONG Shu Ho Jason | BSE991 | Licensed Representative (Provisional Licence) | 4 |
| WONG Shun Elphin | BSQ326 | Licensed Representative (Provisional Licence) | 4, 9 |
| WONG Tan | AWN322 | Licensed Representative (Provisional Licence) | 1, 4 |
| WONG Tsz Ho | BSR670 | Licensed Representative (Provisional Licence) | 1, 2 |
| WONG Tsz Lam | BSQ541 | Licensed Representative (Provisional Licence) | 1, 2, 4 |
| WONG Yin Yeung | BRK788 | Licensed Representative (Provisional Licence) | 9 |
| WU Chao | BSP461 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| WU Jingshun | BSQ368 | Licensed Representative (Provisional Licence) | 1, 4, 6 |
| WU Tianchi | BSR660 | Licensed Representative (Provisional Licence) | 1, 4, 6 |
| XIE Lanping | BSR927 | Licensed Representative (Provisional Licence) | 1, 4 |
| XIN Yimo | BJP596 | Licensed Representative (Provisional Licence) | 1 |
| XING Hechen | BSM585 | Licensed Representative (Provisional Licence) | 1, 6 |
| XIONG Junjie | BEZ862 | Licensed Representative (Provisional Licence) | 9 |
| XU Chen | BSR063 | Licensed Representative (Provisional Licence) | 1, 4 |
| XU Roger Wang | BSL956 | Licensed Representative (Provisional Licence) | 1, 4 |
| YANG Bin | BSQ313 | Licensed Representative (Provisional Licence) | 4 |
| YANG Freya | BSR736 | Licensed Representative (Provisional Licence) | 1, 4 |
| YANG JUNJIE | BSR667 | Licensed Representative (Provisional Licence) | 2 |
| YANG Liwen | BSM567 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| YANG Rong | BSS663 | Licensed Representative (Provisional Licence) | 1, 6 |
| YANG Zengxi | BSK084 | Licensed Representative (Provisional Licence) | 1, 2, 4 |
| YE Min | BMR373 | Licensed Representative (Provisional Licence) | 1 |
| YE Nan | BRT436 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| YEN William | BSM042 | Licensed Representative (Provisional Licence) | 9 |
| YIM Long Man | BGD234 | Licensed Representative (Provisional Licence) | 1, 4, 6 |
| YIP Chun Yi | BSQ196 | Licensed Representative (Provisional Licence) | 4 |
| YOUNG Christopher | BSQ437 | Licensed Representative (Provisional Licence) | 1, 4 |
| YU Gregory Hock-ken | ANX686 | Licensed Representative (Provisional Licence) | 4 |
| YU Lawrence Lang-cheng | BCV082 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| YU Meiqin | BBU306 | Licensed Representative (Provisional Licence) | 1, 6 |
| YU Mengqi | BDW398 | Licensed Representative (Provisional Licence) | 1 |
| YU Qingyao | BSM498 | Licensed Representative (Provisional Licence) | 1, 6 |
| YU Weimin | BSJ755 | Licensed Representative (Provisional Licence) | 4 |
| YUAN Jiawei | BSJ410 | Licensed Representative (Provisional Licence) | 9 |
| YUEN Hoi Kar, Carol | AMX052 | Licensed Representative (Provisional Licence) | 1, 4, 6 |
| YUNG Chris Ken | BBR225 | Licensed Representative (Provisional Licence) | 9 |
| ZAIMI Hossein | BGU210 | Licensed Representative (Provisional Licence) | 1, 2 |
| ZENG Shiru | BIX214 | Licensed Representative (Provisional Licence) | 1 |
| ZHAI Jiahui | BSS606 | Licensed Representative (Provisional Licence) | 1, 2, 9 |
| ZHAI Yijia | BSS276 | Licensed Representative (Provisional Licence) | 4 |
| ZHANG Beibei | BSJ503 | Licensed Representative (Provisional Licence) | 9 |
| ZHANG Borui | BSP634 | Licensed Representative (Provisional Licence) | 4, 9 |
| ZHANG Chao | BOU362 | Licensed Representative (Provisional Licence) | 1, 6 |
| ZHANG Chenghao | BSS925 | Licensed Representative (Provisional Licence) | 1, 4, 6 |
| ZHANG Jingwen | BSL990 | Licensed Representative (Provisional Licence) | 1, 6 |

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2022

| CE Name | CE No. | License Type | Types of Regulated Acitivity |
|--------------------------------|---------------|---|-------------------------------------|
| ZHANG Lu | BSM342 | Licensed Representative (Provisional Licence) | 1, 4, 6 |
| ZHANG Quanda | BSP514 | Licensed Representative (Provisional Licence) | 4, 9 |
| ZHANG Xinyun | BSS182 | Licensed Representative (Provisional Licence) | 1, 4, 6 |
| ZHANG Xinzhong | BSO707 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| ZHANG Yi | BSR784 | Licensed Representative (Provisional Licence) | 1, 6 |
| ZHANG Yuansheng | BSR396 | Licensed Representative (Provisional Licence) | 4 |
| ZHAO Yiru | BSQ636 | Licensed Representative (Provisional Licence) | 1, 4, 6 |
| ZHENG Jiachuang | BIM005 | Licensed Representative (Provisional Licence) | 1 |
| ZHENG Lin | BSF783 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| ZHOU Jiangyu | BSM043 | Licensed Representative (Provisional Licence) | 4, 9 |
| ZHOU Peng | BRS680 | Licensed Representative (Provisional Licence) | 1, 4, 6 |
| ZHOU Tianyou | BOC622 | Licensed Representative (Provisional Licence) | 1, 2, 4, 5 |
| ZHOU Xiang | BSM503 | Licensed Representative (Provisional Licence) | 1, 6 |
| ZHOU Zhiling | BSR131 | Licensed Representative (Provisional Licence) | 1, 2, 4, 5 |
| ZHU Guangqi | BSR774 | Licensed Representative (Provisional Licence) | 2, 5 |
| ZHU Junjun | BSJ491 | Licensed Representative (Provisional Licence) | 4 |
| ZHU Lei | BSR052 | Licensed Representative (Provisional Licence) | 4, 9 |
| TAN Kia Kee | BSE648 | Licensed Representative (Temporary Licence) | 1, 6 |
| VIGNAUD Matthieu David Dimitri | AXB358 | Licensed Representative (Temporary Licence) | 1, 2 |
| WRIGHT Winston Zhou | BSQ960 | Licensed Representative (Temporary Licence) | 1, 2 |