

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2022

CE Name	CE No.	Licence Type	Types of Regulated Activity
ADS Securities Hong Kong Limited	AXC847	Licensed Corporation	1, 2, 3
Chanceton Capital Partners Limited	AGB225	Licensed Corporation	6
Chung Lee Securities Company Limited	AGR826	Licensed Corporation	1
Epiphron Advisers Limited	BLF857	Licensed Corporation	4, 6
Goldin Equities Limited	AFY561	Licensed Corporation	1
GP Bullhound Hong Kong Limited	BLT169	Licensed Corporation	1
Growth Track Asset Management Limited	BCK711	Licensed Corporation	9
Horizon Asset International (HK) Limited	ARG660	Licensed Corporation	9
JD Asset Management Hong Kong Limited	BOI169	Licensed Corporation	4, 9
Kingsman HK Capital Limited	BOC313	Licensed Corporation	6
Kirin Asset Management Limited	AOL038	Licensed Corporation	9
Lifan International Asset Management Limited	BNV018	Licensed Corporation	4, 9
Mako Hong Kong Limited	BOR650	Licensed Corporation	1, 2
Vertica Capital Limited	BKZ625	Licensed Corporation	9
Willowbridge Investment Corporation (Asia) Limited	BOC194	Licensed Corporation	9
Xin Tian Dou Asset Management Ltd	BNA749	Licensed Corporation	1, 4, 9
Zhenro Asset Management Co. Limited	BOJ162	Licensed Corporation	4, 9
Zhenro Securities Co. Limited	BOJ047	Licensed Corporation	1
ACKERMANN Thorsten Oliver	BQE746	Licensed Representative	1
AHMADI Alexandre Mostafa	AXM287	Licensed Representative	1, 2
ALIAMANI Mehdi Ludwig	BQX036	Licensed Representative	1
AMAR Edouard Maurice	BRV741	Licensed Representative	9
ANSARI Saira Abul	BOF645	Licensed Representative	1
AU Yu Him	BFC651	Licensed Representative	1, 4, 5, 9
AU Yuen Ting, Miranda	AEK664	Licensed Representative	9
BALLIN Larri Harold	BJW743	Licensed Representative	9
BIAN Xiaobo	BQB891	Licensed Representative	1, 4, 6
BLACK Robert Stublely	AXG378	Licensed Representative	9
BLACKLOCK Kelvin	ADU634	Licensed Representative	1, 4
BOTELHO BASTOS Pedro Augusto	ATV304	Licensed Representative	1, 2, 4, 5, 9
BRADLEY Simon Joseph	AUN770	Licensed Representative	1, 2, 7
BREWER James Christopher	BHB321	Licensed Representative	6
CAI Anran	BOC563	Licensed Representative	4
CAO Rongji	BPQ858	Licensed Representative	1, 4
CAO Weijie	BRO843	Licensed Representative	6
CAO Yuanyuan	BOG925	Licensed Representative	1, 4, 6
CAPACE Max Anthony	BEE065	Licensed Representative	9
CARMEN Michael Tyler	BIW774	Licensed Representative	1, 4
CARNOW Jacob Elliot	AQE405	Licensed Representative	9
Catherine	BQR005	Licensed Representative	1, 4, 9
CERNIK Jaromir	BMK891	Licensed Representative	1, 4
CHAK Hiu Ha	BHI952	Licensed Representative	1, 2
CHAN Chi Hang	BDJ943	Licensed Representative	1
CHAN Chi Ho	BMB260	Licensed Representative	1, 4, 9
CHAN Chun Sing	BSA348	Licensed Representative	4, 9
CHAN Esther Mei Sze	BFY475	Licensed Representative	6
CHAN Gerard Ga-hou	BHO111	Licensed Representative	1, 4, 6
CHAN Ho Leung	ACJ962	Licensed Representative	3
CHAN Ka Ho	BOP869	Licensed Representative	1, 4, 9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2022

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHAN Ka Ki	AQH356	Licensed Representative	1, 4
CHAN Kin Yan	BIJ852	Licensed Representative	9
CHAN King Hong	BFX167	Licensed Representative	1, 2, 3
CHAN King Ying	AXF471	Licensed Representative	1
CHAN Kwok Keung	AND460	Licensed Representative	1
CHAN Mei Fung	ACJ988	Licensed Representative	3
CHAN Ming Yin	BSF544	Licensed Representative	6
CHAN Mun Yee	ATQ902	Licensed Representative	1, 4
CHAN Sau Shan	AGC410	Licensed Representative	1, 4, 9
CHAN Shun Yat	ACN422	Licensed Representative	1, 2
CHAN Suk Man	AFT975	Licensed Representative	4, 9
CHAN Tik Fai, Simon	ANY498	Licensed Representative	1, 4, 9
CHAN Wing Chong	BSD226	Licensed Representative	4, 9
CHAN YU	BSF670	Licensed Representative	1, 2
CHAU Betsy Sarah Wing Yee	BMV763	Licensed Representative	1
CHAU Chi Kan	BHZ243	Licensed Representative	1
CHAU Siu Nin	AAZ002	Licensed Representative	1
CHEN Duo	AXF373	Licensed Representative	1, 4
CHEN Wenxin	BRP676	Licensed Representative	1, 2
CHEN Yixin	AXQ293	Licensed Representative	4
CHEN Zhenhao	BPR665	Licensed Representative	1, 4
CHENG Chi Ming	AGK063	Licensed Representative	1, 2
CHENG Fuk Wai	BRO434	Licensed Representative	1, 6
CHENG Hui Yin	BEY797	Licensed Representative	1
CHENG Shaopu	BJO008	Licensed Representative	1, 4, 9
CHENG Shun Yat Adrian	BOL068	Licensed Representative	1
CHENG Wai Tak	AEZ085	Licensed Representative	1
CHEONG Bryant Chung Po	BJT324	Licensed Representative	6
CHEUNG Chi Man	AFR997	Licensed Representative	1
CHEUNG Fu Sang	AAF297	Licensed Representative	1, 2
CHEUNG Hiu Ching	ANU469	Licensed Representative	1, 2, 3, 4
CHEUNG Ka Yee, Joyce	ANW539	Licensed Representative	1, 4, 9
CHEUNG Pui Yee	AOG703	Licensed Representative	1
CHEVALIER JR Philippe William	BRV488	Licensed Representative	1, 7
CHIN Yee Man	ANH625	Licensed Representative	10
CHIONG Joyce May-Wei	AGM522	Licensed Representative	9
CHO Woo Yeon	BPG313	Licensed Representative	1, 2
CHOI Stephen	AHA284	Licensed Representative	1, 4
CHONG Chun Wa, Myron	AND631	Licensed Representative	1, 2, 3
CHONG Ka Lam Mike	BPK473	Licensed Representative	1, 2
CHONG KA WAI	BQL524	Licensed Representative	1
CHOPRA Abhishek	BNU940	Licensed Representative	2, 5
CHOUDHARY Abhishek	BGB563	Licensed Representative	1, 2, 7
CHOW Cham Fai	AIH593	Licensed Representative	3
CHU Ngar See	BNX722	Licensed Representative	1
CHU Wai Tak Jonathan	BQO177	Licensed Representative	9
CHUI Choi Fung	BRF913	Licensed Representative	1
CHUI Yat Wa	BLD478	Licensed Representative	1, 2, 3
CHUNG Diana	BII595	Licensed Representative	1, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2022

CE Name	CE No.	License Type	Types of Regulated Activity
CHUNG Pui Ching	BML858	Licensed Representative	1, 2, 4, 5, 9
CHUNG Tak Chiu	AYT725	Licensed Representative	1, 2
CHUNG Wing Chung	BQB503	Licensed Representative	6
CUMMINGS Nneka A Chike-obi	BPN593	Licensed Representative	10
DENG Yun	BRQ451	Licensed Representative	4, 9
DENG Zhibo	BQD384	Licensed Representative	1, 4
DEWHIRST Zachary Joseph	BMW152	Licensed Representative	1
DING Peng	BHO461	Licensed Representative	1, 6
DING Tianqi	BPS019	Licensed Representative	1, 4, 9
DOU Yidong	BHX714	Licensed Representative	4
DREW Dominic Francis	BSJ534	Licensed Representative	1, 4, 6
DU Yumeng	BSA375	Licensed Representative	4, 9
DURANTEZ Marc	AWP887	Licensed Representative	1, 2
DUVVURU Priyanka	BPJ625	Licensed Representative	9
EDELBERG Jeremy Ian	BIQ153	Licensed Representative	9
FAN Jingruo	BLQ525	Licensed Representative	1, 6
FENG Jin	BNR341	Licensed Representative	1, 4
FENG Ziang	BNR290	Licensed Representative	1, 4, 9
FU Chak	BJL314	Licensed Representative	4, 9
FU Cheng Yuan	AZX618	Licensed Representative	1, 2
FU Tsz Hei	BGA854	Licensed Representative	1, 2, 4
FUJII Takashi	BMV173	Licensed Representative	1
FUNG Kin Wai	AUC495	Licensed Representative	4, 5, 9
FUNG Pik Chun, Rebecca	AAF944	Licensed Representative	1
GAN Shuyun	BMK895	Licensed Representative	1, 4
GAO Baiwen	BMW176	Licensed Representative	9
GAO Minye	BRO942	Licensed Representative	1, 6
GAO Xuyang	BOZ651	Licensed Representative	6
GEHL Mederic Gaetan	BEJ894	Licensed Representative	1, 2, 4
GERARD Victor Elie	BBN899	Licensed Representative	1, 2
GONG Zhikai	BIQ827	Licensed Representative	1, 2, 5
GU Bing	BPS135	Licensed Representative	1
GULRAJANI dev	BND519	Licensed Representative	1, 4, 6
GUPTA Vikash	BPY677	Licensed Representative	9
HAI Rihan	BNI902	Licensed Representative	1, 2
HALL Taylor Amanda	BMC935	Licensed Representative	4, 5, 9
HAN Bowen	BHV171	Licensed Representative	1, 4
HE Lei	BRZ216	Licensed Representative	1, 6
HENG Sung Kit Justin	AFC294	Licensed Representative	4, 9
HO Darren King Man	BNJ839	Licensed Representative	1, 4, 9
HO Duncan	BBZ848	Licensed Representative	1, 6
HO KA LUN	BSD260	Licensed Representative	1, 4
HO Kar Ming	AFU408	Licensed Representative	4, 9
HO Siu Wai	ATI097	Licensed Representative	1, 2, 3
HO Tsz Fung	BRI819	Licensed Representative	1, 6
HO Wai King	AGN325	Licensed Representative	1, 4
HO Wai Lee	ASK885	Licensed Representative	1, 2, 4, 9
HONDA Miwa	AQN414	Licensed Representative	4
HU Jingxuan	BOR001	Licensed Representative	1, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2022

CE Name	CE No.	Licence Type	Types of Regulated Activity
HU Weijun	AWS043	Licensed Representative	4
HU Zhicheng	BPR871	Licensed Representative	4, 9
HUANG Ching-Heng	BPX875	Licensed Representative	1, 4, 9
HUANG Xiaoyi	BOM845	Licensed Representative	1
HUANG Yinfeng	BRI977	Licensed Representative	1
HUANG Yung Hsien	BHS017	Licensed Representative	1, 4, 9
HUI Ka Yi	BSX385	Licensed Representative	1, 6
HUI Stephen Bing Hong	BEB226	Licensed Representative	9
HUNG Wai Choi, Leo	ABG066	Licensed Representative	1, 2, 4, 5
HUTCHINSON James Allen	BOM511	Licensed Representative	1
HWANG Hei Yu, Stephanie	AGO335	Licensed Representative	1
IP Lai Seng	BQZ865	Licensed Representative	1, 4
ITZHAKI Savion	BOP827	Licensed Representative	1, 2
JAIN Shreyans	BMD541	Licensed Representative	1, 2
JAMES Alun Richard	BBU307	Licensed Representative	1, 2
JANAKIRAMAN Abhishek	BEC799	Licensed Representative	1, 7
JANG Hye Won	AXI105	Licensed Representative	10
JARRETT Caroline Grace	AKP607	Licensed Representative	1, 2, 7
JEON Woonki	BFT921	Licensed Representative	9
JIA Jingwei	BOZ929	Licensed Representative	10
JIANG Junwei	BSD815	Licensed Representative	1, 6
JIANG Xinhao	BOE414	Licensed Representative	1, 4
JIN Zilin	BEI695	Licensed Representative	1
JURENKO Louisa Alice	BKW654	Licensed Representative	1, 4
KAM Chi Chung Kevin	BQT502	Licensed Representative	1, 9
KANG Phil Goo	BOJ199	Licensed Representative	9
KANNO Kunihiko	AUW980	Licensed Representative	9
KARKER Radhika Shirish	BOR249	Licensed Representative	1
KARLO Krzysztof	BOU802	Licensed Representative	1, 4
KASE Tomoko	APJ342	Licensed Representative	1, 2
KAVERZINE Ivan	BGH431	Licensed Representative	1, 2
KELLEHER Rebecca Therese May	BCH440	Licensed Representative	1, 2
KERNEIS Antoine Marie Erwan	AYL134	Licensed Representative	9
KIM Chan Young	BQB883	Licensed Representative	1, 4
KO Ho Ming, Vin	AHA287	Licensed Representative	1, 2, 3, 9
KO Ka Wai	AQO288	Licensed Representative	1
KORODI-SZASZ Attila	BJH967	Licensed Representative	9
KOTHATHI SHIVASWAMY Goutham	BRE714	Licensed Representative	1, 6
KUANG Kaiwen	BGB219	Licensed Representative	1, 2, 4
KUANG Zheng	AVS739	Licensed Representative	4, 9
KULUPPUARACHCHI Savith Viduranga	AYM695	Licensed Representative	1
KUNG Wang Leong	BLR820	Licensed Representative	1, 4, 9
KWAN Ho Yee	BRR800	Licensed Representative	1
KWOK Shun Hei, Simon	AYO398	Licensed Representative	1, 2, 4, 9
KWONG Chun Hin	BIM257	Licensed Representative	1, 4
LAI Chun Sang	BOB582	Licensed Representative	4, 9
LAI Ka Ho	AEQ243	Licensed Representative	9
LAI Ka Tak, Albert	BHU911	Licensed Representative	1, 4, 9
LAI Siu Wa	BPV534	Licensed Representative	6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2022

CE Name	CE No.	Licence Type	Types of Regulated Activity
LAI Wai Shan	AKV217	Licensed Representative	1, 4
LAI Yu Kwan	BRB433	Licensed Representative	1, 2
LAI Yuen Chun	AMO727	Licensed Representative	1, 2, 9
LAM Chi Yin	AQX104	Licensed Representative	1
LAM Chun Hop	AYZ182	Licensed Representative	1
LAM Erica Lai Ting	BDV264	Licensed Representative	1
LAM Josh Raphael	BSE202	Licensed Representative	1, 4, 6
LAM Kwong Ching	BAB580	Licensed Representative	1, 6
LAM Lok Wing, Benny	AQJ450	Licensed Representative	1, 6
LAM Pui King	BPX472	Licensed Representative	1, 2
LAM Shun Ka	BJW925	Licensed Representative	1
LAM Sze Yu	BMW930	Licensed Representative	4, 9
LAM Tsz Him	BCI413	Licensed Representative	2
LAM Wai Kwok, Jackson	ACK371	Licensed Representative	3
LAU Nim Kei	AFP825	Licensed Representative	1
LAU Tak Wai	BOH199	Licensed Representative	1
LAU Wai Chong	ARH521	Licensed Representative	1
LAU Yeung Yeung	BRS390	Licensed Representative	4, 9
LAU Yin Tak	ALW340	Licensed Representative	1
LAW Chun Man	BOP241	Licensed Representative	1, 4, 9
LEBOW Matthew Allan	BPH810	Licensed Representative	9
LEE Bing Kuen, Eric	AEG821	Licensed Representative	2
LEE Chang Cheng	BNU261	Licensed Representative	1, 9
LEE Chi On	AFW145	Licensed Representative	1
LEE Daycee Anaksia	BOF946	Licensed Representative	9
LEE Emily	APL964	Licensed Representative	4
LEE Fung Nin	ADG301	Licensed Representative	2, 4
LEE Jae Young	AKP354	Licensed Representative	1, 2
LEE Jong Hak	AOH583	Licensed Representative	4, 9
LEE Lok Yin Monique	BRS396	Licensed Representative	1
LEE Mang	BSF386	Licensed Representative	1, 4
LEE Monica Chantelle	BGZ615	Licensed Representative	2, 9
LEE On Fuk	AFR164	Licensed Representative	1
LEE Pei Zhen Shynna April	BNJ257	Licensed Representative	1, 2, 3
LEE Po Wing	BDX196	Licensed Representative	1
LEE Shiu Wo Victor	BGQ657	Licensed Representative	1, 2
LEE Therese Vainius	BRH380	Licensed Representative	1, 2, 7
LEE Wai Tung	BNI042	Licensed Representative	1
LEE Yar Sin, Becky	ACM348	Licensed Representative	1
LEE Yuk Yin	AQC821	Licensed Representative	1
LEIGH Britton S	BIQ763	Licensed Representative	1, 9
LEUNG Chin Hei	ARI066	Licensed Representative	1, 2, 4
LEUNG Fionna Leony	BNY526	Licensed Representative	1, 4, 9
LEUNG Hoi Hin	ATN314	Licensed Representative	1, 2
LEUNG Hoi Yee Janis	BRV388	Licensed Representative	1, 4
LEUNG Hon Chio	AGB750	Licensed Representative	1, 2
LEUNG Lai Sha	ADS278	Licensed Representative	1
LEUNG Ping Nam	AML607	Licensed Representative	1
LEUNG Pui Nung, Curly	AFX696	Licensed Representative	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2022

CE Name	CE No.	Licence Type	Types of Regulated Acitivity
LEUNG Tsz Sum	BRZ558	Licensed Representative	1, 7
LEUNG Wai Kin	ARM217	Licensed Representative	1, 2
LEUNG Yu Ki	AFU437	Licensed Representative	4, 9
LEUNG Yuk Hung	BEJ775	Licensed Representative	1, 9
LEWIS Ian Scott	BPH535	Licensed Representative	10
LI Chaopeng	BRL110	Licensed Representative	1, 2, 9
LI Huiying	BPI531	Licensed Representative	1, 4, 9
LI Jiayu	BPK716	Licensed Representative	1, 4, 9
LI King Wang	BRQ674	Licensed Representative	1, 2
LI Lin	BNX134	Licensed Representative	9
LI Ling Sun	AGI751	Licensed Representative	1
LI Xiang	BIR374	Licensed Representative	4
LI Ying Kin	BRK489	Licensed Representative	1, 4
LI Yisui	BLK608	Licensed Representative	4, 9
LI Yizhang	BRD932	Licensed Representative	1, 6
LIM Chun Yin, Nicholas	BJI255	Licensed Representative	1, 2
LIM Yi Chang	BQV631	Licensed Representative	1, 4, 6
LIM You Jie	BJJ423	Licensed Representative	1
LIN Wan Ni	ACZ640	Licensed Representative	4, 9
LIU Boying	BNC925	Licensed Representative	1, 6
LIU Jiaqi	BRR251	Licensed Representative	4, 9
LIU Kwok Fung	BSF482	Licensed Representative	1, 2
LIU ShaoJie	BPX931	Licensed Representative	9
LIU Xiang	BQF176	Licensed Representative	1, 2, 4
LIU Xinhe	BLI492	Licensed Representative	1, 4
LIU Zehua	BQB363	Licensed Representative	1, 4, 9
LO Chi Keung	AFT940	Licensed Representative	1, 2
LO Ka Ming	BQG358	Licensed Representative	1, 2
LO Kai Fung	AVQ822	Licensed Representative	1, 2
LO Wai Man, Vivian	BDL002	Licensed Representative	1, 4, 9
LO Yim Fong	AOT534	Licensed Representative	1
LOCK Timothy James	AXQ297	Licensed Representative	1, 2
LOK Ka Ying	BOU167	Licensed Representative	1, 4
LOU Chun Sang	BGQ182	Licensed Representative	1
LOU Yi	AFW474	Licensed Representative	1
LOW Guan Yi	AYZ030	Licensed Representative	1, 4
LU Chen	BKH244	Licensed Representative	1, 4
LU Chia-yen	BQL538	Licensed Representative	2
LU Ling	BOW739	Licensed Representative	2, 5
LU Wenqi	BBA765	Licensed Representative	9
LUI Jason	BGG827	Licensed Representative	1
LUI Kin Yeung, Edward	ABZ296	Licensed Representative	1
LUK Hang Chi	AKO709	Licensed Representative	1, 4, 9
LUK Siu On	ABE493	Licensed Representative	1, 2
MA Jingjing	BAF630	Licensed Representative	4, 9
MA Rong	BPJ506	Licensed Representative	4
MAK Oi Mei	AND944	Licensed Representative	1
MC CARTHY Barry	AYD555	Licensed Representative	1, 2
MCKENZIE William Ian Mackinnon	ASY398	Licensed Representative	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2022

CE Name	CE No.	Licence Type	Types of Regulated Activity
MCVIE Robert John	AXV530	Licensed Representative	1, 2
MINTON Lee Webb	BGP786	Licensed Representative	1, 9
MITTELBACH Jacqueline Christine	BPK823	Licensed Representative	1
MIYASHIRO Joe	BNB686	Licensed Representative	1
MO Kit Yi	AUZ518	Licensed Representative	1
MO Lai Nga	ANT662	Licensed Representative	1
MOK Ian Ka Yat	BOD623	Licensed Representative	4, 9
MOK Yik Hei	BQW222	Licensed Representative	4
MORI Masashi	BMN428	Licensed Representative	9
MORIYAMA Kasumi	BIZ878	Licensed Representative	9
NANDI Arnab	AQG745	Licensed Representative	9
NEO Shu Jean	BLH786	Licensed Representative	1
NG Cheuk Yiu	BQF002	Licensed Representative	1, 2
NG Ho Ting	BRU910	Licensed Representative	1, 2
NG Kwok Lun	BCY769	Licensed Representative	1, 2
NG Lee Fang	ATT934	Licensed Representative	4
NG Siu Fung, Samson	AXL878	Licensed Representative	1, 4
NGAI Chin Chiu	BRX393	Licensed Representative	1, 2, 4
NGAN Chiu Wai	BFY423	Licensed Representative	1, 2, 4
NGAN Wing Yi	BPK807	Licensed Representative	1
NI Chao	BPK828	Licensed Representative	9
NICHOLSON Andrew Charles	BMV400	Licensed Representative	9
NICHOLSON Robert Kenneth	AYX579	Licensed Representative	1
OLIVER Britt-Karin	ARH721	Licensed Representative	1
OUMARY Sophia Isabelle	BJA027	Licensed Representative	1, 4, 6
PALLAV Peeyush	BOK687	Licensed Representative	1, 4
PAN Lei	BLX186	Licensed Representative	4, 9
PANJABI Amrit Ravi	BQP724	Licensed Representative	1, 2
PARIKH Chintan	BBM157	Licensed Representative	1, 4
PARK Jun Ho	AXZ060	Licensed Representative	9
PLAA Jacques Robert	BCC069	Licensed Representative	6
POULLAOUEC Thomas	APH938	Licensed Representative	1, 4, 9
PUN Chi Ho	BSC685	Licensed Representative	1
QIAO Nan	AYA623	Licensed Representative	1, 4
QIN Haodong	BPD780	Licensed Representative	4, 9
QIN Yunshan	BJG589	Licensed Representative	9
REN Cong	BQE949	Licensed Representative	1, 6
RESVE Adrien Pierre	BOR727	Licensed Representative	1, 2
RILEY Adam Martin	BBB159	Licensed Representative	1, 4, 9
ROMERO-EDMISTON Ana Carolina	BRG800	Licensed Representative	9
SACUTO Pierre	BRC076	Licensed Representative	1, 2
SAKAMOTO Minoru	BOL098	Licensed Representative	1, 4, 6
SHEK Hiu Nam	BDF750	Licensed Representative	1
SHEN Chun	BPL886	Licensed Representative	1, 4
SHEUNG Yuen Ting	BRV362	Licensed Representative	1
SHIA Lo Piu	AHC344	Licensed Representative	9
SHIH Wen-Ping	BPV357	Licensed Representative	4
SHIMIZU Akiko	BOG502	Licensed Representative	1, 4, 6
SIN Wing Fai	BRR091	Licensed Representative	1, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2022

CE Name	CE No.	Licence Type	Types of Regulated Acitivity
SINGLA Jimitesh	BPR866	Licensed Representative	1, 2
SIU Chan leong	BQO297	Licensed Representative	9
SIU Hon Ming	ACJ134	Licensed Representative	3
SMALLACOMBE David Scott	BMV008	Licensed Representative	1, 4, 9
SO Cheuk Hei	BFN382	Licensed Representative	4, 9
SO Chun Yin	BIC661	Licensed Representative	4, 9
SO Kai Chiu	BQO778	Licensed Representative	1, 4, 9
SO Sze Yung	AXF167	Licensed Representative	1
SO Ting Ting	BII725	Licensed Representative	1
SO Wai Ching	BRB314	Licensed Representative	1
SONG Ge	BHT502	Licensed Representative	1, 4, 9
SONG Li	APL751	Licensed Representative	1
STANLEY Thomas James	BQG467	Licensed Representative	1, 4, 9
STONE Melissa Ann	BCN935	Licensed Representative	1, 4
SUM Pik Yee, Jenny	BOA609	Licensed Representative	1, 4, 9
SUN Junbo	BEF834	Licensed Representative	1, 6
SUN Mingze	BRL053	Licensed Representative	1, 4, 9
SUN Peilun	BNC586	Licensed Representative	1, 6
SUN Xiaofeng	ASO689	Licensed Representative	1, 4, 6
TA Francois	AQZ652	Licensed Representative	1, 2
TAM Cheuk Wai	BNY532	Licensed Representative	1
TAM Chi Lok Arnold	AVJ390	Licensed Representative	1, 4, 5
TAN Yujun	BKU720	Licensed Representative	1, 4, 9
TANG Chi Wai	ADG394	Licensed Representative	1, 4
TANG Sai Kit Calvin	BSI128	Licensed Representative	9
THAKRAR Rohan	BGI923	Licensed Representative	1
THOMAS Hok Fan, James	ADK136	Licensed Representative	2
THORPE Simon Charles	BOJ803	Licensed Representative	1
TING Sai Man	ADZ993	Licensed Representative	1, 4
TO Man Fai	AAA174	Licensed Representative	2
TO Yuen Ting	BIS544	Licensed Representative	4, 9
TONG Hon Wai	BAH612	Licensed Representative	1
TONG Ping Sun, Benson	AZQ352	Licensed Representative	2, 3
TREACY Benjamin David	BMV592	Licensed Representative	1
TSANG Hing Lun	AXM992	Licensed Representative	1, 2
TSANG Kwok Yuk	ADJ392	Licensed Representative	4, 9
TSANG Yuen Pui, Joannie	AQY749	Licensed Representative	1
TSO Ka Chung	BFP651	Licensed Representative	4
TSO Key Man, Kevin	ADH219	Licensed Representative	1
TSOI Shan Shan	BSR687	Licensed Representative	1, 6
TSUI Tsun Ying, Eva	AZQ388	Licensed Representative	4, 9
UY Sharyn Marissa	BEN689	Licensed Representative	1, 4
WAI Pak Fai, Chris	BGL066	Licensed Representative	1, 7
WAITE Nicholas Andrew	BIV252	Licensed Representative	9
WANG CHEN	BQF303	Licensed Representative	1, 6
WANG Faqiang	AXY799	Licensed Representative	1
WANG Jiekun	BOZ603	Licensed Representative	1, 4
WANG Jun Ping	ADW638	Licensed Representative	4, 6
WANG Mengyuan	BMH307	Licensed Representative	1, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2022

CE Name	CE No.	Licence Type	Types of Regulated Acitivity
WANG Qing	BEZ918	Licensed Representative	4, 9
WEI Yangkun	BID176	Licensed Representative	1
WEI Yaoyao	BRR455	Licensed Representative	1, 4, 9
WHYMAN Stephen Paul	BOZ925	Licensed Representative	1, 2, 9
WONG Chui Ying	AWD945	Licensed Representative	1
WONG Cynthia	ANG868	Licensed Representative	1, 2
WONG Ho Yee	BRA885	Licensed Representative	4, 9
WONG Kam Tung	BOK840	Licensed Representative	1
WONG Kenny Yukoon	BHP074	Licensed Representative	1
WONG Kin Keung	AXO285	Licensed Representative	1, 2
WONG Kwok Leung	APC879	Licensed Representative	1
WONG Man Wai	BHP910	Licensed Representative	1
WONG Nga Lun	ANQ199	Licensed Representative	1
WONG Ngai Fung	BEW159	Licensed Representative	1
WONG Pui Ying	ADI142	Licensed Representative	1
WONG Shi Ming, Jermyn	BHF630	Licensed Representative	1, 4, 9
WONG Theresa Yuen-tung	BFE578	Licensed Representative	1, 4, 9
WONG Tsz Nam	BSX661	Licensed Representative	1, 6
WONG Yat Fu, Joseph	BAK695	Licensed Representative	1, 2, 3
WOO Mun Chung	BKB253	Licensed Representative	1, 2
WOODWARD Anthony James Passingham	ACS226	Licensed Representative	1, 2
WRIGHT Lindsay Margaret	BLR874	Licensed Representative	1, 4
WU Man Yee	BKY120	Licensed Representative	1, 4
WU Tzu Chun	BJA729	Licensed Representative	9
WU Yuk Mui	AAC272	Licensed Representative	1
WU Yutong	BQZ909	Licensed Representative	1, 6
WUN Suet Ling	BNV433	Licensed Representative	1, 2, 4
WUN Wai Yee	BPM869	Licensed Representative	1
XIAO Hannan	BQU953	Licensed Representative	1, 6
XIAO Xiaoyu	BFA287	Licensed Representative	1, 9
XIN Peng	BMB690	Licensed Representative	1, 2, 4, 9
XIONG Ying	BFX045	Licensed Representative	4, 9
XU Di	BRS666	Licensed Representative	4
XU Flora	BRI993	Licensed Representative	1, 4
XU Yuying	BOU560	Licensed Representative	1
YAN Xing	BRI778	Licensed Representative	1, 4, 9
YANG Clinton Victor	ALI750	Licensed Representative	4, 9
YANG Jonas Amir	AEJ442	Licensed Representative	3
YANG Xi	BRI319	Licensed Representative	1
YANG Xiaoxi	BLU778	Licensed Representative	1, 2, 4, 9
YANG Ying	BRW391	Licensed Representative	1
YANG Yuying	BMB332	Licensed Representative	10
YAO Yuan	BNU358	Licensed Representative	4, 9
YEH Samuel	BNQ778	Licensed Representative	1
YEUNG Man Chun	ALU602	Licensed Representative	1, 2
YEUNG Yu Wai	BEA823	Licensed Representative	2, 5
YEUNG Yuk Chow, Dennis	APZ521	Licensed Representative	1
YIN Lung, Raymond	AAE887	Licensed Representative	1
YOU Jijiao	AYX814	Licensed Representative	1, 4, 9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2022

CE Name	CE No.	Licence Type	Types of Regulated Activity
YOU Yaohui	BIR511	Licensed Representative	4, 9
YU Chun Wai	ATC259	Licensed Representative	1, 2
YU Ho Yi	BRR509	Licensed Representative	4
YU Ka Ho	ANZ991	Licensed Representative	1, 2
YU Kin Lok	AOE771	Licensed Representative	1, 2
YU Kwok Fai	AMV097	Licensed Representative	1, 2, 3, 4
YU Ping Fan	AMU460	Licensed Representative	1
YU Wai Sun	BFY027	Licensed Representative	1, 2
YU Yaodong	BPD919	Licensed Representative	1, 4
ZALANE Michael	BHO622	Licensed Representative	1, 2
ZENG Qingqulin	BQN474	Licensed Representative	9
ZENG Rui	BRJ453	Licensed Representative	6
ZENG Yichun	BSZ079	Licensed Representative	9
ZHANG Hang	AXU790	Licensed Representative	9
ZHANG Yin	AVS035	Licensed Representative	9
ZHANG Yuanjie	BGC446	Licensed Representative	1, 4, 6
ZHAO Anbo	BNN592	Licensed Representative	4
ZHAO Di	BRJ887	Licensed Representative	4, 9
ZHAO Hongxi	BOX294	Licensed Representative	1, 6
ZHAO Kai	BRJ377	Licensed Representative	4
ZHAO Yunlong	BTB542	Licensed Representative	1, 4, 9
ZHAO Zefen	BOS728	Licensed Representative	4, 9
ZHU Rongchen	BFS277	Licensed Representative	1, 6
ZHU Yike	BMW501	Licensed Representative	1, 2
ZHU Yuan	BPG310	Licensed Representative	2
ZHUANG Chaozheng	BPA381	Licensed Representative	1
BEAN James Mark	AMP165	Licensed Representative - Responsible Officer	9
BEHARIE Sacha Alexander	BAH589	Licensed Representative - Responsible Officer	1, 4
CHAN Yat Nam, Daniel	ABS011	Licensed Representative - Responsible Officer	1, 2, 5
CHAN Yin Bing	AHX701	Licensed Representative - Responsible Officer	4, 9
CHEN Hong	AQX058	Licensed Representative - Responsible Officer	9
CHEN Ying	BQL505	Licensed Representative - Responsible Officer	4, 6
CHENG Ngai Chung	ALZ081	Licensed Representative - Responsible Officer	1, 2, 4
CHEUNG On Ki	BJT503	Licensed Representative - Responsible Officer	4, 9
CHIONG Joyce May-Wei	AGM522	Licensed Representative - Responsible Officer	4
CHOI Chak Man	BGY410	Licensed Representative - Responsible Officer	4, 9
CHOI Sheung Hang	AUP568	Licensed Representative - Responsible Officer	9
DAVIDSON Gregory Edward	ATW897	Licensed Representative - Responsible Officer	9
DONG Zihui	BHP086	Licensed Representative - Responsible Officer	4, 9
FELDMAN William Donald Morley	BEM068	Licensed Representative - Responsible Officer	1, 4
FRIEDLANDER Michael Arthur	ARJ342	Licensed Representative - Responsible Officer	9
GALLIGAN Jonathan Philip	AQM705	Licensed Representative - Responsible Officer	4
GIBBS-HARRIS Michael Wyn	AAW956	Licensed Representative - Responsible Officer	9
GINESTRA Alessandro	BHU120	Licensed Representative - Responsible Officer	1, 4
HAN Jianchiu	ANX450	Licensed Representative - Responsible Officer	1, 4, 9
HEDIGAN John Edward	AWA378	Licensed Representative - Responsible Officer	9
HEW Yew Wah	AWE266	Licensed Representative - Responsible Officer	1
HSIAO Ching-Liang	BOX409	Licensed Representative - Responsible Officer	1, 2, 4, 5, 9
HUI Man, Rex	BHC178	Licensed Representative - Responsible Officer	1, 4, 9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2022

CE Name	CE No.	Licence Type	Types of Regulated Activity
HUI Nga Ying	AMU039	Licensed Representative - Responsible Officer	1, 4, 9
KWOK Chi Hang	ARB688	Licensed Representative - Responsible Officer	1, 9
KWONG Ka Leung	BGB944	Licensed Representative - Responsible Officer	6
LAI Sin Wan, Jenny	ACS303	Licensed Representative - Responsible Officer	1, 4, 9
LAU Kwok Hei, David	AVN397	Licensed Representative - Responsible Officer	1, 4, 6
LAU Nim Kei	AFP825	Licensed Representative - Responsible Officer	6
LAVATELLI Francesco	BOT058	Licensed Representative - Responsible Officer	1, 4, 6
LEE Bing Kuen, Eric	AEG821	Licensed Representative - Responsible Officer	1, 4
LEE Fung Nin	ADG301	Licensed Representative - Responsible Officer	1
LEE Ming-dah	AJG459	Licensed Representative - Responsible Officer	9
LEUNG Chui San, Anthea	APQ283	Licensed Representative - Responsible Officer	6
LI Hok Kwan	ADV103	Licensed Representative - Responsible Officer	4, 9
LI Lifang	BHV035	Licensed Representative - Responsible Officer	4, 9
LI Wen	BAL847	Licensed Representative - Responsible Officer	4, 9
LIEN Chung Chuang, John	AQD639	Licensed Representative - Responsible Officer	1, 4
LINE Kwai Chun	ACK809	Licensed Representative - Responsible Officer	1, 2, 4, 5, 9
LIU ShaoJie	BPX931	Licensed Representative - Responsible Officer	4
LIU Sherry Xiaoyu	AHA564	Licensed Representative - Responsible Officer	4, 6
MATTEI Bryan	BDW243	Licensed Representative - Responsible Officer	1, 4, 9
NG Ho Tsang	BOT426	Licensed Representative - Responsible Officer	4, 9
NG Ka Yan	BAP994	Licensed Representative - Responsible Officer	1
ODORCZUK Adam Robert	AWZ027	Licensed Representative - Responsible Officer	1, 2
PECOT III Charles Matthew	AHA548	Licensed Representative - Responsible Officer	1, 2
PHILLIPS Peter Frederick	AAV628	Licensed Representative - Responsible Officer	4, 9
PLEBANI Gian Arard	BNB394	Licensed Representative - Responsible Officer	1, 4, 9
POIX Arnaud Bernard Fabrice Noel	ATC267	Licensed Representative - Responsible Officer	1, 2, 7
QI Dazheng	BHV036	Licensed Representative - Responsible Officer	4, 9
QIAN Mingwei	ANZ962	Licensed Representative - Responsible Officer	1, 2
ROSE Daniel John	AYA429	Licensed Representative - Responsible Officer	1, 4, 6, 9
SO Pak Ming	AJG658	Licensed Representative - Responsible Officer	1, 4, 9
SUN Lefei	AXI385	Licensed Representative - Responsible Officer	1
TAI Pak Kin	ACE795	Licensed Representative - Responsible Officer	4, 9
TANG Kai Chung	AQA983	Licensed Representative - Responsible Officer	1, 2, 4, 5, 9
TIAN Ye	AWZ521	Licensed Representative - Responsible Officer	9
TING Kay Loong	AAH639	Licensed Representative - Responsible Officer	1, 4, 6
TING Sai Man	ADZ993	Licensed Representative - Responsible Officer	2, 5
TO Man Fai	AAA174	Licensed Representative - Responsible Officer	3
TO Wai Hung Tony	AAH109	Licensed Representative - Responsible Officer	1, 4
TONG Bing Kwan, Grand	APQ634	Licensed Representative - Responsible Officer	1, 2, 3
TONG Ping Sun, Benson	AZQ352	Licensed Representative - Responsible Officer	1
TROJANOW Padideh Nora	BCX240	Licensed Representative - Responsible Officer	1, 2, 4
UGARTE Juan Andres De Sequera	BJC175	Licensed Representative - Responsible Officer	1, 4, 6
WAN Po Wing, Paul	APC277	Licensed Representative - Responsible Officer	9
WAN Yuk Kiu, Casemen	AOC783	Licensed Representative - Responsible Officer	4, 9
WANG Chen	AKO788	Licensed Representative - Responsible Officer	1, 4, 9
WANG Vivien Wen	BDL896	Licensed Representative - Responsible Officer	4, 9
WANG Yong	BHU901	Licensed Representative - Responsible Officer	1
WONG Shing Kit, Shirley	APL786	Licensed Representative - Responsible Officer	1, 4, 9
WONG Sze Hoo, Paul	APM156	Licensed Representative - Responsible Officer	4, 9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2022

CE Name	CE No.	Licence Type	Types of Regulated Activity
XIA Gang	BNC917	Licensed Representative - Responsible Officer	4, 9
XU Fan	BOZ130	Licensed Representative - Responsible Officer	1, 2, 3, 4, 9
YEUNG Yiu Wah, Francis	ACG885	Licensed Representative - Responsible Officer	1, 6
YING Cheung Wong	AEA475	Licensed Representative - Responsible Officer	2, 5
YUEN Jack Chen Wen	BOD379	Licensed Representative - Responsible Officer	9
ZHANG Ningxiang	APZ631	Licensed Representative - Responsible Officer	9
ZHOU Yi	AOK985	Licensed Representative - Responsible Officer	9
ZHU Yuan	BPG310	Licensed Representative - Responsible Officer	1, 4
ANKELMANN Maria	BTB565	Licensed Representative (Provisional Licence)	1, 4
BACHRAOUI Yasmine	BTC342	Licensed Representative (Provisional Licence)	1, 2, 4, 5
BAEK Jonghyeok	BTB936	Licensed Representative (Provisional Licence)	1, 4
BAKER Jamie Ian	BTD377	Licensed Representative (Provisional Licence)	1, 2, 7
BENFIELD Alexander Li	BTA086	Licensed Representative (Provisional Licence)	1
BOSCHAT Mathieu Vincent	BTC039	Licensed Representative (Provisional Licence)	9
CAI Linhai	BTC001	Licensed Representative (Provisional Licence)	1, 4, 6
CAI Meiqi	BTB681	Licensed Representative (Provisional Licence)	4
CAI Ming	BSZ560	Licensed Representative (Provisional Licence)	1, 4, 9
CAMERON Mark William	BTB128	Licensed Representative (Provisional Licence)	1, 4
CAO Ruoying	BSZ844	Licensed Representative (Provisional Licence)	1, 6
CAO Zonglian	BTC143	Licensed Representative (Provisional Licence)	1, 4, 6
CHA Seung Hoon	BNE720	Licensed Representative (Provisional Licence)	1, 4
CHAI Wai Wai Carnation	BTC726	Licensed Representative (Provisional Licence)	1
CHAN Kwun Tat	BSR010	Licensed Representative (Provisional Licence)	1, 4
CHAN Ying Sin	BGE633	Licensed Representative (Provisional Licence)	1
CHANG Ruoxi	BSZ226	Licensed Representative (Provisional Licence)	1, 6
CHANG TSUI CHING	BTC779	Licensed Representative (Provisional Licence)	1, 4
CHAU Po Hon	BTB957	Licensed Representative (Provisional Licence)	4, 9
CHAU Yi Yan Betty	BTC180	Licensed Representative (Provisional Licence)	1, 2, 9
CHEN Hanzhe	BTC635	Licensed Representative (Provisional Licence)	1
CHEN Hua	BTB454	Licensed Representative (Provisional Licence)	1, 2, 4
CHEN Jianyu	BSZ604	Licensed Representative (Provisional Licence)	6
CHEN Linglin	BSY488	Licensed Representative (Provisional Licence)	6
CHEN Mingze	BSZ265	Licensed Representative (Provisional Licence)	1, 2, 4
CHEN Po-Chen	AVM131	Licensed Representative (Provisional Licence)	9
CHEN Qi	BCG149	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Tao	BSY258	Licensed Representative (Provisional Licence)	6
CHEN Wenjing	BTD786	Licensed Representative (Provisional Licence)	1, 2
CHEN Xianfan	BRO897	Licensed Representative (Provisional Licence)	1, 4
CHEN Yipei	BTB616	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Zisheng	BTB380	Licensed Representative (Provisional Licence)	1, 2
CHENG Chung Ching	BSY338	Licensed Representative (Provisional Licence)	4, 9
CHENG Lufeng	BTB217	Licensed Representative (Provisional Licence)	1, 2
CHENG Xinyu	BQB301	Licensed Representative (Provisional Licence)	1, 6
CHENG Yuxin	BTD678	Licensed Representative (Provisional Licence)	1, 6
CHEUNG Sin	BGM919	Licensed Representative (Provisional Licence)	1, 4, 9
CHEUNG Tik Lun	BOH808	Licensed Representative (Provisional Licence)	2, 5
CHEUNG Wing Shan	BTB808	Licensed Representative (Provisional Licence)	1, 4, 9
CHEUNG Yau Hei	BTB964	Licensed Representative (Provisional Licence)	6
CHIANG Kwan Hou	BTD477	Licensed Representative (Provisional Licence)	1, 4, 9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2022

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHIK Ho Ying	BTC094	Licensed Representative (Provisional Licence)	1
CHONG Hon Pan	BSX440	Licensed Representative (Provisional Licence)	9
CHOU Hsin Yi	AFY702	Licensed Representative (Provisional Licence)	4, 9
CHU Hsu Wen	BHU818	Licensed Representative (Provisional Licence)	1, 4, 9
CHU Vanessa Adagia Chi Kwai	BST385	Licensed Representative (Provisional Licence)	1
CHU Yuqing	BTB067	Licensed Representative (Provisional Licence)	4
CHUNG Kin Hei	BTB921	Licensed Representative (Provisional Licence)	1
COWAN David Michael	BTC259	Licensed Representative (Provisional Licence)	2, 5
CUI Guoqiang	BGT852	Licensed Representative (Provisional Licence)	4, 9
CUI Jinhuan	BTD527	Licensed Representative (Provisional Licence)	1, 4
CUI Yiye	BIM022	Licensed Representative (Provisional Licence)	1
DAI Hao	BAX544	Licensed Representative (Provisional Licence)	9
DAN Yunlei	BSZ497	Licensed Representative (Provisional Licence)	1, 4, 9
DE ROCQUIGNY DU FAYEL Thibaut Marie L	BTB582	Licensed Representative (Provisional Licence)	1
DING Pengyun	BTA063	Licensed Representative (Provisional Licence)	1, 2
DONG Liqi	BTA199	Licensed Representative (Provisional Licence)	1, 6
DOWLER Joseph Toby	BSZ395	Licensed Representative (Provisional Licence)	1, 4, 9
DU Yanlin	BTC031	Licensed Representative (Provisional Licence)	1, 6
DUREL Gregoire	BTB526	Licensed Representative (Provisional Licence)	1, 4
FAN Liangjin	BTC765	Licensed Representative (Provisional Licence)	10
FU Zhongxuan	BTA301	Licensed Representative (Provisional Licence)	1, 4, 6
GAO Tian	BTB952	Licensed Representative (Provisional Licence)	1, 2
GAO Xuan	BTB887	Licensed Representative (Provisional Licence)	1, 4, 9
GAO Ya	BER522	Licensed Representative (Provisional Licence)	1, 4, 9
GAO Zhan	BSY865	Licensed Representative (Provisional Licence)	1, 6
GE Guanhong	BLA403	Licensed Representative (Provisional Licence)	1, 4
GE Xiaonan	BTD470	Licensed Representative (Provisional Licence)	1, 6
GENG Xiaolin	BTA146	Licensed Representative (Provisional Licence)	4
GIANG Wilcy	BTC614	Licensed Representative (Provisional Licence)	1
GILBERT Courtenay Taylor	BTC265	Licensed Representative (Provisional Licence)	1, 2, 9
GOLLOP TATUM OLIVIA	BTB083	Licensed Representative (Provisional Licence)	1, 4, 9
GONG Cheng	BTC709	Licensed Representative (Provisional Licence)	1, 6
GONG Qitong	BTB961	Licensed Representative (Provisional Licence)	1, 4, 6
GONG Zitian	BTC298	Licensed Representative (Provisional Licence)	1, 6
GU RUN	BTB756	Licensed Representative (Provisional Licence)	4, 9
GU Yunhao	BTB576	Licensed Representative (Provisional Licence)	1, 6
GU Zhenyang	BTC022	Licensed Representative (Provisional Licence)	1, 4, 6
GUO Tianyu	BTC463	Licensed Representative (Provisional Licence)	1, 6
HALE Peter John	APF683	Licensed Representative (Provisional Licence)	1, 2
HAN Bei	BSY093	Licensed Representative (Provisional Licence)	1, 6
HAN Lu	BTB994	Licensed Representative (Provisional Licence)	1, 2
HAN Yu	BNJ594	Licensed Representative (Provisional Licence)	1, 2
HASHIMOTO Junichi	BTB960	Licensed Representative (Provisional Licence)	1, 4, 6
HAWA David	BEE584	Licensed Representative (Provisional Licence)	1, 4
HE Hui	BTC662	Licensed Representative (Provisional Licence)	4
HE Rui	BTC649	Licensed Representative (Provisional Licence)	1
HO Kai Ming Gary	BSY976	Licensed Representative (Provisional Licence)	2
HO Wai Yin	BTD577	Licensed Representative (Provisional Licence)	1
HO Wen Yi	BTB417	Licensed Representative (Provisional Licence)	4, 9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2022

CE Name	CE No.	Licence Type	Types of Regulated Activity
HONG In Eui	BSY394	Licensed Representative (Provisional Licence)	1, 4, 9
HONG Kwang Pyo	BTB557	Licensed Representative (Provisional Licence)	10
HONG Xinxin	BSZ112	Licensed Representative (Provisional Licence)	6
HOU Lok Hang Sharen	BTC490	Licensed Representative (Provisional Licence)	1, 4
HSU Wei Tang	BTB905	Licensed Representative (Provisional Licence)	1, 2
HSUEH CHENG JEN	BTB711	Licensed Representative (Provisional Licence)	1, 2, 7
HU Hai	BSZ994	Licensed Representative (Provisional Licence)	1, 6
HU Yanqin	BTC911	Licensed Representative (Provisional Licence)	1, 6
HU Yifan	BTA140	Licensed Representative (Provisional Licence)	1, 6
HUANG Jianfeng	BSZ227	Licensed Representative (Provisional Licence)	1, 6
HUANG Jiazhen	BTC417	Licensed Representative (Provisional Licence)	1, 2
HUANG Junjie	BTC366	Licensed Representative (Provisional Licence)	4, 9
HUANG Runan	BTB507	Licensed Representative (Provisional Licence)	4
JAHJA Ariyanto	BTC205	Licensed Representative (Provisional Licence)	4
JAIN Aditya	BNQ984	Licensed Representative (Provisional Licence)	9
JAIN Nisha	BSZ971	Licensed Representative (Provisional Licence)	1, 4, 9
JI Wenxiu	BPM773	Licensed Representative (Provisional Licence)	1
JIANG Hongkun	BSZ507	Licensed Representative (Provisional Licence)	1, 6
JIANG Shan	BSZ764	Licensed Representative (Provisional Licence)	1, 4, 9
Jiang Wei	BSJ166	Licensed Representative (Provisional Licence)	1, 4
JIANG Wenyue	BTB815	Licensed Representative (Provisional Licence)	1, 4, 9
JIANG Yong	BSA136	Licensed Representative (Provisional Licence)	4
JIANG Zexin	BTC153	Licensed Representative (Provisional Licence)	1
JIAO Siyu	BSZ976	Licensed Representative (Provisional Licence)	1, 4, 6
JIN Yuanhang	BSZ451	Licensed Representative (Provisional Licence)	4, 9
JONES Rebecca Dawn	BTC657	Licensed Representative (Provisional Licence)	1, 4, 6
JU Ran	BSY131	Licensed Representative (Provisional Licence)	6
KABILOVA Shakhnoza	BSZ596	Licensed Representative (Provisional Licence)	1
KAN Chen	BTD897	Licensed Representative (Provisional Licence)	1, 4, 5
KATRAK Xerxis Behram	BTC036	Licensed Representative (Provisional Licence)	1, 2
KHAN Mohammad Asif Atahar	AYH605	Licensed Representative (Provisional Licence)	1
KIM Sung Hun	BTC004	Licensed Representative (Provisional Licence)	1, 4, 6
KIM Youjin	BTB545	Licensed Representative (Provisional Licence)	1, 4
KONG Pui Chi	BTC641	Licensed Representative (Provisional Licence)	1
KOT Chung Sally	BTB826	Licensed Representative (Provisional Licence)	1, 4, 9
KUMAR Noynika	BTB794	Licensed Representative (Provisional Licence)	9
KWAI Chun Chung	ANG552	Licensed Representative (Provisional Licence)	1, 4, 9
KWAN Wing Lam	BSX548	Licensed Representative (Provisional Licence)	1, 2
KWOK Tsan Tung	BTB558	Licensed Representative (Provisional Licence)	4
KWONG Billy Chi Sam	BTC942	Licensed Representative (Provisional Licence)	1
LAI Jamian Wong	BTD619	Licensed Representative (Provisional Licence)	1, 4, 9
LAM Chi Hin	BTB018	Licensed Representative (Provisional Licence)	1, 4
LAM Hiu Wah Fiona	BTB813	Licensed Representative (Provisional Licence)	4, 9
LAM Ka Yee	BSD242	Licensed Representative (Provisional Licence)	1, 4
LAM Sing Kuen	AFT889	Licensed Representative (Provisional Licence)	1, 2
LAM Wai Kin	ACU739	Licensed Representative (Provisional Licence)	1, 2, 4
LAU Danielle Eda	BTA244	Licensed Representative (Provisional Licence)	1
LAU Ka Wing Gladys	BTB927	Licensed Representative (Provisional Licence)	1, 2
LAU Ka Yu	BSV210	Licensed Representative (Provisional Licence)	1, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2022

CE Name	CE No.	Licence Type	Types of Regulated Activity
LEE Jayoung	BFN112	Licensed Representative (Provisional Licence)	1
LEE Kin Chung Simon	AAF803	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 9
LEE Lai Fan	BTC939	Licensed Representative (Provisional Licence)	4, 9
LEE Mei Ling	AFR791	Licensed Representative (Provisional Licence)	1, 4, 9
LEE Ming-Hsun	BAO744	Licensed Representative (Provisional Licence)	4
LEE Ngai Ming	BSZ182	Licensed Representative (Provisional Licence)	1, 4, 9
LEI Yicong	BSY866	Licensed Representative (Provisional Licence)	1, 6
LI Jiaying	BTA089	Licensed Representative (Provisional Licence)	1, 4, 6
LI Jiming	BTA225	Licensed Representative (Provisional Licence)	1
LI Lap Ying Joey	BSY314	Licensed Representative (Provisional Licence)	1
LI Lok Yi	BTB254	Licensed Representative (Provisional Licence)	1, 4
LI Shiyi	BTA207	Licensed Representative (Provisional Licence)	1, 4
LI Xiaoxuan	BTB484	Licensed Representative (Provisional Licence)	1, 6
LI Xiate	BEX942	Licensed Representative (Provisional Licence)	1, 4
LI Xinyi	BTA202	Licensed Representative (Provisional Licence)	4, 9
LI Xiulin	BTB145	Licensed Representative (Provisional Licence)	1, 2, 4
LI Yalin	BTC420	Licensed Representative (Provisional Licence)	4
LI Yiming	BSY346	Licensed Representative (Provisional Licence)	1, 6
LI YIQUN	BTC725	Licensed Representative (Provisional Licence)	6
LI Yixuan	BTB264	Licensed Representative (Provisional Licence)	1, 4, 6
LI Yujie	BTD590	Licensed Representative (Provisional Licence)	1
LI Yuxi	BTC585	Licensed Representative (Provisional Licence)	9
LI Zhekai	BTB384	Licensed Representative (Provisional Licence)	9
LI Zhuoran	BTA009	Licensed Representative (Provisional Licence)	9
LI Zihan	BSY294	Licensed Representative (Provisional Licence)	1, 4
LI Ziqin	BPT251	Licensed Representative (Provisional Licence)	1, 6
LIANG Jingyi	BSZ042	Licensed Representative (Provisional Licence)	1, 6
LIANG Ruiwen	BTB939	Licensed Representative (Provisional Licence)	4, 9
LIANG Siyi	BSZ225	Licensed Representative (Provisional Licence)	1, 4, 6
LIANG Yaoyuan	BNF073	Licensed Representative (Provisional Licence)	1, 2, 4
LIANG Zhiyuan	BSZ828	Licensed Representative (Provisional Licence)	1, 4, 9
LIM Daehwi	BTC702	Licensed Representative (Provisional Licence)	4, 6, 9
LIM Pei Shan Gayl	BTC626	Licensed Representative (Provisional Licence)	1, 4, 9
LIN Lin	BTD605	Licensed Representative (Provisional Licence)	1, 4, 9
LIN Sheng-Yuan	BTC145	Licensed Representative (Provisional Licence)	2, 5
LIN Shiwen	BTC730	Licensed Representative (Provisional Licence)	1, 4
LIN Tsung-Han	AQC691	Licensed Representative (Provisional Licence)	1, 4
LING Kai Leong	BTD945	Licensed Representative (Provisional Licence)	1, 2, 7
LIU Ruolin	BTB459	Licensed Representative (Provisional Licence)	1, 4, 6
LIU Shanshan	BTD565	Licensed Representative (Provisional Licence)	4, 9
LIU Wentao	BSZ518	Licensed Representative (Provisional Licence)	4
LIU Xinxing	BJZ135	Licensed Representative (Provisional Licence)	1, 4, 9
LIU Yang	BTB487	Licensed Representative (Provisional Licence)	4
LIU Yi Kwan	BSZ864	Licensed Representative (Provisional Licence)	1, 4
LO Hing Lam	BTC642	Licensed Representative (Provisional Licence)	1, 4
LO Sui Ming	BSZ235	Licensed Representative (Provisional Licence)	1, 2
LOU Lan	BTB403	Licensed Representative (Provisional Licence)	1, 4
LU Cuiping	BCJ650	Licensed Representative (Provisional Licence)	1, 4, 9
LU Mengyu	BTC056	Licensed Representative (Provisional Licence)	1, 4, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2022

CE Name	CE No.	Licence Type	Types of Regulated Activity
LU Yongliang	BTA458	Licensed Representative (Provisional Licence)	1, 4, 6
LU ZHIYAN	BTC114	Licensed Representative (Provisional Licence)	1
LUI Sze Ying	BTE005	Licensed Representative (Provisional Licence)	10
LUK Ka Yu Codi	BTC059	Licensed Representative (Provisional Licence)	1, 4, 9
LUK Kuk Lui	BTE419	Licensed Representative (Provisional Licence)	1, 2
LUO Xuan	BTB637	Licensed Representative (Provisional Licence)	1, 4
LUO Yin	BTB235	Licensed Representative (Provisional Licence)	4
MA Chun Wang	BTA303	Licensed Representative (Provisional Licence)	1, 4
MA Nathan Hin Che	BTC736	Licensed Representative (Provisional Licence)	1, 4
MA Yueyi	BLH430	Licensed Representative (Provisional Licence)	4
MAN Ka Ming	BBT494	Licensed Representative (Provisional Licence)	1, 4
MAO Jinhong	BTC630	Licensed Representative (Provisional Licence)	1, 2, 4
MASCOLO Fabiano Hugues Joseph	ANE267	Licensed Representative (Provisional Licence)	4, 9
MATSUE Kanan	BTD332	Licensed Representative (Provisional Licence)	1, 4
MCQUADE Ryan F	AVS364	Licensed Representative (Provisional Licence)	9
MEI Jie	BTD608	Licensed Representative (Provisional Licence)	1, 4, 9
MIKAMI Shuji	BTB677	Licensed Representative (Provisional Licence)	1, 4
MO Xian	BTC138	Licensed Representative (Provisional Licence)	1, 4, 9
MOU Yili	ARD767	Licensed Representative (Provisional Licence)	4, 9
MUNGAMURU Lakshmi Nrisimha Bob	BTC740	Licensed Representative (Provisional Licence)	1, 2
NG Ka Po	BTC171	Licensed Representative (Provisional Licence)	1, 2
NG Shun Kong	BTC884	Licensed Representative (Provisional Licence)	1
NGAN Chor Fong	AEJ924	Licensed Representative (Provisional Licence)	1, 4
NO Dong Hoon	BTC584	Licensed Representative (Provisional Licence)	1
NONNENMACHER Sophie Sabine	APJ411	Licensed Representative (Provisional Licence)	9
OUYANG Yilong	BTB853	Licensed Representative (Provisional Licence)	4
PANG Hiu Fung	BSZ787	Licensed Representative (Provisional Licence)	4
PENG Chenchen	BSY985	Licensed Representative (Provisional Licence)	1, 4, 9
PENG Ching	BTB077	Licensed Representative (Provisional Licence)	1, 4
PENG Yufang	BSZ144	Licensed Representative (Provisional Licence)	1, 2, 4
PERRING Sara Louise	BGQ175	Licensed Representative (Provisional Licence)	1, 2, 4, 5
POON Gabriel Jonathan Jee-Leun	BTB689	Licensed Representative (Provisional Licence)	1, 4
POON Kwok Kit	BTA185	Licensed Representative (Provisional Licence)	1, 2
POON Philip Chung Hein	AIC797	Licensed Representative (Provisional Licence)	1, 4, 9
PU Junyi	BRW771	Licensed Representative (Provisional Licence)	4
QIAO Fei	ATJ228	Licensed Representative (Provisional Licence)	1, 6
QIU Rui	BSW115	Licensed Representative (Provisional Licence)	4
REN Hang	BTB713	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 7
RUAN Luyao	BSY504	Licensed Representative (Provisional Licence)	1, 6
SANG Yu	BTB768	Licensed Representative (Provisional Licence)	1, 2
SHAH Mrunal Hareshkumar	AYQ435	Licensed Representative (Provisional Licence)	1
SHANG Xuedong	BTB571	Licensed Representative (Provisional Licence)	9
SHANG Zhiheng	BTC165	Licensed Representative (Provisional Licence)	1, 4, 9
SHAO Qing	BPS870	Licensed Representative (Provisional Licence)	1, 6
SHAO Yingyue	BTB097	Licensed Representative (Provisional Licence)	1, 2
SHEKHAR Shivam	BSZ698	Licensed Representative (Provisional Licence)	1, 6
SHEN Jiawen	BSZ443	Licensed Representative (Provisional Licence)	4
SHI Huanyu	BTD636	Licensed Representative (Provisional Licence)	1, 4
SHI Lintong	BTC863	Licensed Representative (Provisional Licence)	1, 4, 9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2022

CE Name	CE No.	Licence Type	Types of Regulated Activity
SHI Qinyan	BSZ653	Licensed Representative (Provisional Licence)	1, 6
SHI Shaocong	BTB543	Licensed Representative (Provisional Licence)	1, 2, 4
SHIU Wing Sun	ADZ443	Licensed Representative (Provisional Licence)	1
SIM Yuan An	BTB126	Licensed Representative (Provisional Licence)	1
SIT Ka Ho	BEW213	Licensed Representative (Provisional Licence)	9
SO Cheuk Yin	BTB415	Licensed Representative (Provisional Licence)	6
SONG Yuchen	BPS233	Licensed Representative (Provisional Licence)	1, 6
SONG Zhe	BSY345	Licensed Representative (Provisional Licence)	1, 6
SU Wen-chi	BSY395	Licensed Representative (Provisional Licence)	1, 9
SUN Jiageng	BSW114	Licensed Representative (Provisional Licence)	4
SUN Jingbo	BPQ200	Licensed Representative (Provisional Licence)	1, 6
SUN Jueping	BTA072	Licensed Representative (Provisional Licence)	1, 4, 6
SUN Xinyu	BSZ704	Licensed Representative (Provisional Licence)	1, 4, 9
TAM Ka Yiu	BHO166	Licensed Representative (Provisional Licence)	4, 9
TAM Tsz Wa	ALQ184	Licensed Representative (Provisional Licence)	4, 9
TANG Lok Sze	BTB076	Licensed Representative (Provisional Licence)	1, 4
TANG Yuen Kwan	BTB201	Licensed Representative (Provisional Licence)	1
TAO Yu	BTB563	Licensed Representative (Provisional Licence)	1, 4, 6
THOMAS Alexandre Robert	BTA218	Licensed Representative (Provisional Licence)	1
TIAN Lai	BTD788	Licensed Representative (Provisional Licence)	1, 6
TIAN Shuyuan	BTC594	Licensed Representative (Provisional Licence)	1, 2
TIAN Yuan	BSZ261	Licensed Representative (Provisional Licence)	1
TONG Sin Sze Jasmin	BTB501	Licensed Representative (Provisional Licence)	6
TSE Ka Hei	BTC141	Licensed Representative (Provisional Licence)	4
TSE Wing Lam, Michelle	AVB832	Licensed Representative (Provisional Licence)	1, 6
TSOI Cheuk Yin	ANJ210	Licensed Representative (Provisional Licence)	1, 4, 9
TUNG Kenneth Hoi-yi	AEJ830	Licensed Representative (Provisional Licence)	6
WAN Li	AHD514	Licensed Representative (Provisional Licence)	1, 4
WANG Hongzhi	BTB743	Licensed Representative (Provisional Licence)	1, 4
WANG Jiexuan	BTC002	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Kun	BTA006	Licensed Representative (Provisional Licence)	1
WANG Luxin	BTB504	Licensed Representative (Provisional Licence)	1, 4
WANG Qian	BSY505	Licensed Representative (Provisional Licence)	1, 4
WANG Qixian	BTA228	Licensed Representative (Provisional Licence)	1, 4
WANG Rui	BSZ654	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Shichao	BSZ524	Licensed Representative (Provisional Licence)	1, 4
WANG SIJIA	BTC140	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Tao	BTB477	Licensed Representative (Provisional Licence)	1, 6
WANG Wei	BTB655	Licensed Representative (Provisional Licence)	1, 2, 4
WANG Xiaodan	AUG226	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Xinyi	BTB527	Licensed Representative (Provisional Licence)	4
WANG Xinyu	BTB659	Licensed Representative (Provisional Licence)	2
WANG Yifei	BSZ879	Licensed Representative (Provisional Licence)	9
WANG Zhaoyang	BPQ206	Licensed Representative (Provisional Licence)	1, 6
WAT Sin Yan Kristy	BTA370	Licensed Representative (Provisional Licence)	1, 4, 9
WATTS Andrew Radcliffe	AP0012	Licensed Representative (Provisional Licence)	1, 2
WEE Hui Yuan Eugene	BFS681	Licensed Representative (Provisional Licence)	1, 2
WEI Yifan	BTC586	Licensed Representative (Provisional Licence)	1, 6
WENG Yuqing	BTB265	Licensed Representative (Provisional Licence)	1, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2022

CE Name	CE No.	Licence Type	Types of Regulated Activity
WONG Ching	AXN060	Licensed Representative (Provisional Licence)	1, 4
WONG Fei John	BSZ727	Licensed Representative (Provisional Licence)	1, 4
WONG Hoi Fay Phoebus	BTC150	Licensed Representative (Provisional Licence)	1
WONG Kin Hang	BKB381	Licensed Representative (Provisional Licence)	9
WONG Kin Pong	BSY261	Licensed Representative (Provisional Licence)	1, 4, 9
WONG Kok Ho	BSX197	Licensed Representative (Provisional Licence)	1, 2
WONG Shan Shan Claudia	BAV910	Licensed Representative (Provisional Licence)	1, 4
WONG Sze Wai	BTA183	Licensed Representative (Provisional Licence)	4
WONG Tin Lok	BFV207	Licensed Representative (Provisional Licence)	6
WOO King Tin, Jacky	AFY196	Licensed Representative (Provisional Licence)	1
WU Hongji	BTB499	Licensed Representative (Provisional Licence)	1, 4
WU Mingxuan	BTB932	Licensed Representative (Provisional Licence)	6
WU Shang	BSZ962	Licensed Representative (Provisional Licence)	4
WU Wenjing	BQO867	Licensed Representative (Provisional Licence)	1
WU Xiaowei	BSY360	Licensed Representative (Provisional Licence)	1, 4
WU Yangdong	AWN210	Licensed Representative (Provisional Licence)	1, 2, 4
WU Zhengzhe	BTB684	Licensed Representative (Provisional Licence)	1, 4, 6
XIAO Jingying	BSZ662	Licensed Representative (Provisional Licence)	1, 6
Xie Dan	BSJ162	Licensed Representative (Provisional Licence)	1, 4
XU Kaijun	BTB464	Licensed Representative (Provisional Licence)	1, 4, 6
XU Pei	BTB999	Licensed Representative (Provisional Licence)	1, 4, 6
XU Wenli	BSZ371	Licensed Representative (Provisional Licence)	1, 4, 6
XUE Zijian	BTC288	Licensed Representative (Provisional Licence)	1, 6
YAN Kuan	BTB735	Licensed Representative (Provisional Licence)	1, 6
YANG Jr-kai	BTA333	Licensed Representative (Provisional Licence)	1, 4
YANG Sheng	BTD572	Licensed Representative (Provisional Licence)	1, 4
YANG Shuo	BSG472	Licensed Representative (Provisional Licence)	1, 2
YANG Wei	BSZ725	Licensed Representative (Provisional Licence)	1, 6
YANG Xue	BTD716	Licensed Representative (Provisional Licence)	1, 4
YANG Yijie	BTB942	Licensed Representative (Provisional Licence)	9
YANG Yuxin	BTD677	Licensed Representative (Provisional Licence)	1, 6
YANG Zhen	BSW113	Licensed Representative (Provisional Licence)	4
YAO Kun	BEA915	Licensed Representative (Provisional Licence)	1, 2
YEH Fan Hei	BTC455	Licensed Representative (Provisional Licence)	1, 4
YEH Lincoln Peng Lim	BTC499	Licensed Representative (Provisional Licence)	1, 4, 9
YIN Cong	BQO830	Licensed Representative (Provisional Licence)	1, 4, 9
YIP Wing Sang	BSU877	Licensed Representative (Provisional Licence)	1, 2
YIU Chun Ho	BTA243	Licensed Representative (Provisional Licence)	4, 9
YOU Jiakun	BTA155	Licensed Representative (Provisional Licence)	1, 2, 4, 5
YU Dahai	BTB590	Licensed Representative (Provisional Licence)	1, 4
YU Deyi	BTB832	Licensed Representative (Provisional Licence)	9
YU Jiapeng	AZW132	Licensed Representative (Provisional Licence)	1, 6
YU Tongchen	BSY988	Licensed Representative (Provisional Licence)	1, 6
YUEN Lai Lai	BTD372	Licensed Representative (Provisional Licence)	1, 4
YUEN Man Kiu Alvina	BSZ195	Licensed Representative (Provisional Licence)	1, 4
ZANG Ying	BSL966	Licensed Representative (Provisional Licence)	9
ZENG Tao	BRQ196	Licensed Representative (Provisional Licence)	1, 4
ZHANG Chen	BTC759	Licensed Representative (Provisional Licence)	1, 2
ZHANG Dingfan	BTB218	Licensed Representative (Provisional Licence)	1, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2022

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHANG Jing	BSZ343	Licensed Representative (Provisional Licence)	1, 4, 9
ZHANG Joe Zhou	BTC052	Licensed Representative (Provisional Licence)	1, 4
ZHANG Kunyu	BSZ428	Licensed Representative (Provisional Licence)	1, 4, 9
ZHANG Lanzhen	BTB392	Licensed Representative (Provisional Licence)	1, 6
ZHANG Mengzhen	BTB907	Licensed Representative (Provisional Licence)	1, 4
ZHANG QI	BTC067	Licensed Representative (Provisional Licence)	1, 2
ZHANG Senrui	BSZ724	Licensed Representative (Provisional Licence)	1, 2, 4
ZHANG Shenqi	BTA220	Licensed Representative (Provisional Licence)	1, 4, 9
ZHANG Siqi	BPS603	Licensed Representative (Provisional Licence)	9
ZHANG Weite	BTC220	Licensed Representative (Provisional Licence)	1, 6
ZHANG Xiaorui	BTB953	Licensed Representative (Provisional Licence)	1, 2
ZHANG Xinyi	BTB712	Licensed Representative (Provisional Licence)	1, 6
ZHANG Yiming	BTB989	Licensed Representative (Provisional Licence)	9
ZHANG Yiming	BTC189	Licensed Representative (Provisional Licence)	1
ZHANG Yu	APS294	Licensed Representative (Provisional Licence)	4, 9
ZHANG Yuvia Xiao-Wen	BTC184	Licensed Representative (Provisional Licence)	1, 9
ZHANG Zhao	BSZ224	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Zhonghao	BSZ269	Licensed Representative (Provisional Licence)	9
ZHANG Zhuyuan	BTA119	Licensed Representative (Provisional Licence)	4
ZHAO Haoyang	BTC021	Licensed Representative (Provisional Licence)	1, 2, 4
ZHAO Qining	BSZ508	Licensed Representative (Provisional Licence)	1, 6
ZHENG Kaiqi	BTC202	Licensed Representative (Provisional Licence)	1, 7
ZHENG Kecheng	BTB461	Licensed Representative (Provisional Licence)	1, 4
ZHENG Lingkun	BTB874	Licensed Representative (Provisional Licence)	1, 6
ZHENG Liwen	BTC003	Licensed Representative (Provisional Licence)	1, 4, 6
ZHENG Yujia	BTB676	Licensed Representative (Provisional Licence)	4
ZHONG Cheng	BSS903	Licensed Representative (Provisional Licence)	1, 4, 9
ZHOU Hao	BBD871	Licensed Representative (Provisional Licence)	1, 4, 9
ZHOU Jingyu	BHO397	Licensed Representative (Provisional Licence)	4, 9
ZHOU Litong	BTB544	Licensed Representative (Provisional Licence)	1, 6
ZHOU WENRUI	BTB456	Licensed Representative (Provisional Licence)	1, 6
ZHOU Xiangxin	BTB019	Licensed Representative (Provisional Licence)	9
ZHOU Xiaoya	BTB954	Licensed Representative (Provisional Licence)	1, 2
ZHOU Yanan	BQE762	Licensed Representative (Provisional Licence)	9
ZHOU Yingxin	BTA163	Licensed Representative (Provisional Licence)	1
ZHOU Zitao	BIJ004	Licensed Representative (Provisional Licence)	1
ZHU Jijia	BSS071	Licensed Representative (Provisional Licence)	4, 9
ZHU Jianqing	BTB476	Licensed Representative (Provisional Licence)	1, 4
ZHU Jingyi	BTB322	Licensed Representative (Provisional Licence)	1, 4, 9
ZHU Pei	BTB458	Licensed Representative (Provisional Licence)	1, 4
ZHU Ruize	BSZ689	Licensed Representative (Provisional Licence)	4
ZHU Xiaoming	BTB503	Licensed Representative (Provisional Licence)	6
ZHUANG Zhixie	BTA219	Licensed Representative (Provisional Licence)	1, 4, 9
ZUO Zhe	BTC484	Licensed Representative (Provisional Licence)	9
BURGER JEFFREY BIELOUS	BTB493	Licensed Representative (Temporary Licence)	1, 4
BYUN Kara Kyung Wha	APJ708	Licensed Representative (Temporary Licence)	1, 4
CAO Haifeng	BFU746	Licensed Representative (Temporary Licence)	4
CARLSEN Nicholas James	AQW035	Licensed Representative (Temporary Licence)	1
CHAN Chun Hin	BCO771	Licensed Representative (Temporary Licence)	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2022

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHOI Gowoon	BJY957	Licensed Representative (Temporary Licence)	4
CHOU LI-LING	BTD902	Licensed Representative (Temporary Licence)	1, 4
CORDEN-LLOYD Alistair John	BTE478	Licensed Representative (Temporary Licence)	1
DI CORCIA Annalisa	BTE666	Licensed Representative (Temporary Licence)	1
ERRINGTON David Justin	BAM261	Licensed Representative (Temporary Licence)	4
GRUSCH ALFRED	BTE186	Licensed Representative (Temporary Licence)	1
GUPTA Shivang	BHY492	Licensed Representative (Temporary Licence)	1, 2
HO Jun Jie Kenneth	BAF511	Licensed Representative (Temporary Licence)	1
HONG HAIDE	BSW751	Licensed Representative (Temporary Licence)	1, 4
HWANG Eoyeon	BTE076	Licensed Representative (Temporary Licence)	4
HWANG Kyung Jae	BAJ130	Licensed Representative (Temporary Licence)	4
JHA Pooja	BSN520	Licensed Representative (Temporary Licence)	1
JUNG Ho Yoon	BOH718	Licensed Representative (Temporary Licence)	4
KANG Kyungtae	BTF035	Licensed Representative (Temporary Licence)	4
KARAMI MOGHADDAM Yasaman	BTC587	Licensed Representative (Temporary Licence)	1, 2
KINOSHITA Yoshiyuki	BBL047	Licensed Representative (Temporary Licence)	4
KORBER Max Kolya Amerasinghe	BSY344	Licensed Representative (Temporary Licence)	1, 2
KUPLANT Christopher	BEX637	Licensed Representative (Temporary Licence)	4
KUPLANT Christopher	BEX637	Licensed Representative (Temporary Licence)	4
LEE Brian Walter	BTE963	Licensed Representative (Temporary Licence)	4
LEE Joon Ho	ATX670	Licensed Representative (Temporary Licence)	4
LIM Shi Ying	BTC161	Licensed Representative (Temporary Licence)	1
LIN Li-Chun	BIG303	Licensed Representative (Temporary Licence)	4
LIN Ming-De	BEC282	Licensed Representative (Temporary Licence)	4
MAGGON Nitin	BTE113	Licensed Representative (Temporary Licence)	1, 4
MALLAMPATI Pramodini	BSZ722	Licensed Representative (Temporary Licence)	1, 2
MAN Ronald Lok-tod	BGC485	Licensed Representative (Temporary Licence)	4, 5
MCCARTHY Kyle A	BTD501	Licensed Representative (Temporary Licence)	1, 4
MCCUTCHEON Kate Elizabeth	BTC188	Licensed Representative (Temporary Licence)	4
MCTAGGART Paul Joseph	AUW886	Licensed Representative (Temporary Licence)	4
MORIYA Tomo	BTE882	Licensed Representative (Temporary Licence)	1
MURATA Alfred Taketo	BLE987	Licensed Representative (Temporary Licence)	1, 4
NAIR Girish Ravindran	AVI811	Licensed Representative (Temporary Licence)	4
NAKATOMI Ryosuke	BKV309	Licensed Representative (Temporary Licence)	1
NISHIZAWA Arashi	BOR475	Licensed Representative (Temporary Licence)	4
OMURO Tomoyoshi	APP829	Licensed Representative (Temporary Licence)	4
PARK Jee Hoon	ASL108	Licensed Representative (Temporary Licence)	4
PATANIA Nicholas Salvatore	BTD819	Licensed Representative (Temporary Licence)	1
PINEDA Arthur San Diego	AGL866	Licensed Representative (Temporary Licence)	4
RANASINGHE Dushan Hasitha	BTE017	Licensed Representative (Temporary Licence)	1
REINBERG John Robert	BGI312	Licensed Representative (Temporary Licence)	1, 4
SHROFF Abhijit	BOM516	Licensed Representative (Temporary Licence)	1
TAN Jia	BBZ469	Licensed Representative (Temporary Licence)	1, 4
TAN Li Kien	BSX357	Licensed Representative (Temporary Licence)	1, 4
TEASDEL Joel Hamish Gregory John	BOL757	Licensed Representative (Temporary Licence)	1
THOMPSON Michael Frazier	BJX191	Licensed Representative (Temporary Licence)	4
TUPPER Nigel Robert	ACS592	Licensed Representative (Temporary Licence)	4
WANG Jiajie	BTB965	Licensed Representative (Temporary Licence)	1, 2
WANG Shuai	BHV648	Licensed Representative (Temporary Licence)	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2022

CE Name	CE No.	License Type	Types of Regulated Acitivity
WATANABE Nobuhito	BTB419	Licensed Representative (Temporary Licence)	1
WONG Ferry Wijaya	ARB231	Licensed Representative (Temporary Licence)	4
WU Kuang-Ming	BSZ207	Licensed Representative (Temporary Licence)	4
YAMAGUCHI Miho	BBD148	Licensed Representative (Temporary Licence)	1
YANG Dong	BBZ142	Licensed Representative (Temporary Licence)	1, 4
YANG Dong	BBZ142	Licensed Representative (Temporary Licence)	1, 4
YANG Hung-Chi	AXI461	Licensed Representative (Temporary Licence)	1, 4
YANG Ta-Wei	BOT093	Licensed Representative (Temporary Licence)	1
YOSHIKAWA Ken	BMX497	Licensed Representative (Temporary Licence)	1
YU Man Yin, Sophie	BGP593	Licensed Representative (Temporary Licence)	1, 4