

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2023

CE Name	CE No.	Licence Type	Types of Regulated Activity
CLSA Premium International (HK) Limited	ALB893	Licensed Corporation	3
CVP Asset Management Limited	BGI766	Licensed Corporation	4, 9
Empyrean Management (Hong Kong) Limited	BML707	Licensed Corporation	4, 9
GLG Partners Hong Kong Limited - GLG Partners Hong Kong Limited (AVV378) and Man Investments (Hong Kong) Limited (ADI920) amalgamated on 3 October 2023, pursuant to the relevant provisions of the Companies Ordinance (Chapter 622). The amalgamated company is Man Investments (Hong Kong) Limited (BUD110).	AVV378	Licensed Corporation	1, 4, 9
HK Sinoko Capital Limited	BND491	Licensed Corporation	1, 4, 9
Man Investments (Hong Kong) Limited - Man Investments (Hong Kong) Limited (ADI920) and GLG Partners Hong Kong Limited (AVV378) amalgamated on 3 October 2023, pursuant to the relevant provisions of the Companies Ordinance (Chapter 622). The amalgamated company is Man Investments (Hong Kong) Limited (BUD110).	ADI920	Licensed Corporation	1, 2, 3, 4
Pico Zeman Securities (HK) Limited	AUT286	Licensed Corporation	1, 4, 9
Principal Global Investors (Hong Kong) Limited	AAB864	Licensed Corporation	4, 9
Prive Financial Limited	BAC152	Licensed Corporation	1
Quasar Securities Co., Limited	BHV239	Licensed Corporation	1
Wing Fat Securities Limited	AAB885	Licensed Corporation	1
ABITTAN Michael Elie	BTN051	Licensed Representative	9
ANG Kim Yu	AXR153	Licensed Representative	9
AU-YEUNG Yiu Wing	BRE503	Licensed Representative	1, 4
BAE Sean Seongwan	BHV026	Licensed Representative	1
BAN Hideyasu	ALF355	Licensed Representative	4
BELL Gregory Brent	AGQ850	Licensed Representative	4, 9
BEQQAL JAWAD	BSM399	Licensed Representative	1
BHEN Ka Ho	BNX272	Licensed Representative	9
BHOR Akshay	BRV193	Licensed Representative	9
BRADY Mark Joseph	ARQ480	Licensed Representative	4, 9
BRIGGS Christopher David Robarts	BPO717	Licensed Representative	1, 4, 9
BRIGGS Jonathan Matthew	BSM357	Licensed Representative	1, 2
CAHILL Brian Joseph	AXG360	Licensed Representative	10
CAI Linfeng	BQO296	Licensed Representative	1, 4
CAI Yihan	BQN332	Licensed Representative	1, 6
CAO Zhu	BCI497	Licensed Representative	4
CHAI Yihan	BNC121	Licensed Representative	1, 4
CHAN CHUN YAN	BSJ089	Licensed Representative	4, 9
CHAN Curtis	BRZ705	Licensed Representative	1, 4
CHAN Fun Chu	AAK051	Licensed Representative	1
CHAN Hiu Kwong	BDO422	Licensed Representative	9
CHAN Hoi Sun, Nicholas	BDC337	Licensed Representative	1, 4
CHAN Hon Lam	AOY470	Licensed Representative	1, 2, 4
CHAN Kwong Yuen	BGN069	Licensed Representative	1, 4
CHAN Lai Ying	BJM188	Licensed Representative	9
CHAN Long Hin	BSG742	Licensed Representative	1, 2
CHAN Po Yi	AVV835	Licensed Representative	1, 2
CHAN Pui Yee	BRH090	Licensed Representative	1
CHAN Shing Choi	AAA754	Licensed Representative	1
CHAN Shui Sum	AQM171	Licensed Representative	1, 2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2023

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHAN Suet Lin	BPB537	Licensed Representative	1
CHAN Su-Li	BEV766	Licensed Representative	1, 2
CHAN Wai Bik, Amy	AAB421	Licensed Representative	1
CHAN Wai Ling	BHW840	Licensed Representative	1
CHAN Wai Yin	BOT815	Licensed Representative	1, 4
CHAN Wai Yu	AXV582	Licensed Representative	1
CHAN Wong Kit	BDF051	Licensed Representative	4, 9
CHAN Yat Fu, Edward	BGH405	Licensed Representative	1, 4
CHAN Ying Yuet	BRV558	Licensed Representative	1
CHAN Yue Ching	BTD723	Licensed Representative	1, 4
CHAN Yue Tat Andy	BHY880	Licensed Representative	4, 9
CHANG Ying	AGM442	Licensed Representative	1, 2, 4
CHANG Zhixiang	BMW059	Licensed Representative	6
CHAR Yee Lam	BPQ421	Licensed Representative	1, 4
CHAUDHARY Ayush	ARI665	Licensed Representative	1, 4
CHEN Chun-Ning	AYN039	Licensed Representative	4
CHEN Jiawei	BPF984	Licensed Representative	1, 7
CHEN Jie Ling	AAA692	Licensed Representative	1
CHEN Wen	BOF580	Licensed Representative	1, 4, 6
CHEN Xi	BPI131	Licensed Representative	4, 9
CHEN Yen-fu	AYE805	Licensed Representative	1, 2, 4, 5
CHEN Yifang	BQS940	Licensed Representative	6
CHENG Joe Ching Yip	BSZ419	Licensed Representative	1
CHENG Kwan Ngai	BOR347	Licensed Representative	4, 9
CHENG Man Hin	BHD347	Licensed Representative	1
CHENG Man Tung	AYO068	Licensed Representative	1, 2, 4
CHENG Ming Cheung Teddy	BEE424	Licensed Representative	9
CHENG Tak Wai	BPF621	Licensed Representative	9
CHENG Tsz Ming Solomon	BPN580	Licensed Representative	2, 3
CHENG Wai Kit	BRP260	Licensed Representative	1, 4, 9
CHENG Xiaoxue	BSA368	Licensed Representative	10
CHENG Yijie	BSL537	Licensed Representative	4
CHENG Yiu Kam	AFT662	Licensed Representative	1
CHEUNG Chow Loi, Paul	AAE174	Licensed Representative	1
CHEUNG Chung Lim	BSI758	Licensed Representative	4
CHEUNG Lai Sang Liza	BGI641	Licensed Representative	1
CHEUNG Shui Sum	APB920	Licensed Representative	1, 4
CHEUNG Tsz Kin	BJQ669	Licensed Representative	1, 2, 4, 9
CHEUNG Wai Kei	APA697	Licensed Representative	1
CHEUNG Yiu Lam	AAI323	Licensed Representative	1
CHEUNG Yuk Kit	BJL920	Licensed Representative	4, 9
CHIA An-pei	ARP099	Licensed Representative	1, 4, 9
CHIA Yong Yue Wilfred	AYT485	Licensed Representative	1, 4, 6
CHIN Guo Dong	BRH870	Licensed Representative	1, 4
CHIU Ngai Lam	BRR927	Licensed Representative	1, 4, 9
CHIU Tsz Hong	BTP062	Licensed Representative	1, 6
CHOI Chau Kit	BIC269	Licensed Representative	1, 2
CHOI Zenas	BRP921	Licensed Representative	1, 4, 9
CHON Charles Chungsik	BGG591	Licensed Representative	1, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2023

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHONG Eng Moo	AAJ377	Licensed Representative	1, 2
CHOW Joshua Tsz Hin	BJF217	Licensed Representative	9
CHOW Yu Ting, Beverly	APC113	Licensed Representative	1, 4
CHOY Hoi Yan	APX164	Licensed Representative	4, 9
CHU Ming Sun	APR825	Licensed Representative	1, 2
CHUI Chun Kit	BSC022	Licensed Representative	1
CHUNG Byeong Hun	BHU288	Licensed Representative	1, 4, 6
CHUNG Chui Wah	BHL405	Licensed Representative	9
CHUNG Ho Yin	BGP507	Licensed Representative	1
CHUNG Man Sun	AAI552	Licensed Representative	1, 2, 4
CHUNG Wai Shing	AMF222	Licensed Representative	4, 9
CONELIUS Michael Joseph	AYW800	Licensed Representative	4, 9
DAI Ar Sze	AAI135	Licensed Representative	1
DAI Jennifer	BTH089	Licensed Representative	1, 6
DAR Tabish Zafar	BKQ456	Licensed Representative	1
FENG Wei	BRV094	Licensed Representative	1, 6
FENG Yuan	AVH980	Licensed Representative	1, 4, 6
FONG Wai Ying, Vivian Catherine	BBT305	Licensed Representative	1, 6
FU Mao	BSQ064	Licensed Representative	4
FU Pingcheng	BGB981	Licensed Representative	1, 4, 9
FU Pui Ling	AYU562	Licensed Representative	1, 2
FUNG Yuen Wing	ABT085	Licensed Representative	1
GAO Yue	BQU795	Licensed Representative	1
GIBB Toby Spencer	BHB629	Licensed Representative	1
GOODELL III John Boyden	BMQ747	Licensed Representative	1
GUO Tong	BPS965	Licensed Representative	1, 4, 6
GUO Wenli	BRS515	Licensed Representative	9
GUO Yuting	BSF998	Licensed Representative	4
GUPTA Aman	BRK755	Licensed Representative	9
GUPTA Rahul	AGZ998	Licensed Representative	9
HAN Ling	BRV755	Licensed Representative	1, 4
HAN Sangjoon	BLZ749	Licensed Representative	1, 4
HAN Yuan Yuan	BSE372	Licensed Representative	1, 4, 9
HAN Yuzhu	BLT746	Licensed Representative	9
HARIGAYA Masatomo	BSE116	Licensed Representative	4
HE Yinzi	BGQ918	Licensed Representative	1, 6
HE Zhiying	BMT488	Licensed Representative	4, 9
HENGKY -	AVH676	Licensed Representative	1
HERTLEIN Nicholas Patrick	BPJ647	Licensed Representative	9
HO Betty Ching Man	ARA234	Licensed Representative	1
HO Chi Wing	ALC866	Licensed Representative	1, 2
HO Shuk Yin	AAB749	Licensed Representative	1
HO Tsz Shun Kevin	AXG091	Licensed Representative	1, 6, 9
HO Wing Long	BEZ352	Licensed Representative	1
HO Wing Shan	BQM807	Licensed Representative	1
HO Zi Hao, Ivan	BRF165	Licensed Representative	1, 4, 6
HON Ki Kuen	ALR992	Licensed Representative	1
HONG Ying Hui	AWT694	Licensed Representative	2, 5
HSU Chen-Chih	BEE281	Licensed Representative	9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2023

CE Name	CE No.	Licence Type	Types of Regulated Acitivity
HSU Kai-wei	BRN987	Licensed Representative	1, 4, 6
HU Chih-ching, Rebecca	AVH746	Licensed Representative	1, 4
HU Hsiao Chieh	BOA199	Licensed Representative	9
HU Ming-Hua	BSM485	Licensed Representative	1, 6
HU Richard	BTP383	Licensed Representative	1, 2
HU Xunyuan	BRC220	Licensed Representative	4, 5
HUANG Wenjie	BPG210	Licensed Representative	1, 2
HUANG Xinyu	BSB837	Licensed Representative	4
HUI Kam Yung	BSR027	Licensed Representative	1
HUI Kit Yan	BGJ242	Licensed Representative	1
HUI Kwok Kin	BNS461	Licensed Representative	1, 4
HUI Tak Yan	BOI539	Licensed Representative	6
HUI WAI HO	BSO573	Licensed Representative	1, 7
HUI Yiu Chung, Sam	BNI743	Licensed Representative	1, 6
HUNG Dick On	AQF125	Licensed Representative	1, 2
HUNG Kat Lung	BHU202	Licensed Representative	9
HUNTER Paul Chicano	BDR269	Licensed Representative	1, 4
IBRAHIM Samuel	BPJ810	Licensed Representative	1, 4, 9
JAIN Purvi	BOG860	Licensed Representative	1, 2
JEON Jong Min	BOO898	Licensed Representative	9
JI Xing	BSF950	Licensed Representative	4
JIANG Chenming	BSY429	Licensed Representative	1, 2, 7
JIANG Chenxian	BSH206	Licensed Representative	1, 2
JIANG Xin	BSH011	Licensed Representative	4
JIANG Yining	BOP986	Licensed Representative	1, 6
JIAO CHUNMEI	BSW417	Licensed Representative	1, 7
KAN Ngai Him	AGG812	Licensed Representative	1
KANG Le	BRW215	Licensed Representative	1, 6
KE Zicong	BOK119	Licensed Representative	9
KIGA Masaki	BQV481	Licensed Representative	1, 4
KIM Eui Jin	BFO844	Licensed Representative	1
KO Fung Yee	AGC775	Licensed Representative	1
KO Hon Keung, Eddie	AAI171	Licensed Representative	1
KONG HO Pui King, Stella	AAZ044	Licensed Representative	4, 9
KONG Tat Sang	AWT273	Licensed Representative	1, 2
KONG Yuen Ching	ACF193	Licensed Representative	1
KOO Tun Lok Carlos	BKK383	Licensed Representative	1
KOOPMANS Jean Paul Marie	BOU784	Licensed Representative	4, 9
KRAUTHEIM Erica Shao-Ning	AWG056	Licensed Representative	9
KU Chak Ying, Jenny	BNY351	Licensed Representative	1, 4
KWAN Man Wai	AKS704	Licensed Representative	1
KWOK Cheuk Tim Rockie	AHD928	Licensed Representative	1
KWOK Chi Wang	ACX686	Licensed Representative	2
KWONG Tsz Wai, Summer	BRO632	Licensed Representative	1
LAI Hoi Ching	BTL538	Licensed Representative	1, 4, 9
LAI Ming Ho	AAF112	Licensed Representative	1, 2
LAI Ming Yeung	AFA201	Licensed Representative	1
LAI Ying Shuet	BDO733	Licensed Representative	1
LAM CHO WING	BRK742	Licensed Representative	4, 9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2023

CE Name	CE No.	Licence Type	Types of Regulated Acitivity
LAM Chun Ho	AEX417	Licensed Representative	1
LAM Chun Yan	BMX698	Licensed Representative	1, 4, 9
LAM Hing Nin	ADN027	Licensed Representative	1, 4
LANDIER Jean-Augustin	BJK539	Licensed Representative	1, 4, 5, 9
LANGBERG Richard Martin	BMK886	Licensed Representative	10
LATHER Manik	ATQ944	Licensed Representative	9
LAU Chi Ching, Vincent	AAD423	Licensed Representative	1
LAU King Yan	BOI954	Licensed Representative	1, 4, 9
LAU Pok Yat, Watson	BCZ918	Licensed Representative	9
LAU Rio Nicole	BTN216	Licensed Representative	1, 4, 6
LAU Tsz Ching Julia	BFW808	Licensed Representative	9
LAW Clara Yue Ying	BRF161	Licensed Representative	1
LAW Hoi Kin	BQR296	Licensed Representative	4, 9
LAW Yau Ming	BMB562	Licensed Representative	2
LAW Yiu Fat, Richard	ANZ547	Licensed Representative	1, 4
LE Xuan Hai Au	BNN722	Licensed Representative	1, 3
LEE Brian Henry	BQC264	Licensed Representative	1, 6
LEE Ho Yin	BDI687	Licensed Representative	1
LEE Siu Kiu	BRV183	Licensed Representative	1
LEE Yin Yan	BSV048	Licensed Representative	1, 4, 9
LEE Young Myung	ANW187	Licensed Representative	9
LENG Xiangyu	BJB293	Licensed Representative	9
LEUNG Hoi Ting, Erica	AXU767	Licensed Representative	1, 2
LEUNG Hon Kuen	ATM775	Licensed Representative	1
LEUNG Man Chun	BTD552	Licensed Representative	1
LEUNG Shuk Ching	AWB865	Licensed Representative	1, 4, 9
LEUNG Wai Ming	AJE472	Licensed Representative	3
LI Bowen	BNZ147	Licensed Representative	1, 4
LI Duruo	BRG970	Licensed Representative	1, 4, 9
LI Hoi Kan	BRX520	Licensed Representative	6
LI Jiaying	BNB932	Licensed Representative	4
LI Junyi	BFN413	Licensed Representative	1, 6
LI Kwok Tai, James	AFS293	Licensed Representative	4
LI Lei	BRM320	Licensed Representative	1
LI Na	BTQ964	Licensed Representative	1
LI Pingping	BNT032	Licensed Representative	1
LI Rong	BQW378	Licensed Representative	1, 4, 9
LI Runbo	BRR375	Licensed Representative	9
LI Runtao	BRD676	Licensed Representative	1, 4
LI Sihua	BTF494	Licensed Representative	1, 2
LI Wei	BRO252	Licensed Representative	4
LI Weiwei	BMV857	Licensed Representative	2, 5
LI Xin	BRT414	Licensed Representative	1, 4
LI Xuhua	BPL807	Licensed Representative	9
LI Yulong	BRU839	Licensed Representative	1, 6
LI Zi Ang	BSM449	Licensed Representative	1, 4, 9
LIANG Frank Guo Yun	BSF990	Licensed Representative	1
LIANG Xingjun	BKQ748	Licensed Representative	9
LIANG Ying	BNA785	Licensed Representative	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2023

CE Name	CE No.	Licence Type	Types of Regulated Acitivity
LIAO John Chih-chang	BRK842	Licensed Representative	1, 2
LIAUW Giok Chang	BPX489	Licensed Representative	1, 4
LIM Damien Jia Wei	BMK464	Licensed Representative	4, 9
LIN Jiayue	BSB869	Licensed Representative	1
LIN Jing	BEJ251	Licensed Representative	1, 6
LIN Sen	BKZ414	Licensed Representative	1, 4, 9
LIU Baoshan	BRH237	Licensed Representative	1, 4, 9
LIU Jia	AP0197	Licensed Representative	4
LIU Kam Ho	BRA238	Licensed Representative	1
LIU Li	BQB508	Licensed Representative	1, 2
LIU Wentao	BSZ518	Licensed Representative	4
LIU Xiaohan	BQD008	Licensed Representative	10
LIU YI	BSE929	Licensed Representative	1, 2
LIU Zhenzhou	BRS101	Licensed Representative	1, 4, 9
LIU Zihan	BSR234	Licensed Representative	1
LIU Zuosheng	BSH964	Licensed Representative	1, 6
LO Oi Yin Kathy	BJQ169	Licensed Representative	1
LO Siu Chung	BKE292	Licensed Representative	1
LOH Howard Howe Yeung	BCA495	Licensed Representative	9
LOO Kevin Lester	BFD133	Licensed Representative	1, 4, 9
LOW Yik Kee, Nicholas	ARI658	Licensed Representative	1
LU Jiayang	BSQ341	Licensed Representative	1, 4, 9
LUNG Lai Sum	BTM046	Licensed Representative	1, 4
LUO Tianbao	BQB242	Licensed Representative	2, 5
MA Yun	BTE280	Licensed Representative	1, 4
MAK Tai He	AAC863	Licensed Representative	1
MAK Tin Sang	BGM432	Licensed Representative	6
MANAKTALA Aneesh	BDC882	Licensed Representative	1, 4, 9
MANO Stefan	BGB559	Licensed Representative	1, 2
MATSUSHITA Akifumi	BPT817	Licensed Representative	1, 4
MCNEE Dugald Richard	BDQ529	Licensed Representative	1
MENG Xiangwen	BSF932	Licensed Representative	4
MESNARD-SENSE Jean-Francois, Rene, Philippe, Marie	BME253	Licensed Representative	1, 4
MORITA Sergio Takeo	ATL817	Licensed Representative	1, 4
NAGAR Varun	BPX563	Licensed Representative	2, 5
NAGASAWA Shohei	BQW081	Licensed Representative	9
NAKABAYASHI Mitsugu	BNJ261	Licensed Representative	1, 4, 6
NARIKIYO Kosuke	BHB744	Licensed Representative	4
NG Chik Sze	AAF850	Licensed Representative	1, 9
NG Fo Chun	BOL456	Licensed Representative	9
NG Hoi Ki	AZR917	Licensed Representative	1
NG Kwai Yee	AT1143	Licensed Representative	1
NG Kwan Ying	BBM325	Licensed Representative	1, 4
NG Lai Fong, Rebecca	AAY108	Licensed Representative	1, 2, 4, 5
NG Ping Fun, Donald	ACS956	Licensed Representative	1
NG Siu Yan	BQL717	Licensed Representative	1, 4
NG Wai Chun	ACN211	Licensed Representative	1
NGAN Hon Man	AFR556	Licensed Representative	1
NI Yibu	AZT292	Licensed Representative	1, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2023

CE Name	CE No.	Licence Type	Types of Regulated Activity
NISHIURA Mitsuru	BSQ657	Licensed Representative	4
NIU Xuliang	BTF517	Licensed Representative	1
NOMURA Shingo	BJN129	Licensed Representative	1
OOI Kok Leong	BTM571	Licensed Representative	1, 4, 9
OU Yingjie	BNO196	Licensed Representative	9
OURA Tetsuo	BPJ544	Licensed Representative	1, 4, 6
OUYANG Linshan	BQA722	Licensed Representative	6
PAL Shomrita	BFL570	Licensed Representative	2, 5
PARBROOK Robin Stewart	ACI102	Licensed Representative	4, 9
PENG Cheng	BRS603	Licensed Representative	4
PRAKASH Sharad	BIR484	Licensed Representative	1, 2
QI Xin	AWL701	Licensed Representative	9
QUAH Hock Teong Dennis	BID354	Licensed Representative	1
QUAN Yiyu	BRF029	Licensed Representative	4
RATTANARUENGYOT Thongchai	AQQ816	Licensed Representative	9
RHEE Samuel Gene	AFE900	Licensed Representative	1, 4, 9
RIEBER Laura Natalie	BMV977	Licensed Representative	1
SHANKER Malavika	BCD228	Licensed Representative	1, 2, 4, 5, 7
SHARMA Kushagra	BBD143	Licensed Representative	1, 2
SHEN Zhifeng	BNQ994	Licensed Representative	4
SHI Yifan	BTB916	Licensed Representative	1, 6
SO But Fut Bridget	BQQ503	Licensed Representative	1, 4, 9
SO Ka Hei	BOZ912	Licensed Representative	1, 2, 4
SO Oi Kam, Jenny	AGK971	Licensed Representative	1
SO Shing Hing, Nelson	ACH779	Licensed Representative	1, 4
SO Tsz Hin	BOI317	Licensed Representative	1, 4, 9
SONG Ci	BCT672	Licensed Representative	6
SONG Yuanwei	BJB493	Licensed Representative	1, 2, 4
SONG Zhenjiang	BRM313	Licensed Representative	1, 4, 6
SU Shiwen	BNS444	Licensed Representative	1
SUN Huanqing	BTD599	Licensed Representative	6
TAKEUCHI Naoki	BOA600	Licensed Representative	4, 9
TAN Ming Fung, Eric	AJ1346	Licensed Representative	1, 2, 4, 5
TAN Shern Puay	BMX677	Licensed Representative	1
TAN Soon Hoe	AOQ585	Licensed Representative	1, 4
TAN Xi	BPA120	Licensed Representative	9
TANG Shing Pan	BIW840	Licensed Representative	1, 4
TENG An-Chi	BPK595	Licensed Representative	1, 4, 9
TING Siu Wai	APU607	Licensed Representative	1, 2
TONG Ngar Shun	AQP756	Licensed Representative	2, 9
TONG Wai Chiu, Philip	ARO584	Licensed Representative	6
TSANG Chi Hang	AEM502	Licensed Representative	1, 2, 3
TSANG Kwok Ho	ACC397	Licensed Representative	1, 4, 9
TSANG Suk Han	BTB937	Licensed Representative	1
TSANG Wai Yin, Wendy	AAJ444	Licensed Representative	1, 2, 4, 5
TSO Kin Man	BQP094	Licensed Representative	1, 2
TSOI Hiu Ping Icey	BJT917	Licensed Representative	1, 4, 9
TSUTSUMI Atsushi	BFI209	Licensed Representative	1
TUNG Man Juon	AYW276	Licensed Representative	9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2023

CE Name	CE No.	Licence Type	Types of Regulated Activity
VAN DER FLIER Melvin Suresh Vasco	BQR098	Licensed Representative	9
VONG Chan Chun	ABL468	Licensed Representative	1
VOON Ryan Yang Kai	BPI876	Licensed Representative	1, 2
WALTERS Andrew James	AOT505	Licensed Representative	1, 2, 7
WAN Xicen	BQE353	Licensed Representative	1, 2, 4
WANG Andi	BRY296	Licensed Representative	1, 4, 9
WANG CHAO	BRW406	Licensed Representative	9
WANG Chenqing	BRZ310	Licensed Representative	1, 4, 9
WANG Chuan Ying	BMG147	Licensed Representative	1
WANG Feng	BPR815	Licensed Representative	9
WANG Guohan	BQL057	Licensed Representative	4
WANG Howard Hao	ADM477	Licensed Representative	4, 5, 9
WANG Huijie	BRQ679	Licensed Representative	1, 6
WANG Miao	BRS454	Licensed Representative	1, 6
WANG Tian	BOF904	Licensed Representative	1, 4
WANG Tinghui	BSV550	Licensed Representative	1, 6
WANG Xiayu	BSZ631	Licensed Representative	1, 2, 4
WANG Xin	APD062	Licensed Representative	1, 6
WANG Yuan	AWC461	Licensed Representative	1, 6
WONG Hiu Tung, Hilda	AWA334	Licensed Representative	1
WONG Ho Lam	BOB034	Licensed Representative	4, 9
WONG King Ho	BRZ963	Licensed Representative	1, 2, 4
WONG Kwong Sing	ABG461	Licensed Representative	1, 4
WONG On Yiu	BOL123	Licensed Representative	1, 2, 4, 5, 9
WONG Pan	BPX662	Licensed Representative	1, 4
WONG Sek Wai, Samuel	BDY697	Licensed Representative	1, 4, 6
WONG Sheh Hee Herman	BQN086	Licensed Representative	1
WONG Shuk Yee	AGN021	Licensed Representative	1
WONG Suk Wun, Suzanna	AHI337	Licensed Representative	1, 4, 9
WONG Tak Cheung	ADQ772	Licensed Representative	1
WONG Tin Chun	BIN699	Licensed Representative	4, 9
WONG Wen Mee, Joyce	AGF478	Licensed Representative	1
WONG Yun Sum	BRM578	Licensed Representative	1, 4
WOO Ricky Ka Wing	AXK258	Licensed Representative	1
WU Georgia Yi	BOU059	Licensed Representative	1
WU Hiu Fung	AOV629	Licensed Representative	9
WU Mike Quark Way	BNU667	Licensed Representative	1, 6
WU Pui Tin	BQQ683	Licensed Representative	1, 4, 9
WUN Po Yu	BRS569	Licensed Representative	1
XIA Qian	BML167	Licensed Representative	1, 4, 9
XIAO Xiao	BTT122	Licensed Representative	1, 2, 4
XIAO Xuezhou	BEC781	Licensed Representative	1, 4, 9
XU Jun	BMP513	Licensed Representative	1, 7
XU Qiongyi	BPL322	Licensed Representative	9
XU Yuqian	BQV813	Licensed Representative	1, 4, 6
XU Zidong	BRS679	Licensed Representative	1, 4, 9
YAMANE Christopher Steven	BTD883	Licensed Representative	9
YAN Tsz Kei	AVL366	Licensed Representative	1, 2
YANG Fan	BDD668	Licensed Representative	1, 4, 9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2023

CE Name	CE No.	Licence Type	Types of Regulated Activity
YANG Huanhuan	BOH430	Licensed Representative	9
YANG Jing	AXL919	Licensed Representative	1, 2, 4
YANG Yan	AXG818	Licensed Representative	4, 9
YANG Zi	BTE122	Licensed Representative	1, 4, 6
YAP Sze Sze	BAI297	Licensed Representative	1, 4, 9
YAU Ka Kit	ADA377	Licensed Representative	1, 2
YAU Vincent Wing Tsuen	AVV901	Licensed Representative	1, 2, 7
YAU Wai Tak	AAD397	Licensed Representative	1
YEH Fan Hei	BTC455	Licensed Representative	1, 4
YEOH Chye Chuan Eric	BMM148	Licensed Representative	1, 4
YEUNG Chi Wai	ABT728	Licensed Representative	1, 2
YEUNG Edwin Chun Yue	BIB909	Licensed Representative	1, 2
YEUNG Kin Wing	AES282	Licensed Representative	1, 2
YIM Chi Ki	BHK688	Licensed Representative	1
YIM Chi Wai	ACQ102	Licensed Representative	1
YIP Ching Hung Paul	BOS222	Licensed Representative	1, 4
YIP Ka Wing	BTT607	Licensed Representative	3
YIU Miu Ling	ALO282	Licensed Representative	1
YOON Julian Hyun-min	BGG794	Licensed Representative	4, 9
YU Hongyi	BAC149	Licensed Representative	1, 6
YU Jia Yuan	BSE371	Licensed Representative	1, 4, 9
YU Kin Hang Oliver	BOR363	Licensed Representative	1, 4
YU Lijie	AUX358	Licensed Representative	1, 4, 9
YU Wei	ATN190	Licensed Representative	10
YU Xintong	BRM265	Licensed Representative	1, 9
YUAN MAN	BTM354	Licensed Representative	9
YUAN Yongyi	BMZ419	Licensed Representative	9
YUEN Fu Ming	AAF186	Licensed Representative	1
YUEN Tik Hong	BRU745	Licensed Representative	1, 4
ZENG Jiahao	BIW225	Licensed Representative	1, 2
ZHA Chunhong	BOM714	Licensed Representative	1, 4
ZHANG Jingyi	BPR656	Licensed Representative	4
ZHANG Qilu	BRK990	Licensed Representative	1, 4
ZHANG Ting	BDM717	Licensed Representative	1, 4, 9
ZHANG Wei	BRS543	Licensed Representative	1
ZHANG Wenrui	BNG658	Licensed Representative	1, 6
ZHANG Xiaoxuan	BQG262	Licensed Representative	4
ZHAO Daihong	ALZ348	Licensed Representative	1
ZHAO Jinghe	BOC694	Licensed Representative	1
ZHAO Yikun	BSC020	Licensed Representative	1, 6
ZHENG Qiaochu	BRR789	Licensed Representative	1, 6
ZHONG Yulin	BRB731	Licensed Representative	4
ZHOU Diao	BQN680	Licensed Representative	1, 4, 9
ZHOU Huicheng	BQU273	Licensed Representative	1, 6
ZHOU Xi	BTF550	Licensed Representative	4
ZHOU Yan	BMF144	Licensed Representative	1
ZHU He	BEN694	Licensed Representative	4, 9
ZHUANG Dan	BHH668	Licensed Representative	4
ZHUANG Huihui	BKR063	Licensed Representative	10

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2023

CE Name	CE No.	Licence Type	Types of Regulated Activity
BAKER Edward Daniel	AFB069	Licensed Representative - Responsible Officer	9
BATE Paul William	AGG681	Licensed Representative - Responsible Officer	4, 9
BENEDIKT-SANTER Tina	ASQ164	Licensed Representative - Responsible Officer	1, 4
BLACKWELL Michael Kevin	BCN800	Licensed Representative - Responsible Officer	2
BONIFANT Patrick Clark-Hall	BDL774	Licensed Representative - Responsible Officer	1, 2
CAI Jing	AXO945	Licensed Representative - Responsible Officer	1, 4
CAIRNS Peter Christopher	ADN194	Licensed Representative - Responsible Officer	1, 4, 9
CHAN Pui Nga	AMB430	Licensed Representative - Responsible Officer	1, 4
CHAN Sheung Man	BHJ264	Licensed Representative - Responsible Officer	9
CHAN Wong Kit	BDF051	Licensed Representative - Responsible Officer	1
CHAN Yin Kei, Ronald	ACG470	Licensed Representative - Responsible Officer	9
CHAU Choi Lam	ARJ516	Licensed Representative - Responsible Officer	6
CHAU Chung Kai, Peter	AAC736	Licensed Representative - Responsible Officer	9
CHEN Pu	BPN719	Licensed Representative - Responsible Officer	9
CHENG Ju-Muh	ACO172	Licensed Representative - Responsible Officer	1, 4
CHENG Tsz Ming Solomon	BPN580	Licensed Representative - Responsible Officer	1, 4
CHEUNG James	BRW433	Licensed Representative - Responsible Officer	9
CHEUNG Man Yee	AUZ865	Licensed Representative - Responsible Officer	1
CHIU Cheuk Man	ASS493	Licensed Representative - Responsible Officer	1, 2, 4, 5, 9
CHIU Francis	AUV238	Licensed Representative - Responsible Officer	4, 9
CHIU Tak Wai	ABV524	Licensed Representative - Responsible Officer	4, 9
CHIU Yung	AMR824	Licensed Representative - Responsible Officer	1, 4
CHOI Daniel	ALU959	Licensed Representative - Responsible Officer	4, 9
CHON Charles Chungsik	BGG591	Licensed Representative - Responsible Officer	9
CHONG Ka Yee	AME715	Licensed Representative - Responsible Officer	4, 9
CHOU Trevor Chan-toa	ADB838	Licensed Representative - Responsible Officer	4, 9
CHOW Lin Hing	AAC536	Licensed Representative - Responsible Officer	1, 4
CHUNG Chui Wah	BHL405	Licensed Representative - Responsible Officer	4
CHUNG Wai Shing	AMF222	Licensed Representative - Responsible Officer	1
CHUNG Yan Yee, Andrew	ACW577	Licensed Representative - Responsible Officer	4, 9
CLARKE Andrew Kenneth	ADT180	Licensed Representative - Responsible Officer	1, 7, 8, 9
DALMASSE Canute Howard	AND837	Licensed Representative - Responsible Officer	5
FANG Lin	ANQ365	Licensed Representative - Responsible Officer	4, 9
FONG Chin Kong, Jason	ADT434	Licensed Representative - Responsible Officer	9
FUNG Wai Kai, Alex	ABX996	Licensed Representative - Responsible Officer	1, 4, 9
GREENE JR William Jeffrey	AUX423	Licensed Representative - Responsible Officer	1, 4, 5
HO Hoi Tung	AHE146	Licensed Representative - Responsible Officer	4, 9
HO Kok Kyu	AYS138	Licensed Representative - Responsible Officer	1
HUANG Wei Meng	BFD871	Licensed Representative - Responsible Officer	4, 9
HUNG Kat Lung	BHU202	Licensed Representative - Responsible Officer	1, 4
IOUSSOUPOV Chamil	AYD204	Licensed Representative - Responsible Officer	1, 2
KAN Yiu Kwok	AFN397	Licensed Representative - Responsible Officer	1
LAM Chun Kwok, Jimmy	AEY314	Licensed Representative - Responsible Officer	9
LAM Simon Yee-Kai	AET081	Licensed Representative - Responsible Officer	1
LAU On Hong	ACO909	Licensed Representative - Responsible Officer	1, 4, 9
LEE Ho Yin	BDI687	Licensed Representative - Responsible Officer	6
LEE Kin Ping, Christophe	ADV609	Licensed Representative - Responsible Officer	1, 4, 9
LEE Lip Yee	BHM087	Licensed Representative - Responsible Officer	1
LEE Tsang Ming	AVS892	Licensed Representative - Responsible Officer	1, 4, 9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2023

CE Name	CE No.	Licence Type	Types of Regulated Activity
LEUNG Chi Chung	AJE370	Licensed Representative - Responsible Officer	1, 4, 9
LI Changying	AYW260	Licensed Representative - Responsible Officer	2
LI Chok Sun, Sean	AAE952	Licensed Representative - Responsible Officer	1, 2
LI Kam Ming	ANR915	Licensed Representative - Responsible Officer	4
LI Kwok Tai, James	AFS293	Licensed Representative - Responsible Officer	1, 6
LIN Fei	AZJ851	Licensed Representative - Responsible Officer	1, 4, 9
LIU Sean Meng-hsiung	ADJ311	Licensed Representative - Responsible Officer	1, 4, 9
LUI Cheuk Hang, Henri	ACR654	Licensed Representative - Responsible Officer	4, 9
LUO Tianbao	BQB242	Licensed Representative - Responsible Officer	1, 4
MA Ching Kuen, Alfred	AAC449	Licensed Representative - Responsible Officer	1, 4
MA Ching Sau, Amy	AAA152	Licensed Representative - Responsible Officer	1, 4
MALIK Rikki	AEW095	Licensed Representative - Responsible Officer	4, 9
NAUMANN Holger Wilhelm	BOM081	Licensed Representative - Responsible Officer	1, 4, 9
NEGORO Yasuaki	BMM210	Licensed Representative - Responsible Officer	4
NG Siu Yung, Conny	AAG208	Licensed Representative - Responsible Officer	1
NGAN Chiu Kit, Hudson	AGQ983	Licensed Representative - Responsible Officer	1, 4
PANG Yan	APG361	Licensed Representative - Responsible Officer	9
PATEL Jignesh	AGG884	Licensed Representative - Responsible Officer	1, 2
PINGE Gaurish	AMD158	Licensed Representative - Responsible Officer	1, 4, 9
PRATT Michael Charles Harding Kayas	AEK878	Licensed Representative - Responsible Officer	1
ROY Philippe, Paul, Andre	ATL610	Licensed Representative - Responsible Officer	1, 2
SAUNDERS Andrew Michael	BDP044	Licensed Representative - Responsible Officer	9
SCHILLINGER Julian Moritz	AUG869	Licensed Representative - Responsible Officer	1
SHEN Yiqian	ASZ667	Licensed Representative - Responsible Officer	1, 4, 9
SHI Zhan	BMQ171	Licensed Representative - Responsible Officer	1, 4
SHIU Ephrem	AUZ171	Licensed Representative - Responsible Officer	1, 4, 9
SO Shing Hing, Nelson	ACH779	Licensed Representative - Responsible Officer	2
STANTON III Walter Xavier	AEA621	Licensed Representative - Responsible Officer	1, 4, 9
TAI Kit Ying, Agnes	ABD514	Licensed Representative - Responsible Officer	4, 9
TAN Soon Hoe	AOQ585	Licensed Representative - Responsible Officer	6
TANG Yu Pang	BEQ562	Licensed Representative - Responsible Officer	1, 4, 9
TONG Chi Kin	AGK513	Licensed Representative - Responsible Officer	1, 2
TONG Ngar Shun	AQP756	Licensed Representative - Responsible Officer	1, 4
TONG Wai Chiu, Philip	ARO584	Licensed Representative - Responsible Officer	1
TRUONG To Linh	AFB064	Licensed Representative - Responsible Officer	9
WAN Chuen Hing, Alexander	AHG382	Licensed Representative - Responsible Officer	4, 9
WANG Ning	BRE810	Licensed Representative - Responsible Officer	4, 9
WONG Hoi Shun Howson	BQN717	Licensed Representative - Responsible Officer	4, 9
WONG Hok Man	BNI770	Licensed Representative - Responsible Officer	6
WONG Mun Yee	APU633	Licensed Representative - Responsible Officer	4, 9
WONG Wai Hong	ANG976	Licensed Representative - Responsible Officer	1, 4
WU Ho Kwan, Janice	ADV920	Licensed Representative - Responsible Officer	1, 9
WU Pei Wei	AUN391	Licensed Representative - Responsible Officer	4, 9
XIA Thomas Xi	AQY002	Licensed Representative - Responsible Officer	1, 2
XIA Zhong	BEU984	Licensed Representative - Responsible Officer	9
YAMAMOTO Koji	BOD516	Licensed Representative - Responsible Officer	1, 4
YEUNG Sum Ho	AOK594	Licensed Representative - Responsible Officer	1, 4, 9
ZHANG Anzhi	AJX187	Licensed Representative - Responsible Officer	6
ZHANG Xiaomei	AKV310	Licensed Representative - Responsible Officer	4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2023

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHAO Liang	BGH804	Licensed Representative - Responsible Officer	4, 9
ZHU Fangming	BQU148	Licensed Representative - Responsible Officer	4, 9
ZUO Zhiqing	BTH286	Licensed Representative - Responsible Officer	1, 4, 9
ABDELGHANI Abdelmoneam Hesham Mosaad	BUC622	Licensed Representative (Provisional Licence)	1, 4, 6
ABDUL MANAF Abdul Hadi	BUC021	Licensed Representative (Provisional Licence)	4
ADDAGATLA Praveen Shreenivas	BSE114	Licensed Representative (Provisional Licence)	4, 9
AGARWAL Radhika Ankush	BUD460	Licensed Representative (Provisional Licence)	1
AI Jiao	BUB305	Licensed Representative (Provisional Licence)	1, 6
ANICETO Myles Benedict Santos	BUC285	Licensed Representative (Provisional Licence)	1
BAI Jiaying	BUA576	Licensed Representative (Provisional Licence)	1, 2, 4
BAO Chencong	BUC430	Licensed Representative (Provisional Licence)	4
BAO Li	BUD894	Licensed Representative (Provisional Licence)	4
BENTLEY Charles Robert Stephen	BUD981	Licensed Representative (Provisional Licence)	4
BHAGAT Srujan	BUE083	Licensed Representative (Provisional Licence)	1
BRETON Felix Nicolas	BUE303	Licensed Representative (Provisional Licence)	1, 2
BUBNA Archit	BUD415	Licensed Representative (Provisional Licence)	1, 2
BYUN Jeong Sub	BUC410	Licensed Representative (Provisional Licence)	9
CAI Xinyu	BUF399	Licensed Representative (Provisional Licence)	4, 9
CAI Yuezhe	BUC817	Licensed Representative (Provisional Licence)	4, 9
CHADHA Jashandeep Singh	BUC287	Licensed Representative (Provisional Licence)	4
CHAINANI Suveer	BHV136	Licensed Representative (Provisional Licence)	1
CHAN Chung Yin	BTZ968	Licensed Representative (Provisional Licence)	1, 2
CHAN Doris	BUC284	Licensed Representative (Provisional Licence)	1
CHAN Kam Wa	BUD263	Licensed Representative (Provisional Licence)	1, 4, 9
CHAN Kwok Ching	BUB875	Licensed Representative (Provisional Licence)	1, 4
CHAN Man Ho	BUB022	Licensed Representative (Provisional Licence)	1, 2
CHAN PUI YIN	BUD058	Licensed Representative (Provisional Licence)	4, 9
CHAN Sau Mei	BUD992	Licensed Representative (Provisional Licence)	6
CHAN Wilson Kai Shun	BUC710	Licensed Representative (Provisional Licence)	1, 4
CHAN Yuen Yee, Pinky	AFI268	Licensed Representative (Provisional Licence)	1, 4
CHAU Ho Wan Owen	BUB828	Licensed Representative (Provisional Licence)	6
CHEN Changli	BUE172	Licensed Representative (Provisional Licence)	1, 4
CHEN Chin-Wun	BTZ840	Licensed Representative (Provisional Licence)	4
CHEN Danlu	BUC194	Licensed Representative (Provisional Licence)	1, 6
CHEN Liming	BID773	Licensed Representative (Provisional Licence)	1, 2, 4
CHEN Lingxin	BUD714	Licensed Representative (Provisional Licence)	1
CHEN Lixian	BUD416	Licensed Representative (Provisional Licence)	9
CHEN Long	BOW916	Licensed Representative (Provisional Licence)	4, 9
CHEN Qi	BUC193	Licensed Representative (Provisional Licence)	6
CHEN Siyi	BUB175	Licensed Representative (Provisional Licence)	6
CHEN Wenbin	BUC619	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Yishao	BUB216	Licensed Representative (Provisional Licence)	6
CHEN Zhengzhe	BUD940	Licensed Representative (Provisional Licence)	1, 4, 9
CHEN Zhongwei	BUC137	Licensed Representative (Provisional Licence)	4, 9
CHENG Yu Yuan, Matthew	AMC369	Licensed Representative (Provisional Licence)	4
CHENG Yumou	BUC350	Licensed Representative (Provisional Licence)	1, 4
CHEUNG Chi Leung	BUD497	Licensed Representative (Provisional Licence)	1, 2, 9
CHEUNG Chun Lok	BUD831	Licensed Representative (Provisional Licence)	1, 2
CHEUNG Kwan On	AFW481	Licensed Representative (Provisional Licence)	1, 2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2023

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHEUNG Man Shun	BPF015	Licensed Representative (Provisional Licence)	1
CHEUNG Yi Man	BUC714	Licensed Representative (Provisional Licence)	1, 2
CHI Jiahui	BUC760	Licensed Representative (Provisional Licence)	1, 2, 4
CHIN Russell Edward	BUC275	Licensed Representative (Provisional Licence)	1
CHIU Tsung Yin Justin	BUD513	Licensed Representative (Provisional Licence)	1, 2, 4, 9
CHO Seok Sang	BUC774	Licensed Representative (Provisional Licence)	10
CHOW Sai Keung	AFD464	Licensed Representative (Provisional Licence)	1, 2, 4, 5
CHU Yuan Chen	BNT780	Licensed Representative (Provisional Licence)	1, 4
CHUI Yat Ming	BUF701	Licensed Representative (Provisional Licence)	1, 2
CLAYTON Bruce Carleton	BUC282	Licensed Representative (Provisional Licence)	1
CUI Hailiang	BTY772	Licensed Representative (Provisional Licence)	1, 4, 9
DE MIRANDA CARDOSO Jose Vinicius	BUE052	Licensed Representative (Provisional Licence)	1, 2
DENG Yue	BUA993	Licensed Representative (Provisional Licence)	4
DING Chaonuo	BUB256	Licensed Representative (Provisional Licence)	6
DING Mengqi	BUD994	Licensed Representative (Provisional Licence)	6
DOERING Michael Roger	ANQ240	Licensed Representative (Provisional Licence)	1, 7
DONG Mei	AUX150	Licensed Representative (Provisional Licence)	1, 4, 9
DOWD Daniel Vincent	BNZ296	Licensed Representative (Provisional Licence)	4
DU Pei	BUD048	Licensed Representative (Provisional Licence)	1
DU Xiaoyu	BUC557	Licensed Representative (Provisional Licence)	9
DU Yurong	BUB262	Licensed Representative (Provisional Licence)	1, 2, 4
DWERRYHOUSE Alan Damian	ARP686	Licensed Representative (Provisional Licence)	1
ENGINEER Piran Tehmton	BUC037	Licensed Representative (Provisional Licence)	4
FAN Bonnie Wing-Yun	BUD307	Licensed Representative (Provisional Licence)	6
FAN Hongsen	BUD985	Licensed Representative (Provisional Licence)	1
FAN Xiyao	BTZ332	Licensed Representative (Provisional Licence)	1, 4, 9
FAN Yuxin	BUB960	Licensed Representative (Provisional Licence)	1, 2, 4
FANG Hsin Yu	BUA959	Licensed Representative (Provisional Licence)	6
FANG Xuanning	BUA482	Licensed Representative (Provisional Licence)	1, 6
FANG Yifeng	BUD031	Licensed Representative (Provisional Licence)	1, 6
FENG Jiaqi	BUB193	Licensed Representative (Provisional Licence)	1, 4
FENG Jingge	BUE063	Licensed Representative (Provisional Licence)	6
FENG YINGUO	BUD072	Licensed Representative (Provisional Licence)	9
FLORENTIN Clement	BNQ440	Licensed Representative (Provisional Licence)	1, 2
FLOYD Michael	APK722	Licensed Representative (Provisional Licence)	1
FONG Bianca Marques Ferreira	BUC842	Licensed Representative (Provisional Licence)	1
FU Changdao	BUB144	Licensed Representative (Provisional Licence)	1, 2
GAO Feng	BUC584	Licensed Representative (Provisional Licence)	4, 9
GAO Haojue	BUC276	Licensed Representative (Provisional Licence)	1
GAO Yuan	BUD008	Licensed Representative (Provisional Licence)	1, 6
GUO Fangdi	BUD114	Licensed Representative (Provisional Licence)	1, 2, 4
GUO Xiao	BUA216	Licensed Representative (Provisional Licence)	1
GUO XiaoHang	BUC263	Licensed Representative (Provisional Licence)	1, 6
HAN Bosong	BUD042	Licensed Representative (Provisional Licence)	1, 6
HAN Qiuchen	BUA419	Licensed Representative (Provisional Licence)	1, 2
HAN Yinsu	BTZ865	Licensed Representative (Provisional Licence)	1, 6
HE Fang	BUD451	Licensed Representative (Provisional Licence)	1, 4
HE Wenqi	BUC440	Licensed Representative (Provisional Licence)	1, 6
HE YATING	BUB018	Licensed Representative (Provisional Licence)	4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2023

CE Name	CE No.	Licence Type	Types of Regulated Activity
HO Siu Kee, David	ACH269	Licensed Representative (Provisional Licence)	1, 4, 9
HOLLEY Gregory James	BUD050	Licensed Representative (Provisional Licence)	1, 2
HON Lun Pin	BUD043	Licensed Representative (Provisional Licence)	1
HONG Zuan	BUE160	Licensed Representative (Provisional Licence)	6
HOU Wen Hsuan	BUC572	Licensed Representative (Provisional Licence)	9
HSIEH Jiun-Hong	BUC832	Licensed Representative (Provisional Licence)	1
HSU Leo Ho-wu	BUC869	Licensed Representative (Provisional Licence)	1
HU Feizhou	BUD190	Licensed Representative (Provisional Licence)	1
HU Tiange	BUB165	Licensed Representative (Provisional Licence)	9
HU Yilei	BUD821	Licensed Representative (Provisional Licence)	1, 2
HUANG Hao	BUD176	Licensed Representative (Provisional Licence)	1, 4
HUANG Wenyan	BUB285	Licensed Representative (Provisional Licence)	1, 4, 6
HUANG Xiao	BUD634	Licensed Representative (Provisional Licence)	1, 6
HUANG Ziwei	BUD410	Licensed Representative (Provisional Licence)	1, 4
HUSSAIN Abrar	BUC640	Licensed Representative (Provisional Licence)	1, 4, 6
IMAZU Nanako	AVU642	Licensed Representative (Provisional Licence)	1
JAMES Ryan Keith	BTE667	Licensed Representative (Provisional Licence)	1, 6
JI Ming	BCD281	Licensed Representative (Provisional Licence)	1
JI Xiaojie	BUE515	Licensed Representative (Provisional Licence)	1, 2, 4, 9
JI Yifan	BUB884	Licensed Representative (Provisional Licence)	1, 6
JIANG Zixin	BUD227	Licensed Representative (Provisional Licence)	1, 4, 9
JIN LING	BUD875	Licensed Representative (Provisional Licence)	1, 4, 9
JUNG Eun	BLV431	Licensed Representative (Provisional Licence)	9
JUNG Seyun	BUC444	Licensed Representative (Provisional Licence)	1, 4, 9
KABRA Aryansh Puneet	BUC894	Licensed Representative (Provisional Licence)	1, 2
KAMALARASA Achuthan	BUC707	Licensed Representative (Provisional Licence)	1, 2
KANG Kai	BUD638	Licensed Representative (Provisional Licence)	9
KAO Yi-Ting	BUC035	Licensed Representative (Provisional Licence)	4
KEERATIBUNHARN Nippitch	BUC772	Licensed Representative (Provisional Licence)	1, 4, 9
KHANNA Nonica	BUC743	Licensed Representative (Provisional Licence)	6
KHOO Pui Wun	AGO218	Licensed Representative (Provisional Licence)	1, 2, 4, 9
KIM Dong Jun	BUC160	Licensed Representative (Provisional Licence)	4
KO Chi Pang	BUC701	Licensed Representative (Provisional Licence)	1, 4
KOMORI Yuta	BUD066	Licensed Representative (Provisional Licence)	1, 4, 6
KONG Hoi Lam	BUC476	Licensed Representative (Provisional Licence)	9
KURODA Ayumu	BAF303	Licensed Representative (Provisional Licence)	9
KWAN Wang Chi, Beryl	BAD777	Licensed Representative (Provisional Licence)	4, 9
KWOK Wing Lam, Michelle	BUC314	Licensed Representative (Provisional Licence)	4, 9
KWOK Yu Sing	BUA794	Licensed Representative (Provisional Licence)	1
LAI Tsz Kit, Danny	BEW346	Licensed Representative (Provisional Licence)	4, 9
LAI Wing Suen	BUB068	Licensed Representative (Provisional Licence)	1, 9
LAKHAN Kunal Bhikaji	BUB078	Licensed Representative (Provisional Licence)	4
LAKHOTIA Tarun	BUC277	Licensed Representative (Provisional Licence)	1
LAM Chi Yan	BUF969	Licensed Representative (Provisional Licence)	1
LAU Hoi Ngai Jason	BUC450	Licensed Representative (Provisional Licence)	10
LAU Nga Kam	BUD057	Licensed Representative (Provisional Licence)	4, 9
LAU Sum Yi	BNW971	Licensed Representative (Provisional Licence)	1, 4
LAU Yan Tone	BUF594	Licensed Representative (Provisional Licence)	1
LE Jiming	BUC183	Licensed Representative (Provisional Licence)	9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2023

CE Name	CE No.	Licence Type	Types of Regulated Activity
LEE Beom Jun	AVV713	Licensed Representative (Provisional Licence)	1
LEE Ha Ram	BUC201	Licensed Representative (Provisional Licence)	1, 4
LEE Jae Chang	BUB100	Licensed Representative (Provisional Licence)	1
LEE Kyung Min	BUB297	Licensed Representative (Provisional Licence)	1, 4
LEE Natasha Ching Wan	BUC624	Licensed Representative (Provisional Licence)	1, 4, 9
LEE Sherry Yi-Hsuan	BUC437	Licensed Representative (Provisional Licence)	1
LEUNG Hang Tung	BTT599	Licensed Representative (Provisional Licence)	4, 9
LEUNG Hong Lam	BQQ723	Licensed Representative (Provisional Licence)	1, 4
LEUNG Jeff Man-jit	BUB978	Licensed Representative (Provisional Licence)	1, 2
LEUNG Ka Hei	BUC418	Licensed Representative (Provisional Licence)	1, 2
LEUNG Tsz Ning Janice	BUC679	Licensed Representative (Provisional Licence)	6
LEVRAT Jeremie Charles Cyrille	BUC639	Licensed Representative (Provisional Licence)	1, 4, 6
LI Chen	BHF473	Licensed Representative (Provisional Licence)	1
LI Hao	BUC327	Licensed Representative (Provisional Licence)	4
LI Jingyun	BUA484	Licensed Representative (Provisional Licence)	4
LI Li	BEI715	Licensed Representative (Provisional Licence)	1
LI Louiee	BUA830	Licensed Representative (Provisional Licence)	4, 9
LI Qian	BUC447	Licensed Representative (Provisional Licence)	1, 2, 4, 5
LI Wai Lun	BFT930	Licensed Representative (Provisional Licence)	1, 2
LI Xiao	BUC538	Licensed Representative (Provisional Licence)	4
LI Xueyang	BUD019	Licensed Representative (Provisional Licence)	1, 6
LI Yifan	BUA539	Licensed Representative (Provisional Licence)	1, 6
LI Yijing	BUE304	Licensed Representative (Provisional Licence)	1
LI Ying	BUB838	Licensed Representative (Provisional Licence)	1, 4, 6
LI Ying	BUC578	Licensed Representative (Provisional Licence)	1, 4, 9
LI Youdan	AUV418	Licensed Representative (Provisional Licence)	6
LI Yuxiang	BQU403	Licensed Representative (Provisional Licence)	1, 4, 9
LIANG Kar Ming , Terence	AEU222	Licensed Representative (Provisional Licence)	1, 4
LIAO Yueqing	BTY485	Licensed Representative (Provisional Licence)	1, 2, 4
LIN Ming-jung	AUG886	Licensed Representative (Provisional Licence)	1
LIN Xiaorong	BNB435	Licensed Representative (Provisional Licence)	1, 4, 6
LIU Jiayi	BUC116	Licensed Representative (Provisional Licence)	1, 6
LIU Ka Hei	BUC728	Licensed Representative (Provisional Licence)	1, 4, 9
LIU Rui	BUD301	Licensed Representative (Provisional Licence)	9
LIU Wan Yi Winnie	BNL118	Licensed Representative (Provisional Licence)	1, 2, 4
LIU Wenqi	BUC419	Licensed Representative (Provisional Licence)	1, 2
LIU Xuhan	BUB955	Licensed Representative (Provisional Licence)	1, 6
LIU Ziruo	BUC154	Licensed Representative (Provisional Licence)	1, 6
LO Chak Sum	BUD069	Licensed Representative (Provisional Licence)	1, 4, 9
LO Wan Yin	BUD934	Licensed Representative (Provisional Licence)	9
LOU Jiayi	BEV723	Licensed Representative (Provisional Licence)	9
LU Jiawei	BUB248	Licensed Representative (Provisional Licence)	1, 2, 4
LUI Yiu Cho, Samson	ABE957	Licensed Representative (Provisional Licence)	1, 4, 9
LUO Yinzi	BUE075	Licensed Representative (Provisional Licence)	6
MA CHUAN	BUB849	Licensed Representative (Provisional Licence)	4
MA Pengyu	BTZ866	Licensed Representative (Provisional Licence)	1, 6
MA Xiping	BUD326	Licensed Representative (Provisional Licence)	1, 4
MAGERAND Arnaud Eric Marcel Georges	BMX690	Licensed Representative (Provisional Licence)	2, 5
MAK King Ho	BUE223	Licensed Representative (Provisional Licence)	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2023

CE Name	CE No.	Licence Type	Types of Regulated Activity
MAK Ming Hin	BRH551	Licensed Representative (Provisional Licence)	1, 4, 9
MANOUKIAN Shante Sassoon	AXQ228	Licensed Representative (Provisional Licence)	1
MCINTOSH James David	BOF474	Licensed Representative (Provisional Licence)	1
MEHROTRA Shubhali	BUE152	Licensed Representative (Provisional Licence)	1, 2
MO Yujia	BUC195	Licensed Representative (Provisional Licence)	6
MURTAZA Mahir	BUC321	Licensed Representative (Provisional Licence)	1
NG Jody	BTN215	Licensed Representative (Provisional Licence)	1, 4, 6
NG Kelvin	BUD746	Licensed Representative (Provisional Licence)	1, 6
NGAI Ho Fung	BUC776	Licensed Representative (Provisional Licence)	1, 2
NI Wei-i	BFC747	Licensed Representative (Provisional Licence)	1
NOMOTO Masayuki	AXA146	Licensed Representative (Provisional Licence)	1, 4, 6
PARK Jun Hyun	BUF491	Licensed Representative (Provisional Licence)	1, 4, 9
PERIHAL Sudeep	BSP584	Licensed Representative (Provisional Licence)	1, 4
POON Chok Kei	BIR704	Licensed Representative (Provisional Licence)	9
POON Ka Yee Joanna	AGL868	Licensed Representative (Provisional Licence)	1, 4
PRACEY-SMITH Robert Dowden	APU806	Licensed Representative (Provisional Licence)	4, 5, 9
QI Longyu	BUB951	Licensed Representative (Provisional Licence)	1, 4, 9
QIAN Hao	BHC779	Licensed Representative (Provisional Licence)	4
QIU Yue	BUC122	Licensed Representative (Provisional Licence)	1, 4, 9
RAJA ALI Raja Nur Aqilah	BUC638	Licensed Representative (Provisional Licence)	4
REN Fei	BUA941	Licensed Representative (Provisional Licence)	6
REN Qinglian	BUD904	Licensed Representative (Provisional Licence)	1, 6
REN Yida	BUC143	Licensed Representative (Provisional Licence)	6
REN Yutong	BUD474	Licensed Representative (Provisional Licence)	1
RHEE Jason	BUC779	Licensed Representative (Provisional Licence)	1, 4
RUAN Zhenjie	BUE261	Licensed Representative (Provisional Licence)	9
SALIBA Marc Louis Rene	BUC281	Licensed Representative (Provisional Licence)	1
SANO Takashi	BTY256	Licensed Representative (Provisional Licence)	1, 2, 4
SAWICKI Anita Michelle	BUD189	Licensed Representative (Provisional Licence)	4
SHAN Shining	BUD861	Licensed Representative (Provisional Licence)	1, 4
SHEN Xin	BGH442	Licensed Representative (Provisional Licence)	1, 6
SHIN Dong Cheol	BUC621	Licensed Representative (Provisional Licence)	4
SHIVANI Shreya	BUC159	Licensed Representative (Provisional Licence)	4
SHUEN Pik Ying	BHP835	Licensed Representative (Provisional Licence)	1
SLEIPNES Erik Aake Oeivind	BUD495	Licensed Representative (Provisional Licence)	9
SOHN Jung Ho	BUE016	Licensed Representative (Provisional Licence)	1, 2
SPITTY Luke Robert Soltesz	BUC567	Licensed Representative (Provisional Licence)	1, 4, 6
SUEN Lai Lilian	BUD467	Licensed Representative (Provisional Licence)	1
SUN Jiahao	BUC351	Licensed Representative (Provisional Licence)	1, 4
SUN Yimeng	BUA142	Licensed Representative (Provisional Licence)	1, 6
SUN Yue	BUD979	Licensed Representative (Provisional Licence)	1, 6
SWARBRECK Peter William	ANW415	Licensed Representative (Provisional Licence)	1, 4
TAI Ka Chun	BUB024	Licensed Representative (Provisional Licence)	1, 4
TAKANO Hiroki	BUE088	Licensed Representative (Provisional Licence)	1
TAN DICKSON DI SERN	BUA575	Licensed Representative (Provisional Licence)	1, 4
TANG Yan	BUB942	Licensed Representative (Provisional Licence)	1, 4
TANG Yuehua	BUE644	Licensed Representative (Provisional Licence)	1, 2
TANG Yuxin	BUD788	Licensed Representative (Provisional Licence)	4
TEO Jie Ling	BUD045	Licensed Representative (Provisional Licence)	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2023

CE Name	CE No.	Licence Type	Types of Regulated Activity
THAIN Li Ean	BUC278	Licensed Representative (Provisional Licence)	1
TO Kwan Chun Stephen	BTT937	Licensed Representative (Provisional Licence)	9
TSANG To	BUC162	Licensed Representative (Provisional Licence)	4
TSE Siu Ming	BUD535	Licensed Representative (Provisional Licence)	1, 4
TSENG Shih-Ju	BSC874	Licensed Representative (Provisional Licence)	1, 4, 9
TUNG Pei Chun	BUD494	Licensed Representative (Provisional Licence)	4
WAN Stafani Ai Tong	BUC825	Licensed Representative (Provisional Licence)	1, 2
WANG Anyi	BTZ961	Licensed Representative (Provisional Licence)	1, 6
WANG Fu Cheng David Loick	BUC484	Licensed Representative (Provisional Licence)	1, 4
WANG Haocheng	BGK896	Licensed Representative (Provisional Licence)	1, 4
WANG Haoyi	BUC708	Licensed Representative (Provisional Licence)	1, 6
WANG Hubo	BUA553	Licensed Representative (Provisional Licence)	1, 4
WANG Lingzhi	BUE158	Licensed Representative (Provisional Licence)	4
WANG Qichen	BUB304	Licensed Representative (Provisional Licence)	1, 6
WANG Xiao Ting	BUE156	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Xing	BUC499	Licensed Representative (Provisional Licence)	4
WANG Yanli	BUB993	Licensed Representative (Provisional Licence)	1, 4
WANG Yanze	BRU906	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Yupeng	BSZ500	Licensed Representative (Provisional Licence)	1, 2, 4
WANG Zhiyu	BUD993	Licensed Representative (Provisional Licence)	6
WONG Hiu Ngan	BUD064	Licensed Representative (Provisional Licence)	1, 4
WONG Kin Shing	BUD414	Licensed Representative (Provisional Licence)	1, 4, 9
WONG King Chun	BUB194	Licensed Representative (Provisional Licence)	1, 4
WONG Tsz Chun	BUC460	Licensed Representative (Provisional Licence)	1, 2
WONG Voon-Chung	APA193	Licensed Representative (Provisional Licence)	1
WONG YAT HEI	BUA070	Licensed Representative (Provisional Licence)	1, 4
WU Jiazhen	BUB169	Licensed Representative (Provisional Licence)	1, 4, 9
WU Pei	BUC758	Licensed Representative (Provisional Licence)	4, 9
WU Qinlei	BUD005	Licensed Representative (Provisional Licence)	1, 4, 6
WU Wenting	BUB209	Licensed Representative (Provisional Licence)	1
WU Yijun	BUA985	Licensed Representative (Provisional Licence)	1, 4
WU Zijng	BUE173	Licensed Representative (Provisional Licence)	4, 9
XIA Yameng	BSS651	Licensed Representative (Provisional Licence)	4
XIAO YAO	BUD867	Licensed Representative (Provisional Licence)	1, 6
XIE Chengxi	BTZ962	Licensed Representative (Provisional Licence)	1, 6
XIE KHELIFA-MAHADJOUBI Xiaoying	BUE363	Licensed Representative (Provisional Licence)	1
XING Kaifang	BUC158	Licensed Representative (Provisional Licence)	1, 2
XIONG Shijie	BUC271	Licensed Representative (Provisional Licence)	1, 6
XU Jinfei	BUD028	Licensed Representative (Provisional Licence)	1
XU Luke Z	BUC274	Licensed Representative (Provisional Licence)	1, 4, 9
XU Qianru	AXR267	Licensed Representative (Provisional Licence)	1
XU Siyu	BUC157	Licensed Representative (Provisional Licence)	1, 2
XU Xiaoyan	BUD026	Licensed Representative (Provisional Licence)	4
XUE Mengqi	BUD191	Licensed Representative (Provisional Licence)	1, 4, 6
YANG Andi	BUC123	Licensed Representative (Provisional Licence)	1, 4
YANG Rongao	BUC863	Licensed Representative (Provisional Licence)	1, 4, 9
YANG Seungju	BFX291	Licensed Representative (Provisional Licence)	1, 4
YANG Shuo	BUD079	Licensed Representative (Provisional Licence)	1, 6
YANG Wenwan	BUD859	Licensed Representative (Provisional Licence)	1, 2, 9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2023

CE Name	CE No.	Licence Type	Types of Regulated Activity
YANG Ya-Wen	BUD692	Licensed Representative (Provisional Licence)	4
YANG Yilin	BUA205	Licensed Representative (Provisional Licence)	1, 6
YANG Zhihui	BUB051	Licensed Representative (Provisional Licence)	1, 2, 4
YAP Paul Ee Xing	BOW664	Licensed Representative (Provisional Licence)	4
YEUNG Ka Tsun	BTO104	Licensed Representative (Provisional Licence)	1, 4, 6
YEUNG Ying Ying	BUC623	Licensed Representative (Provisional Licence)	1, 7
YI Yi	BUC773	Licensed Representative (Provisional Licence)	10
YIP Ho Pak	BFU720	Licensed Representative (Provisional Licence)	1
YOSHIDA Yu	BUC166	Licensed Representative (Provisional Licence)	4
YU Hui	AYS989	Licensed Representative (Provisional Licence)	1, 6
YU Liang	BQM630	Licensed Representative (Provisional Licence)	1, 4, 6
YU Mingyuan	BUD116	Licensed Representative (Provisional Licence)	1, 4, 6
YU Richard Anthony	ADS223	Licensed Representative (Provisional Licence)	1
YU Shuoyi	BUB198	Licensed Representative (Provisional Licence)	1, 4
YU Xiaobin	BUF928	Licensed Representative (Provisional Licence)	4, 9
YU Yiting	BUA690	Licensed Representative (Provisional Licence)	1, 2
YUNG Jennifer Wai Luen	BUB177	Licensed Representative (Provisional Licence)	6
ZENG Hongbiao	BUC943	Licensed Representative (Provisional Licence)	1, 2
ZENG Jiaqi	BUF341	Licensed Representative (Provisional Licence)	4, 5, 9
ZHAI Ling	BUC685	Licensed Representative (Provisional Licence)	1, 2, 4
ZHAN Chao	BUA602	Licensed Representative (Provisional Licence)	1, 6
ZHANG AO	BUD908	Licensed Representative (Provisional Licence)	2
ZHANG Chunmei	AKO867	Licensed Representative (Provisional Licence)	1
ZHANG Jiao	BUC370	Licensed Representative (Provisional Licence)	1, 4
ZHANG Jinwu	BUD203	Licensed Representative (Provisional Licence)	1, 4, 9
ZHANG Liyun	BUD142	Licensed Representative (Provisional Licence)	4
ZHANG Qun	BUF439	Licensed Representative (Provisional Licence)	1
ZHANG Tianliang	BRW305	Licensed Representative (Provisional Licence)	1, 4, 9
ZHANG Xiaolu	BUD850	Licensed Representative (Provisional Licence)	1, 2
ZHANG Xiduo	BUD016	Licensed Representative (Provisional Licence)	1
ZHANG Xinmiao	BUC210	Licensed Representative (Provisional Licence)	6
ZHANG Xinxin	BUD128	Licensed Representative (Provisional Licence)	4, 9
ZHANG Yezhi	BUA588	Licensed Representative (Provisional Licence)	1, 2
ZHANG Yichi	AQF007	Licensed Representative (Provisional Licence)	1, 4
ZHANG Yidong	BOS630	Licensed Representative (Provisional Licence)	1, 4
ZHANG Yue Yang	BII279	Licensed Representative (Provisional Licence)	1, 4
ZHANG Yuling	BTP203	Licensed Representative (Provisional Licence)	1, 4
ZHANG Yunwei	BUC677	Licensed Representative (Provisional Licence)	1, 6
ZHAO Chenhao	BUA489	Licensed Representative (Provisional Licence)	1, 2, 4
ZHAO Di	BRJ887	Licensed Representative (Provisional Licence)	4, 9
ZHAO Hanqing	BUD761	Licensed Representative (Provisional Licence)	4, 9
ZHAO Ji	BRT383	Licensed Representative (Provisional Licence)	9
ZHAO Yingyi	BUB801	Licensed Representative (Provisional Licence)	1, 6
ZHAO Zhiyuan	BUD943	Licensed Representative (Provisional Licence)	1, 4
ZHOU Jialin	BUB273	Licensed Representative (Provisional Licence)	6
ZHOU Ling	BUD070	Licensed Representative (Provisional Licence)	4
ZHOU Ye	BUC577	Licensed Representative (Provisional Licence)	4
ZHU Bowen	BRN923	Licensed Representative (Provisional Licence)	1, 6
ZHU Haiyan	BJP213	Licensed Representative (Provisional Licence)	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2023

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHUANG Qianzhi	BUA362	Licensed Representative (Provisional Licence)	1, 2, 4
AGARWAL Virat	BGU565	Licensed Representative (Temporary Licence)	1
AGARWAL Vismaya	BTV418	Licensed Representative (Temporary Licence)	4
AKINTEWE Kenneth Adeyemi	BUD346	Licensed Representative (Temporary Licence)	1
ANTROBUS Oliver John	AWT520	Licensed Representative (Temporary Licence)	4
BARBERA Antonio	BUD830	Licensed Representative (Temporary Licence)	1, 4
BARON Anna Kathleen	BPK090	Licensed Representative (Temporary Licence)	1
BATES Peter John	AXI123	Licensed Representative (Temporary Licence)	4
BELL Robert John Gordon	BUD976	Licensed Representative (Temporary Licence)	1
BERKIEN Ralph	BUF395	Licensed Representative (Temporary Licence)	1
CHADHA Dushyant	BIE137	Licensed Representative (Temporary Licence)	1
CHENG Yun	BUD978	Licensed Representative (Temporary Licence)	1
CHNG Ernest Yong Cheng	BUE252	Licensed Representative (Temporary Licence)	1
COLEMAN Lisa Maria	ANN077	Licensed Representative (Temporary Licence)	4, 5
CONTE Francesco	BUG212	Licensed Representative (Temporary Licence)	1, 4
DAVEY Alexander Rupert Gabriel	AQS278	Licensed Representative (Temporary Licence)	1, 4
DE GUZMAN Anthony Romeo	BKK013	Licensed Representative (Temporary Licence)	1
DE SANTO JR WILLIAM ALLAN	BUF942	Licensed Representative (Temporary Licence)	1, 4
DUITZ Joshua Eric	BUD585	Licensed Representative (Temporary Licence)	1
DUNGER Matthew John	BFF148	Licensed Representative (Temporary Licence)	4
ENOMOTO Takashi	BAZ545	Licensed Representative (Temporary Licence)	4
FLETCHER David Alan Fraser	BOI772	Licensed Representative (Temporary Licence)	1, 4
FURUKAWA Makoto	APA389	Licensed Representative (Temporary Licence)	1
GUILLIARD Joshua Thomas	BUF760	Licensed Representative (Temporary Licence)	1, 2
HAN Xuyi	BNT117	Licensed Representative (Temporary Licence)	2, 5
HENDRIKSE Harald Christiaan	BEV944	Licensed Representative (Temporary Licence)	4
HORNE Martin Scott	BNR304	Licensed Representative (Temporary Licence)	1, 4
HSU Hui-Chao	BUF312	Licensed Representative (Temporary Licence)	4
HULSE Eli Brooks	BTF773	Licensed Representative (Temporary Licence)	1
HYMAN Michael David	BUE532	Licensed Representative (Temporary Licence)	4
JAMES Alun Richard	BBU307	Licensed Representative (Temporary Licence)	1, 2
JUNG Ho Yoon	BOH718	Licensed Representative (Temporary Licence)	4
KANG Yoojin	BUF502	Licensed Representative (Temporary Licence)	1
KANODIA Ashish	BTV404	Licensed Representative (Temporary Licence)	4
KEENE Michael Donald	BOZ245	Licensed Representative (Temporary Licence)	1
KIM Jinwoo	BGY980	Licensed Representative (Temporary Licence)	4
KISHIDA Naoaki	BBG395	Licensed Representative (Temporary Licence)	2, 5
KLEIN Andreas	BUF670	Licensed Representative (Temporary Licence)	1
LANGE Julien	BGC442	Licensed Representative (Temporary Licence)	1, 2
LEE Kyu Lim	BTM945	Licensed Representative (Temporary Licence)	1
LEVERETT Ivan John	BJL767	Licensed Representative (Temporary Licence)	2, 5
LIANG Nan	BUE463	Licensed Representative (Temporary Licence)	4
LIM Chuan Ji	BTQ114	Licensed Representative (Temporary Licence)	1
LIU Wei Pam	BUD586	Licensed Representative (Temporary Licence)	1
LOCK Timothy James	AXQ297	Licensed Representative (Temporary Licence)	1, 2
LOCK William David	BUG415	Licensed Representative (Temporary Licence)	1
LORD James Kenji	BAX712	Licensed Representative (Temporary Licence)	4, 5
LOVE James Joseph	BUE070	Licensed Representative (Temporary Licence)	1
MCCLURG Scott	BTO338	Licensed Representative (Temporary Licence)	1, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2023

CE Name	CE No.	License Type	Types of Regulated Activity
MCCUTCHEON Kate Elizabeth	BTC188	Licensed Representative (Temporary Licence)	4
MCTAGGART Paul Joseph	AUW886	Licensed Representative (Temporary Licence)	4
MCVIE Robert John	AXV530	Licensed Representative (Temporary Licence)	1
MEYI Edward Louis	BUC096	Licensed Representative (Temporary Licence)	1, 4
MOHEDAS Agustin Humberto	BUD912	Licensed Representative (Temporary Licence)	1, 4
MULLER Eric David	BUF412	Licensed Representative (Temporary Licence)	1
MURAKAMI Masayuki	BEJ779	Licensed Representative (Temporary Licence)	1
MURATA Alfred Taketo	BLE987	Licensed Representative (Temporary Licence)	1, 4
NAITO Takayuki	BMJ733	Licensed Representative (Temporary Licence)	4
NAKAMURA Shinichiro	BTB828	Licensed Representative (Temporary Licence)	4
NAKAZAWA Sho	BUE067	Licensed Representative (Temporary Licence)	1
NISHIMURA Seiji	BLJ206	Licensed Representative (Temporary Licence)	1
NIWA Koichi	AUW702	Licensed Representative (Temporary Licence)	4
PACCIONE JR Joseph	BUE316	Licensed Representative (Temporary Licence)	2, 5
PETROVCIK Kevin Patrick	AZL215	Licensed Representative (Temporary Licence)	4
PRINCE Alexander Gilbert	AXH803	Licensed Representative (Temporary Licence)	1, 2
PUSZ Zuzanna Barbara	BPC493	Licensed Representative (Temporary Licence)	4
REYL-ANTONIE Caroline Sophie	BUE658	Licensed Representative (Temporary Licence)	1
RIS Hauke Jan	BUC686	Licensed Representative (Temporary Licence)	1
RIZZO Dominic Gerado	BUB283	Licensed Representative (Temporary Licence)	4
ROSS Peter James	BTW223	Licensed Representative (Temporary Licence)	1, 2
RUSSELL Scott Gerald	APU452	Licensed Representative (Temporary Licence)	4
SACCO Alexander David	BMH784	Licensed Representative (Temporary Licence)	1
SALGAONKAR Sachin	ANF161	Licensed Representative (Temporary Licence)	4
SHARMA Arvind	BOA566	Licensed Representative (Temporary Licence)	4
SINGHAL Nikita Sunil	BUE137	Licensed Representative (Temporary Licence)	1, 4
SOLOMON Scott David	BUB227	Licensed Representative (Temporary Licence)	4
STREET Lee Alexander	AUE090	Licensed Representative (Temporary Licence)	4
SYMES Michael Anthony	BDO449	Licensed Representative (Temporary Licence)	1
TAKATSUKI Yo	BUG205	Licensed Representative (Temporary Licence)	1, 4
TAN Ren Feng Lorraine	BTK927	Licensed Representative (Temporary Licence)	2, 5
TAN Yong Hong	BTX517	Licensed Representative (Temporary Licence)	4
TIBALDI Susy	BUC473	Licensed Representative (Temporary Licence)	4
TIWARI Atul Kumar	BDT253	Licensed Representative (Temporary Licence)	4
TODD David Robert	BJA909	Licensed Representative (Temporary Licence)	4
VAN RIEMSDIJK Adrianus Gijsbertus	BUF742	Licensed Representative (Temporary Licence)	1, 4
VAULKHARD Alexander Charles	BUC343	Licensed Representative (Temporary Licence)	1, 4
VERSTAPPEN Theodorus Mechtildis Franciscus	BEW818	Licensed Representative (Temporary Licence)	1
WANG Ziyuan	BUF441	Licensed Representative (Temporary Licence)	4
WATANABE Ritsuo	BAZ846	Licensed Representative (Temporary Licence)	4
WATANABE Shino	BDR566	Licensed Representative (Temporary Licence)	1
WEHNER Holger	BTO573	Licensed Representative (Temporary Licence)	1
YEOM Dong Chan	BUG183	Licensed Representative (Temporary Licence)	4