

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2023

CE Name	CE No.	Licence Type	Types of Regulated Activity
Bright Fund Management Limited	AAI432	Licensed Corporation	1, 4, 9
Chimaera Financial Group Limited	ABV029	Licensed Corporation	4, 9
Corres International HK Co., Limited	BLN406	Licensed Corporation	1
Forestone (HK) Asset Management Limited	BOJ562	Licensed Corporation	9
Forwin Futures Group Limited	BHB627	Licensed Corporation	2
Granville Financial Services Company Limited	BJH949	Licensed Corporation	1, 2, 9
North Sea Securities Limited	ACI593	Licensed Corporation	1
Picea Investment Management Limited	BPA141	Licensed Corporation	9
SBIA (HK) Limited	BQG460	Licensed Corporation	1
Snow Lake Capital (HK) Limited	AXI102	Licensed Corporation	4, 9
Solitude Limited	BGD867	Licensed Corporation	9
SPS Commodities Limited	ACP074	Licensed Corporation	2
Worldwide Brokerage Limited	AEV166	Licensed Corporation	1
ALDANA ROVELO Sabrina Itzel	BEE040	Licensed Representative	1
ARORA Anvita	BMV515	Licensed Representative	1, 4, 6
AU Chun Tung	BJE569	Licensed Representative	1, 6
BAALBAKI Wissam	BSZ516	Licensed Representative	1
BALL Timothy Edward	APL603	Licensed Representative	9
BHAIYA Nilesh	BSI310	Licensed Representative	4
BRACH Loic Yves Aurelien	BNP881	Licensed Representative	1, 4, 9
CAI Jiapan	BOP942	Licensed Representative	1, 2
CAI Xi	BRX906	Licensed Representative	1
CAI Xiaoxiang	AVX210	Licensed Representative	1, 4, 6
CAO Qi	ANH530	Licensed Representative	1
CHAN Bonnie Chiao Nien	AOQ884	Licensed Representative	4, 9
CHAN Evelyn Hoi Yan	BSC919	Licensed Representative	4, 9
CHAN Ka Wai	BOF448	Licensed Representative	1, 4, 9
CHAN Ki Fung	BLI064	Licensed Representative	1, 2, 4
CHAN Kwok Ho, Kenneth	ADT909	Licensed Representative	4
CHAN Laam Chi	AXE835	Licensed Representative	6
CHAN Lam Wai	BEC590	Licensed Representative	1, 4
CHAN Po	BRY473	Licensed Representative	2
CHAN See Lim	ASD481	Licensed Representative	1, 4
CHAN Siu Chung	BDH698	Licensed Representative	1
CHAN Tak Wai	AOO823	Licensed Representative	1
CHAN Tammy	BLP888	Licensed Representative	1, 2
CHAN Wing Him	BQB035	Licensed Representative	1, 4
CHAN Wing Pai	AAD166	Licensed Representative	1
CHAN Wing Yan	BSD262	Licensed Representative	1, 4
CHAN Yip Pang	BHV392	Licensed Representative	6
CHAN Yue Tak, Andrew	BIO509	Licensed Representative	1, 4
CHANG Brian Hongwei	ACX821	Licensed Representative	4, 9
CHANG Sung-Liang	ASD810	Licensed Representative	1, 4
CHARPENTIER Laurane Morgane Milene	BOA489	Licensed Representative	1
CHAUDET Marc-Antoine	BPV270	Licensed Representative	2, 5
CHEN Cheng	BCS506	Licensed Representative	1, 4, 6
CHEN Ching Yu	BRL992	Licensed Representative	9
CHEN Fang	BOK895	Licensed Representative	1, 6
CHEN Hanzhu	BRT130	Licensed Representative	10

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2023

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHEN Li	BOF799	Licensed Representative	1, 6
CHEN Liang	BPK472	Licensed Representative	1
CHEN Lijuan	BRW417	Licensed Representative	1, 6
CHEN Shiwen	BRW124	Licensed Representative	1
CHEN Siwei	BRI243	Licensed Representative	1, 4, 9
CHEN Tianren	BSR264	Licensed Representative	1
CHEN Xue	BRF508	Licensed Representative	4
CHEN Yixin	BRS785	Licensed Representative	1, 4
CHEN Zefeng	BTD792	Licensed Representative	1, 6
CHENG Cho Yi	BSY307	Licensed Representative	9
CHENG Xinyu	BQB301	Licensed Representative	1, 6
CHENG Ying Chit, Richard	AAE352	Licensed Representative	1
CHEUNG Ellen Ngar Yan	AQV671	Licensed Representative	1, 2
CHEUNG Hiu Lam	BRP433	Licensed Representative	4, 9
CHEUNG Kam Wai	BQN782	Licensed Representative	1
CHEUNG Pui Ka Shelley	BHU481	Licensed Representative	1, 2
CHEUNG Siu Man	AED162	Licensed Representative	1, 4
CHEUNG Tsz Yui	BFE228	Licensed Representative	1, 6
CHEUNG Wing Lam	ATM802	Licensed Representative	1, 4, 9
CHIE Chi Hon	BRP896	Licensed Representative	1
CHIK Ho Ying	BTC094	Licensed Representative	1
CHIM Dixon	BNV619	Licensed Representative	1, 2, 3, 4
CHIN Chiuen Chou Gabriel	BQU853	Licensed Representative	2, 5
CHIU Pui Yi	BLU763	Licensed Representative	1
CHIU Sin Ming, Jason	AAG557	Licensed Representative	1
CHIU Suet Yi	BQZ963	Licensed Representative	1
CHIU Wai Lam Sean	BTG776	Licensed Representative	1
CHOI Hiu Ting	BPK447	Licensed Representative	1, 4, 9
CHOI Ho Yin	AGD043	Licensed Representative	1, 4
CHOI Sharly Yee Wan	ALD136	Licensed Representative	1, 2
CHOU Chi An	BNV282	Licensed Representative	4
CHOW Ka Yee	BFS087	Licensed Representative	1, 4
CHOW Yan, Inez	ADX379	Licensed Representative	1, 4, 9
CHOY Phoebe Sin Ting	AWJ867	Licensed Representative	1, 7
CHU Man Fai	BMR126	Licensed Representative	1, 4
CHU Man Yi	ANI906	Licensed Representative	1, 4
CHUAH Hong Leng	AXD735	Licensed Representative	1
CHUI Pun Chiu	ABV675	Licensed Representative	1, 4, 9
CHUI Wai Hang	AGN446	Licensed Representative	1, 9
CHUNG ON LEUNG ANTHONY	BTQ545	Licensed Representative	9
CORONES Georgia Kate	BDV251	Licensed Representative	1, 2
DESAI Dhaval Pravinkumar	AUG328	Licensed Representative	1, 2
DEWAN Sukrit	BFC653	Licensed Representative	1, 4, 6
DIEC Kwan Yu, Christie	BBM842	Licensed Representative	1, 4
DING Zixuan	BOP617	Licensed Representative	1, 6
DOWNING Christopher Thomas	BJC238	Licensed Representative	1, 4, 9
FAN Shaoli	ALB271	Licensed Representative	6
FATEHPURIA Chaitanya	BNA803	Licensed Representative	9
FONG Anthony Chek Hien	BPN271	Licensed Representative	9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2023

CE Name	CE No.	Licence Type	Types of Regulated Activity
FOURNIER James Edward	BQI513	Licensed Representative	9
GAO Chang	BSU691	Licensed Representative	1, 6
GAO Zonghao	BRP117	Licensed Representative	1, 6
GARG Vipul	BHB628	Licensed Representative	2, 5
GOODRIDGE Mark Steven	AXG151	Licensed Representative	1, 4
GOTSKAYA Tatiana	BPY745	Licensed Representative	1, 4
GRIVEAU Alain Henri Jean-paul	BPR586	Licensed Representative	1, 2
GU Zhenyang	BTC022	Licensed Representative	1, 4, 6
GUEGAN Vianney Eric	AZI601	Licensed Representative	1
GUO Gunan	BKW982	Licensed Representative	4, 9
GUO Yuning	BRP119	Licensed Representative	1, 6
HE Liang	ARR521	Licensed Representative	4
HE Wanjun	BMQ083	Licensed Representative	1
HEDDAD Moncef	BEC605	Licensed Representative	9
HIGGINS Timothy George	BSG050	Licensed Representative	9
HO Jonathan Godfrey	BRU625	Licensed Representative	1, 4
HO Ka Man	ARV887	Licensed Representative	1, 2
HO Po Kee	BCF701	Licensed Representative	1, 4, 9
HONG Tao	BNV287	Licensed Representative	4
HSIEH Tiffany	ATT782	Licensed Representative	1, 4
HU Jiarong	BRX899	Licensed Representative	1, 6
HU Joy Lan-Ya	AFV219	Licensed Representative	1, 2
HU Shiwei	BRI580	Licensed Representative	4
HUANG Guanyu	BOF489	Licensed Representative	1
HUANG Huang	BBY197	Licensed Representative	1, 4, 6
HUANG Jianwen	BTT621	Licensed Representative	1, 4, 9
HUANG Zijun	BOT613	Licensed Representative	1, 4, 9
HUI Hon Yuen, Benedict	AGQ352	Licensed Representative	1, 2, 4, 5
HUI Ying Ying	AZV931	Licensed Representative	4, 5, 9
HUO Dengjin	BAN046	Licensed Representative	1, 4
JAKELIC Davor	AMJ577	Licensed Representative	9
JHA Satyarth	BRR458	Licensed Representative	1, 4, 6
JIANG Han	BRF347	Licensed Representative	1, 4, 6
JIANG Shangnan	BOH532	Licensed Representative	1, 2
JIANG Siyuan	BTO795	Licensed Representative	6
JIANG Yun	BRE741	Licensed Representative	1
JIANG Zu Yao	AMZ980	Licensed Representative	1, 6
JIM Wing Han	BPC242	Licensed Representative	1
JIN Fengyi	BPN524	Licensed Representative	1, 4, 6
KAM Chor Wai	BLL144	Licensed Representative	1, 6
KAMATHAM Vamsi Krishna	BRS394	Licensed Representative	1, 4, 6
KANCHERLA Pruthvi	BQC261	Licensed Representative	1, 2
KANG Jia	BRG116	Licensed Representative	1, 4, 6
KEHRES Robert Allen	BRR792	Licensed Representative	4, 9
KHAIRPURI Prashant Kumar	BOQ804	Licensed Representative	1, 2, 4, 5
KIM David Jaemin	AMC260	Licensed Representative	1, 9
KIM Yoon Hyun	BPW560	Licensed Representative	1, 2
KIM Yoon Seung	ANW847	Licensed Representative	1, 4
KO Chin Leuk Ivan	BSX556	Licensed Representative	4, 9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2023

CE Name	CE No.	Licence Type	Types of Regulated Activity
KONG Kar Wai, Thomas	BBZ222	Licensed Representative	1, 2
KURODA Kentaro	BOJ811	Licensed Representative	1, 4
KWEK Lee Lian, Sabrina	ANZ526	Licensed Representative	1, 4
KWOK Kwai Ming Natalie	BLD320	Licensed Representative	1
KWOK Shing Him Bryan	BQM118	Licensed Representative	6
KWOK Tsz Kiu	BET772	Licensed Representative	1, 4, 6
KWOK Wing Ka, Stephanie	BNA768	Licensed Representative	1, 6
LAI Hau Ming	AUQ291	Licensed Representative	4, 9
LAI WEINA	BPG758	Licensed Representative	1, 4, 9
LALAU Adrien Noel Dominique Marie	BOS224	Licensed Representative	1, 4
LAM Chi Ho	BHY523	Licensed Representative	9
LAM Ho Ting	BTB838	Licensed Representative	1, 4
LAM Ho Wang	BTW450	Licensed Representative	4
LAM Idy Mei Po	BRZ034	Licensed Representative	1
LAM Pak Fung	BFT006	Licensed Representative	1, 6
LAM Wai Yee Cammy	AST275	Licensed Representative	1, 4, 6
LAM Yat Fung	BR0062	Licensed Representative	6
LAU Chi Toa	AFC442	Licensed Representative	1
LAU Tsz Wa	BMO692	Licensed Representative	1, 4
LAU Wing Yip, Thomas	AFY003	Licensed Representative	1
LAUNGANI Neel	ADQ008	Licensed Representative	1, 4, 6
LAW Tsz Yan	BPM584	Licensed Representative	1, 2, 4
LEE Chin Long	AIU214	Licensed Representative	1, 2, 3, 9
LEE Cho Ki	BRU851	Licensed Representative	1, 4
LEE Gilyoung	BSH960	Licensed Representative	1, 9
LEE Ka Wah	AWU583	Licensed Representative	2
LEE Man Tao	ACB660	Licensed Representative	1, 2
LEE Michael Le Jin	BRI082	Licensed Representative	1, 4
LEE Seunghwan	AYA209	Licensed Representative	1, 4
LEE Sooho	BNO784	Licensed Representative	1, 4
LEE Wai Yi	BRR459	Licensed Representative	1, 4, 9
LEE Wing Sang	ADW993	Licensed Representative	1
LEE YUK CHUN	BUE324	Licensed Representative	1
LEI Nelson	BPQ275	Licensed Representative	4, 9
LEUNG Boe Yu Bonnie	AZS024	Licensed Representative	1, 4, 9
LEUNG Chi Wai	BTH772	Licensed Representative	9
LEUNG Hing Tak	BNI150	Licensed Representative	1, 2, 4
LEUNG Man Hei	BQI135	Licensed Representative	1, 2, 4
LEUNG Shu Tak	AWM894	Licensed Representative	1
LEUNG Wing Yan	BSQ393	Licensed Representative	1, 4
LI Colin Yi	BPR494	Licensed Representative	1, 2, 4, 9
LI Fangyun	BPD939	Licensed Representative	1, 6
LI Ho Lung	BTR584	Licensed Representative	4, 9
LI Hoi Yin	AQP645	Licensed Representative	1
LI Jiachen	BNY381	Licensed Representative	1, 2, 4
LI Jiaheng	BOR665	Licensed Representative	1, 6
LI Jiayuan	BOZ224	Licensed Representative	9
LI Jinhang	BRS208	Licensed Representative	1, 4, 6
LI Longjin	BIY462	Licensed Representative	1, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2023

CE Name	CE No.	Licence Type	Types of Regulated Activity
LI Po Yi	AWQ112	Licensed Representative	9
LI Sha Na	BRU777	Licensed Representative	1, 4
LI Xinyi	BPG511	Licensed Representative	1
LI Xinyu	BSE935	Licensed Representative	1, 4, 6
LI Xuelai	BRH417	Licensed Representative	1, 4
LI Yuen Shan	AEC896	Licensed Representative	1, 4
LI Yung Yee, Vic	AEZ263	Licensed Representative	1, 4, 9
LI Zhun	AQM198	Licensed Representative	4, 9
LIN Aiming	BQQ388	Licensed Representative	4, 9
LIN Chi Yu	BJO319	Licensed Representative	1, 2
LIN Ruobing	BJE183	Licensed Representative	1, 4, 6
LIN Tao	BRA862	Licensed Representative	1, 6
LIN Tiantian	BIB398	Licensed Representative	6
LIN Xiangyu	BTO103	Licensed Representative	9
LIN Yuechen	BBR330	Licensed Representative	1, 6
LIU Cham Yin	BEF801	Licensed Representative	4, 5, 9
LIU Diana	BNF050	Licensed Representative	1, 4, 6
LIU Jiali	BRP098	Licensed Representative	4, 9
LIU Jiaqi	BGH444	Licensed Representative	1, 6
LIU Jingjing	BJU598	Licensed Representative	1
LIU Kuibo	BSH947	Licensed Representative	1, 6
LIU Pit Hong	BTB408	Licensed Representative	1
LIU Po Yu	BKY981	Licensed Representative	1, 2
LIU Qiang	BPH668	Licensed Representative	4, 9
LIU Shanshan	BEC391	Licensed Representative	1, 4, 6
LIU Wencheng	AYR226	Licensed Representative	6
LIU Xing Ling	AKJ153	Licensed Representative	1
LIU Xiuping	BRP099	Licensed Representative	1, 2, 4, 9
LIU Youhua	ATW985	Licensed Representative	1, 2
LIU Yu Chun	BNU044	Licensed Representative	1, 4, 5, 9
LO Ka Lun Kelvin	BRK547	Licensed Representative	1, 2, 4, 9
LO Kar Chung William	BJM127	Licensed Representative	1, 2
LO Yuet Mui	AEU640	Licensed Representative	1
LU Hailei	BIC117	Licensed Representative	1, 6
LU Xiaohao	BHF484	Licensed Representative	6
LU Yue	BPY460	Licensed Representative	1, 6
LUI Ka Lok	AHS936	Licensed Representative	1, 9
LUI Leslie	BQG251	Licensed Representative	1, 2
LUK Leung Man, Stanley	ACT761	Licensed Representative	9
LUK Siu Cheung	ARP313	Licensed Representative	1, 4
LUK Wilson	ABY131	Licensed Representative	1
LUM Paula	BOJ356	Licensed Representative	1
LUN Wai Ho, Joseph	AOU493	Licensed Representative	6
LUO Yafang	BSW643	Licensed Representative	1, 6
MA Kin Nok	BSR280	Licensed Representative	1
MA Yanjie	ANP482	Licensed Representative	6
MAK Ho Ming	BAJ419	Licensed Representative	1, 9
MAK Kui Ming, Maximilian	AMN847	Licensed Representative	1
MAN Lai Sun	AJE796	Licensed Representative	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2023

CE Name	CE No.	Licence Type	Types of Regulated Activity
MATHUR Puneet	BLE907	Licensed Representative	2, 5
MEHTA Rubin	AVI919	Licensed Representative	1, 4, 6
MO Pak Hei	BMV678	Licensed Representative	1
MOHAMMAD Sajjad	BDM517	Licensed Representative	1
MOK Hiu Fai	BFA432	Licensed Representative	1
MOK Jeremy Chi Hin	BPQ322	Licensed Representative	4, 9
MOK Wing Cheung, Jennifer Audrey	AWT901	Licensed Representative	1, 6
MUI Pang Kin, Patrick	ARM775	Licensed Representative	1, 4
NAKAGAWA Ryo	BSJ515	Licensed Representative	4
NATTER Steffen Michael	BSG182	Licensed Representative	9
NG Geoffrey Junxiong	BFW885	Licensed Representative	6
NG Kok Hoe Royston	BSX896	Licensed Representative	1, 4
NG Ming Yin	BGB259	Licensed Representative	1
NG Sai Kit	AAH330	Licensed Representative	1
NG Sheuk Sze, Felix	BIW056	Licensed Representative	6
NG Siu May, Michelle	ARR889	Licensed Representative	1, 4
NG Ting Yuen	BRY810	Licensed Representative	1, 4, 9
NG Wang Ki, Amos	AEP637	Licensed Representative	1
NG Wing Yin Winnie	BSE109	Licensed Representative	1
PAISLEY Michelle Maria	AOB636	Licensed Representative	1
PAN Qiao	BST967	Licensed Representative	1, 4, 6
PANG Kin Lung	ARB497	Licensed Representative	1
PARK Myung Je	AHG574	Licensed Representative	1, 4
PAUL Jonathan Matthew Wing-en	AQS184	Licensed Representative	1, 4, 6
PAVLIDOU Vasiliki	BSX964	Licensed Representative	1, 4
PENG Siyu	BRQ089	Licensed Representative	6
PODDAR Bhavya	BSQ560	Licensed Representative	1, 6
POON Cheuk Wing, Janet	BPK657	Licensed Representative	1, 4, 9
POON Cheuk Ying, Jasmine	AQR853	Licensed Representative	1
QIAN Chengyuan	BHF780	Licensed Representative	9
QIAO Yuanhua	AZM757	Licensed Representative	6
QIN Shiqi	BQQ802	Licensed Representative	4, 9
QIN Xuanyu	BLD350	Licensed Representative	6
QIN Yue	BGT776	Licensed Representative	4
QIU Wenya	BBE995	Licensed Representative	1
QU Jun	BLZ443	Licensed Representative	4
QU Zhuoni	BTK563	Licensed Representative	4
QUEK Chui Ting Amy	AUW833	Licensed Representative	1, 4
RAVIKUMAR Chandru	BTE576	Licensed Representative	1, 4
RU Haiyang	BTT772	Licensed Representative	1, 7
RUAN Hongzhe	BRT539	Licensed Representative	1, 2
SATO Iori	BTU085	Licensed Representative	4
SHE Weichao	AWL894	Licensed Representative	4
SHENG Xia	BNR434	Licensed Representative	6
SHIN Yoon Sik	AQO387	Licensed Representative	4
SHUM Chung Lai, Tommy	ANT372	Licensed Representative	1, 2
SIAO Li Gee, Ricky	BFY282	Licensed Representative	1, 4, 9
SIEWERT Patrick Thomas	BRX122	Licensed Representative	1, 4
SILVERMAN Noah Eric	BOC355	Licensed Representative	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2023

CE Name	CE No.	Licence Type	Types of Regulated Activity
SIM Yew Chuan	BFP170	Licensed Representative	1
SIM Yuan An	BTB126	Licensed Representative	1, 2
SINGH Rohit	AVJ143	Licensed Representative	1, 2, 7
SIU Man Lok	BMI524	Licensed Representative	1, 2
SO Tsz Yin	BID175	Licensed Representative	1
STEVENSON John Arthur Liam	BGD408	Licensed Representative	9
SUN Junyi	BTW448	Licensed Representative	1, 2
SUN Kangning	BIB906	Licensed Representative	1, 6
SUN Tiffany Oi Ying	BQI807	Licensed Representative	1, 4
SUNG Kwenny Kwen Li	ACL983	Licensed Representative	4, 9
SZETO Carol Wen	BCY116	Licensed Representative	1
TAI Kwai Sing	ABT520	Licensed Representative	1, 2
TAM Man Yi	BRI219	Licensed Representative	1, 4
TAN Chang	BPY787	Licensed Representative	4
TAN Chih-Kang	BPM530	Licensed Representative	1
TAN Lucas Adnan Soen Hwat	ABQ528	Licensed Representative	1
TAN Yock Shu	BPX416	Licensed Representative	1, 6
TANG Chat Tong	AMG896	Licensed Representative	1, 2
TANG Qianxia	BPC303	Licensed Representative	1, 2, 4
TANG Xiaodong	BMV838	Licensed Representative	1, 4, 9
TANG Zhan	AYS459	Licensed Representative	1, 4, 9
TEA Jessica	BEN532	Licensed Representative	1, 4, 9
TERADA Wataru	BJJ542	Licensed Representative	4
THIRIET Pierre Andre	AZR736	Licensed Representative	1, 2
THONG Bo Wei	BOH796	Licensed Representative	1, 4
TIN Chui Wa	BIG522	Licensed Representative	1
TING May	BLL490	Licensed Representative	1, 4, 9
TO Wing Ping	ABD429	Licensed Representative	1
TRIVERS Tor Lee	BEV690	Licensed Representative	1, 4, 9
TSANG Chi Keung	BJZ713	Licensed Representative	1, 2, 4, 9
TSE Chi Wai Danny	BOI149	Licensed Representative	6
TSE Cho Wan	AVD685	Licensed Representative	1, 6
TSE Kwei Ying	APC906	Licensed Representative	1, 4
TSE Ling Pong	BGY606	Licensed Representative	1
TSE Wai Shing	BRQ942	Licensed Representative	1, 2
TU Lingfei	ATB487	Licensed Representative	1, 4, 6
TUNG Yiu Kei, Kenneth	AQS812	Licensed Representative	4
VAN SMIT Michael Jonathan	ARD710	Licensed Representative	1, 4, 9
WAN Chun Sing	BOM747	Licensed Representative	1, 2
WAN Chung	BPW823	Licensed Representative	1
WANG Jingting	BPM353	Licensed Representative	4, 9
WANG Jingyi	BSX039	Licensed Representative	1
WANG Jundi	BLS790	Licensed Representative	1, 2, 4
WANG Kai	AYR791	Licensed Representative	6
WANG Ke	BRC044	Licensed Representative	4
WANG Ligeng	BRQ049	Licensed Representative	1, 6
WANG Ling	ATC057	Licensed Representative	1, 2, 4
WANG Qingyang	BOR256	Licensed Representative	4, 9
WANG Shuang	BEZ808	Licensed Representative	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2023

CE Name	CE No.	Licence Type	Types of Regulated Activity
WANG Surong	BJZ433	Licensed Representative	1, 6
WANG Tianyang	BRP935	Licensed Representative	1, 6
WANG Yifei	BSR158	Licensed Representative	1, 6
WANG YiHui	BKL871	Licensed Representative	1, 2
WANG Zhonghe	ADI605	Licensed Representative	1, 4, 6
WANG Zi	BQM253	Licensed Representative	1, 4, 9
WANG Zixin	BRM297	Licensed Representative	4, 9
WEI Chong	AYS671	Licensed Representative	4, 9
WEI Po	AVH892	Licensed Representative	4
WONG Chi Chung	BMV699	Licensed Representative	3
WONG Chi Ling	BEO424	Licensed Representative	1, 2
WONG Chi Wai	AAC654	Licensed Representative	1
WONG Chow Wah	ABP448	Licensed Representative	1, 2
WONG Chun Kit	BJC702	Licensed Representative	1, 6
WONG Chun Wah, Billy	AIB345	Licensed Representative	4
WONG Chung Wing	AOJ063	Licensed Representative	1
WONG Chung Yin, James	ADT900	Licensed Representative	2
WONG Hang Tak	BTR956	Licensed Representative	1, 4, 9
WONG Hin Lai	BRT364	Licensed Representative	1, 4
WONG Ka Hang	AXF037	Licensed Representative	1, 2
WONG Ka Ho	BLF594	Licensed Representative	1
WONG Ka Kan	ARG196	Licensed Representative	1, 2
WONG Ka Ming	ATO476	Licensed Representative	1, 2, 3, 9
WONG Koon Kiu	BEZ044	Licensed Representative	1, 4, 6
WONG Kwok Leung	AFR582	Licensed Representative	1
WONG Lai Man, Amy	ACJ833	Licensed Representative	1
WONG Pik Shan	BIT649	Licensed Representative	1, 4
WONG Tsz Wai	BOT090	Licensed Representative	1
WONG Wai Man	AUR713	Licensed Representative	1
WONK-URAI Weerapat	BOH307	Licensed Representative	4
WOO Wing Man Sylvia	BRB017	Licensed Representative	4, 9
WU Chih Yun	BRX347	Licensed Representative	9
WU Di	BRR549	Licensed Representative	1, 4, 6
WU Jiajia	BMH821	Licensed Representative	1
WU Kwan Ho, Cliffina	ABG480	Licensed Representative	1, 2
WU Ming Tung, Angus	BNN099	Licensed Representative	9
WU Rong	BOS912	Licensed Representative	1, 4, 6
XI Jiangman	BOU469	Licensed Representative	1, 2
XIAO Yinlai	BMP512	Licensed Representative	1, 6
XIE Fan	BBJ699	Licensed Representative	1, 4, 6
XIE Melody Raine	BAC298	Licensed Representative	9
XIE Siyao	BRV098	Licensed Representative	1, 6
XIE Zhiqiu	BOH179	Licensed Representative	1, 2
XU Ang	BRJ825	Licensed Representative	1
XU Chenbin	BAU625	Licensed Representative	9
XU Dingxin	BPY748	Licensed Representative	1, 4, 6
XU Sai King Shijing	BFZ372	Licensed Representative	1
XU Yingxin	BHH504	Licensed Representative	4
XUE Huirong	BTL532	Licensed Representative	1, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2023

CE Name	CE No.	Licence Type	Types of Regulated Activity
YAMAKAWA Asako	BFE367	Licensed Representative	1
YAN Tak Ming	ATL832	Licensed Representative	1, 4
YAN Yunzhong	BRR212	Licensed Representative	1, 4, 6
YANG Fan	BRK973	Licensed Representative	1, 4, 6
YANG HAOLING	BRR577	Licensed Representative	1, 4, 9
YANG James Eashuane	ANW535	Licensed Representative	1, 4
YANG Tin Wai, Heather	AND437	Licensed Representative	1, 4
YANG Xiaoqing	BRI080	Licensed Representative	1, 4, 6
YANG Yue	BFS327	Licensed Representative	9
YANG Yumeng	BQM672	Licensed Representative	6
YEUNG Huen Ting	BOW749	Licensed Representative	1
YEUNG Tuen Yee	AFK328	Licensed Representative	1, 2
YI Pengyu	BKJ155	Licensed Representative	1, 4, 6
YIM Pak Fai	BNY521	Licensed Representative	1
YIP Brian Yeuk Lam	BOR576	Licensed Representative	1, 6
YIP Lai Chu	AVV103	Licensed Representative	1
YIP Shui Key	ACS410	Licensed Representative	1, 2, 4, 5, 9
YIU Chun Lung	AZG934	Licensed Representative	1, 4
YOSHIOKA Masahiko	BHJ396	Licensed Representative	1
YU Wa Ki, Angel	AFQ230	Licensed Representative	1, 2
YUE Siming	BMR890	Licensed Representative	4, 9
YUEN Siu Ting	AHF360	Licensed Representative	1, 4, 5, 9
ZALAMEA Jose Victor Castillo	AGK123	Licensed Representative	1, 6
ZENG Shusheng	BGX457	Licensed Representative	1
ZENG Yansen	BSQ172	Licensed Representative	1, 6
ZHA Quanhao	BSU584	Licensed Representative	1, 4, 6
ZHA Yawen	BST791	Licensed Representative	1, 4, 6
ZHAN Chong	BHT580	Licensed Representative	1, 6
ZHANG Chi	AZX863	Licensed Representative	1, 2
ZHANG Haibin	BGL755	Licensed Representative	4, 9
ZHANG Jianwen	AOR293	Licensed Representative	1, 4
ZHANG Jiao	BSL535	Licensed Representative	9
ZHANG Jing	BSZ343	Licensed Representative	1, 4, 9
ZHANG Lewei	BNQ646	Licensed Representative	1, 4, 6
ZHANG Mei	AOD119	Licensed Representative	6
ZHANG Qianhui	BSV229	Licensed Representative	1, 6
ZHANG Qianqian	BGB534	Licensed Representative	1, 6
ZHANG Qingzhu	BTR934	Licensed Representative	4
ZHANG Xiangwei	BRZ801	Licensed Representative	1, 4
ZHANG Xiaowei	BSB860	Licensed Representative	1, 6
ZHANG Xinyue	BPY806	Licensed Representative	1, 6
ZHANG Yifan	BOB273	Licensed Representative	1, 4, 6
ZHAO Hui	BJR221	Licensed Representative	1, 4, 9
ZHAO Meiqi	BFZ007	Licensed Representative	1, 6
ZHENG Hongda	BIH547	Licensed Representative	4
ZHENG Kecheng	BTB461	Licensed Representative	1, 4
ZHENG Yeteng	BQM383	Licensed Representative	1
ZHENG Yumeng	BQJ772	Licensed Representative	4
ZHU Sihan	BSF597	Licensed Representative	1, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2023

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZOU Ruobing	BFM626	Licensed Representative	9
AU Wing Keung, Frederic	APW239	Licensed Representative - Responsible Officer	9
AU Yu Ching	ABT384	Licensed Representative - Responsible Officer	4, 9
BARTKOWIAK Darla Kerlee	BCT186	Licensed Representative - Responsible Officer	1, 4
BARTOLUCCI Marco Alessandro	BPS993	Licensed Representative - Responsible Officer	1, 4
CAI Lu	BGB213	Licensed Representative - Responsible Officer	9
CAO Qi	ANH530	Licensed Representative - Responsible Officer	6
CHAN Hector	ATG841	Licensed Representative - Responsible Officer	1, 4, 5, 9
CHAN Herman Ho Ming	BFJ582	Licensed Representative - Responsible Officer	1
CHAN Ka Leung, Kevin	ADW023	Licensed Representative - Responsible Officer	6
CHAN Kin Wing Kenneth	BPL362	Licensed Representative - Responsible Officer	1
CHAN Shu Kai	AAJ626	Licensed Representative - Responsible Officer	4, 9
CHAN Wei Beng	ABX133	Licensed Representative - Responsible Officer	1, 4, 9
CHAN Ying Yi	AVL067	Licensed Representative - Responsible Officer	1, 4
CHANG Wei-Kang	AQJ178	Licensed Representative - Responsible Officer	1, 4
CHEUNG Hoi Tim	BIT297	Licensed Representative - Responsible Officer	1, 2
CHING KIM FUNG	AQQ191	Licensed Representative - Responsible Officer	1, 4
CHOI Siu Lam	AGU863	Licensed Representative - Responsible Officer	4, 9
CHONG Wan Kai	BEC600	Licensed Representative - Responsible Officer	6
CHOW Chun Leung	ADW654	Licensed Representative - Responsible Officer	4, 9
CHU Man Fai	BMR126	Licensed Representative - Responsible Officer	9
DAI Jiqiong	BLU923	Licensed Representative - Responsible Officer	4, 9
DINOVO Kenneth Lee	BKW167	Licensed Representative - Responsible Officer	1, 4
GAVAGHAN Peter James	AUZ011	Licensed Representative - Responsible Officer	1, 2
GEARY Scott Cameron	BJV521	Licensed Representative - Responsible Officer	1, 4
GUEGAN Vianney Eric	AZI601	Licensed Representative - Responsible Officer	2
GUEN Mounir	AYK143	Licensed Representative - Responsible Officer	1, 4
GUTTIERES Pascal Francois	AZR744	Licensed Representative - Responsible Officer	9
HO Chi Ming	AAZ139	Licensed Representative - Responsible Officer	1, 2, 4, 5
HUANG Jianhong	BOP976	Licensed Representative - Responsible Officer	1
HUDSON Alexander Jo	BOD306	Licensed Representative - Responsible Officer	1, 7
HUI Wing Kee	ALU952	Licensed Representative - Responsible Officer	1, 4
HUO Dengjin	BAN046	Licensed Representative - Responsible Officer	6
HWANG Sung June	ABX334	Licensed Representative - Responsible Officer	9
ILLSLEY Nicholas John Leycester	BTT171	Licensed Representative - Responsible Officer	9
JING Yun	AOI876	Licensed Representative - Responsible Officer	1, 6
KARPIEL Andrew James	BOA163	Licensed Representative - Responsible Officer	1, 2
KAWATA Norihiko	BGP464	Licensed Representative - Responsible Officer	1, 2
KITAYAMA Kei	AXG379	Licensed Representative - Responsible Officer	10
LAI Ching Ying, Stella	AEY253	Licensed Representative - Responsible Officer	1, 4, 9
LAI King Man	ABX825	Licensed Representative - Responsible Officer	1, 9
LAM Yee Kwan Christine	AFK384	Licensed Representative - Responsible Officer	1, 4, 9
LAU Man Yee, Maria	AAA051	Licensed Representative - Responsible Officer	1
LEE Sai Leung, Dennis	AMF898	Licensed Representative - Responsible Officer	1, 4, 9
LEE Su Kwan	AWZ028	Licensed Representative - Responsible Officer	9
LEUNG Chun Shing	ALG206	Licensed Representative - Responsible Officer	4, 9
LEUNG Wing Kei	ABN705	Licensed Representative - Responsible Officer	1, 2
LI Po Yi	AWQ112	Licensed Representative - Responsible Officer	1, 2, 4
LI Yinsheng	BPO849	Licensed Representative - Responsible Officer	1, 4, 9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2023

CE Name	CE No.	Licence Type	Types of Regulated Activity
LIU Chao	AZH606	Licensed Representative - Responsible Officer	1, 4, 9
LIU Hui	AHO166	Licensed Representative - Responsible Officer	1, 4
LIU Yi	AQO338	Licensed Representative - Responsible Officer	4, 9
LO Kai Tung	AGV227	Licensed Representative - Responsible Officer	1, 6
LO Ping Wa, Lawrence	AAK178	Licensed Representative - Responsible Officer	4, 9
LUK Kim Ping	ACS638	Licensed Representative - Responsible Officer	1, 4
LUK Leung Man, Stanley	ACT761	Licensed Representative - Responsible Officer	1
LUN Shu Lam	AMC981	Licensed Representative - Responsible Officer	4, 9
MA Sean	AXI103	Licensed Representative - Responsible Officer	4, 9
MAIYA Paramally Murlidhar	AGO391	Licensed Representative - Responsible Officer	1, 4, 6
MAK Kwei Hai	ABG049	Licensed Representative - Responsible Officer	1
MEI Weiyi	BIR750	Licensed Representative - Responsible Officer	4, 9
MOUBARAK Rany	BBH463	Licensed Representative - Responsible Officer	1, 2
MUI Hin Lai Henry	BKC984	Licensed Representative - Responsible Officer	1
NG Kin Kwan	AYT810	Licensed Representative - Responsible Officer	6
NG Wai Kwong	ADJ383	Licensed Representative - Responsible Officer	2
PHELPS Robert Ian	BOO601	Licensed Representative - Responsible Officer	10
ROES David Eric	AYK191	Licensed Representative - Responsible Officer	9
SHE Siu Tak	ACI321	Licensed Representative - Responsible Officer	4, 9
SHEN Yue	APK242	Licensed Representative - Responsible Officer	1, 4, 6
SHER Roy	ADR276	Licensed Representative - Responsible Officer	3
SHIH Ying Ying	BLJ548	Licensed Representative - Responsible Officer	4, 9
SIARD Stephen Drake	BTC215	Licensed Representative - Responsible Officer	9
SO Kwok Kin, Peter	AAJ820	Licensed Representative - Responsible Officer	4
STOPFORD SACKVILLE Charlotte Louise	AQQ114	Licensed Representative - Responsible Officer	1
SUEN Tsz Ching	BIT861	Licensed Representative - Responsible Officer	1, 4, 9
SZETO Eric Tsun	APG259	Licensed Representative - Responsible Officer	4, 5, 9
TAN Xiaojian	BGQ372	Licensed Representative - Responsible Officer	1
TANG Chat Tong	AMG896	Licensed Representative - Responsible Officer	4, 5, 9
TAPADIA Alok Vimalkishore	BHP071	Licensed Representative - Responsible Officer	1
TAYLOR Brett Anthony	AYY647	Licensed Representative - Responsible Officer	1, 2
TSAI Tsung-An	BSQ376	Licensed Representative - Responsible Officer	1, 4, 9
TSANG Wai Yan, Wayne	AIH007	Licensed Representative - Responsible Officer	4, 9
VERSACE Roberto Ross	BJM123	Licensed Representative - Responsible Officer	9
WAN Hongchun	AUE776	Licensed Representative - Responsible Officer	4, 9
WANG Lijun	BOI833	Licensed Representative - Responsible Officer	9
WINIA Darren John	AVC348	Licensed Representative - Responsible Officer	9
WONG Chuk Yan	AES174	Licensed Representative - Responsible Officer	9
WONG Chun Wah, Billy	AIB345	Licensed Representative - Responsible Officer	1, 6
WONG Kok Hung	AMP461	Licensed Representative - Responsible Officer	4, 9
WONG Tracy Po-yi	BCA264	Licensed Representative - Responsible Officer	1, 4, 9
XIE Kaicong	BAP925	Licensed Representative - Responsible Officer	1, 6
XIE Lijun	BNS465	Licensed Representative - Responsible Officer	1, 9
XU Renmin	AXI479	Licensed Representative - Responsible Officer	1, 4, 9
YANG Wenhuan	ALL166	Licensed Representative - Responsible Officer	1, 4, 9
YAU Chi Man, Benjamin	ATL435	Licensed Representative - Responsible Officer	10
YEUNG Pui Yam	AXK444	Licensed Representative - Responsible Officer	4, 9
YEUNG Tsz Kin	AOS242	Licensed Representative - Responsible Officer	4, 9
YIN Jiahao	BDD365	Licensed Representative - Responsible Officer	4, 9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2023

CE Name	CE No.	Licence Type	Types of Regulated Activity
YING Yee Shing	AHX077	Licensed Representative - Responsible Officer	1, 4, 9
YUEN Fat Sun	ABZ932	Licensed Representative - Responsible Officer	1
YUEN Ng Fung Kinky	BOU063	Licensed Representative - Responsible Officer	1
ZHANG Guangyao	BQB403	Licensed Representative - Responsible Officer	4
ZHANG Ran	BHP610	Licensed Representative - Responsible Officer	1, 4, 9
ZHANG Zhihua	ANB118	Licensed Representative - Responsible Officer	1, 6
ZHOU Peng	AUS211	Licensed Representative - Responsible Officer	6
ZILKHA Marc Sulman	AVJ062	Licensed Representative - Responsible Officer	4, 9
AGRAWAL Parthey	BUI834	Licensed Representative (Provisional Licence)	1, 2
AN LIN	BUI226	Licensed Representative (Provisional Licence)	1, 4
BAI Xiangliang	BDO575	Licensed Representative (Provisional Licence)	4, 9
BANERJEE Nilesh	BHL908	Licensed Representative (Provisional Licence)	9
BANSAL Akshat	BUK241	Licensed Representative (Provisional Licence)	1, 2
BI Ke	BUH360	Licensed Representative (Provisional Licence)	1, 6
CAI Jinghong	BUF857	Licensed Representative (Provisional Licence)	4, 9
CAI Keying	BUG548	Licensed Representative (Provisional Licence)	1
CAI Shukun	BUI525	Licensed Representative (Provisional Licence)	1, 6
CHAN Po Yi	AVV835	Licensed Representative (Provisional Licence)	1, 2
CHAN Wai Chung	AOD117	Licensed Representative (Provisional Licence)	4
CHAN Wong Kit	BDF051	Licensed Representative (Provisional Licence)	4, 9
CHAN Zhi-ren	BUF825	Licensed Representative (Provisional Licence)	9
CHAO Zi Huang, Adrian	BJT861	Licensed Representative (Provisional Licence)	9
CHAU Ka Chun	BUL175	Licensed Representative (Provisional Licence)	1, 4, 9
CHEN David Wai Chung	BGU035	Licensed Representative (Provisional Licence)	9
CHEN Li Tzu	BUG271	Licensed Representative (Provisional Licence)	1
CHEN Qianzi	BUH994	Licensed Representative (Provisional Licence)	1, 6
CHEN Shuhan	BUI603	Licensed Representative (Provisional Licence)	1, 4, 9
CHEN Sijie	BUI602	Licensed Representative (Provisional Licence)	1, 4, 9
CHENG Chi Ming	ABV545	Licensed Representative (Provisional Licence)	1, 4, 9
CHEUK Tsz Fung	BUI155	Licensed Representative (Provisional Licence)	4, 9
CHEUNG Kin Tai	BUH659	Licensed Representative (Provisional Licence)	1, 4
CHEUNG William Chun Biu	BPV800	Licensed Representative (Provisional Licence)	2
CHU Jing Man	AZJ717	Licensed Representative (Provisional Licence)	4, 9
COHEN-RUHLE Liam Kabu	BFI059	Licensed Representative (Provisional Licence)	1, 7
CROSS Michael	BUI019	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 9
CUI Yifan	BUI490	Licensed Representative (Provisional Licence)	9
DA SILVA Marlen Lincoln	BUG231	Licensed Representative (Provisional Licence)	1, 2, 7
DAI Mingsheng	BUH707	Licensed Representative (Provisional Licence)	1, 6
DENG Naitian	BMB571	Licensed Representative (Provisional Licence)	4, 9
DING Mao	BGI315	Licensed Representative (Provisional Licence)	1, 4
DONG Niandong	BUG360	Licensed Representative (Provisional Licence)	1, 6
DRUCE James Anthony Stephen	BGE144	Licensed Representative (Provisional Licence)	4
ELZER Monica Chang	ARN814	Licensed Representative (Provisional Licence)	9
FANG Ming	BQM591	Licensed Representative (Provisional Licence)	9
FENG Fengye	BUF860	Licensed Representative (Provisional Licence)	1, 6
FESER Alexander Frederick	BUI612	Licensed Representative (Provisional Licence)	9
FOO Justin Jie Hao	BUK579	Licensed Representative (Provisional Licence)	9
FUNG Chuen Cheong	BKN686	Licensed Representative (Provisional Licence)	1, 4
FUNG Man Hin	BUF953	Licensed Representative (Provisional Licence)	1, 4, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2023

CE Name	CE No.	Licence Type	Types of Regulated Activity
GLOVER Phineas Max	BUI695	Licensed Representative (Provisional Licence)	4
GONG Yanmei	BPG280	Licensed Representative (Provisional Licence)	1, 4, 9
GU Ziqiao	BMW241	Licensed Representative (Provisional Licence)	4, 9
GULABANI Tarun Chhato	BUI760	Licensed Representative (Provisional Licence)	1, 2
HAN Minxi	BUI165	Licensed Representative (Provisional Licence)	1, 4
HE Jing	BUI501	Licensed Representative (Provisional Licence)	2, 5
HO Chun Yee	BUG016	Licensed Representative (Provisional Licence)	4
HU Yangzhou	BUH843	Licensed Representative (Provisional Licence)	1, 4, 9
HU Zhiyun	BUI795	Licensed Representative (Provisional Licence)	1, 6
HUANG Chung En	AWW100	Licensed Representative (Provisional Licence)	9
HUANG Jingying	BAI752	Licensed Representative (Provisional Licence)	1, 6
HUANG Zihao	BUI074	Licensed Representative (Provisional Licence)	1, 4, 9
JI Jiayi	BUK373	Licensed Representative (Provisional Licence)	1
JI Senshan	BUI759	Licensed Representative (Provisional Licence)	9
JIA Mengxi	BUF623	Licensed Representative (Provisional Licence)	9
JIANG Tao	APC005	Licensed Representative (Provisional Licence)	1, 4, 6
JIN Hao	BTR764	Licensed Representative (Provisional Licence)	1, 6
JIN Hongjian	BUI914	Licensed Representative (Provisional Licence)	1, 2, 4
KAHLOON Hamzah Ahmad	BUK456	Licensed Representative (Provisional Licence)	1, 2, 4
KAN Dingyue	BSS199	Licensed Representative (Provisional Licence)	1, 2
KANG Ho Sang	BHF431	Licensed Representative (Provisional Licence)	1, 4, 6
KANG Minja Evelyn	AGJ645	Licensed Representative (Provisional Licence)	1
KANG Yue	BUG644	Licensed Representative (Provisional Licence)	1, 2, 4
KIM Haejin	BUK005	Licensed Representative (Provisional Licence)	1, 2
KOSUGA Osamu	AWA259	Licensed Representative (Provisional Licence)	1
KWOK WAI FONG	AJX122	Licensed Representative (Provisional Licence)	1, 4, 9
LAI Matthew	BBQ037	Licensed Representative (Provisional Licence)	1
LAI Zeyu	BUI798	Licensed Representative (Provisional Licence)	6
LAM Wai Man	AMS301	Licensed Representative (Provisional Licence)	9
LAM Wan	BUI864	Licensed Representative (Provisional Licence)	1
LAU Bik Sum Betty	BRP310	Licensed Representative (Provisional Licence)	4, 9
LAU Wen Kai	BUF612	Licensed Representative (Provisional Licence)	1, 4, 5, 9
LEE Chun Ho	AGK756	Licensed Representative (Provisional Licence)	4
LEE Kai Yee	BAD882	Licensed Representative (Provisional Licence)	1, 4
LEE Seok Jun	BUH972	Licensed Representative (Provisional Licence)	1
LEE Yat Fai, Sam	AFJ510	Licensed Representative (Provisional Licence)	4
LEE YUN-RU	BUI448	Licensed Representative (Provisional Licence)	1, 2, 9
LEQUIME Guillaume	BUK252	Licensed Representative (Provisional Licence)	9
LEUNG Cheuk Lai, Justin Jonathan	BKM204	Licensed Representative (Provisional Licence)	1, 4, 6
LEUNG Hoi Ming	BUH627	Licensed Representative (Provisional Licence)	1, 4
LEUNG Wai Tak	BUI257	Licensed Representative (Provisional Licence)	1
LI Chengheng	BUF613	Licensed Representative (Provisional Licence)	1, 4, 9
LI Hon Nga	BUH471	Licensed Representative (Provisional Licence)	9
LI Jiafan	BUI498	Licensed Representative (Provisional Licence)	6
LI Mingjing	BUG433	Licensed Representative (Provisional Licence)	4, 9
LI QIANZHI	BUF770	Licensed Representative (Provisional Licence)	1, 6
LI Rui	BUI519	Licensed Representative (Provisional Licence)	1
LI Runbo	BRR375	Licensed Representative (Provisional Licence)	9
LI Ruxu	BUI201	Licensed Representative (Provisional Licence)	1, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2023

CE Name	CE No.	Licence Type	Types of Regulated Activity
LI Wanqi	BUJ111	Licensed Representative (Provisional Licence)	1, 6
LI Xu	BUK254	Licensed Representative (Provisional Licence)	6
LI Ziyu	BUG635	Licensed Representative (Provisional Licence)	1, 2, 4
LIAO Hon Wai	BUG622	Licensed Representative (Provisional Licence)	4, 9
LIAO Jingchi	BUF369	Licensed Representative (Provisional Licence)	4
LIAO Lin	BUI749	Licensed Representative (Provisional Licence)	4, 9
LIN SHIPIN	BUH935	Licensed Representative (Provisional Licence)	1
LIPTON Matthew Thomas	BNI289	Licensed Representative (Provisional Licence)	1, 4
LIU Baoshan	BRH237	Licensed Representative (Provisional Licence)	1, 4
LIU Kwan Wai	AFT869	Licensed Representative (Provisional Licence)	1
LIU Wujun	BNL008	Licensed Representative (Provisional Licence)	1, 6
LIU Yuanyuan	BUG797	Licensed Representative (Provisional Licence)	1, 4
LIU Zaihao	BUG265	Licensed Representative (Provisional Licence)	1, 4
LIU ZIJIAN	BUH383	Licensed Representative (Provisional Licence)	2
LO Yin Wai Ada	BUI134	Licensed Representative (Provisional Licence)	1
LU Jiaying	BUG293	Licensed Representative (Provisional Licence)	1, 4
LU Xifeng	BUH704	Licensed Representative (Provisional Licence)	1, 6
LUO Bina	BUI340	Licensed Representative (Provisional Licence)	1
LYU Guanyu	BUG047	Licensed Representative (Provisional Licence)	1, 2, 9
LYU Jiaming	BUI908	Licensed Representative (Provisional Licence)	1, 2
LYU Siyu	BUH806	Licensed Representative (Provisional Licence)	1, 6
MA Bo	BTA053	Licensed Representative (Provisional Licence)	1, 4, 9
MA Chun	BUK088	Licensed Representative (Provisional Licence)	4, 9
MA wangxinyi	BUI777	Licensed Representative (Provisional Licence)	2
MA Wei	BRM304	Licensed Representative (Provisional Licence)	1, 6
MAEDA Koichi	AFJ229	Licensed Representative (Provisional Licence)	9
MAK Chun Kit	BRP078	Licensed Representative (Provisional Licence)	1, 4, 9
MAK Wing Lap	BUJ253	Licensed Representative (Provisional Licence)	1, 4, 6
MARK Daniel Nicholas	BRI895	Licensed Representative (Provisional Licence)	1
MARWAT Umair Khan	BNH989	Licensed Representative (Provisional Licence)	9
MC ELWAIN Steven James	BUE654	Licensed Representative (Provisional Licence)	1, 4, 9
MENG Yao	BUG335	Licensed Representative (Provisional Licence)	1, 4
MINAGAWA Ryozo	BFA665	Licensed Representative (Provisional Licence)	4
NG Wai Kong, Philip	AFF837	Licensed Representative (Provisional Licence)	1, 4
NG Wang Lap	BUF970	Licensed Representative (Provisional Licence)	9
NG Wing Sea	BUG671	Licensed Representative (Provisional Licence)	1, 4, 9
NG Ying Ha	ALZ755	Licensed Representative (Provisional Licence)	1
NISHIHARA Ayumi	BQC967	Licensed Representative (Provisional Licence)	1, 4, 6
OU Jie	BMQ318	Licensed Representative (Provisional Licence)	1
PAN Dengke	BIC438	Licensed Representative (Provisional Licence)	1, 4, 9
PANIGRAHI Sitikantha	BUI075	Licensed Representative (Provisional Licence)	4
PENG Chenchen	BSY985	Licensed Representative (Provisional Licence)	4, 9
PENG Huiping	BUH308	Licensed Representative (Provisional Licence)	1, 4, 9
PERRET Christopher Scott	BPF315	Licensed Representative (Provisional Licence)	1, 4
PHUA Boon Keng	BUK860	Licensed Representative (Provisional Licence)	1, 4
POON Tin Ching	APR858	Licensed Representative (Provisional Licence)	1, 2
REBIBO David Julien	BUI521	Licensed Representative (Provisional Licence)	1, 4
RUAN Shuhua	BUI231	Licensed Representative (Provisional Licence)	1
SANAPUREDDY Revanth Reddy	BPA390	Licensed Representative (Provisional Licence)	9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2023

CE Name	CE No.	Licence Type	Types of Regulated Activity
SARKAR Abhijit	AOV447	Licensed Representative (Provisional Licence)	1, 4, 9
SATO Masahiko	AVM642	Licensed Representative (Provisional Licence)	4
SEHGAL Aditya	BUK938	Licensed Representative (Provisional Licence)	1, 4
SHAH Nishant Krishnakumar	BOS833	Licensed Representative (Provisional Licence)	9
SHARMA Abhishek	BUI692	Licensed Representative (Provisional Licence)	1, 4
SHI MENG	BNS125	Licensed Representative (Provisional Licence)	1
SHI Wei	BUK334	Licensed Representative (Provisional Licence)	1
SINGER Samuel Joseph	BUI662	Licensed Representative (Provisional Licence)	1, 2
SINGH Gurmehar	BUF881	Licensed Representative (Provisional Licence)	1, 2
SIVANATHAN Saththyan	BRG330	Licensed Representative (Provisional Licence)	1, 2
SONG Yifeng	BUJ115	Licensed Representative (Provisional Licence)	1, 6
SU Zhen	BUG499	Licensed Representative (Provisional Licence)	1, 4, 6
SUN Bijun	BNG808	Licensed Representative (Provisional Licence)	9
SUN Haoqi	BUI080	Licensed Representative (Provisional Licence)	1, 2, 4
SUN Ruixiang	BUI475	Licensed Representative (Provisional Licence)	1, 2
SUN Tsai Hsuan	BGY960	Licensed Representative (Provisional Licence)	9
SUN Zipei	BUK327	Licensed Representative (Provisional Licence)	6
SUNG Man Man	BUK163	Licensed Representative (Provisional Licence)	1, 4
TAMURA HO Mari	BTX805	Licensed Representative (Provisional Licence)	1, 2
THAI Sophia Pei	BPF207	Licensed Representative (Provisional Licence)	4, 9
TIRUMALAI SUDERSHAN Sunil	AWZ814	Licensed Representative (Provisional Licence)	4
TORII Hiroshi	BUG049	Licensed Representative (Provisional Licence)	4
TRAN Katherine	BUG708	Licensed Representative (Provisional Licence)	1
TSE Ka Chun Alan	BUK004	Licensed Representative (Provisional Licence)	1, 4
TSENG Chun Chieh	BUI381	Licensed Representative (Provisional Licence)	4, 9
VIGIER Thibault Emmanuel Marie	BUL159	Licensed Representative (Provisional Licence)	1, 2
WADA Hiroshi	BUH799	Licensed Representative (Provisional Licence)	4
WANG Dawei	BPN456	Licensed Representative (Provisional Licence)	4
WANG Ling Yu	BAV759	Licensed Representative (Provisional Licence)	9
WANG Qiuyi	BDY723	Licensed Representative (Provisional Licence)	1
WANG Shihua	BNH504	Licensed Representative (Provisional Licence)	1, 4
WANG Yanxu	BNO691	Licensed Representative (Provisional Licence)	1, 4
WANG Yuxi	BQC524	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Zhikai	BUI494	Licensed Representative (Provisional Licence)	6
WANG Zixuan	BUG189	Licensed Representative (Provisional Licence)	1, 6
WHITTAKER Ross Andrew	BUI559	Licensed Representative (Provisional Licence)	1, 2, 7
WONG Chau Yee	AQT257	Licensed Representative (Provisional Licence)	1, 4
WONG Chun Lung	BUE385	Licensed Representative (Provisional Licence)	9
WONG Chung Wai	BFU185	Licensed Representative (Provisional Licence)	1
WONG Ming Hei	BUK164	Licensed Representative (Provisional Licence)	1, 4
WONG Tsz Sin	BUK105	Licensed Representative (Provisional Licence)	1
WONG Wing Siu	BUK058	Licensed Representative (Provisional Licence)	1
WONG Yiu Chi	BUH714	Licensed Representative (Provisional Licence)	9
WU Hao	BUI858	Licensed Representative (Provisional Licence)	1
WU Hsuan Hsuan	BUH522	Licensed Representative (Provisional Licence)	1, 2, 7
WU Ruiyuan	BUI368	Licensed Representative (Provisional Licence)	9
WU Si Lei Billy	BUI342	Licensed Representative (Provisional Licence)	9
WU Wing Keung	BUI767	Licensed Representative (Provisional Licence)	4
XIANG Yi	BUI632	Licensed Representative (Provisional Licence)	4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2023

CE Name	CE No.	Licence Type	Types of Regulated Activity
XIAO Qingqing	BUJ296	Licensed Representative (Provisional Licence)	1
XIE Hanzhang	BUF468	Licensed Representative (Provisional Licence)	1
XIE Xiaotian	BHP635	Licensed Representative (Provisional Licence)	1
XIN YI	BUK197	Licensed Representative (Provisional Licence)	1
XU Heyi	BUI157	Licensed Representative (Provisional Licence)	1, 4
XU Runze	BUI433	Licensed Representative (Provisional Licence)	1, 6
XU Yi	BUH528	Licensed Representative (Provisional Licence)	1, 4
XUE Jianbo	BUG550	Licensed Representative (Provisional Licence)	1
YANG FAN	BJG671	Licensed Representative (Provisional Licence)	1, 2
YANG Haoyi	BUH746	Licensed Representative (Provisional Licence)	4
YANG HONGJIE	BUF947	Licensed Representative (Provisional Licence)	1, 6
YANG JIARUI	BUH961	Licensed Representative (Provisional Licence)	1, 6
YANG Peiwen	BUD077	Licensed Representative (Provisional Licence)	1
YAO Dongjie	BUH749	Licensed Representative (Provisional Licence)	1, 4, 6
YASUDA Hikaru	BUH923	Licensed Representative (Provisional Licence)	4
YELIPAER Tuergen	BUE656	Licensed Representative (Provisional Licence)	4
YEUNG Tung	AFL872	Licensed Representative (Provisional Licence)	1, 4
YI XIAOYING	BUK043	Licensed Representative (Provisional Licence)	1, 4
YIN CHUANLANG	BUI520	Licensed Representative (Provisional Licence)	1, 6
YIP Sze Wan, Angela	AOS423	Licensed Representative (Provisional Licence)	9
YOSHIDA Sho	BUI714	Licensed Representative (Provisional Licence)	9
YOSHIOKA Shun	BUK135	Licensed Representative (Provisional Licence)	1, 4
YU Hua Shen	AON773	Licensed Representative (Provisional Licence)	4, 9
YU Pei Ying	BUH639	Licensed Representative (Provisional Licence)	1, 4
YU Ye	BUI502	Licensed Representative (Provisional Licence)	9
YU Yilu	BIM687	Licensed Representative (Provisional Licence)	1, 4, 9
YUEN Ka Ki Ernest	BUI497	Licensed Representative (Provisional Licence)	1, 2
YUEN Tsz Sin	BUI012	Licensed Representative (Provisional Licence)	1, 2
ZENG Ziqi	BUD728	Licensed Representative (Provisional Licence)	1, 6
ZHANG Chenrui	BUH701	Licensed Representative (Provisional Licence)	1, 6
ZHANG Jiayu	BUF975	Licensed Representative (Provisional Licence)	1, 4, 9
ZHANG JIEHAO	BUC824	Licensed Representative (Provisional Licence)	1, 2
ZHANG Man Ho	BSE152	Licensed Representative (Provisional Licence)	9
ZHANG Xiaoyi	BUI067	Licensed Representative (Provisional Licence)	1, 6
ZHANG Xinyue	BUG349	Licensed Representative (Provisional Licence)	1, 9
ZHANG Yanwen	BFY131	Licensed Representative (Provisional Licence)	1, 2, 4
ZHANG Yicong	BUI163	Licensed Representative (Provisional Licence)	1, 4
ZHAO Jiuxin	BUE015	Licensed Representative (Provisional Licence)	4, 9
ZHOU Ang	BUH856	Licensed Representative (Provisional Licence)	1
ZHOU Heng	BUJ992	Licensed Representative (Provisional Licence)	1, 4
ZHOU Yuchu	BUI840	Licensed Representative (Provisional Licence)	1, 6
ZHU Lixuan	BUG549	Licensed Representative (Provisional Licence)	1, 4, 6
ZHU Ming	BUG160	Licensed Representative (Provisional Licence)	1, 6
ZHU Xiaolei	BUH585	Licensed Representative (Provisional Licence)	9
ZHU Yi	AWE965	Licensed Representative (Provisional Licence)	1, 2, 4
ZHU Zhefan	BUI523	Licensed Representative (Provisional Licence)	1, 6
AKUTSU Masashi	BTV804	Licensed Representative (Temporary Licence)	4
BARUS Felicia Asrinanda	AUT955	Licensed Representative (Temporary Licence)	4
BURGER Jeffrey Bielous	BTB493	Licensed Representative (Temporary Licence)	1, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2023

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHAIMA Uthman	BUA999	Licensed Representative (Temporary Licence)	1
CHAKRABORTY Samiran	BGU585	Licensed Representative (Temporary Licence)	4
CHEN Chia-Yi	AOB718	Licensed Representative (Temporary Licence)	4
CHEN Jung Hsuan	BTZ245	Licensed Representative (Temporary Licence)	4
CHENG Yue Him, Ronald	BUK566	Licensed Representative (Temporary Licence)	2, 5
CHEONG Rebecca Ka Wing	BIQ011	Licensed Representative (Temporary Licence)	1
CHOPRA Raashi	BEE682	Licensed Representative (Temporary Licence)	4
DAVIS Ryan	BUJ269	Licensed Representative (Temporary Licence)	4
DEFERT Christophe, Pierce	BUI703	Licensed Representative (Temporary Licence)	1, 4
FIN Derek Dragon	BTQ575	Licensed Representative (Temporary Licence)	4
HAN Kylie Jiamin	BUI694	Licensed Representative (Temporary Licence)	1, 2
IHARA Rei	BUK091	Licensed Representative (Temporary Licence)	4
JAIN Vivek Pawankumar	AYE976	Licensed Representative (Temporary Licence)	1, 2
JIA Kaifang	BUI847	Licensed Representative (Temporary Licence)	4
KALB Victoria Stacey	AHT205	Licensed Representative (Temporary Licence)	4
KAZAHAYA Takahiro	ARO540	Licensed Representative (Temporary Licence)	4
KEENE Michael Donald	BOZ245	Licensed Representative (Temporary Licence)	1
KUECH Andrew Robert	ASZ093	Licensed Representative (Temporary Licence)	1
LAI Chung Lai	AIC801	Licensed Representative (Temporary Licence)	1, 4
LEE Kai An Brandon	AXN040	Licensed Representative (Temporary Licence)	4
MAGHENZANI Nicholas Joseph	BTL132	Licensed Representative (Temporary Licence)	1
MAN Ka Fai	BPD726	Licensed Representative (Temporary Licence)	4
MANKUDE BHASKER RAO Ravi Kiran	ANK084	Licensed Representative (Temporary Licence)	1
NAKAGAWARA Shuhei	BUI841	Licensed Representative (Temporary Licence)	1
NAKATOMI Ryosuke	BKV309	Licensed Representative (Temporary Licence)	1
PINEDA Arthur San Diego	AGL866	Licensed Representative (Temporary Licence)	4
RISHI Abhinav	BBH810	Licensed Representative (Temporary Licence)	1
SAKAI Fumiyoshi	AUO101	Licensed Representative (Temporary Licence)	4
SHI Yi	BUI831	Licensed Representative (Temporary Licence)	1
SHIMIZU Yasunori	BJF243	Licensed Representative (Temporary Licence)	1
SIMS David Michael	BGV073	Licensed Representative (Temporary Licence)	1
STEFKOVA Andrea	BUB160	Licensed Representative (Temporary Licence)	4
SUZUKI Kasumi	BUK232	Licensed Representative (Temporary Licence)	4
TAN Yong Hong	BTX517	Licensed Representative (Temporary Licence)	4
TANOUE Yuki	BAX514	Licensed Representative (Temporary Licence)	1
TOH Shikai	BFI045	Licensed Representative (Temporary Licence)	1, 2
TSOI Nicole Wei Wah	BUK230	Licensed Representative (Temporary Licence)	2, 5
TUPPER Nigel Robert	ACS592	Licensed Representative (Temporary Licence)	4
WANG Shuai	BHV648	Licensed Representative (Temporary Licence)	1
WOLAVER Alexander U	BNI123	Licensed Representative (Temporary Licence)	1, 2
WONG Ferry Wijaya	ARB231	Licensed Representative (Temporary Licence)	4
WONG Sze Lam	BUI479	Licensed Representative (Temporary Licence)	10
YAMAMOTO Naoki	BKM294	Licensed Representative (Temporary Licence)	1
YANG Fan	BTH680	Licensed Representative (Temporary Licence)	4
YEO Newton Chiong	APY329	Licensed Representative (Temporary Licence)	1
YIP Wan Yi	BFY998	Licensed Representative (Temporary Licence)	1
YOSHIKAWA Ken	BMX497	Licensed Representative (Temporary Licence)	1
ZHONG Tiantian	AZB127	Licensed Representative (Temporary Licence)	1
ZHU Daniel Ye	BUI377	Licensed Representative (Temporary Licence)	4

