

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2026

CE Name	CE No.	Licence Type	Types of Regulated Acitivity Added	Types of Regulated Acitivity Removed
China CICFO Securities Co., Limited	BEX660	Licensed Corporation	9	
China World Investments Limited	BWA594	Licensed Corporation	4	
Fiduciary Securities Limited	BRV500	Licensed Corporation	9	
MFG Limited	AMV148	Licensed Corporation		5
Mont Avenir Capital Limited	BOG353	Licensed Corporation	4, 9	
Pinsen International (Hong Kong) Limited	BTJ465	Licensed Corporation		1
Polymer Capital Management (HK) Limited	BOO295	Licensed Corporation	1, 4	
Sino Linear Investment Management Limited	BTV670	Licensed Corporation	4	
uSmart Securities Limited	BJA907	Licensed Corporation	2, 5	
BANSAL Gaurav	AZO997	Licensed Representative	1, 4	
BI Siming	BOT759	Licensed Representative		4
BOUAKLINE Yacine	BPW363	Licensed Representative	2, 4, 5, 7	
CAI Xiaochen	BPB356	Licensed Representative	1	
CHAK Wai Wing	AIX144	Licensed Representative	4	
CHAN Bianca Annette	BSO581	Licensed Representative	4, 9	
CHAN Ching Fan, Oscar	AJ1806	Licensed Representative		4, 9
CHAN Ching Sum	BIY455	Licensed Representative	9	
CHAN Hiu Yu	BVQ482	Licensed Representative		4
CHAN Hon Sum	ATN176	Licensed Representative	4, 9	1
CHAN Kam Kong Kenneth	BSR165	Licensed Representative	9	
CHAN Kit Chung, Willie	AFY836	Licensed Representative	9	4
CHAN Pik Yuk, Pat	BSG331	Licensed Representative	9	
CHAN See Yin, Abraham	AFP787	Licensed Representative	1	5
CHAN Tung Wa	AVP005	Licensed Representative	1	
CHAN Yan Yan	AFR461	Licensed Representative		2
CHEN Zehua	BNU835	Licensed Representative	1, 2	4
CHEN Zhikang	BVX267	Licensed Representative		4
CHEN Zuozhi	BTQ941	Licensed Representative		1, 2
CHENG Bingjing	BEF255	Licensed Representative	4	
CHEUNG Chi Ling	BRW900	Licensed Representative	2	4
CHEUNG Wai Chiu, Paul	BGG685	Licensed Representative	9	
CHEW Boon Lian	BNL163	Licensed Representative		6
CHI Mei	BNP624	Licensed Representative		1
CHOI Ka Yui	BQW100	Licensed Representative	9	
CHOI Man Fai	BBP353	Licensed Representative	9	
CHOW Hoi Suen Angus	BEV735	Licensed Representative		4, 9
DAI Shuning	BWW172	Licensed Representative	2	
DENG Yuqun	BGG959	Licensed Representative	4	
DUNNE Daire Timothy	BDN111	Licensed Representative		9
E Linlin	BSM383	Licensed Representative		1
FAN Linying	BUG477	Licensed Representative	6	4
FANG Jiawen	BUU191	Licensed Representative	9	1
FOK Yiu Tung	AHG563	Licensed Representative	4, 9	4, 9
FONG Siu Yat	AFA176	Licensed Representative	1, 2, 5	
FUNG Po Wun	BOB678	Licensed Representative		1
GE Yuqing	BTC708	Licensed Representative	4	9
GUANG Ao	BBD861	Licensed Representative		6
GUI Yingxin	BUP837	Licensed Representative	1	
GUO Qianyin	BOR360	Licensed Representative		1
HE Juneng	BGG873	Licensed Representative		1, 2
HE Yi	BKZ654	Licensed Representative	1, 4	
HO Cho Ki	ARB955	Licensed Representative		6
HONG Felix	BOM817	Licensed Representative	9	
HUANG Jiahao	BVY672	Licensed Representative	4, 6	
HUANG Meng	BRR287	Licensed Representative		1
HUANG Sen Woei	BSL544	Licensed Representative		9
HUANG Shih-Shih	BVD447	Licensed Representative	2	
HUANG Xiao	BUD634	Licensed Representative		4
HUI Lok Chi	BDW597	Licensed Representative	2	

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HUI Sheung Yin, Daniel	AGC425	Licensed Representative	4	
IP Man Yuen	BEB607	Licensed Representative		1
JIN YiLin	BUS653	Licensed Representative	1	
JUAN Wen-Lin	AYN476	Licensed Representative	4	
KAM Wing Kei, Emily	BWH389	Licensed Representative	4	1
KAN Siu Hong	BWI012	Licensed Representative	4	1, 6
KATARIA Sambhav	BWS430	Licensed Representative	4	
KO Lap Chuen	AIH610	Licensed Representative	9	
KONDO Kensei	AWY938	Licensed Representative	4	
KONG Chiu San, Raymond	AAH157	Licensed Representative	6	9
KUNG Man	BQS929	Licensed Representative		6, 9
KWAN Ching	AQQ011	Licensed Representative	9	
LAM Cheuk Sun	AXZ771	Licensed Representative		2
LAM Ka Ching, Johnson	ABM972	Licensed Representative		2
LAM Kin Chun	BEJ631	Licensed Representative		4
LAM Siu Mo	BOS468	Licensed Representative		4
LAU Hor Yan, Pauline	APW586	Licensed Representative	9	
LEE Chun Pang	ANX999	Licensed Representative	1	
LEE Ka Ho	BBT497	Licensed Representative	1, 4	9
LEE Kevin Bing Xuan	BRZ701	Licensed Representative		4
LEE Kin Chung Simon	AAF803	Licensed Representative		1, 2, 5
LEUNG Chi Ho	BVB822	Licensed Representative	1	4
LEUNG Ki Lung	BUY684	Licensed Representative	2	
LEUNG Man Kit	ANW505	Licensed Representative		1, 5
LEUNG Pui Lai	BQA857	Licensed Representative	1	5, 9
LI Chen	BBK724	Licensed Representative	2	
LI Cheng	BUX188	Licensed Representative	4, 6	
LI Jiayuan	BTK490	Licensed Representative	2	9
LI Juejin	BVT270	Licensed Representative	4	
LI Longjin	BIY462	Licensed Representative		1
LI Nailin	BHB964	Licensed Representative	7	2
LI Shuangxing	BGC184	Licensed Representative		4
LI Tianyi	BHH855	Licensed Representative		3
LI ZHILIU	BWR141	Licensed Representative	4	
LIAN Chun	BVB832	Licensed Representative	6	
LIM Kim Huat	ARU536	Licensed Representative	4	1, 6
LIN Huiteng	BVN994	Licensed Representative	4	
LIN Long	BMM591	Licensed Representative		1
LIN Long Hin	BWO800	Licensed Representative		2
LIN Po Man	BQS371	Licensed Representative	2	
LIN Tsai-Hsuan	AZN926	Licensed Representative		2
LIT Chun Ho	AQN416	Licensed Representative		2
LIU Chang	BVW841	Licensed Representative	4	
LIU Chang	BRX924	Licensed Representative	7	9
LIU Jianfeng	BVK446	Licensed Representative	2	
LIU Yuyang	BWB585	Licensed Representative	2	
LIU Zhichuan	BRR242	Licensed Representative	9	1, 6
LIU Zihan	BRL963	Licensed Representative		4
LO Dickson Tat San	AVM658	Licensed Representative	3	
LU Szu-Hsien	AZC558	Licensed Representative	4, 5, 7	
LU Xiaolu	BSE009	Licensed Representative		2
LUI Wing Kin	BUP873	Licensed Representative	9	
MA Chi Wah	AFF507	Licensed Representative		1, 4
MA Dahao	BVL528	Licensed Representative	9	
MA Man Hon	APH454	Licensed Representative	9	
MALABARD Thomas Charlie	BTJ675	Licensed Representative	9	1, 2
MARZOOUQ H Ahamed	BOM546	Licensed Representative		9
MENG Ziyang	BUA554	Licensed Representative		6
MIAO Yiwei	BSG250	Licensed Representative	4, 9	2

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CE Name	CE No.	Licence Type	Types of Regulated Acitivity Added	Types of Regulated Acitivity Removed
MIN Qingyang	BUL206	Licensed Representative	6	4
MOK Hiu Lam	AJE368	Licensed Representative		1
MOK Ho Nam	BLK652	Licensed Representative		1, 9
MOK Ka Yin	BRS719	Licensed Representative	5	
MORINAGA Kumiko	BLC343	Licensed Representative	1	
NG Siu Fung, Samson	AXL878	Licensed Representative	4, 9	
NG Siu Yan	ADI912	Licensed Representative		2
NG Ying Min Grace	AZZ102	Licensed Representative		9
NG Yuk, Penny	AAD136	Licensed Representative		4
NING Jun	BHX027	Licensed Representative		4
NING Qianyang	BLU941	Licensed Representative		4
OEI Hong Eng	AAB064	Licensed Representative		4, 9
PANG Shi Choi	BEX059	Licensed Representative	2	4
PEACH Nicholas Xavier Aidan	AVV327	Licensed Representative		9
PRINTZ Benedict	BSX141	Licensed Representative		7
QIAN Ike Qingyuan	BTE759	Licensed Representative		6
REGISTRAR Tarit	BLT536	Licensed Representative		7
SHEK Chi Ying	BOC240	Licensed Representative	9	
SHEK Lok Kan	BSI612	Licensed Representative	9	
SHI Dong	BMX543	Licensed Representative	4	
SHI Qi	BMN445	Licensed Representative		4
SHI Ruiming	BQL520	Licensed Representative	4	
SIU Chi Yiu, Kenny	ANA382	Licensed Representative	2, 5	
SIU Man Ling	BRV675	Licensed Representative	1	
SO Kin	AID232	Licensed Representative		4
SO Wai Hong	AGH369	Licensed Representative		1, 4
STYLIANOU Giorgos	BVS736	Licensed Representative		4
TAN Chang	BRR253	Licensed Representative	5, 9	
TANG Misi	AXD690	Licensed Representative	1, 4	
TAO RAN	BUX431	Licensed Representative	9	
TONG Alex Chi Kai	ATX897	Licensed Representative		7
TONG Yu Ning Nancy	BRB840	Licensed Representative	2, 4, 5	
TSANG Chun Pak	BQT886	Licensed Representative	9	4
TSOI Ka Hei	BUK151	Licensed Representative	2	
TSUI Ka Tsun, Brian	BVX476	Licensed Representative	1	5
WANG Jerry Hua-lin	ACA277	Licensed Representative		1
WANG Jiaqi	AOH836	Licensed Representative		2
WANG Ke	BNU853	Licensed Representative	1	
WANG Li	BUS720	Licensed Representative	1, 9	
WANG Mingkai	BJN945	Licensed Representative	6	
WANG Ruguo	BPM686	Licensed Representative	9	6
WANG Will Way	BLH658	Licensed Representative	9	
WANG Xue	ARM171	Licensed Representative	4	
WANG Xuekun	BRV954	Licensed Representative		6
WANG Yingchu	ARL276	Licensed Representative	4	
WANG Yiran	BUL405	Licensed Representative	2	9
WANG Youyou	BUC030	Licensed Representative	2	
WANG Yu	APZ458	Licensed Representative		1
WANG Yuqing	BVC529	Licensed Representative	6	
WANG Yuxi	BQC524	Licensed Representative		1, 4
WEN Yuyue	BVE604	Licensed Representative	9	4
WONG Hong Ming	BIN600	Licensed Representative	1	
WONG Ka Ming, Alan	ARQ657	Licensed Representative	9	1, 4
WONG Kin Chun, Gilbert	AAI303	Licensed Representative	9	
WONG Lai Man	AFC401	Licensed Representative	4	
WONG Sau Shing	ADB042	Licensed Representative		2
WONG Yik Yin, Rick	AZU828	Licensed Representative	4	
WONG Yin Kwan, Brenda	ARH793	Licensed Representative		1
WONG Yuk Sing Edric	BWR511	Licensed Representative	4	

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CE Name	CE No.	Licence Type	Types of Regulated Acitivity Added	Types of Regulated Acitivity Removed
WU Charles Qian	BEO731	Licensed Representative	9	
WU Ka Chun	BAB052	Licensed Representative		6
WU Mei Yen	BHP424	Licensed Representative	5	
WU You	BOC281	Licensed Representative	1, 2	9
XIA Jiajie	BJB584	Licensed Representative	2, 3	
XIE Hanzhang	BUF468	Licensed Representative	4	
XU Guang	BKC619	Licensed Representative		2
XU Guangze	BWI900	Licensed Representative	1, 6	4
XU Yuliang	AWY509	Licensed Representative	1	
XU Zichen	BCY420	Licensed Representative	1	
YAN Ka Ho	BGB456	Licensed Representative	4	
YAN KAI	BTT668	Licensed Representative		1
YAN Sin Hang	AUL971	Licensed Representative	4	
YANG Zhifan	BGV223	Licensed Representative	9	
YAO Xiaolong	BWG906	Licensed Representative	4	
YE Qingxin	BFZ056	Licensed Representative		4
YEE Pui Fonk, Janet	AED786	Licensed Representative	1, 4	
YEN Chanan	ARM750	Licensed Representative		1, 4
YEUNG Cheuk Hon	BUC711	Licensed Representative		2, 3, 4, 5
YIK Kwan Ho	APR487	Licensed Representative		1
YIN Isabel	BXA569	Licensed Representative	4	
YUEN Man Ho	BEZ553	Licensed Representative		9
ZHANG Enwei	BTQ107	Licensed Representative	2	
ZHANG Fan	AZE638	Licensed Representative	1	
ZHANG Jiehao	BUC824	Licensed Representative	4	2
ZHANG Wenjin	BRM031	Licensed Representative		4
ZHANG Yijia	BKM831	Licensed Representative	9	
ZHAO Jiajing	BCC445	Licensed Representative	4	
ZHAO Yiru	BSQ636	Licensed Representative		9
ZHENG Xiaoxi	AWF326	Licensed Representative		1
ZHU Lei	BAK409	Licensed Representative	1	
ZHU Yinuo	BVU273	Licensed Representative	4	
ZHU Yunhan	BUU697	Licensed Representative	9	
CHAN Ching Fan, Oscar	AJU806	Licensed Representative - Responsible Officer	1	
CHAN Hin Hong	ARI672	Licensed Representative - Responsible Officer	2, 5	
CHEN Bomin	AJZ335	Licensed Representative - Responsible Officer	2, 5	
CHEUNG Chung Wai, Billy	AGT978	Licensed Representative - Responsible Officer	1	
CHIU Sheung Yuk	AKU355	Licensed Representative - Responsible Officer	4	
FAN Chun Chiu	AXN054	Licensed Representative - Responsible Officer	9	
FU Yiu Hang	AGH815	Licensed Representative - Responsible Officer	1	
FUNG Sai Kit	AZB725	Licensed Representative - Responsible Officer	4	
HO Ho	BJC947	Licensed Representative - Responsible Officer	1, 4	
HO Wing Chiu	AXU901	Licensed Representative - Responsible Officer	4	
HONG Taoli	BOH186	Licensed Representative - Responsible Officer	2, 5	
HUNG Cheung Fuk	ADV775	Licensed Representative - Responsible Officer	9	
KWONG Wan Wa	AFS605	Licensed Representative - Responsible Officer		1
LAM Wing Keung	ANS013	Licensed Representative - Responsible Officer	9	
LEE Man Chun	ARO949	Licensed Representative - Responsible Officer	9	
LEI Zhongren	ASS916	Licensed Representative - Responsible Officer	1	
LI Hoi Lin, Dinnie	AFL803	Licensed Representative - Responsible Officer	4	
LI Hongli	BDQ909	Licensed Representative - Responsible Officer	1	
LO Chi Chung	ATJ130	Licensed Representative - Responsible Officer	1, 4	
LO Kai Tung	AGV227	Licensed Representative - Responsible Officer	1	
TAM Kim Fai	ACU401	Licensed Representative - Responsible Officer	4, 9	
TANG Wa Kin	BGM127	Licensed Representative - Responsible Officer	4, 9	
TIAN Linyang	ARR344	Licensed Representative - Responsible Officer		1, 2, 5
WAI Yuk Chi, Angus	AXL721	Licensed Representative - Responsible Officer	1, 4	
WANG Luyang	BTJ469	Licensed Representative - Responsible Officer		1
WANG Xiaochuan	AQP721	Licensed Representative - Responsible Officer	5	

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CE Name	CE No.	Licence Type	Types of Regulated Acitivity Added	Types of Regulated Acitivity Removed
WONG Chi Ling	ACG593	Licensed Representative - Responsible Officer	2, 5	
WONG Hillman	AJE969	Licensed Representative - Responsible Officer	1, 4	
WONG Wun Yuen	BAG337	Licensed Representative - Responsible Officer	4, 9	
XIN Yuesheng	AIB281	Licensed Representative - Responsible Officer	1	
XIU Qi	BVK730	Licensed Representative - Responsible Officer	6	
YIK Kwan Ho	APR487	Licensed Representative - Responsible Officer	4, 9	
YING Tak Sun Nelson	AMY780	Licensed Representative - Responsible Officer		1
YIP Ho Pak	BFU720	Licensed Representative - Responsible Officer	9	
ZHOU Yang	BPJ140	Licensed Representative - Responsible Officer	2, 5	
ZUO Shaojie	BEL258	Licensed Representative - Responsible Officer	1	
GAO YUAN	BXC025	Licensed Representative (Provisional Licence)	9	
YU Wai Sun	BFY027	Licensed Representative (Provisional Licence)	9	
Citicorp International Limited	AAJ895	Registered Institution		6