

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
China Everbright Global Investment Advisors Company Limited	AYO607	Licensed Corporation		6
Dimension Management (HK) Limited	BPQ156	Licensed Corporation	4	
First Securities (HK) Limited	AAA347	Licensed Corporation	4	
Grand Fire Securities Limited	ASS782	Licensed Corporation		9
Infore Capital Management (Hong Kong) Company Limited	BCH802	Licensed Corporation	4	
Jone Portman Limited	BQL065	Licensed Corporation		5
Macquarie Capital Limited	AAC534	Licensed Corporation		7
Macquarie Funds Management Hong Kong Limited	AGZ772	Licensed Corporation		4
AGARWAL Sakshi	BUA636	Licensed Representative		2
BAINBRIDGE James William	BAV890	Licensed Representative		1
CAI Minghui	BUX222	Licensed Representative	2	4
CAI Ziqi	BNM212	Licensed Representative		4
CHAN Chi Keung	ATL552	Licensed Representative		4
CHAN Kin On	BMV939	Licensed Representative	2, 5	
CHAN Pui On	BFY643	Licensed Representative		2
CHAN Tak Hong	BHX406	Licensed Representative	9	
CHAN Tak Hong	AUZ339	Licensed Representative	2, 4, 9	
CHAN Tsz Chun, Steve	AFU877	Licensed Representative	2, 4	
CHAN Yin Ting Elaine	AYJ856	Licensed Representative	1, 4	
CHAN Yin Tsung Lawrence	BTX896	Licensed Representative	2, 7	6
CHAU Pak Hei	BVL113	Licensed Representative		2
CHEN Jiayu	BWC147	Licensed Representative		2
CHEN Tianshiyu	BXU771	Licensed Representative	9	
CHEN Wenhan	APF628	Licensed Representative		9
CHEN Zhaozheng	BVP932	Licensed Representative		1
CHEN Zhiyin	BTP355	Licensed Representative	9	1, 4
CHEN Zian	BTB840	Licensed Representative		4
CHENG Tai Sheung	ALS551	Licensed Representative		1
CHENG Yueyang	BRE388	Licensed Representative	1	9
CHEUK Hoi Ki	BJQ675	Licensed Representative		6
CHEUNG Ho Wan	BFM417	Licensed Representative		6
CHEUNG Shut Shing, Muson	ACY868	Licensed Representative		1, 2
CHING Wai Yi	BPR767	Licensed Representative	9	
CHIU Ka Chun	BGM282	Licensed Representative		2, 3
CHOI Ting Fung	BXE437	Licensed Representative	1	
CHUI Kwok Him	BRX152	Licensed Representative	3	
COLE Cameron David Triston	BWP429	Licensed Representative	2	
CUI XIDAN	BXY582	Licensed Representative	6	
DAI Huiwei	AMF538	Licensed Representative	4	
DENG Haifei	BWB735	Licensed Representative	1	
DING Wen	BXH426	Licensed Representative	1	
DOMINIQUE-LEFEVRE Julien Baptiste	BHW826	Licensed Representative	9	1, 2, 7
DOU Yik Siu	BFD130	Licensed Representative		4
DU Juan	BNT212	Licensed Representative	4	1
FANG Shengya	BUA604	Licensed Representative		4
FANG Wei	BMG204	Licensed Representative	9	4
FENG Jin	BTY555	Licensed Representative	9	
FENG Tingting	BXB048	Licensed Representative	4, 5	
FU Ruowei	BIS660	Licensed Representative	4	
FU Wanting	BTV508	Licensed Representative	4, 9	
FUNATSU Gaichi	BXJ076	Licensed Representative		1
FUNG Hin Wai	AUH265	Licensed Representative		2, 5, 9
GAO Zidong	BVL756	Licensed Representative	2, 9	4
GE Xiaopeng	BMX551	Licensed Representative		6
GE Yingming	BDO882	Licensed Representative	4	
GUO Su	BXP590	Licensed Representative	1	
GUO Xiuyuan	BTP300	Licensed Representative	1	
HANLEY Cathal Timothy	BLD572	Licensed Representative	4, 7	2
HAO Xin	BQC601	Licensed Representative		1, 2, 4

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
HE Hanzhi	BTC631	Licensed Representative	1, 6	10
HE Jieyan	BLN749	Licensed Representative		1
HE Jingjia	BIM420	Licensed Representative		2
HE Yunshan	BWZ233	Licensed Representative	9	
HO Chak Sum	AWD297	Licensed Representative	4, 9	
HO Lap Wai, Daniel	ADC339	Licensed Representative		9
HO Wai Fat	AQE681	Licensed Representative		1
HU Pan	BXW663	Licensed Representative	9	
HU Songjiang	BWP112	Licensed Representative	4	
HU Xueying	BXN076	Licensed Representative	1	
HUANG Xi	BYD681	Licensed Representative	2	
HUANG Xiaotong	BVQ207	Licensed Representative	1	9
HUANG Xinyi	BNC835	Licensed Representative	4	
HUANG Yanjun	BWQ609	Licensed Representative	1, 2, 5	
HUANG Zisheng	BWC964	Licensed Representative	9	
HUI Cassandra	BRK360	Licensed Representative	2, 4, 5, 7	
IP Henry Hing Luen	AXN295	Licensed Representative		2, 4
IWAI Takashi	BOX097	Licensed Representative	4	9
JING Qinghua	BVH088	Licensed Representative	9	6
KAM Wing Kei, Emily	BWH389	Licensed Representative	1	
KOH Jessica Mai-Han	AUN430	Licensed Representative	9	
KONG Pil Sung Philip	BNT057	Licensed Representative	9	
KONG Yuk Tsung	BTG862	Licensed Representative	1	
KWAN Mei Mei	APX211	Licensed Representative	4	
KWAN Yuk Fai	BPA270	Licensed Representative		1, 4
KWOK Chi Kit	BOX405	Licensed Representative		4
KWOK Friedman Owen	BLX124	Licensed Representative	4, 9	1, 2
KWONG Ka Leung	BGB944	Licensed Representative	6	4, 9
LAI Chuk Man	BGQ765	Licensed Representative		6
LAI Ka Chung	BFU181	Licensed Representative	1	9
LAM Chi Man, Pearl	BGE060	Licensed Representative	1	
LAM Chi Yip	BGB719	Licensed Representative		4, 5
LAM Wah	BUW218	Licensed Representative	4	
LAU Kit Cheung	ADB008	Licensed Representative	5	
LAU Ming Cham	BKQ930	Licensed Representative	2	9
LAU Wing Yuen, Whitney	BQC532	Licensed Representative		2
LAW Hiu Ching	BWH866	Licensed Representative	2	
LAW Tsz Ming	BMI035	Licensed Representative	4, 9	2
LEE Chak Him	BRW832	Licensed Representative	9	
LEE Chun Wing	BLM027	Licensed Representative	1	
LEE Jounso	BVK515	Licensed Representative	4	6
LEE Kai Yee	BAD882	Licensed Representative	9	
LEE Ming	BVE884	Licensed Representative	4	7
LEE Wai Kit	AEY372	Licensed Representative		2
LEE Yen Ting	BTD469	Licensed Representative	2	
LEI Shuyu	BGN236	Licensed Representative	1	
LEUNG Ka Shing	BMV680	Licensed Representative		4
LEUNG Ka Wai	AME528	Licensed Representative	4	
LEUNG Kit Wing	BWR736	Licensed Representative	4, 9	
LEUNG Kwok Wai	BTT107	Licensed Representative		2
LEUNG Lai Kar	AEY943	Licensed Representative		1
LEUNG Siu Yung	ARA500	Licensed Representative		4
LI Chen	BEZ042	Licensed Representative		1
LI Jiafan	BTL933	Licensed Representative	5, 9	1
LI Jiamao	BRS187	Licensed Representative	6	1, 4
LI Wenyan	BUA072	Licensed Representative	2	6
LI Xiaodan	BFX889	Licensed Representative	2, 5	
LI Ying	BUB838	Licensed Representative		4
LIAO Yuzheng	BWI462	Licensed Representative	2	4, 9

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
LIN Sheng	BVI203	Licensed Representative	2, 5	
LIN Shipin	BUH935	Licensed Representative	4, 9	
LIN Xiaofeng	BXS165	Licensed Representative	6	
LIU Chen	BUK028	Licensed Representative	4	1
LIU JINGYI	BWI390	Licensed Representative	1	
LIU Liang	BBS596	Licensed Representative	7	
LIU Liqing	BRP985	Licensed Representative	4	
LIU Wei	BXF693	Licensed Representative	1	
LIU Ziyi	BRY524	Licensed Representative	4	
LO Chiu Wai	AFT576	Licensed Representative	4	
LO Tin Wai	AGN787	Licensed Representative	4, 9	2, 3
LU Feng	BOT887	Licensed Representative		1
LU Yue	BHK571	Licensed Representative	4	
LUK Chi Yang Jonathan	BFY606	Licensed Representative	2	
LUK Siu Cheung	ARP313	Licensed Representative		1
LUNG Ka Ming	BQM758	Licensed Representative	9	
LUO Xiangzi	BFF182	Licensed Representative		6
MA Kin Yee Valerie	BTH416	Licensed Representative		4, 9
MA Shang	BEX659	Licensed Representative	4, 5	
MAK Ching Lam	BSU513	Licensed Representative		4, 6
MAK Ho Kan	BSS230	Licensed Representative		2, 4
MANN Chi Tat	ALN531	Licensed Representative		7
MATSUO Hidetaku	AZL647	Licensed Representative		1, 6
MENG Songyu	BNV959	Licensed Representative		2
MEYNIER Dorian, Giovanni	BEQ792	Licensed Representative	7	
MOK Leighton Lei-gong	BQP976	Licensed Representative	9	
MOK Wai Kei	BKD644	Licensed Representative	4	
MOK Wai Man, Derek	AMC666	Licensed Representative		1, 4
NG Andrew Ka Chun	BQI736	Licensed Representative		4, 9
NG Wen Ay Reina	BYJ157	Licensed Representative	2	
NG Yin Lam	AGK085	Licensed Representative	4, 9	1
NG Ying Yuk, Loretta	AMT915	Licensed Representative	4	2
OKA MIHOKO	BWW485	Licensed Representative	6	
PANG Chi Wing, Victor	AKL738	Licensed Representative		4
PANG Chung Shia Jacqueline	BAE441	Licensed Representative		9
PARK Soo Bin	BPM359	Licensed Representative	9	
PEH Bo Fang	BVA769	Licensed Representative		1
PENG Shirui	BXQ185	Licensed Representative	4	1, 6
PENG Siyu	BMQ545	Licensed Representative	9	
QIU Jiangjie	BQH353	Licensed Representative		4
RAMANATHAN Rishanth	BWO965	Licensed Representative	4	
ROBERSON Keri Anna	BPM469	Licensed Representative		1
ROTHLIN Michael Andreas	BCE496	Licensed Representative	9	1, 2, 4, 5
SHAM Sharon Cylong	AVV406	Licensed Representative		2, 4, 5, 7
SHAO Chenxi	BSI875	Licensed Representative	7	
SHEN Dehua	AMU085	Licensed Representative		4
SHEN Zaoshi	BUE029	Licensed Representative	4	
SHEN Zhen	BSU672	Licensed Representative		4
SHUM Chung Yin	BPD581	Licensed Representative	2	
SHUM Lap Chun, Annie	ALN110	Licensed Representative		1
SO Ka Long Justin	BUC492	Licensed Representative	9	1, 4, 6
SONG Yunzhe	BOC357	Licensed Representative	1	
SU Geni	BQM987	Licensed Representative	1, 7	5
SU Hui	BID890	Licensed Representative	2	9
SU Xingan	BXY764	Licensed Representative	9	
SUM Sze Ching, Alfred	AYK663	Licensed Representative	1	
SUN Liangliang	BUL929	Licensed Representative	2	
SUN Yuen Han	AGN808	Licensed Representative		1
SUN Zhuxuan	BUA892	Licensed Representative		6

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CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
SZE Yin Cheung	AJC709	Licensed Representative		2, 5
TAMURA HO Mari	BTX805	Licensed Representative	4, 5, 7	
TAN Junwei	BUC761	Licensed Representative	4	
TAN Tian	BFZ369	Licensed Representative	1	
TANG Hao	BSR857	Licensed Representative		1
TANG Hoi Ki Heidi	BWB195	Licensed Representative	9	
TANG Junjie	BWS780	Licensed Representative		4
TAO Sha	BVQ615	Licensed Representative	1	
TIAN Shijia	BRD957	Licensed Representative	1	
TING Hoi Lee	BXF235	Licensed Representative		1, 4
TONG Ching Lam, Brian	BFX507	Licensed Representative	1	
TONG Tze Kong	AMQ919	Licensed Representative	4, 9	
TSOI Leo Ziu Chung	BWV012	Licensed Representative	9	1
TSOI Tsz Wan Vivian	BFU951	Licensed Representative	4	
TU Brendan Chiao-Yen	AYR080	Licensed Representative	9	6
WANG Lan	ASW638	Licensed Representative		4
WANG Lihong	BVZ721	Licensed Representative		9
WANG Yongyan	BLI752	Licensed Representative	4	6
WONG Annie Yan Tsz	AUV531	Licensed Representative		2
WONG Chi Ching Juliet	BPA328	Licensed Representative		4
WONG Chi Ming	AYK887	Licensed Representative	1	
WONG Hoi Ling	BJZ254	Licensed Representative		2
WONG Jeff Le	BUX960	Licensed Representative		1
WONG Siu Ngai	BBZ915	Licensed Representative	9	
WONG Wai Yee	BSY086	Licensed Representative	4	3
WONG Wing Man	AVX175	Licensed Representative		7
WONG Wun Yuen	BAG337	Licensed Representative		1
WONG Yun Choi	ADR070	Licensed Representative		2
XI Yiru	BPX069	Licensed Representative	9	1, 2
XIA Yang	BGB713	Licensed Representative	4	
XIAO Jingyi	BXA156	Licensed Representative	2, 9	4, 6
XIAO Yao	BYA578	Licensed Representative	6	
XU Chenning	BXN674	Licensed Representative		4
XU Kevin Tianxiang	BUW135	Licensed Representative	4	1
XU Limin	BXW966	Licensed Representative	2	
XU Lu	BVI189	Licensed Representative	9	
XU Shangqing	BTZ198	Licensed Representative	1	9
XU Zeheng	BRH989	Licensed Representative	6	
XU Zimeng	BQC341	Licensed Representative		2
YAM Kin Chiu	AYY619	Licensed Representative	1, 4	
YANG Chen	BNJ315	Licensed Representative		6
YANG Haoling	BRR577	Licensed Representative	9	
YANG Jr-kai	BTA333	Licensed Representative		4, 9
YANG Tiantian	BHZ119	Licensed Representative	4	
YANG Xu	BYA412	Licensed Representative	2	
YAU Canon	BBM051	Licensed Representative		4
YAU Chung Hei, Tiffany	BNL466	Licensed Representative	2	
YE Ziqi	BVM459	Licensed Representative	2	
YIN Chenggong	BJI759	Licensed Representative	9	1
YIN Yue	BIK517	Licensed Representative		7
YIN Ziqiang	BVT912	Licensed Representative	4	
YIP Tsz Chung	BSA365	Licensed Representative		4, 9
YU Chi Chiu, Andrew	AGI481	Licensed Representative	1, 4	
YU Ho Wing	AXT424	Licensed Representative	9	
YUAN JIE	BUT540	Licensed Representative		1, 4
YUNG Yu Shing	ATB835	Licensed Representative		5
ZHAN Qiwen	BSH356	Licensed Representative	4	
ZHANG Dong	BSI227	Licensed Representative		9
ZHANG Junming	BSY958	Licensed Representative	4	

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CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
ZHANG Junyong	ALL467	Licensed Representative		4
ZHANG Lily Xun	AIR660	Licensed Representative	4, 6	
ZHANG Sijun	AHK260	Licensed Representative	4	
ZHANG Xiaotong	BRZ922	Licensed Representative	1	
ZHANG Xichen	BOP231	Licensed Representative	4	
ZHANG Xuan	BSE166	Licensed Representative	9	4
ZHANG Yiming	BTC189	Licensed Representative	6	4, 9
ZHANG Zhi	BUZ268	Licensed Representative		1
ZHANG Zhixin	BSZ765	Licensed Representative		4
ZHAO Jingyi	BXP508	Licensed Representative	2	
ZHENG Yongsheng	BNM190	Licensed Representative		1
ZHENG Zhongxiang	BVW948	Licensed Representative	2	
ZHOU Di	BXK048	Licensed Representative	1	
ZHU Ge	AUZ021	Licensed Representative	4	
ZHU Jiamin	BPX124	Licensed Representative	4	2
ZHU Jingyan	BOU484	Licensed Representative	6	
ZOU Jing	BWV200	Licensed Representative	9	
CHAN Pui Kin	BDC891	Licensed Representative - Responsible Officer	9	
CHAN Suet Yee, Shirley	AGI487	Licensed Representative - Responsible Officer		1
CHEE Weng Yan, Wendy	ABZ889	Licensed Representative - Responsible Officer		9
CHEN Ge	BUS856	Licensed Representative - Responsible Officer	4	
DAI Zhiqiang	ALZ276	Licensed Representative - Responsible Officer	5	
FUNAKI Maya	BEW206	Licensed Representative - Responsible Officer		9
HERON David	AAH580	Licensed Representative - Responsible Officer		9
HU Jiangdong	ALB225	Licensed Representative - Responsible Officer	1	
HUANG Kaifa	BRD535	Licensed Representative - Responsible Officer	4	
KO Yui Chit, Janice	AFL110	Licensed Representative - Responsible Officer		7
KUNG Wai Ling	AEU211	Licensed Representative - Responsible Officer	4	
LAI Michael	BGA390	Licensed Representative - Responsible Officer	4	
LAM Chi Kwong, Jonathan	ACD659	Licensed Representative - Responsible Officer		4
LAU Tak Wa	AUP819	Licensed Representative - Responsible Officer	4	
LEONG Eng Kiat	AVO758	Licensed Representative - Responsible Officer		9
LEUNG Su Lung	BGA561	Licensed Representative - Responsible Officer	4	
LEUNG Yu Hang	AUT166	Licensed Representative - Responsible Officer		5
LIU Jing	BWN287	Licensed Representative - Responsible Officer	1, 2, 4	
MA Ming Chun	APD158	Licensed Representative - Responsible Officer	2, 4, 5	
MACLEOD Niall James	AOY622	Licensed Representative - Responsible Officer		4
MATSUO Hidetaku	AZL647	Licensed Representative - Responsible Officer	9	
MINESUK Thanachai	AUI263	Licensed Representative - Responsible Officer	4	
NG Ka Yiu	BEF660	Licensed Representative - Responsible Officer	4	
RUAN Jin Wei	BWT411	Licensed Representative - Responsible Officer		2
STYLIANOU Giorgos	BVS736	Licensed Representative - Responsible Officer	4	
WAN Li	BOZ747	Licensed Representative - Responsible Officer		6
WEEKES Mark Alan Wentworth	AVQ693	Licensed Representative - Responsible Officer		7
WENG Yi	BRW092	Licensed Representative - Responsible Officer	1	
WENG Yimin	AXU883	Licensed Representative - Responsible Officer		4
WU Kwok Leung	AJB638	Licensed Representative - Responsible Officer	1	
Xian Xingchi	BWV250	Licensed Representative - Responsible Officer	9	
YEUNG Tak Wah	ATR495	Licensed Representative - Responsible Officer	1	
ZAKI Adam Anwar	AJK665	Licensed Representative - Responsible Officer		7
ZHANG Bin	BMI551	Licensed Representative - Responsible Officer	2, 5	
ZHAO Honghao	BVS616	Licensed Representative - Responsible Officer	1, 4	
ZHENG Yongsheng	BNM190	Licensed Representative - Responsible Officer	4	
ZHOU Chengchuan	BVK034	Licensed Representative - Responsible Officer	4	
ZHOU Qiwei	BPN627	Licensed Representative - Responsible Officer	4	
China Minsheng Banking Corp., Ltd.	AUD728	Registered Institution	13	