

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
Golden Lake Capital Limited	BGX710	Licensed Corporation	2, 5	
Hefeng Family Office (Hong Kong) Limited	BNP575	Licensed Corporation	1	
Nanjia Capital Limited	BHS693	Licensed Corporation		1
Pimlico Asset Management Limited	BON512	Licensed Corporation	4	
Silverhorn Investment Advisors Limited	AWE828	Licensed Corporation		1
AI Xihong	AVW302	Licensed Representative		1, 4
AU YEUNG Man	AQD598	Licensed Representative		5
CAI Junzhe	BVU797	Licensed Representative		1, 4
CAI Qing	BVA331	Licensed Representative		4, 9
CAO Weijie	BRO843	Licensed Representative	1	
CHAN Chak Fai	AEE934	Licensed Representative		2
CHAN Chun Ming	ABN578	Licensed Representative		1
CHAN Chung Yin	BTZ968	Licensed Representative	2	
CHAN Ho Ching	BXA056	Licensed Representative	2, 5	
CHAN Ka Wai	APP683	Licensed Representative		2
CHAN Ka Yun, Jenny	AHX334	Licensed Representative		4
CHAN Kwai Yiu, Linda	AAW288	Licensed Representative		1
CHAN Lai Suen	APK770	Licensed Representative	4	
CHAN Man Li	AKJ254	Licensed Representative		2
CHAN Tai Pang	AIR229	Licensed Representative	9	
CHAN Tai Yu	AWI794	Licensed Representative		1
CHAN Yik Lun	AOR284	Licensed Representative		4
CHANG Xuan	ALS539	Licensed Representative		4
CHAU Chun	ACS103	Licensed Representative	2	4
CHEN Hiu Pan	BTB486	Licensed Representative		4
CHEN JIE	BPX849	Licensed Representative	4	
CHEN Sijia	AZR339	Licensed Representative		1, 4
CHEN Wenxi	BWV825	Licensed Representative	9	
CHEN Wenye	BYN579	Licensed Representative	6	
CHEN Yingying	AOJ051	Licensed Representative	4	
CHEN Zhenyuan	ALZ069	Licensed Representative	4	
CHENG Ho Fung	BXJ375	Licensed Representative	2, 5	1
CHEUNG Hiu Yau	BRV305	Licensed Representative	4	
CHEUNG Yu Chung	BRV940	Licensed Representative		1, 2
CHIANG Tin Pui Timothy	BQN695	Licensed Representative	6	9
CHOI Ka Yin Jimmy	BMV788	Licensed Representative	1, 4	
CHOI Ming Tai	BDC658	Licensed Representative	9	
CHU Henry	BIR496	Licensed Representative		4
CHU Wai Leung	ABQ114	Licensed Representative		2
CUI Ji	BTW730	Licensed Representative	1, 4	
DAI Jiahui	BYE888	Licensed Representative		1
DANG Jolyn Le Thuy	AWY706	Licensed Representative	9	1
DAOU Mourad	ATR365	Licensed Representative	4, 5	7
DERBYSHIRE David Neil	ATF095	Licensed Representative		2
DING Jie	BSY403	Licensed Representative		4
DING Shuo	BUG506	Licensed Representative		4, 6
DING Yujie	BTR770	Licensed Representative	4	2
DONG Yuling	BTW224	Licensed Representative		2, 5
DU Jiayi	BVO332	Licensed Representative	9	4
EDWARD Duncan	BVM693	Licensed Representative		2
FU Qiang	BGM188	Licensed Representative	4	
FUNG Tin Tak	BXM374	Licensed Representative	9	
GAO Cheng	AXG207	Licensed Representative	9	
GAO Yalin	BNS318	Licensed Representative	4, 9	1
GE Mengyuan	BTW482	Licensed Representative		9
GONG Dacheng	BXU097	Licensed Representative	6	
GUAN Mingyue	BRK893	Licensed Representative		2
GUO Cuiyun	BUA036	Licensed Representative	9	
GUO Shiliang	BTD464	Licensed Representative		1, 4

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CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
HE Lianxiang	BVQ042	Licensed Representative	1	
HE Qiyu	BXA266	Licensed Representative	4	
HILADO Maria Elena Del Rosario	BEW795	Licensed Representative	2	
HO Chiu Yu	BWD100	Licensed Representative		9
HO Cho Ki	ARB955	Licensed Representative		1, 2
HO Hsuan I	BSS665	Licensed Representative	9	
HO Winston Hon Tao	BDK999	Licensed Representative		2, 3
HU Xijuan	BYJ696	Licensed Representative	1	
HUANG Changsheng	BTS887	Licensed Representative	9	1
HUANG Lei	BWB806	Licensed Representative		1
HUANG Liangze	BVS222	Licensed Representative		2
HUANG Mengqin	BPG455	Licensed Representative	2	
HUANG Qinji	BVN112	Licensed Representative	2, 5	
HUANG Shikun	BUI480	Licensed Representative		2
HUANG Yao	AXX667	Licensed Representative		1
HUI Nga Ying	AMU039	Licensed Representative	4	
HUR Jin Sung	AZW432	Licensed Representative	1	4, 9
IP Stanley Ho-yin	BNU401	Licensed Representative	4	
IP Wai Lun, William	AEK747	Licensed Representative	1	
IUNUSOV Grigori	BXU144	Licensed Representative	9	
Ji Kaiqiang	BOW615	Licensed Representative		1
KAM Man Wai	BBK676	Licensed Representative	2	
KANNO Takayuki	BTX875	Licensed Representative	4	2
KE Rongxi	BOC316	Licensed Representative	9	
KIM Dongseok	BXQ817	Licensed Representative	9	4
KING Hin Fai, Kevin	ARW546	Licensed Representative		1
KONG Weiliang	BWQ613	Licensed Representative		4
KUAN Carol	BEL457	Licensed Representative	2, 9	
LAM An Yin Andrew	BWW245	Licensed Representative		4, 9
LAM Cheung Yu	BFW629	Licensed Representative	1, 2	4, 9
LAM Chun Wah	ANU923	Licensed Representative		3
LAM Kim Wa	BYL032	Licensed Representative	1	
LAM Tak Shing, Eric	AAI558	Licensed Representative		2
LAO Hong Fat	AQQ127	Licensed Representative		9
LAU Chi Ho	AJD618	Licensed Representative		2
LAU Hon Yuen	BIL708	Licensed Representative		4
LAW Hiu Ching	BWH866	Licensed Representative		1
LAW Ho Long	BVM603	Licensed Representative		4, 5
LEE Hon Ying	BUF984	Licensed Representative	9	1
LEE Kwok Cheong	AGA695	Licensed Representative		1
LEE Wai Kit	AEY372	Licensed Representative	9	
LEE Yee Ping	BTO538	Licensed Representative		2
LEUNG Man Wai, Matthew	ALQ771	Licensed Representative		3
LEUNG Pak Kei	BBA468	Licensed Representative		1
LEUNG Yee Wan	AIH412	Licensed Representative	4, 9	
LEUNG Yun Tim	BRY795	Licensed Representative	2	
LI Chunyu	BOW501	Licensed Representative		9
LI Dongchang	BWB910	Licensed Representative	5	
LI Hangyu	BWT070	Licensed Representative	4	
LI Shaozong	BVF643	Licensed Representative	1	
LI Sitan	BUV051	Licensed Representative	1	
LI Tiantian	BGI747	Licensed Representative	4, 9	1, 2
LI Wenhao	BUH956	Licensed Representative	4	
LI Wing Yiu	BOB878	Licensed Representative	1, 4, 9	
LI Xinze	BWW123	Licensed Representative	1	
LIAN Ruiyu	BRK767	Licensed Representative	4	
LIM Kai Ning, Karen	BOJ405	Licensed Representative		4
LIN Hoi Ching	BQU126	Licensed Representative		4
LIN Xu	BXJ118	Licensed Representative	4, 5	

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
LIU Fangxi	BXF014	Licensed Representative		1
LIU Xingchen	BUZ427	Licensed Representative	1	6
LIU Ziyi	BXN140	Licensed Representative		4, 5, 9
LO Pak Ting	BIJ527	Licensed Representative	2	
LOK Suen Hong	AJB468	Licensed Representative	2, 5	
LU Yuanyuan	BOB466	Licensed Representative	4	
LUDEMA Gregory Alan	BNO917	Licensed Representative	7	
LUO Aimei	BLT164	Licensed Representative	1	
LUO Manni	BRT144	Licensed Representative	4	
LUO Shuqian	BUS871	Licensed Representative	2	
LUO Zhiliang	ATJ062	Licensed Representative		1
LYU Ju	BSI709	Licensed Representative	4	
MA Chi Wah	AFF507	Licensed Representative	1	
MA Chuangsen	BTG257	Licensed Representative	9	
MA Hiu Nga, Michelle	AWE190	Licensed Representative		4, 9
MAK Ka Man	BVZ151	Licensed Representative	9	1, 2, 7
MAN Chun Wai	BJE593	Licensed Representative		4
MAN Yiu Kwong, Nick	AGZ760	Licensed Representative		1
MCKEOWN Matthew Mark	BWN887	Licensed Representative	2	4
MENG Jiaxuan	BRR935	Licensed Representative		4
MENG Yi	BOK228	Licensed Representative		1
MIAO Di	BRC197	Licensed Representative	7	
MO Xian	BTC138	Licensed Representative		9
MOK Ho Nam	BLK652	Licensed Representative	1, 9	
NG Ka Yin	BYL100	Licensed Representative	4	
NG Kam Kwan	ATR618	Licensed Representative	4, 9	
NG Pauline Pui-han	BKQ278	Licensed Representative	2	
NG Suet Man	AEK106	Licensed Representative		1
NG Warren Brian Ka Hei	AVN286	Licensed Representative	4	
NGAI Sze Hang	BMP344	Licensed Representative		1
NIE Sitong	BRJ342	Licensed Representative		1, 4
OUAFA Ali	BSM040	Licensed Representative	1	5
PAN Shuman	BSB949	Licensed Representative		4
PAN Yiming	BVX710	Licensed Representative	9	
PANG Lai Fan	BUL073	Licensed Representative	4, 9	
PENG Yan	BYE040	Licensed Representative	1	
QI Hui	BWW413	Licensed Representative		2
REN Ning	AVT381	Licensed Representative	1, 4	
RUAN Jin Wei	BWT411	Licensed Representative		1
SAMSON Ian Alexander	BOZ457	Licensed Representative	4, 9	
SHEK Cheuk Kan	BVC116	Licensed Representative	4	
SHEN Kaizhong	BYF024	Licensed Representative	4	
SHEN Zixin	BVK099	Licensed Representative	6	4
SHI Kailin	BRO006	Licensed Representative	1	
SHI Yi	BSR412	Licensed Representative	1	6
SKURR Paul Thomas	AQS669	Licensed Representative		2
SO Hin Pong	AAF507	Licensed Representative	4, 9	
SONG Daichen	BPR469	Licensed Representative	4	
SU Xingan	BXY764	Licensed Representative	4	
SUNG Kwenny Kwen Li	ACL983	Licensed Representative	9	1
SZE TO Kwok Chun	ARK059	Licensed Representative		2
TAM Wui Chun	BDF847	Licensed Representative		4
TANG Zi You	BVK085	Licensed Representative	2	
TANZIL Wiharjo	BDO732	Licensed Representative	2	
TENG Ran	BSZ883	Licensed Representative	9	1
TONG Hing Lung	AQW032	Licensed Representative		2
TSAO Ching Tsung	BQW411	Licensed Representative		1, 4
TSAO Yue Sun	BTU158	Licensed Representative		7, 9
TSE Lok Sang	BIR021	Licensed Representative		2

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
WAKIZAKI Hiroshi	BBQ443	Licensed Representative	4	
WANG Jinlin	BQN937	Licensed Representative	1, 9	
WANG Ke-lin	BXT846	Licensed Representative	4	
WANG Lidong	BNJ936	Licensed Representative		4
WANG Mingcheng	BHP709	Licensed Representative	4	
WANG WEI	BWF480	Licensed Representative		1
WANG Xin	BSQ369	Licensed Representative	9	
WANG Yi	BSR794	Licensed Representative	9	1
WANG Yiqi	BXQ340	Licensed Representative	4	6
WANG Yu	BIC278	Licensed Representative	2, 4	
WANG Yu	AQO385	Licensed Representative	4, 9	
WANG Yufei	BAA531	Licensed Representative	9	1
WANG Yushen	BMH497	Licensed Representative	9	
WEI Xiao	BXA896	Licensed Representative	4	
WEI Yonghui	BXK059	Licensed Representative	9	1
WEN Chaozhi	BUV913	Licensed Representative	7	2, 4
WEN Qiang	BWB893	Licensed Representative	1	
WILLIAMSON Andrew Masters	AXM703	Licensed Representative	9	
WONG Cheuk Him, Alvin	AWD487	Licensed Representative	1	
WONG Hong Fu	ACP165	Licensed Representative	4, 9	
WONG Ming Wun	BWW288	Licensed Representative		4, 9
WONG Tracy Po-yi	BCA264	Licensed Representative	1	
WONG Wing Nga	BTW022	Licensed Representative		4
WONG Yick Sing Jeffrey	BSG940	Licensed Representative	4, 9	10
WONG Yiu Chung, Andy	AXV027	Licensed Representative		1, 4
WOO Man To	BWA866	Licensed Representative		4
WU Jialian	BVH079	Licensed Representative	4, 9	1, 2
WU Jinwen	BNZ183	Licensed Representative	1	
WU Yijun	BDA182	Licensed Representative	2	
XIA Weiyl	BWR420	Licensed Representative	13	1, 4, 9
XIAO Jingyi	BXA156	Licensed Representative	4	
XIAO Yan	BBT492	Licensed Representative	4	
XIE Enyu	BUL988	Licensed Representative		4
XU Fan	BNS113	Licensed Representative		1
XU Jialin	BTL986	Licensed Representative	1	
XU Tunan	BWY895	Licensed Representative		1
XU Xinwei	BES891	Licensed Representative		4
XU Zhiran	BLR037	Licensed Representative		1
YAN Hin Hang	BWR645	Licensed Representative	9	
YANG Jian	BBH683	Licensed Representative		2, 5, 9
YANG Ke	BVA440	Licensed Representative		2, 3
YANG Lu	BOZ759	Licensed Representative	4	
YANG Shuhan	BXR016	Licensed Representative	1	
YANG Siyuan	BSV355	Licensed Representative		1
YANG Wei	BQL485	Licensed Representative	4	
YANG Xueqin	BVQ343	Licensed Representative		5
YANG Yang	AVK963	Licensed Representative	4	
YANG Zexia	BVO226	Licensed Representative	4	
YAO Yin	BWF520	Licensed Representative	1	
YAU Tsz Mei	BWY738	Licensed Representative	1	
YE Wenkang	BQP558	Licensed Representative	2, 5	1
YEUNG Ho Yin	BUV377	Licensed Representative	1, 4	9
YEUNG Po Yi	BHK902	Licensed Representative	4	
YEUNG Shek Man	ARH651	Licensed Representative		5
YIP Ka Lam	AJA184	Licensed Representative		1
YIP Wai Kui	AGI948	Licensed Representative		2
YIU Kit Ting	BVL185	Licensed Representative	1	
YU Qiyuan Chloe	BMR082	Licensed Representative		1
ZENG Tian	BPW803	Licensed Representative	1	

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2026

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
ZHANG Hao	BTT488	Licensed Representative	4, 5, 7	
ZHANG Jiarong	BRE392	Licensed Representative		1
ZHANG Jingyi	BWP054	Licensed Representative	7	
ZHANG Junming	BSY958	Licensed Representative		2, 7
ZHANG Long	BAK384	Licensed Representative	4	
ZHANG Mengyi	BIO476	Licensed Representative	6	2, 4
ZHANG Peng	BIX452	Licensed Representative		1
ZHAO Jingqian	BXE947	Licensed Representative	1	
ZHAO Yong	BRR865	Licensed Representative	1	
ZHAO Zongming	BLZ818	Licensed Representative		1
ZHENG Jiachuang	BIM005	Licensed Representative	4	
ZHENG Kexin	BTY593	Licensed Representative		1
ZHENG Lin	BUZ398	Licensed Representative		4
ZHENG Xing	BRZ706	Licensed Representative		4, 9
ZHENG Xiu	BSX035	Licensed Representative	4	
ZHONG Jing	BFZ535	Licensed Representative		1, 2
ZHOU Linghui	BNW224	Licensed Representative		1
ZHOU Xiaoyin	ALK243	Licensed Representative		4
ZHOU Yang	BSF949	Licensed Representative	9	4
ZHOU Zhengyang	BVW787	Licensed Representative	1	
ZHOU Ziyi	BSZ043	Licensed Representative	1, 2	
ZHU Bingxue	BTB594	Licensed Representative	1, 4	
ZHU Bowen	BRN923	Licensed Representative	4	
ZHU Yinqun	BBI767	Licensed Representative		1, 4
ZHUO Bingguang	BTT602	Licensed Representative		3
ZOU Yun	BCC083	Licensed Representative		1
CAI Qing	BVA331	Licensed Representative - Responsible Officer	1	
CHAN Kit Wah, Kazier	ANJ136	Licensed Representative - Responsible Officer		9
CHAN Sin Hang	AQU095	Licensed Representative - Responsible Officer		9
CHAN Suet Yee, Shirley	AGI487	Licensed Representative - Responsible Officer		4
CHEN Tian Hong	BGQ030	Licensed Representative - Responsible Officer		4
CHEONG Tek Kong	AGP188	Licensed Representative - Responsible Officer	2, 5	
CHU Yin Yin	BJX010	Licensed Representative - Responsible Officer		1
CHUNG Kok Fook	ASY849	Licensed Representative - Responsible Officer	2	
COATHAM Samuel Martyn	APU459	Licensed Representative - Responsible Officer		1
DONG Shanshan	BNJ406	Licensed Representative - Responsible Officer	9	
HONG Keith Chun-yiu	AXR368	Licensed Representative - Responsible Officer	1	
HUNG Ka Hei	BNIO21	Licensed Representative - Responsible Officer	4, 9	
IMAM Michael Raza	AOL063	Licensed Representative - Responsible Officer		1
JIANG Yuanyuan	BTK681	Licensed Representative - Responsible Officer		1
KIL Han Jong	BGP387	Licensed Representative - Responsible Officer	9	
KOTECHA Vikesh	AUA341	Licensed Representative - Responsible Officer	9	
KWOK Man Chun, Felix	AWS974	Licensed Representative - Responsible Officer	1	
LAI King Wai	AQC312	Licensed Representative - Responsible Officer	4, 5	
LEE Yu Hin	AZG929	Licensed Representative - Responsible Officer	4	
LEUNG Wai Bong	APQ335	Licensed Representative - Responsible Officer	4	
LI Guangchen	BNY840	Licensed Representative - Responsible Officer	9	
LI Shaojie	BVK754	Licensed Representative - Responsible Officer	6	
LIU Yang	BNQ767	Licensed Representative - Responsible Officer	1	
LU Xiang	BBU284	Licensed Representative - Responsible Officer	4	
MAK Tsz Kin	BFN917	Licensed Representative - Responsible Officer		4, 9
MC CARRON Claran Peter	AVE056	Licensed Representative - Responsible Officer	4, 5	
PAU Tin Fung, Stephen	AJB414	Licensed Representative - Responsible Officer	1	
SHEK Wing Kit	ANR257	Licensed Representative - Responsible Officer		4, 9
SONG Cheng	BQL512	Licensed Representative - Responsible Officer	3, 5	
SOO Chi Kit	AOI967	Licensed Representative - Responsible Officer	2, 4, 9	
TSO Ka Sing	AUR286	Licensed Representative - Responsible Officer	4, 9	
WAN Li	BOZ747	Licensed Representative - Responsible Officer		1, 2, 5
WANG Qi	ALB737	Licensed Representative - Responsible Officer	9	

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CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
WONG Wai San	AHP442	Licensed Representative - Responsible Officer	2, 5	
WOO Wai Tong	ADZ983	Licensed Representative - Responsible Officer	4	
XIAO Lina	BAZ749	Licensed Representative - Responsible Officer	4, 9	
XU Hao	AVA631	Licensed Representative - Responsible Officer		6
YEN Chanan	ARM750	Licensed Representative - Responsible Officer	4	
YIP Wai Kui	AGI948	Licensed Representative - Responsible Officer	4, 9	
YUN Xiaofei	BWO024	Licensed Representative - Responsible Officer		6
ZHANG Fan	AUT824	Licensed Representative - Responsible Officer	9	
ZHANG Huizi	BQB926	Licensed Representative - Responsible Officer	9	
ZHONG Jing	BFZ535	Licensed Representative - Responsible Officer	9	
ZHU Yi	AWE965	Licensed Representative - Responsible Officer	4, 9	
WU Wentao	BYM107	Licensed Representative (Provisional Licence)	2	